STATE-OF-THE-ART OF THE PHYSICAL LAYER IN UNDERWATER WIRELESS SENSOR NETWORKS

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ABSTRACT

With the current technology revolution, underwater wireless sensor networks (UWSNs) find several applications such as disaster prevention, water quality monitoring, military surveillance and fish farming. Nevertheless, this kind of networks faces a number of challenges induced by the nature of the underwater environment and its influence on the network physical media. Therefore, the ultimate objective of this paper is to lay down the key aspects of the physical layer of the underwater sensor networks (UWSNs). It discusses issues related to the characteristics and challenges of the underwater communication channel, differences between terrestrial wireless sensor networks and UWSNs, and acoustic propagation models in underwater. The paper also surveys some of the underwater acoustic modems. This study is essential to better understand the challenges of designing UWSNs and alleviate their effects.

KEYWORDS

Underwater wireless sensor networks, physical layer, acoustic, communication, channel models & acoustic modem

1. Introduction

The oceans, seas, rivers and lakes cover around 75% of the Earth's surface, and with the increasing importance of these areas in human life, there is a strong demand to investigate the unexplored regions and make use of their valuable treasures. Underwater Sensor Networks (UWSNs) are considered a promising candidate for achieving this objective.

This kind of network consists of a collection of sensors deployed underwater to perform a collaborative task. Sensors can measure a variety of parameters and conditions such as temperature, salinity, pressure, noise level, and nutrient concentration [1]. They can also detect and track the presence or absence of certain types of objects [2]. Therefore, UWSNs enable various applications including scientific, military and commercial applications. Commercial applications of UWSNs are typically associated with monitoring and controlling commercial activities in underwater environment such as monitoring of underwater pipelines [3], fish farming [4] and deep-water oil drilling [5]. Scientific applications are mainly associated with applications responsible for monitoring and observing the environment for scientific research objectives. Examples of these applications are disaster detection and early warnings [4], water quality monitoring [6], coral reef monitoring [7] and red tides monitoring [8]. Perhaps the first underwater acoustic network developed belongs to military applications. It was developed during the cold war to monitor the movement of soviet submarines [4]. Military applications focuses mainly on applications such as military surveillance and reconnaissance [9], intruder detections

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and mine detections [10]. It is worth mentioning that The Office of Naval Research supports a number of programs in acoustic communication [11]. Understanding the physical medium upon which the communication is carried on and the characteristics of the employed signal to transmit data is essential in designing the network effectively and achieving a good performance. This is due to their great influence on the development of the higher layers' protocols. For example, a proper selection of the frequency and the transmission distance affect the overall available bandwidth and data rate. In addition, some of the MAC protocols that require exchanging control packets before actual data transfer may not be suitable for UWSNs due to the high propagation delay. Moreover, since energy is a critical resource, power control might be necessary and well-designed routing protocols can help in preserving the resources. Therefore, this paper aims to lay down the fundamental basis of the physical layer of UWSNs and to survey the state-of-the-art of this layer.

The rest of the paper is organized as follows. Section 2 discusses the characteristics and challenges of the communication channel in underwater networks. Section 3 presents the differences between terrestrial wireless sensor networks and UWSNs. Some of the existing acoustic propagation models in underwater are discussed in section 4. This is followed by a discussion on the selection of the transmission range in section 5. Section 6 surveys some of the existing commercial acoustic modems and their capabilities. Conclusion and some open research directions are presented in section 7.

2. CHARACTERISTICS OF THE COMMUNICATION CHANNEL

Acoustic waves are the only feasible physical layer technology for underwater networks communication [12] [13]. In fact, electromagnetic waves propagate for a very short range (less than 1 meter at 1 MHz [13]) in underwater due to the high attenuation and absorption at high frequency. In seawater, the absorption of an electromagnetic signal is approximately $(45\sqrt{f}) \, dB/km$, where f is the frequency in hertz[14]. This is three orders of magnitude higher than the absorption of the acoustic signal in water. Although an electromagnetic wave can propagate for a reasonable distance at low frequency, it requires high transmission power and large antenna. Optical links are also not good for use in water for many reasons. First, the absorption of the optical signal in water is very high and hence can propagate only for short distances (less than 10 meters at 1 GHz[13]). Second, it suffers from scattering[15]. Third, it needs a precise positioning for narrow beam optical transmitter, which is hard to provide in underwater environments[12][15].

Although acoustic communication is the only suitable medium in underwater environment, it is considered as one of the most tough communication media in use today[16]. This is due to the fact that acoustic signals are affected by many factors, which pose several challenges and intricacies in designing UWSNs[15][17]. These factors include:

Multipath: Due to the reflection (at the surface, at the bottom or on any object in water) and refraction (in water) of the acoustic wave, duplicate copies of the signal may reach the destination. The spreading of the same signal through multiple paths can cause inter-symbol interference (ISI) as signals may arrive in an overlapping time interval. The reflection strongly depends on both the depth and the distance between the source and the destination[17]. For example, the reflection of the sound wave at the surface and at the bottom in shallow water (i.e. water with depth less than 100 m[15]) dominates the cause of the multipath phenomenon because the transmission range of the signal is larger than the water depth. In deep water, on the other hand, the reflection at the surface and at the bottom can be neglected but, the variation in the sound speed results in multipath propagation of the signal.

o Path loss: It consists of:

• Absorptive loss: During propagation, the energy of the signal may be converted into heat due to the inelasticity of the medium. According to [18], the absorptive loss of an acoustic signal can be expressed as $\alpha(f)^d$ where d is the distance (in Km) between the transmitter and the receiver and $\alpha(f)$ is the absorption coefficient (in dB/Km) at frequency f (in kHz), and it can be calculated using the Thorp's formula as given in (1).

$$\alpha(f) = 0.11 \frac{f^2}{1 + f^2} + 44 \frac{f^2}{4100 + f^2} + 0.000275 f^2 + 0.003 \quad (1)$$

Figure 1 shows that the absorption coefficient increases rapidly with the increase in the frequency, and the overall absorptive loss increases substantially with the increase in the distance and frequency as demonstrated in Figure 2.

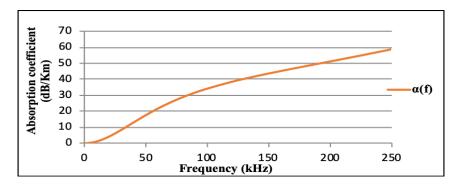


Figure 1: The relation between frequency and absorption coefficient

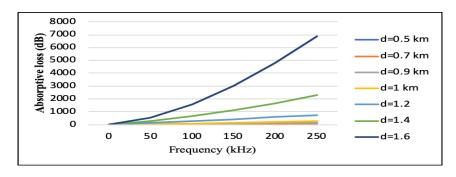


Figure 2: Absorptive loss under different values of frequency and distances

- Geometric spreading: due to the expansion of the wave as it propagates toward the receiver, the wave loses its energy. Geometric spreading is frequency-independent. It mainly depends on the propagation distance. Geometric spreading can be classified into spherical and cylindrical. The power loss caused by spherical spreading which characterizes the deep-water communication is directly proportional to the square of the distance. On the other hand, the cylindrical spreading which characterizes the shallow water communication is directly proportional to the distance[15][17].
- Scattering: scattering is a physical phenomenon that occurs when a particle collides with another particle causing it to change its trajectory as demonstrated in Figure

Surface scattering causes the signal to lose its power. In addition, scattering causes spreading in delay of each surface bounced path, which lowers the spatial correlation of scattered signals[17][19]. This results in multipath phenomenon discussed above. Section 4 summarizes some of the existing models for path loss of an acoustic signal.

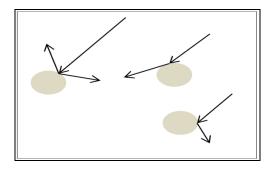


Figure 1: Illustration of the scattering phenomenon

o Ambient noise: The ambient noise (N) can be modelled as a result of four different sources namely the turbulence (Nt), shipping (Ns), waves (Nw) and thermal (Nth) noise [20][21]as follows:

Where s expresses the amount of naval traffic near the network area and it ranges from 0 to 1 inclusive (i.e. 0 means no shipping and 1 means a very shipping route) and w is the wind speed in m/s. Clearly, the level of noise is frequency-dependent. The higher is the frequency of the signal, the higher the error rate of the acoustic channel.

High and variable propagation delay: The speed of an acoustic signal in water ranges from 1450 to 1540 m/s[22]. A typical acoustic signal speed is about 1500 m/s and depends on water properties including temperature, salinity and depth. The following equation is a general formula for calculating the speed of sound v in sea water and it is known as Mackenzie equation[23]:

$$v = 1448.96 + 4.591T - 5.304 * 10^{-2}T^{2} + 2.374 * 10^{-4}T^{3} + 1.340(S - 35) + 1.630 * 10^{-2}D + 1.675 * 10^{-7}D^{2} - 1.025 * 10^{-2}T(S - 35) - 7.139 * 10^{-13}TD^{3}$$
(3)

Where v is the speed of sound in m/s, T is the temperature in degrees Celsius, S is the salinity in parts per thousands and D is the depth in meters. The equation is valid for temperatures between 2 to 30 Co, salinity between 25 to 40 parts per thousand and depth in the range 0 to 8000 m.

The typical speed is more than four times the speed of sound in air, but five orders of magnitude less than the speed of light (3 * 108 m/s) in air. The slow speed of sound in water causes high propagation delay of the acoustic signal which limits the throughput of

the network. In addition, the channel also suffers from variable propagation delay and its effect can be harmful in designing an efficient network. For example, it might be difficult to estimate the round-trip time accurately which is an important parameter in some protocols. The speed of the acoustic signal increases by 4.0 m/s when the temperature increases by 1oC. When the salinity of the water increases by 1 practical salinity unit (PSU), the speed increases by 1.4 m/s. By increasing the depth under water by 1 km, the speed of sound increases by 17 m/s [14].

O Doppler shift and spread: Doppler spread causes the performance of acoustic channels to degrade in high data transmissions[17]. Particularly, it causes adjacent symbols of the received signals to interfere with each other. Doppler shift of the acoustic signal in water is relatively high due to the low carrier frequency. Particularly, the ratio of the Doppler shift to carrier frequency is in the range 10-3 – 10-4 compared to 10-7 – 10-9 for terrestrial RF channel[12].

The aforementioned factors make underwater acoustic channels temporally and spatially variable, and also make the bandwidth of the channel limited and dependent on both communication range and frequency. Specifically, less bandwidth is achieved when the communication range increases. Thus, it is worth mentioning that network developers and designers should consider the relation between the transmission range and the available bandwidth (and hence, the data rate) when designing a network in order to achieve good performance. Section 5 surveys some studies regarding this issue. In addition, multi-path, path-loss and Doppler spread cause high bit error rate and delay variance and need to be carefully addressed when designing acoustic modems and higher layers' protocols.

3. DIFFERENCES BETWEEN TERRESTRIAL WSNS AND UWSNS

Underwater wireless sensor networks (UWSNs) are different from terrestrial wireless sensor networks (WSNs) due to the different characteristics of the transmission medium and to the type of signal used for communication. The most important differences are described below and summarized in Table 1.

- o <u>Propagation delay:</u> As mentioned in Section 2, the typical acoustic signal speed underwater is 1500 m/s which is five orders of magnitude lower than the speed of radio wave (3 * 10⁸ m/s) used in terrestrial WSNs. This slow speed leads to high propagation delay (in the order of hundreds of milliseconds) of an acoustic signal and when combined with the low bandwidth of the channel, it results in large end-to-end delay, and thus, affects the performance of applications especially those that pose constraints on the communication time. In addition, the slow speed induces more challenges in the localization process of sensor nodes. For example, when a node tries to send its new location to other nodes, the message may arrive at a time the information is no longer useful (e.g. the node has moved to another point), and hence, results in incorrectly updating the node's location or wrong location tags of the sensed data.
- O <u>Node mobility:</u> In most terrestrial WSNs, sensor nodes are generally assumed to be fixed, and hence, different topologies can be used. On the other hand, underwater sensor nodes are assumed to move with water currents (e.g. in typical underwater conditions, underwater objects can move at speed 3-6 km/h[24]). Therefore, higher layers' protocols need to consider the mobility of the sensor nodes in order to achieve acceptable performance.
- o <u>Available bandwidth:</u> Terrestrial WSNs usually have a high bandwidth in the order of MHz[14]. However, underwater acoustic channels have a limited bandwidth that highly

- depends on the transmission range and frequency. For example, the bandwidth for short-range UWSN operating over several tens of meters is a hundred of kHz; while for long-range UWSN, the bandwidth is limited to a few kHz [14] [24][25].
- o <u>Cost:</u> Underwater network devices are more expensive in manufacturing, deployment, maintenance and recovery than those used in terrestrial WSNs. An acoustic modem with a rugged housing, for instance, costs around \$3000[12]. Moreover, underwater sensor
- o nodes require hardware protection against fouling and corrosion. The deployment can also be expensive, and it depends on network size and weather in the targeted environment. For example, an oceanographic research vessel costs \$5000 \$25000 per day[12].
- Open Deployment: While dense deployment characterizes terrestrial WSNs, UWSNs tend to be sparse due to the high cost and to the vast volume that needs to be covered. Moreover, the deployment in terrestrial WSNs is deterministic since nodes are deployed manually in the environment. On the contrary, the common deployment strategy in UWSNs is a non-uniform and random deployment.
- <u>Energy Consumption</u>: Energy is a primary concern in UWSNs. Underwater acoustic communication consumes more energy than terrestrial radio communication. This is due to the longer distances between nodes (i.e. results from sparse deployment) and to the more complex signal processing in UWSNs. Moreover, batteries for terrestrial sensor nodes can be easily recharged and replaced. However, this is not the case for the batteries of underwater sensor nodes which tend to have limited power and cannot be easily replaced or recharged. In addition, solar energy cannot be exploited in underwater environment. Different approaches have been proposed to enable underwater equipment to save their energy for longer time. Special routing algorithms (e.g. PER[26]) and MAC protocols (e.g. the MAC protocol proposed in[27]) are designed primarily for this purpose. It is also worth mentioning that there is a significant difference between the power required to transmit an acoustic signal and the power required to receive or listen to an acoustic signal. The ratio of power required for transmission to the power required for receive a radio signal in terrestrial WSNs is almost the same.
- o <u>Reliability:</u> The bit-error rate for communication channels in UWSNs is much higher than in terrestrial WSNs. In addition, underwater sensor nodes may lose their connectivity for several reasons (e.g. mobility), which produces holes in the network (i.e. areas where there are no nodes to relay data to the next hop).
- <u>Spatial Correlation:</u> Due to the high cost of underwater sensor nodes compared to the
 terrestrial sensor nodes, distances between deployed sensors in UWSNs can be large. This
 cause readings from underwater sensor nodes to be un-correlated and the longer the
 distance, the higher the distortion of acoustic signals. In contrast, readings from sensor
 nodes in WSNs are correlated.
- O <u>Localization</u>: Localization is the process of identifying the nodes' locations in sensor networks[29]. In terrestrial WSNs, localization is performed using GPS service. However, radio waves are highly attenuated in UWSNs, hence GPS cannot be used for localizing underwater nodes. Therefore, UWSNs should rely on localization schemes that do not depend on GPS signals.
- <u>Lack of standards</u>: Standards for terrestrial WSNs are well established and internationally accepted and because of the unique features of the UWSNs, these standards cannot be applied for such networks. UWSNs lacks such standards and much more work needs to be done in this field[15].

Terrestrial WSNs UWSNs Propagation speed High $(3*10^8 \text{ m/s})$ Very low (1500 m/s) **Propagation delay** Short (µs) Long (ms) Available bandwidth High (MHz) & independent of Low (KHz) and depends on distance distance Noise White noise Depends on frequency **Devices** Expensive & require hardware Cheaper protection **Energy cost** Transmit $(Tx) \sim receive (Rx) \sim idle$ Transmit (Tx) >> receive (Rx) >>> sleep idle >> sleep Error rate Low high **Mobility** Almost negligible 3-6 km/h Normal deployment Dense Sparse Via GPS localization **GPS-free schemes** Available standards Internationally accepted standards No standards

Table 1: Comparison between terrestrial WSNs and UWSNs

4. ACOUSTIC PROPAGATION MODEL

This section summarizes two of the well-known underwater acoustic propagation models. The obtained results of these models may be used as a guide to develop underwater acoustic modems and to describe the expected sound profile for various underwater environments[30]. Yet, there is no standard underwater acoustic propagation model.

o *Urick description and Thorp formula*

The simplest model for the transmission loss of underwater acoustic signal depends on the frequency and the transmission distance. The attenuation of the signal can be represented as follows[31]:

$$A(d, f) = d^k a^d$$
 (4)
 $a = 10^{\alpha(f)/10}$ (5)

where d is the distance (in Km) that the signal travels, and k is the spreading factor (i.e. k is 1 for cylindrical, 2 for spherical and 1.5 for practical spreading), f is the frequency in KHz, and $\alpha(f)$ is the absorption coefficient in dB/Km and calculated using the Thorp's formula as given in (1).

In Figure 4 we plot the effect of the frequency on the attenuation for different distances travelled by the signal. Each line is related to a specific distance. Again, the attenuation increases rapidly with the increase in the frequency and distance. Note that the geometric practical spreading (K=1.5) is used to draw the figure; though, using any of the other two spreading (i.e. spherical or cylindrical) show similar trends.

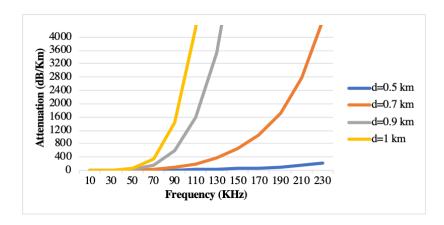


Figure 4: The relation between frequency and attenuation for different distances

Although the model is simple, it doesn't consider the effect of the environmental parameters such as the depth of the sender and receiver of the signal. In other word, it deals with nodes as if they are at the same depth. For example, the propagation loss for a signal received by a node at distance 500 meters and at depth 20 meters is the same as the propagation loss for the signal with the same distance but located at depth 400 meters.

o Monterey-Miami Parabolic Equation (MMPE) Model

The transmission loss defined in the previous model is simple and not accurate since it depends only on frequency and distance. The Monterey-Miami Parabolic Equation (MMPE) Model developed by Kevin Smith and Frederick Tappert [32] provided more accurate prediction of the underwater acoustic propagation loss. It is a parabolic equation model and is based on the split-step Fourier algorithm. It considers the effects of several factors such as surface activity, depth of the nodes and salinity changes. Although this model is more accurate than the above model, it requires intensive computations. Therefore a large amount of time is required to obtain the propagation loss[22][30]. That is not feasible for simulation tools and it might not be feasible also to be programmed in actual sensor nodes due to their constrained computational resources. The study in [33] provides an approximation to the MMPE model. The approximated formula to the propagation loss is as follows:

$$PL(t) = m(d_A, d_B, s, f) + w(t) + e(s)$$
 (6)

Where $\overline{PL(t)}$ is the propagation loss while transmitting from node A to node B, $\overline{m(d_A, d_B, s, f)}$ is the propagation loss without random and periodic components and it is resulted from the regression of MMPE data. d_A and d_B are the depth of the sender and receiver, respectively (in meters). s is the distance between A and B and it is calculated as $\sqrt{(d_A - d_B)^2 + r^2}$ where r is the horizontal distance (in meters) between A and B and it is called the range in the MMPE Model. f is the frequency in kHz of the transmitted acoustic signal. w(t) is the periodic function to estimate the signal loss due to the wave motion. e(s) is a random term used to estimate the effects of the noise. For more details about the MMPE model and its approximation model, refer to [32] and [33], respectively.

5. ON THE SELECTION OF TRANSMISSION RANGE

The transmission range R of a node can be used to determine the appropriate transmission power as follows[34]:

$$P = SNR . A(R, f) . N(f) . B \tag{7}$$

Where SNR is the signal to noise ratio, A(R, f) is the attenuation as given in (4), N(f) is the noise level and B is the bandwidth.

Porto et al. [34] conducted a simulation study to investigate the effect of transmission power on the energy consumption and the throughput. They concluded that the optimal transmission power that maximizes the energy efficiency is the one that ensures a minimal connectivity between nodes (i.e. ensures connecting a node to another node closer to the sink than the node itself). They also concluded that this transmission power also results in maximizing the overall throughput. Therefore, in order to improve the performance, the authors suggested that nodes may be equipped with a service to control the transmission power to ensure a minimal connectivity based on their positions but at the expense of incurring extra cost.

The authors in [35] investigated the effect of the selected transmission range on the energy efficiency and the network connectivity of the UWSNs. They provided an analytical model showing the trade-off between energy efficiency and network connectivity based on the selected transmission range in a random network. Having larger transmission range increases the network connectivity, but at the cost of increasing the energy consumption. On the other hand, reducing the transmission range saves the energy; however, it might not lead to a satisfactory connectivity (i.e. connectivity between nodes might be lost). Network connectivity might be increased by increasing either the transmission range which costs extra energy and interference or by increasing the node density which incurs hardware cost. Therefore, network designers should determine the trade-off and select appropriate parameters for the network setup.

6. ACOUSTIC MODEMS AND THEIR CAPABILITIES

The acoustic modems can be classified as commercial acoustic modems and research acoustic modems. Tables 2 summarizes the characteristics of some of the commercial acoustic modems developed for UWSNs, respectively.

Table 2: Characteristics of commercial acoustic modems for UWSNs

		Frequency band (kHz)	Bit rate (kbps)	Max. depth (km)	Range (km)	Power				Bit
Modem						Tx	Rx	Listen	Sleep	error rate
LinkQuest [36]	UWM1000	26.77 -	17.8	0.2	0.35	(W) 2	(W) 0.75	(mW)	(mW) 8	< 10 ⁻⁹
	UWM2000	44.62 26.77 -	17.8	4	1.5	8	8.0	-	8	< 10-9
	UWM2200	44.62 53.55 -	35.7	2	1	6	1	-	12	< 10 ⁻⁹
	UWM2000H	89.25 26.77 -	17.8	2	1.2	8	8.0	-	8	< 10-9
	UWM3000	44.62 7.5 - 12.5 7.5 - 12.5	5	7	5	12	0.8	-	8	< 10 ° < 10 °
	UWM3000H UWM4000	12.75 - 21.25	5 8.5	7	4	12 8	0.8 0.8	-	8	< 10-9
	UWM10000 S2CM HS	7.5 - 12.5 120-200	5 62.5	7 2	10 0.3	40 10	0.9	- 5-285	9 0.5	< 10 ⁻⁹ < 10 ⁻¹⁰
EvoLogics [37]	S2CM 48/78	48 - 78	31.2	2	1	18	8.0	5-285	2.5	< 10-10
	S2CM 42/65	42 - 65	31.2	2	1	18	8.0	5-285	2.5	< 10 ⁻¹⁰
	S2CM 18/34 S2CR 48/78	18 - 34 48-78	13.9 31.2	2	3.5	35 18	0.8	5-285 5-285	2.5	< 10 ⁻¹⁰
	S2CR 40/80	38 - 64	27.7	2	2	60	1.1	5-285	2.5	< 10 ⁻¹⁰
	S2CR 42/65	42 - 65	31.2	2	1	18	1.1	5-285	2.5	< 10 ⁻¹⁰
	S2CR 18/34	18 - 34	13.9	2	3.5	35	1.3	5-285	2.5	< 10 ⁻¹⁰
	S2CR 12/24 S2CR 7/17	13 - 24 7 - 17	9.2 6.9	6	8	15 40	1.1	5-285 5-285	2.5	< 10 ⁻¹⁰ < 10 ⁻¹⁰
	Subnero	27	2-10	-	2-3	40	1.1	3-283	2.3	- 10 **
9	underwater	21	2-10		2-3					
Subnero [38]	modem									
WHOI [39]	MicroModem 1	-	0.08- 5.4	-	-	8-48	0.15 8	100	0.22	-
	MicroModem 2	1-100	-	-	-	8-48	0.3	75-80	0.165	-
Teledyne benthos [40]	9XX Series ATM-9XX	9-27	0.14 - 15.36	6	6	-	-	-	-	10-7
Desert star systems [41]	SAM-1	33.8 - 42 65 - 75	0.003- 0.096	0.3	0.1-1	32	0.16 8	-	-	-
DSPComm [42]	AquaComm	16-30	0.1- 0.48	-	3	-	-	-	-	10-6
	aquacomm- gen2	16-30	0.1-1	-	8	-	-	-	-	10-6
Develogic [43]	HAM.NODE	8-29	3.4-7	6	30	30-	-	-	3	-
	HAM.BASE	40-65	10	0.75	1.2	80 100	-	-	10	-
Sonardyne [44]	Modem 6 Sub-Mini 8377-1111	21-32.5	9	1	2-3	-	-	-	-	-
	Modem 6 Mini Type 8244-3111	21-32.5	-	3	-	<50	-	<500	<300	-
	Modem 6 Mini Type 8244-3115	14–19	-	3	-	<50	-	<500	<300	-
	Modem 6 Standard 8307-5213	21–32.5	-	5	-	-	-	-	-	-

7. CONCLUSION AND FUTURE RESEARCH DIRECTIONS

This paper presented a comprehensive description of the state-of-the-art related to physical layer in UWSNs. It discussed in brief the difference between the possible communication channels underwater, their characteristics and challenges of using them. After that, the differences between the terrestrial WSNs and UWSNs are presented. This is followed by presenting some of the modelling approaches for underwater acoustic propagation and existing commercial acoustic modems. The paper also discussed how to select appropriate transmission range to gain better performance. Finally, the paper investigated the existing commercial acoustic modems and compared between them.

For future research, there is a demand for minimizing the bit error rate by for example developing algorithms for Doppler correction. In addition, there is still a need for developing inexpensive acoustic modems which will expand the use of UWSNs and facilitate further applications.

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