

## University of the Basque Country

FINAL YEAR PROJECT

# About Tree Depth

Author:
Asier Mujika

Supervisor: Dr. Hubert Chen

## 1 Introduction to Graph Theory

#### 1.1 Definition of a graph

A graph is defined as a pair of sets G = (V, E), such that  $E \subseteq V^2$ . The members of V are called vertices and the ones of E edges. Take into account, that the vertices can be anything, they can even be sets themselves. The usual way to draw a graph is by representing the vertices as individual points and for each edge, draw a link between both elements of that edge. The shape in which a graph is drawn is irrelevant, it will contain the same information.

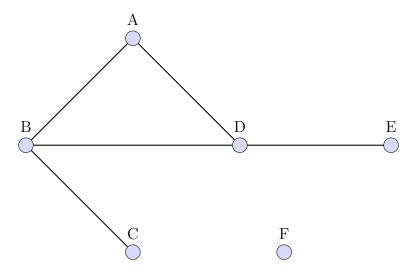


Figure 1: A graph with  $V = \{A, B, C, D, E, F\}$  and  $E = \{\{A, B\}, \{A, D\}, \{B, D\}, \{B, C\}, \{D, E\}\}$ 

## 1.2 Connectivity

An essential concept in graph theory is adjacency. Two vertices  $x, y \in V$  are said to be adjacent in G if and only if  $\{x, y\} \in E$ .

## 2 Introduction to Tree Depth

#### 2.1 Basic definitions

Vertex x is said to be the ancestor of y in a rooted forest F, if and only if x belongs to the path between y and the root of the component to which x belongs, y included.

The closure of a rooted forest F, expressed as C = clos(F), is defined as follows:

- V(C) = V(F)
- • E(C) = {{x, y} : x is an ancestor of y in F, x  $\neq$  y }

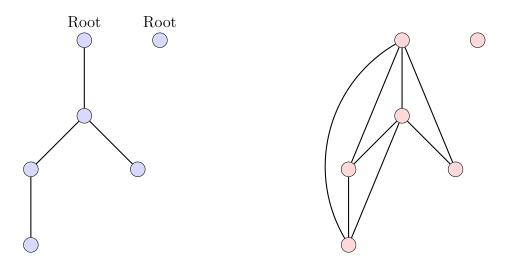
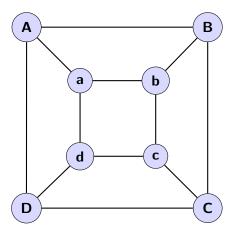


Figure 2: The blue graph at the left is a rooted forest F, the red graph at the right represents clos(F).

## 2.2 Tree Depth

**Definition** The tree-depth td(G) of a graph G is the minimum height of a rooted forest F such that  $G \subseteq clos(F)$ 



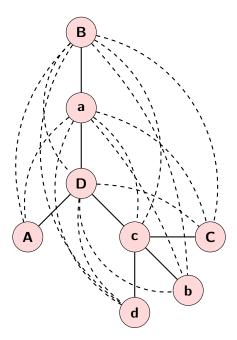


Figure 3: The graph G and tree T are in the left and right respectively. The doted edges in T, represent the clos(T). Because  $G \subseteq clos(T)$ , we know that td(G) is at most 4.

The tree depth of a graph G is a numerical invariant of a graph. In other words, the tree depth is a property that depends only on the abstract structure of a graph, not in its representation.

#### 2.3 Elimination tree

An elimination tree Y of a connected graph G is defined recursively as follows:

- If  $V(G) = \{x\}$  then Y is just  $\{x\}$ .
- Otherwise,  $r \in V(G)$  is chosen as the root of Y and an elimination tree is created for each component of G r. r will be connected to the root of each of these trees.

The tree T in Figure 3 is an elimination tree for the graph G.

**Lemma 2.1.** Let G be a graph and T a tree such that  $G \subseteq clos(T)$ . Then, Y exists, where Y is an elimination tree of G and height(Y)  $\leq$  height(T).

## 3 Game Theoretic approach to Tree-Depth

#### 3.1 Defining the game

The k-step selection-deletion game is played by Alice and Bob on a graph G. The game is played by turns as follows:

- First, Alice selects a connected component of the graph, and the rest of the components are deleted.
- Then, Bob deletes a node from the remaining graph and the next round is played with this graph.

If Bob deletes the last node at the k-th round or earlier, he is said to win the k-step selection-deletion game. Otherwise, Alice wins.

## 3.2 Bob's winning strategy

**Lemma 3.1.** Let G be a graph and let F be a rooted forest of height at most t such that  $G \subseteq clos(F)$ . Then Bob has a winning strategy for the (t+1)-step selection-deletion game.

*Proof.* Because of lemma 2.1 we know an elimination forest Y exists such that  $height(Y) \leq height(F)$ . We will proof this by induction over the height of Y.

- Base case: If height(Y) = 0, then every component of G will have a single vertex, so it's clear that Bob will win the 1-step selection-deletion game.
- Induction: Let  $G_i \subseteq G$  be the component Alice chooses, then  $Y_i$  exists such that  $Y_i$  is an elimination tree belonging to Y,  $G_i \subseteq clos(Y_i)$  and obviously  $height(Y_i) \leq height(Y) \leq t$ . Bob will delete v, the root of  $Y_i$ . This will leave us with  $G' = G_i v$  as the new graph. If we consider the sons of v the new roots in  $Y' = Y_i v$ , then  $G' \subseteq clos(Y')$  because of how the elimination trees are built. As  $height(Y') \leq t 1$ , we can assume by induction that Bob has a winning strategy in v rounds for v, which together with the strategy for the first round we have just defined makes a winning strategy for Bob in the v-step selection-deletion game on the graph v-step.

#### 3.3 Alice's winning strategy

**Definition** A shelter S in a graph G is a partially ordered set with the next properties:

- $\forall H \in S, H \subseteq G \text{ and } H \text{ is connected.}$
- H < V if and only if  $H \subset V$ .
- If  $H \in S$  and H is not minimal, then  $\forall v \in V(H), \exists H'$  such that H' = H v and H covers H'.

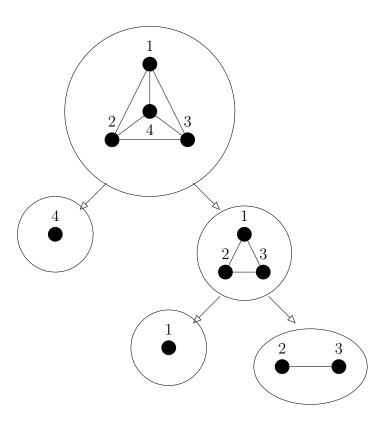


Figure 4: An example of a shelter. The arrows represent the covering relation.

The thickness of a shelter S is the shortest chain from a maximal element in S to a minimal element in S. The thickness of the shelter in figure 4 is 1.

**Lemma 3.2.** Let G be a graph, S a shelter in G, and t the thickness of S. Then, S encodes a winning strategy for Alice in the t-step selection-deletion game.

*Proof.* We will proof this by induction over t.

- Base case: If t = 0, then clearly Alice wins the 0-step selection-deletion game.
- Induction: Let H be a maximal element in S. Then, Alice picks the connected component  $G_i$  of G, such that  $H \subseteq G_i$ . Because t > 0, H is not minimal, so for any vertex v that Bob removes, if  $v \in H$  there exists  $H' \in S$  that is covered by H and  $v \notin H'$ . Otherwise, H is still a subgraph of  $G_i v$ . Let  $S' = \{X \mid X \in S \text{ and } X \subseteq G_i v\}$ . It is clear that S' is a shelter for  $G_i v$  and that the thickness of S' is greater than or equal to t-1. By induction we can assume S' encodes a winning strategy in t-1 steps.

## 3.4 Relation to Tree-Depth

It is clear that if Alice has a winning strategy in the t-step selection deletion game, Bob can't have a winning strategy in that same game. Because of this and lemmas 3.1 and 3.2 we can state the following:

**Theorem 3.3.** Let G be a graph, S a shelter in G of thickness x and F a rooted forest of height y such that  $G \subseteq clos(F)$ . Then the following is true.

- 1. Every rooted forest who's closure contains G has an height higher than or equal to x.
- 2. Every shelter in G has a thickness smaller than or equal to y.
- 3.  $x \leq td(G) \leq y$ .

With this theorem we can now proof the exact value of the tree-depth of a graph, instead of just an upper bound.

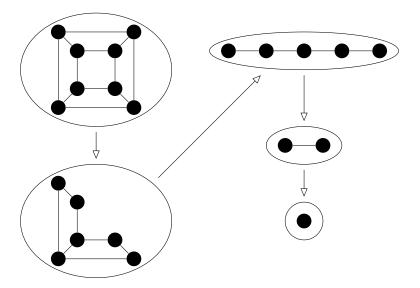


Figure 5: This is a shelter of thickness 4 for the graph in Figure 3. Beware that not all graphs in the shelter are drawn, but every graph in S is homomorphic to these. With this and the rooted forest from Figure 3 we can say that td(G) = 4.