

# Advantages of mixed unitary operators for quantum information processing

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## I. INTRODUCTION

The past decade has seen a dramatic increase in the performance and scale of quantum information processors (QIPs). Gate fidelities are now routinely in the 99% to 99.99% range, and dozens of individually-addressable qubits are becoming available on integrated devices. While these advances represent important steps forward on the path towards a computationally useful QIP, the quantum advantage milestone has yet to be definitively reached. The limiting factor, of course, is errors in the quantum gate operations.

The impact of an error in a quantum gate depends strongly on both the magnitude and the nature of the errors. Systematic, or *coherent*, errors can arise from poorly calibrated controls or imperfect gate compilations that induce repeatable, undesired unitary errors on the state of a QIP. Errors of this type are correlated in time and add up coherently. They are computationally expensive to model and it is difficult to place analytic bounds on circuit performance. Contrast this against random, or *stochastic*, errors, which result from high-frequency noise in the controls or the environment. Systems with stochastic errors can be modeled by defining a rate of various discrete errors in the system, such as a bit flip or phase flip. These errors are significantly easier to simulate on a classical computer, and their impact on quantum circuits is much easier to estimate.

Despite the relative ease of modeling stochastic errors, coherent errors are often much more likely to appear in QIPs. Drifting control parameters or environmental variables can easily have long correlation times that result in errors which is strongly coherent over the length of a quantum circuit. While these errors can often be reconstructed using various tomographic techniques, their impact is difficult to predict. The diamond distance can be used to estimate the failure probability of a quantum circuit, but the diamond distance can grow quadratically with repeated application of gate with coherent errors. For long circuits, this can add up extremely quickly. Recent work by Hastings and Campbell, however, has shown that coherent noise can be strongly suppressed by probabilistic sampling over various implementations of the target quantum gates. This averaging results in quantum

processes with diamond distances that grow only linearly quadratic in the over/under rotation angle of the component gates. [\[Clear this up.\]](#)

In this article we discuss various applications of mixed unitary controls, and show that the advantages of this approach can be made robust to drift in the target gates. We present an optimal control approach to the mixed unitary control design problem. We apply our methods in simulation where we construct single- and two-qubit mixed unitary controls which are robust to drift and uncertainty in the control parameters. We further present an experimental implementation of single-qubit mixed unitary controls on a superconducting qubit testbed at Rigetti Quantum Computing. Using randomized benchmarking, we are able to show a marked improvement in error rates, as well as a reduced variance in circuit outcome probabilities, indicating a reduction in the coherence of the error.

## II. MATHEMATICAL PRELIMINARIES

Quantum gate operations are implemented by applying a sequence of classical control fields to some set of qubits. Fluctuations in the environment or imperfections in the controls can cause the state of the qubits to change in a way that is different from what was intended. But if the gates are fairly stable with time and context, then we can describe their action on the qubit state using *process matrices*, linear, Markovian maps on the state of some qubits. When working with process matrices, it is convenient to write the system density operator using a vectorized representation, and in this article, we'll make use of the generalized Bloch vector,

$$\vec{\rho} = \text{Tr}(\rho \vec{\Sigma}), \quad (1)$$

where  $\vec{\Sigma}$  is a vector of all  $4^n$   $n$ -qubit Pauli operators. The action of a gate is then given by the usual matrix multiplication:

$$\rho \rightarrow \mathcal{G}\rho = \mathcal{E}\tilde{\mathcal{G}}\rho. \quad (2)$$

Here  $\mathcal{G}_i$  is the target operation,  $\tilde{\mathcal{G}}$  is the actual gate as implemented, and  $\mathcal{E}$  is the effective error channel.

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$$\left( \begin{array}{c|c} 1 & \vec{0} \\ \hline \vec{m} & R \end{array} \right) \quad (3)$$

The top row of all trace-preserving (TP) maps is fixed to  $\{1, 0, 0, 0, \dots\}$ . The rest of the first column,  $\vec{m}$ , describes any deviations from unitality, such as could arise from amplitude damping. If the error channel is unitary, then the error is coherent, and the submatrix  $R$  is perfectly antisymmetric, corresponding to a rotation of the generalized Bloch vector. If  $R$  is diagonal, then the error channel is Pauli stochastic, with each entry corresponding to the probability that the associated Pauli error occurs in each application of the gate. [Be more clear about what "associated" means here]. If  $R$  is symmetric but not diagonal, then the channel is still stochastic, but the random errors consist of correlated Pauli operators (such as  $X + Y$ ). For a single qubit, this describes everything, but the situation can be slightly more complicated for more qubits.

[Consider the vec representation] The channel that results is:

$$\mathcal{M} = \sum_i p_i U_i^* \otimes U_i \quad (4)$$

$$= \sum_i p_i \exp(i\theta \hat{n} \cdot \vec{\sigma}^*) \otimes \exp(-i\theta \hat{n} \cdot \vec{\sigma}) \quad (5)$$

$$\simeq \sum_i p_i (\cos \theta + i \sin \theta H^*) \otimes (\cos \theta - i \sin \theta H) \quad (6)$$

$$\simeq \sum_i p_i (\cos^2 \theta I \otimes I + \sin^2 \theta H^* \otimes H \quad (7)$$

$$+ i \sin \theta \cos \theta H^* \otimes I - i \sin \theta \cos \theta I \otimes H) \quad (8)$$

In this case,  $\sin^2 \theta$  is always positive, so we cannot hope to eliminate the second term, but  $\sin \theta \cos \theta$  may be positive or negative, and so there is hope that we could possibly combine various implementations to eliminate this term. The result would be a purely stochastic channel.

The *size* of an error in quantum gates may be quantified in a number of ways. Two of the most common metrics are the average gate fidelity,  $\mathcal{F}$ , and the diamond norm,  $\|\cdot\|_\diamond$ . These may be represented in terms of the error maps as

$$\|\mathcal{E}\|_\diamond = \sup_\rho \|(I \otimes I)(\rho) - (\mathcal{E} \otimes I)(\rho)\|_1 \quad (9)$$

$$\mathcal{F}(\mathcal{E}) = \dots \quad (10)$$

The diamond norm is generally linear in the over-rotation angle of a quantum operation. Also definition of average gate infidelity. The AGI is generally quadratic in the over-rotation angle of a quantum operation.

A mixed unitary channel consists of a set of unitary channels,  $\tilde{\mathcal{G}}_i$ , and associated weights,  $\sum_i p_i = 1$ . The

process matrices for a mixed unitary channel is then the weighted sum of the component channels,  $\tilde{\mathcal{G}}_M = \sum_i p_i \tilde{\mathcal{G}}_i$ , and the associated error channel is simply the weighted sum of the associated error channels,  $\mathcal{E}_M = \sum_i p_i \mathcal{E}_i$ .

Campbell considered the very important problem of minimizing the diamond norm of the resulting error channel. [Mention the mixing lemma of Campbell and how the Hamiltonians should form a convex set containing the origin []]. This is a particularly appealing target, because the diamond norm provides useful error metrics on quantum circuits. However, it is not the only optimization target that can be chosen. If the ultimate goal is to produce a channel whose effect can be easily simulated, then it may be useful instead to construct a channel whose errors are Pauli stochastic.

### III. EXAMPLE MIXED UNITARY PROCESSES

There are often many possibly ways of implementing any given target quantum gate. Hastings and Campbell, for instance, consider gates compiled using the Solovay-Kitaev algorithm, for which many approximate gate compilations are possible. By selecting from these various implementations at random, they show that the resulting quantum channel can be made to have significantly reduced coherent error. As a simple example of how this occurs, consider a scenario in which we have a single-qubit and four possible implementations of a  $\pi$ -pulse about the  $\sigma_x$  axis. The error channels for these four implementations are themselves unitary rotations about the  $\sigma_x$  axis with rotation angles of  $\{-2\epsilon, -\epsilon, \epsilon, 2\epsilon\}$ . Such a situation could appear, for instance, if there were amplitude errors on the fields used to affect the gates, and if the control could be implemented by a rotation about the positive or negative  $\sigma_x$  axis.

Gate	$H_{\text{eff}}$	AGI	$\ \cdot\ _\diamond$
$U_{+2\epsilon}$	$2\epsilon\sigma_x$	$4\epsilon^2$	$2\epsilon$
$U_{+\epsilon}$	$\epsilon\sigma_x$	$\epsilon^2$	$\epsilon$
$U_{-\epsilon}$	$-\epsilon\sigma_x$	$\epsilon^2$	$\epsilon$
$U_{-2\epsilon}$	$-2\epsilon\sigma_x$	$4\epsilon^2$	$2\epsilon$

[This example is really useful and should be expanded. We should discuss the various optimization problems, a mixed unitary strategy, the fidelity of the resulting channel.]

### IV. ROBUSTLY MIXED UNITARY PROCESSES

[Decide on naming convention, Anthony has used  $\ell$  MUP in the rest of the text]

[Anthony references this section when discussing minimizing the offdiagonal elements of the error's process matrix.]

While mixed unitary processes offer significant improvements to gate performance, they fail to take into

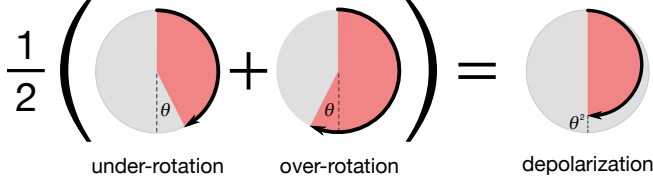


FIG. 1. An example of a balanced control solution. Using optimal control, two implementations of a  $Z_\pi$  gate are designed to have equal and opposite sensitivity to errors (if one implementation over-rotates by angle  $\theta$ , then the other *under*-rotates by  $\theta$ ). Each time the gate is used, one of these implementations is chosen at random. The resulting quantum channel is equivalent to a perfect implementation of the gate followed by dephasing of  $\mathcal{O}(\theta^2)$ .

account the reality that most control electronics experience drift over time scales relevant to QIP performance. Because of this drift, the quality of the MUP will degrade. Thus, we would like to design mixed unitary processes that are *robust* to this drift. A mixed unitary process is said to be robust to order  $\ell$  if for all  $1 \leq j \leq \ell$ :

$$\sum_k \omega_k \left( \sum_{n=0}^j D_k^n \right)^j = 0 \quad (11)$$

$$D_k^n = \frac{1}{n!} \frac{\partial^n}{\partial \delta_{i_1} \dots \partial \delta_{i_n}} H_k(t, \vec{\delta})|_{\delta=\vec{0}}$$

These conditions imply that an  $\ell^{\text{th}}$  order MUP ( $\ell$ MUP) is insensitive to the  $\ell^{\text{th}}$  order in drift in  $\vec{\delta}$ . To see this, write the error on each gate in the MUP as

$$U_j(\vec{\delta}) = \exp(-i(\tilde{H}_j(\vec{0}) + \frac{\partial}{\partial \delta_i} \tilde{H}_j(d\delta_i) + \frac{1}{2} \frac{\partial^2}{\partial \delta_i \partial \delta_k} \tilde{H}_j(d\delta_i d\delta_k) + \dots)) U_T \quad (12)$$

where  $\tilde{H}(\delta)$  generates the error  $U_j(\vec{\delta})U_T^\dagger$  over variations in  $\vec{\delta}$ . Then, by Taylor expanding  $U_j(\vec{\delta})U_T^\dagger$  one finds that the first  $\ell$  derivatives of an  $\ell$ RBC will be zero.

Following from Lemma 2 in [1], if  $0 \in \text{Conv}[\{\tilde{H}_i(t, \vec{\delta})\}]$ , where  $\text{Conv}$  is the convex hull of its arguments, then we know that  $\vec{\omega}$  exists that quadratically decreases the diamond norm. Then, following from the mixing lemma proven by both Campbell and Hastings[2], we can bound the diamond distance from a balanced control to  $U_T$  by  $\epsilon^2$ . We can relax Equation 11, to be

$$\sum_k \omega_k D_k^n = 0 \quad (13)$$

In this context, since we are assuming that the derivatives are all of norm  $\epsilon$ , the above condition guarantees that the error unitary be no worse than  $\sum^n \epsilon^2 \delta^n$  near the origin – all orders can be quadratically suppressed. Figure 2 gives geometric intuition for robustly mixed unitary processes.

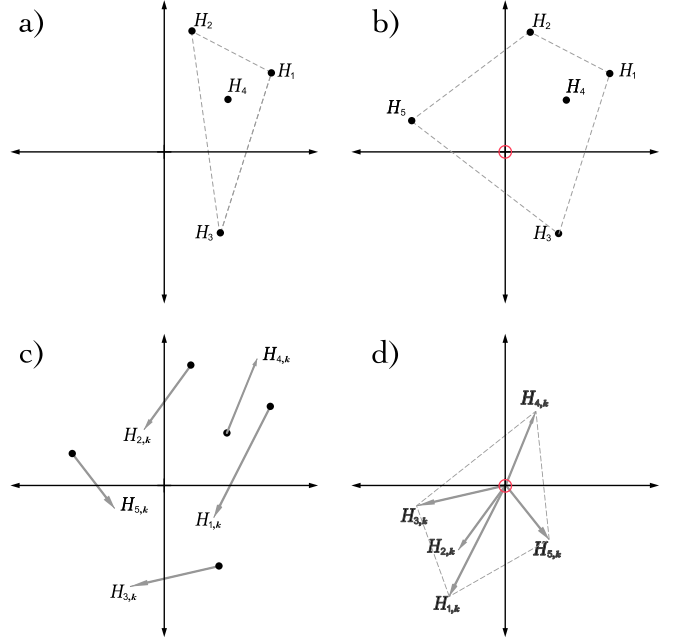


FIG. 2. A target unitary gate can be implemented a number of ways, each with a different effective Hamiltonian error. These error Hamiltonians lie in a vector space. a) Four effective Hamiltonians. The origin is not contained in their convex hull, so there are no balanced control solutions. b) The origin is contained in the convex hull after adding an additional control solution. Because there are more than  $n + 1$  implementations, there exist an infinite number of balanced control solutions. c) The error Hamiltonians shown with their derivative with respect to a control parameter. As this parameter drifts, a 0<sup>th</sup>-order balanced control solution may drift, leading to a first-order error. d) The derivatives also lie in a vector space. If the origin lies in their convex hull, then it may be possible to construct a 1<sup>st</sup>-order robust balanced control solution.

## V. GENERATION OF ROBUSTLY MIXED UNITARY PROCESSES

To generate robustly mixed unitary processes, we can solve the following convex optimization problem:

$$\begin{aligned} & \text{minimize : } ||D_\ell^T \omega|| \\ & \omega_j \geq 0, |\omega|_1 = 1 \\ & \text{subject to: } \forall i < \ell, \sum \omega_j D_j^i = 0 \end{aligned} \quad (14)$$

If the control family is too small a solution won't exist, in particular as in [1] we need  $0 \in \text{Conv}[D_j^i]$ . This can be accomplished by modifying the oracle in Campbell's algorithm to produce robust controls, in particular by updating the GRAPE cost function to include derivative information, one could produce controls with derivatives of order  $\epsilon$ . In our case, by using the Gaussian weighted cost function, we ensure that the controls generated should have vanishing first derivative.

This minimization problem, while sufficient for

quadratically decreasing the diamond norm relative to the *worst* controls in the family, does not preferentially select the controls with the least error. That is to say, as in Section III, both  $\{U_{+2\epsilon}, U_{-2\epsilon}\}$  and  $\{U_\epsilon, U_{-\epsilon}\}$  minimize this cost function for a 0MUP. To encourage the inclusion of controls with smaller error, we may impose an  $\ell_2$  penalty to our cost function by rewriting it as:

$$\underset{\omega_j \geq 0, |\omega|_1=1}{\text{minimize}} : \|D_\ell^T \omega\|_2 + \eta \sum \|D_0^T \omega\|_2 \quad (15)$$

with  $\eta \geq 0$ .

To make sure the routine remains practical, we would also like to regularize our objective function to enforce sparsity. In this case, lasso regularization [3] is insufficient as we already constrain the one norm of the vector we optimize over to be one. However, the problem of enforcing sparsity in such situations has been considered in [4] and can be expressed via another convex program that extends Equation 14:

$$\begin{aligned} \underset{n \in [N]}{\text{minimize}} \{ & \underset{\omega_j \geq 0, |\omega|_1=1, t \geq 0}{\text{minimize}} : \|D_\ell^T \omega\| + t \\ \text{subject to: } & \omega_n > \frac{\lambda}{t} \\ & \forall i < \ell, \sum \omega_j D_j^i = 0 \} \end{aligned} \quad (16)$$

where  $\lambda$  is a hyperparameter to be optimized over.

## VI. RANDOM GATE SYNTHESIS

In this article, we use optimal control to generate the one qubit families of controls for MUPs. One could use any of the many available quantum optimal control techniques [5–7], and for our numerics we chose to use the GRAPE algorithm. First described in [5], the GRAPE (GRAdient Ascent Pulse Engineering) algorithm is a technique for finding piecewise constant control sequences that approximate a desired unitary,  $U_T$ , given a Hamiltonian with controlled and uncontrolled terms. Defining the uncontrolled Hamiltonian as  $H_0$ , the control Hamiltonians as  $H_{i \neq 0}$ , and the *control matrix*  $c_{ij}$  as containing the control amplitude associated with the  $i^{\text{th}}$  time step and the  $j^{\text{th}}$  Hamiltonian, we can write an the unitary for any timestep of evolution as:

$$U_i = \exp\{-i\Delta t(H_0 + \sum_{j=1}^n c_{ij}H_j)\} \quad (17)$$

To measure the similarity of our approximate unitary  $U = \prod_i U_{i=1}^n$  to our target unitary  $U_T$ , we can define a cost function  $J(U) = \text{Tr}\{U_T^\dagger U\}$ .

To optimize this cost function we can perform the following standard update loop for some threshold value  $\epsilon > 0$  and step size  $\delta > 0$ :

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### Gradient Ascent

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while  $J(U) < (1 - \epsilon)$  do
   $c_{ij} \rightarrow c_{ij} + \delta \frac{\partial J(U)}{\partial c_{ij}}$ 
  for  $1 \leq i \leq n$  do
     $U_i \rightarrow \exp\{-i\Delta t(H_0 + \sum_{j=0}^n c_{ij}H_j)\}$ 
  end for
   $U \rightarrow \prod_{i=1}^n U_i$ 
end while

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In general these gradients can be computed by propagating partial derivatives of the cost function with respect to control parameters through each timestep of the via the chain rule. However, in [5] Khaneja et al. derive an update formula that is correct to first order, and efficient to compute. In particular one can show that:

$$\frac{\partial J(U)}{\partial u_{ij}} = -2\text{Re}\{\langle U_{j+1}^\dagger \dots U_N^\dagger U_T | i\Delta t H_j U_j \dots U_1 \rangle \langle U_j \dots U_1 | U_{j+1}^\dagger \dots U_N^\dagger U_T \rangle\} + \mathcal{O}(\Delta t^2) \quad (18)$$

Because we are trying to generate high-order RBCs, we want to generate controls that perform well even in the presence of drift. To do this, we modified the gradient in GRAPE to instead be:

$$\frac{\partial \tilde{J}(U)}{\partial u_{ij}} = \int p(\vec{\delta}) \frac{\partial J(U(\vec{\delta}))}{\partial u_{ij}} d\vec{\delta} \quad (19)$$

with  $p(\vec{\delta})$  Gaussian distributed, as has been done in previous works [8] to ensure that the optimal control results are robust over a wide range of errors. To make this averaging tractable, we approximate this integral using Gaussian quadrature, approximating the cost function as a low order polynomial[9] (in particular degree 5). Note that despite updating the gradient, we did not change the cost function. The termination condition for the gradient ascent loop is still just that the controls perform well in the absence of drift. This is not a fundamental requirement of the routine, but rather was done to make finding solutions with  $J(U) < 1 - \epsilon$  less computationally taxing.

## VII. NUMERICAL RESULTS

In the following numerical results, we explore using the methods in Section III to build MUPs. We consider the following model for a single tunable qubit:

$$H(\delta, \epsilon, t) = \epsilon \sigma_z + (1 + \delta)(c_x(t)\sigma_x + c_y(t)\sigma_y) \quad (20)$$

We use the GRAPE algorithm as discussed in Section VI with  $N=25$  steps and total evolution time of  $\pi$  to generate 100 candidate controls, with a standard deviation of  $\sigma = .001$  for the distribution in Equation 19. We assume that the errors on  $\sigma_x$  and  $\sigma_y$  are perfectly correlated, as is the case in systems that implement RZ rotations with

phase shifts of the control signal. Solving the optimization problem defined in Section IV yields similar MUPs for  $RX(\frac{\pi}{2})$  and  $RY(\frac{\pi}{2})$ , with the results for  $RY(\frac{\pi}{2})$  shown in Figure 3. These results demonstrate several properties that make MUPs both useful and tractable.

First, naively generating a 0MUP results in nontrivial support on all the members of the control family. However, by rewriting the minimization to impose the sparsity constraint discussed in Section IV, we can generate a 0MUP that uses just five of the controls. This shows that through adding constraints to our optimization routine, we can make the MUP practically useful. In both cases we impose the same  $\ell_2$  penalty as described in Section IV, so that the algorithm preferentially selects controls with smaller errors. Imposing this constraint allows us to trade off flatness at the origin for performance.

In our two-qubit example we consider the following model for two tunable qubits coupled by a resonant exchange interaction, similar to that in [10]:

$$H(\vec{\delta}, \vec{\epsilon}, t) = \sum_{j=1}^2 (\epsilon_j \sigma_z^j + (1 + \delta_j)(c_x^j x(t) \sigma_x^j + c_y^j(t) \sigma_y^j)) + \frac{1}{10}(XX + YY) \quad (21)$$

In this example it was infeasible to use GRAPE to return non-trivial solutions. Instead we manually selected piecewise constant echoing sequences with 500 steps and total evolution time of  $\frac{5\pi}{2}$ . In particular, we considered  $RX(\pi)$ ,  $RX(-\pi)$ ,  $RY(\pi)$  and  $RY(-\pi)$  bang-bang sequences [11], consisting of all combinations of simultaneous  $\pi$  pulses activated at multiples of 8 steps from the beginning of the controls, and the same multiple of 8 steps prior to the end of the controls. An example of such a control is given in Figure 4. To give the control family a variety of RF errors, we added on uniformly distributed errors to each  $\pi$  pulse, between  $-0.25\%$  and  $0.25\%$ .

In this example, we find more modest improvements to performance, as shown in Figure 5. There are now four free parameters to optimize over, and the uncontrolled entangling interaction means that there is little variation in the controls. Nonetheless, using a MUP improves performance by half of an order of magnitude at the origin, and up to an order of magnitude away from the origin, with the 1MUP performing just as well as the 0MUP, but also being more robust to drift.

## VIII. EXPERIMENTAL RESULTS

Here we present experimental results from implementing our routine on a fixed-frequency superconducting transmon qubit. In particular, we used qubit 8 on the Rigetti 19Q-Acorn chip, whose characterization can be found in [12]. To implement a MUP on this qubit, four incorrectly calibrated Gaussian pulses were produced by

scaling the pulseshape amplitude for a calibrated 10 sample 50ns  $RX(\frac{\pi}{2})$  pulse by 106.4%, 103.9%, 93.7% and 91.2%.

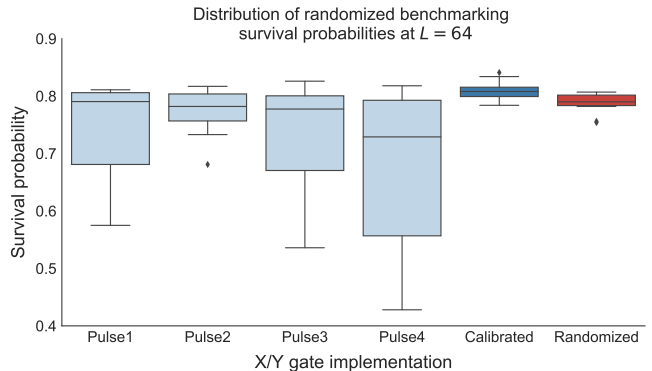


FIG. 6. Randomized benchmarking experiments ran using different pulse definitions. The four plots on the left are from the incorrectly calibrated pulse, while the top right is the calibrated pulse, and the bottom right is the BCS.

As discussed in Section IV, we chose here to minimize the off diagonal elements of the process matrix. To benchmark the quality of the MUP, we then performed six randomized benchmarking experiments[13]: one for each over- and under-calibrated pulse, one for the calibrated pulse, and one for the mixed process. We used 1000 shots per experiment, 10 sequences per sequence length, for sequence lengths of 2, 4, 8, 16, 32 and 64. In each case, our Clifford operations were decomposed into  $RX(\frac{\pi}{2})$  and  $RY(\frac{\pi}{2})$  pulses. In our implementation, these gates are implemented using the same pulse envelope definitions and control electronics, phase shifted by  $\frac{\pi}{2}$  radians, and are therefore subject to identical miscalibration errors. The results are shown in Figure 6 for sequence lengths  $L = 64$ . Fitting to the randomized benchmarking decay curves, we find one-qubit gate fidelities of 99.3% for the calibrated pulse, 98.9% for Pulse1, 99.1% for Pulse2, 98.9% for Pulse3, 98.5% for Pulse4, and 99.2% for the MUP, demonstrating that it performs almost as well as the calibrated pulse, and better than the constituent pulses.

Additionally, by minimizing the off-diagonal elements of the process matrix, rather than balancing the Hamiltonian errors, we expect to produce a process with minimal coherent error in the absence of drift. To see that this is the case, we cite the results in [14]. For non-Markovian error models, noise will manifest as gamma distributed points for each sequence length. On the other hand, Markovian noise, such as depolarizing noise, will result in Gaussian distributed fidelity estimates for each randomized benchmarking sequence length. We see that the coherently miscalibrated controls in our RB experiment have long tails, consistent with gamma distributed random variables, while the calibrated and randomized implementations both have much shorter tails, consistent with Gaussian distributed random variables. Thus,



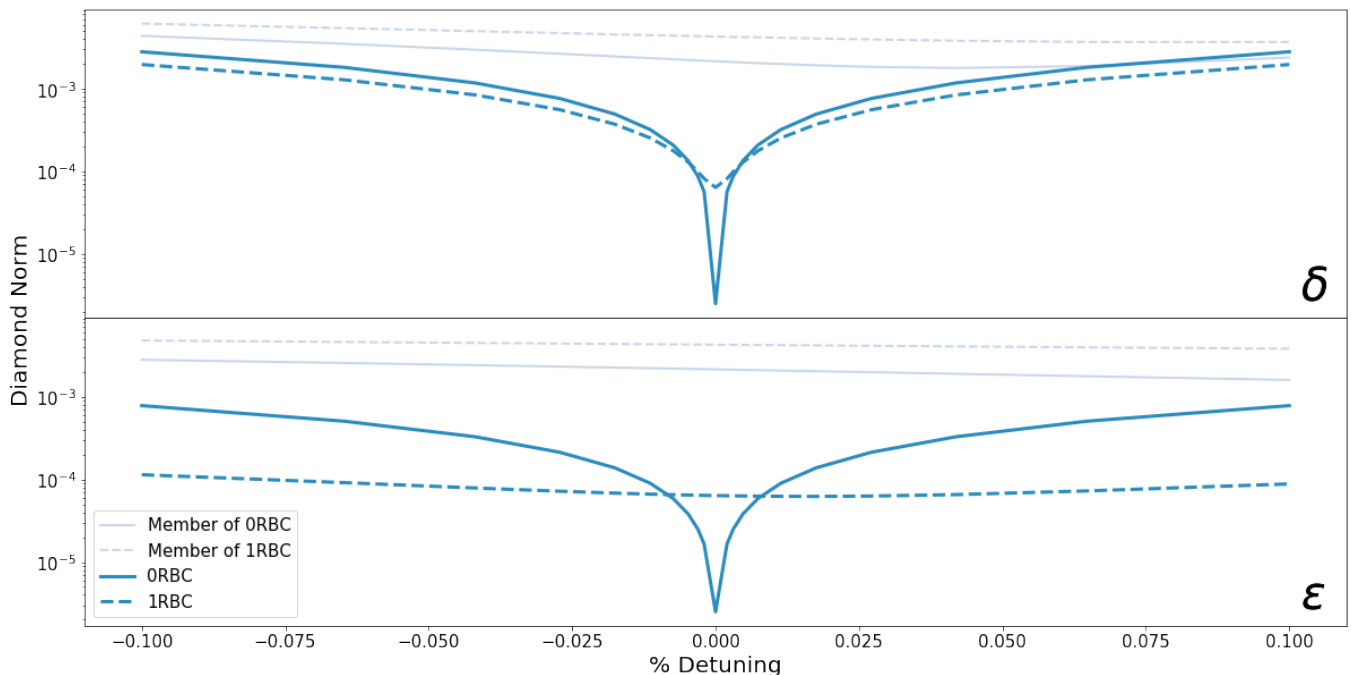


FIG. 3. Numerical results comparing a 0MUP to a 1MUP for a single tunable qubit, for  $RY(\frac{\pi}{2})$ . The results are quantitatively similar to those for  $RX(\frac{\pi}{2})$ , but in this case the 0MUP is worse at the origin. This can be improved by penalizing for the norms, or by simply trying to find a 1MUP, which both symmetrizes the performance at the origin and makes them better.

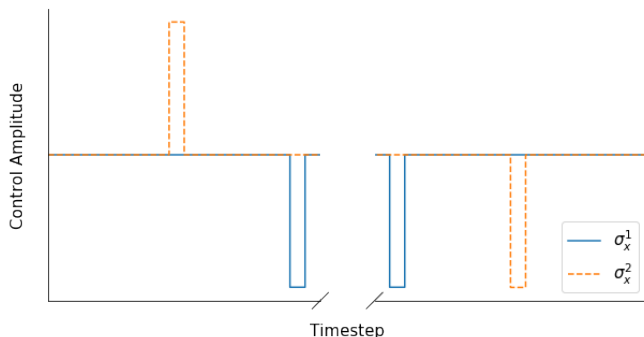


FIG. 4. Example bang-bang sequence, using both qubits'  $\sigma_x$  controls. Not shown are the  $\sigma_y$  controls, and the uncontrolled resonant exchange interaction.

our experiment demonstrates that not only is the performance of the MUP better than the constituent gates, it also has a significantly less coherent error channel.

## IX. ACKNOWLEDGEMENTS

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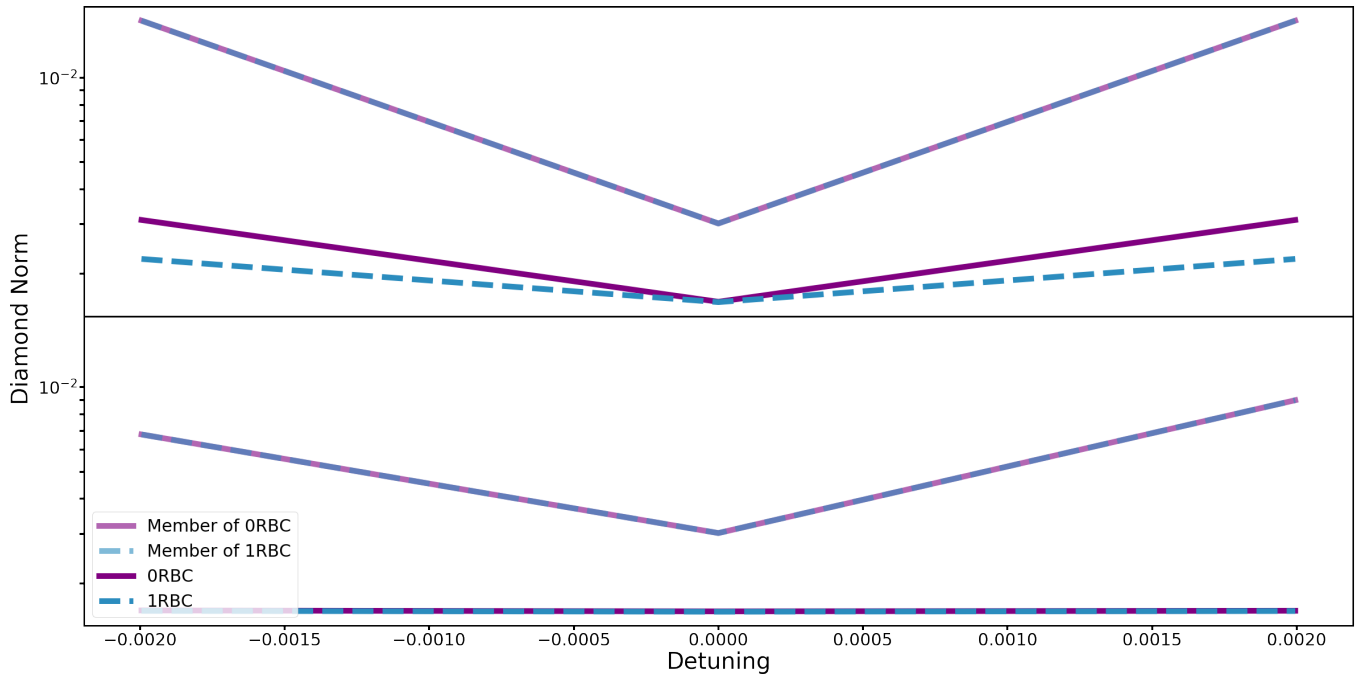


FIG. 5. Numerical results comparing a 0MUP to a 1MUP for a pair of tunable qubits, with a resonant exchange interaction. Shown with lower alpha values are example members of the control families. The 0MUP and 1MUP can be seen to outperform the members of the control family by half an order of magnitude at the origin. Away from the origin, the 1MUP can be seen to be flatter. Far away from the origin, the 1MUP outperforms members of the control families by almost an order of magnitude in diamond norm.

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