

# AN INTRODUCTION TO SCHUR FUNCTIONS

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**1. Symmetric Functions.** We consider polynomials in  $n$  variables  $x_1, \dots, x_n$ . Given a tuple  $\alpha = (\alpha_1, \dots, \alpha_n)$ ,  $x^\alpha$  denotes the monomial  $x_1^{\alpha_1} \cdots x_n^{\alpha_n}$ . A symmetric polynomial in  $n$  variables  $x_1, \dots, x_n$  is a polynomial of the form

$$f(x_1, \dots, x_n) = \sum_{\alpha} c_{\alpha} x^{\alpha},$$

where, for any permutation  $w \in S_n$ ,

$$c_{(\alpha_1, \dots, \alpha_n)} = c_{(\alpha_{w(1)}, \dots, \alpha_{w(n)})}.$$

We call the integer partition  $\lambda$  obtained by sorting the coordinates of  $\alpha$  the shape of  $\alpha$  and write  $\lambda = \lambda(\alpha)$ . The most obvious example of a symmetric polynomial in  $n$  variables is the *monomial symmetric function*, defined for each integer partition  $\lambda$ :

$$m_{\lambda} = \sum_{\lambda(\alpha)=\lambda} c_{\alpha} x^{\alpha}.$$

Note that  $m_{\lambda}$  is homogeneous of degree  $|\lambda|$  (the sum of the parts of  $\lambda$ ).

**Exercise 1.1.** Take  $n = 4$ . Compute the monomial symmetric function  $m_{(3)}$ ,  $m_{(2,1)}$ , and  $m_{(1^3)}$ .

**Theorem 1.2.** The polynomials  $m_{\lambda}(x_1, \dots, x_n)$ , as  $\lambda$  runs over all the integer partition of  $d$ , form a basis for the space of homogeneous symmetric polynomials of degree  $d$  in  $n$  variables.

**2. Complete and Elementary Symmetric Polynomials.** Recall that the coefficients of a polynomial are symmetric polynomials in its roots:

$$\begin{aligned} (1) \quad & (t - x_1)(t - x_2) \cdots (t - x_n) \\ &= t^n - e_1(x_1, \dots, x_n)t^{n-1} + \cdots + (-1)^n e_n(x_1, \dots, x_n), \end{aligned}$$

where coefficient  $e_i(x_1, \dots, x_n)$  of  $t^{n-i}$  is given by:

$$(2) \quad e_i(x_1, \dots, x_n) = \sum_{\substack{1 \leq j_1 < \cdots < j_i \leq n \\ 1}} x_{j_1} x_{j_2} \cdots x_{j_i}.$$

The polynomial  $e_i$  is called the  $i$ th *elementary symmetric polynomial*. By convention, write  $e_i(x_1, \dots, x_n) = 0$ , for  $i > n$ .

The identity (1) can be written more elegantly as:

$$(1 + tx_1) \cdots (1 + tx_n) = \sum_{i=0}^n e_i(x_1, \dots, x_n) t^i.$$

Dually<sup>1</sup>, the *complete symmetric polynomials* are defined by the formal identity:

$$\frac{1}{(1 - x_1 t) \cdots (1 - x_n t)} = \sum_{i=0}^{\infty} h_i(x_1, \dots, x_n) t^i.$$

**Example 2.1.** In three variables, we have:

$$\begin{aligned} e_2(x_1, x_2, x_3) &= x_1 x_2 + x_1 x_3 + x_2 x_3, \\ h_2(x_1, x_2, x_3) &= x_1^2 + x_1 x_2 + x_1 x_3 + x_2^2 + x_2 x_3 + x_3^2. \end{aligned}$$

**Exercise 2.2.** Show that

$$h_i(x_1, \dots, x_n) = \sum_{1 \leq j_1 \leq \dots \leq j_i \leq n} x_{j_1} \cdots x_{j_i}.$$

More generally, for any integer partition  $\lambda = (\lambda_1, \dots, \lambda_l)$ , define:

$$\begin{aligned} h_\lambda &= h_{\lambda_1} h_{\lambda_2} \cdots h_{\lambda_l}, \\ e_\lambda &= e_{\lambda_1} e_{\lambda_2} \cdots e_{\lambda_l}. \end{aligned}$$

**Theorem 2.3.** Given integer partitions  $\lambda = (\lambda_1, \dots, \lambda_l)$  and  $\mu = (\mu_1, \dots, \mu_m)$  of and integer  $d$ , let  $M_{\lambda\mu}$  denote the number of integer matrices  $(a_{ij})$  with non-negative entries whose  $i$ th row sums to  $\lambda_i$  for each  $i$ , and whose  $j$ th column sums to  $\mu_j$  for each  $j$ . Then

$$h_\lambda = \sum_{\mu} M_{\lambda\mu} m_\mu.$$

Dually, let  $N_{\lambda\mu}$  denote the number of integer matrices  $(a_{ij})$  with entries 0 or 1, whose  $i$ th row sums to  $\lambda_i$  for each  $i$ , and whose  $j$ th column sums to  $\mu_j$  for each  $j$ .

$$e_\lambda = \sum_{\mu} N_{\lambda\mu} m_\mu.$$

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<sup>1</sup>We will refer to the replacing of  $(1 + u)$  by  $(1 - u)^{-1}$  in a formal identity as *dualization*.

*Proof.* We first prove the second identity involving elementary symmetric functions. A monomial in the expansion of

$$e_\lambda = \prod_{i=1}^l \sum_{j_1 < \dots < j_{\lambda_i}} x_{j_1} \cdots x_{j_{\lambda_i}}$$

corresponds to the choice of a summand from each factor. Construct a matrix  $(a_{ij})$  corresponding to such a choice as follows: if the factor  $x_{j_1} \cdots x_{j_{\lambda_i}}$  is chosen from the  $i$ th factor, then set the entries  $a_{i,j_1}, \dots, a_{i,j_{\lambda_i}}$  to be 1. Clearly the  $i$ th row of such a matrix sums to  $\lambda_i$ . The monomial corresponding to this choice is  $x^\mu$  if, for each  $j$ , the number of  $i$  for which  $x_j$  appears in  $a_{i,j_1}, \dots, a_{i,j_{\lambda_i}}$ , which is the sum of the  $j$ th column of the matrix  $(a_{ij})$ . It follows that the coefficient of  $x^\mu$ , and hence the coefficient of  $m_\mu$  in the expansion of  $e_\lambda$  in the basis of monomial symmetric functions of degree  $n$ , is  $N_{\lambda\mu}$ .  $\square$