

# Decorrelating Errors in Quantum Gates by Random Gate Synthesis

Anthony Polloreno\*  
Rigetti Computing, Berkeley, CA

Kevin C. Young  
Sandia National Laboratories, Livermore, CA  
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Thresholds for fault-tolerant quantum computation are often calculated assuming a noise model in which errors are uncorrelated. While convenient for simulation, these error models are often unphysical. Work by Preskill and others has shown that arbitrarily long computations may be performed even in the presence of spatial correlation, provided the correlation is sufficiently weak and decays sufficiently quickly with distance, but at the cost of a significantly lower threshold. The success of algebraic decorrelation methods, such as dynamical decoupling, demonstrate that quantum control techniques are capable of reducing temporal noise correlations. We propose to introduce similar methods at the gate synthesis level to effect the temporal decorrelation of errors in quantum circuits, thereby increasing the threshold for fault-tolerant computation in such systems.

## I. INTRODUCTION

Steady progress has been made in the theory of quantum error correction, proving higher thresholds for increasingly general models of noise [1] [more recent citations] KCY. These results show that quantum computation is feasible in principle, however recent NISQ [2] devices have noise that is not only often above thresholds, but that also violates fundamental assumptions made by the models used in these results, such as Markovianity [3] and independence of errors [citation needed]. With these assumptions violated, promises about system performance and correctness are difficult to make.

Moreover, there are many ways in which device noise can fail to be Markovian, [4–6], and even when the noise *is* Markovian, many models make simplifying assumptions about the structure of the noise. For instance, Pauli channels are often used to model systems [citation needed] due to their classical simulability [citation needed], despite most noise sources being poorly approximated by Pauli channels. [citation needed]. Some authors have then taken the approach of using these easily-understood models to upper bound the error in a real system [7]. This approach is correct and rigorous, but produces overly-pessimistic bounds on device performance.

Some characterization routines take a different approach, and assume that the noise is well-behaved. For example, randomized benchmarking and tomography will simply report incorrect answers without any syndrome in the case of non-Markovian noise [8], and gateset tomography will report that the gateset failed to be Markovian.

Other authors have considered the problem of coherent error at the logical gate level, considering over rotations that might arise from gate approximation [9, 10], and others have considered methods of *twirling* error,

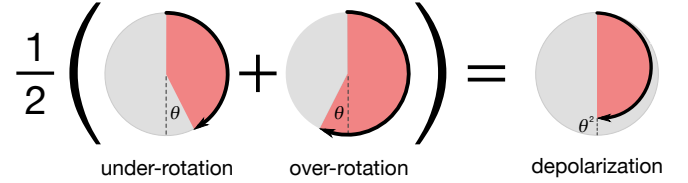


FIG. 1. An example of a balanced control solution. Using optimal control, two implementations of a  $Z_\pi$  gate are designed to have equal and opposite sensitivity to errors (if one implementation over-rotates by angle  $\theta$ , then the other *under*-rotates by  $\theta$ ). Each time the gate is used, one of these implementations is chosen at random. The resulting quantum channel is equivalent to a perfect implementation of the gate followed by dephasing of  $\mathcal{O}(\theta^2)$ .

such that it turns into a Pauli channel through random compilation methods [11, 12].

In this Letter, we take a different approach to address the issues of coherent and non-Markovian error. We propose to inject additional decorrelating randomness into the system during gate synthesis through the use of *balanced control solutions* (BCSs). BCSs are families of control solutions that approximate the same target gate, but with balanced errors for any given instance of the noise Hamiltonian. That is, for a target gate,  $U_T$ , we seek a family of control solutions,  $c_i(t)$ , each implementing an approximation  $U_i$  to the target gate, such that the family of unitary approximations is *balanced*. A balanced family is one which satisfies, for some small  $\alpha$ ,

$$\frac{1}{N} \sum_{i=1}^N \omega_i U_i \rho U_i^\dagger = \text{DPN}[\alpha] \left( U_T \rho U_T^\dagger \right) \quad (1)$$

Where  $\text{DPN}[\alpha](\rho)$  is a *generalized* depolarizing noise channel with strength  $\alpha$ . (For the rest of this paper, we will refer to them as just depolarizing channels.) Such a channel is defined as:

$$\text{DPN}[\alpha](\rho) \rightarrow (1 - \alpha)\rho + \alpha \sum p_i \sigma_i \rho \sigma_i \quad (2)$$

\* Email: anthony@rigetti.com

with  $p_i$  summing to one. This means that on average, the unitary approximations implement the target unitary followed by a small depolarizing channel.

One property of BCSs is that if each approximation of the target gate is correct to within  $\epsilon$  in operator norm and  $\alpha \leq \epsilon^2$ , then the diamond distance of the BCS to  $U_T$  is also no larger than  $\epsilon^2$ . This follows from the mixing lemma proven by Campbell and Hastings in [9] and [10]. This tells us that we can lower bound the worst case performance of a BCS, and because depolarizing, correlations in the noise are reduced, and by generating controls that produce BCSs over a range of parameter values, a system can be made less sensitive to non-Markovian errors, e.g. drift. In this paper, the task of constructing the BCSs will fall to optimal control. We will give numerical results showing that the fidelity of the new approximation to  $U_T$  is of the same order as the constituent controls.

## II. A SIMPLE EXAMPLE

As a somewhat trivial example, consider a single-qubit rotation-angle error, such as result from stochastic laser amplitude fluctuations. A BCS may consist of an  $X_\pi$  pulse, as well as an  $\bar{X}_\pi$  pulse (i.e., a clockwise and counter clockwise rotation of the qubit). In the case of excess amplitude, the  $X_\pi$  pulse will result in an over-rotation error, while the  $\bar{X}_\pi$  pulse results in an *under*-rotation error. When it comes time to perform the target gate in a quantum circuit, one member of the BCS is chosen uniformly at random. This has the effect of decreasing the norm of the noise channel and decorrelating the over-rotation error (Figure 1). In this simple example, we can solve the minimization problem given by equation 9 analytically. In particular, if we choose the weights in 1 such that  $\omega_i = 1$ , and we choose to represent our gates in the vectorized superoperator representation, then:

$$\begin{aligned} & \frac{1}{2}(X_{\pi+\epsilon}^* \otimes X_{\pi+\epsilon} + \bar{X}_{\pi+\epsilon}^* \otimes \bar{X}_{\pi+\epsilon}) \\ &= (\sin^2 \frac{\pi+\epsilon}{2} I \otimes I + \cos^2 \frac{\pi+\epsilon}{2} X \otimes X) X \otimes X \quad (3) \\ &\approx ((1-\epsilon^2)I \otimes I + \epsilon^2 X \otimes X) X \otimes X \end{aligned}$$

Therefore, for a rotational error of angle  $\epsilon > 0$ , we see that  $X_\pi$  and  $\bar{X}_\pi$  form a BCS, with  $\alpha \approx \epsilon^2$ .

## III. OPTIMAL CONTROL PROBLEMS

### A. Random Gate Synthesis

Generating BCSs can be done in a variety of ways, using any quantum optimal control technique [13, 14] to find a family of controls. For simplicity in this paper we use the GRAPE algorithm to generate candidate pulse-shapes to approximate the target gate. First described

in [15], the GRAPE (GRAdient Ascent Pulse Engineering) algorithm is a technique for finding piecewise constant control sequences that approximate a desired unitary,  $U_T$ . Defining our uncontrolled Hamiltonian as  $H_0$ , our control Hamiltonians as  $H_{i \neq 0}$ , and our *control matrix*  $u_{ij}$  as containing control amplitude associated with the  $i^{th}$  time step and the  $j^{th}$  hamiltonian, we can write our approximate unitary at any timestep as

$$U_i = \exp\{-i\Delta t(H_0 + \sum_{j=1}^n u_{ij}H_j)\} \quad (4)$$

Then, to measure the similarity of our approximate unitary  $U_n$  to our target unitary  $U_T$ , we can define a cost function  $J(U) = \text{Tr}\{U_T^\dagger U_n\}$ .

To optimize this cost function we can perform the following standard update loop for some threshold value  $\epsilon > 0$  and step size  $\delta > 0$ :

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#### Gradient Ascent

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while  $J(U_n) < (1 - \epsilon)$  do
   $u_{ij} \rightarrow u_{ij} + \delta \frac{\partial J(U)}{\partial u_{ij}}$ 
  for  $1 \leq i \leq n$  do
     $U_i \rightarrow \exp\{-i\Delta t(H_0 + \sum_{j=1}^n u_{ij}H_j)\}$ 
  end for
   $U \rightarrow \prod_{i=1}^n U_i$ 
end while

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In general these gradients can be computed by propagating partial derivatives of the cost function with respect to control parameters through each timestep of the via the chain rule. However, in [15] Khaneja et al. derive a simple update formula that is correct to first order. In particular one can show that:

$$\begin{aligned} \frac{\partial J(U)}{\partial u_{ij}} &= -2\text{Re}\{\langle U_{j+1}^\dagger \dots U_N^\dagger U_T | i\Delta t H_j U_j \dots U_1 \rangle \\ &\quad \langle U_j \dots U_1 | U_{j+1}^\dagger \dots U_N^\dagger U_T \rangle\} + \mathcal{O}(\Delta t^2) \end{aligned} \quad (5)$$

In our numerical results in Section IV A and IV B, we have modified the update step in 5 to instead use approximately the following gradient:

$$\int p(\vec{\delta}) \frac{\partial J(U(\vec{\delta}))}{\partial u_{ij}} d\vec{\delta} \quad (6)$$

with  $p(\vec{\delta})$  Gaussian distributed. This technique has been used in previous works such as [16] to ensure that the optimal control results are robust over a wide range of errors, and we approximate this integral in this paper by using Gaussian quadrature.<sup>[citation needed]</sup> Doing this ensures that the family of controls produced by our routine,  $U_i$ , perform moderately well over a range of control errors that might occur.

Concretely we consider a Hamiltonian of the following form:

$$H(t) = \delta_0 H_0 + \sum_{i=1}^n (1 + \delta_i) c_i(t) H_i \quad (7)$$

for control Hamiltonians  $H_i$ , free evolution Hamiltonian  $H_0$  and random variables  $\delta_i$ , that model some small uncertainty in parameters in the Hamiltonian. Such a model might describe a superconducting qubit quantum processor where control amplitudes for the RF pulses vary over time, or a trapped ion quantum computer where the intensity, frequency, and phase of the laser might drift over time.<sup>[17]</sup> Correlations between different  $\delta_i$  might arise if two of the controls have the same noise source. Examples of shared noise sources include  $RX$  and  $RY$  gates in superconducting qubit architectures might use the same AWG and pulse envelope, and diurnal temperature drift of control electronics. <sup>[citation needed]</sup>

## B. BCS Approximation

After using GRAPE or another optimal control routine to synthesize a collection of controls, we must find the weights  $w_i$  such that the collection of controls form a BCS as described in (1). To do this, for each control  $U_i$  we find the unitary error channel  $\mathcal{E}_i$  such that  $\mathcal{E}_i U_i = U_T$ , where  $U_T$  is the target gate. If we consider the Pauli-Liouville representation<sup>[18]</sup> of this error channel, the diagonal terms are the *stochastic* terms that arise from classical uncertainty, while the off-diagonal terms may more generally arise from *coherent* operations. In particular, we see that we can write a convex sum over these channels as:

$$\frac{1}{N} \sum_{i=1}^N w_i \mathcal{E}^\dagger(U_T \rho U_T^\dagger) \mathcal{E} \quad (8)$$

Now, to approximate a depolarizing channel we define our optimal control problem to be the following, which minimizes the off-diagonal terms:

$$\begin{aligned} & \underset{w_0, \dots, w_N}{\text{minimize}} \left\{ \sum_{\substack{i,j \\ i \neq j}}^N |\sigma_i \Lambda(\sigma_j)|^2 \right\} \\ & \text{where } \Lambda(\sigma_j) := \sum_{i=1}^N w_i \mathcal{E}_i^\dagger \sigma_j \mathcal{E}_i \quad (9) \\ & \text{subject to } \sum_{i=1}^N w_i = 1 \end{aligned}$$

This can be solved with a constrained minimization algorithm, such as Sequential Least Squares Programming<sup>[19]</sup>.

Previous authors have considered minimizing the diamond distance to the nearest Pauli or Clifford Channel<sup>[20]</sup>, and while this gives a good theoretical framework, it requires optimizing over the diamond norm, and in particular does not have the restriction that the optimal channel be decomposed into a given family of controls. Our routine, on the other hand, optimizes over an easy to compute sum, and produces a channel defined in terms of our collection of pulseshapes.

## IV. NUMERICAL RESULTS

### A. 1Q Gates

In this section, we present numerical results on generating one-qubit gates that together with  $RZ(\theta)$  rotations are universal for one-qubit computation. Our control Hamiltonian is given as:

$$H = \epsilon \sigma_z + (1 + \delta)(c_x(t)\sigma_x + c_y(t)\sigma_y) \quad (10)$$

where  $\epsilon \sim \delta \sim \mathcal{N}(0, .001)$  We assume that the errors on  $\sigma_x$  and  $\sigma_y$  are perfectly correlated, as mentioned in Section III. In our simulation we chose an total evolution time of  $T = \pi$ , and a number of steps  $N = 100$ , with a threshold infidelity of  $1E - 3$ .

The results can be seen in ??, where we have plotted each control as a function of one detuning value, fixing the others to zero. In the top half of each plot, we show the variation in the sum of the absolute value of the off diagonal elements of the Pauli-Liouville Representation, while in the lower plot we see the variation in fidelity. While the controls were optimized by considering Gaussian noise with  $\sigma = .001$  for each control, we have plotted the performance of the controls over a wider range to show more structure. We see over an order of magnitude improvement in the sum of the absolute values of the off-diagonal elements, while the fidelity remains above the specified target.

### B. 2Q Gates

In this section, we present numerical results on a generating one and two-qubit gates that together with  $RZ(\theta)$  rotations are universal for two-qubit computation. Our control Hamiltonian is given as:

$$\begin{aligned} H = & \sum_{j=1}^2 (\epsilon_j \sigma_z^j + (1 + \delta_j)(c_x^j(t)\sigma_x^j + c_y^j(t)\sigma_y^j)) \\ & + \exp\left(-i \frac{\sigma_z^1 \otimes \sigma_z^2}{4}\right) \end{aligned} \quad (11)$$

We again assume that the standard deviations are .001 on all parameters  $\delta_j$  and  $c_i^j$  and that errors on the single qubit  $\sigma_x$  and  $\sigma_y$  rotations are perfectly correlated. In this simulation we again had a threshold infidelity of  $1E - 3$ , but we increased the total evolution time to  $T = 4\pi$ , and increased the number of steps to  $N = 400$  so that the size of each time step was the same as in the one qubit example, however the total evolution time was greater to allow GRAPE more opportunities to find non-trivial pulseshapes.

As in ??, the results of these numerics can be visualized in ??. Notably, even in the two qubit case where optimization over many controls becomes more difficult, we see a decrease in the magnitude of the off-diagonal terms by over an order of magnitude.

## V. EXPERIMENTAL RESULTS

Here we present experimental results from implementing our routine on a superconducting transmon qubit. In this experiment we intentionally over and under-calibrated four pulses enacting approximate  $RX(\frac{\pi}{2})$  rotations. For each instantiation of the rotation, in addition to the BCS for the four pulses and a calibrated pulse, we ran a randomized benchmarking experiment.[21] The results are plotted in 2. In each case, our Clifford sequences were decomposed into  $RX(\frac{\pi}{2})$  and  $RY(\frac{\pi}{2})$  pulses. In the superconducting qubit architecture used, these gates are implemented using the same pulse envelope definition, and are therefore subject to the same miscalibration errors. It was shown in [22] that for particular non-Markovian (*quasi-static*) error models, noise will manifest as gamma distributed points for each sequence length. On the other hand, Markovian noise, such as depolarizing noise, will result in Gaussian distributed fidelity estimates for each randomized benchmarking sequence length. Our results are consistent with this [\[explainhow, givennewplot\]AMP](#)

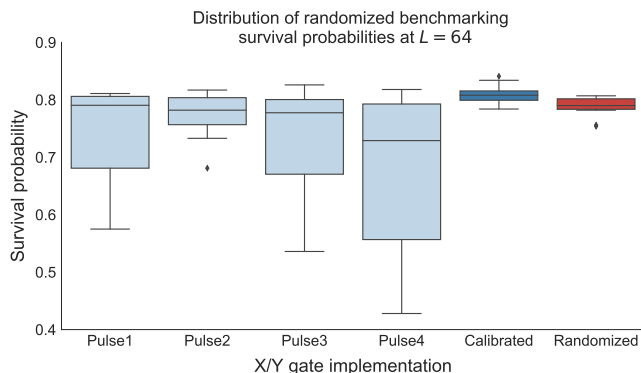


FIG. 2. Randomized benchmarking experiments ran using different pulse definitions. The four plots on the left are from the incorrectly calibrated pulse, while the top right is the calibrated pulse, and the bottom right is the BCS.

## VI. CONCLUSION AND FUTURE WORK

We have shown both numerically and experimentally that drawing from a collection of different synthesized

version of a gate with optimized probabilities can reduce coherent error by at least an order of magnitude, at virtually no cost to gate fidelity. We have demonstrated that these approximate controls can be generated through optimal control, and that the minimization problem is tractable. In addition, we have shown that it is possible to perform the routine on existing hardware. We note that unlike in [9, 11, 12] this method of coherent error mitigation is cheap to implement - it only requires a random choice of pulse definition at run time, in addition to the extra storage required to store the pulse definitions. In particular, no classical preprocessing or runtime frame tracking is required.

Future directions for this work include moving the random gate selection from a precompilation step to a run-time logic, investigating other optimization routines such as CRAB [13] and GOAT[14], and using more precise benchmarking routines such as GST[5] to more quantitatively investigate the performance of these routines.

In addition, the numerical work in the paper assumes access to a model of the system. In general, an experimentalist may not have a model readily available to describe the system, e.g. in the presence of unknown on-chip crosstalk. Even if they do have access to such a model, it might be computationally intractable to simulate. In both of these situations, numerical generation of candidate pulseshapes and the minimization become infeasible. One approach would then be to use *in situ* optimal control techniques [23–25] to generate candidate controls, and then use an optimizer like Nelder-Mead to minimize the magnitude of off-diagonal terms as measured by simple pulse sequences that amplify those elements of the process matrix.<sup>[citation needed]</sup> The code used to generate the numerical results in this paper is available at [26].

## VII. ACKNOWLEDGEMENTS

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