Incentives for Registered Reports from a risk sensitivity perspective

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Registered Reports are an article format designed to reduce publication bias and 3 questionable research practices' (QRPs), which distort the published record of research findings in many scientific disciplines (Chambers, 2013; de Vries et al., 2018; Dickersin & Min, 1993; Franco, Malhotra, & Simonovits, 2014; Fraser, Parker, Nakagawa, Barnett, & Fidler, 2018; Gerber, Green, & Nickerson, 2001; Gopalakrishna et al., 2022; John, Loewenstein, & Prelec, 2012; Kepes, Keener, McDaniel, & Hartman, 2022; Stefan & Schönbrodt, 2023). In this format, peer review takes place before data collection and the decision to publish is made before authors, reviewers, and editors know the study results. In addition to preventing editors from selectively rejecting unfavourable results (in particular negative or null results), this is thought to remove incentives for authors to hide, embellish, 12 or misrepresent results because publication no longer depends on them (Chambers, Dienes, 13 McIntosh, Rotshtein, & Willmes, 2015). Initial evidence from psychology and neighbouring disciplines shows that Registered Reports indeed contain much higher rates of negative 15 results than the standard literature (Allen & Mehler, 2019; O'Mahony, 2023; Scheel, Schijen, 16 & Lakens, 2021). 17

Advocates of the format have argued that the pre-data publication guarantee should
make Registered Reports particularly attractive to researchers (e.g., Chambers & Tzavella,
2022). The argument is that Registered Reports reduce uncertainty about whether and
where a study will be published before authors have invested in conducting the study, and
that such risk reduction is appealing in a research climate that involves substantial
publication pressure in many countries and disciplines (Gopalakrishna et al., 2022; Miller,
Taylor, & Bedeian, 2011; Paruzel-Czachura, Baran, & Spendel, 2021; Tijdink, Vergouwen, &
Smulders, 2013; van Dalen, 2021; van Dalen & Henkens, 2012; Waaijer, Teelken, Wouters, &
van der Weijden, 2018). However, if strategic concerns about publishability indeed influence
researchers' choices for or against Registered Reports, it is unlikely that they would always

cause risk aversion (i.e., favouring Registered Reports as a low-risk option). Researchers'
willingness to take risks regarding publication success may instead vary depending on factors
such as available resources, time pressure, or competition. This could create situations in
which Registered Reports remain unpopular and would never gain traction without
additional incentives or interventions. And indeed, although uptake is growing exponentially
(Chambers & Tzavella, 2022), the market share of Registered Reports is currently still much
smaller than one might expect if authors saw them as unreservedly beneficial for their
careers. Here, we examine these possibilities with an agent-based simulation, modelling
authors' choices between publication formats as decision making under risk to identify
circumstances in which Registered Reports might be used highly selectively, or not at all.

Design and intended functions of Registered Reports

The review process of Registered Reports is split into two stages. At Stage 1, reviewers evaluate a pre-study protocol containing the research questions, hypotheses, methods, and planned analyses of a proposed study. In case of a positive decision, the journal issues an 'in-principle acceptance' and commits to publishing the eventual report, regardless of the direction of the results. Only after in-principle acceptance has been issued do authors move on to data collection and analysis and eventually complete the manuscript. At Stage 2, the final report (now including the results) is subjected to a second round of peer review, but this time only to ensure that the study was carried out as planned, that the data pass any pre-specified quality checks, and that authors' conclusions are justified by the evidence.

Manuscripts can still be rejected at Stage 2, but only for substantial violations of the Stage-1 protocol or data that are uninterpretable or uninformative (e.g., caused by equipment failure), not for the direction or statistical significance of the results.

Through this process, Registered Reports address publication bias as well as so-called 'questionable research practices' (QRPs). These two problems are considered important contributors to psychology's replication crisis (Ferguson & Heene, 2012; Wagenmakers,

Wetzels, Borsboom, van der Maas, & Kievit, 2012) and to research waste in the biomedical sciences (Chalmers & Glasziou, 2009) because they skew the available evidence for scientific 55 claims, causing overconfidence and inflated rates of false-positive inferences. Publication bias 56 can result from editors and reviewers disproportionately rejecting submissions with negative 57 results ('reviewer bias,' Atkinson, Furlong, & Wampold, 1982; Greenwald, 1975; Mahoney, 1977) or from researchers failing to submit negative results for publication ('file-drawering,' Ensinck & Lakens, 2023; Franco et al., 2014; Rosenthal, 1979). In Registered Reports, the in-principle acceptance issued at Stage 1 addresses both of these issues: Editors and reviewers cannot reject the Stage-2 report based on the direction of the results, which also reduces the incentives for authors to file-drawer the study in case of negative results. QRPs are practices that exploit undisclosed flexibility in data collection and analysis, for example when analysing different justifiable combinations of variables, subsamples, and decision criteria, and only reporting the ones with favourable results, or by presenting post hoc inferences as having been predicted a priori (Agnoli, Wicherts, Veldkamp, Albiero, & Cubelli, 2017; Fiedler & Schwarz, 2016; Fraser et al., 2018; Gopalakrishna et al., 2022; John et al., 2012; Kerr, 1998; Simmons, Nelson, & Simonsohn, 2011). Registered Reports minimise the risk of QRPs via the two-stage review process, in which the Stage-1 protocol acts as a preregistration and reviewers' task during Stage-2 review is to flag any undisclosed 71 deviations from it.

73 Efficacy of Registered Reports

Registered Reports were first launched in 2013 at the journal *Cortex* (Chambers, 2013) and are now offered by over 300 journals, predominantly in the behavioural sciences and life sciences (see cos.io/rr). Nearly 600 Registered Reports had been published by 2021, with uptake growing exponentially (Chambers & Tzavella, 2022). In Chapter 2, we analysed the first cohort of published Registered Reports in psychology and showed that the first hypothesis reported in these articles was supported in only 44% of cases, compared to 96%

in a random sample of standard reports (Scheel et al., 2021). Similarly low proportions of
positive results were found in partially overlapping samples of Registered Reports in
psychology and neuroscience (39.5%, Allen & Mehler, 2019) and in psychology, neuroscience,
health, and education (50.1%, O'Mahony, 2023). These findings suggest that Registered
Reports indeed reduce biases that inflate the rate of positive results in the standard
literature. However, the existing estimates are based on purely observational evidence and
may thus be confounded by other systematic differences between Registered Reports and
standard reports.

Systematic differences would act as confounders if they affected either the probability of a positive result when testing a true hypothesis (statistical power) or the base rate of true hypotheses. The first option is not supported by current evidence: A study comparing Registered Reports with matched controls found that Registered Reports have higher median 91 sample sizes and, in blind reviews, are judged to be more rigorous in methodology and 92 analysis and of higher overall quality (Soderberg et al., 2021). Based on this finding, the increased amount of negative results in Registered Reports is unlikely to be an artifact of lower statistical power or poorer methods. But the second option—a difference in the rate 95 of true hypotheses, or the (prior) probability that the tested hypothesis is true—has not yet been directly studied. The idea that Registered Reports might contain fewer true hypotheses has some plausibility: If researchers expect that negative results are difficult to publish in standard reports but pose no problem in Registered Reports, they might selectively choose the Registered Report route when studying hypotheses that they think will yield negative 100 results. If researchers additionally perceive the standard publication route as less costly (e.g., 101 more habitual, more flexible, faster, requiring lower sample sizes, etc.), standard reports 102 would plausibly remain the preferred option for hypotheses that researchers are more certain 103 are true and will yield publishable results.

Such an effect could explain why both we and Allen & Mehler (2019) found that

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replication studies in the Registered Reports literature had descriptively lower rates of 106 positive results than original studies, although the difference was not significant in either 107 case (39% vs 50% in Scheel et al., 2021, and 34% vs 45.5% in Allen & Mehler, 2019, though 108 note that the studied samples partially overlap). As we discussed in Chapter 2, replication 109 attempts may more often than novel research be driven by the suspicion that the tested 110 hypothesis is not true (and that the result of the original study was a false positive). It 111 might also partially explain differences between our results and those of O'Mahony (2023), 112 who compared Registered Reports to standard reports that were matched on based on the 113 publishing journal, time of publication, and to a lesser extent research topic, design, and 114 studied population. O'Mahony finds a difference in the positive result rate of Registered 115 Reports and standard reports half as large as the one in our study (26 vs 52 percentage 116 points), which compared Registered Reports with a random sample of standard reports (matched only on discipline). Matching articles more closely could lead to more comparable 118 prior probabilities of the hypotheses tested in both formats and thus account for part of this 119 discrepancy. However, the two studies also differ in the target population and estimand 120 (O'Mahony analysed all tested hypotheses whereas Scheel et al. focused on the first 121 hypothesis per article), which makes the estimates difficult to compare.

Although differences between hypotheses tested in Registered Reports and standard 123 reports remain speculative at this point, this consideration highlights the importance of 124 understanding the costs and benefits of Registered Reports from the authors' perspective. If 125 current incentives cause Registered Reports to be used selectively in specific situations or for 126 specific research questions, meta-scientists studying this emerging literature would need to take such factors into account. Selective use could also lead to a situation in which Registered Reports become associated with certain types of results (e.g., negative results) and devalued if these results are deemed less interesting or important by the research 130 community, making the format unattractive in the long run. More generally, a better 131 understanding of the incentives driving researchers' publication choices can help determine 132

where, when, and by whom Registered Reports are likely to be used or avoided. Such knowledge could then be used to identify areas in which Registered Reports may not gain popularity naturally and anticipate the need for further intervention (e.g., via policy) when there is a demand for unbiased results.

Author incentives for Registered Reports

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Registered Reports are generally thought to '[neutralise] bad incentives' (Chambers, 2013, p. 609), in particular the incentive to exaggerate or misrepresent a study's results in order to make them more publishable in the standard literature. This assumption is conditioned on the format: Once authors have decided to take the Registered Report route, they can improve their publication chances only via the proposed research question and methods in Stage-1 review, and editors have an interest in selecting informative study designs because they are bound to publishing the study's results even when they turn out negative. In contrast to standard reports, the results are thus no longer a main target to 'hack' or select on, which should make them less biased and more trustworthy.

The incentives for choosing the Registered Reports route in the first place, however, 147 are less clear. Advocates of the format have argued that it 'serve[s] the interests of individual 148 scientists' (p. 12, Chambers & Tzavella, 2022) because it reduces scientists' risk of investing 149 in research projects whose results turn out to be difficult to publish. The argument is based 150 on the assumptions that researchers a) are under pressure to amass journal publications 151 (which still are a central currency for hiring and promotion decisions, R. Müller, 2014; van 152 Dalen & Henkens, 2012) and b) face shortfalls in publication output when their studies yield 153 negative results (which are more difficult to publish in the standard literature due to 154 publication bias). The following quote from a talk by Chris Chambers (September 2021) 155 summarises this sentiment: 156

And the second main benefit, the one that really is the main big one, the big

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draw, is that as a researcher you can get your paper accepted before you even start your research and regardless of how the results turn out in the end. So no more playing the p-value lottery, gambling on certain results going a certain way, otherwise you won't have your PhD or you won't get your next fellowship or your next grant — takes all of that pointless, and I think quite foolish, gambling out of the equation $(...)^1$

But would researchers ever prefer to gamble? Typically, authors care not only about 164 their studies being published at all, but also about the reputation of the publishing journal 165 as well as citation rates (which are causally influenced by journal rank, Traag, 2021). In 166 standard reports, the career-relevant payoffs associated with a publication can thus vary from 167 very low, for example when authors file-drawer a manuscript because the chances of success 168 do not justify the cost of repeated submissions and revisions (Ensinck & Lakens, 2023), to 169 very high, for example when a manuscript is published in an extremely high-impact journal 170 like Nature or Science and frequently cited. Compared to this, the payoffs from Registered 171 Reports have lower variance: Registered Reports minimise not only the chances of a very low 172 payoff (no publication at all), but also those of a very high payoff (a highly-cited publication 173 in a top journal, unless the Registered Report is conducted at a top journal). Therefore, as 174 long as the payoff associated with a published Registered Report is not always on par with 175 the best possible outcome of the standard publication route, there will be situations in which 176 the standard route—'taking the gamble'—is more beneficial for researchers. 177

Publication strategies as decision making under risk

Which are those situations? Because the payoffs of Registered Reports and the standard publication route differ in variance, authors' choice between the two formats represents decision making under risk. This framing allows us to use tools from the literature

 $^{^1}$ https://youtu.be/FiVI3cwVMZI?list=PLChfyH8TVDGmYENpXUDPaeeq2SLh8q9dt&t=1047, from minute 17:27

on risk-sensitive behaviour to study when Registered Reports serve the interests of individual 182 scientists less well than standard reports. Here, we use risk-sensitivity theory to model 183 factors that influence risk preferences and simulate their effects on researchers' publication 184 strategies. Following Winterhalder, Lu, & Tucker (1999), we define risk as 'unpredictable 185 variation in the outcome of a behavior, with consequences for an organism's fitness or utility' 186 (p. 302). Risk aversion thus means preferring a low-variance option over a high-variance 187 option, and risk proneness the reverse. Organisms are risk sensitive when they are not only 188 sensitive to the average of outcomes of different behavioural options but also to their 189 variance. 190

Risk-sensitivity theory is a normative theory developed in behavioural ecology to 191 explain the foraging behaviour of animals. It was originally designed to determine the 192 optimal food-acquisition strategy for an animal faced with a choice between a relatively 193 stable (low-variance) food source and a risky (high-variance) source that sometimes yields 194 large payoffs and sometimes small payoffs (or none at all). Organisms are predicted to be 195 sensitive to such differences in risk when payoffs (e.g., the amount of food) have non-linear 196 consequences for the organism's survival or reproductive fitness. This is the case when, for 197 example, additional increments of food yield smaller and smaller returns for an animal's 198 fitness, or when amounts below a certain threshold would cause starvation. In psychology 190 and economics, analogous problems in human decision-making are usually studied with 200 utility-based theories, most prominently expected utility theory and prospect theory. The 201 predictions of all three theories overlap substantially, but risk-sensitivity theory uses fitness 202 instead of utility as its central currency. This overcomes weaknesses of expected utility 203 theory and prospect theory caused by the conceptual vagueness of utility (e.g., 'utility is 204 whatever is maximised by human choices,' Cubitt, Starmer, & Sugden, 2001). Despite its 205

² Note that these definitions differ from those used in expected utility theory, where risk aversion, risk proneness, and risk indifference are defined as concave-down, convex-up, and linear utility functions, respectively.

initially narrow scope, risk-sensitivity theory has proven itself as a powerful framework for explaining risk-sensitive behaviour in a wide range of situations and species, including humans (Kacelnik & Bateson, 1996; Mishra, 2014; Winterhalder et al., 1999).

The present study

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In the following, we apply risk-sensitivity theory to the situation of researchers faced 210 with the choice of conducting a Registered Report or pursuing the standard publication 211 route. Using a simulation model, we explore how four aspects of academic careers and 212 incentive structures that are relevant to risk sensitivity may affect researchers' publication 213 strategies: whether additional publications yield decreasing or increasing returns for career success, empirical pace (the frequency at which studies can be completed), publication 215 targets that must be met to continue or further one's career, and competition. Our goal is to 216 understand in which circumstances Registered Reports should be particularly attractive, 217 particularly unattractive, or particularly prone to selective use. The results of this analysis 218 may help anticipate research fields and career stages in which the format is unlikely to take 219 foot without additional changes to norms, incentives, or policy, and flag situations in which 220 the results of published Registered Reports may be particularly difficult to compare to the 221 normal literature. The following sections outline central concepts of risk-sensitivity theory, 222 relate them to characteristics of academic careers, and describe an evolutionary simulation 223 model in which their effects on researchers' risk-sensitive publication decisions are examined. 224

Conceptual application of risk-sensitivity theory to publication decisions

This section describes general factors that affect the role of risk for individual's fitness and connects these factors to relevant elements of academic careers. In this context, risk-sensitivity theory's focus on reproductive fitness as the central outcome may be seen as misguided. But although researchers do not forage, grow, reproduce, and die in the *biological* sense (except in their role as human beings in general, of course), they undoubtedly are concerned with factors that influence 1) their survival and 2) the propagation of their traits

in an *academic* sense. Even if we were to assume that researchers are not consciously trying
to maximise their 'academic fitness', a competitive job market will by definition select for
individuals whose past behaviour increased their prospects. Such competition can create
bottlenecks between early-career and tenured positions in many academic disciplines, which
inevitably induce a selection pressure for career-promoting behaviours Higginson & Munafò
(2016).

In applying risk-sensitivity theory to researchers' publishing behaviour, we will
therefore conceptualise fitness as career success. This decision does not imply that career
success is the only or the proximal motivation for researchers' behaviour in practice, just as
evolutionary theory does not imply that reproductive success is the only or the proximal
motivation for human behaviour in everyday life. However, we do assume that selection for
career-promoting behaviours has a noticeable impact on research practice.

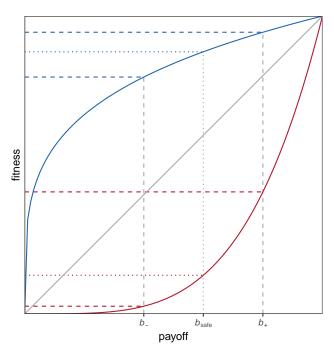


Figure 1. Consequences of non-linear fitness functions. Payoffs b_- , b_{safe} , and b_+ are converted into fitness with a diminishing (blue), linear (grey), or increasing (red) returns function.

Non-linear fitness functions. The first and perhaps most ubiquitous factor 244 leading individuals to be risk sensitive are non-linear relationships between the outcomes of 245 an individual's behaviour (e.g., harvested food items, publications) and its reproductive 246 success (Kacelnik & Bateson, 1997). Consider two options, O_{safe} and O_{risky} . O_{safe} always 247 gives the same payoff b_{safe} , whereas O_{risky} gives either a low payoff b_- or a high payoff b_+ , 248 each with probability $\frac{1}{2}$. When $b_{safe} = \frac{(b_- + b_+)}{2}$, O_{safe} and O_{risky} have the same expected 249 payoff. However, we would only expect an individual to be indifferent between the two 250 options if the consequences of their payoffs for the individual's fitness are linear. When the 251 function relating payoffs to fitness is instead convex or concave (yielding increasing or 252 diminishing returns, respectively), the expected fitness of O_{safe} and O_{risky} will differ and 253 shift the individual's preference towards risk proneness or risk aversion. An illustration of 254 this example is shown in Figure 1: While the payoffs b_- , b_{safe} , and b_+ are equidistant on the x-axis, b_{safe} is associated with greater fitness than the average of b_- and b_+ when the function is concave, and with lower fitness when the function is convex. In other words, O_{safe} has greater expected fitness than O_{risky} when returns are diminishing, and O_{risky} has 258 greater expected fitness than O_{safe} when returns are increasing. 259

Non-linear relationships are arguably the norm in the natural world and linear relationships the exception. This plausibly holds for academia as well, where the effect of publication success on researchers' career success might change over time: For early-career researchers, small increases in the number or impact of publications may have an accelerated effect on career success, whereas established professors may care little about any one additional publication to their record.

Number of decision events before evaluation. A second risk-relevant factor
considered here is the number of decision events taking place before an individual's fitness is
evaluated. When a risky option is chosen repeatedly, the average of the accumulating payoffs
gets closer and closer to the long-run expected payoff. This means that the danger of loosing
out completely by only acquiring the lowest possible payoff of the risky option diminishes,

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making the risky option relatively more attractive. However, this relationship only holds for repeated decision events *before* an individual's fitness is evaluated. When fitness is evaluated after a single decision event, a risky option is more likely to yield an extreme outcome that translates to zero fitness (i.e., death or an ultimate failure to reproduce).

In situations like this, when a single risky decision might cost an individual's life or 275 offspring, average fitness is best described by the geometric mean instead of the arithmetic 276 mean (Haaland, Wright, & Ratikainen, 2019). The geometric mean is more sensitive to 277 variance because it is multiplicative, capturing the fact that one failure to reproduce can end 278 a genetic lineage. This circumstance has been shown to produce bet-hedging: Risk-averse 279 strategies may be more adaptive across many generations even when more risk-prone 280 strategies produce better outcomes in any one generation, simply because risk-proneness is 281 also more likely to lead to extinction by sheer bad luck (Haaland et al., 2019). While average 282 fitness across generations is best represented with the geometric mean, average fitness within 283 a generation is better captured by the arithmetic mean, reflecting the additive accumulation 284 of payoffs from decision events before fitness is evaluated. Therefore, as the number of 285 decision events per generation (i.e., before fitness is evaluated) increases, the 286 variance-sensitive geometric mean of acquired payoffs becomes relatively less important and 287 the less variance-sensitive arithmetic mean becomes more important. Consequently, an 288 individual's behaviour should switch from relative risk-aversion to relative risk-proneness. 280

For the purpose of the present study, 'decision events' refer to researchers' decisions of whether to conduct a Registered Report or pursue the standard publication route. Because Registered Reports must be submitted before data collection, such decisions occur whenever researchers start a new empirical project that they later may want to publish.³ The number of decision events before evaluation thus reflects the number of empirical projects that a

³ At the current moment, most researchers likely never consciously consider Registered Reports as a publication option. However, the fact that they *could* nonetheless renders their pursuit of standard publications a choice, albeit an implicit one.

researcher can conduct before their publication record is considered for hiring, promotion, or grant funding decisions. We will call this parameter 'empirical pace'.

Key factors influencing empirical pace are the time and resources required to conduct a study and the time and resources researchers have available. Empirical pace may thus differ between research areas that vary in speed and/or cost of data collection (e.g., a field relying on on online questionnaires vs a field relying on fMRI studies) or between research labs that vary in funding and manpower. Even career stage might affect empirical pace to some extent, for example because career progress often comes with increased funding and the supervision of junior researchers whose efforts boost the supervisors' output (R. Müller, 2014), and because junior researchers often have short-term contracts that limit the available time for producing research output before their CVs are evaluated for the next application.

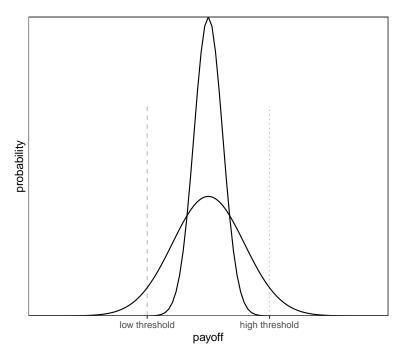


Figure 2. Survival thresholds. When fitness drops to zero below the low threshold (dashed line), individuals should be risk-averse because the outcomes of the low-risk option (narrow distribution) are guaranteed to lie above the threshold and the outcomes of the high-risk option (wide distribution) have a non-negligible risk of falling below the threshold. When fitness drops to zero below the high threshold (dotted line), individuals should be risk-prone because only the high-risk option provides a chance of passing the threshold.

Survival thresholds and competition. A final important factor for risk-sensitive 306 behaviour are thresholds for survival and reproduction (Hurly, 2003; Winterhalder et al., 307 1999). Survival thresholds are cutoff points below which an individual's fitness drops to zero, 308 for example due to starvation. Risk-sensitivity theory predicts that an individual will be risk 309 averse when the resources provided by a low-variance option are sufficient to meet the 310 threshold and risk-prone when they are not (Mishra, 2014). For example, a humming bird 311 that needs to acquire a certain amount of calories to survive the night will prefer a low-risk 312 food source if the expected amount of calories is above the threshold, but avoid the low-risk 313 source if only a higher-risk source provides a chance of survival. One such situation is 314 depicted in Figure 2. 315

Although comparable cutoff points in academic careers may have somewhat less severe 316 consequences, they certainly exist: The number and impact of a researcher's publications are 317 often explicit criteria in decisions that are central to the individual's career, such as whether 318 they will be awarded a PhD, whether they will receive grant funding, whether they will be 319 offered a tenure-track position, or whether they will be granted tenure. In some of these 320 situations, the cutoff points are absolute and thus resemble survival thresholds in the 321 biological sense, for example PhD regulations that determine a minimal number of 322 peer-reviewed publications for a candidate to be awarded with a doctorate, or tenure 323 contracts that specify minimal publication targets. In other situations, the cutoff points are 324 relative and depend on the number of eligible candidates, for example when grant funding is 325 awarded to the 10 highest-ranked research proposals or a job is offered to the best candidate 326 from a pool of applicants. In cases like these, one individual's success diminishes the chances 327 of another — they represent *competition*. In the following, survival thresholds and 328 competition will be treated as separate concepts to examine their differential effects on researchers' publication behaviour.

Each of the risk-relevant factors described above — non-linear fitness functions,

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empirical pace, survival thresholds, and competition—likely impacts researchers' decision strategies, including their choices between low-risk and high-risk publication options. To better understand when a low-risk option like Registered Reports should be particularly attractive or unattractive, we examine the individual and interactive effects of these factors in a simulation model.

Simulation model

We develop an evolutionary agent-based model which simulates a population of researchers who test hypotheses, (attempt to) publish the results either as Registered Reports or as standard reports, accumulate the payoffs for successful publications, and pass their publication strategies on to the next generation of researchers.

Research phase. Consider a population of n = 500 researchers. Each researcher has a fixed publication strategy s, the so-called submission threshold. In each round of the research phase, researchers randomly choose a hypothesis to test in a study. Hypotheses are true with prior probability p, which is uniformly distributed between 0 and 1^4 and known to the researcher. Before testing their chosen hypothesis, a researcher compares the prior p of their hypothesis with their publication strategy s. When p < s, the researcher chooses to play it safe and conduct a Registered Report to test the hypothesis. When $p \ge s$, the researcher chooses to gamble and test the hypothesis in a regular study which is then submitted as a standard report.

For simplicity, we assume that p is an ideal objective prior and that researchers' hypothesis tests are free from additional sources of error. Thus, when a researcher tests hypothesis i, they obtain a positive result with probability p_i and a negative result with

⁴ Prior distributions in academic research are likely not uniform, but a realistic cross-disciplinary distribution is hard to establish. For example, molecular epidemiology may deal with predominantly false hypotheses (Wacholder, Chanock, Garcia-Closas, El Ghormli, & Rothman, 2004), whereas the social sciences may more commonly test hypotheses that are trivially true (e.g., because they are based on hidden tautologies, Wallach & Wallach, 1994). The uniform distribution thus represents a pragmatic, agnostic choice that is useful for understanding the basic mechanisms at play.

probability $1 - p_i$. If the researcher chose to submit a Registered Report, their study is published regardless of the result and the researcher receives a payoff b_{RR} . However, if the researcher chose to submit a standard report, they face rampant publication bias: Only positive results are publishable as standard reports and yield a payoff $b_{SR+} = 1$, whereas negative results are rejected or file-drawered and yield no payoff, $b_{SR-}=0$. For all variations of the model tested here, we assume that the payoff for a Registered Report falls between these bounds, such that $b_{SR-} < b_{RR} < b_{SR+}$. This assumption reflects the following considerations:

- 1. Due to publication bias in the standard literature, negative results are less valuable than positive results $(b_{SR-} < b_{SR+})$, for example because they do not lead to a publication at all, because only very low-impact journals are willing to publish them, or because getting them published requires a lot of extra effort (e.g., via frequent resubmissions following rejection or substantial revisions demanded by reviewers), which diminishes the net reward.
 - 2. For these same reasons, Registered Reports are on average more valuable than standard reports with negative results ($b_{SR-} < b_{RR}$), for example because Registered Reports are offered by journals that may display publication bias for standard reports (rejecting standard report submissions with negative results), or simply because Registered Reports need to be resubmitted less often or require less extensive revisions.
 - 3. On average, standard reports with positive results are more valuable than Registered Reports ($b_{RR} < b_{SR+}$), for example because many high-impact journals do not (yet) offer Registered Reports, because not registering one's study a priori makes it easier to spin the results to appear more impactful and thus increases the chances to be published in a high-impact journal, or because Registered Reports may require more effort due to their stricter quality criteria, lowering the net reward. While proponents of Registered Reports may argue that the format has such tremendous advantages that authors' resulting career benefits are superior to any alternative, this chapter is

predicated on the assumption that most researchers currently do not share this view.
Once this changes, the present investigation may happily become redundant.

This entire research cycle—choosing a hypothesis, choosing a publication route by

comparing its prior p to one's publication strategy s, testing the hypothesis, and receiving payoff b_{RR} for a Registered Report or b_{SR-} or b_{SR+} for a positive and negative standard report, respectively—is repeated m times.

Evaluation phase. At the end of the research phase, researchers' accumulated publication payoffs $b_1 + b_2 + ... + b_m$ are translated into fitness f. Fitness is calculated with a function characterised by exponent ϵ , which determines the shape of the function. $\epsilon = 1$ yields a linear function, $0 < \epsilon < 1$ yields a concave function with diminishing returns, and

 $\epsilon > 1$ yields a convex function with increasing returns (illustrated in Figure 1):

$$f = (\sum_{i=1}^{m} b_i)^{\epsilon} \tag{1}$$

However, two situations may cause a researcher's fitness to fall to zero even when their 392 accumulated payoffs are non-zero. First, the sum of their payoffs may fall below an absolute 393 survival threshold δ , for example when a researcher fails to meet an agreed publication target 394 by the time their 'tenure clock' runs out. Thus, when $\sum_{i=1}^{m} b_i < \delta$, f = 0. Second, the sum of their payoffs may fall below a relative threshold γ , which reflects the intensity of competition 396 (e.g., for scarce research grants or positions). γ is the proportion of researchers who are 397 considered for reproduction. When $\gamma = 1$, all researchers in the population are considered for 398 reproduction and their fitness is calculated according to Eq. 1. When $\gamma < 1$, the 390 $(1-\gamma)*500$ least successful researchers receive zero fitness and cannot reproduce.⁵ For

⁵ In the simulation, γ is applied *after* fitness has been calculated, not before. This change has purely technical reasons and leads to the same result as applying γ to accumulated payoffs and then calculating fitness because all fitness functions are monotonic increasing and fitness functions do not vary within a population.

example, $\gamma = 0.1$ means that only those researchers with accumulated payoffs in the top 10% of the population can reproduce, and the fitness of the remaining 90% is set to zero.

Table 1
Parameter definitions and values

Parameter	Definition	Value [range]
\overline{n}	population size	500
g	number of generations	250
p	prior probability of hypotheses	uniform $[0-1]$
b_{SR-}	payoff for negative standard report	0
b_{SR+}	payoff for positive standard report	1
b_{RR}	payoff for Registered Report	[.1, .2,, .9]
ϵ	fitness function exponent	[0.2, 1, 5]
m	research cycles per generation ('empirical pace')	[1, 2, 4, 8, 16, 32]
δ	survival threshold below which fitness $= 0$, expressed as	[0, .25, .5, .75]
	proportion of m	
γ	proportion of most successful researchers selected for	[1, .9, .5, .1, .05, .01]
	reproduction (competition)	

Reproduction phase. Finally, the researchers in the current population retire and 403 a new (non-overlapping) generation of researchers is created. A researcher in the new 404 generation inherits their publication strategy s from a researcher in the previous generation 405 with the probability of the previous researcher's fitness (i.e., the new generation's publication 406 strategies are sampled with replacement from the previous generation, probability-weighted 407 by fitness). The new generation's publication strategies are inherited with a small amount of 408 random noise, such that $s_{new} = s_{old} + w$, with $w \sim N(\mu = 0, \sigma = 0.01)$. Authors of similar 409 evolutionary agent-based models have described such hereditary transmission as reflecting 410

That is, applying the fitness function does not affect the rank order of researchers in the population.

mentorship and teaching (e.g., when established professors advise mentees to copy their
strategies) or simply a generic social learning process in which successful researchers are more
likely to be imitated by others (Smaldino & McElreath, 2016). Although this interpretation
may be useful, the main purpose of this aspect of the model is purely technical and not
specifically intended to reflect reality—it simply provides the machinery for determining
which publication strategies are optimal in the various situations we are investigating.

Outcome variable s. We study how the evolution of researchers' publication 417 strategies s is affected by the payoff for Registered Reports b_{RR} (relative to the payoffs for 418 standard reports, which are fixed at $b_{SR-}=0$ and $b_{SR+}=1$), by the shape of the fitness 419 function determined by exponent ϵ , by the number of research cycles per generation m, by 420 survival threshold δ , and by competition γ (see Table 1 for an overview of the model 421 parameters and their values considered in the simulation). It is important to keep in mind 422 that a researcher's publication strategy s is not an absolute decision: It determines how the 423 choice between Registered Reports and standard reports is made, not which format is chosen. 424 As such, s indicates the amount of risk a researcher is willing to take. Very low values of s 425 reflect risk proneness: The researcher prefers to gamble and chooses the standard publication 426 route for almost all hypotheses they encounter, using the Registered Report route only for hypotheses that are virtually guaranteed to be false (and yield negative results). Very high 428 values of s reflect risk aversion: The researcher is unwilling to risk a negative result in a standard report and studies almost all hypotheses they encounter in the Registered Report 430 format, reserving the standard publication route for hypotheses that are virtually guaranteed 431 to be true (and yield positive results). 432

Simulation approach. We use the evolutionary mechanism of this agent-based model as a means for identifying optimal behaviour under different conditions. But this goal can also be achieved in other ways. One non-evolutionary alternative is to calculate expected fitness (i.e., the long-run average) for a wide range of s and determine which strategy maximises it in each condition. A drawback of this approach is that it does not account for

population dynamics and therefore cannot easily simulate the effects of competition. Because
of this limitation, our study is based on the evolutionary model. However, we validate all
analyses except those involving competition on the expected-fitness model and show that
both models produce virtually identical results (see Appendix).

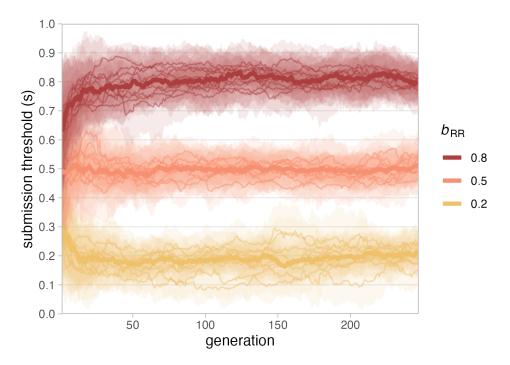


Figure 3. Evolution of publication strategy s with 3 different payoffs for Registered Reports (b_{RR}) . Simulations are based on a population of n=500 researchers over 250 generations, with payoffs for standard reports fixed at 0 for negative results $(b_{SR-}=0)$ and 1 for positive results $(b_{SR+}=1)$, a linear fitness function $\epsilon=1$, one research cycle per generation (m=1), no survival threshold $(\delta=0)$ and no competition $(\gamma=1)$. Each condition was run 10 times. Thin lines represent the median publication strategy of the population in each run, shaded areas represent the inter-quartile range of publication strategies in the population in each run, and thick lines represent the median of run medians per condition.

Simulation results

442

The results of the simulation models will be presented in order of increasing model complexity. We start by explaining the very simple scenarios shown in Figure 3 and Figure 4. These scenarios are identical to situations discussed above and the results should thus be unsurprising. However, while they may seem trivial to some, we hope that these explanations will help unfamiliar readers understand the basic functioning of our model as

well as the less intuitive results presented later.

468

When interpreting the results below, one should bear in mind that the analysed
parameter values are inherently arbitrary. Although the model parameters are intended to
capture important characteristics of real-world concepts, their values do not represent
real-world units. The goal of this analysis is to understand the relative effects of the model
parameters in a simplified, artificial system, which means that the results are only
meaningful in relation to each other.

Single research cycle per generation, linear fitness function

The first generation of researchers in each simulation run is initialised with randomly 456 distributed publication strategies s (drawn from a uniform distribution [0-1]), which are then allowed to evolve over the subsequent generations. Figure 3 shows the effect of varying the payoffs for Registered Reports when the fitness function is linear ($\epsilon = 1$), with no 459 survival threshold ($\delta = 0$), no competition ($\gamma = 1$), and one research cycle per generation 460 (m=1). In this very simple scenario, evolved publication strategies (s) approximate the 461 payoff for Registered Reports in each condition, indicating that the optimal publication 462 strategy is always equal to b_{RR} ($s_{optimal} = 0.2$ when $b_{RR} = 0.2$, $s_{optimal} = 0.5$ when $b_{RR} = 0.5$, 463 $s_{optimal} = 0.8$ when $b_{RR} = 0.8$). The reason behind this is the uniform distribution [0-1] of 464 hypothesis priors, the payoff structure $b_{SR-}=0$ and $b_{SR+}=1$, and the linear fitness function 465 $(\epsilon = 1 \text{ means that fitness equals payoff})$. In this constellation, the expected fitness obtained 466 from a standard report is always equal to the prior of the tested hypothesis: 467

$$E[f_{SR}] = (p * b_{SR+} + (1-p) * b_{SR-})^{1} = p * 1 + (1-p) * 0 = p$$
(2)

For example, testing a hypothesis with p = 0.2 in a standard report would yield the

expected fitness $E[f_{SR}] = (0.2 * 1 + 0.8 * 0)^{1} = 0.2$. The optimal strategy is to submit a 469 Registered Report whenever the expected fitness provided by a standard report is lower than 470 the fitness provided by a Registered Report, $E[f_{SR}] < b_{RR}$, and thus whenever $p < b_{RR}$. 471 This ensures that researchers always get the best of both worlds, minimising shortfalls when 472 priors are (too) low and maximising winning chances when priors are (sufficiently) high. For 473 example, $b_{RR} = 0.5$ is larger than $E[f_{SR}]$ for all hypotheses with p < 0.5 but smaller than 474 $E[f_{SR}]$ for all hypotheses with p > 0.5. In this situation, researchers who submit Registered 475 Reports whenever p < 0.5 and standard reports whenever p > 0.5 protect themselves against 476 losing a bad bet by instead taking the fixed payoff $b_{RR} = 0.5$, but always play a good bet and 477 thus maximise their chances of winning $b_{SR+}=1$. Every alternative is inferior in the long 478 run because researchers with $s > b_{RR}$ lose out on increased chances of publishing a standard 479 report and researchers with $s < b_{RR}$ take unnecessary risks and go empty-handed too often.

Allowing for non-linear fitness functions

Arguably, the career benefits researchers receive from publications in the real world are 482 rarely, if ever, linear. In early career, we may assume a convex fitness function, with each 483 addition to the short publication record of a young researcher yielding increasing returns for 484 their prospects on the job market and their ability to obtain grant funding. A notable 485 exception may be PhD students who plan to leave academia after obtaining their degree, and for whom the career returns of publications exceeding the PhD requirements are thus 487 strongly decreasing (concave fitness function). Researchers who stay in academia may experience that the career returns for each additional publication begin to decrease as their 489 publication record grows, meaning that advanced career stages may also be characterised by 490 a concave fitness function.

Figure 4 contrasts the effects of two concave fitness functions ($\epsilon = 0.2$ and $\epsilon = 0.5$,
shown in blue shades) and two convex fitness functions ($\epsilon = 2$ and $\epsilon = 5$, shown in red
shades) with a linear function ($\epsilon = 1$, grey line) for different payoffs for Registered Reports,

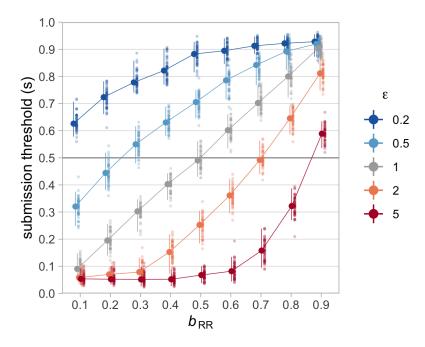


Figure 4. Effect of fitness functions on evolved publication strategies. Shown are median publication strategies in the final (250^{th}) generations of 50 runs for different values of b_{RR} (x-axis) and different fitness functions (characterised by exponent ϵ), with one research cycle per generation (m=1), no survival threshold $(\delta=0)$ and no competition $(\gamma=1)$. Fitness functions with $\epsilon=0.2$ and $\epsilon=0.5$ (blue lines) are concave with diminishing returns, functions with $\epsilon=2$ and $\epsilon=5$ (red lines) are convex with increasing returns, and the function with $\epsilon=1$ (grey line) is linear. Small dots represent median s of the final generation in each run, large dots represent the median of these 50 run medians per condition. Error bars represent the 95% capture probability around the median of medians.

in the same simple scenario with only one research cycle per generation. The grey line for 495 $\epsilon = 1$ represents the already familiar situation from Figure 3 above: When the fitness 496 function is linear, the optimal strategy is $s_{optimal} = b_{RR}$. Non-linear fitness functions deviate 497 from this pattern exactly as expected based on Figure 1. When additional payoffs yield 498 diminishing returns ($\epsilon < 1$), Registered Reports become more attractive even when they are worth less than the expected payoff for standard reports. As explained above, this is because 500 concave functions 'shrink' the difference between moderate and high payoffs relative to the 501 difference between low and moderate payoffs. Conversely, when additional payoffs yield 502 increasing returns ($\epsilon > 1$), Registered Reports are unattractive unless their payoffs are 503 almost as large as those for published standard reports because convex functions increase the 504

difference between moderate and high payoffs relative to low versus moderate payoffs.

When different fitness functions are taken to reflect different career stages, this pattern 506 suggests that Registered Reports should be more attractive for senior researchers and a 507 tough sell for early-career researchers. Interestingly, preliminary empirical evidence suggests the opposite: Registered Reports appear to be more likely to have early-career researchers as 509 first authors than standard reports (77% vs 67% in the journal Cortex, Chambers & Tzavella, 510 2022). One explanation for this counterintuitive result could be that Registered Reports are 511 disproportionally used by early-career researchers who intend to leave academia and thus 512 have a concave fitness function. Alternatively, factors or dynamics not considered in this 513 simulation may swamp out the effects of concave vs convex fitness functions, such as younger 514 researchers being more likely to adopt new methods. However, as we will see below, the 515 effects of different fitness functions are not always as straightforward as in the simple case 516 illustrated in Figure 4 but produce different results in interaction with other risk-related 517 factors. 518

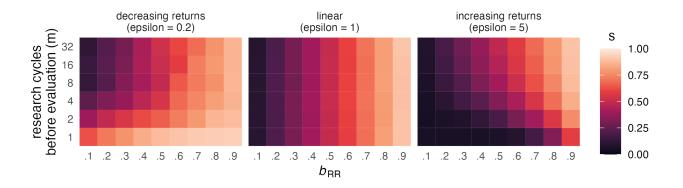


Figure 5. Effect of research cycles per generation on evolved publication strategies. Shown are median evolved publication strategies (s) after 250 generations in 50 runs (tile colour represents the median of 50 run medians) depending on the number of research cycles per generation (m, y-axis), different values of b_{RR} (x-axis), and different fitness functions (characterised by exponent ϵ) with no survival threshold ($\delta = 0$) and no competition ($\gamma = 1$).

Varying the number of research cycles per generation

The analyses presented so far focused on the simple case of one research cycle (or 520 decision event) per generation, meaning that researchers' fitness was calculated based on the 521 payoff from one single study. As discussed above, increasing numbers of decision events prior 522 to evaluation may make individuals more risk-prone because single negative outcomes are 523 less catastrophic for reproduction (Haaland et al., 2019). However, Figure 5 shows that this 524 is not universally true—rather, the effect of increasing numbers of research cycles per 525 generation (m) depends on the shape of the fitness function. Moving up on the y-axis of 526 each panel, we see that s decreases (indicating greater risk proneness) only when the fitness 527 function is concave ($\epsilon = 0.2$, left panel) but stay constant when it is linear ($\epsilon = 1$, middle panel) and even *increases* when it is convex ($\epsilon = 5$, right panel).

Why does m appear to have opposite effects for concave and convex fitness functions? 530 As a starting point, it helps to first consider only the bottom row of each panel, where 531 m=1. These three rows contain the same results as the top, middle, and bottom curves in 532 Figure 4 and show risk aversion when $\epsilon = 0.2$ (i.e., Registered Reports are attractive even 533 when they yield a low payoff), risk proneness when $\epsilon = 5$ (Registered Reports are 534 unattractive even when they yield a high payoff), and a linear strategy $s_{optimal} = b_{RR}$ when 535 $\epsilon = 1$. From this starting point, the two panels with non-linear fitness functions start to 536 approximate the linear case as m increases. This pattern reflects the idea that fitness is 537 better captured by the geometric mean when m is low, and better captured by the 538 arithmetic mean when m is high (Haaland et al., 2019). 539

To better understand this dynamic, let's consider two researchers with extreme submission strategies: Regina Register conducts only Registered Reports ($s_{Regina} = 1$),
Darren Daring conducts only standard reports ($s_{Darren} = 0$). The payoff for Registered Reports is fixed at $b_{RR} = 0.5$. After one research cycle, Regina receives a payoff of 0.5 and Darren receives either 0 or 1 (with 50/50 odds). If fitness is calculated after this one round

with $\epsilon=0.2$ (concave function, yielding diminishing returns), Regina's fitness is $f_{Regina}=\frac{1}{2}^{\frac{1}{5}}=0.87$, and Darren's fitness is either $f_{Darren-}=0^{\frac{1}{5}}=0$ or $f_{Darren+}=1^{\frac{1}{5}}=1$.

In a population of 100 Reginas and 100 Darrens, there will be roughly 50 lucky Darrens who get a positive result and 50 Darrens who get a negative result. Lucky Darrens have a narrow fitness advantage over all Reginas (1 versus 0.87), while unlucky Darrens lose to all Reginas by a wide margin (0 versus 0.87). Since there are twice as many Reginas as lucky Darrens, the Regina strategy is relatively more successful.

Let's now consider the same scenario with m=4 research cycles per generation. 552 Reginas receive the same payoff in every round and accumulate $b_{total} = \frac{1}{2} * 4 = 2$. Lucky 553 Darrens (who win every time) accumulate $b_{total} = 1 * 4 = 4$, while unlucky Darrens (who lose 554 every time) again receive 0 total payoff. Now, however, the probabilistic outcomes over 4 555 rounds lead to three additional versions of Darren: moderately lucky (winning 3/4 times), 556 average (2/4, receiving the same total payoff as Reginas), and moderately unlucky (1/4). 557 Translating payoffs into fitness, the Regina strategy $(f_{Regina} = 2^{\frac{1}{5}} = 1.15)$ still yields an 558 enormous advantage compared to unlucky Darrens $(f_{Darren_{unlucky}} = 0)$ and only a small 559 disadvantage compared to lucky Darrens ($f_{Darren_{lucky}} = 4^{\frac{1}{5}} = 1.32$). But this time, there are 560 fewer Darrens who are less successful than Reginas because Reginas now share their place 561 with average Darrens. The relative fitness advantage of the Regina strategy thus decreases. 562 As the rate of research cycles per generation grows, the law of large numbers dictates that 563 more and more Darrens achieve average total payoffs, while fewer and fewer Darrens achieve 564 extreme total payoffs (winning 32 times in a row is much less probable than winning 4 times 565 in a row). This reduces the width of the Darren distribution until it approximates the 566 Regina distribution — meaning that optimal publication strategies become identical to those 567 optimal for a linear fitness function.

When the fitness function is convex ($\epsilon = 5$, yielding increasing returns), the overall effect of increasing values of m is the same, with the only difference that Reginas are initially

disadvantaged (because their fitness distance to the lucky half of Darrens is much greater 571 than than to the unlucky Darrens). With larger m, more and more Darrens receive average 572 total payoffs and share Regina's disadvantaged position (decreasing Regina's relative 573 disadvantage), until the Darren distribution is again virtually equal to the Regina 574 distribution. Rather than causing absolute risk aversion, increasing values of m thus counter 575 the effect of ϵ and reduce the effects of concave and convex fitness functions to the linear 576 case. Consequently, the top rows (m=32) of the top and bottom panels in Figure 5 577 resemble the stable pattern across all m shown in the middle panel. 578

Translated into terms of academic careers, this less intuitive pattern indicates that 579 being able to complete empirical studies at a higher rate—e.g., when working in a field 580 where data collection is fast and cheap or when having more resources for data collection available — may cancel out the effects of different career stages. This could partly explain why Registered Reports appear to be less popular among senior researchers (Chambers & 583 Tzavella, 2022) than we would expect based on the effects of different fitness functions alone: Although additional publications likely yield diminishing returns in later career stages (concave fitness function), academic seniority often comes with resources that boost research output per time (e.g., more lab members). As a consequence, established professors may be 587 relatively indifferent to Registered Reports. Regarding junior researchers (for whom 588 additional publications have increasing returns on career success), the results suggest that 589 they may be especially reluctant to use Registered Reports when they have very limited time 590 or resources to produce publications before an important selection event, such as on 591 short-term postdoc contracts (R. Müller & de Rijcke, 2017). 592

Absolute survival thresholds

The survival thresholds (δ) in our model represent absolute publication targets that researchers must meet in order to progress in their career. The clearest examples for such thresholds are PhD regulations and tenure agreements. To be awarded with a PhD, many

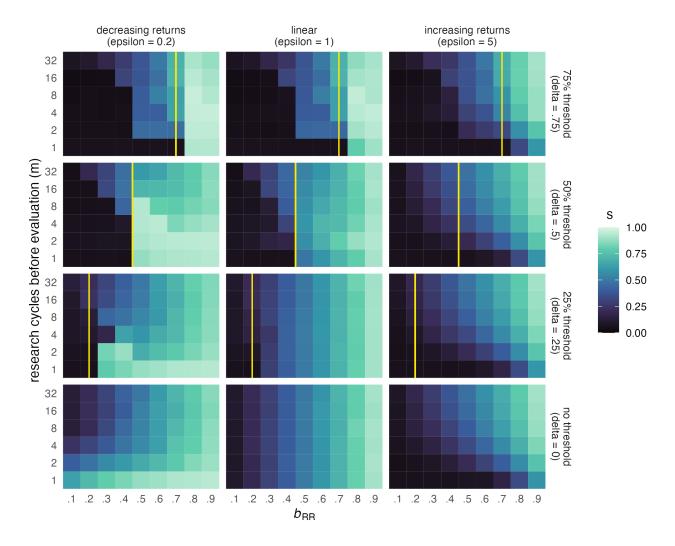


Figure 6. Effect of survival thresholds on evolved publication strategies. Shown are median publication strategies (s) after 250 generations in 50 runs (tile colour represents the median of 50 run medians) depending on survival thresholds $(\delta, \text{ shown as vertical yellow line})$, fitness functions (characterised by exponent ϵ), numbers of research cycles per generation (m), and values of b_{RR} , in the absence of competition $(\gamma = 1)$. Survival thresholds are set as proportions of m, i.e., as a percentage of the maximum possible payoff in each condition. To reproduce, researchers must accumulate a total payoff exceeding $\delta * m$.

institutions and faculties require candidates to have a certain number of their thesis chapters
published in peer-reviewed journals. Similarly, tenure agreements may include publication
targets in the form of a minimum number of peer-reviewed publications within a certain
time, sometimes also specifying minimal journal ranks (Liner & Sewell, 2009). Such
requirements may represent low, medium, or high survival thresholds depending on how
demanding they are (e.g., the proportion of thesis chapters that must be published).

We investigate the effects of survival thresholds representing 25%, 50%, and 75% of the 603 maximum possible payoff researchers can achieve in one generation. When $\delta > b_{RR}$, Registered Reports alone are not sufficient to reach the survival threshold (b_{RR} values to the 605 left of the yellow line in Figure 6). For example, at m=4, a survival threshold of 75% 606 $(\delta = .75)$ means that researchers must gain at least 3 points to be able to reproduce. When 607 $b_{RR} = .7$, submitting four Registered Reports will only amount to 2.8 points in total, just 608 short of meeting the threshold. On the other hand, when $b_{RR} = .8$ (i.e., just above δ), four 609 Registered Reports would yield 3.2 points and thus ensure reproduction. Choosing the 610 standard route some of the time can increase fitness even further, but also increases the risk 611 of not meeting the survival threshold. As a consequence, one may intuitively expect 612 Registered Reports to be popular whenever $\delta \leq b_{RR}$ and unpopular whenever $\delta > b_{RR}$. 613

Figure 6 shows that this is true in many, but not all conditions. First, we can see that 614 survival thresholds have their biggest effect when the number of research cycles per 615 generation is low—at high values of m, publication strategies are virtually unaffected in all 616 conditions. Second, survival thresholds have a stronger effect when the fitness function is 617 linear ($\epsilon = 1$) or concave ($\epsilon = 0.2$). In these two conditions, they produce very similar patterns: The Registered Report route is almost never chosen when b_{RR} is too low to meet 619 the survival threshold (particularly at $\delta = .25$ and $\delta = .5$; less so at $\delta = .75$), and this effect 620 tapers off as the number of research cycles increases. Compared to baseline, the change is 621 particularly striking for the concave fitness function ($\epsilon = 0.2$, left column in Fig. 6), where 622

RRs are normally preferred at low m. When the survival threshold is high ($\delta = .75$) or the fitness function is concave, we can also see that Registered Reports become more popular than baseline when they are worth just enough to pass the survival threshold. For the convex fitness function ($\epsilon = 5$) on the other hand, survival thresholds of 25% and 50% seem to have no effect at all. Only a high threshold of 75% makes RRs even less popular when they have low value ($b_{RR} \leq 0.4$), especially when the number of research cycles is low.

What does this mean in practice? In our model, fitness (according to the three 629 different fitness functions) is calculated after the survival threshold has been met. This is meant to mimic publication requirements that are expressed in raw numbers. Importantly, it 631 also means that our simulation shows which strategies during a PhD or on the tenure track 632 lead to maximal fitness after researchers have successfully obtained their PhD or have been 633 granted tenure. With this in mind, it becomes easier to understand the meaning of the 634 different fitness functions. As discussed above, PhD candidates plausibly receive increasing 635 returns for additional publications (convex fitness function), unless they intend not to stay in 636 academia, in which case returns are strongly decreasing (concave fitness function). For 637 researchers on the tenure track, the fitness function after achieving tenure is also likely 638 concave, assuming a) that achieving tenure is one of the most important career goals for 639 many (making further progress relatively less important) and b) that such individuals have 640 already built up substantial publication records, to which any single addition makes less and 641 less of a difference. However, exceptions from this scenario may well exist, for example in 642 situations where tenured researchers are under great pressure to obtain grant funding. 643

Translated to real-world scenarios, our results thus suggest the following implications:
First, survival thresholds are almost irrelevant when researchers can complete large numbers
of studies before they are evaluated (reflecting characteristics of the research field, available
resources, or length of the evaluation period). Second, researchers with a convex fitness
function—such as PhD candidates who are pursuing an academic career—are only affected

by high survival thresholds, which lead them to choose Registered Reports even less often
than normal when their value is low. Third, researchers with a concave fitness
function—such as tenure candidates or PhD students who aim for careers outside of
academia—are highly sensitive to the value of Registered Reports: They virtually never
conduct Registered Reports when their value is too low for meeting the survival threshold,
but strongly prefer them when their value is sufficient (especially when empirical pace is low
and/or the survival threshold is high).

656 Competition

Competition occurs whenever the demand for academic positions or grant funding 657 exceeds the supply. Figure 7 shows that competition generally leads to an aversion of 658 Registered Reports, as can be seen by the darkening of the plots when moving up from the 659 bottom row of panels. The only exception to this rule is very low competition: When the top 660 90% are allowed to reproduce (and only the bottom 10% are rejected, $\gamma = .9$), Registered 661 Reports become more popular than they are in the absence of competition. This effect is 662 strongest for the concave fitness function ($\epsilon = 0.2$), where it holds for almost all values of 663 b_{RR} at very low numbers of m and for high values of b_{RR} at high numbers of m. When the 664 fitness function is linear or convex, Registered Reports are chosen more often only when both 665 b_{RR} and m are high. At higher levels of competition ($\gamma > .5$), the differences between the fitness functions disappear. In all three cases, Registered Reports are essentially wiped out for low numbers of research cycles (m), and this effect increases with competition (the higher the competition, the higher m must be for Registered Reports to still be viable). Intense competition also negatively affects Registered Reports at high numbers of m, but here the 670 general pattern of the baseline condition (a linear increase of Registered Reports popularity with b_{RR}) remains intact. 672

Looking at the first three rows of panels in Figure 7 (1%, 5%, and 10% competition), the extreme effect of competition at low m appears to decrease slightly when competition is

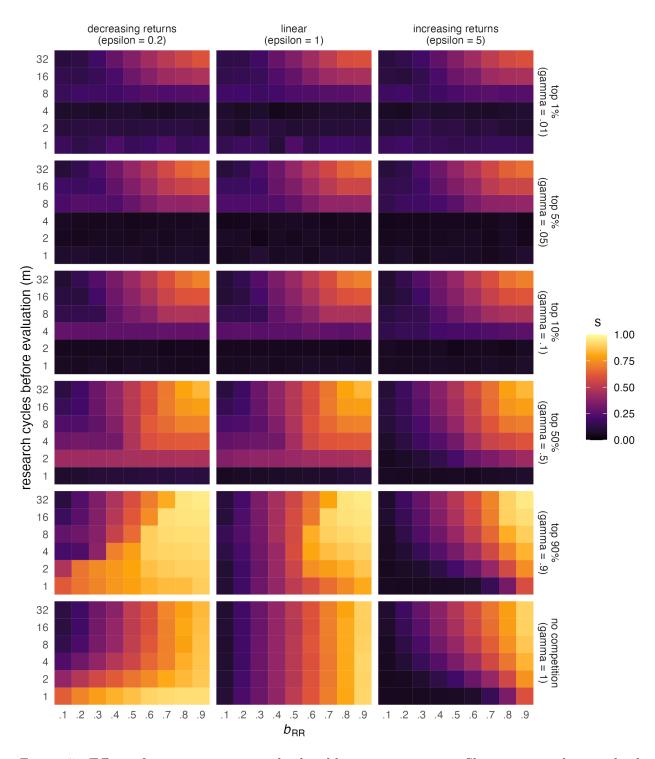


Figure 7. Effect of competition on evolved publication strategies. Shown are median evolved publication strategies (s) after 250 generations in 50 runs (tile colour represents the median of 50 run medians) depending on the intensity of competition (γ , y-axis), numbers of research cycles per generation (m), different values of b_{RR} (x-axis), and different fitness functions (characterised by exponent ϵ) with no survival threshold ($\delta = 0$). To reproduce, researchers must accumulate a total payoff in the top γ proportion of the population.

highest ($\gamma = .01$), indicated by the dark bar at the bottom of each panel becoming slightly 675 lighter. This paradoxial result is not due to Registered Reports being more lucrative in those 676 conditions. Rather, competition is so extreme that the natural selection in our model starts 677 operating more on chance than on individuals' traits. Essentially, only individuals with the 678 maximum possible payoff (publishing only standard reports with positive results) are able to 679 reproduce. Most likely to receive this maximum payoff are individuals who investigate 680 hypotheses with high prior probabilities. In our model, this is not a trait that can be passed 681 on, but determined by random chance. Among individuals who experience this kind of luck, 682 the variance of publication strategy s should be high: A hypothesis with prior p = .95 will be 683 submitted as a standard report and likely yield a positive result (and thus the maximum 684 payoff) regardless of whether the researcher's publication strategy is as low as s=.1 or has 685 high as s = .9. The higher average s at low m under extreme competition thus reflects relaxed selection pressure on s. This is also evident by the shades of the dark bar at the bottom of the panels for $\gamma = .01$ (Fig. 7), which fluctuate randomly for each level of m rather than showing a specific pattern. A clearer illustration of the effect can be found in 689 Figure XXX in the appendix, which shows large increases in the variance of evolved 690 publication strategies in these conditions. At higher m, selection on s stays intact simply 691 because much fewer individuals will be very lucky 4, 8, 16, or 32 times in a row than once or 692 twice in a row, and publication strategy thus remains an important factor. 693

This effect of relaxed selection is not an arbitrary feature of our model, but commonly
encountered in natural populations (Snyder, Ellner, & Hooker, 2021). In many species, luck
can have an outsized impact on survival and reproduction, rendering the effects of individual
traits relatively less important. Luck does not eliminate natural selection⁶, but it can
significantly slow it. XXX TRY LONGER SIM RUNS & REPORT HERE XXX The
phenomenon is related to one form of survivorship bias: Looking at 'survivors' of a highly

⁶ This is also apparent in Figure XXX (Appendix): Although the variance of evolved s increases dramatically with high competition, it never spans the entire range of s.

selective process, one may erroneously infer that specific observable traits or behaviours of such individuals were the cause of their success when those were actually merely coincidental.

In the academic world, researchers compete for tenured positions and grants. The level 702 of competition may vary between research areas, countries, institutions, grant programmes, 703 and so on. Our findings suggest that intense competition may be a significant threat for the 704 viability of Registered Reports, regardless of career stage. This effect is particularly extreme 705 when very few research cycles can be completed before an evaluation event (e.g., in fields 706 with low empirical pace, in labs with few resources, or on short-term contracts): In such 707 situations, publication strategies that involve any amount of Registered Reports are only 708 viable when competition is so high that success requires extraordinary luck. In contrast, very 709 low but non-zero levels of competition increase the popularity of Registered Reports, 710 especially when their value is high, when the fitness function is concave (e.g., in later career 711 stages), and when researchers can complete many studies before being evaluated. 712

713 Discussion

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In the artificial world of the model presented here, the standard publication route is a coin toss—the probability of obtaining a publishable result is 50% on average⁷, translating to an expected payoff of 0.5 points per study. If Registered Reports are a safe alternative to this gamble and guarantee publication in every case, one might think that payoff-maximising researchers would prefer them whenever they are worth more than the expected payoff from standard reports and avoid them whenever they are worth less. This intuition, however, rests on the assumption that the career benefits researchers receive from publications are linear and involve no step changes.⁸ We argue that this assumption is violated in many, if not all,

⁷ This is the case because we modelled the prior probability of tested hypotheses as being uniformly distributed between 0 and 1 and as being identical to the probability of obtaining a positive (i.e., publishable) result.

⁸ Linearity is violated when the fitness function is concave or convex ($\epsilon \neq |1|$), but also in the presence of survival thresholds or competition, because these effectively introduce a step-change in the fitness function (low but non-zero payoffs yield zero career benefits).

real-world situations. Here, we investigated the impact of four factors that likely shape real-world situations: convex vs concave fitness functions (additional publications yielding 723 either increasing or decreasing returns, reflecting early vs later career stages), empirical pace 724 (reflecting differences in speed and cost of data collection, available resources, or available 725 time), survival thresholds (reflecting absolute publication targets researchers must meet in a 726 given time), and competition for jobs or grants. Our results show that in isolation or 727 combined, many of these factors would lead researchers with career-maximising strategies to 728 avoid Registered Reports—even when Registered Reports are worth more than the expected 729 payoff from standard reports.

To summarise the results, it is useful to take the middle panel of Figure 5 ($\epsilon = 1$) as a 731 baseline. In this panel, publication payoffs translate into linear career benefits (the fitness 732 curve is linear and there is no survival threshold and no competition), and the outcome is 733 highly intuitive: Researchers prefer Registered Reports whenever they are worth more than 734 0.5 points, and their preference is exactly proportional to b_{RR} and not affected by empirical 735 pace. Compared to this baseline, Registered Reports are less popular when a) additional 736 publications yield increasing returns (e.g., in early career) and empirical pace is low, b) when 737 researchers face a survival threshold that cannot be met with Registered Reports alone, 738 especially when publications yield decreasing returns once the threshold has been met (e.g., 739 in advanced career stages) and empirical pace is low, and c) when there is substantial 740 competition. Competition has the most extreme effect and can cause a complete avoidance 741 of Registered Reports when empirical pace is low. Conversely, Registered Reports are more popular than at baseline when a) additional publications yield decreasing returns and empirical pace is low, b) Registered Reports are worth just enough to reach a survival threshold and publications yield decreasing returns after the threshold is met, especially 745 when empirical pace is low, and c) when there is very low but non-zero competition, 746 especially when publications yield decreasing returns or empirical pace is high.

Looking at the interactions of the different factors, three observations stand out. First, 748 high empirical pace attenuates the effects of all other factors—at the highest pace we 749 considered (32 research cycles before evaluation), outcomes are identical to baseline in 750 almost all conditions. The only exception to this rule is high competition, but although 751 Registered Reports are relatively less attractive in this condition, the basic pattern is 752 preserved and they remain viable when their value is high. Second, the effect of survival 753 thresholds strongly depends on the shape of the fitness function, suggesting that publication 754 targets may have the strongest impact in advanced career stages. Third, the opposite is true 755 for high competition, which cancels out the effects of different fitness functions and thus 756 appears to have virtually the same impact across career stages. 757

758 Implications

Our model predicts Registered Reports to be least popular when low empirical pace is 759 combined with intense competition or with publication targets that cannot be met with 760 Registered Reports alone. Translated to real-world academia, this suggests that fields or labs 761 in which productivity is limited by lacking resources or the cost or speed of data collection 762 (e.g., research relying on expensive or rare equipment, research on populations that are 763 difficult to access) deserve special attention. Researchers in such situations may avoid the 764 format when they must achieve publication targets that ask for a minimum number of 765 publications in high-impact journals (e.g., as part of a tenure agreement), or when facing 766 substantial competition for job positions or pressure to obtain competitive grants (e.g., if 767 salary or research time depend on bringing in grants). When competition is high, such researchers may favour standard reports even if Registered Reports are almost as valuable as the best possible outcome from a standard report. Given that the last decades have seen vast increases in PhD students but relatively stable numbers of tenured positions in many countries (Cyranoski, Gilbert, Ledford, Nayar, & Yahia, 2011), substantial competition may 772 in fact be the default in many research fields, which could be one explanation for the 773

currently low market share of Registered Reports.

775

Possible interventions to increase the popularity of Registered Reports.

What would make Registered Reports more attractive? One answer, of course, is to change 776 the just-mentioned situational factors that make Registered Reports unpopular. However, 777 with the exception of tenure agreements and PhD regulations, these factors are difficult to 778 intervene on — competition and empirical pace cannot be changed easily, if at all. A more 779 feasible approach may be to change the payoff structure of Registered Reports relative to 780 standard reports. In the terms of our model, this could be achieved by increasing either the 781 mean or the variance of the career-relevant payoffs that authors receive from a publication. 782 For simplicity, we treated payoffs as net payoffs, meaning the difference between the benefits 783 and costs of each publication route. In reality, the payoffs associated with Registered Reports can thus be raised by either increasing their benefits or lowering their costs (or both) relative to those of standard reports. This implies three potential targets for intervention in total: the benefits of Registered Reports, the costs of Registered Reports, and the variance 787 of the net payoff of Registered Reports, each relative to standard reports. 788

Increasing the benefits of Registered Reports. The starting point of our study 789 was that whether and where a study is published is partly influenced by the study's results 790 in standard reports, but not in Registered Reports. We thus focus on the author benefits 791 associated with the prestige and impact of the publishing journal (assuming that these 792 parameters are both directly relevant for authors and causally influence citations, another 793 relevant parameter, Traag, 2021). These benefits could be raised if more prestigious, high-impact journals offered the format. High-impact journals may currently be reluctant to offer Registered Reports for fear of being forced to publish studies with uninteresting results, which might be cited less often. Even when offering the format in principle, the same concern may lead such journals to be prohibitively selective during Stage-1 review and reject 798 nearly all proposals. Perhaps as the result of such a dynamic, the journal *Nature* launched a 799

Registered-Reports submission track in February 2023 (Nature, 2023), but appears to have published at most one Registered Report by August 2024.⁹ In practice, journals who are willing to participate in raising the value of Registered Reports should thus strive for designing an editorial process which, if ambitious, does not set unrealistic standards.

The value of Registered Reports can also be raised by those who ultimately provide the 804 'career-relevant benefits' associated with a publication, namely faculty committees 805 responsible for hiring and promotion decisions. Placing a premium on Registered Reports in 806 tenure agreements, promotion criteria, and hiring processes could increase the attractiveness 807 of the format substantially. This idea is in line with recent calls for greater emphasis on 808 rigorous and transparent research methods in hiring, promotion, and tenure decisions (e.g., 800 Moher et al., 2018), for example by including so-called 'open-science statements' in job ads 810 (Schönbrodt, 2016; Schönbrodt et al., 2018). However, most such statements currently either 811 do not define specific practices or mention only preregistration and not Registered Reports 812 (Schönbrodt et al., 2018). Explicitly highlighting Registered Reports in job ads and 813 weighting them more heavily than standard reports in hiring, promotion, and tenure 814 decisions could therefore be a promising strategy. 815

Decreasing the costs of Registered Reports. Compared to standard reports,
Registered Reports may be more costly for authors due to the additional stage of peer review
and stricter requirements for methodological rigour and sample size. For example, Registered
Reports (but not standard reports) in Nature Human Behaviour currently must provide
sampling plans aiming for at least 95% statistical power or a Bayes factor of 10 (Nature
Human Behaviour, n.d.). However, although it may be relatively easy to lower such
standards, doing so would also lower the quality of published Registered Reports and thus

⁹ We used the search function on *Nature*'s website to search for the string 'Registered Report' (entered without quotes) in research articles published since 22nd February 2023 and then searched the full texts of the 72 search hits for the string 'registered'. None of the articles was unambiguously marked as a Registered Report. Only one article (Aslett et al., 2024) contained the term 'registered report' and was phrased in a way that may be consistent with the Registered Reports format.

partly defeat their purpose of providing high-quality evidence. This problem illustrates that many of the additional costs associated with Registered Reports may be 'good costs' that increase the quality of the resulting publications (see also Tiokhin, Yan, & Morgan, 2021). To preserve this quality, cost cutting may need to be confined to removing unnecessary inefficiencies, such as certain bureaucratic aspects of the submission or review process.

Alternatively, the relative costs of Registered Reports could be decreased by increasing
the costs of standard reports. Going back to the example above, a high-impact journal like
Nature Human Behaviour could reasonably demand the same level of methodological rigour
from standard reports as from Registered Reports. This would reduce the marginal
advantage of standard reports over Registered Reports in terms of the investment required
from authors (making Registered Reports relatively more attractive), while at the same time
raising the quality of all studies published by the journal.

Increasing payoff variance. In the classic Registered Reports model, authors 835 must choose a journal before having full knowledge of the value of the eventual study (i.e., 836 before results are known and the final manuscript is written up). From the authors' 837 perspective, the pre-data publication guarantee by the chosen journal puts a cap not only on 838 the worst possible outcome, but also on the best possible outcome. Another approach to 839 making Registered Reports more attractive is therefore to remove the upper cap and give 840 authors more publication options after the research has been completed. This could be made 841 possible by a recent initiative: In April 2021, the post-publication peer review platform Peer 842 Community In (PCI) introduced a new model of Registered Reports in which authors are no 843 longer tied to a specific journal. PCI Registered Reports offers authors the regular process of 844 Stage-1 and Stage-2 review (including in-principle acceptance after Stage 1), but the end 845 result of a successful submission is simply a preprint with a so-called 'recommendation' from

¹⁰ In principle, authors are free to withdraw a Registered Report before publication and submit their manuscript elsewhere, but this strategy would incur additional costs and risks (a new review process with unknown outcome) and may be perceived as violating a social norm.

PCI. Authors can subsequently publish their manuscript in one of several journals who partnered with PCI and either rely on the PCI review process alone or offer a streamlined 848 review process for PCI-recommended preprints. Alternatively, authors are free to submit to 849 any other journal as if their manuscript were a standard report. This innovation gives 850 Registered-Reports authors significantly more freedom to capitalise on the results of their 851 study because a submission to PCI Registered Reports does not preclude the chance of a 852 high-impact publication. As of August 2024, there are 35 journals which accept Registered 853 Reports recommended by PCI without further review. With the growth of this list, and 854 particularly the inclusion of more high-impact journals, the PCI Registered Reports model 855 has the potential to change the incentive structure of Registered Reports in a profound way. 856

857 Limitations and future directions

By design, our model is based on assumptions that simplify and exaggerate some 858 aspects of real-world academia and ignore many others. First, we use an extreme, 850 one-dimensional concept of publication bias: All positive results are published, all negative 860 results remain unpublished, and results are determined only by the prior probability of the 861 hypotheses. Real-world publication decisions are of course based on many other factors as 862 well, such as the relevance of the research question and the validity of the study design. And 863 unlike in our model, tests of hypotheses with higher priors will not always be more publishable, for the simple reason that positive results of trivial (or previously tested) hypotheses are usually not highly valued (although it has been argued that research in social psychology is sometimes based on hidden tautologies, Wallach & Wallach, 1994).

A more ecologically valid approach may be to model publication bias as favouring results that shift prior beliefs (Gross & Bergstrom, 2021). Adapting the model presented here to capture this concept of bias could be an interesting future direction. However, the present version of the model allows a conservative interpretation in which the prior probability of hypotheses simply reflects authors' predictions of the eventual publication value of different research questions. This interpretation is still congruent with Registered
Reports and standard reports differing in risk, because publication value depends more
strongly on the study results in standard reports than in Registered Reports.

Second, we assume that authors have perfect knowledge of the probability that they 876 will obtain positive (or publishable) results. The assumption that authors have some prior knowledge of the results they might obtain is the starting point of our study, because this 878 would enable strategic decisions about when to (not) use Registered Reports. As long as this 879 assumption holds (i.e., authors are not completely ignorant), adding noise and even bias to 880 authors' prior beliefs would have a diluting effect on the simulation results, but should not 881 change the general pattern. Things may get more complicated, however, when considering 882 individual differences in prediction accuracy or bias. In our model, researchers who are 883 better at predicting the results (or publishability) of their studies would outperform 884 researchers whose predictions are more noisy or biased. In reality, certain biases may 885 actually be beneficial, for example if overconfident individuals are also better at convincing 886 editors and reviewers of the value of their studies. 887

A third, related limitation is that although researchers in the model know the prior of 888 their hypotheses, they have no control over which hypotheses they test (hypotheses are 889 randomly allocated). Of course real researchers can choose their own research questions, and 890 this freedom may influence their publication strategies. In particular, researchers who are 891 better at choosing research questions that are likely to result in high-impact publications 892 (through talent or experience) may find Registered Reports less attractive. This is an 893 example of ability-based risk taking: Individuals with traits or abilities that increase their 894 expected payoff from a risky option¹¹ should be more risk-prone (Barclay, Mishra, & Sparks, 895 2018). A more nefarious version of this idea is that Registered Reports may be relatively 896

¹¹ This includes traits that increase the chances of winning, traits that increase the payoff when winning, or traits that buffer the impact of losses.

unpopular among researchers who are more inclined to using questionable research practices
(or even fraud) to obtain publishable or impactful results.

Fourth, we make the simplifying assumption that researchers work alone. Of course 890 this is not true in most scientific disciplines, where team work is the default and most 900 publications have more than one author. As a consequence, publication decisions are usually 901 made jointly by researchers who may have different career-related needs. For example, senior 902 researchers may often take the needs of their PhD students into account, which could lead 903 them to behave more in line with a convex fitness function (increasing returns). This does not invalidate our results, but it means that real-world publication strategies can be mixtures of the individual strategies represented by our model. An interesting related consideration is that researchers may be able to compensate for low empirical pace by 907 forming larger teams, essentially sharing a smaller number of research projects with more 908 colleagues. Such an effect could cause publication strategies in fields with very slow and/or 909 costly data collection to resemble those expected under higher empirical pace. 910

Finally, our model ignores the factor time. A common reservation towards Registered 911 Reports is the concern that they take longer to publish because authors must wait for the 912 outcome of the Stage-1 review process before starting the data collection. Standard reports, 913 on the other hand, may occasionally have even longer publication delays, for example when 914 they are rejected at several journals or when reviewers demand additional studies to be run. 915 It is thus plausible that the formats differ in mean and/or variance of publication delays, and 916 such differences could affect researchers' behaviour. Because humans tend to discount delayed rewards (Odum et al., 2020), researchers who believe the standard publication route 918 to be faster may have a stronger preference for it than predicted by our model. To further investigate this possibility, data on the distribution of publication delays (from the beginning of a research project until publication) of Registered Reports and standard reports, as well 921 as on researchers' beliefs regarding these delays, would be highly valuable. 922

Conclusion Conclusion

The basic mechanism underlying Registered Reports—publication decisions before 924 results are known—is currently the most convincing proposal for curbing publication bias. 925 By selecting studies based only on the strength of the research question and methods, 926 Registered Reports are indeed 'aligning what is beneficial for individual scientists with what 927 is beneficial for science' (Chambers & Tzavella, 2022, p. 29). However, the incentives for 928 choosing the Registered Reports format in the first place may be less aligned with the 929 interests of scientists. In this study, we examined the consequences of the pre-data 930 publication guarantee in Registered Reports, which makes them a low-risk option compared 931 to the standard publication route because it reduces the variance of publication outcomes. 932 Our results show that this feature does not make the format universally more attractive. 933 Instead, many common situations in the academic ecosystem, such as publication targets and competition for tenured positions, may promote risk-prone publication strategies and 935 lead researchers to avoid Registered Reports.

This suggests that the spread of Registered Reports to larger parts of the scientific
literature in more disciplines is not simply a matter of time. In psychology, where the format
may be best known, a generous estimate puts the annual rate of published Registered
Reports at less than 0.1% of the literature. This figure is much lower than the estimated
prevalence of preregistration (7% in 2022, Hardwicke et al., 2024), a reform that was
introduced around the same time with similar goals. Increasing the uptake of the format
may require additional interventions, such as placing greater value on Registered Reports in
hiring, promotion, and tenure decisions, raising the methodological requirements for
standard reports in journals that offer both formats, or supporting the journal-independent

¹² We estimate that 600,000 journal articles per year are published in psychology and assume that at most 600 of these are Registered Reports (a generous estimate based on the 591 Registered Reports that had reportedly been published across disciplines by 2021, Chambers & Tzavella, 2022). The estimate of total publications per year was obtained via https://lens.org by applying the filters Year Published = 2020--2023, Publication Type = journal article, and Field of Study = Psychology, and dividing the 2,448,670 resulting hits by 4.

model of *PCI Registered Reports* and encouraging more high-impact journals to subscribe to it. To the extent that scientific communities or external stakeholders have a demand for the kind of low-bias, high-quality evidence that Registered Reports can offer, such measures may be a worthwhile investment.

Disclosures

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R-packages bookdown (Version 0.34; Xie, 2016), ggplot2 (Version 3.5.0; Wickham, 2016), here
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Aust & Barth, 2018), rmarkdown (Version 2.26; Xie, Allaire, & Grolemund, 2018), stringr
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