

Unification

Concepts of Programming Languages

Outline

- » Finish up our discussion of **Hindley–Milner Light** (HM⁻)
- » Describe the **unification** algorithm used to determine the "actual" type of our expression, given a collection of constraints

Recap

Recall: Parametric Polymorphism

```
let rec rev = function
  | [] -> []
  | x :: xs -> rev xs @ [x]
```

Parametric polymorphism allows for functions which are agnostic to the types of its inputs

For example, we can write a single reverse function and use it in multiple contexts

Recall: Quantification

```
let id : 'a . 'a -> 'a = fun x -> x
```

In reality, types variables in OCaml are **quantified**

We read this "**id** has type **t -> t** for any type **t**"

Recall: Hindley-Milner Light

$$\begin{aligned} e ::= & \lambda x . e \mid ee \\ & \mid \text{let } x = e \text{ in } e \\ & \mid \text{if } e \text{ then } e \text{ else } e \\ & \mid e + e \mid e = e \\ & \mid n \mid x \end{aligned}$$
$$\sigma ::= \text{int} \mid \text{bool} \mid \alpha \mid \sigma \rightarrow \sigma$$
$$\tau ::= \sigma \mid \forall \alpha . \tau$$

Recall: Type Schemes

$$\sigma ::= \text{int} \mid \text{bool} \mid \alpha \mid \sigma \rightarrow \sigma$$

$$\tau ::= \sigma \mid \forall \alpha . \tau$$

monotype (σ) : type with no quantification

monomorphic type: monotype with no type variables

type scheme (τ) : type with zero or more quantified type variables

polymorphic type: *closed* type scheme

Recall: Constraint-Based Inference

$$\Gamma \vdash e : \tau \dashv \mathcal{C}$$

Our typing rules will need to keep track of a set of **constraints**, which tell us what must hold for e to be well-typed

The idea: We're formalizing the idea of "collecting together" our constraints, as in our intuitive example

Recall: Constraints

$$\tau_1 \doteq \tau_2$$

" τ_1 should be the same as τ_2 "

Enforcing this constraint means **unifying** τ_1 and τ_2

Recall: HM⁻ (Typing)

$$\frac{n \text{ is an integer}}{\Gamma \vdash n : \text{int} \dashv \emptyset} \text{ (int)}$$

$$\frac{\Gamma \vdash e_1 : \tau_1 \dashv \mathcal{C}_1 \quad \Gamma \vdash e_2 : \tau_2 \dashv \mathcal{C}_2 \quad \Gamma \vdash e_3 : \tau_3 \dashv \mathcal{C}_3}{\Gamma \vdash \text{if } e_1 \text{ then } e_2 \text{ else } e_3 : \tau_3 \dashv \tau_1 \doteq \text{bool}, \tau_2 \doteq \tau_3, \mathcal{C}_1, \mathcal{C}_2, \mathcal{C}_3} \text{ (if)}$$

$$\frac{\Gamma \vdash e_1 : \tau_1 \dashv \mathcal{C}_1 \quad \Gamma \vdash e_2 : \tau_2 \dashv \mathcal{C}_2}{\Gamma \vdash e_1 = e_2 : \text{bool} \dashv \tau_1 \doteq \tau_2, \mathcal{C}_1, \mathcal{C}_2} \text{ (eq)}$$

$$\frac{\Gamma \vdash e_1 : \tau_1 \dashv \mathcal{C}_1 \quad \Gamma \vdash e_2 : \tau_2 \dashv \mathcal{C}_2}{\Gamma \vdash e_1 + e_2 : \text{int} \dashv \tau_1 \doteq \text{int}, \tau_2 \doteq \text{int}, \mathcal{C}_1, \mathcal{C}_2} \text{ (add)}$$

$$\frac{\alpha \text{ is fresh} \quad \Gamma, x : \alpha \vdash e : \tau \dashv \mathcal{C}}{\Gamma \vdash \lambda x. e : \alpha \rightarrow \tau \dashv \mathcal{C}} \text{ (fun)}$$

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Practice Problem

$$\{f : \alpha \rightarrow \alpha\} \vdash f (f \ 2 = 2) : \tau \dashv \mathcal{C}$$

Determine the type τ and constraints \mathcal{C} such that the above judgment is derivable

$$\frac{n \text{ is an integer}}{\Gamma \vdash n : \text{int} \dashv \emptyset} \quad (\text{int})$$

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Answer

$$\{f : \alpha \rightarrow \alpha\} \vdash f (f \ 2 = 2) :$$

HM⁻ (Typing Variables)

$$\frac{(x : \forall \alpha_1 . \forall \alpha_2 \dots \forall \alpha_k . \tau) \in \Gamma \quad \beta_1, \dots, \beta_k \text{ are fresh}}{\Gamma \vdash x : [\beta_1 / \alpha_1] \dots [\beta_k / \alpha_k] \tau \dashv \emptyset} \quad (\text{var})$$

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This is where the polymorphism magic happens

fresh variables can be unified with anything

Example

$$\{f : \forall \alpha . \alpha \rightarrow \alpha\} \vdash f (f \ 2 = 2) :$$

HM⁻ (Typing Let-Expressions)

$$\frac{\Gamma \vdash e_1 : \tau_1 \dashv \mathcal{C}_1 \quad \Gamma, x : \tau_1 \vdash e_2 : \tau_2 \dashv \mathcal{C}_2}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 : \tau_2 \dashv \mathcal{C}_1, \mathcal{C}_2} \quad (\text{let})$$

The type of a let-expression is the same as the type of its body, relative to the constraints of typing the let-binding and the body (wordy...)

Aside: Let-Polymorphism

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let f = fun x -> x in  
let y = f 2 in  
f true
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The Takeaway: We will have to treat typing of top-level let-expressions as *different* from local let-expressions

Unification

High Level

$$a \doteq d \rightarrow e$$

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Unification is the process of solving a system of equations over *symbolic* expressions

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Unification is the process of solving a system of equations over *symbolic* expressions

e.g., we could solve a system of equations over *variables* and *ADT constructors*

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where s_1, \dots, s_k and t_1, \dots, t_k are element of the ADT possibly with variables

Example

```
type ty =  
  | TInt  
  | TBool  
  | TFun of ty * ty  
  | TVar of string
```


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$$\mathcal{S} = \{x_1 \mapsto t_1, x_2 \mapsto t_2, \dots, x_i \mapsto t_i\}$$

We write $\mathcal{S}t$ for $[t_i/x_i] \dots [t_1/x_1]t$

Unifiers (2)

A solution must have the property that it **satisfies** every equation

$$\mathcal{S}t_1 = \mathcal{S}s_1$$

$$\mathcal{S}s_2 = \mathcal{S}t_2$$

$$\vdots$$

$$\mathcal{S}s_k = \mathcal{S}t_k$$

Example

$$a \doteq d \rightarrow e$$

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Unification may Fail

$$\begin{aligned} a &\doteq b \rightarrow c \\ b &\doteq a \rightarrow \text{int} \end{aligned}$$

Not all unification problems have solutions...

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Ex.

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When we see an assignment, it *becomes part of our solution*

And we're guaranteed to get a most general unifier

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OTHERWISE \implies **FAIL**

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RETURN \mathcal{S}

Example

$$a \doteq d \rightarrow e$$

$$c \doteq \text{int} \rightarrow d$$

$$\text{int} \rightarrow \text{int} \rightarrow \text{int} \doteq b \rightarrow c$$

Example

$$a \dot{=} b \rightarrow c$$
$$b \dot{=} a \rightarrow \text{int}$$

Summary

Unification is used to solve a collection of constraints generated by constraint-based inference

Not all unification problems have solutions. In the type unification problem, this indicates a type error