Incentives for Registered Reports from a risk sensitivity perspective

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Registered Reports are an article format designed to reduce publication bias and 'questionable research practices' (QRPs), which distort the published record of research findings in many scientific disciplines (Chambers, 2013; de Vries et al., 2018; Dickersin & Min, 1993; Driessen, Hollon, Bockting, Cuijpers, & Turner, 2015; Franco, Malhotra, & Simonovits, 2014; Franco, Malhotra, & Simonovits, 2016; Fraser, Parker, Nakagawa, Barnett, & Fidler, 2018; Gerber, Green, & Nickerson, 2001; Gopalakrishna et al., 2022; John, Loewenstein, & Prelec, 2012; Kepes, Keener, McDaniel, & Hartman, 2022; Makel, Hodges, Cook, & Plucker, 2021; O'Boyle, Banks, & Gonzalez-Mulé, 2017; Simmons, Nelson, & Simonsohn, 2011; Stefan & Schönbrodt, 2023). In this format, peer review takes place before data collection and the decision to publish is made before authors, reviewers, and editors know the study results. In addition to preventing editors from selectively rejecting unfavourable results (in particular negative or null results), this is thought to remove incentives for authors to hide, embellish, or misrepresent results because publication no longer depends on them (Chambers, Dienes, McIntosh, Rotshtein, & Willmes, 2015). Initial evidence from psychology and neighbouring disciplines shows that Registered Reports indeed contain much higher rates of negative results than the standard literature (Allen & Mehler, 2019; O'Mahony, 2023; Scheel, Schijen, & Lakens, 2021).

Advocates of the format have argued that the pre-data publication guarantee should make Registered Reports particularly attractive to researchers (e.g., Chambers & Tzavella, 2021). The argument is that Registered Reports reduce uncertainty about whether and where a study will be published before authors have invested in conducting the study, and that such risk reduction is appealing in a research climate that involves substantial publication pressure in many countries and disciplines (Gopalakrishna et al., 2022; Miller, Taylor, & Bedeian, 2011; Paruzel-Czachura, Baran, & Spendel, 2021; Tijdink, Vergouwen, & Smulders, 2013; van Dalen, 2021; van Dalen & Henkens, 2012; Waaijer, Teelken, Wouters, &

van der Weijden, 2018). However, if strategic concerns about publishability indeed influence researchers' choices for or against Registered Reports, it is unlikely that they would always cause risk aversion (i.e., favouring Registered Reports as a low-risk option). Researchers' willingness to take risks regarding publication success may instead vary depending on factors such as available resources, time pressure, or competition. This could create situations in which Registered Reports remain unpopular and would never gain traction without additional incentives or interventions. And indeed, although uptake is growing exponentially (Chambers & Tzavella, 2021), the market share of Registered Reports is currently still much smaller than one might expect if authors saw them as unreservedly beneficial for their careers. Here, we examine these possibilities with an agent-based simulation, modelling authors' choices between publication formats as decision making under risk to identify circumstances in which Registered Reports might be used highly selectively, or not at all.

Design and intended functions of Registered Reports

The review process of Registered Reports is split into two stages. At Stage 1, reviewers evaluate a pre-study protocol containing the research questions, hypotheses, methods, and planned analyses of a proposed study. In case of a positive decision, the journal issues an 'in-principle acceptance' and commits to publishing the eventual report, regardless of the direction of the results. Only after in-principle acceptance has been issued do authors move on to data collection and analysis and eventually complete the manuscript. At Stage 2, the final report (now including the results) is subjected to a second round of peer review, but this time only to ensure that the study was carried out as planned, that the data pass any pre-specified quality checks, and that authors' conclusions are justified by the evidence. Manuscripts can still be rejected at Stage 2, but only for substantial violations of the Stage-1 protocol or data that are uninterpretable or uninformative (e.g., caused by equipment failure), not for the direction or statistical significance of the results.

Through this process, Registered Reports address publication bias as well as so-called 'questionable research practices' (QRPs). These two problems are considered important contributors to psychology's replication crisis (Ferguson & Heene, 2012; Wagenmakers, Wetzels, Borsboom, van der Maas, & Kievit, 2012) and to research waste in the biomedical sciences (Chalmers & Glasziou, 2009) because they skew the available evidence for scientific claims, causing overconfidence and inflated rates of false-positive inferences. Publication bias can result from editors and reviewers disproportionately rejecting submissions with negative results ('reviewer bias,' Atkinson, Furlong, & Wampold, 1982; Greenwald, 1975; Mahoney, 1977) or from researchers failing to submit negative results for publication ('file-drawering,' Ensinck & Lakens, 2023; Franco et al., 2014; Rosenthal, 1979). In Registered Reports, the in-principle acceptance issued at Stage 1 addresses both of these issues: Editors and reviewers cannot reject the Stage-2 report based on the direction of the results, which also reduces the incentives for authors to file-drawer the study in case of negative results. QRPs are practices that exploit undisclosed flexibility in data collection and analysis, for example when analysing different justifiable combinations of variables, subsamples, and decision criteria, and only reporting the ones with favourable results, or by presenting *post hoc* inferences as having been predicted *a priori* (Agnoli, Wicherts, Veldkamp, Albiero, & Cubelli, 2017; Fiedler & Schwarz, 2016; Fraser et al., 2018; Gopalakrishna et al., 2022; John et al., 2012; Kerr, 1998; Simmons et al., 2011). Registered Reports minimise the risk of QRPs via the two-stage review process, in which the Stage-1 protocol acts as a preregistration and reviewers' task during Stage-2 review is to flag any undisclosed deviations from it.

Efficacy of Registered Reports

Registered Reports were first launched in 2013 at the journal *Cortex* (Chambers, 2013) and are now offered by over 300 journals, predominantly in the behavioural sciences and life sciences (see cos.io/rr). Nearly 600 Registered Reports had been published by 2021, with uptake growing exponentially (Chambers & Tzavella, 2021). In Chapter 2, we analysed the first cohort of published Registered Reports in psychology and showed that the first hypothesis reported in these articles was supported in only 44% of cases, compared to 96% in a random sample of standard reports (Scheel et al., 2021). Similarly low proportions of positive results were found in partially overlapping samples of Registered Reports in psychology and neuroscience (39.5%, Allen & Mehler, 2019) and in psychology, neuroscience, health, and education (50.1%, O'Mahony, 2023). These findings suggest that Registered Reports indeed reduce biases that inflate the rate of positive results in the standard literature. However, the existing estimates are based on purely observational evidence and may thus be confounded by other systematic differences between Registered Reports and standard reports.

Systematic differences would act as confounders if they affected either the probability of a positive result when testing a true hypothesis (statistical power) or the base rate of true hypotheses. The first option is not supported by current evidence: A study comparing Registered Reports with matched controls found that Registered Reports have higher median sample sizes and, in blind reviews, are judged to be more rigorous in methodology and analysis and of higher overall quality (Soderberg et al., 2021). Based on this finding, the increased amount of negative results in Registered Reports is unlikely to be an artifact of lower statistical power or poorer methods. But the second option — a difference in the rate of true hypotheses, or the (prior) probability that the tested hypothesis is true — has not yet been directly studied. The idea that Registered Reports might contain fewer true hypotheses has some plausibility: If researchers expect that negative results are difficult to publish in standard reports but pose no problem in Registered Reports, they might selectively choose the Registered Report route when studying hypotheses that they think will yield negative results. If researchers additionally perceive the standard publication route as less costly (e.g., more habitual, more flexible, faster, requiring lower sample sizes, etc.), standard reports would plausibly remain the preferred option for hypotheses that researchers are more certain are true and will yield publishable results.

Such an effect could explain why both we and Allen & Mehler (2019) found that replication studies in the Registered Reports literature had descriptively lower rates of positive results than original studies, although the difference was not significant in either case (39% vs 50% in Scheel et al., 2021, and 34% vs 45.5% in Allen & Mehler, 2019, though note that the studied samples partially overlap). As we discussed in Chapter 2, replication attempts may more often than novel research be driven by the suspicion that the tested hypothesis is not true (and that the result of the original study was a false positive). It might also partially explain differences between our results and those of O'Mahony (2023), who compared Registered Reports to standard reports that were matched on based on the publishing journal, time of publication, and to a lesser extent research topic, design, and studied population. O'Mahony finds a difference in the positive result rate of Registered Reports and standard reports half as large as the one in our study (26 vs 52 percentage points), which compared Registered Reports with a random sample of standard reports (matched only on discipline). Matching articles more closely could lead to more comparable prior probabilities of the hypotheses tested in both formats and thus account for part of this discrepancy. However, the two studies also differ in the target population and estimand (O'Mahony analysed all tested hypotheses whereas Scheel et al. focused on the first hypothesis per article), which makes the estimates difficult to compare.

Although differences between hypotheses tested in Registered Reports and standard reports remain speculative at this point, this consideration highlights the importance of understanding the costs and benefits of Registered Reports from the authors' perspective. If current incentives cause Registered Reports to be used selectively in specific situations or for specific research questions, meta-scientists studying this emerging literature would need to take such factors into account. Selective use could also lead to a situation in which Registered Reports become associated with certain types of results (e.g., negative results) and devalued if these results are deemed less interesting or important by the research community, making the format unattractive in the long run. More generally, a better understanding of the incentives driving researchers' publication choices can help determine where, when, and by whom Registered Reports are likely to be used or avoided. Such knowledge could then be used to identify areas in which Registered Reports may not gain popularity naturally and anticipate the need for further intervention (e.g., via policy) when there is a demand for unbiased results.

Author incentives for Registered Reports

Registered Reports are generally thought to '[neutralise] bad incentives' (Chambers, 2013, p. 609), in particular the incentive to exaggerate or misrepresent a study's results in order to make them more publishable in the standard literature. This assumption is conditioned on the format: Once authors have decided to take the Registered Report route, they can improve their publication chances only via the proposed research question and methods in Stage-1 review, and editors have an interest in selecting informative study designs because they are bound to publishing the study's results even when they turn out negative. In contrast to standard reports, the results are thus no longer a main target to 'hack' or select on, which should make them less biased and more trustworthy.

The incentives for choosing the Registered Reports route in the first place, however, are less clear. Advocates of the format have argued that it 'serve[s] the interests of individual scientists' (p. 12, Chambers & Tzavella, 2021) because it reduces scientists' risk of investing in research projects whose results turn out to be difficult to publish. The argument is based on the assumptions that researchers a) are under pressure to amass journal publications (which still are a central currency for hiring and promotion decisions, R. Müller, 2014; van Dalen & Henkens, 2012) and b) face shortfalls in publication output when their studies yield negative results (which are more difficult to publish in the standard literature due to publication bias). The following quote from a talk by Chris Chambers (September 2021) summarises this sentiment:

> And the second main benefit, the one that really is the main big one, the big draw, is that as a researcher you can get your paper accepted before you even start your research and regardless of how the results turn out in the end. So no more playing the *p*-value lottery, gambling on certain results going a certain way, otherwise you won't have your PhD or you won't get your next fellowship or your next grant — takes all of that pointless, and I think quite foolish, gambling out of the equation (. . .)[1]

But would researchers ever prefer to gamble? Typically, authors care not only about their studies being published at all, but also about the reputation of the publishing journal as well as citation rates (which are causally influenced by journal rank, Traag, 2021). In standard reports, the career-relevant payoffs associated with a publication can thus vary from very low, for example when authors file-drawer a manuscript because the chances of success do not justify the cost of repeated submissions and revisions (Ensinck & Lakens, 2023), to very high, for example when a manuscript is published in an extremely high-impact journal like *Nature* or *Science* and frequently cited. Compared to this, the payoffs from Registered Reports have lower variance: Registered Reports minimise not only the chances of a very low payoff (no publication at all), but also those of a very high payoff (a highly-cited publication in a top journal, unless the Registered Report is conducted at a top journal). Therefore, as long as the payoff associated with a published Registered Report is not always on par with the best possible outcome of the standard publication route, there will be situations in which the standard route — 'taking the gamble' — is more beneficial for researchers.

Publication strategies as decision making under risk

Which are those situations? Because the payoffs of Registered Reports and the standard publication route differ in variance, authors' choice between the two formats

[1] https://youtu.be/FiVI3cwVMZI?list=PLChfyH8TVDGmYENpXUDPaeeq2SLh8q9dt&t=1047, from minute 17:27

represents *decision making under risk*. This framing allows us to use tools from the literature on risk-sensitive behaviour to study when Registered Reports serve the interests of individual scientists less well than standard reports. Here, we use risk-sensitivity theory to model factors that influence risk preferences and simulate their effects on researchers' publication strategies. Following Winterhalder, Lu, & Tucker (1999), we define *risk* as 'unpredictable variation in the outcome of a behavior, with consequences for an organism's fitness or utility' (p. 302). *Risk aversion* thus means preferring a low-variance option over a high-variance option, and *risk proneness* the reverse.[2] Organisms are *risk sensitive* when they are not only sensitive to the average of outcomes of different behavioural options but also to their variance.

Risk-sensitivity theory is a normative theory developed in behavioural ecology to explain the foraging behaviour of animals. It was originally designed to determine the optimal food-acquisition strategy for an animal faced with a choice between a relatively stable (low-variance) food source and a risky (high-variance) source that sometimes yields large payoffs and sometimes small payoffs (or none at all). Organisms are predicted to be sensitive to such differences in risk when payoffs (e.g., the amount of food) have non-linear consequences for the organism's survival or reproductive fitness. This is the case when, for example, additional increments of food yield smaller and smaller returns for an animal's fitness, or when amounts below a certain threshold would cause starvation. In psychology and economics, analogous problems in human decision-making are usually studied with utility-based theories, most prominently expected utility theory and prospect theory. The predictions of all three theories overlap substantially, but risk-sensitivity theory uses fitness instead of utility as its central currency. This overcomes weaknesses of expected utility theory and prospect theory caused by the conceptual vagueness of utility (e.g., 'utility is

[2] Note that these definitions differ from those used in expected utility theory, where risk aversion, risk proneness, and risk indifference are defined as concave-down, convex-up, and linear utility functions, respectively.

whatever is maximised by human choices,' Cubitt, Starmer, & Sugden, 2001). Despite its initially narrow scope, risk-sensitivity theory has proven itself as a powerful framework for explaining risk-sensitive behaviour in a wide range of situations and species, including humans (Kacelnik & Bateson, 1996; Mishra, 2014; Winterhalder et al., 1999).

The present study

In the following, we apply risk-sensitivity theory to the situation of researchers faced with the choice of conducting a Registered Report or pursuing the standard publication route. Using a simulation model, we explore how four aspects of academic careers and incentive structures that are relevant to risk sensitivity may affect researchers' publication strategies: whether additional publications yield decreasing or increasing returns for career success, empirical pace (the frequency at which studies can be completed), publication targets that must be met to continue or further one's career, and competition. Our goal is to understand in which circumstances Registered Reports should be particularly attractive, particularly unattractive, or particularly prone to selective use. The results of this analysis may help anticipate research fields and career stages in which the format is unlikely to take foot without additional changes to norms, incentives, or policy, and flag situations in which the results of published Registered Reports may be particularly difficult to compare to the normal literature. The following sections outline central concepts of risk-sensitivity theory, relate them to characteristics of academic careers, and describe an evolutionary simulation model in which their effects on researchers' risk-sensitive publication decisions are examined.

Conceptual application of risk-sensitivity theory to publication decisions

This section describes general factors that affect the role of risk for individual's fitness and connects these factors to relevant elements of academic careers. In this context, risk-sensitivity theory's focus on reproductive fitness as the central outcome may be seen as misguided. But although researchers do not forage, grow, reproduce, and die in the *biological* sense (except in their role as human beings in general, of course), they undoubtedly are concerned with factors that influence 1) their survival and 2) the propagation of their traits in an *academic* sense. Even if we were to assume that researchers are not consciously trying to maximise their ‘academic fitness’, a competitive job market will by definition select for individuals whose past behaviour increased their prospects. Such competition can create bottlenecks between early-career and tenured positions in many academic disciplines, which inevitably induce a selection pressure for career-promoting behaviours Higginson & Munafò (2016).

In applying risk-sensitivity theory to researchers’ publishing behaviour, we will therefore conceptualise fitness as career success. This decision does not imply that career success is the only or the proximal motivation for researchers’ behaviour in practice, just as evolutionary theory does not imply that reproductive success is the only or the proximal motivation for human behaviour in everyday life. However, we do assume that selection for career-promoting behaviours has a noticeable impact on research practice.

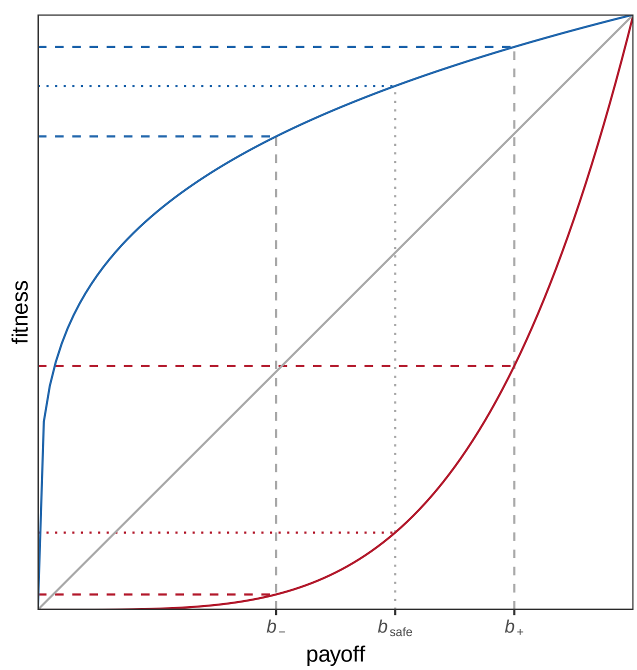

Figure 1. Consequences of non-linear fitness functions. Payoffs b_{-}, b_{safe}, and b_{+} are converted into fitness with a diminishing (blue), linear (grey), or increasing (red) returns function.

Non-linear fitness functions. The first and perhaps most ubiquitous factor leading individuals to be risk sensitive are non-linear relationships between the outcomes of an individual's behaviour (e.g., harvested food items, publications) and its reproductive success (Kacelnik & Bateson, 1997). Consider two options, O_{safe} and O_{risky}. O_{safe} always gives the same payoff b_{safe}, whereas O_{risky} gives either a low payoff b_- or a high payoff b_+, each with probability $\frac{1}{2}$. When $b_{safe} = \frac{(b_- + b_+)}{2}$, O_{safe} and O_{risky} have the same expected payoff. However, we would only expect an individual to be indifferent between the two options if the consequences of their payoffs for the individual's fitness are linear. When the function relating payoffs to fitness is instead convex or concave (yielding increasing or diminishing returns, respectively), the expected fitness of O_{safe} and O_{risky} will differ and shift the individual's preference towards risk proneness or risk aversion. An illustration of this example is shown in Figure 1: While the payoffs b_-, b_{safe}, and b_+ are equidistant on the x-axis, b_{safe} is associated with greater fitness than the average of b_- and b_+ when the function is concave, and with lower fitness when the function is convex. In other words, O_{safe} has greater expected fitness than O_{risky} when returns are diminishing, and O_{risky} has greater expected fitness than O_{safe} when returns are increasing.

Non-linear relationships are arguably the norm in the natural world and linear relationships the exception. This plausibly holds for academia as well, where the effect of publication success on researchers' career success might change over time: For early-career researchers, small increases in the number or impact of publications may have an accelerated effect on career success, whereas established professors may care little about any one additional publication to their record.

Number of decision events before evaluation. A second risk-relevant factor considered here is the number of decision events taking place before an individual's fitness is evaluated. When a risky option is chosen repeatedly, the average of the accumulating payoffs gets closer and closer to the long-run expected payoff. This means that the danger of loosing out completely by only acquiring the lowest possible payoff of the risky option diminishes, making the risky option relatively more attractive. However, this relationship only holds for repeated decision events *before* an individual's fitness is evaluated. When fitness is evaluated after a single decision event, a risky option is more likely to yield an extreme outcome that translates to zero fitness (i.e., death or an ultimate failure to reproduce).

In situations like this, when a single risky decision might cost an individual's life or offspring, average fitness is best described by the geometric mean instead of the arithmetic mean (Haaland, Wright, & Ratikainen, 2019). The geometric mean is more sensitive to variance because it is multiplicative, capturing the fact that one failure to reproduce can end a genetic lineage. This circumstance has been shown to produce bet-hedging: Risk-averse strategies may be more adaptive across many generations even when more risk-prone strategies produce better outcomes in any one generation, simply because risk-proneness is also more likely to lead to extinction by sheer bad luck (Haaland et al., 2019). While average fitness across generations is best represented with the geometric mean, average fitness *within* a generation is better captured by the arithmetic mean, reflecting the additive accumulation of payoffs from decision events before fitness is evaluated. Therefore, as the number of decision events per generation (i.e., before fitness is evaluated) increases, the variance-sensitive geometric mean of acquired payoffs becomes relatively less important and the less variance-sensitive arithmetic mean becomes more important. Consequently, an individual's behaviour should switch from relative risk-aversion to relative risk-proneness.

For the purpose of the present study, 'decision events' refer to researchers' decisions of whether to conduct a Registered Report or pursue the standard publication route. Because Registered Reports must be submitted before data collection, such decisions occur whenever researchers start a new empirical project that they later may want to publish.[3] The number of decision events before evaluation thus reflects the number of empirical projects that a

[3] At the current moment, most researchers likely never consciously consider Registered Reports as a publication option. However, the fact that they *could* nonetheless renders their pursuit of standard publications a choice, albeit an implicit one.

researcher can conduct before their publication record is considered for hiring, promotion, or grant funding decisions. We will call this parameter ‘empirical pace’.

Key factors influencing empirical pace are the time and resources required to conduct a study and the time and resources researchers have available. Empirical pace may thus differ between research areas that vary in speed and/or cost of data collection (e.g., a field relying on online questionnaires *vs* a field relying on fMRI studies) or between research labs that vary in funding and manpower. Even career stage might affect empirical pace to some extent, for example because career progress often comes with increased funding and the supervision of junior researchers whose efforts boost the supervisors’ output (R. Müller, 2014), and because junior researchers often have short-term contracts that limit the available time for producing research output before their CVs are evaluated for the next application.

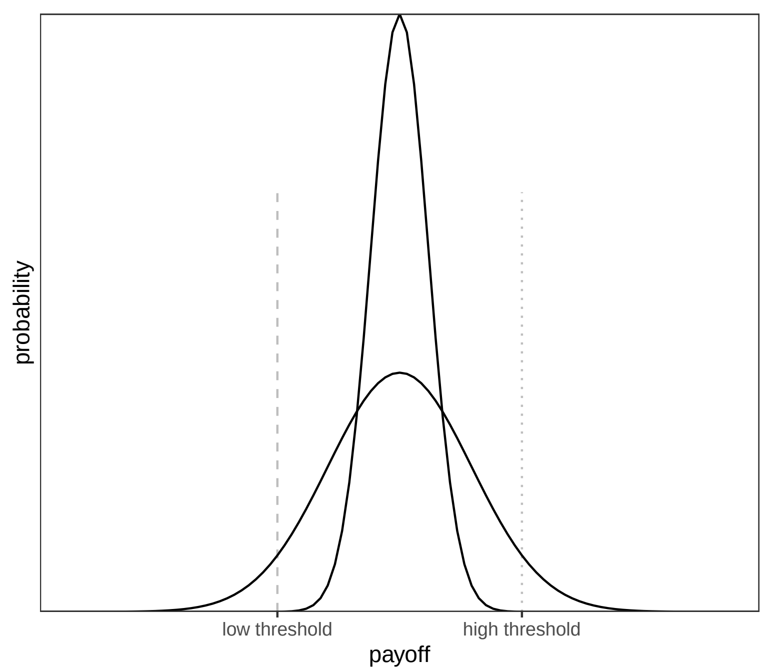

Figure 2. Survival thresholds. When fitness drops to zero below the low threshold (dashed line), individuals should be risk-averse because the outcomes of the low-risk option (narrow distribution) are guaranteed to lie above the threshold and the outcomes of the high-risk option (wide distribution) have a non-negligible risk of falling below the threshold. When fitness drops to zero below the high threshold (dotted line), individuals should be risk-prone because only the high-risk option provides a chance of passing the threshold.

Survival thresholds and competition. A final important factor for risk-sensitive behaviour are thresholds for survival and reproduction (Hurly, 2003; Winterhalder et al., 1999). Survival thresholds are cutoff points below which an individual's fitness drops to zero, for example due to starvation. Risk-sensitivity theory predicts that an individual will be risk averse when the resources provided by a low-variance option are sufficient to meet the threshold and risk-prone when they are not (Mishra, 2014). For example, a hummingbird that needs to acquire a certain amount of calories to survive the night will prefer a low-risk food source if the expected amount of calories is above the threshold, but avoid the low-risk source if only a higher-risk source provides a chance of survival. One such situation is depicted in Figure 2.

Although comparable cutoff points in academic careers may have somewhat less severe consequences, they certainly exist: The number and impact of a researcher's publications are often explicit criteria in decisions that are central to the individual's career, such as whether they will be awarded a PhD, whether they will receive grant funding, whether they will be offered a tenure-track position, or whether they will be granted tenure. In some of these situations, the cutoff points are absolute and thus resemble survival thresholds in the biological sense, for example PhD regulations that determine a minimal number of peer-reviewed publications for a candidate to be awarded with a doctorate, or tenure contracts that specify minimal publication targets. In other situations, the cutoff points are relative and depend on the number of eligible candidates, for example when grant funding is awarded to the 10 highest-ranked research proposals or a job is offered to the best candidate from a pool of applicants. In cases like these, one individual's success diminishes the chances of another — they represent *competition*. In the following, survival thresholds and competition will be treated as separate concepts to examine their differential effects on researchers' publication behaviour.

Each of the risk-relevant factors described above — non-linear fitness functions, empirical pace, survival thresholds, and competition — likely impacts researchers' decision strategies, including their choices between low-risk and high-risk publication options. To better understand when a low-risk option like Registered Reports should be particularly attractive or unattractive, we examine the individual and interactive effects of these factors in a simulation model.

Simulation model

We develop an evolutionary agent-based model which simulates a population of researchers who test hypotheses, (attempt to) publish the results either as Registered Reports or as standard reports, accumulate the payoffs for successful publications, and pass their publication strategies on to the next generation of researchers.

Research phase. Consider a population of $n = 500$ researchers. Each researcher has a fixed publication strategy s, the so-called submission threshold. In each round of the research phase, researchers randomly choose a hypothesis to test in a study. Hypotheses are true with prior probability p, which is uniformly distributed between 0 and 1 and known to the researcher. Before testing their chosen hypothesis, a researcher compares the prior p of their hypothesis with their publication strategy s. When $p < s$, the researcher chooses to play it safe and conduct a Registered Report to test the hypothesis. When $p \geq s$, the researcher chooses to gamble and test the hypothesis in a regular study which is then submitted as a standard report.

For simplicity, we assume that p is an ideal objective prior and that researchers' hypothesis tests are free from additional sources of error. Thus, when a researcher tests hypothesis i, they obtain a positive result with probability p_i and a negative result with probability $1 - p_i$. If the researcher chose to submit a Registered Report, their study is published regardless of the result and the researcher receives a payoff b_{RR}. However, if the researcher chose to submit a standard report, they face rampant publication bias: Only positive results are publishable as standard reports and yield a payoff $b_{SR+} = 1$, whereas negative results are rejected or file-drawered and yield no payoff, $b_{SR-} = 0$. For all variations of the model tested here, we assume that the payoff for a Registered Report falls between these bounds, such that $b_{SR-} < b_{RR} < b_{SR+}$. This assumption reflects the following considerations:

1. Due to publication bias in the standard literature, negative results are less valuable than positive results ($b_{SR-} < b_{SR+}$), for example because they do not lead to a publication at all, because only very low-impact journals are willing to publish them, or because getting them published requires a lot of extra effort (e.g., via frequent resubmissions following rejection or substantial revisions demanded by reviewers), which diminishes the net reward.
2. For these same reasons, Registered Reports are on average more valuable than standard reports with negative results ($b_{SR-} < b_{RR}$), for example because Registered Reports are offered by journals that may display publication bias for standard reports (rejecting standard report submissions with negative results), or simply because Registered Reports need to be resubmitted less often or require less extensive revisions.
3. On average, standard reports with positive results are more valuable than Registered Reports ($b_{RR} < b_{SR+}$), for example because many high-impact journals do not (yet) offer Registered Reports, because not registering one's study *a priori* makes it easier to spin the results to appear more impactful and thus increases the chances to be published in a high-impact journal, or because Registered Reports may require more effort due to their stricter quality criteria, lowering the net reward. While proponents of Registered Reports may argue that the format has such tremendous advantages that authors' resulting career benefits are superior to any alternative, this chapter is predicated on the assumption that most researchers currently do not share this view. Once this changes, the present investigation may happily become redundant.

This entire research cycle — choosing a hypothesis, choosing a publication route by comparing its prior p to one's publication strategy s, testing the hypothesis, and receiving payoff b_{RR} for a Registered Report or b_{SR-} or b_{SR+} for a positive and negative standard report, respectively — is repeated m times.

Evaluation phase. At the end of the research phase, researchers' accumulated publication payoffs $b_1 + b_2 + ... + b_m$ are translated into fitness f. Fitness is calculated with a function characterised by exponent ϵ, which determines the shape of the function. $\epsilon = 1$ yields a linear function, $0 < \epsilon < 1$ yields a concave function with diminishing returns, and $\epsilon > 1$ yields a convex function with increasing returns (see Figure 1):

$$f = (\sum_{i=1}^{m} b_i)^{\epsilon} \tag{1}$$

However, two situations may cause a researcher's fitness to fall to zero even when their accumulated payoffs are non-zero. First, the sum of their payoffs may fall below an absolute survival threshold δ, for example when a researcher fails to meet an agreed publication target by the time their 'tenure clock' runs out. Thus, when $\sum_{i=1}^{m} b_i < \delta$, $f = 0$. Second, the sum of their payoffs may fall below a relative threshold γ, which reflects the intensity of competition (e.g., for scarce research grants or positions). γ is the proportion of researchers who are considered for reproduction. When $\gamma = 1$, all researchers in the population are considered for reproduction and their fitness is calculated according to Eq. 1. When $\gamma < 1$, the $(1 - \gamma) * 500$ least successful researchers receive zero fitness and cannot reproduce.[4] For example, $\gamma = 0.1$ means that only those researchers with accumulated payoffs in the top 10% of the population can reproduce, and the fitness of the remaining 90% is set to zero.

[4] In the simulation, γ is applied *after* fitness has been calculated, not before. This change has purely technical reasons and leads to the same result as applying γ to accumulated payoffs and then calculating fitness because all fitness functions are monotonic increasing and fitness functions do not vary within a population. That is, applying the fitness function does not affect the rank order of researchers in the population.

Table 1
Parameter definitions and values

Parameter	Definition	Value [range]
n	population size	500
g	number of generations	250
p	prior probability of hypotheses	uniform [0–1]
b_{SR-}	payoff for negative standard report	0
b_{SR+}	payoff for positive standard report	1
b_{RR}	payoff for Registered Report	[.1, .2, . . . , .9]
ϵ	fitness function exponent	[0.2, 1, 5]
m	research cycles per generation ('empirical pace')	[1, 2, 4, 8, 16, 32]
δ	survival threshold below which fitness = 0, expressed as proportion of m	[0, .25, .5, .75]
γ	proportion of most successful researchers selected for reproduction (competition)	[1, .9, .5, .1, .05, .01]

Reproduction phase. Finally, the researchers in the current population retire and a new (non-overlapping) generation of researchers is created. A researcher in the new generation inherits their publication strategy s from a researcher in the previous generation with the probability of the previous researcher's fitness (i.e., the new generation's publication strategies are sampled with replacement from the previous generation, probability-weighted by fitness). The new generation's publication strategies are inherited with a small amount of random noise, such that $s_{new} = s_{old} + w$, with $w \sim N(\mu = 0, \sigma = 0.01)$. Authors of similar evolutionary agent-based models have described such hereditary transmission as reflecting mentorship and teaching (e.g., when established professors advise mentees to copy their strategies) or simply a generic social learning process in which successful researchers are more likely to be imitated by others (Smaldino & McElreath, 2016). Although this interpretation may be useful, the main purpose of this aspect of the model is purely technical and not specifically intended to reflect reality — it simply provides the machinery for determining which publication strategies are optimal in the various situations we are investigating.

Outcome variable s. We study how the evolution of researchers' publication strategies s is affected by the payoff for Registered Reports b_{RR} (relative to the payoffs for standard reports, which are fixed at $b_{SR-} = 0$ and $b_{SR+} = 1$), by the shape of the fitness function determined by exponent ϵ, by the number of research cycles per generation m, by survival threshold δ, and by competition γ (see Table 1 for an overview of the model parameters and their values considered in the simulation). It is important to keep in mind that a researcher's publication strategy s is not an absolute decision: It determines *how* the choice between Registered Reports and standard reports is made, not which format is chosen. As such, s indicates the amount of risk a researcher is willing to take. Very low values of s reflect risk proneness: The researcher prefers to gamble and chooses the standard publication route for almost all hypotheses they encounter, using the Registered Report route only for hypotheses that are virtually guaranteed to be false (and yield negative results). Very high values of s reflect risk aversion: The researcher is unwilling to risk a negative result in a standard report and studies almost all hypotheses they encounter in the Registered Report format, reserving the standard publication route for hypotheses that are virtually guaranteed to be true (and yield positive results).

Simulation approach. We use the evolutionary mechanism of this agent-based model as a means for identifying optimal behaviour under different conditions. But this goal can also be achieved in other ways. One non-evolutionary alternative is to calculate expected fitness (i.e., the long-run average) for a wide range of s and determine which strategy maximises it in each condition. A drawback of this approach is that it does not account for population dynamics and therefore cannot easily simulate the effects of competition. Because of this limitation, our study is based on the evolutionary model. However, we validate all analyses except those involving competition on the expected-fitness model and show that both models produce virtually identical results (see Appendix).

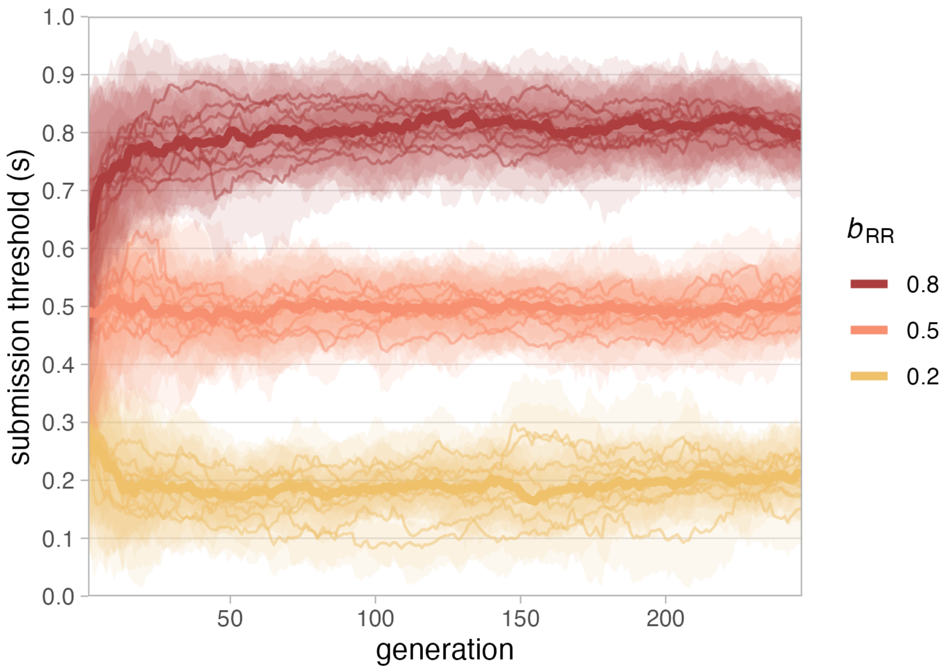

Figure 3. Evolution of publication strategy s with 3 different payoffs for Registered Reports (b_{RR}). Simulations are based on a population of $n = 500$ researchers over 250 generations, with payoffs for standard reports fixed at 0 for negative results ($b_{SR-} = 0$) and 1 for positive results ($b_{SR+} = 1$), a linear fitness function $\epsilon = 1$, one research cycle per generation ($m = 1$), no survival threshold ($\delta = 0$) and no competition ($\gamma = 1$). Each condition was run 10 times. Thin lines represent the median publication strategy of the population in each run, shaded areas represent the inter-quartile range of publication strategies in the population in each run, and thick lines represent the median of run medians per condition.

Simulation results

The results of the simulation models will be presented in order of increasing model complexity. We start by explaining the very simple scenarios shown in Figure 3 and Figure 4. These scenarios are identical to situations discussed above and the results should thus be unsurprising. However, while they may seem trivial to some, we hope that these explanations will help unfamiliar readers understand the basic functioning of our model as well as the less intuitive results presented later.

When interpreting the results below, one should bear in mind that the analysed parameter values are inherently arbitrary. Although the model parameters are intended to capture important characteristics of real-world concepts, their values do not represent real-world units. The goal of this analysis is to understand the relative effects of the model parameters in a simplified, artificial system, which means that the results are only meaningful in relation to each other.

Single research cycle per generation, linear fitness function

The first generation of researchers in each simulation run is initialised with randomly distributed publication strategies s (drawn from a uniform distribution [0–1]), which are then allowed to evolve over the subsequent generations. Figure 3 shows the effect of varying the payoffs for Registered Reports when the fitness function is linear ($\epsilon = 1$), with no survival threshold ($\delta = 0$), no competition ($\gamma = 1$), and one research cycle per generation ($m = 1$). In this very simple scenario, evolved publication strategies (s) approximate the payoff for Registered Reports in each condition, indicating that the optimal publication strategy is always equal to b_{RR} ($s_{optimal} = 0.2$ when $b_{RR} = 0.2$, $s_{optimal} = 0.5$ when $b_{RR} = 0.5$, $s_{optimal} = 0.8$ when $b_{RR} = 0.8$). The reason behind this is the uniform distribution [0–1] of hypothesis priors, the payoff structure $b_{SR-} = 0$ and $b_{SR+} = 1$, and the linear fitness function ($\epsilon = 1$ means that fitness equals payoff). In this constellation, the expected fitness obtained from a standard report is always equal to the prior of the tested hypothesis:

$$E[f_{SR}] = (p * b_{SR+} + (1 - p) * b_{SR-})^1 = p * 1 + (1 - p) * 0 = p \tag{2}$$

For example, testing a hypothesis with $p = 0.2$ in a standard report would yield the expected fitness $E[f_{SR}] = (0.2 * 1 + 0.8 * 0)^1 = 0.2$. The optimal strategy is to submit a Registered Report whenever the expected fitness provided by a standard report is lower than the fitness provided by a Registered Report, $E[f_{SR}] < b_{RR}$, and thus whenever $p < b_{RR}$. This ensures that researchers always get the best of both worlds, minimising shortfalls when priors are (too) low and maximising winning chances when priors are (sufficiently) high. For example, $b_{RR} = 0.5$ is larger than $E[f_{SR}]$ for all hypotheses with $p < 0.5$ but lower than $E[f_{SR}]$ for all hypotheses with $p > 0.5$. In this situation, researchers who submit Registered Reports whenever $p < 0.5$ and standard reports whenever $p > 0.5$ protect themselves against losing a bad bet by instead taking the fixed payoff $b_{RR} = 0.5$, but always play a good bet and thus maximise their chances of winning $b_{SR+} = 1$. Every alternative is inferior in the long run because researchers with $s > b_{RR}$ lose out on increased chances of publishing a standard report and researchers with $s < b_{RR}$ take unnecessary risks and go empty-handed too often.

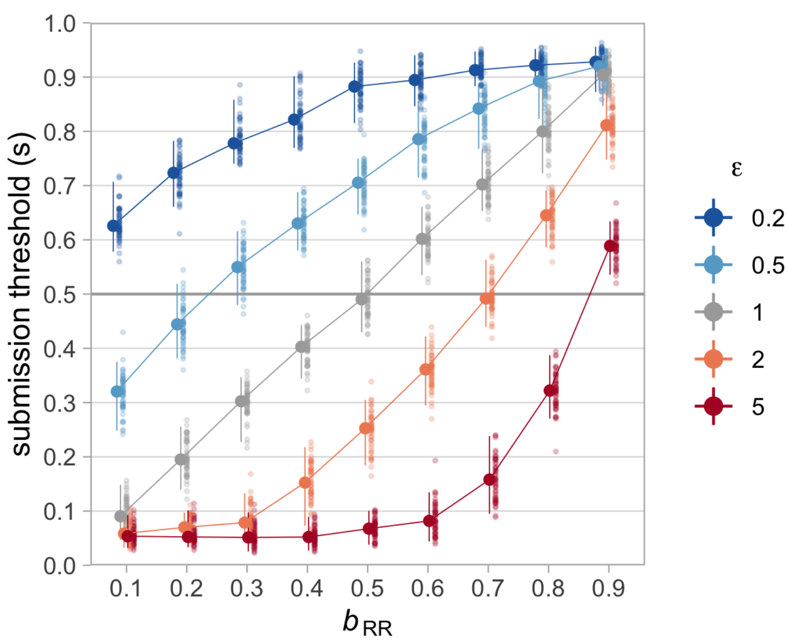

Figure 4. Effect of fitness functions on evolved publication strategies. Shown are median publication strategies in the final (250^{th}) generations of 50 runs for different values of b_{RR} (x-axis) and different fitness functions (characterised by exponent ϵ), with one research cycle per generation ($m = 1$), no survival threshold ($\delta = 0$) and no competition ($\gamma = 1$). Fitness functions with $\epsilon = 0.2$ and $\epsilon = 0.5$ (blue lines) are concave with diminishing returns, functions with $\epsilon = 2$ and $\epsilon = 5$ (red lines) are convex with increasing returns, and the function with $\epsilon = 1$ (grey line) is linear. Small dots represent median s of the final generation in each run, large dots represent the median of these 50 run medians per condition. Error bars represent the 95% capture probability around the median of medians.

Allowing for non-linear fitness functions

Arguably, the career benefits researchers receive from publications in the real world are rarely, if ever, linear. In early career, we may assume a convex fitness function, with each addition to the short publication record of a young researcher yielding increasing returns for their prospects on the job market and their ability to obtain grant funding. A notable exception may be PhD students who plan to leave academia after obtaining their degree, and for whom the career returns of publications exceeding the PhD requirements are thus strongly decreasing (concave fitness function). Researchers who stay in academia may experience that the career returns for each additional publication begin to decrease as their publication record grows, meaning that advanced career stages may also be characterised by a concave fitness function.

Figure 4 contrasts the effects of two concave fitness functions ($\epsilon = 0.2$ and $\epsilon = 0.5$, shown in blue shades) and two convex fitness functions ($\epsilon = 2$ and $\epsilon = 5$, shown in red shades) with a linear function ($\epsilon = 1$, grey line) for different payoffs for Registered Reports, in the same simple scenario with only one research cycle per generation. The grey line for $\epsilon = 1$ represents the already familiar situation from Figure 3 above: When the fitness function is linear, the optimal strategy is $s_{optimal} = b_{RR}$. Non-linear fitness functions deviate from this pattern exactly as expected based on Figure 1. When additional payoffs yield diminishing returns ($\epsilon < 1$), Registered Reports become more attractive even when they are worth less than the expected payoff for standard reports. As explained above, this is because concave functions 'shrink' the difference between moderate and high payoffs relative to the difference between low and moderate payoffs. Conversely, when additional payoffs yield increasing returns ($\epsilon > 1$), Registered Reports are unattractive unless their payoffs are almost as large as those for published standard reports because convex functions increase the difference between moderate and high payoffs relative to low versus moderate payoffs.

When different fitness functions are taken to reflect different career stages, this pattern suggests that Registered Reports should be more attractive for senior researchers and a tough sell for early-career researchers. Interestingly, preliminary empirical evidence suggests the opposite: Registered Reports appear to be more likely to have early-career researchers as first authors than standard reports (77% vs 67% in the journal *Cortex*, Chambers & Tzavella, 2021). One explanation for this counterintuitive result could be that Registered Reports are disproportionally used by early-career researchers who intend to leave academia and thus have a concave fitness function. Alternatively, factors or dynamics not considered in this simulation may swamp out the effects of concave *vs* convex fitness functions, such as younger researchers being more likely to adopt new methods. However, as we will see below, the effects of different fitness functions are not always as straightforward as in the simple case illustrated in Figure 4 but produce different results in interaction with other risk-related factors.

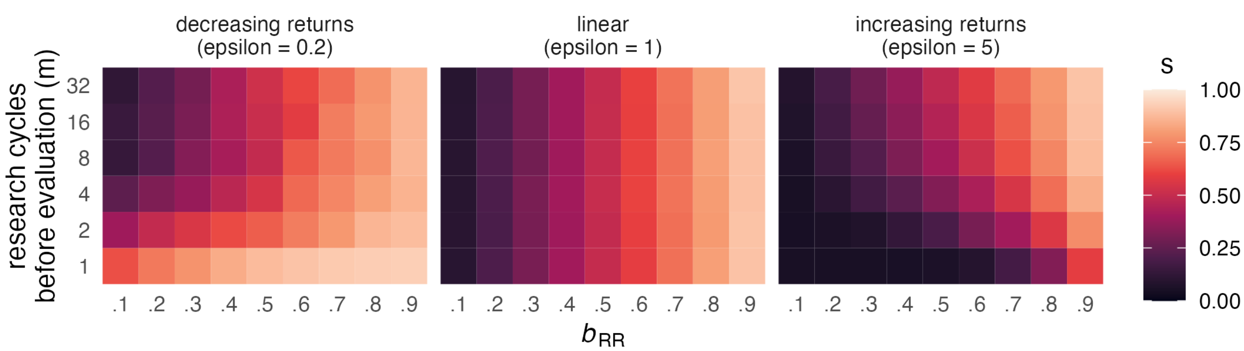

Figure 5. Effect of research cycles per generation on evolved publication strategies. Shown are median evolved publication strategies (s) after 250 generations in 50 runs (tile colour represents the median of 50 run medians) depending on the number of research cycles per generation (m, y-axis), different values of b_{RR} (x-axis), and different fitness functions (characterised by exponent ϵ) with no survival threshold ($\delta = 0$) and no competition ($\gamma = 1$).

Varying the number of research cycles per generation

The analyses presented so far focused on the simple case of one research cycle (or decision event) per generation, meaning that researchers' fitness was calculated based on the payoff from one single study. As discussed above, increasing numbers of decision events prior to evaluation may make individuals more risk-prone because single negative outcomes are less catastrophic for reproduction (Haaland et al., 2019). However, Figure 5 shows that this is not universally true — rather, the effect of increasing numbers of research cycles per generation (m) depends on the shape of the fitness function. Moving up on the y-axis of each panel, we see that s decreases (indicating greater risk proneness) only when the fitness function is concave ($\epsilon = 0.2$, left panel) but stay constant when it is linear ($\epsilon = 1$, middle panel) and even *increases* when it is convex ($\epsilon = 5$, right panel).

Why does m appear to have opposite effects for concave and convex fitness functions? As a starting point, it helps to first consider only the bottom row of each panel, where $m = 1$. These three rows contain the same results as the top, middle, and bottom curves in Figure 4 and show risk aversion when $\epsilon = 0.2$ (i.e., Registered Reports are attractive even when they yield a low payoff), risk proneness when $\epsilon = 5$ (Registered Reports are unattractive even when they yield a high payoff), and a linear strategy $s_{optimal} = b_{RR}$ when $\epsilon = 1$. From this starting point, the two panels with non-linear fitness functions start to approximate the linear case as m increases. This pattern reflects the idea that fitness is better captured by the geometric mean when m is low, and better captured by the arithmetic mean when m is high (Haaland et al., 2019).

To better understand this dynamic, let's consider two researchers with extreme submission strategies: Regina Register conducts only Registered Reports ($s_{Regina} = 1$), Darren Daring conducts only standard reports ($s_{Darren} = 0$). The payoff for Registered Reports is fixed at $b_{RR} = 0.5$. After one research cycle, Regina receives a payoff of 0.5 and Darren receives either 0 or 1 (with 50/50 odds). If fitness is calculated after this one round with $\epsilon = 0.2$ (concave function, yielding diminishing returns), Regina's fitness is $f_{Regina} = \frac{1}{2}^{\frac{1}{5}} = 0.87$, and Darren's fitness is either $f_{Darren-} = 0^{\frac{1}{5}} = 0$ or $f_{Darren+} = 1^{\frac{1}{5}} = 1$. In a population of 100 Reginas and 100 Darrens, there will be roughly 50 lucky Darrens who get a positive result and 50 Darrens who get a negative result. Lucky Darrens have a narrow fitness advantage over all Reginas (1 versus 0.87), while unlucky Darrens lose to all Reginas by a wide margin (0 versus 0.87). Since there are twice as many Reginas as lucky Darrens, the Regina strategy is relatively more successful.

Let's now consider the same scenario with $m = 4$ research cycles per generation. Reginas receive the same payoff in every round and accumulate $b_{total} = \frac{1}{2} * 4 = 2$. Lucky Darrens (who win every time) accumulate $b_{total} = 1 * 4 = 4$, while unlucky Darrens (who lose every time) again receive 0 total payoff. Now, however, the probabilistic outcomes over 4 rounds lead to three additional versions of Darren: moderately lucky (winning 3/4 times), average (2/4, receiving the same total payoff as Reginas), and moderately unlucky (1/4). Translating payoffs into fitness, the Regina strategy ($f_{Regina} = 2^{\frac{1}{5}} = 1.15$) still yields an enormous advantage compared to unlucky Darrens ($f_{Darren_{unlucky}} = 0$) and only a small disadvantage compared to lucky Darrens ($f_{Darren_{lucky}} = 4^{\frac{1}{5}} = 1.32$). But this time, there are fewer Darrens who are less successful than Reginas because Reginas now share their place with average Darrens. The relative fitness advantage of the Regina strategy thus decreases. As the rate of research cycles per generation grows, the law of large numbers dictates that more and more Darrens achieve average total payoffs, while fewer and fewer Darrens achieve extreme total payoffs (winning 32 times in a row is much less probable than winning 4 times in a row). This reduces the width of the Darren distribution until it approximates the Regina distribution — meaning that optimal publication strategies become identical to those optimal for a linear fitness function.

When the fitness function is convex ($\epsilon = 5$, yielding increasing returns), the overall effect of increasing values of m is the same, with the only difference that Reginas are initially disadvantaged (because their fitness distance to the lucky half of Darrens is much greater than than to the unlucky Darrens). With larger m, more and more Darrens receive average total payoffs and share Regina's disadvantaged position (decreasing Regina's relative disadvantage), until the Darren distribution is again virtually equal to the Regina distribution. Rather than causing absolute risk aversion, increasing values of m thus counter the effect of ϵ and reduce the effects of concave and convex fitness functions to the linear case. Consequently, the top rows ($m = 32$) of the top and bottom panels in Figure 5 resemble the stable pattern across all m shown in the middle panel.

Translated into terms of academic careers, this less intuitive pattern indicates that being able to complete empirical studies at a higher rate — e.g., when working in a field where data collection is fast and cheap or when having more resources for data collection available — may cancel out the effects of different career stages. This could partly explain why Registered Reports appear to be less popular among senior researchers (Chambers & Tzavella, 2021) than we would expect based on the effects of different fitness functions alone: Although additional publications likely yield diminishing returns in later career stages (concave fitness function), academic seniority often comes with resources that boost research output per time (e.g., more lab members). As a consequence, established professors may be relatively indifferent to Registered Reports. Regarding junior researchers (for whom additional publications have increasing returns on career success), the results suggest that they may be especially reluctant to use Registered Reports when they have very limited time or resources to produce publications before an important selection event, such as on short-term postdoc contracts (R. Müller & de Rijcke, 2017).

Absolute survival thresholds

The survival thresholds (δ) in our model represent absolute publication targets that researchers must meet in order to progress in their career. The clearest examples for such thresholds are PhD regulations and tenure agreements. To be awarded with a PhD, many institutions and faculties require candidates to have a certain number of their thesis chapters published in peer-reviewed journals. Similarly, tenure agreements may include publication targets in the form of a minimum number of peer-reviewed publications within a certain time, sometimes also specifying minimal journal ranks (Liner & Sewell, 2009). Such

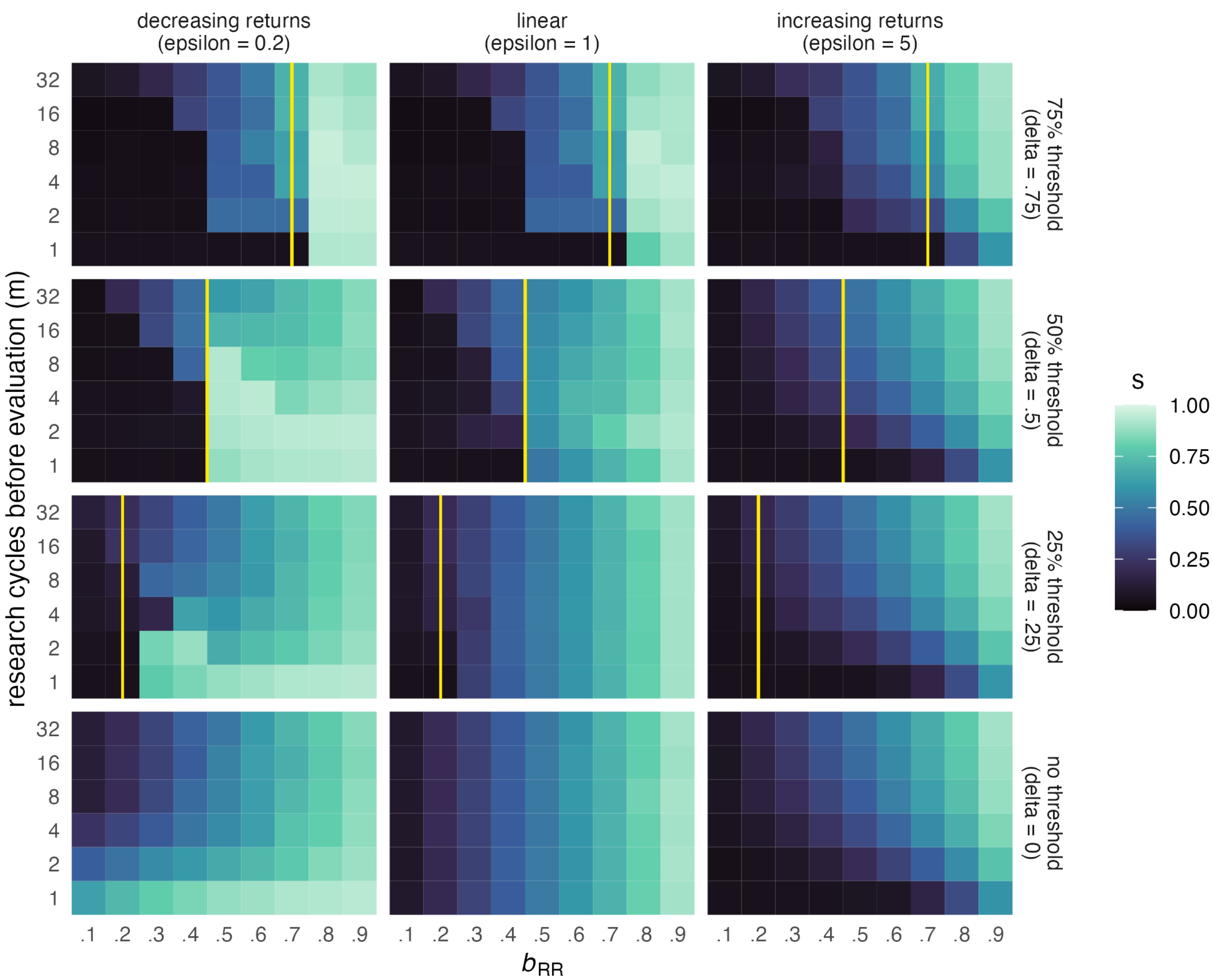

Figure 6. Effect of survival thresholds on evolved publication strategies. Shown are median publication strategies (s) after 250 generations in 50 runs (tile colour represents the median of 50 run medians) depending on survival thresholds (δ, shown as vertical yellow line), fitness functions (characterised by exponent ϵ), numbers of research cycles per generation (m), and values of b_{RR}, in the absence of competition ($\gamma = 1$). Survival thresholds are set as proportions of m, i.e., as a percentage of the maximum possible payoff in each condition. To reproduce, researchers must accumulate a total payoff exceeding $\delta * m$.

requirements may represent low, medium, or high survival thresholds depending on how demanding they are (e.g., the proportion of thesis chapters that must be published).

We investigate the effects of survival thresholds representing 25%, 50%, and 75% of the maximum possible payoff researchers can achieve in one generation. When $\delta > b_{RR}$, Registered Reports alone are not sufficient to reach the survival threshold (b_{RR} values to the left of the yellow line in Figure 6). For example, at $m = 4$, a survival threshold of 75% ($\delta = .75$) means that researchers must gain at least 3 points to be able to reproduce. When $b_{RR} = .7$, submitting four Registered Reports will only amount to 2.8 points in total, just short of meeting the threshold. On the other hand, when $b_{RR} = .8$ (i.e., just above δ), four Registered Reports would yield 3.2 points and thus ensure reproduction. Choosing the standard route some of the time can increase fitness even further, but also increases the risk of not meeting the survival threshold. As a consequence, one may intuitively expect Registered Reports to be popular whenever $\delta \leq b_{RR}$ and unpopular whenever $\delta > b_{RR}$.

Figure 6 shows that this is true in many, but not all conditions. First, we can see that survival thresholds have their biggest effect when the number of research cycles per generation is low — at high values of m, publication strategies are virtually unaffected in all conditions. Second, survival thresholds have a stronger effect when the fitness function is linear ($\epsilon = 1$) or concave ($\epsilon = 0.2$). In these two conditions, they produce very similar patterns: The Registered Report route is almost never chosen when b_{RR} is too low to meet the survival threshold (particularly at $\delta = .25$ and $\delta = .5$; less so at $\delta = .75$), and this effect tapers off as the number of research cycles increases. Compared to baseline, the change is particularly striking for the concave fitness function ($\epsilon = 0.2$, left column in Fig. 6), where RRs are normally preferred at low m. When the survival threshold is high ($\delta = .75$) or the fitness function is concave, we can also see that Registered Reports become *more* popular than baseline when they are worth just enough to pass the survival threshold. For the convex fitness function ($\epsilon = 5$) on the other hand, survival thresholds of 25% and 50% seem to have no effect at all. Only a high threshold of 75% makes RRs even less popular when they have low value ($b_{RR} \leq 0.4$), especially when the number of research cycles is low.

What does this mean in practice? In our model, fitness (according to the three different fitness functions) is calculated after the survival threshold has been met. This is meant to mimic publication requirements that are expressed in raw numbers. Importantly, it also means that our simulation shows which strategies during a PhD or on the tenure track lead to maximal fitness *after* researchers have successfully obtained their PhD or have been granted tenure. With this in mind, it becomes easier to understand the meaning of the different fitness functions. As discussed above, PhD candidates plausibly receive increasing returns for additional publications (convex fitness function), unless they intend not to stay in academia, in which case returns are strongly decreasing (concave fitness function). For researchers on the tenure track, the fitness function after achieving tenure is also likely concave, assuming a) that achieving tenure is one of the most important career goals for many (making further progress relatively less important) and b) that such individuals have already built up substantial publication records, to which any single addition makes less and less of a difference. However, exceptions from this scenario may well exist, for example in situations where tenured researchers are under great pressure to obtain grant funding.

Translated to real-world scenarios, our results thus suggest the following implications: First, survival thresholds are almost irrelevant when researchers can complete large numbers of studies before they are evaluated (reflecting characteristics of the research field, available resources, or length of the evaluation period). Second, researchers with a convex fitness function — such as PhD candidates who are pursuing an academic career — are only affected by high survival thresholds, which lead them to choose Registered Reports even less often than normal when their value is low. Third, researchers with a concave fitness function — such as tenure candidates or PhD students who aim for careers outside of academia — are highly sensitive to the value of Registered Reports: They virtually never conduct Registered Reports when their value is too low for meeting the survival threshold, but strongly prefer them when their value is sufficient (especially when empirical pace is low and/or the survival threshold is high).

Competition

Competition occurs whenever the demand for academic positions or grant funding exceeds the supply. Figure 7 shows that competition generally leads to an aversion of Registered Reports, as can be seen by the darkening of the plots when moving up from the bottom row of panels. The only exception to this rule is very low competition: When the top 90% are allowed to reproduce (and only the bottom 10% are rejected, $\gamma = .9$), Registered Reports become more popular than they are in the absence of competition. This effect is strongest for the concave fitness function ($\epsilon = 0.2$), where it holds for almost all values of b_{RR} at very low numbers of m and for high values of b_{RR} at high numbers of m. When the fitness function is linear or convex, Registered Reports are chosen more often only when both b_{RR} and m are high. At higher levels of competition ($\gamma > .5$), the differences between the fitness functions disappear. In all three cases, Registered Reports are essentially wiped out for low numbers of research cycles (m), and this effect increases with competition (the higher the competition, the higher m must be for Registered Reports to still be viable). Intense competition also negatively affects Registered Reports at high numbers of m, but here the general pattern of the baseline condition (a linear increase of Registered Reports popularity with b_{RR}) remains intact.

Looking at the first three rows of panels in Figure 7 (1%, 5%, and 10% competition), the extreme effect of competition at low m appears to decrease slightly when competition is highest ($\gamma = .01$), indicated by the dark bar at the bottom of each panel becoming slightly lighter. This paradoxial result is not due to Registered Reports being more lucrative in those conditions. Rather, competition is so extreme that the natural selection in our model starts operating more on chance than on individuals' traits. Essentially, only individuals with the

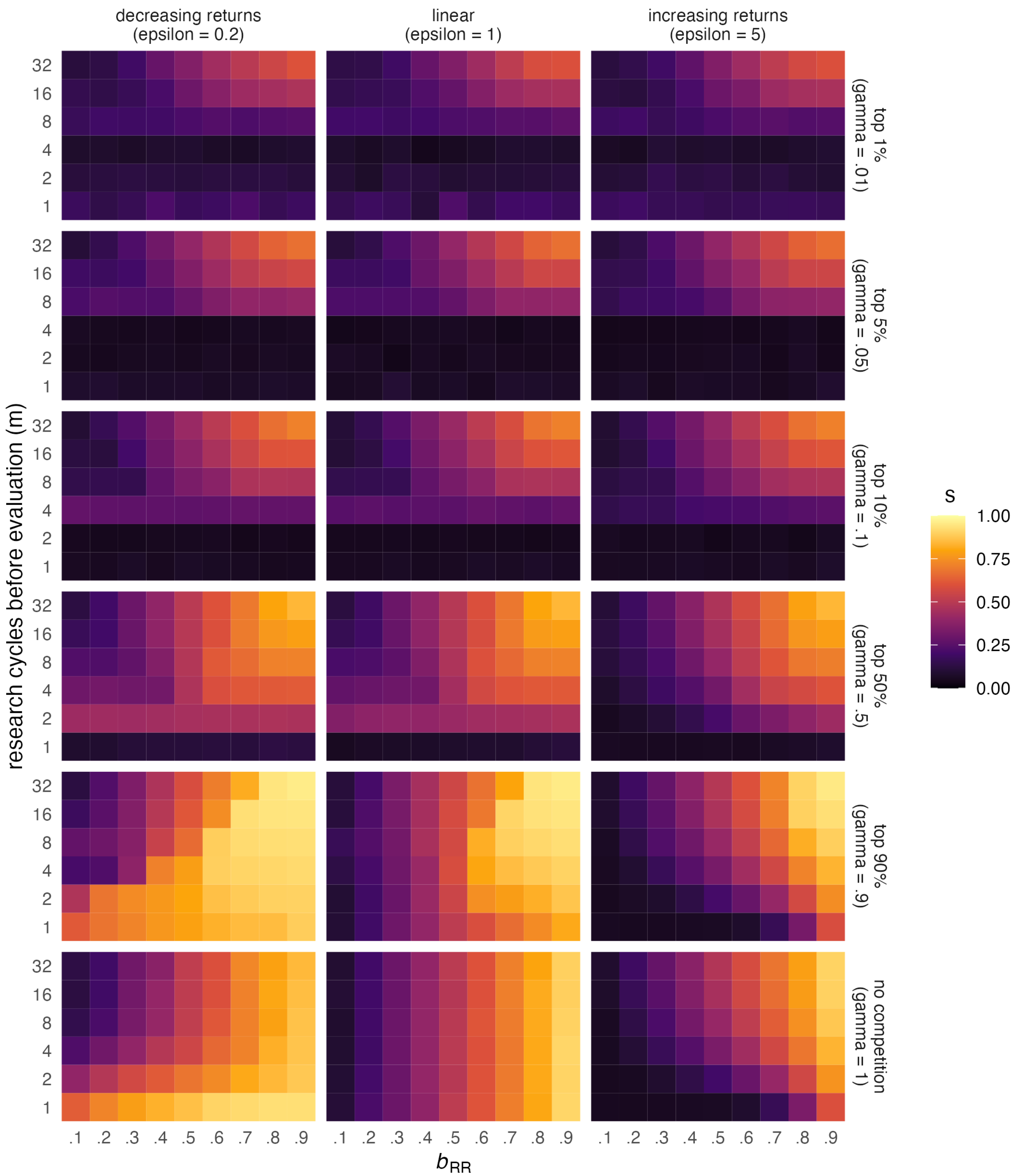

Figure 7. Effect of competition on evolved publication strategies. Shown are median evolved publication strategies (s) after 250 generations in 50 runs (tile colour represents the median of 50 run medians) depending on the intensity of competition (γ, y-axis), numbers of research cycles per generation (m), different values of b_{RR} (x-axis), and different fitness functions (characterised by exponent ϵ) with no survival threshold ($\delta = 0$). To reproduce, researchers must accumulate a total payoff in the top γ proportion of the population.

maximum possible payoff (publishing only standard reports with positive results) are able to reproduce. Most likely to receive this maximum payoff are individuals who investigate hypotheses with high prior probabilities. In our model, this is not a trait that can be passed on, but determined by random chance. Among individuals who experience this kind of luck, the variance of publication strategy s should be high: A hypothesis with prior $p = .95$ will be submitted as a standard report and likely yield a positive result (and thus the maximum payoff) regardless of whether the researcher's publication strategy is as low as $s = .1$ or has high as $s = .9$. The higher average s at low m under extreme competition thus reflects relaxed selection pressure on s. This is also evident by the shades of the dark bar at the bottom of the panels for $\gamma = .01$ (Fig. 7), which fluctuate randomly for each level of m rather than showing a specific pattern. A clearer illustration of the effect can be found in Figure XXX in the appendix, which shows large increases in the variance of evolved publication strategies in these conditions. At higher m, selection on s stays intact simply because much fewer individuals will be very lucky 4, 8, 16, or 32 times in a row than once or twice in a row, and publication strategy thus remains an important factor.

This effect of relaxed selection is not an arbitrary feature of our model, but commonly encountered in natural populations (Snyder, Ellner, & Hooker, 2021). In many species, luck can have an outsized impact on survival and reproduction, rendering the effects of individual traits relatively less important. Luck does not eliminate natural selection[5], but it can significantly slow it. XXX TRY LONGER SIM RUNS & REPORT HERE XXX The phenomenon is related to one form of survivorship bias: Looking at 'survivors' of a highly selective process, one may erroneously infer that specific observable traits or behaviours of such individuals were the cause of their success when those were actually merely coincidental.

In the academic world, researchers compete for tenured positions and grants. The level

[5] This is also apparent in Figure XXX (Appendix): Although the variance of evolved s increases dramatically with high competition, it never spans the entire range of s.

of competition may vary between research areas, countries, institutions, grant programmes, and so on. Our findings suggest that intense competition may be a significant threat for the viability of Registered Reports, regardless of career stage. This effect is particularly extreme when very few research cycles can be completed before an evaluation event (e.g., in fields with low empirical pace, in labs with few resources, or on short-term contracts): In such situations, publication strategies that involve any amount of Registered Reports are only viable when competition is so high that success requires extraordinary luck. In contrast, very low but non-zero levels of competition increase the popularity of Registered Reports, especially when their value is high, when the fitness function is concave (e.g., in later career stages), and when researchers can complete many studies before being evaluated.

Discussion

In the artificial world of the model presented here, the standard publication route is a coin toss — the probability of obtaining a publishable result is 50% on average[6], translating to an expected payoff of 0.5 points per study. If Registered Reports are a safe alternative to this gamble and guarantee publication in every case, one might think that payoff-maximising researchers would prefer them whenever they are worth more than the expected payoff from standard reports and avoid them whenever they are worth less. This intuition, however, rests on the assumption that the career benefits researchers receive from publications are linear and involve no step changes.[7] We argue that this assumption is violated in many, if not all, real-world situations. Here, we investigated the impact of four factors that likely shape real-world situations: convex vs concave fitness functions (additional publications yielding either increasing or decreasing returns, reflecting early vs later career stages), empirical pace

[6] This is the case because we modelled the prior probability of tested hypotheses as being uniformly distributed between 0 and 1 and as being identical to the probability of obtaining a positive (i.e., publishable) result.

[7] Linearity is violated when the fitness function is concave or convex ($\epsilon \neq |1|$), but also in the presence of survival thresholds or competition, because these effectively introduce a step-change in the fitness function (low but non-zero payoffs yield zero career benefits).

(reflecting differences in speed and cost of data collection, available resources, or available time), survival thresholds (reflecting absolute publication targets researchers must meet in a given time), and competition for jobs or grants. Our results show that in isolation or combined, many of these factors would lead researchers with career-maximising strategies to avoid Registered Reports — even when Registered Reports are worth more than the expected payoff from standard reports.

To summarise the results, it is useful to take the middle panel of Figure 5 ($\epsilon = 1$) as a baseline. In this panel, publication payoffs translate into linear career benefits (the fitness curve is linear and there is no survival threshold and no competition), and the outcome is highly intuitive: Researchers prefer Registered Reports whenever they are worth more than 0.5 points, their preference is exactly proportional to b_{RR}, and it is not affected by empirical pace. Compared to this baseline, Registered Reports are *less* popular when a) additional publications yield increasing returns (e.g., in early career) and empirical pace is low, b) when researchers face a survival threshold that cannot be met with Registered Reports alone, especially when publications yield decreasing returns once the threshold has been met (e.g., in advanced career stages) and empirical pace is low, and c) when there is substantial competition. Competition has the most extreme effect and can cause a complete avoidance of Registered Reports when empirical pace is low. Conversely, Registered Reports are *more* popular than at baseline when a) additional publications yield decreasing returns and empirical pace is low, b) Registered Reports are worth just enough to reach a survival threshold and publications yield decreasing returns after the threshold is met, especially when empirical pace is low, and c) when there is very low but non-zero competition, especially when publications yield decreasing returns or empirical pace is high.

Looking at the interactions of the different factors, three observations stand out. First, high empirical pace attenuates the effects of all other factors — at the highest pace we considered (32 research cycles before evaluation), outcomes are identical to baseline in almost all conditions. The only exception to this rule is high competition, but although Registered Reports are relatively less attractive in this condition, the basic pattern is preserved and they remain viable when their value is high. Second, the effect of survival thresholds strongly depends on the shape of the fitness function, suggesting that publication targets may have the strongest impact in advanced career stages. Third, the opposite is true for high competition, which cancels out the effects of different fitness functions and thus appears to have virtually the same impact across career stages.

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Implications

Translated to the real-world academic landscape, we might thus expect the following patterns. In research areas where empirical pace is low because studies are excessively costly or slow to conduct (e.g., research relying on expensive or rare equipment, research on populations that are difficult to access), or in labs or institutions where empirical pace is low because of lacking resources, publication strategies are particularly susceptible to the influence of other factors. Our model predicts that researchers in such fields or labs will be much less likely to use Registered Reports when they are early career, when they must achieve publication targets that cannot be met with Registered Reports alone (e.g., tenure agreements that ask for a minimal number of publications in high-ranking journals), and when they face substantial competition. In contrast, researchers in fields or labs with very high empirical pace (e.g., research areas relying on online surveys) should remain relatively indifferent to Registered Reports, preferring them when they are worth more than the expected payoff from the standard publication route and avoiding them when they are worth less than that. The only exception to this are situations with high competition, which drives such researchers to avoid Registered Reports unless they are very valuable (e.g., when

Registered Reports can be conducted at the best journal that researchers think would publish their study as a standard report).

When researchers must meet a specific publication target, individuals for whom additional publications beyond the target have decreasing returns (e.g., assistant professors on the tenure track or PhD candidates who intend to leave academia after obtaining their degree) are predicted to be especially sensitive to the value of Registered Reports: They should strongly prefer Registered Reports when those are worth enough to meet the target, and strongly prefer the standard publication route otherwise. Finally, intense competition — e.g., when researchers' publication records must be in the top 10% of their cohort for them to get a job — is predicted to have a strong negative effect on the popularity of Registered Reports, regardless of career stage. Given that the last decades have seen vast increases in PhD students but relatively stable numbers of tenured positions in many countries (Cyranoski, Gilbert, Ledford, Nayar, & Yahia, 2011), substantial competition may in fact be the default in many research fields.

Given that the number of available tenured positions is much smaller than the demand in many fields and countries,

In real-world academia, the popularity of Registered Reports will depend on many more factors than the ones we modelled in this simulation study. Nonetheless,

- Fields with low pace/labs with low resources are most susceptible to other factors
- Tenure track: value of RRs extremely important
- Grants: strategy to only sift out the worst application and raffle among the rest would favour RR-heavy strategy
- competition: relate to competition for priority & potential interaction with up-front cost of RRs

`To do:`

- Implications of results
    - high competition may be the default: jobs, grants
    - job selection not only on publication record. Beyond a certain threshold, more pubs may not matter much –> this basically means the fitness curve is concave
    - *low* competition may actually be good for grants: supports proposals for lotteries (after sifting out low-quality proposals) but not egalitarian funding distribution
    - ECRs predicted to avoid RRs unless empirical pace is high or they want to leave academia –> conflicts with empirical finding suggesting that RRs are more likely to have junior first authors
    - Tian, Su, & Ru (2016): there are cases of high survival thresholds
    - potential implications for meta-science
    - potential implications for policy

Limitations

- Narrow focus on one specific (and highly stylised) difference between Registered Reports and standard reports; there are many others. Model ignores a myriad other factors that influences who chooses Registered Reports for which studies when

- Concept of publication bias as filtering positive results of hypothesis tests (and the respective connection to hypothesis priors such that high priors –> better) is cartoonish and not entirely accurate for the simple reason that positive results of trivial (or otherwise boring) hypotheses are usually not highly valued (also, this approach only focuses on hypothesis testing, which is widely used in psychology but by far not the only means of doing science). A more valid solution may be the concept of publication bias as favouring belief-shifting results presented by Gross & Bergstrom (2021). Adapting the model presented here to capture this concept of bias could be an interesting future direction. However, the present version of the model also allows a conservative interpretation in which the prior probability of hypotheses simply reflects authors' predictions of the eventual publication value of different research questions. This interpretation is still concordant with Registered Reports and standard reports differing in risk, because the publication value of standard reports certainly depends more strongly on the study results than the publication value of Registered Reports (even if not in the simplistic sense of positive hypothesis tests having higher value).

- Fitness concept: one caveat is that

- RRs may actually *slow* the empirical pace, introducing an interaction that our model doesn't take into account

- Fitness curves: more senior researchers may also take the needs of their early-career mentees into account

Future directions

Ability-based risk taking. The model presented in this chapter only considers the effects of situational factors on individuals' risk sensitivity. However, risk sensitivity can also be influenced by individual differences, such that individuals with traits or abilities that increase their expected payoff from a risky option (e.g., traits that increase their winning chances or the payoff when winning or that buffer the impact of losses) should be more risk-prone (Barclay, Mishra, & Sparks, 2018). Such factors may be important to consider in the context of research and publication practices. For example, researchers who are better at choosing research questions that are likely to result in high-impact publications (e.g., through talent or experience) may find Registered Reports less attractive. As a more nefarious version of this idea, Registered Reports may be relatively unpopular among researchers who are more inclined to using questionable research practices (or even fraud) to obtain publishable or impactful results.

Registered Reports and post-publication peer review. The post-publication peer review platform *Peer Community In* (PCI) recently launched a new model of Registered

Reports (PCI Registered Reports) in which authors are no longer tied to a specific journal. PCI offers authors the regular process of stage-1 and stage-2 review, the end result of a successful submission is 'only' a preprint with a so-called 'recommendation' from PCI. Authors can subsequently publish their manuscript in one of several journals who partnered with PCI and either rely on the PCI review process alone or offer a streamlined review process for PCI-recommended preprints, or they can submit to any other journal as if their manuscript were a standard report. This innovation gives Registered-Reports authors significantly more freedom to capitalise on the results of their study because a submission to PCI Registered Reports does not preclude the chance of a high-impact publication. PCI Registered Reports thus constitute a significant change to the relative incentives and risk structure of Registered Reports compared to standard reports that merits a closer investigation in the future.

Conclusion

Disclosures

Data, materials, and online resources. This manuscript was created using RStudio (1.2.5019, RStudio Team, 2019) and R (Version 4.2.1; R Core Team, 2019) and the R-packages *bookdown* (Version 0.34; Xie, 2016), *ggplot2* (Version 3.5.0; Wickham, 2016), *here* (Version 1.0.1; K. Müller, 2017), *knitr* (Version 1.46; Xie, 2015), *papaja* (Version 0.1.1.9001; Aust & Barth, 2018), *rmarkdown* (Version 2.26; Xie, Allaire, & Grolemund, 2018), *stringr* (Version 1.5.1; Wickham, 2023), and *tinylabels* (Version 0.2.3; Barth, 2022).

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