

# Abbreviations

<b>%N</b>	percentage nitrogen by mass
<b>2-NDPA</b>	2-Nitrodiphenylamine
<b>a.u.</b>	atomic units
<b>B3LYP</b>	Becke, 3-parameter, Lee-Yang-Parr hybrid functional
<b>BCP</b>	bonding critical point
<b>CH<sub>3</sub>CH<sub>3</sub></b>	NC repeat unit with two –OCH <sub>3</sub> capping groups
<b>CH<sub>3</sub>OH</b>	NC repeat unit with –OCH <sub>3</sub> capping group on ring 1 and –OH group on ring 2
<b>CCP</b>	cage critical point
<b>CP</b>	critical point
<b>DFT</b>	density functional theory
<b>DSC</b>	differential scanning calorimetry
<b>DOS</b>	degree of substitution
<b>DPA</b>	diphenylamine
<b>ELF</b>	electron localisation function
<b>EM</b>	energetic materials
<b>EN</b>	ethyl nitrate
<b>ESP</b>	electrostatic potential

<b>G09</b>	Gaussian 09 revision D.01
<b>GM</b>	genetically modified
<b>GView</b>	Gauss View 5.0.8
<b>HF</b>	Hartree-Fock
<b>HMF</b>	hydroxymethylfurfural
<b>HOMO</b>	highest occupied molecular orbital
<b>IR</b>	infra-red spectroscopy
<b>LOL</b>	localized orbital locator
<b>MD</b>	molecular dynamics
<b>MEP</b>	minimum energy path
<b>MM</b>	molecular mechanics
<b>MMFF94</b>	Merck molecular force field 94
<b>MP2</b>	Møller–Plesset perturbation theory with second order correction
<b>MW</b>	molecular weight
<b>NC</b>	nitrocellulose
<b>NCP</b>	nuclear critical point
<b>NG</b>	nitroglycerine
<b>NMR</b>	nuclear magnetic resonance spectroscopy
<b>OHCH<sub>3</sub></b>	NC repeat unit with –OH capping group on ring 1 and –OCH <sub>3</sub> group on ring 2
<b>PCM</b>	polarisable continuum model
<b>PES</b>	potential energy surface
<b>PETN</b>	pentaerythritol tetranitrate

<b>PETRIN</b>	pentaerythritol trinitrate
<b>QM</b>	quantum mechanics
<b>QTAIM</b>	quantum theory of atoms in molecules
<b>RCP</b>	ring critical point
<b>SB59</b>	1,4-bis(ethylamino)-9,10-anthraquinone dye
<b>SCF</b>	self-consistent field
<b>SEM</b>	scanning electron microscopy
<b>S<sub>N</sub>2</b>	bi-molecular nucleophilic substitution reaction
<b>TG</b>	thermogravimetric analysis
<b>TS</b>	transition state
<b>UFF</b>	universal force field
<b>UV</b>	ultraviolet
<b>UVvis</b>	ultraviolet–visible spectroscopy
<b><math>\omega</math>B97X-D</b>	$\omega$ B97X-D long-range corrected hybrid functional
<b>ZPE</b>	zero-point energy

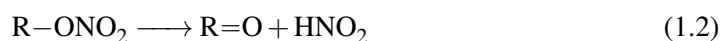
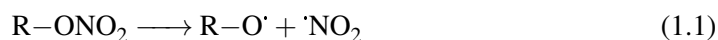


## Chapter 1

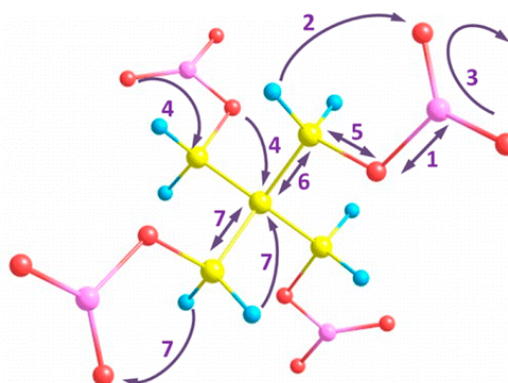
# Mechanisms of denitration

### 1.1 Introduction

The first stage of thermolytic decomposition for nitrate esters is generally agreed to be homolytic fission of the O-N bond linking the nitrate to the alkyl chain, leading to the loss of  $\cdot\text{NO}_2$  (equation 1.1) [1, 2, 3] Though nitrate homolysis is an endothermic reaction, the weak O-N bond has a typical dissociation enthalpy of  $42 \text{ kcal mol}^{-1}$  and is easily cleaved when exposed to elevated temperatures, UV light or impact. Whilst the thermolytic degradation of energetic materials has been widely studied experimentally, the ambient, slow ageing mechanisms are less well documented. Low-temperature decomposition routes are influenced by many factors over a protracted lifetime, and in practical use, materials are usually subject to evolving environmental conditions. External changes in pressure, humidity, stress and temperature cycles induce variation in the degradation patterns of energetic materials. The presence of moisture has been observed to lower the activation energy and accelerate the decomposition of energetic materials [2]. Internal factors including impurities and residual solvent, and crystal growth within the bulk, also alter decomposition behaviour. It was found that the two dominating decomposition reactions were homolysis (equation 1.1) and intramolecular elimination of  $\text{HNO}_2$  (equation 1.2). The decomposition of nitrate esters at temperatures over  $100^\circ\text{C}$  is dominated by thermolytic processes, whilst under  $100^\circ\text{C}$ , decomposition is thought to largely be the result of hydrolysis [5]. Tsyshevsky *et al.* studied the intramolecular reactions leading to denitration in PETN in both the vacuum and the bulk crystal [4] (figure 1.1). Seven mechanisms for the removal of  $\text{NO}_2$  were explored, corre-



1.  $\cdot\text{NO}_2$  loss
2.  $\text{HNO}_2$  loss
3. OONO rearrangement
4.  $\gamma$ -attack
5.  $\text{ONO}_2\cdot$  loss
6. C–C cleavage ( $\text{CH}_2\text{O} + \text{NO}_2$ )
7. C–C cleavage ( $\text{CO} + \text{HNO}_2$ )

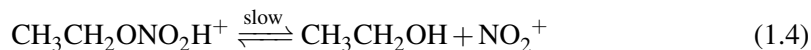


**Figure 1.1:** Intramolecular thermolytic reactions in pentaerythritol tetranitrate (PETN), from the work of Tsyshevsky *et al.* [4].

sponding to the labels in figure 1.1: (1) homolytic cleavage of the O–NO<sub>2</sub> bond, (2) the elimination of nitrous acid (HNO<sub>2</sub>), which is usually considered a competing reaction to homolytic fission, (3) the nitro-peroxynitrite rearrangement (O–ONO), (4)  $\gamma$ -attack of the terminating nitrate oxygen atom and the bridging nitrate oxygen at their relative  $\gamma$ -carbon sites, (5) the homolytic C–O bond cleavage, (6) and (7) two variations of the homolytic C–C bond cleavage. Whilst elimination of HNO<sub>2</sub> was found to be the most energetically favourable denitration pathway, homolytic fission dominated preliminary decomposition steps due to the lower activation barrier and faster rate of reaction. It was suggested that global decomposition processes were determined by the interplay between the two mechanisms. Initial homolysis facilitated wide-spread denitration, complemented by exothermic HNO<sub>2</sub> elimination promoting self-heating of the system and further bond dissociations. The presence of  $\cdot\text{NO}_2$  and HNO<sub>2</sub> were previously linked to autocatalytic rates observed for later-stage decomposition of nitrate esters [6, 7, 8], though some studies solely attribute it to the presence of acids [9, 10, 11, 12]. Other studies yet also implicate the action of  $\cdot\text{NO}$  in addition to  $\cdot\text{NO}_2$ , HNO<sub>2</sub> and HNO<sub>3</sub> [13, 14]. However, from these initial processes it is not possible to determine which is the species responsible

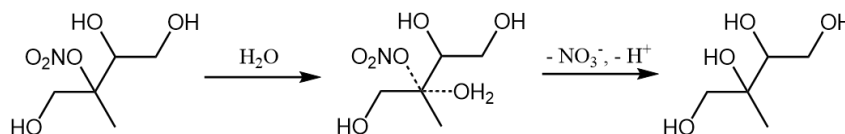
Spent acids remain in the nitrocellulose (NC) matrix following synthesis even with thorough washing procedures. Acids are further generated via the subsequent reactions of  $\cdot\text{NO}_2$  following homolysis. The acidic species proceed to react with other moieties in the system, such as unsubstituted alcohol side chains on the polysaccharide, or other small molecules free in the bulk.

When exploring the interaction of nitroglycol and nitroglycerin in acid solution, Camera proposed a protonation-denitration scheme whereby initial protonation at the nitrate is rapid, but subsequent release of the nitronium ion was slow (scheme 1.1).



**Scheme 1.1:** The relative rate of stepwise protonation and denitration of nitrate esters, using ethyl nitrate as an example. From the work of Camera *et al.* [15].

NC in storage is kept wetted with solvents to prevent drying and self-ignition. Material with 12.6%N or lower, must be stored in 25% water by mass, or a mixture of solvents and plasticisers. In the study of organonitrates and organosulfates generated from isoprene as secondary organic aerosols, Hu *et al.* found that primary and secondary nitrates were resilient to hydrolysis for  $\text{pH} > 0$ , whilst tertiary nitrates underwent hydrolytic nucleophilic substitution easily, reacting with water to form alcohols [16]. In tertiary nitrates, the carbon



**Scheme 1.2:** Hydrolysis of a tertiary nitrate derived from the reaction of isoprene in the aerosol phase, from the work of Hu *et al.* [16].

is fully substituted with no attached hydrogens. This group is usually sterically hindered and stabilising to carbocations, condition on the other substituents. If formation of a carbocation intermediate is involved in the hydrolysis mechanism, this may explain why the tertiary nitrates exhibited highly efficient denitration, even under neutral conditions.

Though no specific mechanistic detail is given, the action of a protonated transition state during hydrolysis is alluded to by Hu *et al.*, through the contrast between the rate of acid-catalysed and neutral hydrolysis reactions. Neutral hydrolysis of the tertiary nitrates occurred rapidly, but hydrolysis only occurred for primary and secondary nitrates under strongly acid catalysing conditions at much lower rate. It was found that the presence of adjacent OH groups hampered the rate of hydrolysis for some aerosol dispersed organonitrates. In the neutral hydrolysis of tertiary nitrates, increasing the number of adjacent OH groups lead to protracted hydrolysis lifetimes. Interestingly, the retardation effect of adja-

cent OH groups was not observed for the the acid catalysed cases. Hu proposed that this could be due to the interaction of OH with the transition state of the neutral hydrolysis system, compared to the protonated transition state of the acid catalysed system, impeding the reaction only in the former case. There is evidence that nitration and denitration of nitrate esters is also influenced by the presence of nitrate groups at neighbouring positions. Matveev *et al.* demonstrated that for poly-nitroesters the rate of liquid-phase decomposition did not increase linearly with number of nitrate reaction centres. It was found to mainly depend on individual structures (table 1.3) [17].

It was suggested that the trend in reactivity could be partially explained by the inductive effect of the nitro groups [1]. The inductive effect arises when a difference in the electronegativity between atoms connected by a  $\sigma$  bond leads to a polarisation, or permanent dipole, in the bond. Electron donating groups increase the  $\delta^-$  partial charge on neighbouring atoms through the release of electrons, whilst electron withdrawing groups pull electron density away from neighbouring atoms generating a  $\delta^+$  charge on connected atoms. However, the  $\pi$  donation by lone pairs on the oxygen and nitrogen plays a significant role in increasing electron density of neighbouring atoms, known as the resonance effect.  $\text{NO}_3$  presents a stronger electron donating effect via  $\pi$  donation than OH. It would therefore be expected that both increase the rate of hydrolysis for nearby leaving groups. The presence of an adjacent nitrate appears to facilitate denitration, whereas the presence of hydroxyl groups hinders this

**Table 1.1:** Comparison of rate constants of decomposition for various polynitrate esters at 140°C. Collated from literature sources by Matveev *et al.*[17].  $\Delta T$  is the decomposition temperature range,  $E$  is the experimental activation barrier for decomposition,  $\log A$  is the pre-exponential factor,  $T_c$  is the combustion temperature,  $k_{\text{expt}}$  is the rate constant for decomposition.

Compound	$\Delta T$ / °C	$E$ / kcal mol <sup>-1</sup>	$\log A$ [s <sup>-1</sup> ]	$k_{\text{expt}}$ / 10 <sup>-6</sup> s <sup>-1</sup>
$\text{O}_2\text{NOCH}_2\text{CH}_2\text{CH}_2\text{ONO}_2$	72–140	39.1	14.9	1.7
$\text{O}_2\text{NOCH}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{ONO}_2$	100–140	39.0	14.7	1.1
$\text{O}_2\text{NOCH}(\text{CH}_3)\text{CH}(\text{CH}_3)\text{ONO}_2$	72–140	40.3	14.9	5.0
$\text{O}_2\text{NOCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{ONO}_2$	80–140	42.0	16.5	1.9
$\text{O}_2\text{NOCH}_2\text{CH}(\text{OH})(\text{CH}_2\text{ONO}_2)$	80–140	42.4	16.8	2.3
$\text{O}_2\text{NOCH}_2\text{CH}(\text{ONO}_2)(\text{CH}_3)$	72–140	40.3	15.8	3.0
$[(\text{O}_2\text{NOCH}_2)\text{CH}(\text{ONO}_2)\text{CH}(\text{ONO}_2)]_2$ (hexanitromannite)	80–140	38.0	15.9	63.0



process, for neutral hydrolytic schemes. This suggests that the proposed interaction of the hydroxyl group with the neutral transition state supersedes its resonance effect. As a result, it is ambiguous whether any apparent rate increase due to the presence of adjacent nitrate groups arises as a result of the resonance effect of the nitrate, or whether it is solely due to the absence of a neighbouring hydroxyl.

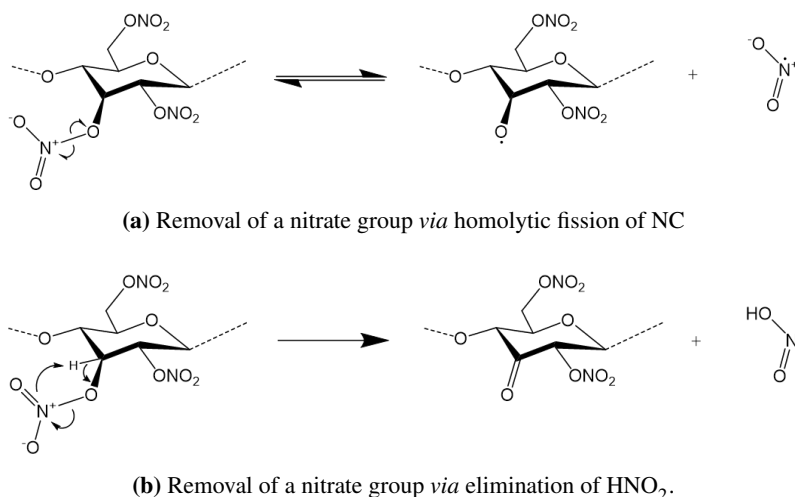
The investigation by Hu *et al.* exclusively focused on nitrates generated from an isoprene precursor, upon dispersion as an aerosol. The nitrate groups present in NC are either of primary (C6) or secondary (C2, C3) structure, indicating that ambient hydrolysis is unlikely according to this scheme. However, solvent effects are expected to differ for condensed-phase reactions and aerosol phases. A greater build-up of acid concentration can be achieved in a closed, condensed system, and the lifetime of an aerosol is relatively short-lived when considering the timescale of slow ageing processes in NC. Thus, the work of Hu *et al.* does not provide a direct comparison for the NC polymer but highlights the possible contribution from both neutral and acid-catalysed hydrolysis routes and of increasing levels of substitution on the wider structure.

In this section, the possible mechanisms for nitrate removal from the NC backbone are explored. The homolytic fission and  $\text{HNO}_2$  elimination thermolytic processes suggested by Tsyshkevsky will be compared to the acid hydrolysis scheme. Though the relative rates of reaction were not compared, the extended timescales involved in ambient ageing imply that the dominating reactions correspond to those most thermodynamically favourable.

## 1.2 Methodology

The energies of homolytic fission and elimination of  $\text{HNO}_2$  were calculated for PETN, as a test system before extension to the monomer. The reaction energies were calculated according to equations 1.1 and 1.2 to reproduce the work of Tsyshkevsky *et al.*. The literature geometries of PETN and its derivatives were obtained from the authors. A single point energy and frequency calculation were performed on each of the relevant structures to determine the reaction energies; no geometry optimisation was performed on the given structures except for in the case the  $\text{NO}_2$  molecule, where the geometry was not given.

The intramolecular reactions of the NC monomer were modelled according to scheme 1.3. Rigid and relaxed potential energy surface (PES) scans were attempted in order to locate transition states for both reactions for the NC monomer. Where the scans were unable to identify a valid transition state geometry, guess transition state geometries were



**Scheme 1.3:** The proposed intramolecular reactions for the initial denitration step during NC degradation.

constructed and optimised.

The possible protonation sites for the NC monomer were explored by placing a proton at each of the different oxygen sites surrounding the nitrate group. The structures were then geometry optimised and energies of protonation were compared. H<sub>3</sub>O<sup>+</sup> was modelled as the donating species; as NC is usually stored wetted in water, the hydronium ion is the most likely source of protons. It is also possible that the proton is donated by other acidic species in the system, particularly HNO<sub>2</sub> or HNO<sub>3</sub>. This is more likely at later stages of degradation when a higher concentration of acid has been generated by secondary reactions. The effects of tunneling were not accounted for.

### 1.2.1 Computational details

All geometry optimisation, thermochemistry calculations and PES scans were performed in Gaussian 09 revision D.01 (G09). Geometry optimisation and thermal calculations were to the level of 6-31+G(2df,p). NC monomer structures were optimised using  $\omega$ B97X-D long-range corrected hybrid functional ( $\omega$ B97X-D), Becke, 3-parameter, Lee-Yang-Parr hybrid functional (B3LYP) and Møller–Plesset perturbation theory with second order correction (MP2).  $\Delta G$  values were obtained by the difference between the thermally corrected free energies of products and reactants. Zero-point corrected energies ZPE were determined by addition of individual zero-point energy (ZPE) to the free energy:

$$\Delta G^{ZPE} = \sum (G_{products} + ZPE_{products}) - \sum (G_{reactants} + ZPE_{reactants}) \quad (1.5)$$

PES scans were performed to the level of  $\omega$ B97X-D/6-31+g(d), or using unrestricted  $\omega$ B97X-D, in the case of O–NO<sub>2</sub> dissociation. Rigid scans were carried out by fixing bond lengths, angles and dihedral values as constants. Only the variable of interest was allowed to change, with the exception of relaxation of other specified coordinates required for accommodation of the new geometry, following each step of the scan. For example, in the homolysis of the nitrate O–NO<sub>2</sub> bond, as the NO<sub>2</sub> group departed, the internal O–N–O angle was also allowed to relax, in addition to the angle of the departing NO<sub>2</sub> with respect to the remainder of the molecule. In two-dimensional scans, two variables are scanned. For the same reaction, the elongation of a the O–NO<sub>2</sub> bond was scanned with simultaneous approach of a proton, to monitor the effect of protonation for the same reaction. Relaxed scans were performed in Gaussian using the ‘modredundant’ function, whereby the whole structure was geometry optimised after each step of the scan. Scans were performed with step size of 0.1 Å. The number of steps varied with the property investigated, though the majority of the phenomena were observed within 20 steps (2 Å). Scans were attempted in vacuum, and for some cases, polarisable continuum model (PCM) implicit solvent [18].

## 1.3 Results and discussion

### 1.3.1 Thermolytic decomposition mechanisms

The energies of homolytic fission and intramolecular elimination of HNO<sub>2</sub> from a PETN nitrate group are shown in table 1.2. The energy values calculated by Tsyshevsky *et al.* are denoted in parenthesis. Despite using the supplied geometries, same method and basis, it can be seen that the obtained PETN reaction energy in the case of homolytic fission varies greatly from the value found by Tsyshevsky *et al.*. Inspection of the forces for the given structures showed that they were in fact not converged, however it was expected that the supplied geometries were used to generate the reaction energy values quoted in the study. The unconverged structures therefore do not fully explain the large discrepancy between the literature energies and obtained values here. A contribution may arise from a different compilation of the G09 program, leading to fluctuations in the exact values obtained which are amplified when deriving reaction energies, though these are not expected to account for the 20 kCal mol<sup>-1</sup> deficiency in the homolysis reaction energy.

The energy profile of homolytic fission was obtained via PES scans of NO<sub>2</sub> leaving the NC monomer (figure 1.2). The internal angle of the departing NO<sub>2</sub> was allowed to relax, in addition to the coordinates referencing its orientation relative to the rest of the

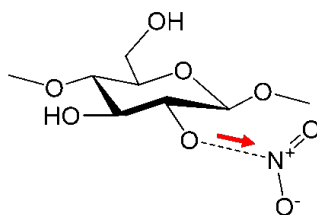
**Table 1.2:** Calculated free energies of reaction ( $\Delta G_r$ ), reaction enthalpies ( $\Delta H_r$ ), activation barriers ( $E_a$ ) with zero-point correction ( $^{ZPE}$ ) for the intramolecular reactions of PETN, and the NC monomer. Values expressed in  $\text{kCal mol}^{-1}$ .

Reaction	$\Delta G_r$	$\Delta G_r^{ZPE}$	$\Delta H_r$	$E_a$	$E_a^{ZPE}$
PETN					
$\cdot\text{NO}_2$ loss	21.51 (41.2) <sup>a</sup>	16.56 (35.8)	35.62	21.51 <sup>b</sup> (41.2)	16.56 (35.8)
$\text{HNO}_2$ loss	-23.63 (-18.6)	-26.21	-20.39	41.29 (47.3)	36.28 (42.7)
NC monomer					
$\cdot\text{NO}_2$ loss	23.25	18.69	36.26	23.25	18.69
$\text{HNO}_2$ loss	-36.05	-39.42	-22.86	40.70	37.33

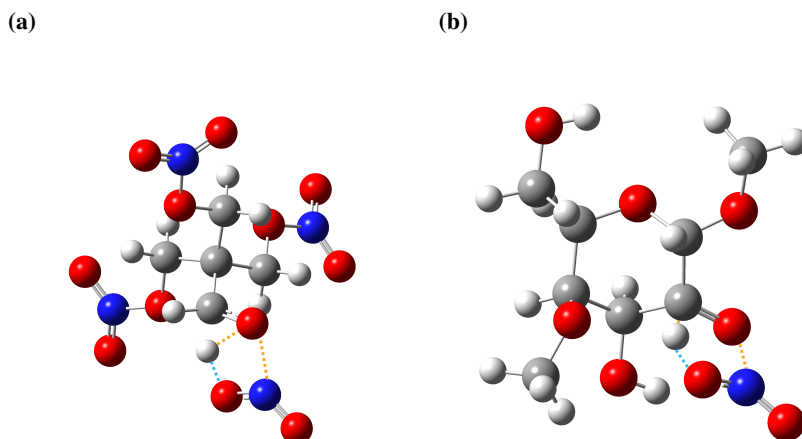
<sup>a</sup> values from the work of Tsyshevsky *et al.* [4].

<sup>b</sup> values for the activation energy and total energy of reaction are the same for bond dissociation via homolytic fission.

molecule. As the scan progressed, the  $\text{NO}_2$  internal angle increased from  $129.2^\circ$  to  $134.0^\circ$  at a maximum separation of  $3.4 \text{ \AA}$  from the bridging oxygen (Ox). This corresponds to the literature value for the O–N–O internal angle  $134.3^\circ$ ) and confirms the formation of a  $\cdot\text{NO}_2$  radical. The values obtained for  $\text{HNO}_2$  elimination of PETN match the results given by Tsyshevsky much more closely. The energies fall within  $5 \text{ kCal mol}^{-1}$  and  $6 \text{ kCal mol}^{-1}$  for the Gibbs free energy of reaction and activation barrier, respectively. This is within a reasonable margin of error for comparing with experimentally obtained values, though larger than expected for those derived using the same method, basis and structure. In the case of the NC monomer, both rigid and relaxed scans failed to capture the transition state (TS) for cleavage of the nitrate group via interaction with the  $\alpha$ hydrogen. A guess transition state was constructed based on the TS of the analogous reaction for PETN, and optimised to produce the structure of the correct imaginary vibration.



**Figure 1.2:** The O– $\text{NO}_2$  bond was elongated during rigid and relaxed PES scan to simulate homolytic fission for the NC monomer.

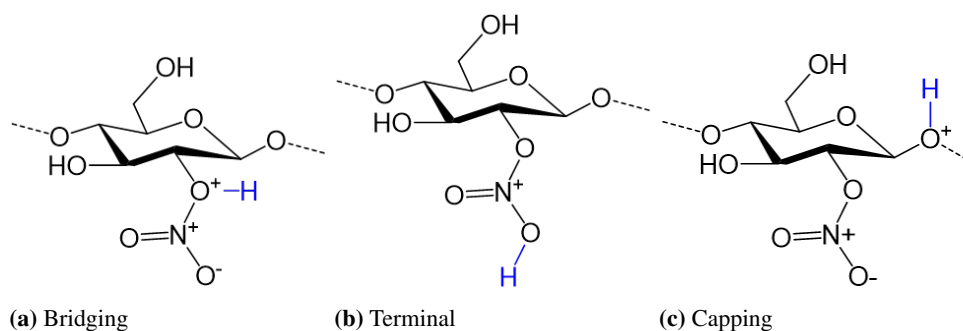


**Figure 1.3:** TS for the elimination of  $\text{HNO}_2$  by removal of the  $\alpha$ hydrogen by the  $\text{NO}_2$  leaving group in 1.3a PETN and 1.3b NC. Orange dashed lines indicate bonds breaking and blue dashed lines indicate bonds forming.

### 1.3.2 Acid hydrolysis mechanism

#### 1.3.2.1 Protonation site

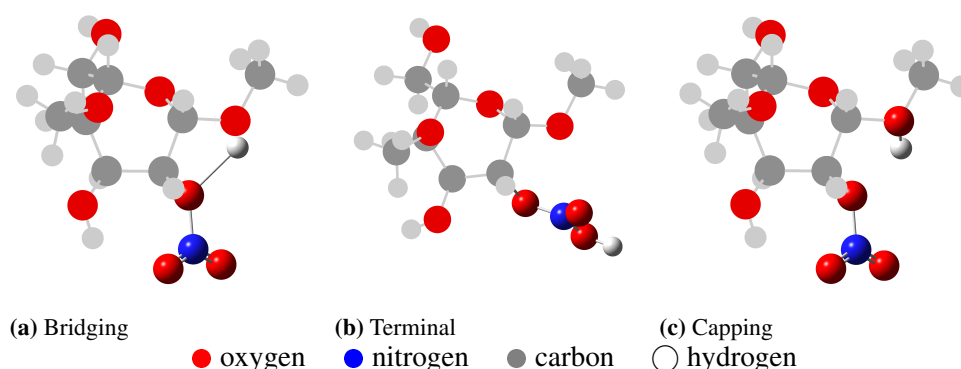
The protonated NC monomer species are shown in figure 1.4. The bridging oxygen ( $\text{O}_x$ ) linking the nitrate to the remainder of the molecule, the capping group oxygen, and the interchangeable terminal nitrate oxygen sites were protonated in order to compare their relative energies for determination the site most likely to stabilise the proton at thermal equilibrium. Protonation also occurs on other sites in the molecule, such as at unsubstituted hydroxyl oxygen sites, the capping group oxygen on C4 and O1 in the glucose ring. Though it is a possibility that protonation at further sites in the monomer would contribute to degradation, these processes would occur *via* alternative mechanisms without the involvement of denitration. For the purposes of studying acid hydrolysis, only the sites peripheral to the nitrate leaving group were explored. The mechanism of protonation was not explored in



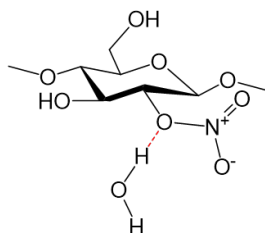
**Figure 1.4:** Protonation sites on the NC monomer for hydrolysis of the nitrate at the C2 position.

**Table 1.3:** Free energies of protonation at each of the oxygen sites of interest on NC repeat unit with two  $-\text{OCH}_3$  capping groups ( $\text{CH}_3\text{CH}_3$ ) C2 monomer of NC.

Protonation site	$\Delta G_r / \text{kcal mol}^{-1}$			
	$\omega\text{B97X-D}$	PCM	B3LYP	PCM
Bridging	-26.04	4.03	-28.67	11.29
Terminal (Upper)	-29.85	24.29	-31.19	15.33
Terminal (Lower)	-20.54	10.44	-22.41	11.67
Capping	-29.85	3.62	-31.19	-1.16

**Figure 1.5:** Optimised protonated NC monomer structures, showing interaction between the proton on the bridging site with the capping group oxygen.

depth here; it was assumed that protons in the system would be in fast exchange between the molecule and the solvent. The process has been studied computationally by Jebber and Liu *et al.* [19, 20]. It can be seen that the bridging and capping values are very similar using both  $\omega\text{B97X-D}$  and B3LYP. Inspection of the geometries reveal that the optimised bridging and capping structures are extremely similar (figures 1.4a and 1.4c). The difference in energies between the gaseous and implicit solvent values can be explained as  $\text{H}_3\text{O}^+$  is highly unstable in vacuum and prefers to lose the proton to exist as water, whereas when solvated, the positive charged is stabilised. Thus the energy gain from losing the proton is less pronounced when in solvent. Water as the protonating species was attempted, by optimisation of one, two and three water molecules in coordination with the nitrate site in the NC monomer, however no stable complex could be isolated. It is anticipated that a much larger network of waters around both the region surrounding the nitrate and the wider molecule would be required to achieve a stable water coordination in order for further investigation into the nature of neutral hydrolysis (figure ??). Evaluation of the energy of protonation at each site found that the bridging and capping sites most likely. However, all

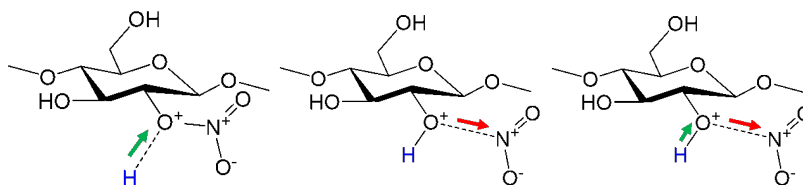


**Figure 1.6:** The attempted geometry of a single water molecule in coordination with the NC monomer.

possible structures will be explored for the subsequent denitration stage.

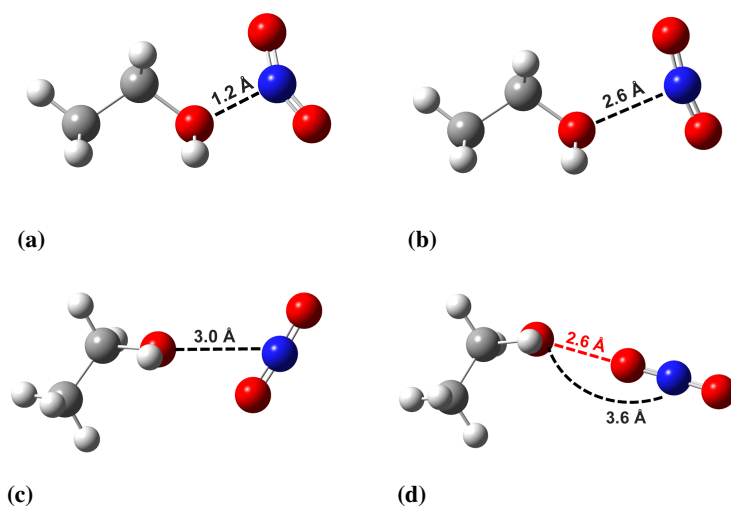
### 1.3.3 Denitration by hydrolysis

Following the protonation step, possible transition states for the removal of the nitrate were investigated. Direct dissociation of  $\text{NO}_2$  from the protonated species was explored, along with the simultaneous approach of a proton and cleavage of the  $\text{NO}_2$  (figure 1.7). The scan of the proton moving towards the bridging site was also completed to gain insight to the energy profile of the process. The relaxed PES scan of  $\text{NO}_2$  removal from ethyl

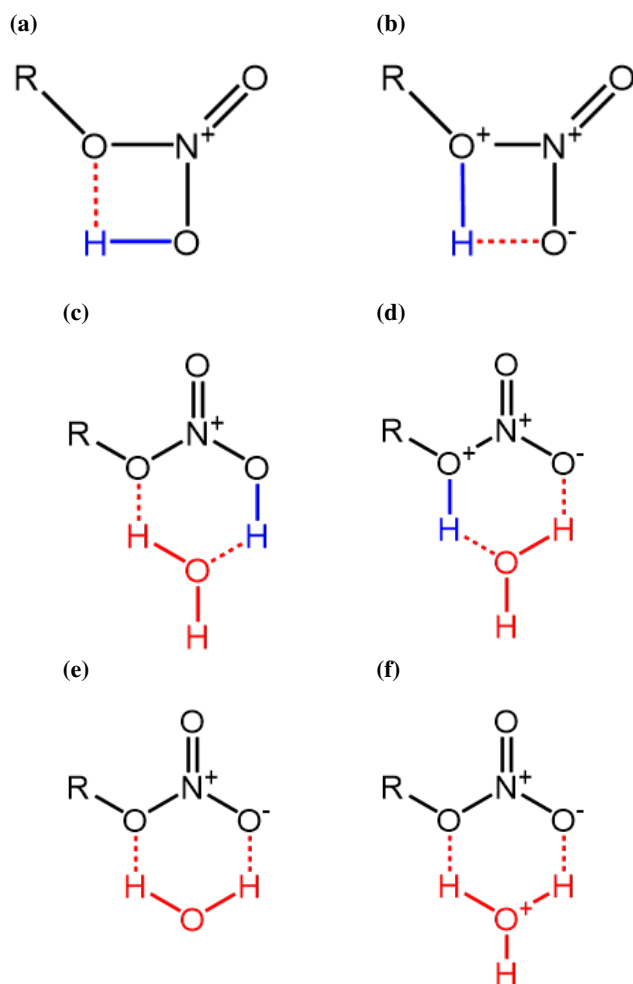


**Figure 1.7:** The scanned coordinates of a) proton approach, b) dissociation of  $\text{NO}_2$  and c) concerted protonation and  $\text{NO}_2$  dissociation.

nitrate protonated at the bridging site was used as a preliminary test for the mechanism of denitration following protonation (figure 1.8). Unrestricted  $\omega\text{B97X-D}$  was used, with 20 steps of  $0.1 \text{ \AA}$ , however bond dissociation was not illustrated in the energy profile even when extending the scan distance to a maximum of  $6.4 \text{ \AA}$ . Instead, a steady increase in the energy was observed. It can be seen that as the nitrate departs, the whole molecule rotates and the  $\text{NO}_2$  leaving group aligns with the hydroxyl in an orientation suitable for formation of a peroxy group. The internal angle of the leaving group increases to  $180^\circ$ , confirming that  $\text{NO}_2$  leaves as  $\text{NO}_2^+$ . This was the expected outcome for hydrolysis, as it is anticipated that the  $\text{NO}_2^+$  will further react to produce acids conducive to further hydrolysis. Proposed 4-membered ring and 6-membered ring TS were investigated in order to determine whether they energetically and geometrically reasonable structures. Optimisations were attempted



**Figure 1.8:** Geometries from steps 1, 7, 11 and 26 of the PES scan of ethyl nitrate (EN)



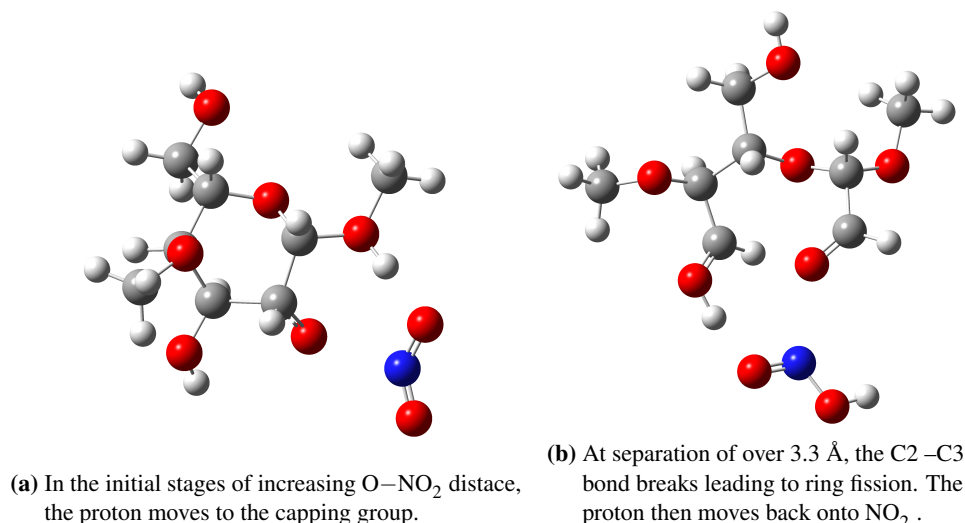
**Figure 1.9:** Proposed 4-member and 6-member ring transition states for the denitration of a nitrate ester, under various hydrolytic conditions. R = CH<sub>3</sub> in the case of methyl nitrate, R = CH<sub>2</sub>CH<sub>3</sub> in the case of ethyl nitrate and R = (H<sub>3</sub>CO)<sub>2</sub>C<sub>6</sub>H<sub>9</sub>O<sub>3</sub> for the monomer.



with both full geometry relaxation, and various frozen coordinate schemes for each proposed TS. The R groups were simplified to methyl nitrate ( $R = \text{CH}_3$ ) in effort to limit degrees of freedom during optimisation of the TS structures, however no fully relaxed structures were able to achieve convergence. Fixing of the bulk molecule with relaxation only around the nitrate and coordinating species, or relaxation of the wider molecule with fixed coordinates around the nitrate, allowed sequential optimisation of different moieties, increasing chances of global energy minimisation. It was possible to optimise the 4-membered ring bridging TS on the NC monomer with frozen TS ring geometry *via* preliminary optimisation of the ring structure with methyl nitrate. The optimised ring geometry was then placed on the monomer, with fixing of these coordinates, allowing the remainder of the molecule to relax. A rigid scan was then performed of the 4-membered ring transition starting from the bridging site protonated monomer. It was revealed that as the nitrate moved away from the system, the proton moved to the capping group site rather than remain on the bridging oxygen as a hydroxyl, as was expected. Instead, a ketone group was formed between the bridging oxygen and the ring. At subsequent steps, the ketone group causes the C2 - C3 bond to elongate and break. The scan eventually revealed the  $\text{NO}_2$  leaving group reclaiming the proton from the capping group oxygen, leading to ring fission. The activation and kinetic barrier involved in ring fission is much higher than that of denitration, so the product of the scan is likely due to the geometric constraints placed on the geometry of the departing  $\text{NO}_2$  group, rather than a physically energetic process. However, it sheds light on the scheme by which ring fission occurs, which has been implied in previous work involving the prior formation of a ketone. Attempts to isolate the other TS structures were unsuccessful, even when simplifying the side chain to methyl nitrate and applying implicit solvent in the case it stabilised the charges on the strained structures.

## 1.4 Summary

Thermolytic cleavage of the nitrate was modelled *via* homolysis and elimination of  $\text{HNO}_2$ . In the case of PETN it was found that the reaction energies were lower than expected when comparing with literature values. This may be due to differing geometries of the modelled reaction products, or due to the separate evaluation of the pentaerythritol trinitrate (PETRIN) radical and  $\text{NO}_2$  energies, where they should have remained in complex following the reaction. The same process was repeated for the NC monomer, singly nitrated at the C2 site. The energy of homolytic fission was in good agreement with the expected



**Figure 1.10:** Relaxed scan of NO<sub>2</sub> departure, starting with the 4-membered ring structure.

value based on the outcome of the PETN product. PES scans of homolysis confirmed the loss of  $\cdot\text{NO}_2$  for both the case of PETN and the NC monomer.

The elimination of HNO<sub>2</sub> *via* intramolecular  $\alpha$ -H attack was also explored. Compared to the homolysis reaction, the energy of reaction and activation energy values gave better agreement to literature in the case of PETN. Calculated NC values were also within anticipated values, based on the reaction for PETN. PES scans were unable to location a TS for the NC monomer, however, a successful guess geometry was generated based on the analogous structure in the reaction for the PETN. Enthalpies of reaction energies show that this processes was more exothermic in the case of NC, then for PETN.

The protonation sites on the NC monomer were probed for the most favourable position. It was found that the bridging site was energetically preferred, though inspection of the optimised geometry showed that it was very close to that of protonation at the capping site. As protonation and subsequent reaction would more likely lead to chain scission in the case of capping protonation, this avenue was disregarded in further studies focussing on the acid hydrolysis pathway. Optimisation of [water - monomer] and [hydronium - monomer] complexes were attempted, in order to obtain information on the nature and orientation of the protonation complex. However, it was not possible to isolate any stable structures, implying that a larger stabilising network of waters is likely required.

Removal of NO<sub>2</sub> from the protonated analogues of ethyl nitrate and the NC monomer was scanned using a variety of rigid and relaxed PES schemes. In the removal of NO<sub>2</sub> from ethyl nitrate the released of NO<sub>2</sub><sup>+</sup> was indicated by the change of geometry around the

nitrate from bent to linear, as the O–NO<sub>2</sub> bond elongated. Rotation of the remaining ethanol and complexed NO<sub>2</sub><sup>+</sup> showed orientation suitable for formation of a peroxide. This rotation was not observed in the case of the monomer, however the leaving group still presented as NO<sub>2</sub><sup>+</sup>. 4 and 6 membered TS were also tested for the denitration reaction. Unexpectedly, it was found that none of the 6-membered ring structures could be isolated, regardless of prior protonation of concerted protonation-denitration. In the case of the bridging-protonated NC with formation of the 4-membered ring TS at the C2 nitrate, it was possible to relax the NC monomer structure around the ring so long as the ring geometry itself was frozen. As the leaving group moved further from the remainder of the molecule, the hydroxyl group located at C2 formed a ketone, losing the proton to the departing NO<sub>2</sub><sup>+</sup>, to form HNO<sub>2</sub> in later stages of the scan. Eventually ring fission occurred, as the HNO<sub>2</sub> move sufficient distance away, and the formation of the ketone forced the adjacent C–C bond in the ring to stretch, and then break.



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