

# Bytecode Interpreters

## Concepts of Programming Languages

# Outline

- » Course evaluations
- » Discuss **stack-based languages** and **stack machines**
- » Look briefly at how to compile **variables** and **functions**
- » Finish up the dang course

Course evaluations

# Overview

# Abstract/Virtual Machines



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Virtual machines are typically implemented as **bytecode interpreters**, where "programs" are streams of bytes and a command is represented as a byte (plus possibly some extra data)

One common abstraction is **stack machines**



# Benefits of Virtual (Stack) Machines

<sup>state</sup>  
( $\emptyset$  , <sup>program</sup>  
push 2; push 3; add) →

(2 ::  $\emptyset$  , push 3; add) →

(3 :: 2 ::  $\emptyset$  , add) →

(5 ::  $\emptyset$  ,  $\epsilon$ )

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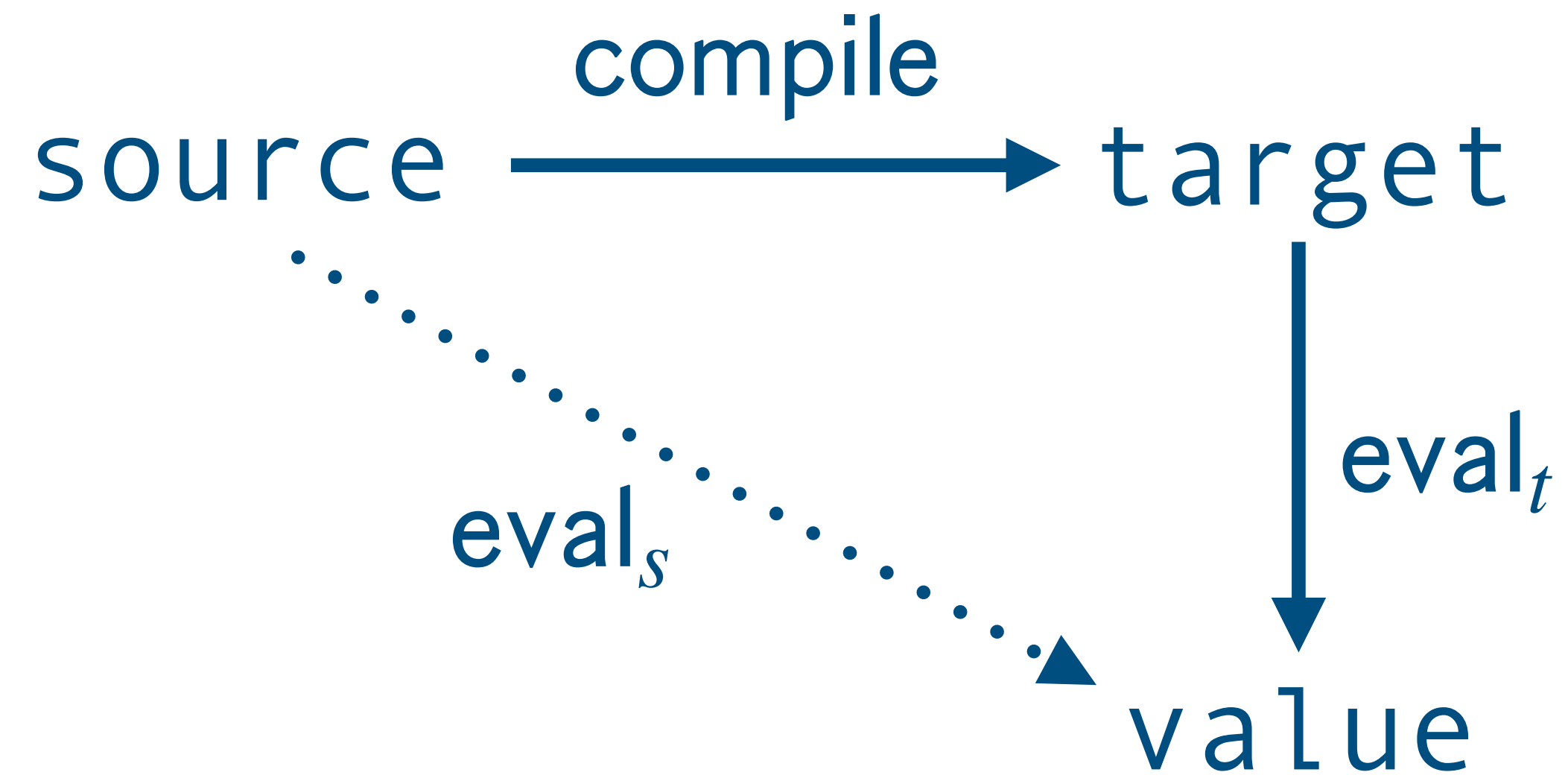
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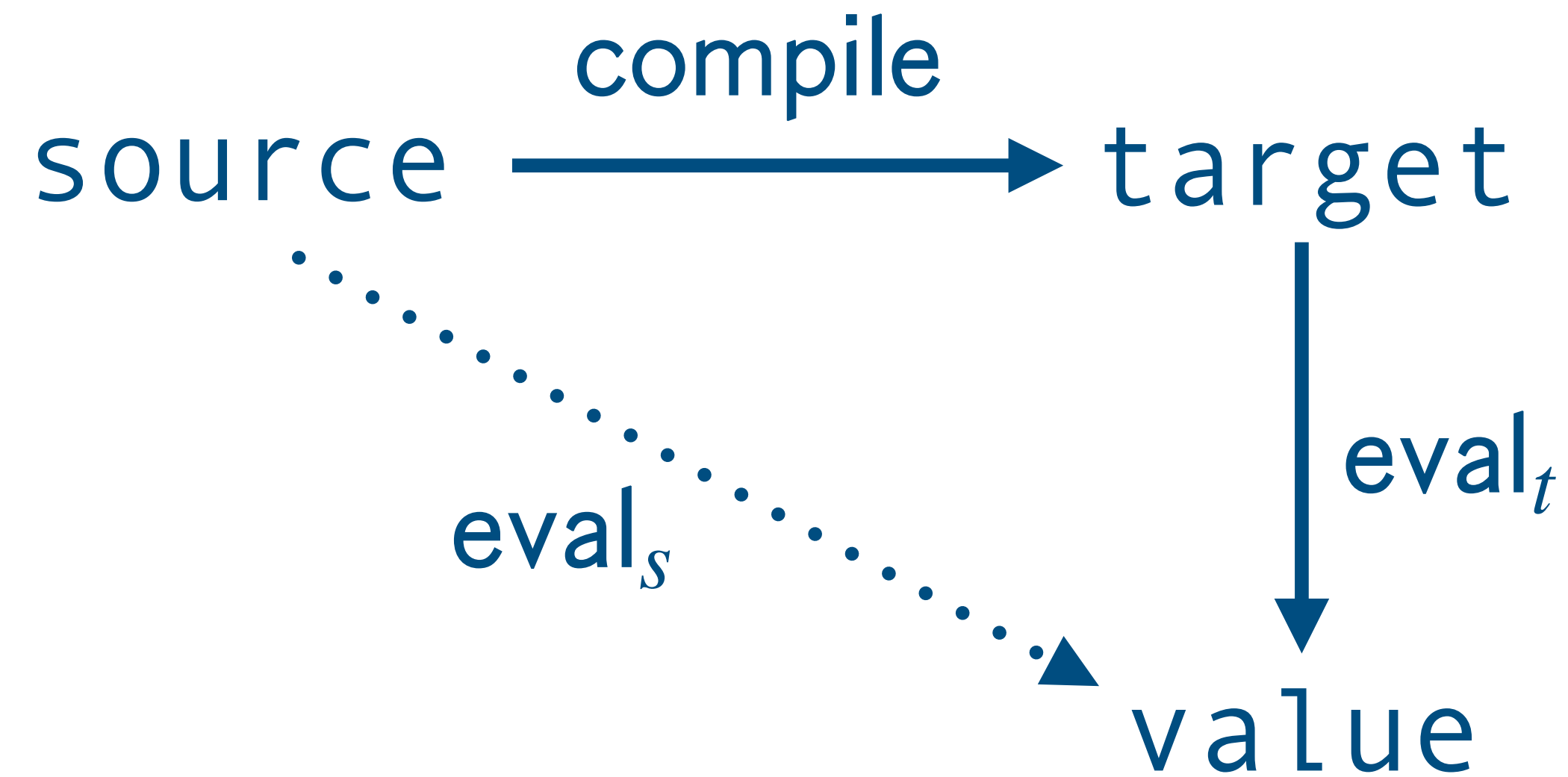
**Portability:** Any OS should be able to handle a stream of bytes, so the machine dependent part of our programming language can be simplified

**Efficiency (sort of):** They can be implemented in low-level languages, and so will generally be faster than the interpreters we build in this course (though not as fast as natively compiled code)

# Compilation (High Level)

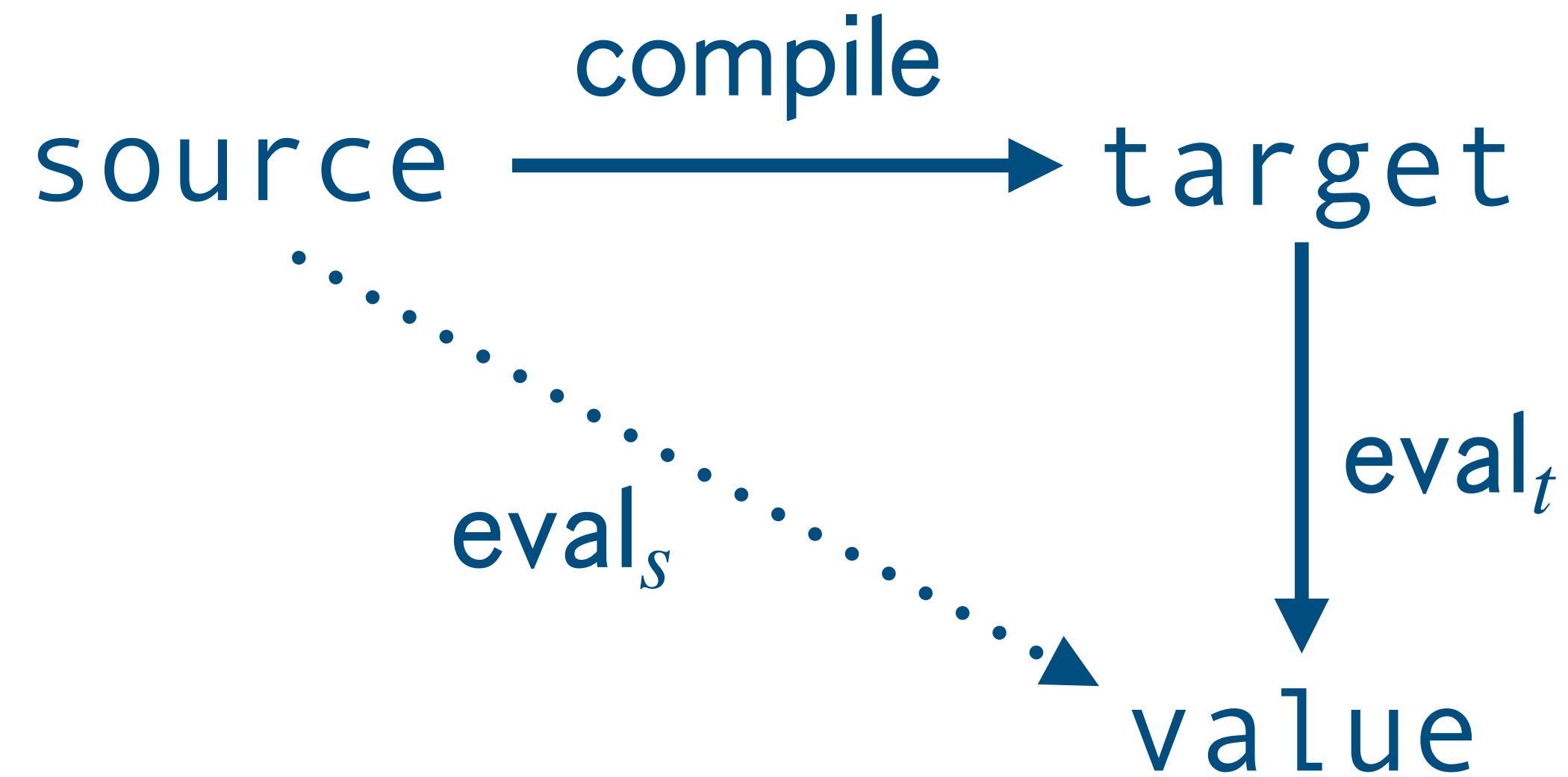


# Compilation (High Level)



**Compilation** is the process of translating a program in one language to another, maintaining semantic behavior

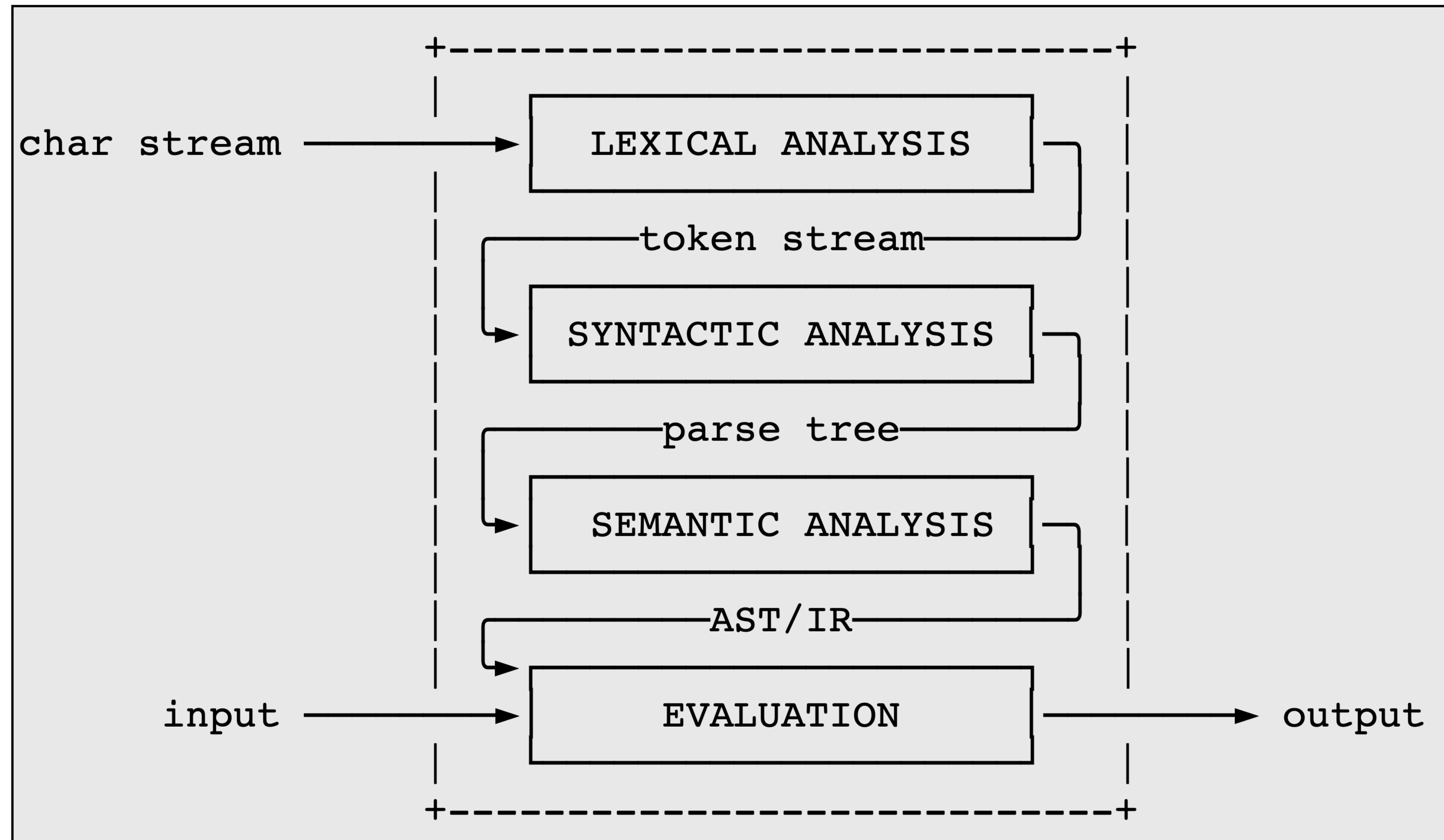
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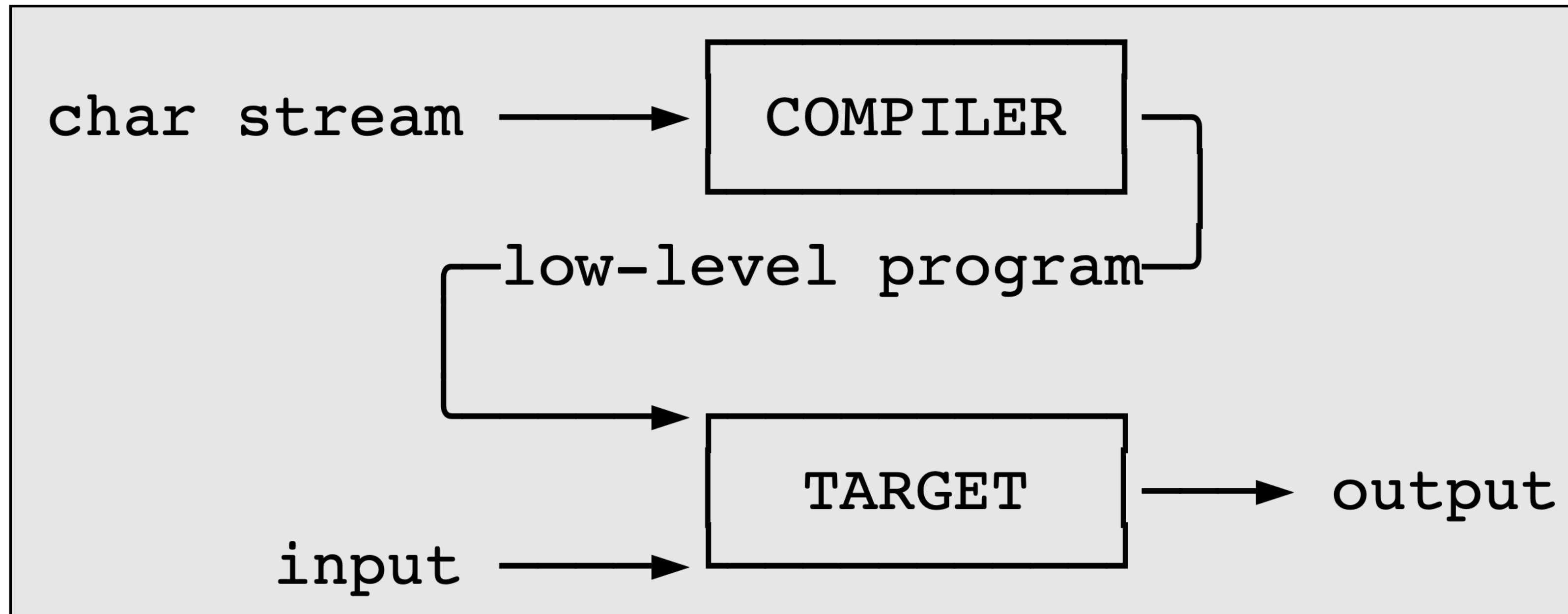
Compilation can be a part of interpretation as well, like with **bytecode interpretation**

# Recall: The Interpretation Pipeline

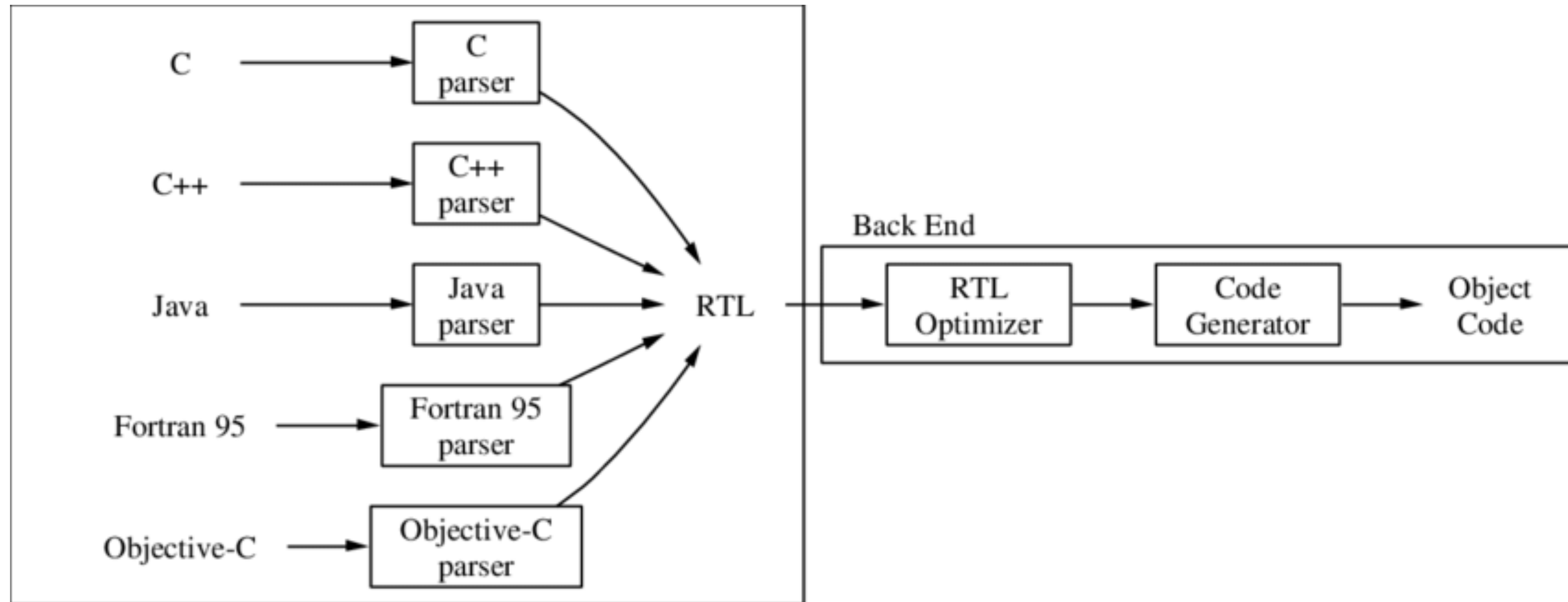




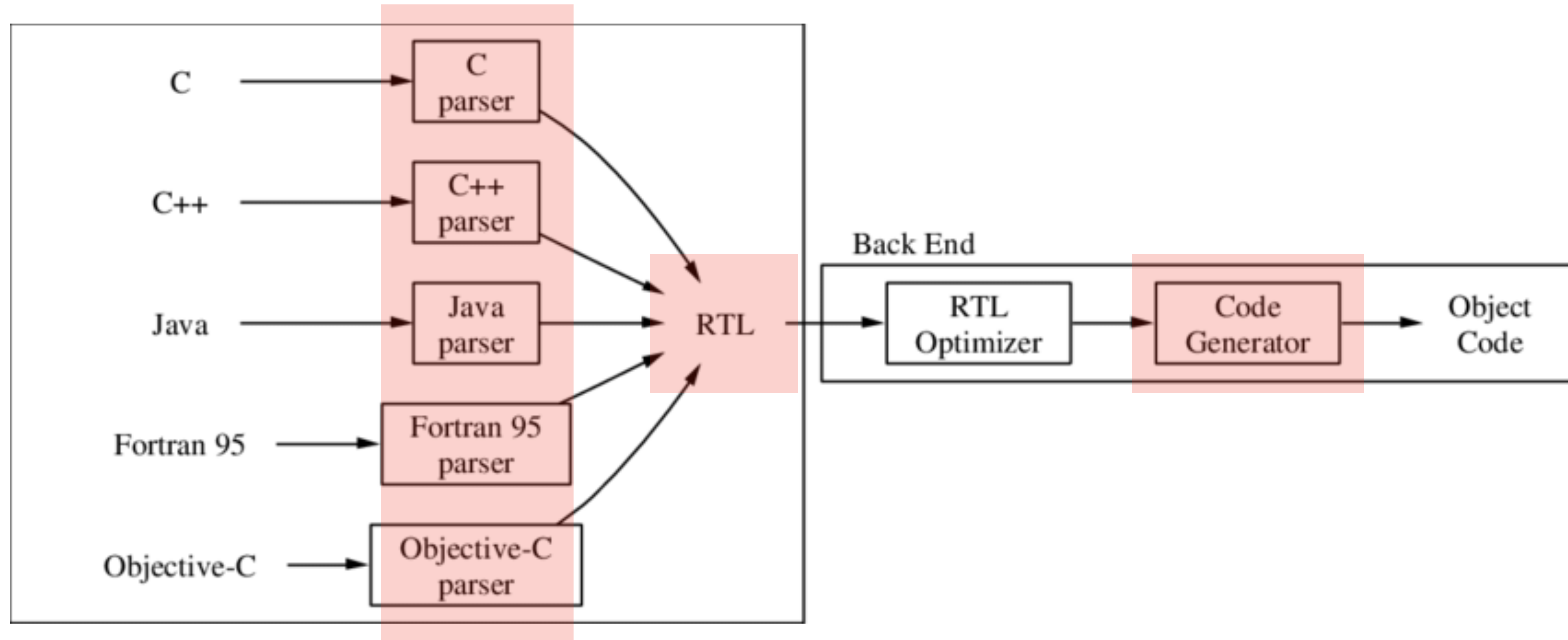
# The Compiler Pipeline



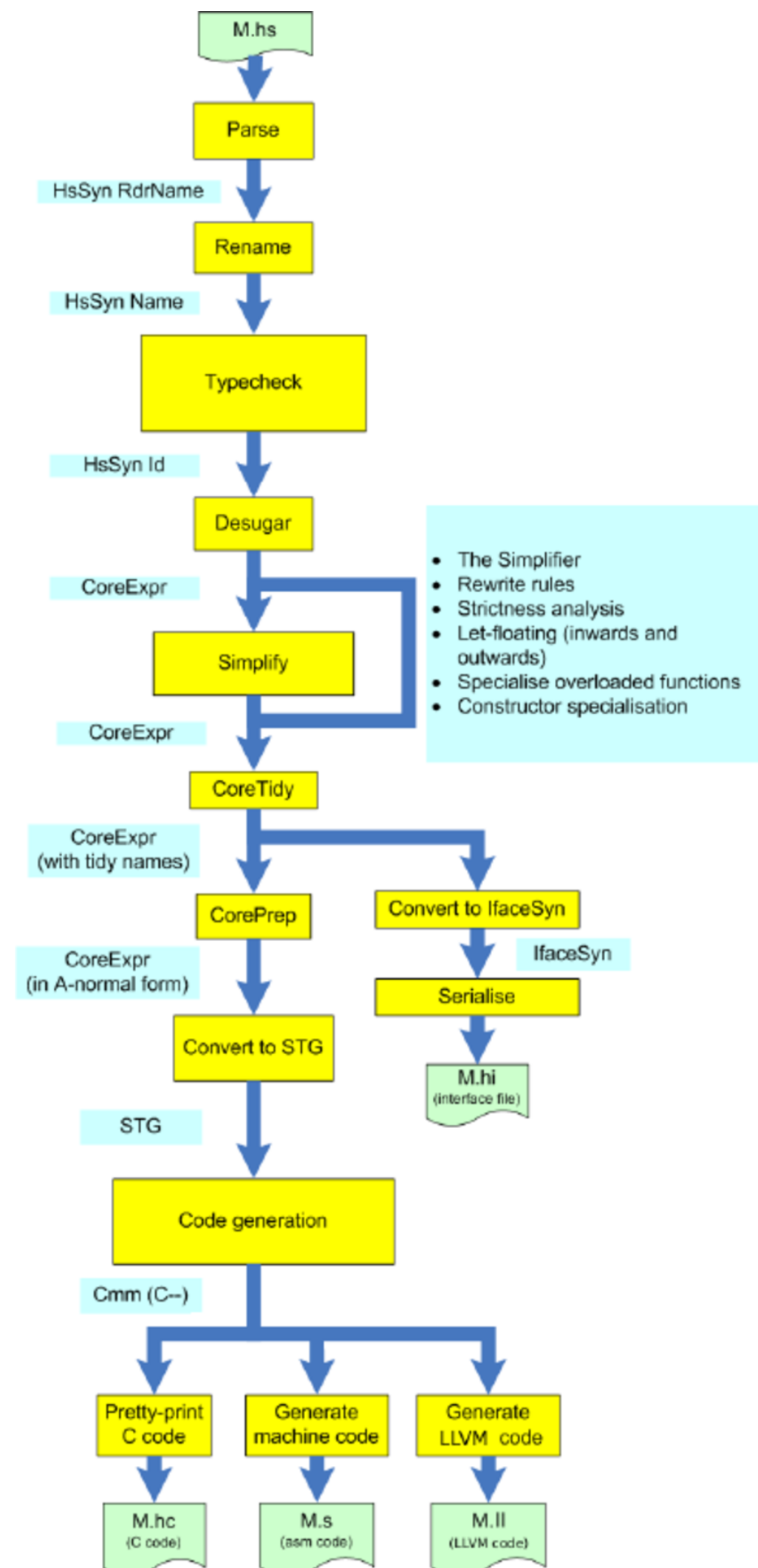
# Example Pipelines: gcc



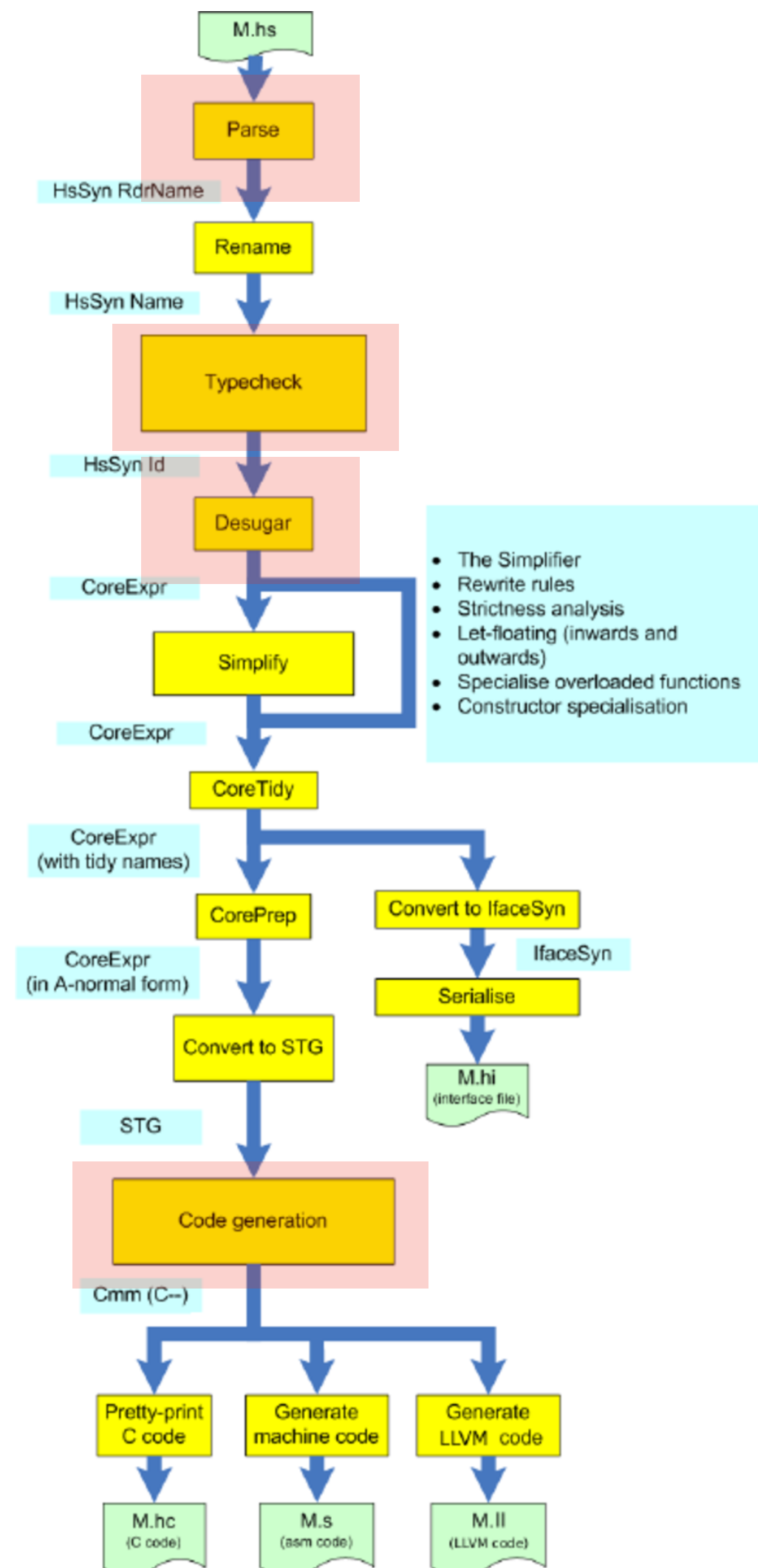
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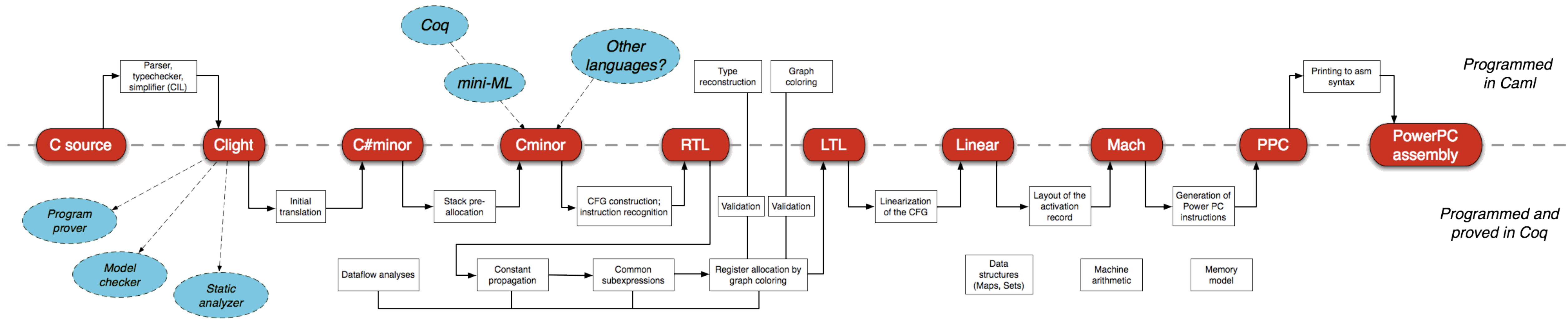
# Example Pipelines: GHC (Haskell)



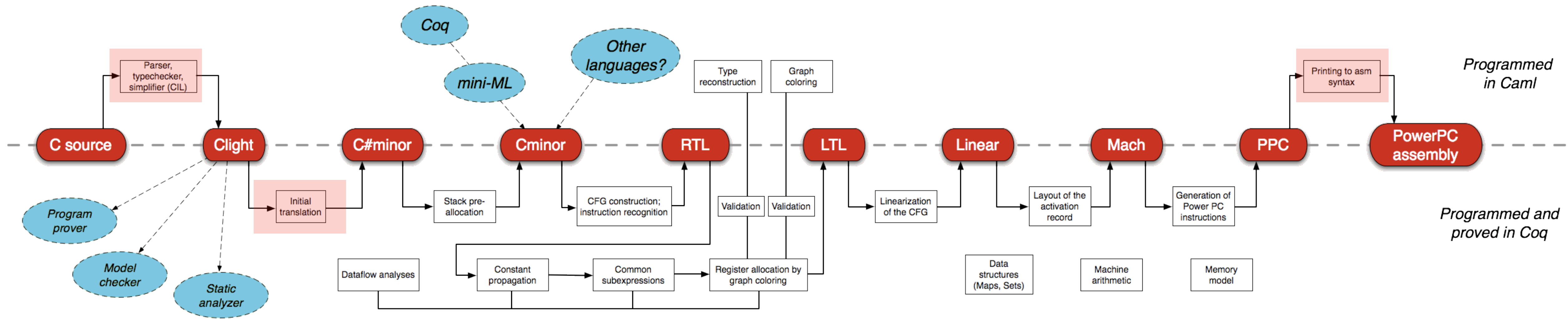
# Example Pipelines: GHC (Haskell)



# Example Pipelines: CompCert (C)



# Example Pipelines: CompCert (C)



# **Stack-Based Arithmetic**



# Stack-Based Arithmetic (Syntax)

$\langle \text{prog} \rangle ::= \{ \langle \text{com} \rangle \}$

$\langle \text{com} \rangle ::= \text{ADD} \mid \text{SUB} \mid \text{MUL} \mid \text{DIV} \mid \text{PUSH } \langle \text{num} \rangle$

$\langle \text{num} \rangle ::= \mathbb{Z}$

# Stack-Based Arithmetic (Semantics)

$$\langle \mathcal{S}, P \rangle$$

A **value** is an integer ( $\mathbb{Z}$ )

A **configuration** is made up of a stack ( $\mathcal{S}$ ) of values and a program ( $P$ ) given by **<prog>**

# Stack-Based Arithmetic (Semantics)

$$\frac{}{\langle m :: n :: \mathcal{S}, \text{ADD } P \rangle \longrightarrow \langle (m + n) :: \mathcal{S}, P \rangle} \text{ (add)}$$

$$\frac{}{\langle m :: n :: \mathcal{S}, \text{SUB } P \rangle \longrightarrow \langle (m - n) :: \mathcal{S}, P \rangle} \text{ (sub)}$$

$$\frac{}{\langle m :: n :: \mathcal{S}, \text{MUL } P \rangle \longrightarrow \langle (m \times n) :: \mathcal{S}, P \rangle} \text{ (mul)}$$

$$\frac{n \neq 0}{\langle m :: n :: \mathcal{S}, \text{DIV } P \rangle \longrightarrow \langle (m/n) :: \mathcal{S}, P \rangle} \text{ (div)}$$

$$\frac{}{\langle \mathcal{S}, \text{PUSH } n \ P \rangle \longrightarrow \langle n :: \mathcal{S}, P \rangle} \text{ (push)}$$

# Example (Evaluation)

$\langle \emptyset, \text{PUSH } 2 \text{ PUSH } 3 \text{ SUB PUSH } 4 \text{ MUL} \rangle \longrightarrow$

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$\langle \emptyset, \text{PUSH } 2 \text{ PUSH } 3 \text{ SUB PUSH } 4 \text{ MUL} \rangle \longrightarrow$

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$\langle 3 :: 2 :: \emptyset, \text{SUB PUSH } 4 \text{ MUL} \rangle \longrightarrow$

$\langle -1 :: \emptyset, \text{PUSH } 4 \text{ MUL} \rangle \longrightarrow$

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$\langle \emptyset, \text{PUSH } 2 \text{ PUSH } 3 \text{ SUB PUSH } 4 \text{ MUL} \rangle \longrightarrow$

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$\langle 4 :: -1 :: \emptyset, \text{MUL} \rangle \longrightarrow$



# Example (Evaluation)

$\langle \emptyset, \text{PUSH } 2 \text{ PUSH } 3 \text{ SUB PUSH } 4 \text{ MUL} \rangle \longrightarrow$

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$\langle -1 :: \emptyset, \text{PUSH } 4 \text{ MUL} \rangle \longrightarrow$

$\langle 4 :: -1 :: \emptyset, \text{MUL} \rangle \longrightarrow$

$\langle -4 :: \emptyset, \epsilon \rangle \longrightarrow -4$

# Compiling Arithmetic Expressions

	<b>n</b>	$\Rightarrow$	<b>PUSH n</b>
$e_1$	<b>+</b> $e_2$	$\Rightarrow$	$\mathcal{C}(e_2)$ $\mathcal{C}(e_1)$ <b>ADD</b>
$e_1$	<b>-</b> $e_2$	$\Rightarrow$	$\mathcal{C}(e_2)$ $\mathcal{C}(e_1)$ <b>SUB</b>
$e_1$	<b>*</b> $e_2$	$\Rightarrow$	$\mathcal{C}(e_2)$ $\mathcal{C}(e_1)$ <b>MUL</b>
$e_1$	<b>/</b> $e_2$	$\Rightarrow$	$\mathcal{C}(e_2)$ $\mathcal{C}(e_1)$ <b>DIV</b>

We need a procedure  $\mathcal{C}$  for converting an arithmetic expression into a stack program. *Note the order!*

# Example (Compilation)

$\mathcal{C}(4 * (2 - 3))$

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# **Variables**

# Variables (Syntax)

$\langle \text{prog} \rangle ::= \{ \langle \text{com} \rangle \}$

$\langle \text{com} \rangle ::= \text{ADD} \mid \text{SUB} \mid \text{MUL} \mid \text{DIV} \mid \text{PUSH } \langle \text{num} \rangle$   
 $\mid \text{ASSIGN } \langle \text{var} \rangle \mid \text{LOOKUP } \langle \text{var} \rangle$

$\langle \text{num} \rangle ::= \mathbb{Z}$

$\langle \text{var} \rangle ::= \mathbb{I}$

# Variables (Semantics)

$$\langle \mathcal{S}, \mathcal{E}, P \rangle$$

A **value** is an integer ( $\mathbb{Z}$ )

A **configuration** is made up of a stack  $\mathcal{S}$  of values, an environment  $\mathcal{E}$  (mapping of identifiers to values), and a program  $P$  given by **<prog>**

# Variables (Semantics)

$$\frac{}{\langle m :: n :: \mathcal{S}, \mathcal{E}, \text{ADD } P \rangle \longrightarrow \langle (m + n) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{(add)} \quad \frac{}{\langle m :: n :: \mathcal{S}, \mathcal{E}, \text{SUB } P \rangle \longrightarrow \langle (m - n) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{(sub)}$$

$$\frac{}{\langle m :: n :: \mathcal{S}, \mathcal{E}, \text{MUL } P \rangle \longrightarrow \langle (m \times n) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{(mul)} \quad \frac{n \neq 0}{\langle m :: n :: \mathcal{S}, \mathcal{E}, \text{DIV } P \rangle \longrightarrow \langle (m/n) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{(div)}$$

$$\frac{}{\langle \mathcal{S}, \mathcal{E}, \text{PUSH } n P \rangle \longrightarrow \langle n :: \mathcal{S}, \mathcal{E}, P \rangle} \text{(push)}$$

$$\frac{}{\langle n :: \mathcal{S}, \mathcal{E}, \text{ASSIGN } x P \rangle \longrightarrow \langle \mathcal{S}, \mathcal{E}[x \mapsto n], P \rangle} \text{(asn)} \quad \frac{}{\langle n :: \mathcal{S}, \mathcal{E}, \text{LOOKUP } x P \rangle \longrightarrow \langle \mathcal{E}(x) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{(lkp)}$$

# Variables (Semantics)

basically the same

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$$\frac{}{\langle \mathcal{S}, \mathcal{E}, \text{PUSH } n P \rangle \longrightarrow \langle n :: \mathcal{S}, \mathcal{E}, P \rangle} \text{ (push)}$$

$$\frac{}{\langle n :: \mathcal{S}, \mathcal{E}, \text{ASSIGN } x P \rangle \longrightarrow \langle \mathcal{S}, \mathcal{E}[x \mapsto n], P \rangle} \text{ (asn)} \quad \frac{}{\langle n :: \mathcal{S}, \mathcal{E}, \text{LOOKUP } x P \rangle \longrightarrow \langle \mathcal{E}(x) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{ (lkp)}$$

# Variables (Semantics)

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$$\frac{}{\langle m :: n :: \mathcal{S}, \mathcal{E}, \text{ADD } P \rangle \longrightarrow \langle (m + n) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{ (add)} \quad \frac{}{\langle m :: n :: \mathcal{S}, \mathcal{E}, \text{SUB } P \rangle \longrightarrow \langle (m - n) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{ (sub)}$$

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$$\frac{}{\langle \mathcal{S}, \mathcal{E}, \text{PUSH } n P \rangle \longrightarrow \langle n :: \mathcal{S}, \mathcal{E}, P \rangle} \text{ (push)}$$

new rules

$$\frac{}{\langle n :: \mathcal{S}, \mathcal{E}, \text{ASSIGN } x P \rangle \longrightarrow \langle \mathcal{S}, \mathcal{E}[x \mapsto n], P \rangle} \text{ (asn)} \quad \frac{}{\langle n :: \mathcal{S}, \mathcal{E}, \text{LOOKUP } x P \rangle \longrightarrow \langle \mathcal{E}(x) :: \mathcal{S}, \mathcal{E}, P \rangle} \text{ (lkp)}$$

# Example (Evaluation)

$\langle \emptyset, \emptyset, \text{PUSH } 2 \text{ ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$



# Example (Evaluation)

$\langle \emptyset, \emptyset, \text{PUSH } 2 \text{ ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 2 :: \emptyset, \emptyset, \text{ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

# Example (Evaluation)

$\langle \emptyset, \emptyset, \text{PUSH } 2 \text{ ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 2 :: \emptyset, \emptyset, \text{ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle \emptyset, \{x \mapsto 2\}, \text{PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

# Example (Evaluation)

$\langle \emptyset, \emptyset, \text{PUSH } 2 \text{ ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 2 :: \emptyset, \emptyset, \text{ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle \emptyset, \{x \mapsto 2\}, \text{PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 3 :: \emptyset, \{x \mapsto 2\}, \text{ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

# Example (Evaluation)

$\langle \emptyset, \emptyset, \text{PUSH } 2 \text{ ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 2 :: \emptyset, \emptyset, \text{ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle \emptyset, \{x \mapsto 2\}, \text{PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 3 :: \emptyset, \{x \mapsto 2\}, \text{ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle \emptyset, \{x \mapsto 2, y \mapsto 3\}, \text{LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

# Example (Evaluation)

$\langle \emptyset, \emptyset, \text{PUSH } 2 \text{ ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 2 :: \emptyset, \emptyset, \text{ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle \emptyset, \{x \mapsto 2\}, \text{PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 3 :: \emptyset, \{x \mapsto 2\}, \text{ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle \emptyset, \{x \mapsto 2, y \mapsto 3\}, \text{LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{x \mapsto 2, y \mapsto 3\}, \text{LOOKUP } y \text{ ADD} \rangle \longrightarrow$

# Example (Evaluation)

$\langle \emptyset, \emptyset, \text{PUSH } 2 \text{ ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 2 :: \emptyset, \emptyset, \text{ASSIGN } x \text{ PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle \emptyset, \{x \mapsto 2\}, \text{PUSH } 3 \text{ ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 3 :: \emptyset, \{x \mapsto 2\}, \text{ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle \emptyset, \{x \mapsto 2, y \mapsto 3\}, \text{LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{x \mapsto 2, y \mapsto 3\}, \text{LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 3 :: 2 :: \emptyset, \{x \mapsto 2, y \mapsto 3\}, \text{ADD} \rangle \longrightarrow$

# Example (Evaluation)

$\langle \emptyset, \emptyset, \text{PUSH 2 ASSIGN } x \text{ PUSH 3 ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 2 :: \emptyset, \emptyset, \text{ASSIGN } x \text{ PUSH 3 ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle \emptyset, \{x \mapsto 2\}, \text{PUSH 3 ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 3 :: \emptyset, \{x \mapsto 2\}, \text{ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle \emptyset, \{x \mapsto 2, y \mapsto 3\}, \text{LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{x \mapsto 2, y \mapsto 3\}, \text{LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 3 :: 2 :: \emptyset, \{x \mapsto 2, y \mapsto 3\}, \text{ADD} \rangle \longrightarrow$

$\langle 5 :: \emptyset, \{x \mapsto 2, y \mapsto 3\}, \epsilon \rangle \longrightarrow$

# Example (Evaluation)

$\langle \emptyset, \emptyset, \text{PUSH 2 ASSIGN } x \text{ PUSH 3 ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 2 :: \emptyset, \emptyset, \text{ASSIGN } x \text{ PUSH 3 ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle \emptyset, \{x \mapsto 2\}, \text{PUSH 3 ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 3 :: \emptyset, \{x \mapsto 2\}, \text{ASSIGN } y \text{ LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle \emptyset, \{x \mapsto 2, y \mapsto 3\}, \text{LOOKUP } x \text{ LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{x \mapsto 2, y \mapsto 3\}, \text{LOOKUP } y \text{ ADD} \rangle \longrightarrow$

$\langle 3 :: 2 :: \emptyset, \{x \mapsto 2, y \mapsto 3\}, \text{ADD} \rangle \longrightarrow$

$\langle 5 :: \emptyset, \{x \mapsto 2, y \mapsto 3\}, \epsilon \rangle \longrightarrow 5$



# Compiling Let-Expressions (Attempt)

**x**  $\implies$  **LOOKUP**  $x$

**let**  $x = e_1$  **in**  $e_2$   $\implies$   $\mathcal{C}(e_1)$  **ASSIGN**  $x$   $\mathcal{C}(e_2)$

# Compiling Let-Expressions (Attempt)

**x**  $\implies$  **LOOKUP**  $x$

**let**  $x = e_1$  **in**  $e_2$   $\implies$   $\mathcal{C}(e_1)$  **ASSIGN**  $x$   $\mathcal{C}(e_2)$

*Except this isn't quite right*

# Example

$\mathcal{C}(\text{let } y = 1 \text{ in let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

# Example

$\mathcal{C}(\text{let } y = 1 \text{ in let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\mathcal{C}(1) \text{ ASSIGN } y \mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

# Example

$\mathcal{C}(\text{let } y = 1 \text{ in let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\mathcal{C}(1) \text{ ASSIGN } y \mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

**PUSH 1 ASSIGN y**  $\mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

# Example

$\mathcal{C}(\text{let } y = 1 \text{ in let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\mathcal{C}(1) \text{ ASSIGN } y \mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

**PUSH 1 ASSIGN  $y$**   $\mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

**PUSH 1 ASSIGN  $y$**   $\mathcal{C}(\text{let } y = 2 \text{ in } y) \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

# Example

$\mathcal{C}(\text{let } y = 1 \text{ in let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\mathcal{C}(1) \text{ ASSIGN } y \mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \mathcal{C}(\text{let } y = 2 \text{ in } y) \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \mathcal{C}(2) \text{ ASSIGN } y \mathcal{C}(y) \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

# Example

$\mathcal{C}(\text{let } y = 1 \text{ in let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\mathcal{C}(1) \text{ ASSIGN } y \mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \mathcal{C}(\text{let } y = 2 \text{ in } y) \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \mathcal{C}(2) \text{ ASSIGN } y \mathcal{C}(y) \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \text{ PUSH } 2 \text{ ASSIGN } y \mathcal{C}(y) \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$



# Example

$\mathcal{C}(\text{let } y = 1 \text{ in let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\mathcal{C}(1) \text{ ASSIGN } y \mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \mathcal{C}(\text{let } y = 2 \text{ in } y) \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \mathcal{C}(2) \text{ ASSIGN } y \mathcal{C}(y) \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \text{ PUSH } 2 \text{ ASSIGN } y \mathcal{C}(y) \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \text{ PUSH } 2 \text{ ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

# Example

$\mathcal{C}(\text{let } y = 1 \text{ in let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\mathcal{C}(1) \text{ ASSIGN } y \mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \mathcal{C}(\text{let } x = \text{let } y = 2 \text{ in } y \text{ in } y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \mathcal{C}(\text{let } y = 2 \text{ in } y) \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \mathcal{C}(2) \text{ ASSIGN } y \mathcal{C}(y) \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \text{ PUSH } 2 \text{ ASSIGN } y \mathcal{C}(y) \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \text{ PUSH } 2 \text{ ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \mathcal{C}(y) \Rightarrow$

$\text{PUSH } 1 \text{ ASSIGN } y \text{ PUSH } 2 \text{ ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y$

# Example

$\langle \emptyset, \emptyset, \text{PUSH } 1 \text{ ASSIGN } y \text{ PUSH } 2 \text{ ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

# Example

$\langle \emptyset, \emptyset, \text{PUSH } 1 \text{ ASSIGN } y \text{ PUSH } 2 \text{ ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 1 :: \emptyset, \emptyset, \text{ASSIGN } y \text{ PUSH } 2 \text{ ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

# Example

$\langle \emptyset, \emptyset, \text{PUSH 1 ASSIGN } y \text{ PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 1 :: \emptyset, \emptyset, \text{ASSIGN } y \text{ PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 1\}, \text{PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

# Example

$\langle \emptyset, \emptyset, \text{PUSH } 1 \text{ ASSIGN } y \text{ PUSH } 2 \text{ ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 1 :: \emptyset, \emptyset, \text{ASSIGN } y \text{ PUSH } 2 \text{ ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 1\}, \text{PUSH } 2 \text{ ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{y \mapsto 1\}, \text{ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

# Example

$\langle \emptyset, \emptyset, \text{PUSH 1 ASSIGN } y \text{ PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 1 :: \emptyset, \emptyset, \text{ASSIGN } y \text{ PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 1\}, \text{PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{y \mapsto 1\}, \text{ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 2\}, \text{LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

# Example

$\langle \emptyset, \emptyset, \text{PUSH 1 ASSIGN } y \text{ PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 1 :: \emptyset, \emptyset, \text{ASSIGN } y \text{ PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 1\}, \text{PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{y \mapsto 1\}, \text{ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 2\}, \text{LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{y \mapsto 2\}, \text{ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$



# Example

$\langle \emptyset, \emptyset, \text{PUSH 1 ASSIGN } y \text{ PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 1 :: \emptyset, \emptyset, \text{ASSIGN } y \text{ PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 1\}, \text{PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{y \mapsto 1\}, \text{ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 2\}, \text{LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{y \mapsto 2\}, \text{ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 2, x \mapsto 2\}, \text{LOOKUP } y \rangle \longrightarrow$

# Example

$\langle \emptyset, \emptyset, \text{PUSH 1 ASSIGN } y \text{ PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 1 :: \emptyset, \emptyset, \text{ASSIGN } y \text{ PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 1\}, \text{PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{y \mapsto 1\}, \text{ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 2\}, \text{LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{y \mapsto 2\}, \text{ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 2, x \mapsto 2\}, \text{LOOKUP } y \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{y \mapsto 2, x \mapsto 2\}, \epsilon \rangle \longrightarrow$

# Example

$\langle \emptyset, \emptyset, \text{PUSH 1 ASSIGN } y \text{ PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 1 :: \emptyset, \emptyset, \text{ASSIGN } y \text{ PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 1\}, \text{PUSH 2 ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{y \mapsto 1\}, \text{ASSIGN } y \text{ LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 2\}, \text{LOOKUP } y \text{ ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{y \mapsto 2\}, \text{ASSIGN } x \text{ LOOKUP } y \rangle \longrightarrow$

$\langle \emptyset, \{y \mapsto 2, x \mapsto 2\}, \text{LOOKUP } y \rangle \longrightarrow$

$\langle 2 :: \emptyset, \{y \mapsto 2, x \mapsto 2\}, \epsilon \rangle \longrightarrow 2$

# Scoping

```
let y = 1 in  
let x = let y = 2 in y in  
y
```

$\Rightarrow 2$

# Scoping

```
let y = 1 in  
let x = let y = 2 in y in  
y
```

$\Rightarrow 2$

The language we've just described is only good  
for compiling from languages with **dynamic scoping**

# Scoping

```
let y = 1 in  
let x = let y = 2 in y in  
y
```

$\Rightarrow 2$

The language we've just described is only good for compiling from languages with **dynamic scoping**

*We can use closures to deal with lexical scoping*

# Functions

# The Rough Picture

```
let k = fun x -> fun y -> x in  
let a = k 2 in  
a 3
```

*Compilation is just a big sequence of  
transformations*



# The Rough Picture

```
(fun k ->  
  let a = k 2 in  
  a 3)  
(fun x -> fun y -> x)
```

*We can simulate let expressions with functions  
(we did this in lab)*

# The Rough Picture

```
(fun k ->  
  (fun a -> a 3)  
  (k 2))  
(fun x -> fun y -> x)
```

*and again...*

# The Rough Picture

```
[ (fun k ->  
  (fun a -> a 3)  
  (k 2))  
  (fun x -> fun y -> x) ]
```

*think of `[expr]` as `as compile(expr)`*

# The Rough Picture

```
[ (fun x -> fun y -> x) ]  
[ (fun k -> (fun a -> a 3) (k 2)) ]  
CALL
```

*We introduce as **CALL** command to call functions  
Note the order, function/argument will go on a stack*

# The Rough Picture

```
FUN ? X
  [fun y -> x]
  RETURN
[(fun k -> (fun a -> a 3) (k 2))]
```

CALL

*We introduce a **FUN** command to define functions  
and a **RETURN** command to return from functions*

# The Rough Picture

FUN ? X

    FUN ? Y

        [x]

        RETURN

    RETURN

[(fun k -> (fun a -> a 3) (k 2))]

CALL

*and again...*

# The Rough Picture

```
FUN 4 X
  FUN 2 Y
    LOOKUP X
    RETURN
  RETURN
[ (fun k -> (fun a -> a 3) (k 2)) ]
CALL
```

*The familiar **LOOKUP** command...*  
*And functions let us know how many commands they have*

# The Rough Picture

```
FUN 4 X
  FUN 2 Y
    LOOKUP X
    RETURN
  RETURN
FUN ? K
  [ (fun a -> a 3) (k 2) ]
  RETURN
CALL
```

*and we can keep going...*



# The Rough Picture

```
FUN 4 X
  FUN 2 Y
    LOOKUP X
    RETURN
  RETURN
FUN ? K
  [k 2]
  [fun a -> a 3]
  CALL
  RETURN
CALL
```

# The Rough Picture

```
FUN 4 X
  FUN 2 Y
    LOOKUP X
    RETURN
  RETURN
FUN ? K
  [2]
  [k]
  CALL
  [fun a -> a 3]
  CALL
  RETURN
CALL
```

# The Rough Picture

```
FUN 4 X
  FUN 2 Y
    LOOKUP X
    RETURN
  RETURN
FUN ? K
  PUSH 2
  [k]
  CALL
  [fun a -> a 3]
  CALL
  RETURN
CALL
```

# The Rough Picture

```
FUN 4 X
  FUN 2 Y
    LOOKUP X
    RETURN
  RETURN
FUN ? K
  PUSH 2
  LOOKUP K
  CALL
  [fun a -> a 3]
  CALL
  RETURN
CALL
```

# The Rough Picture

```
FUN 4 X
  FUN 2 Y
    LOOKUP X
    RETURN
  RETURN
FUN ? K
  PUSH 2
  LOOKUP K
  CALL
  FUN ? A
    [a 3]
    RETURN
  CALL
  RETURN
CALL
```

# The Rough Picture

```
FUN 4 X
  FUN 2 Y
    LOOKUP X
    RETURN
  RETURN
FUN ? K
  PUSH 2
  LOOKUP K
  CALL
  FUN ? A
    [3]
    [a]
    CALL
    RETURN
  CALL
  RETURN
CALL
```

# The Rough Picture

```
FUN 4 X
  FUN 2 Y
    LOOKUP X
    RETURN
  RETURN
FUN ? K
  PUSH 2
  LOOKUP K
  CALL
  FUN ? A
    PUSH 3
    [a]
    CALL
    RETURN
  CALL
  RETURN
CALL
```

# The Rough Picture

```
FUN 4 X
  FUN 2 Y
    LOOKUP X
    RETURN
  RETURN
FUN 10 K
  PUSH 2
  LOOKUP K
  CALL
  FUN 4 A
    PUSH 3
    LOOKUP A
    CALL
    RETURN
  CALL
  RETURN
CALL
```



# The Rough Picture

```
let k = fun x -> fun y -> x in
let a = k 2 in
a 3
```



```
FUN 4 X
  FUN 2 Y
    LOOKUP X
    RETURN
  RETURN
FUN 10 K
  PUSH 2
  LOOKUP K
  CALL
  FUN 4 A
    PUSH 3
    LOOKUP A
    CALL
    RETURN
  CALL
  RETURN
CALL
```

*Compilation is just a big sequence of transformations*

# The Rough Picture

```
let k = fun x -> fun y -> x in
let a = k 2 in
a 3
```



*Byte-code interpretation additionally  
maps each command to a byte value*

```
7 4
7 2
10 1
9
9
7 10
0 2
10 0
8
7 4
0 3
10 0
8
9
8
9
8
```

# Syntax

```
<prog> ::= { <com> }  
<com>  ::= PUSH <int>  
        | ADD   | SUB   | MUL   | DIV  
        | FUN <ident> <int> | CALL | RETURN  
        | LOOKUP
```

# Semantics (Configurations)

$$\langle \mathcal{S}, \mathcal{E}, P \rangle$$

A **value** is an integer ( $\mathbb{Z}$ ) or a closure ( $\mathbb{C}$ ) of the form  $(\mathcal{E}, x, P)$

A **configuration** is made up of a stack  $\mathcal{S}$  of values, an environment  $\mathcal{E}$  (mapping of identifiers to values) and a program  $P$  given by **<prog>**

# Semantics (Functions)

$$\frac{}{\langle \mathcal{S}, \mathcal{E}, \text{FUN } x \ n \ P \rangle \longrightarrow \langle (\mathcal{E}, x, P[1..n]) :: \mathcal{S}, \mathcal{E}'[x \mapsto v], P[n+1..] \rangle} \text{ (fun)}$$

Function definitions carry a **parameter name** and an **offset**, which we use to construct the closure

# Semantics (Continuation Passing)

$$\frac{}{\langle (\mathcal{E}', x, P') :: v :: \mathcal{S}, \mathcal{E}, \text{CALL } P \rangle \longrightarrow \langle (\mathcal{E}, \_, P) :: \mathcal{S}, \mathcal{E}'[x \mapsto v], P' \rangle} \text{ (call)}$$

$$\frac{}{\langle v :: (\mathcal{E}', \_, P') :: \mathcal{S}, \mathcal{E}, \text{RETURN } P \rangle \longrightarrow \langle v :: \mathcal{S}, \mathcal{E}', P' \rangle} \text{ (ret)}$$

One challenge: when we call a function, where to we "return" to?

Answer: We put the information on the stack itself in a closure!

# Example

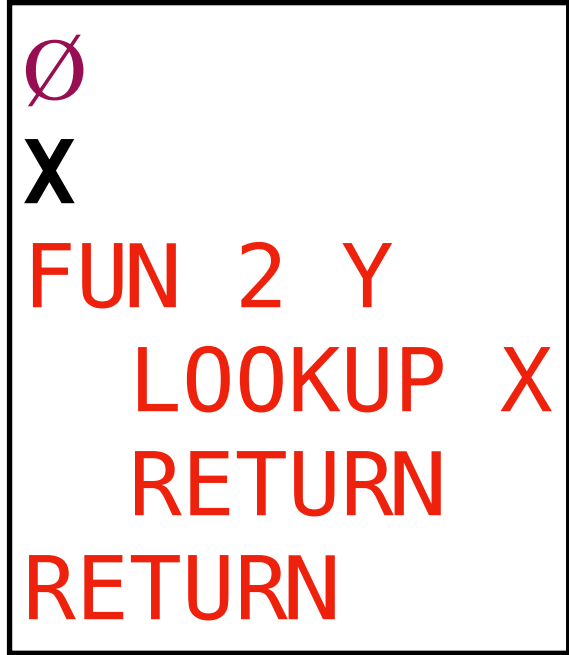
Stack:

Env:

```
FUN 4 X
  FUN 2 Y
    LOOKUP X
    RETURN
  RETURN
FUN 10 K
  PUSH 2
  LOOKUP K
  CALL
  FUN 4 A
    PUSH 3
    LOOKUP A
    CALL
    RETURN
  CALL
  RETURN
CALL
```

# Example

Stack:



Env:

```
FUN 10 K
  PUSH 2
  LOOKUP K
  CALL
  FUN 4 A
    PUSH 3
    LOOKUP A
    CALL
    RETURN
  CALL
  RETURN
CALL
```



# Example

Stack:

Ø

X

FUN 2 Y

LOOKUP X

RETURN

RETURN

Ø

K

PUSH 2

LOOKUP K

CALL

FUN 4 A

PUSH 3

LOOKUP A

CALL

RETURN

CALL

RETURN

Env:

CALL

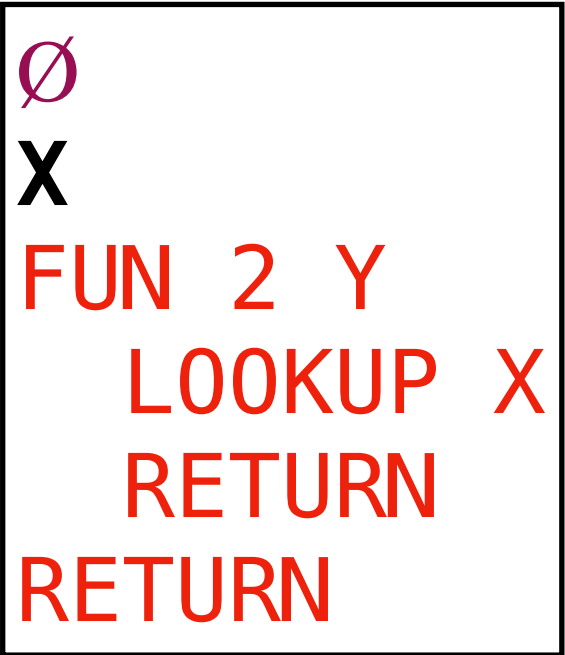
# Example

Stack:



Env:

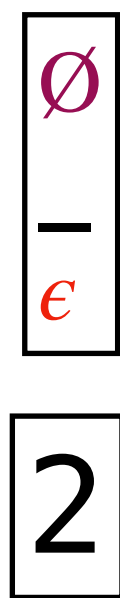
**K**  $\mapsto$



PUSH 2  
LOOKUP K  
CALL  
FUN 4 A  
    PUSH 3  
    LOOKUP A  
    CALL  
    RETURN  
CALL  
RETURN

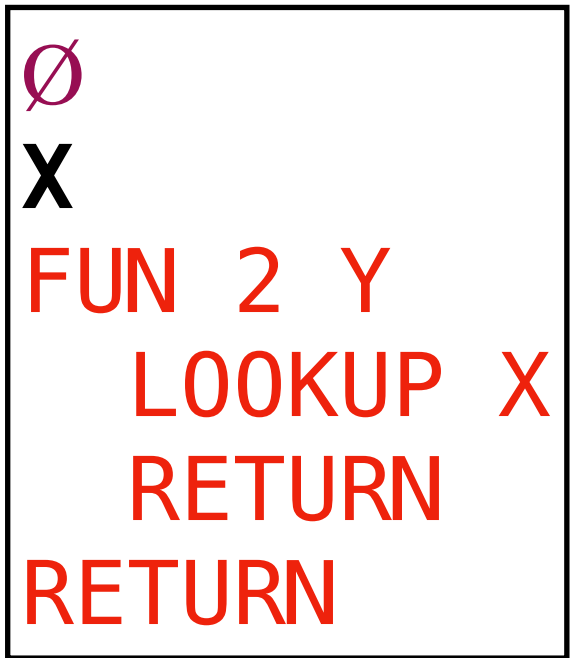
# Example

Stack:



Env:

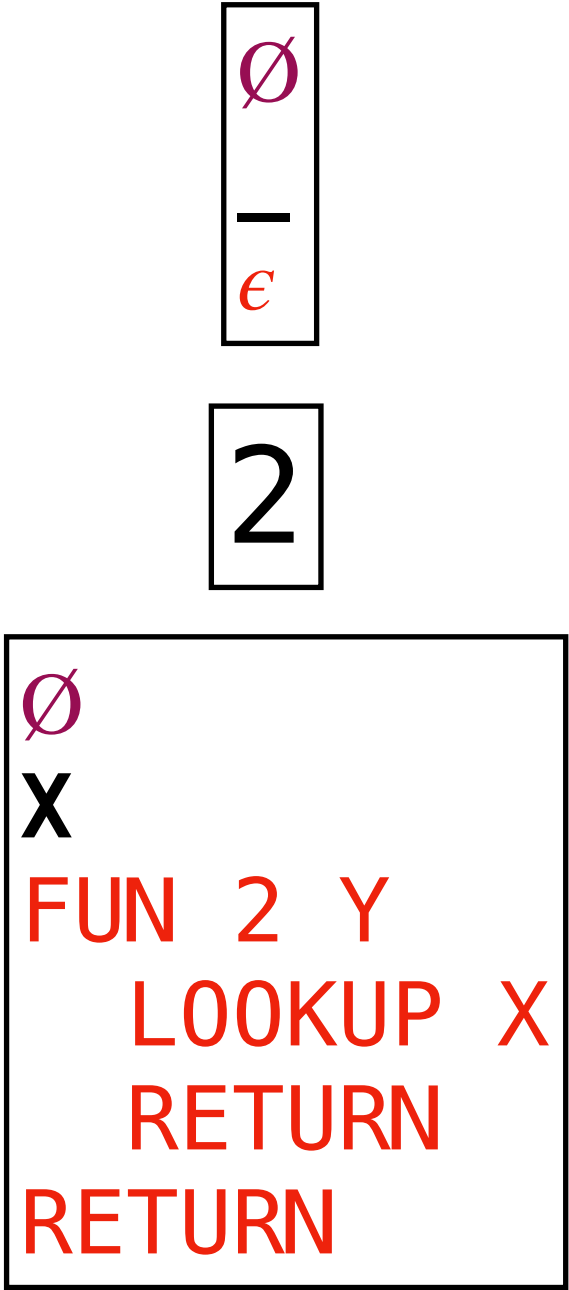
**K**  $\mapsto$



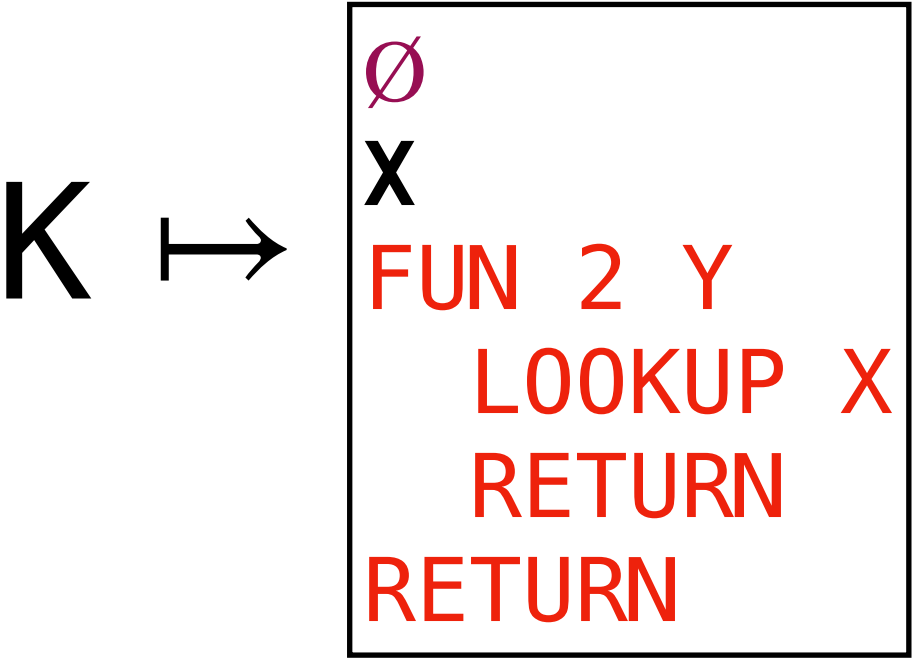
LOOKUP K  
CALL  
FUN 4 A  
    PUSH 3  
    LOOKUP A  
    CALL  
    RETURN  
CALL  
RETURN

# Example

Stack:



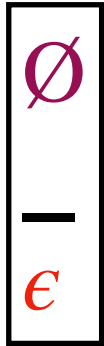
Env:



```
CALL  
FUN 4 A  
    PUSH 3  
    LOOKUP A  
    CALL  
    RETURN  
CALL  
RETURN
```

# Example

Stack:



K ↦ {...}

—

FUN 4 A  
PUSH 3  
LOOKUP A  
CALL  
RETURN  
CALL  
RETURN

Env:

X ↦ 2

FUN 2 Y  
LOOKUP X  
RETURN  
RETURN

# Example

Stack:

Ø

-

ϵ

K ↦ {...}

-

FUN 4 A

PUSH 3

LOOKUP A

CALL

RETURN

CALL

RETURN

X ↦ 2

**Y**

LOOKUP X

RETURN

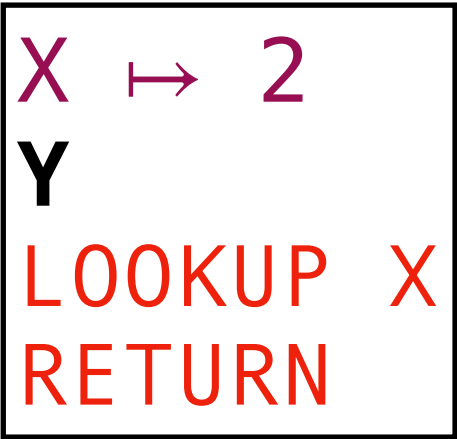
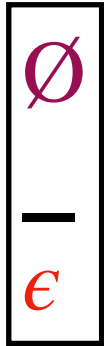
Env:

X ↦ 2

RETURN

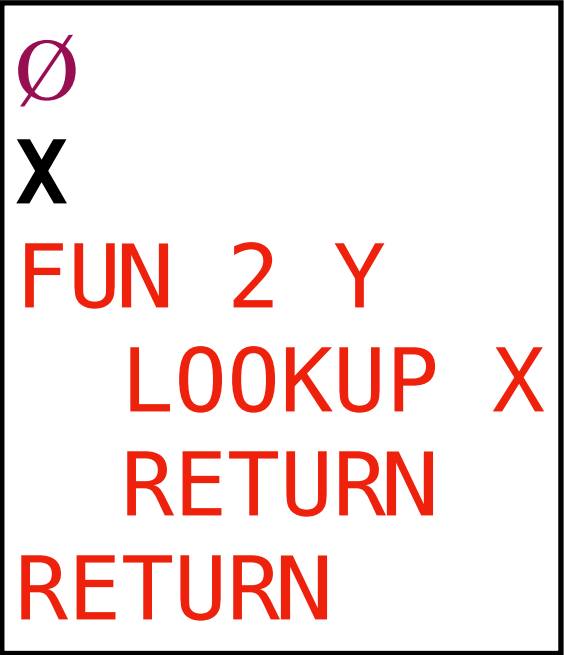
# Example

Stack:



Env:

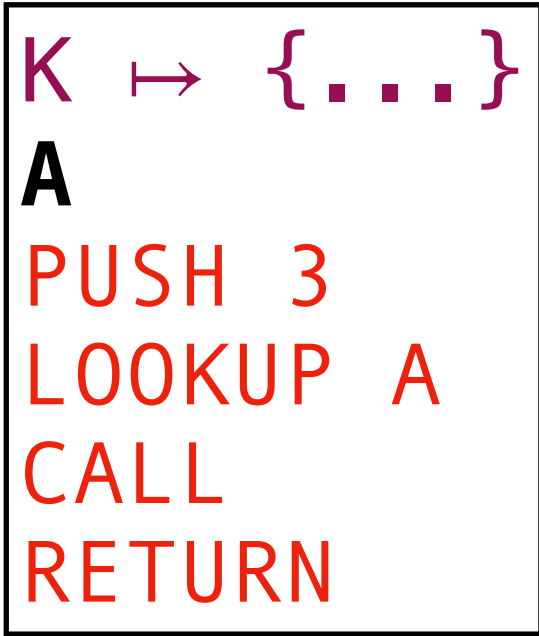
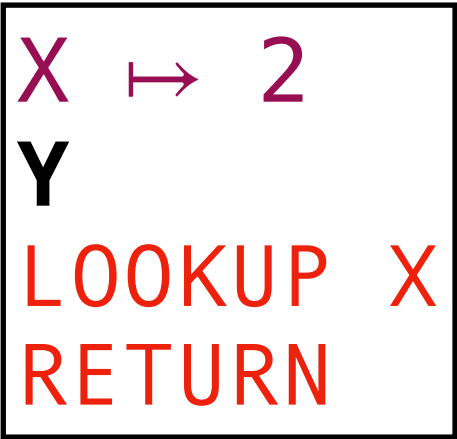
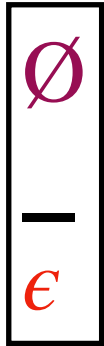
$K \mapsto$



$FUN\ 4\ A$   
PUSH 3  
LOOKUP  $A$   
CALL  
RETURN  
CALL  
RETURN

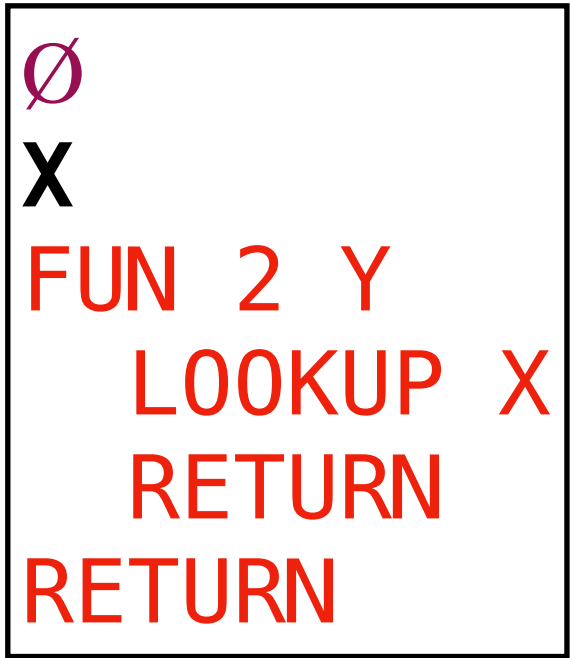
# Example

Stack:



Env:

K ↦

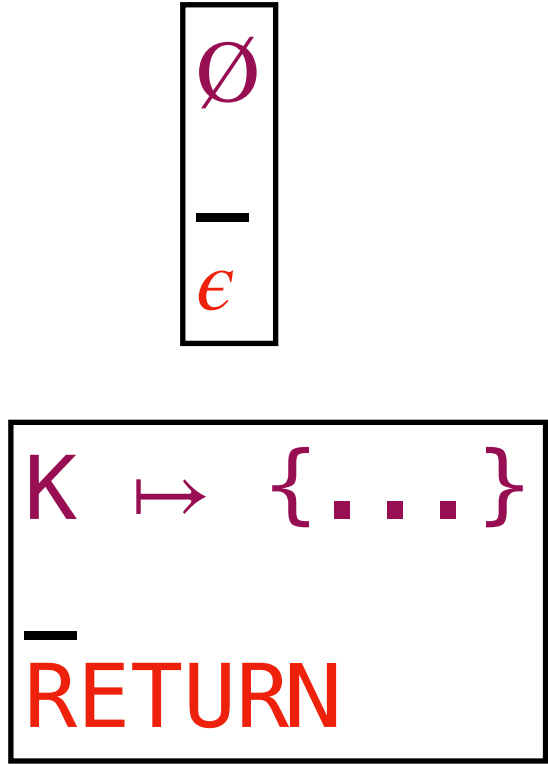


CALL  
RETURN

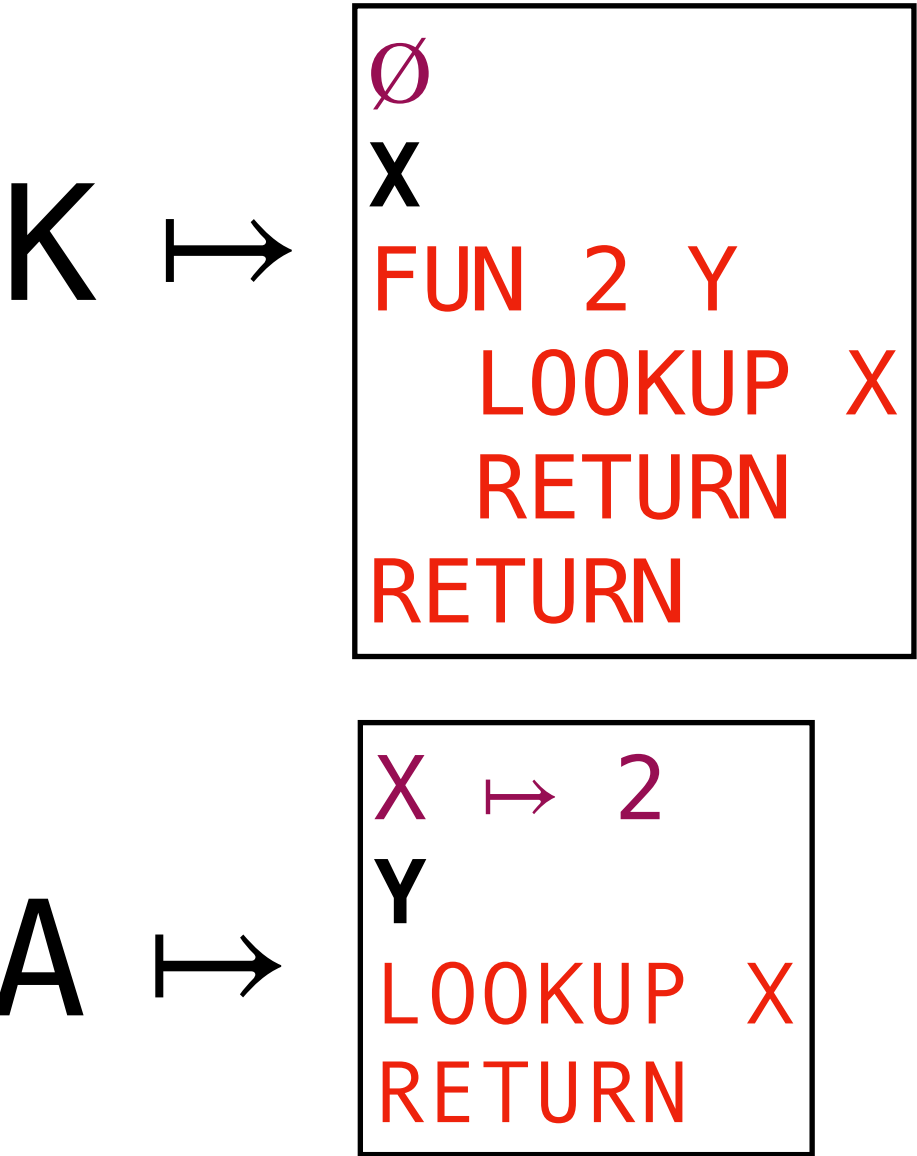


# Example

Stack:



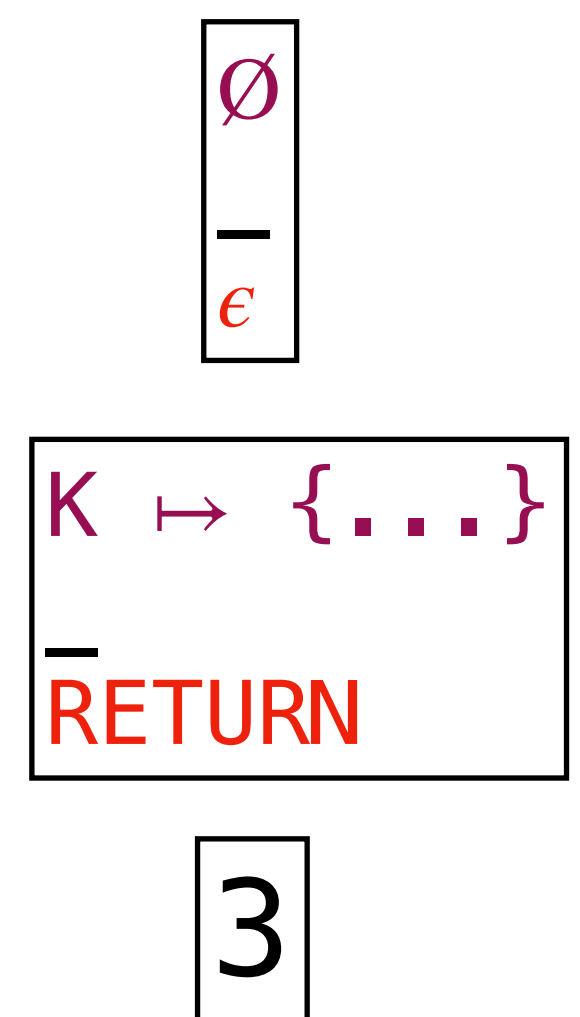
Env:



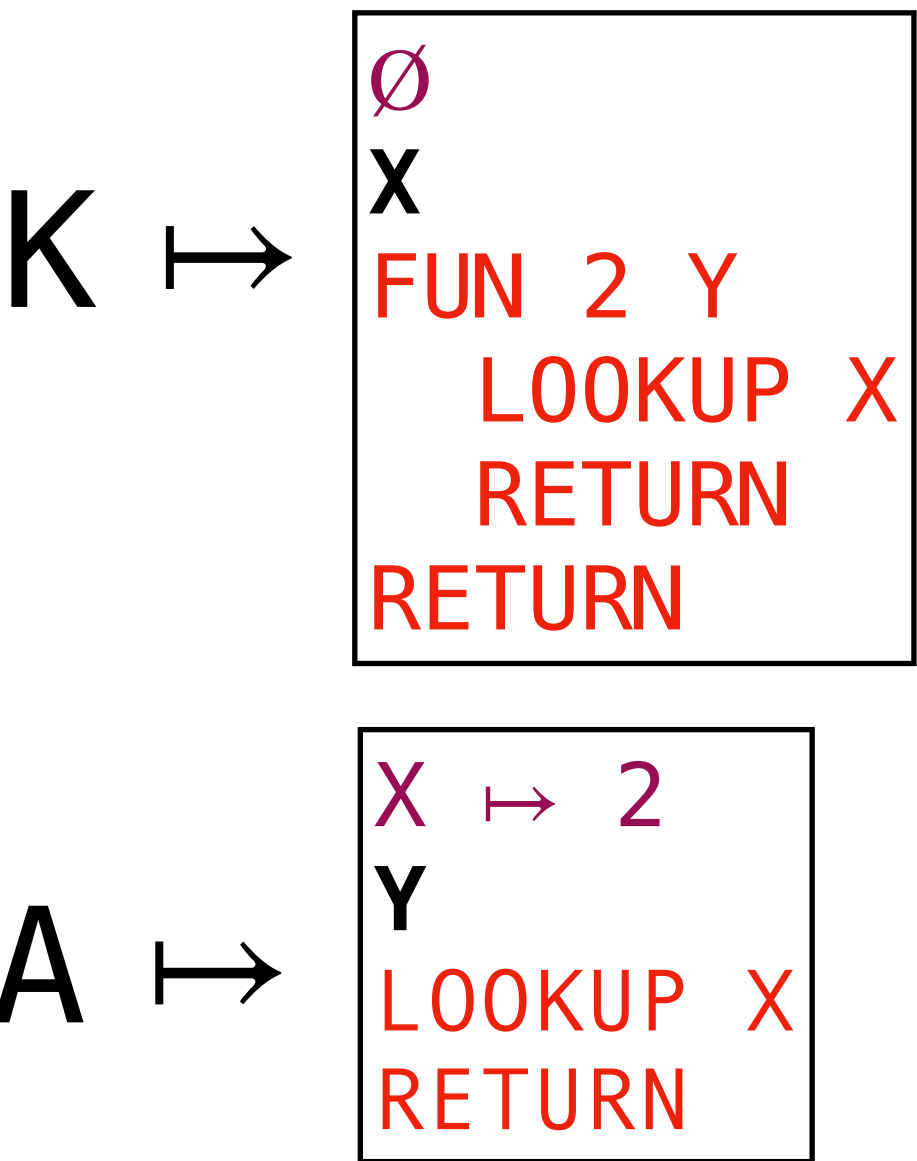
PUSH 3  
LOOKUP A  
CALL  
RETURN

# Example

Stack:



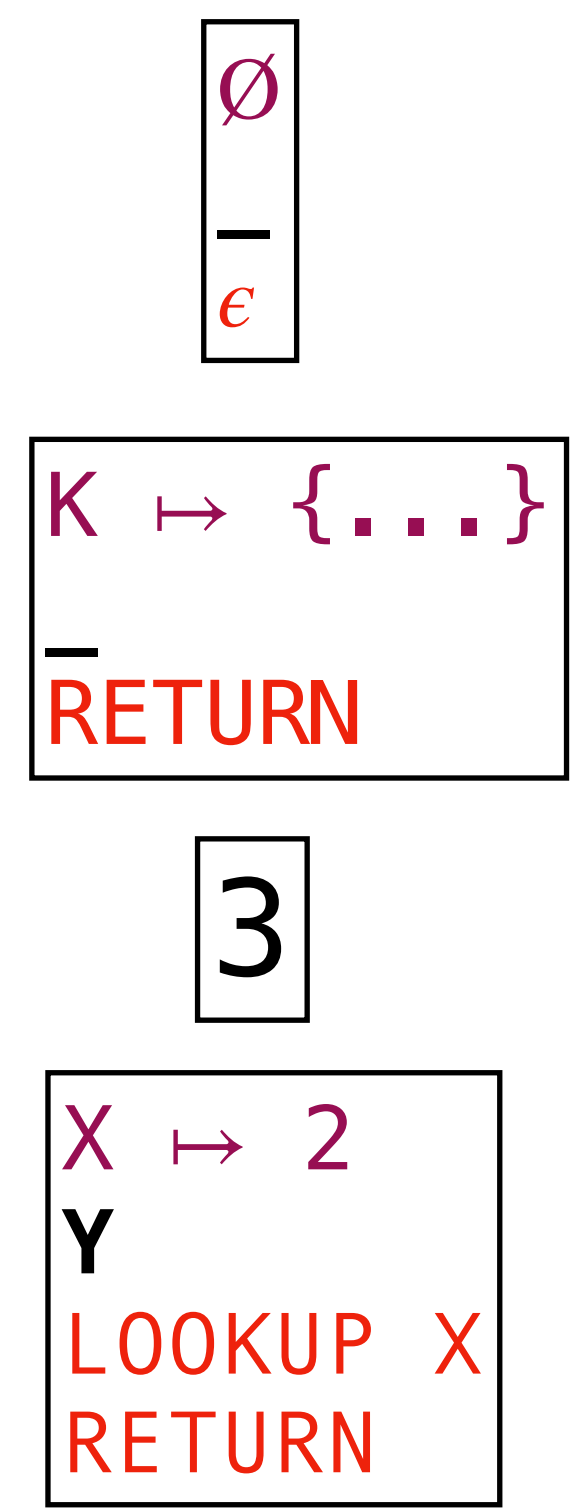
Env:



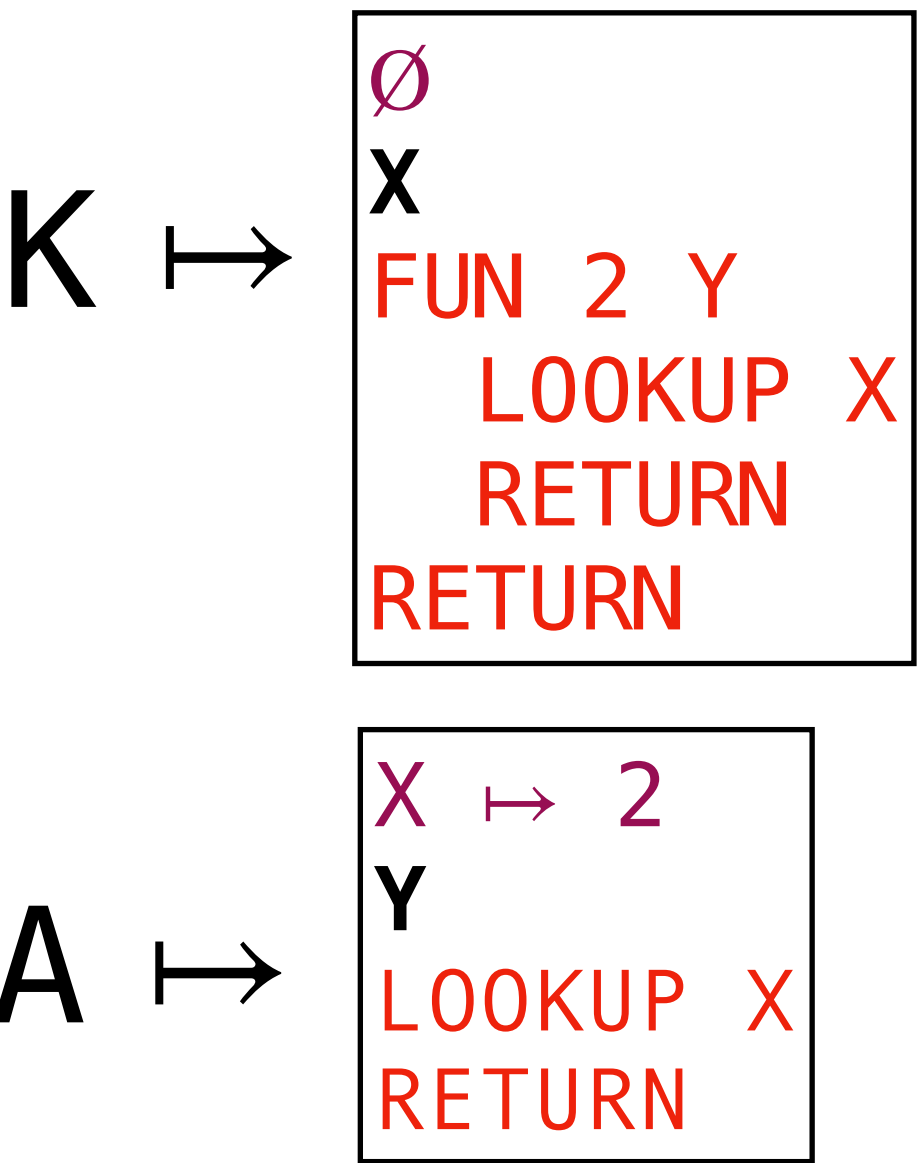
LOOKUP A  
CALL  
RETURN

# Example

Stack:



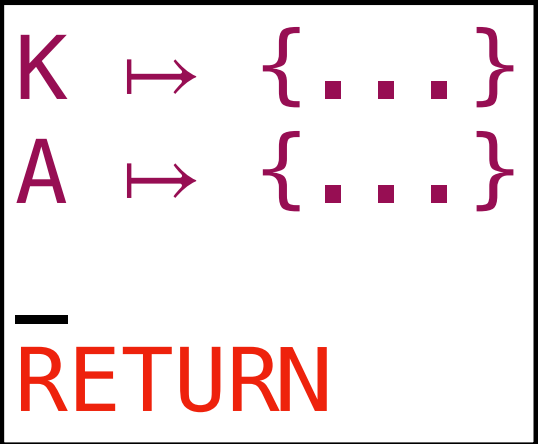
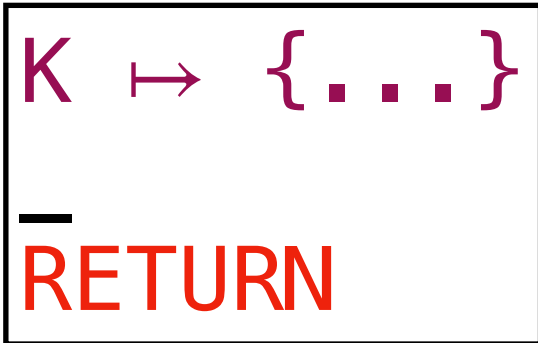
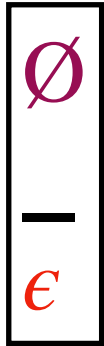
Env:



CALL  
RETURN

# Example

Stack:



Env:

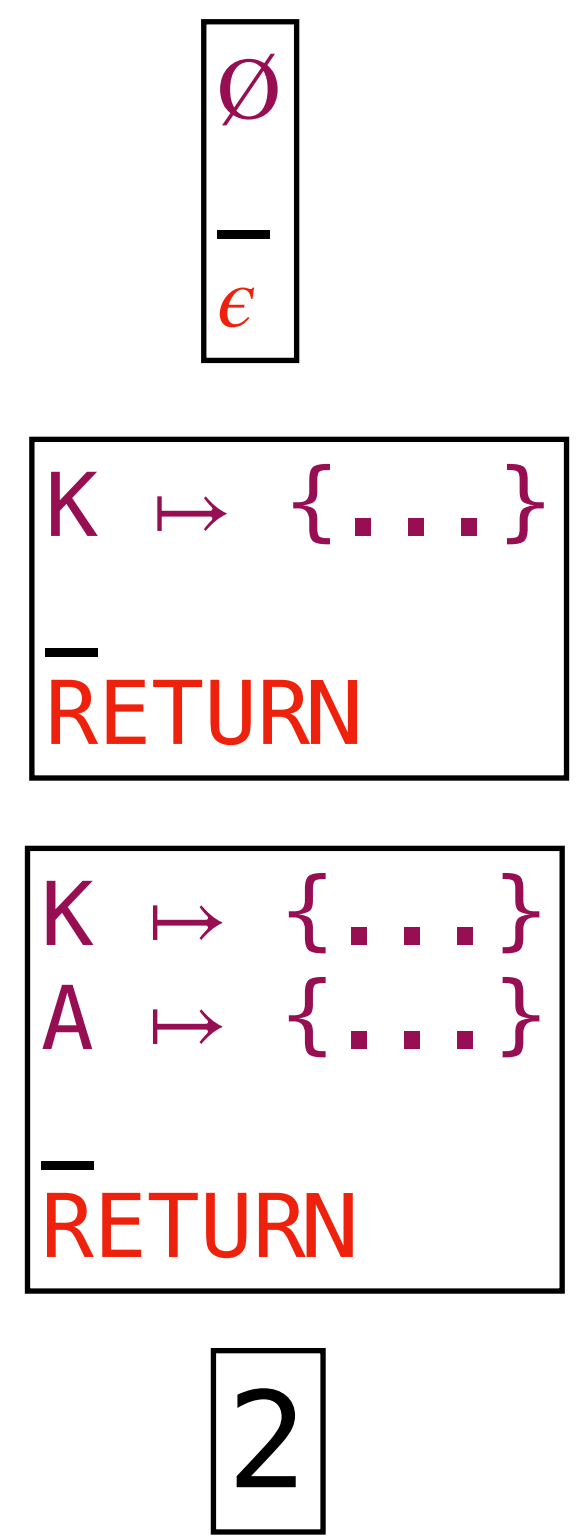
X ↦ 2

Y ↦ 3

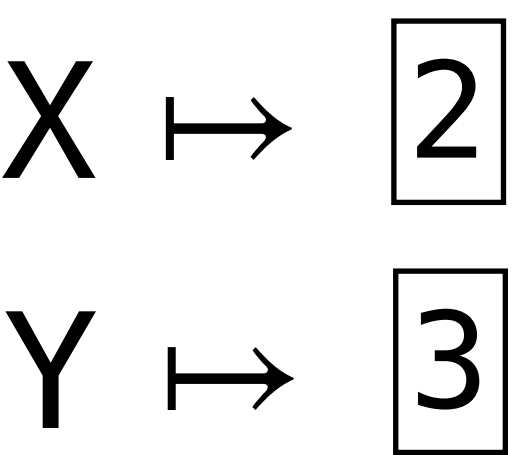
LOOKUP X  
RETURN

# Example

Stack:



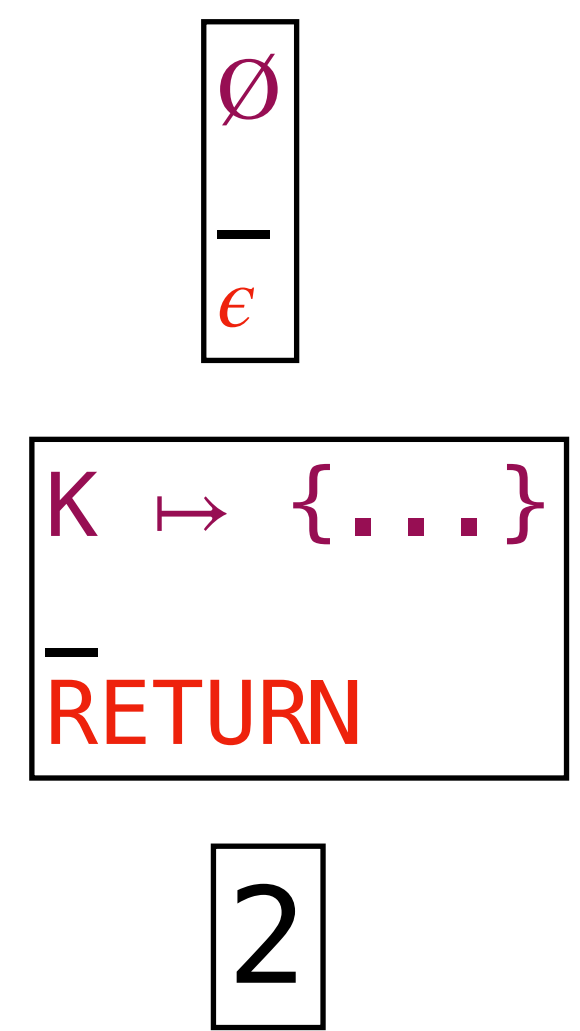
Env:



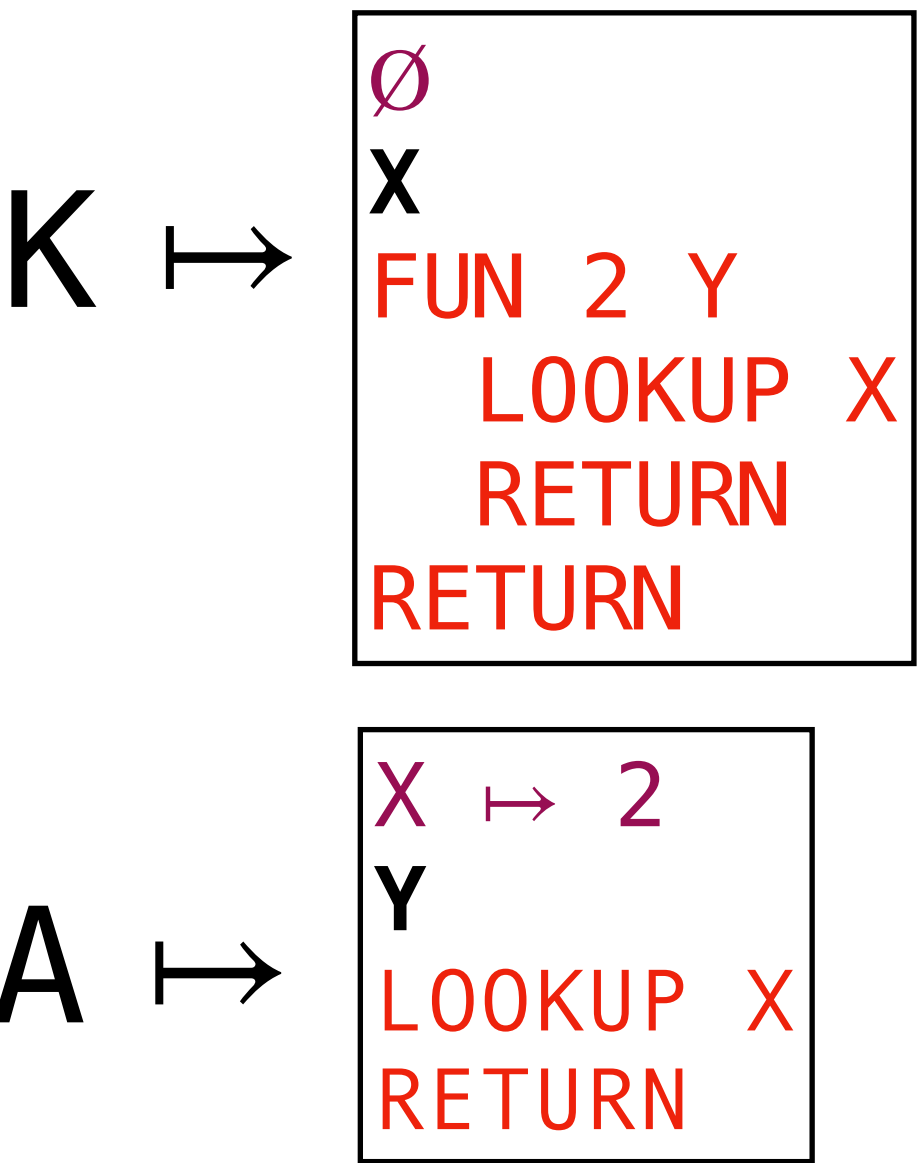
RETURN

# Example

Stack:



Env:



**RETURN**

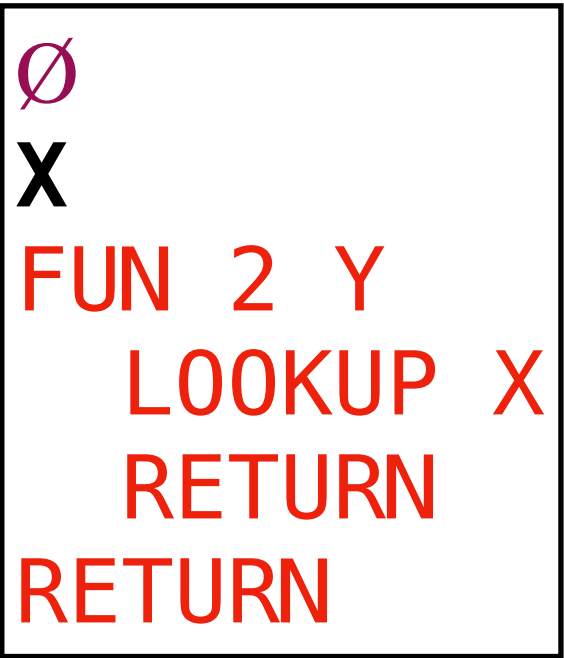
# Example

Stack:



Env:

$K \mapsto$



RETURN

# Example

Stack:

2

Env:

$\epsilon$



demo

# What's next?

## **More OCaml:**

- » Modules, functional data structures, mutability
- » GADTS, effects, parallelism
- » applications in ML, linear algebra, scientific computing

## **More PL:**

- » Take Professor Xi's compilers course next semester
- » Learn Haskell, Elm, Scala, Rust

## **More Math/Type Theory:**

- » Go learn about logic with Professor Das next semester!
- » Category theory (functors, monads, comonads), Logic, Type theory
- » (I do a lot of independent studies)

## **More Computers:**

- » Compilers, Linkers, LLVM
- » Formal verification
- » embedded systems programming, tensor program compilation

fin

(thanks everyone)