

# **Chapter 9**

# **Image Compression**

## **Compression Fundamentals**

- Data compression
  - the process of reducing the amount of data required to represent a given quantity of information.
- Data and Information
  - Note that data and information are not the same.
  - Data are the means by which information is conveyed.
  - Various amounts of data may be used to represent the same amount of information.
- Data that either provide no relevant information or simply restate that which is already known
  - → data redundancy

# **Compression Fundamentals**

#### Data vs Information

- Information = Matter
- Data = The means by which information is conveyed

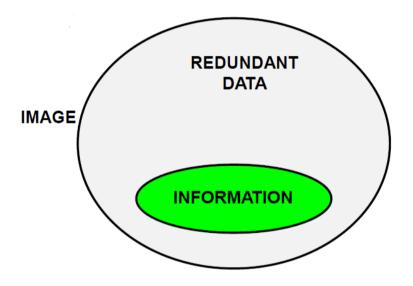


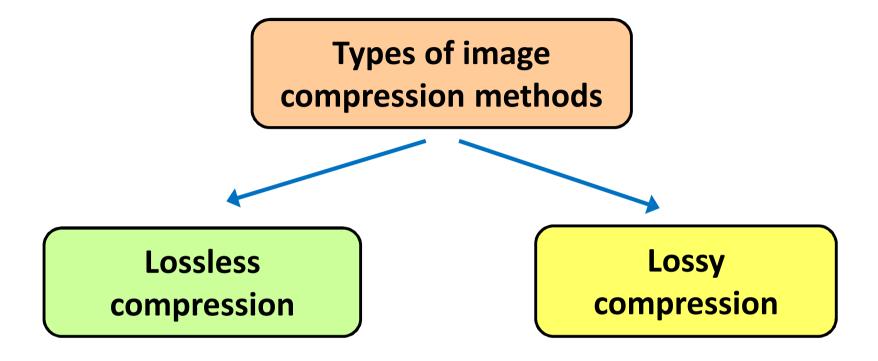
IMAGE = INFORMATION + REDUNDANT DATA

#### Image Compression

 Reducing the amount of data required to represent a digital image while keeping information as much as possible

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# **Image Compression Methods**



## Lossless Compression VS. Lossy Compression

#### **Lossless compression**

- Information preserving
- Low compression ratios
- Zero data loss
- Example: Fixed length coding and Variable length coding

#### **Lossy compression**

- Not information preserving
- High compression ratios
- Some data loss
- Example: JPEG compression, JPEG2000 compression.

# Coding redundancy

- Coding Redundancy: A code is a system of symbols (i.e. bits) that represents information. Each piece of information is represented by a set of code symbols.
- The normalized histogram of a gray level image can be used in construction of codes to reduce the data used to represent it.

$$p_r(r_k) = \frac{n_k}{n}$$
 ,  $k = 0,1,2,...,L-1$ 

where

 $r_k$  is the pixel values defined in the interval [0,1]

 $p_r(r_k)$  is the probability of occurrence of  $r_k$ .

L is the number of gray levels.

 $n_k$  is the number of times that  $k^{th}$  gray level appears n is the total number of pixels.

# Coding redundancy

- Different coding methods yield different amount of data needed to represent the same information.
- Average number of bits required to represent each pixel is given by:

$$L_{avg} = \sum_{k=0}^{L-1} l(r_k) p_r(r_k)$$

where

 $l(r_k)$  is the number of bits used to represent each pixel of  $r_k$ .

#### Relative Data Redundancy and Compression Ratio

- Given  $n_1$  and  $n_2$  denoting the information-carrying units in two data sets that represent the same information/image.
- lacktriangle Relative data redundancy,  $R_D$  of the first data set,  $n_1$  ,is defined by :

$$R_D = 1 - \frac{1}{C_R}$$

•  $C_R$  refers to the compression ratio and is defined by :

$$C_R = \frac{n_1}{n_2}$$

- If  $n_1 = n_2$ , then  $C_R = 1$  and  $R_D = 0$ , indicating that the first representation of the information contains no redundant data.
- A typical compression ratio around 15 or(15:1) indicates that 85% of the data in the first data set is redundant.

## **Example: Fixed Length Coding**

Assuming there is an image 3 bit (L=8). There are gray levels distribution values as Table in which the gray levels range [0, L-1] = [0, 7].

$r_k$	$p_r(r_k)$	Fixed Length Coding	$I_r(r_k)$
$r_0 = 0$	0.19	000	3
$r_1 = 1/7$	0.25	001	3
$r_2 = 2/7$	0.21	010	3
$r_3 = 3/7$	0.16	011	3
$r_4 = 4/7$	0.08	100	3
$r_5 = 5/7$	0.06	101	3
$r_6 = 6/7$	0.03	110	3
$r_7 = 1$	0.02	111	3

# **Example: Fixed Length Coding**

The average number of bit used for fixed 3-bit code :

$$L_{avg} = \sum_{k=0}^{L-1} l(r_k) p_r(r_k)$$

$$= 3(0.19) + 3(0.25) + 3(0.21) + 3(0.16) + 3(0.08) + 3(0.06) + 3(0.03) + 3(0.02)$$

$$= 3.1$$

$$= 3 \quad bits$$

# Example: Variable Length Coding

Assuming there is an image 3 bit (L=8). There are gray levels distribution values as Table in which the gray levels range [0, L-1] = [0, 7].

$r_k$	$p_r(r_k)$	Variable Length Coding	$I_r(r_k)$
$r_0 = 0$	0.19	11	2
$r_1 = 1/7$	0.25	01	2
$r_2 = 2/7$	0.21	10	2
$r_3 = 3/7$	0.16	001	3
$r_4 = 4/7$	0.08	0001	4
$r_5 = 5/7$	0.06	00001	5
$r_6 = 6/7$	0.03	000001	6
$r_7 = 1$	0.02	000000	6

# **Example: Variable Length Coding**

The average number of bit used for variable length code :

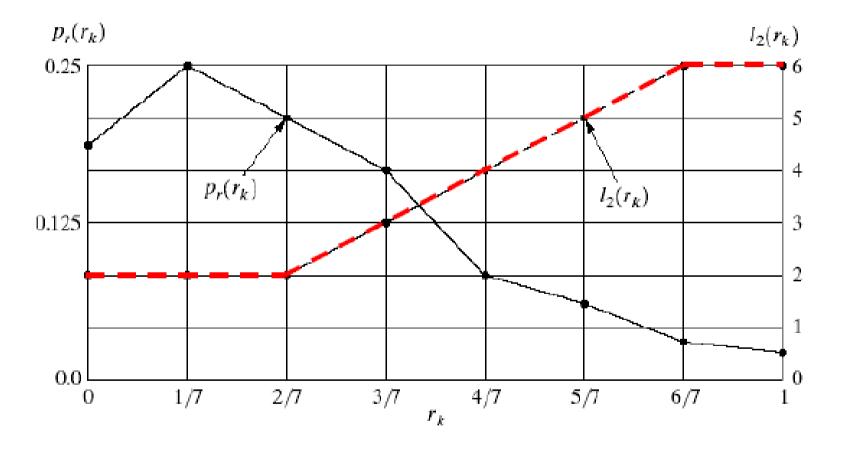
$$L_{avg} = \sum_{k=0}^{L-1} l(r_k) p_r(r_k)$$

$$= 2(0.19) + 2(0.25) + 2(0.21) + 3(0.16) + 4(0.08) + 5(0.06) + 6(0.03) + 6(0.02)$$

$$= 2.7 \quad bits$$

# **Example: Variable Length Coding**

 Data compression is achieved by assigning fewer bits to more probable gray levels than the less probable gray levels.



Concept: assign the longest code word to the symbol with the least probability of occurrence.

# Fixed Length Coding VS. Variable Length Coding

Assuming there is an image 3 bit (L=8). There are gray levels distribution values as Table in which the gray levels range [0, L-1] = [0, 7].

r <sub>k</sub>	$p_r(r_k)$	Code 1	$I_1(r_k)$	Code 2	$I_2(r_k)$
$r_0 = 0$	0.19	000	3	11	2
$r_1 = 1/7$	0.25	001	3	01	2
$r_2 = 2/7$	0.21	010	3	10	2
$r_3 = 3/7$	0.16	011	3	001	3
$r_4 = 4/7$	0.08	100	3	0001	4
$r_5 = 5/7$	0.06	101	3	00001	5
$r_6 = 6/7$	0.03	110	3	000001	6
$r_7 = 1$	0.02	<b>/111</b>	3	,000000	6

Fixed 3-bit code

Variable length code

# Fixed Length Coding VS. Variable Length Coding

The average number of bit used for fixed 3-bit code :

$$L_{avg} = \sum_{k=0}^{L-1} l(r_k) p_r(r_k)$$

$$= 3(0.19) + 3(0.25) + 3(0.21) + 3(0.16) + 3(0.08) + 3(0.06) + 3(0.03) + 3(0.02)$$

$$= 3 \quad bits$$

The average number of bit used for variable length code :

$$L_{avg} = \sum_{k=0}^{L-1} l(r_k) p_r(r_k)$$

$$= 2(0.19) + 2(0.25) + 2(0.21) + 3(0.16) + 4(0.08) + 5(0.06) + 6(0.03) + 6(0.02)$$

$$= 2.7 \quad bits$$

# Fixed Length Coding VS. Variable Length Coding

The compression ratio :

$$C_R = \frac{n_1}{n_2}$$
 ---- Fixed 3-bit code
$$= \frac{3}{2.7} = 1.11$$

The relative Data Redundancy :

$$R_D = 1 - \frac{1}{C_R}$$
  
=  $1 - \frac{1}{1.11} = 0.099 \Rightarrow \approx \%10$ 

# **Elements of Information Theory**

- Measuring Information: The information in an image can be modeled as a probabilistic process, where we first develop a statistical model of the image generation process. The information content (entropy) can be estimated based on this model.
- The information per source (symbol or pixel), which is also referred as entropy is calculated by :

$$e = -\sum_{j=1}^{J} P(a_j) \log_2 P(a_j)$$

• Where  $P(a_j)$  refers to the source symbol/pixel probabilities. J refers to the number of symbols or different pixel values.

# Measuring Information

For example, given the following gray scale image :

16	16	16	90	164	238	238	238
16	16	16	90	164	238	238	238
16	16	16	90	164	238	238	238
16	16	16	90	164	238	238	238

# Measuring Information

The entropy of the given gray scale mage can be calculated by :

<b>Gray Level</b>	Count	Probability
16	12	3/8
90	4	1/8
161	4	1/8
238	12	3/8

The entropy of this image is calculated by :

$$e = -\sum_{j=1}^{J} P(a_j) \log_2 P(a_j)$$

$$= -[(3/8) \log_2(3/8) + (1/8) \log_2(1/8) + (1/8) \log_2(1/8) + (3/8) \log_2(3/8)]$$

$$= 1.81 \ bits / pixel$$

- Huffman Coding: The Huffman coding involves the following 2 steps.
  - 1) Create a series of source reductions by ordering the probabilities of the symbols and combining the lowest probability symbols into a single symbol and replace in the next source reduction.
  - 2) Code each reduced source starting with the smallest source and working back to the original source. Use 0 and 1 to code the simplest 2 symbol source.

• 1) Huffman source reductions :  $a_i$ 's corresponds to the available gray levels in a given image.

Origina	Source reduction				
Symbol	Probability	1	2	3	4
$a_2 \\ a_6 \\ a_1 \\ a_4 \\ a_3 \\ a_5$	0.4 0.3 0.1 0.1 0.06	0.4 0.3 0.1 0.1	0.4 0.3 	0.4 0.3 • 0.3	➤ 0.6 0.4

• 2) Huffman code assignments: The first code assignment is done for  $a_2$  with the highest probability and the last assignments are done for  $a_3$  and  $a_5$  with the lowest probabilities.

Original source				Source reduction						
Sym.	Prob.	Code	1	l	2	2	3	3	4	
$a_2$	0.4	1	0.4	1	0.4	1	0.4	1 _	-0.6 0	
$a_6$	0.3	00	0.3	00	0.3	00	0.3	00	0.4 1	
$a_1$	0.1	011	0.1	011	-0.2	010	-0.3	01 🔫	1	
$a_4$	0.1	0100	0.1	0100	0.1	011 🕶			- 1 - 1	
$a_3$	0.06	01010-◀	-0.1	0101 -	<b>€</b> J				1	
$a_5$	0.04	01011 🚤							į	
-										
_ast code	·	· · · · · ·						Fir	rst code	

The code is instantaneous uniquely decodable without referencing succeeding symbols.

- Note that the shortest codeword (1) is given for the symbol/pixel with the highest probability  $(a_2)$ . The longest codeword (01011) is given for the symbol/pixel with the lowest probability  $(a_2)$ .
- The average length of the Huffman code is given by :

$$L_{avg} = (0.4)(1) + (0.3)(2) + (0.1)(3) + (0.1)(4) + (0.06)(5) + (0.04)(5)$$
$$= 2.2 \quad bits / symbol$$

The entropy of the Huffman code is given by :

$$e = -\sum_{j=1}^{J} P(a_j) \log_2 P(a_j)$$
$$= 2.14 \quad bits / symbol$$

Huffman code efficiency is given by :

$$C = \frac{e}{L_{avg}} \times 100$$

#### where

 $L_{avg}$  is the average length of the Huffman code e is the entropy of the Huffman code

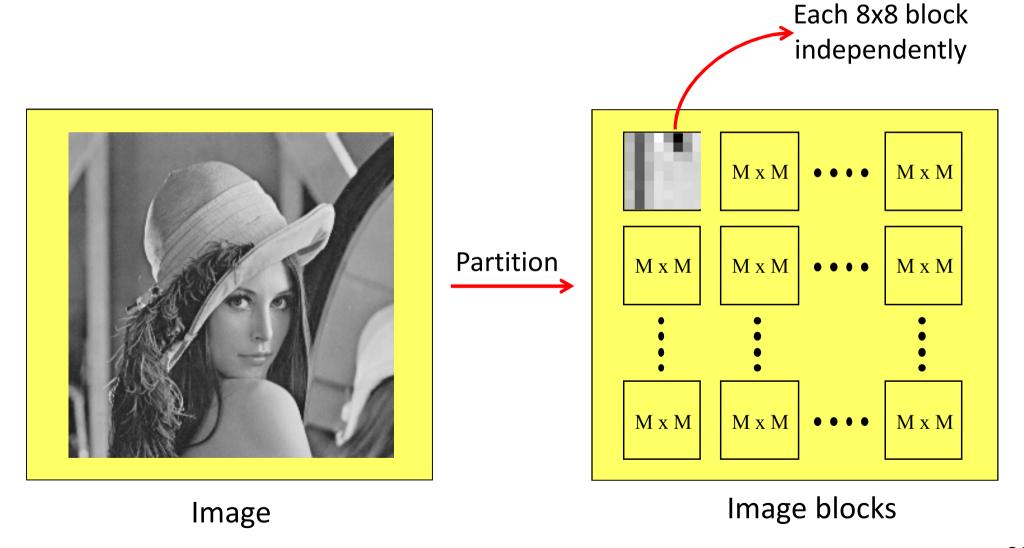
■ The resulting Huffman coding efficiency is 97.3% ((2.14/2.2)\*100).

### JPEG compression

- Story of JPEG
  - Joint Photographic Expert Group
- International Organization for Standards (ISO)
  - 1988: ISO got together a group of experts to develop a good image compression scheme
    - Geared towards photographs of natural imagery
    - Color and monochrome
    - Easy to use (spin-dial quality control)
  - Through empirical testing, the following scheme proved to be the best
  - Standardized in August 1990

### **Block partition**

■ First, the image is divided into 8×8 non-overlapping blocks, which are processed left to right, top to bottom.



## Example of image block

As an example, 8×8 block of 8-bit image might be:

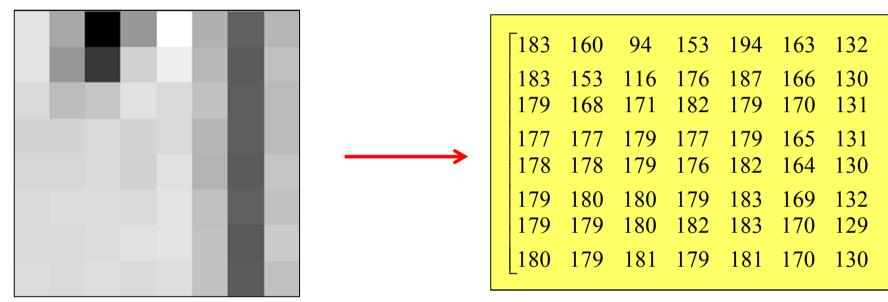
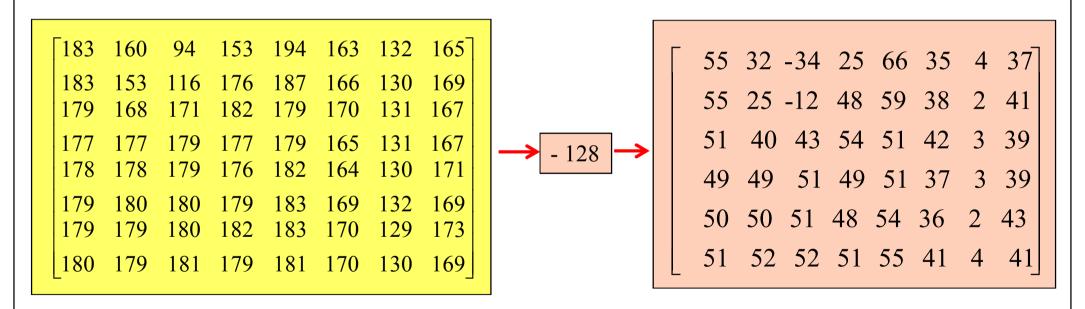


Image block

# Shift value procedure

- Before computing the DCT of the image block, its gray values are shifted from a positive range to one centered around zero.
- For an 8-bit image each pixel has 256 possible values: [0,255]. To center around zero it is necessary to subtract by half the number of possible values, or 128.



■ As an example, the first number is derived from 183 - 128 = 55.

# Discrete Cosine Transform (DCT)

■ 2D-DCT: 
$$G_{u,v} = \sum_{x=0}^{7} \sum_{y=0}^{7} \alpha(u)\alpha(v)g_{x,y} \cos\left[\frac{\pi}{8}\left(x + \frac{1}{2}\right)u\right] \cos\left[\frac{\pi}{8}\left(y + \frac{1}{2}\right)v\right]$$

#### Image block

DCT low

frequency

high frequency

low frequency

high frequency

313.0 55.8-27.1 17.8 78.0 -59.8 26.7-26.6 -38.2-27.2 13.2 44.3 31.7 -0.9 -23.8 -9.9 -20.1 -17.4 9.8 32.8 21.2 -6.1 -15.8 -8.6 -10.3 -8.0 8.7 16.5 8.8 -10.2 -12.9 0.5 -6.3 1.2 6.4 4.0 -3.3 -7.5 -5.4 5.3 2.5 3.3 0.4 -2.6 -6.8 -3.8 0.5 2.5 3.7 4.4 -1.1 -2.0 -9.0 -0.2 2.4 3.5 2.5 1.1 -0.4 -3.6 -1.6 -1.0 3.1 1.5

DCT block

#### Quantization table

- The human eye is good at seeing small differences in brightness over a relatively large area, but not so good at distinguishing the exact strength of a high frequency brightness variation. This allows one to greatly reduce the amount of information in the high frequency components.
- The JPEG recommended luminance and chrominance quantization tables can be scaled to provide a variety of compression levels (select the quality of JPEG compression).

#### Luminance

<u>[16</u>	11	10	16	24	40	51	61	
12	12	14	19	26	58	60	55	
14	13	16	24	40	57	69	56	
14	17	22	29	51	87	80	62	
18	22	37	56	68	109	103	77	
24	35	55	64	81	104	113	92	
49	64	78	87	103	121	120	101	
<b>7</b> 2	92	95	98	112	100	103	99_	

#### Chrominance

<u> 17</u>	18	24	47	99	99	99	99 -	
18	21	26	66	99	99	99	99	
24	26	56	99	99	99	99	99	
47	66	99	99	99	99	99	99	
99	99	99	99	99	99	99	99	
99	99	99	99	99	99	99	99	
99	99	99	99	99	99	99	99	
99	99	99	99	99	99	99	99	

### Quantization procedure

#### DCT block

# 313.0 55.8-27.1 17.8 78.0 -59.8 26.7-26.6 -38.2-27.2 13.2 44.3 31.7 -0.9 -23.8 -9.9 -20.1 -17.4 9.8 32.8 21.2 -6.1 -15.8 -8.6 -10.3 -8.0 8.7 16.5 8.8 -10.2 -12.9 0.5 -6.3 1.2 6.4 4.0 -3.3 -7.5 -5.4 5.3 2.5 3.3 0.4 -2.6 -6.8 -3.8 0.5 2.5 3.7 4.4 -1.1 -2.0 -9.0 -0.2 2.4 3.5 2.5 1.1 -0.4 -3.6 -1.6 -1.0 3.1 1.5

#### Quantization table

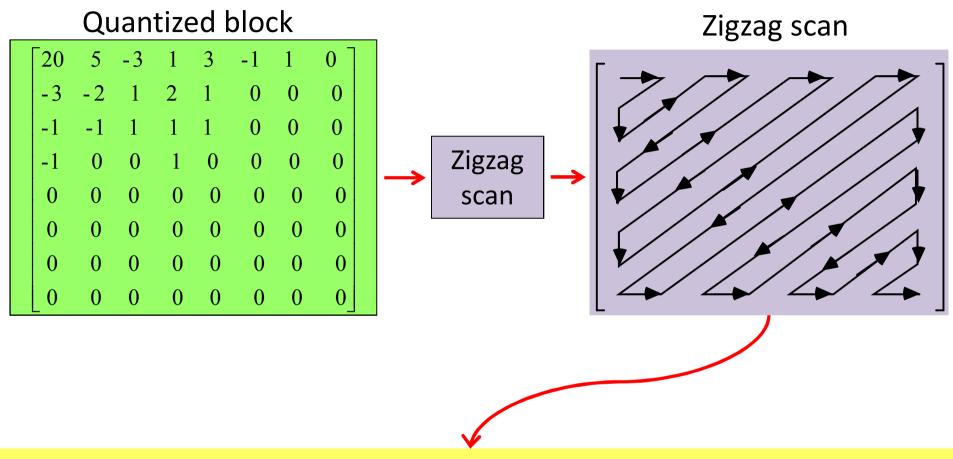
#### Quantized block

round 
$$\left(\frac{313}{16}\right) = 20$$

~											
(	20	5	-3	1	3	-1	1	0			
	-3	- 2	1	2	1	0	0	0			
	-1	-1	1	1	1	0	0	0			
	-1	0	0	1	0	0	0	0			
	0	0	0	0	0	0	0	0			
	0	0	0	0	0	0	0	0			
	0	0	0	0	0	0	0	0			
	0	0	0	0	0	0	0	0			

Round

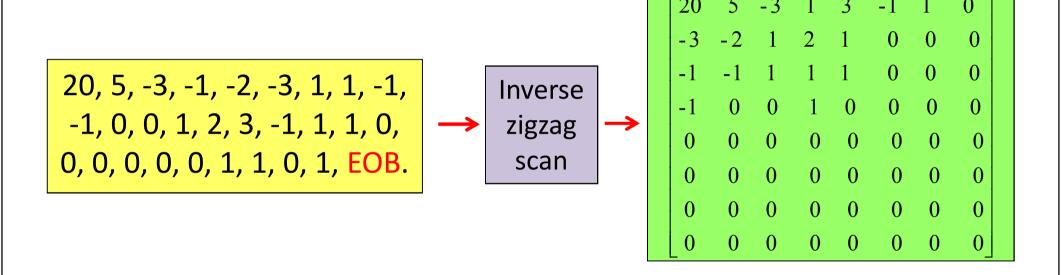
# Zigzag procedure



- Result = 20, 5, -3, -1, -2, -3, 1, 1, -1, -1, 0, 0, 1, 2, 3, -1, 1, 1, 0, 0, 0, 0, 0, 0, 1, 1, 0, 1, EOB.
- EOB symbol denotes the end-of-block condition.

### Inverse zigzag procedure

Decoding to display the image consists of doing all the above in reverse. Taking the DCT coefficient matrix (after adding the difference of the DC coefficient back in).



## Inverse Quantization procedure

```
      20
      5
      -3
      1
      3
      -1
      1
      0

      -3
      -2
      1
      2
      1
      0
      0
      0

      -1
      -1
      1
      1
      1
      0
      0
      0

      -1
      0
      0
      0
      0
      0
      0
      0

      0
      0
      0
      0
      0
      0
      0
      0

      0
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      0
      0
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      0
      0

      0
      0
      0
      0
      0
      0
      0
      0
```



```
      16
      11
      10
      16
      24
      40
      51
      61

      12
      12
      14
      19
      26
      58
      60
      55

      14
      13
      16
      24
      40
      57
      69
      56

      14
      17
      22
      29
      51
      87
      80
      62

      18
      22
      37
      56
      68
      109
      103
      77

      24
      35
      55
      64
      81
      104
      113
      92

      49
      64
      78
      87
      103
      121
      120
      101

      72
      92
      95
      98
      112
      100
      103
      99
```

```
72 - 40
                              51
320
      55
          - 30
                16
-36 - 24
                38
                    26
           14
                                   0
                               0
                     40
                               0
     -13
           16
                24
-14
                29
                      0
                               0
                                   0
       0
                                0
                               0
                      0
                                0
       0
             0
       0
                      0
                                0
```

## **Inverse Discrete Cosine Transform (IDCT)**

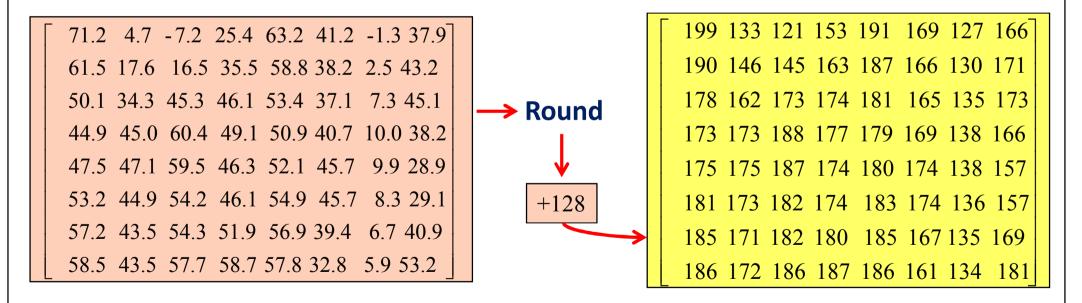
Inverse 2D-DCT:  $f_{x,y} = \sum_{u=0}^{7} \sum_{v=0}^{7} \alpha(u)\alpha(v) F_{u,v} \cos \left[ \frac{\pi}{8} \left( x + \frac{1}{2} \right) u \right] \cos \left[ \frac{\pi}{8} \left( y + \frac{1}{2} \right) v \right]$ 

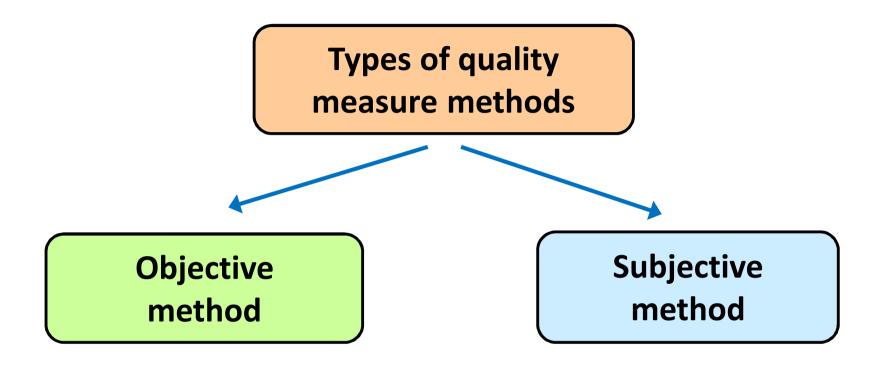
IDCT

```
71.2 4.7 -7.2 25.4 63.2 41.2 -1.3 37.9 61.5 17.6 16.5 35.5 58.8 38.2 2.5 43.2 50.1 34.3 45.3 46.1 53.4 37.1 7.3 45.1 44.9 45.0 60.4 49.1 50.9 40.7 10.0 38.2 47.5 47.1 59.5 46.3 52.1 45.7 9.9 28.9 53.2 44.9 54.2 46.1 54.9 45.7 8.3 29.1 57.2 43.5 54.3 51.9 56.9 39.4 6.7 40.9 58.5 43.5 57.7 58.7 57.8 32.8 5.9 53.2
```

## Inverse shift value procedure

- After that, rounding the output to integer values, and adding 128 to each entry. The decompression process may produce values outside of the original input range of [0,255].
- If this occurs, the decoder needs to clip the output values keep them within that range to prevent overflow when storing the decompressed image with the original bit depth.





#### Objective quality measures (by formulate a criterion):

• The mean-square error between an original image  $\hat{f}(x,y)$  and compressed image  $\hat{f}(x,y)$ :

$$e_{MSE} = \frac{1}{MN} \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} \left[ \hat{f}(x, y) - f(x, y) \right]^{2}$$

where the images are of size  $M \times N$ .

Root-mean-square error :

$$e_{RMSE} = \sqrt{\frac{1}{MN} \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} [\hat{f}(x,y) - f(x,y)]^2}$$

The smaller the value of  $e_{\it RMSE}$  , the better the compressed image represents the original image.

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Mean-square signal-to-noise ratio :

$$SNR_{ms} = \frac{\sum_{x=0}^{M-1} \sum_{y=0}^{N-1} \hat{f}(x,y)^{2}}{\sum_{x=0}^{M-1} \sum_{y=0}^{N-1} \left[ \hat{f}(x,y) - f(x,y) \right]^{2}}$$

Peak signal-to-noise ratio (PSNR) – in decibel (dB) :

$$PSNR = 10(\log_{10}) \frac{(L-1)^2}{e_{MSE}}$$

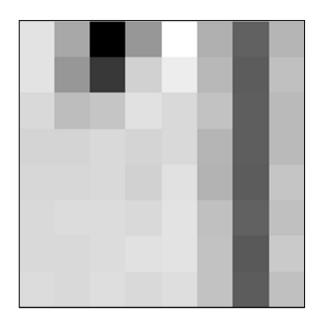
where *L* is the number of gray levels.

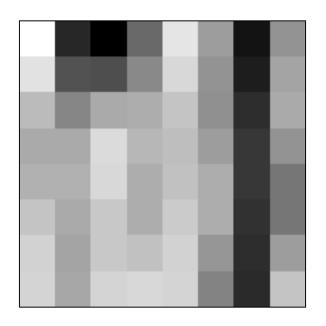
### Subjective quality measures (by human observer):

This can be done by showing the compressed image to a group of viewers and averaging their evaluations. The evaluations may be made using an absolute rating scale.

Value	Rating	Description
1	Excellent	An image of extremely high quality, as good as you could desire.
2	Fine	An image of high quality, providing enjoyable viewing. Interference is not objectionable.
3	Passable	An image of acceptable quality. Interference is not objectionable.
4	Marginal	An image of poor quality; you wish you could improve it. Interference is somewhat objectionable.
5	Inferior	A very poor image, but you could watch it.  Objectionable interference is definitely present.
6	Unusable	An image so bad that you could not watch it.

### Original block VS. Decompressed block





Notice the slight differences between the original (left) and decompressed image (right), which is most readily seen in the bottom-left corner.

### Difference results

■ The decompressed block can be compared to the original block by taking the difference results in the following error values:

With an average absolute error of about 9 values per pixels.

(i.e., 
$$\frac{1}{64} \sum_{x=0}^{7} \sum_{y=0}^{7} |e(x,y)| = \mathbf{8.4705}$$

Adjust Quantization Step to Achieve Tradeoff between CR and distortion.



Original: 100KB



JPEG: 9KB



JPEG: 5KB

Artifacts:

Inside blocks: blurring (why?); Across blocks: blocking (why?)



File size = 83,261 byte, Highest quality (Q = 100).



File size = 15,138 byte, High quality (Q = 50).



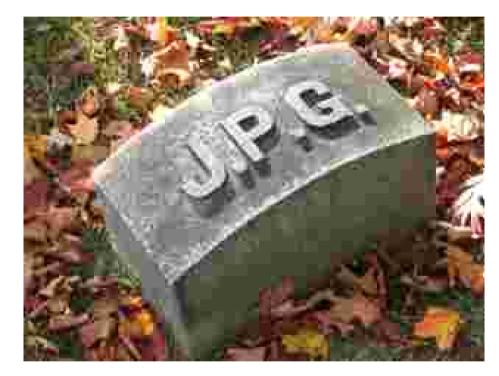
File size = 83,261 byte, Highest quality (Q = 100).



File size = 15,138 byte, High quality (Q = 50).



File size = 9,553 byte, Medium quality (Q = 25).



File size = 4,787 byte, Low quality (Q = 10).



File size = 1,523 byte, Lowest quality (Q = 1).



File size = 83,261 byte



File size = 9,553 byte



File size = 15,138 byte



File size = 4,787 byte



File size = 1,523 byte

