

# **Determining the dominant degradation mechanisms in Nitrocellulose**

*Amy J. Lai*

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Department of Chemistry  
University College London

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# **Declaration**

I, Amy Lai , confirm that the work presented in this thesis is my own. Where information has been derived from other sources, I confirm that this has been indicated in the work.



# Abstract

The dominant degradation pathways of nitrocellulose (NC) were investigated, using quantum mechanics (QM) methods to probe the primary denitration routes, followed by key secondary reactions. The polymer structure was truncated in order to facilitate density functional theory (DFT) studies into the mechanistic details of denitration at individual nitrate sites. Comparison of monomer, dimer and trimer units of the polymer using quantum theory of atoms in molecules (QTAIM) topology analysis of interaction sites, analysis of the electrostatic potential (ESP) and charges showed that the most suitable model for study of the decomposition reactions was  $\beta$ -glucopyranose monomer, bi-capped with methoxy groups. The model was nitrated at the C2 position, to mimic the most stable nitration site [1]. The primary thermolytic and hydrolytic denitration routes were explored using transition state (TS) searches and potential energy surface (PES) scans. It was found that the thermolytic behaviour of the NC denitration step matched the energy profile of other nitrate esters [2]. Protonation at the bridging oxygen site of the nitrate was found to be the most likely to lead to denitration. It was not possible to isolate a TS for the hydrolytic reaction, though a number of coordination schemes were tested. Secondary processes following initial denitration were examined. Ethyl nitrate was used as a test system before extension to the monomer. Different reaction pathways for decomposition, with forward propagation of the evolved species to the reaction step, revealed that  $\cdot\text{NO}_2$  was the most likely cause for the experimentally observed autocatalytic rate of degradation.



# Impact Statement

This study examines the degradation properties of NC, shedding light on the mechanistic details of decomposition that are yet currently either unknown, or are unclear in existing literature. NC is used in an extensive variety of products, with utilisation in household, industrial, military and medicinal applications. Improved understanding of the fundamental chemistry in the degradation of NC could benefit the following key impact areas:

## **Knowledge & collective benefit**

- Broaden understanding and depth of knowledge in the area of NC degradation, with the view to validate or deconflict competing schemes in literature.
- Improve conservation practices for existing and legacy NC products of cultural value, such as cinematographic film, artworks and historical munitions with better information on environmental conditions most impacting the decomposition pathways.

## **Environmental**

- Inform improved NC disposal methods, avoiding existing harsh chemical and incineration treatments, with opportunity to feed back into other manufacture streams such as the production of fertiliser.
- Facilitate the design of next generation NC products with lower environmental impact, in terms of durability and recycle-ability, with cleaner industrial processes.

## **Safety**

- Refine guidelines on safety and usage, based on detailed knowledge of the degradation mechanisms, improving upon current practices based on aggregate experimental observations.

**Industrial & commercial**

- Improve versatility and adaptability in NC production and its product formulations, in view of varying cellulose feedstock sources due to supply chain fluctuation.
- Streamline industrial processes to specific reactivity requirements, based on detailed mechanistic considerations regarding shelf life and interaction with other components, leading to cost saving and better performance of final product.

**Innovation**

- Allow the design of new NC products, not limited by crude understanding about the material shelf life and reactivity, leading to novel applications.



# Contents

<b>Abstract</b>	<b>4</b>
<b>Impact Statement</b>	<b>5</b>
<b>List of Figures</b>	<b>9</b>
<b>List of Tables</b>	<b>11</b>
<b>Abbreviations</b>	<b>15</b>
<b>1 Post-Denitration Reactions</b>	<b>19</b>
1.1 Introduction . . . . .	19
1.2 Methodology . . . . .	24
1.2.1 Computational details . . . . .	25
1.3 Results and Discussion . . . . .	25
1.4 Summary . . . . .	26
<b>Bibliography</b>	<b>31</b>



## List of Figures

1.1	1,4-bis(ethylamino)-9,10-anthraquinone dye (SB59) used to probe the release of nitrates from NC using ultraviolet–visible spectroscopy (UVvis) and $^1\text{H}$ NMR spectroscopy . . . . .	20
1.2	UVvis spectra of aged NC-based film . . . . .	20
1.3	Proposed pathway for the reaction of SB59 dye with $\cdot\text{NO}$ released as a result of denitration of NC [3]. . . . .	21
1.4	Optimised geometries of the possible protonation sites on ethyl nitrate (EN). . . . .	26



## List of Tables

1.1	Free energies of protonation for each oxygen site on EN. . . . .	25
1.2	Energies of nitrate ester decomposition reactions proposed by Camera [4], Chin [5] and Aellig [6]. R = CH <sub>3</sub> CH <sub>2</sub> for EN, and R = (H <sub>3</sub> CO) <sub>2</sub> C <sub>6</sub> H <sub>9</sub> O <sub>3</sub> (bi-methoxy capped glucopyraonse monomer unit). . . . .	28



## Abbreviations

<b>%N</b>	percentage nitrogen by mass
<b>2-NDPA</b>	2-Nitrodiphenylamine
<b>AIMD</b>	<i>ab initio</i> molecular dynamics
<b>AO</b>	atomic orbital
<b>a.u.</b>	atomic units
<b>B3LYP</b>	Becke, 3-parameter, Lee-Yang-Parr hybrid functional
<b>BCP</b>	bonding critical point
<b>BSSE</b>	basis set superposition error
<b>CH<sub>3</sub>CH<sub>3</sub></b>	NC repeat unit with two –OCH <sub>3</sub> capping groups
<b>CH<sub>3</sub>OH</b>	NC repeat unit with –OCH <sub>3</sub> capping group on ring 1 and –OH group on ring 2
<b>CCP</b>	cage critical point
<b>CP</b>	critical point
<b>DFT</b>	density functional theory
<b>DFT-D</b>	density functional theory with dispersion correction
<b>DSC</b>	differential scanning calorimetry
<b>DOS</b>	degree of substitution
<b>DPA</b>	diphenylamine

<b>EM</b>	energetic materials
<b>EN</b>	ethyl nitrate
<b>ESP</b>	electrostatic potential
<b>G09</b>	Gaussian 09 revision D.01
<b>GGA</b>	generalised gradient approximation
<b>GM</b>	genetically modified
<b>GTO</b>	Gaussian type orbitals
<b>GView</b>	Gauss View 5.0.8
<b>HF</b>	Hartree-Fock
<b>HMF</b>	hydroxymethylfurfural
<b>HOMO</b>	highest occupied molecular orbital
<b>IR</b>	infra-red spectroscopy
<b>KS-DFT</b>	Kohn-Sham DFT
<b>LDA</b>	local density approximation
<b>MD</b>	molecular dynamics
<b>MEP</b>	minimum energy path
<b>MM</b>	molecular mechanics
<b>MMFF94</b>	Merck molecular force field 94
<b>MO</b>	molecular orbitals
<b>MP2</b>	Møller–Plesset perturbation theory with second order correction
<b>MW</b>	molecular weight
<b>NBO</b>	natural bond orbital
<b>NC</b>	nitrocellulose



<b>NCP</b>	nuclear critical point
<b>NG</b>	nitroglycerine
<b>NMR</b>	nuclear magnetic resonance spectroscopy
<b>OHCH<sub>3</sub></b>	NC repeat unit with –OH capping group on ring 1 and –OCH <sub>3</sub> group on ring 2
<b>PCM</b>	polarisable continuum model
<b>PES</b>	potential energy surface
<b>PETN</b>	pentaerythritol tetranitrate
<b>PETRIN</b>	pentaerythritol trinitrate
<b>QM</b>	quantum mechanics
<b>QTAIM</b>	quantum theory of atoms in molecules
<b>RCP</b>	ring critical point
<b>RESP</b>	restrained electrostatic potential atomic partial charges
<b>RHF</b>	restricted HF
<b>ROHF</b>	restricted-open HF
<b>UHF</b>	unrestricted HF
<b>SB59</b>	1,4-bis(ethylamino)-9,10-anthraquinone dye
<b>SCF</b>	self-consistent field
<b>SEM</b>	scanning electron microscopy
<b>SMD</b>	solvation model based on density
<b>S<sub>N</sub>2</b>	bi-molecular nucleophilic substitution reaction
<b>STO</b>	Slater type orbitals
<b>TG</b>	thermogravimetric analysis

<b>TS</b>	transition state
<b>UFF</b>	universal force field
<b>UV</b>	ultraviolet
<b>UVvis</b>	ultraviolet–visible spectroscopy
<b>vdW</b>	van der Waals
<b><math>\omega</math>B97X-D</b>	$\omega$ B97X-D long-range corrected hybrid functional
<b>ZPE</b>	zero-point energy

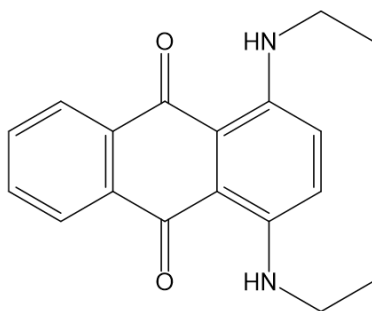
## Chapter 1

# Post-Denitration Reactions

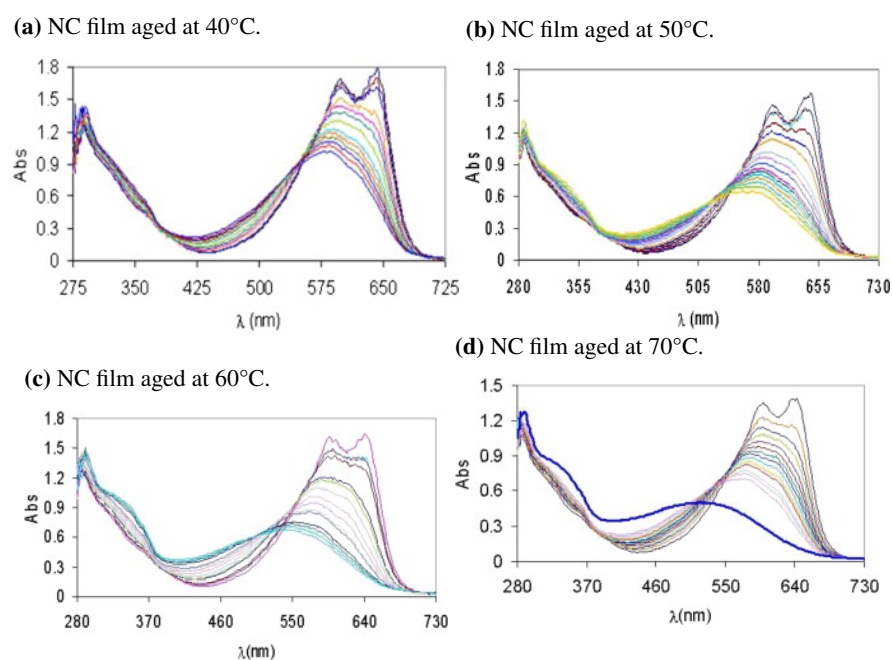
### 1.1 Introduction

Products of the preliminary denitration step of NC can be evolved as gases or remain trapped in the polymer matrix. Reactive nitrogen dioxide radicals generated from homolysis of the O-N bond are likely to migrate within the bulk and attack other sites on the polysaccharide, initiating branched radical chain reactions. These lead to deeper decomposition of the polymer *via* chain scission and rupture of glucose rings, with eventual complete disintegration of the molecule, assisted by products released by ongoing acid hydrolysis. Nitrous and nitric acids are released directly from denitration or via transformation of released NO<sub>x</sub> species. In addition to catalysing hydrolysis, they increase the acidity of the overall system, lowering the pH and stimulating further hydrolytic processes [7]. The final product mixture is dictated by the numerous side reactions involving autocatalysis, radical reactions and product interactions.

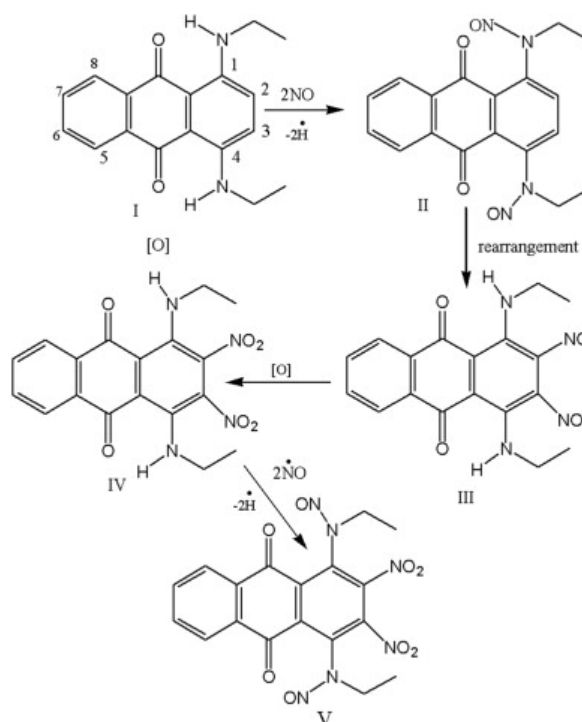
When studying the ageing of NC using UVvis spectroscopy, Moniruzzaman *et al.* observed increasing concentrations of reaction products beyond those generated from first-stage decomposition, following heat treatment over extended timescales [8, 3]. The reaction of the SB59 with NO<sub>x</sub> released by denitration, mimics the action of stabilisers such as diphenylamine (DPA) and 2-Nitrodiphenylamine (2-NDPA) commonly used in NC formulations. The secondary amine groups of the dye consume any nitrates in the system, eliminating the possibility of successive reactions generating acidic species. Un-aged NC thin films, and films aged at 40°C, 50°C, 60°C and 70°C for timescales of up to 2000hrs for 40°C, were compared. UV absorbances at 600 nm and 650 nm were characteristic of the SB59 dye used to indicate the presence of NO<sub>x</sub>, released by the denitration of NC (figure 1.2). The isosbestic point identified at 552 nm showed a proportional relationship



**Figure 1.1:** 1,4-bis(ethylamino)-9,10-anthraquinone dye (SB59) used to probe the release of nitrates from NC using UVvis and  $^1\text{H}$  NMR spectroscopy [3]. The action of nitrate absorption by the dye imitates that of stabilisers commonly used with nitrate ester formulations.

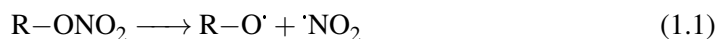


**Figure 1.2:** UVvis spectra of aged NC-based film, from the work of Moniruzzaman *et al.*[3]. The peaks at 600 nm and 650 nm are attributed to the  $\pi - \pi^*$  transitions in the anthraquinone dye (SB59). Spectral lines with highest absorbance peaks in this region correspond to the sample prior to heat treatment. Peaks below 400 nm indicate the formation of SB59 derivatives due to secondary reactions.

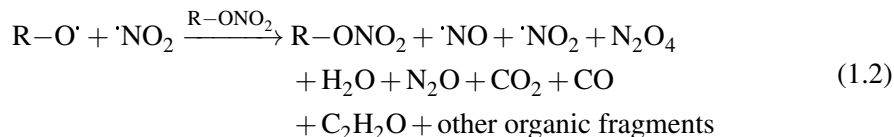
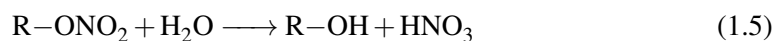


**Figure 1.3:** Proposed pathway for the reaction of SB59 dye with  $\cdot\text{NO}$  released as a result of denitration of NC [3].

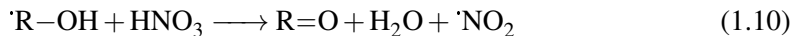
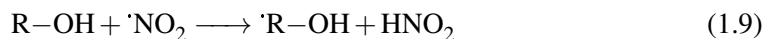
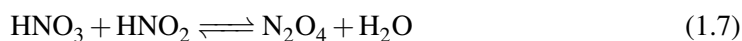
between the concentration of SB59 as it decreased, with the concentration of the [SB59 + NC] product as it increased. Samples exposed to higher ageing temperatures presented spectra dominated by products formed *via* secondary reactions. For samples aged at temperatures  $>40^\circ\text{C}$ , the isosbestic point demonstrated a downwards shift with increasing dye consumption. In the case of the  $70^\circ\text{C}$  treated run, the final measurement (indicated by the royal-blue line in bold in figure 1.2d)) deviated from the isosbestic point entirely, with more than 81% consumption of the original dye concentration. The drift from the isosbestic point, in addition to the appearance of new absorbance peaks below 400 nm, allude to the presence of new species in the reaction mixture not generated by the primary reaction of SB59 and NC. It is likely that these arise from the continued reaction of SB59 derivatives with NC degradation products, or further derivatives thereof, as suggested in figure 1.3. Following cleavage of the nitrate ester via homolytic fission, elimination of nitrous acid, or hydrolysis, the resulting residues available for further reaction with the polymer or other free molecules in the system. In the study of pentaerythritol tetranitrate (PETN) ageing at high temperatures ( $115^\circ\text{C}$  -  $135^\circ\text{C}$ ) in vacuum, and low temperatures ( $20^\circ\text{C}$  -  $65^\circ\text{C}$ ) in acetonitrile solution, Shepodd *et al.* commented that thermolysis produced a more complex and varied mixture, due to deeper degradation and recombination of radicals [9]. By

**Scheme 1.1: Thermolytic initiation**

Propagation

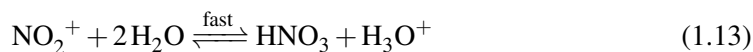
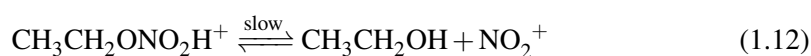
**Scheme 1.2: Hydrolytic initiation**

Propagation



contrast, the low temperature hydrolytic process emphasised formation of pentaerythritol trinitrate (PETRIN) followed by side reactions with reduced likelihood of radical recombination in solution compared to in a solid, as  $\text{'NO}_2$  would be more likely to diffuse and react elsewhere. Chin *et al.* proposed schemes for the propagation of secondary reactions initiated by both the thermolysis (scheme 1.1) and hydrolysis of nitrate esters (scheme 1.2) [5].

Termination reactions were not emphasised in either of the schemes for these cases. The hydrolysis scheme was adapted from an earlier work by Camera *et al.* involving the nitrate

**Scheme 1.3: Hydrolysis scheme for ethyl nitrate**

ester decomposition and subsequent reactions of EN (where  $R = CH_3CH_2$  for the scheme above) [4]. The original study included an expansion of the hydrolysis step (equation 1.11), where the involvement of  $NO_2^+$  was illustrated (scheme 1.3).

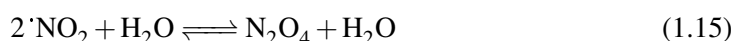
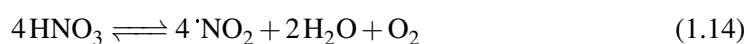
It was highlighted by Camera, that the oxidation of alcohol by nitric acid (equation 1.6) is slow and thus rate-limiting. The mechanism is likely to occur *via* a series of intermediate reactions of which the details are not known. Following the generation of nitrous acid, subsequent oxidations occur rapidly. According to Rigas *et al.*, alcohols are more susceptible to wet oxidation than esters [10]. A higher concentration of unsubstituted hydroxyl groups in the system, and therefore a fewer nitrate ester groups (or a lower degree of substitution (DOS) value), decreases overall stability.

Equations 1.7 - 1.10 describe a possible branched radical chain mechanism, fed by the nitrous and nitric acids produced during the hydrolysis and alcohol oxidation reactions during the initiation stage. By contrast, the propagation reactions in the branched radical chain mechanism for thermolysis are poorly characterised (equation 1.2), defined only by the observable products. This is likely due to their rapid and varied nature, rendering it difficult to follow spectroscopically.

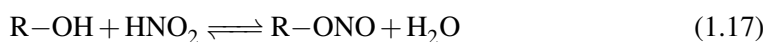
Aellig *et al.* presented an alternative scheme for the decomposition of benzyl nitrate ( $R = PhCH_2$ ), involving more interaction with the solvent [6]:

Both the Camera/Chin and Aellig schemes above produce final end products observed in

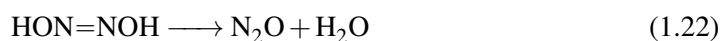
#### Scheme 1.4: $HNO_3$ decomposition initiation



#### Propagation



#### Termination



the decomposition of NC. In particular, Aellig's scheme accounts for the production of  $\text{N}_2\text{O}$ , which forms a significant part of the decomposition eluent [11]. Whilst the schemes do not propose an exhaustive description of the full spectrum of reactions that take place in the NC matrix during its slow ageing, the early stage reactions of the key species responsible for decomposition are encapsulated.

It is widely agreed that first-stage decomposition follows a first-order process (or pseudo-first order, with respect to hydrolysis reactions). A number of studies observe catalytic rate of decay for the longer-term aging processes. Dauerman [12] observed that when NC was treated with  $\text{NO}_2$  gas before heating, the time required for sample ignition halved. He suggested that the  $\text{NO}_2$  adsorbed onto the surface acted as a catalysing agent.

Neutral and alkaline hydrolysis reactions follow a pseudo-first order process, however it has been suggested that the presence of acid facilitates a catalytic rate of degradation after an initial incubation period. Multiple studies have addressed the decomposition reactions of nitrate esters following the initial scission of the nitrate group [13, 4, 14, 15, 7] In their work looking into the atmospheric reactions of methynitrate and methylperoxy nitrate Arenas suggested it was possible for the homolytic denitration reaction of methynitrate to share a common peroxy intermediate with the peroxide [16]. This could account for some of the lower order  $\text{NO}_x$  generated. In this section, secondary and extended reaction schemes for the low temperature ageing of NC are explored. Decomposition pathways defined by Chin, Camera and Aellig *et al.* are probed to determine the reactions responsible for the experimentally observed degradation products. The reactions found to be energetically feasible from the proposed routes will be scrutinised to determine whether an autocatalytic pathway can be formed from the thermodynamically validated reaction schemes.

## 1.2 Methodology

The schemes proposed by Chin, Camera and Aellig *et al.* were used to construct possible degradation routes for NC with the products of homolytic fission, elimination of  $\text{HNO}_2$  and acid hydrolysis used as the starting point. Pathways were constructed based on propagation of the given reactions in a step-wise fashion; subsequent reactions were dependent on the products generated in preceding steps. An abundance of water and oxygen were assumed present in the system, attributed to air exposure under the wetted storage conditions of NC. Unsubstituted alcohol moieties ( $\text{R-OH}$ ) were also presumed available, due to incomplete nitration during the synthesis of NC [17], and re-generation following denitration *via* hy-



drolysis. The schemes were modelled with EN, then expanded to the NC monomer. Free energies of reaction ( $\Delta G$ ) were used to determine the feasibility of each reaction.

### 1.2.1 Computational details

All geometry optimisations were conducted in Gaussian 09 revision D.01 (G09), using the  $\omega$ B97X-D and B3LYP functionals. Optimisations and thermochemistry calculations were performed to the level of 6-31+G(2df,p) with tight convergence criteria (table ??). Calculations were performed in both vacuum and with polarisable continuum model (PCM) to introduce implicit solvent effects. Chemical species were constructed using Gauss View 5.0.8 (GView) and for molecules of more than 3 atoms, the “Clean” function was used to re-order atoms to a preliminary starting geometry. Energies of optimised structures were checked against values listed on NIST Computational Chemistry Comparison and Benchmark Database [18] if analogous molecules to a similar level of theory were available.

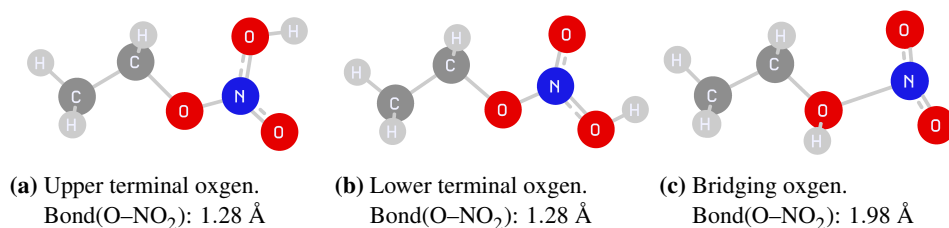
## 1.3 Results and Discussion

Simplified schemes for the possible ageing reactions of nitrate esters beginning from homolytic fission, elimination of  $\text{HNO}_2$  or acid hydrolysis are illustrated in schemes 1.5 - 1.7. When starting with the products of homolytic fission, a branched radical chain mechanism dominates.  $\cdot\text{NO}_2$  and  $\text{HNO}_2$  were consumed and regenerated, supporting the theory that these may be species contributing to the observed autocatalytic rate of decomposition, following a first-order rate induction period [19, 20, 21]. For all schemes,  $\text{R}=\text{O}$  and  $\text{N}_2\text{O}$  were terminating species. Whilst  $\text{N}_2\text{O}$  is released into the environment,  $\text{R}=\text{O}$  remains in the system may go on to participate in further decomposition reactions leading to ring opening. The protonation site of EN was inspected to determine whether it matched that of the hydrolytic denitration of NC, therefore following the same extended hydrolysis scheme.

**Table 1.1:** Free energies of protonation for each oxygen site on EN.

Protonated site		$\Delta G_r / \text{kCal mol}^{-1}$			
		$\omega$ B97X-D	PCM	B3LYP	PCM
Terminal (upper)	$\text{CH}_3\text{CH}_3\text{ONO}_2\text{H}^+$	-12.28	8.82	-13.78	5.63
Terminal (lower)	$\text{CH}_3\text{CH}_3\text{ONO}_2\text{H}^+$	-9.48	9.46	-11.13	5.65
Bridging	$\text{CH}_3\text{CH}_3\text{O}(\text{H}^+)\text{NO}_2$	-9.32	9.06	-15.31	6.67

Table 1.1 shows the protonation energies for the three different oxygen sites on EN. Despite the upper terminal oxygen possessing the most thermodynamically favourable en-



**Figure 1.4:** Optimised geometries of the possible protonation sites on EN.

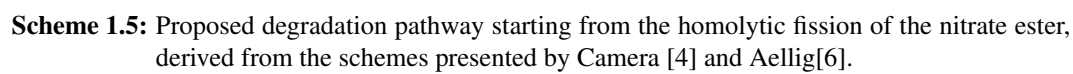
ergy of protonation, inspection of the reaction geometries shows that the bridging structure most resembles that expected for the liberation of the  $\text{NO}_2^+$  group at the next step. Though appearing less thermodynamically favourable when compared to protonation at the terminal upper oxygen site, the higher energy of reaction likely arises from the instability of the protonated complex. The elongation of the  $\text{O}-\text{NO}_2$  bond allows stabilisation of the proton at the bridging site, facilitating the facile departure of  $\text{NO}_2^+$ . Subsequent calculations involving the energy of the protonated EN will employ the values associated with the protonated bridging site.

Table 1.2 shows the energies for the reactions in all schemes, for both EN and the NC monomer.

The  $\omega\text{B97X-D}$  long-range corrected hybrid functional ( $\omega\text{B97X-D}$ ) values obtained for the formation of  $\text{N}_2\text{O}_4$  from  $2^{\circ}\text{NO}_2$  are in good agreement with the experimentally recorded value of  $-1.4 \text{ kCal mol}^{-1}$  [22, 23]. It is noted that the Becke, 3-parameter, Lee-Yang-Parr hybrid functional (B3LYP) result does not perform so well for this reaction. This may arise from a number of factors, including the limitation to short-range interactions in B3LYP, or the geometry optimisation procedure, whereby a small variation or imperfect minimisation in the obtained optimised structures for the reaction species is amplified in the calculation of reaction energies. Comparison of reaction energies for EN and the monomer shows that most processes are more thermodynamically favourable in the case of NC.

## 1.4 Summary

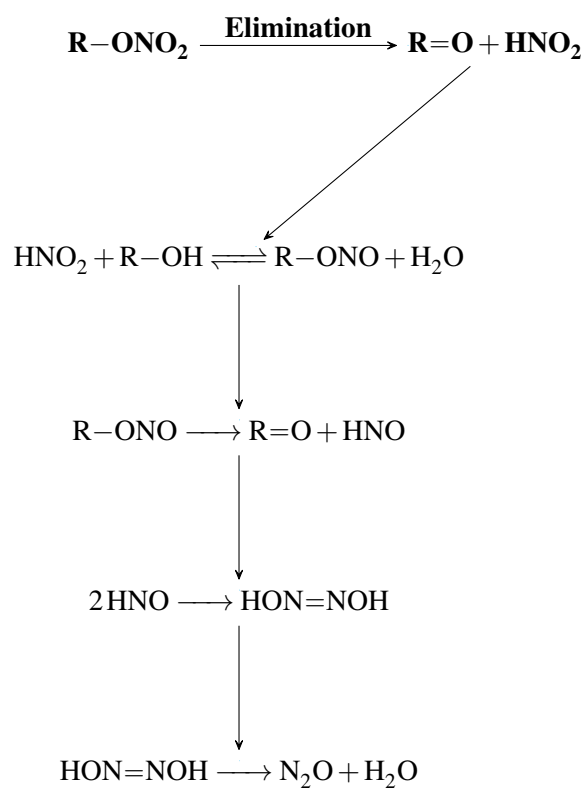
A number of proposed reactions following the denitration of NC were validated by calculating energies of reaction with the  $\omega\text{B97X-D}$  and B3LYP methods. It was found that except in the case of nitric acid decomposition,  $4\text{HNO}_3 \rightleftharpoons 4\text{NO}_2 + 2\text{H}_2\text{O} + \text{O}_2$ , most reactions were feasible at atmospheric conditions. The reactions were used to create rough schemes of the possible routes of degradation, with increasing number of the NC decay. It can be seen



**Table 1.2:** Energies of nitrate ester decomposition reactions proposed by Camera [4], Chin [5] and Aellig [6]. R = CH<sub>3</sub>CH<sub>2</sub> for EN, and R = (H<sub>3</sub>CO)<sub>2</sub>C<sub>6</sub>H<sub>9</sub>O<sub>3</sub> (bi-methoxy capped glucopyranose monomer unit).

Reaction	$\Delta G_r$ /kcal mol <sup>-1</sup>			
	$\omega$ B97X-D	PCM	B3LYP	PCM
$N_2O_4 + H_2O \longrightarrow HNO_3 + HNO_2$	2.25	1.85	5.13	4.18
$N_2O_4 \rightleftharpoons 2 \cdot NO_2$	0.12	1.46	-0.54	-0.16
Radical reactions				
$\cdot NO_2 + HNO \longrightarrow HNO_2 + \cdot NO$	-28.22	-28.67	-27.33	-27.63
$2 \cdot NO + O_2 \longrightarrow 2 \cdot NO_2$	-20.77	-21.97	-21.16	-22.16
Acid reactions				
$HNO_3 + HNO_2 \rightleftharpoons N_2O_4 + H_2O$	-2.25	-1.85	-5.13	-4.18
$4HNO_3 \rightleftharpoons 4 \cdot NO_2 + 2H_2O + O_2$	53.35	58.36	42.61	46.94
$2HNO \longrightarrow HON=NOH$	-38.97	-39.72	-36.63	-37.41
$HON=NOH \longrightarrow N_2O + H_2O$	-48.08	-48.18	-50.55	-50.75
Ionic reactions				
$NO_2^+ + 2H_2O \rightleftharpoons HNO_3 + H_3O^+$	-0.90	-1.34	1.77	2.46
EN ( R = CH <sub>3</sub> CH <sub>2</sub> )				
$R-ONO_2 + H_2O \longrightarrow R-OH + HNO_3$	4.56	5.24	4.00	4.86
$R-OH + HNO_3 \longrightarrow R=O + HNO_2 + H_2O$	-34.06	-38.43	-37.59	-41.77
$R-OH + \cdot NO_2 \longrightarrow \cdot R-OH + HNO_2$	16.38	13.92	15.89	13.70
$\cdot R-OH + HNO_3 \longrightarrow R=O + H_2O + \cdot NO_2$	-50.44	-52.35	-53.48	-55.47
$R-OH + HNO_2 \rightleftharpoons R-ONO + H_2O$	-3.21	-3.28	-2.64	-2.95
$R-ONO \longrightarrow R=O + HNO$	-1.50	-5.82	-4.37	-8.50
NC monomer ( R = (H <sub>3</sub> CO) <sub>2</sub> C <sub>6</sub> H <sub>9</sub> O <sub>3</sub> )				
$R-ONO_2 + H_2O \longrightarrow R-OH + HNO_3$	0.68	5.63	0.61	-0.70
$R-OH + HNO_3 \longrightarrow R=O + HNO_2 + H_2O$	-36.73	-38.34	-41.71	-41.70
$R-OH + \cdot NO_2 \longrightarrow \cdot R-OH + HNO_2$	14.71	11.15	13.03	23.21
$\cdot R-OH + HNO_3 \longrightarrow R=O + H_2O + \cdot NO_2$	-51.44	-49.49	-54.75	-56.37
$R-OH + HNO_2 \rightleftharpoons R-ONO + H_2O$	-4.43	-7.30	-4.31	-0.18
$R-ONO \longrightarrow R=O + HNO$	-2.93	-1.71	-6.82	-11.21

that it is the NO<sub>2</sub> species driving oxidation in all three schemes, and plays a central role in the extended degradation scheme of NC.



**Scheme 1.6:** Proposed degradation pathway starting from the elimination of  $\text{HNO}_2$  from a nitrate ester, derived from the schemes presented by Camera [4] and Aellig[6].



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