Project 1: Classification Analysis on Textual Data

In this project, we work with the "20 Newsgroups" dataset and manage to classify documents into two categories: "Computer Technology" and "Recreational Activity". By extracting significant features(TF_IDF) from documents, applying dimension reduction techniques(LSI and NMF) to high-dimensional feature vectors, and comparing the performance of various classifiers(SVM, Multinomial Naive Bayes and Logistic Regression) based on certain evaluation metrics(ROC Curve, Confusion Matrix, Recall and Precision Rates), we go over the entire procedure of classification on textual data, and furthermore, get a clear understanding of how hyper-parameters might affect the prediction results.

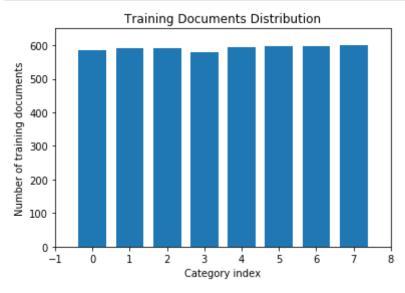
```
In [1]: import numpy as np
import matplotlib.pyplot as plt
%matplotlib inline
```

(a)

- Load the training and testing sets of 8 sub-classes:
 - Computer Technology: 'comp.graphics', 'comp.os.ms-windows.misc', 'comp.sys.ibm.pc.hardware', 'comp.sys.mac.hardware'
 - Recreational Activity: 'rec.autos', 'rec.motorcycles', 'rec.sport.baseball', 'rec.sport.hockey'
- Extract the actual data and labels
- Plot a histogram regarding the distribution of training documents among all sub-classes:

From the histogram, we observe that the datasets are already balanced. Each category contains about 600 training documents.

```
In [3]: # Plot of document distribution
    category_idx = X_train.target
    plt.hist(category_idx, np.arange(-0.5, 8.5), rwidth=0.75)
    plt.xlabel('Category index')
    plt.ylabel('Number of training documents')
    plt.title('Training Documents Distribution')
    plt.axis([-1, 8, 0, 650])
    plt.show()
```



(b)

• Define stemming function:

We apply the SnowBallStemmer() to get rid of terms with the same stems. And by including "stop_words='english'" in defining CountVectorizer(), we also exclude very common terms such as "for", "in" and so on.

Convert the original data into the term-document matrix:

CountVectorizer() can do this in a very clean and efficient way.

Compute the TF_IDF feature matrix:

TfidfTransformer() can do this in a very clean and efficient way.

Compare different choices of "min_df":

"min_df" represents the minimal qualification frequency of terms. If a term appears fewer times than "min_df" indicates, it will not be further considered. An initial comparison shows that when "min_df" is 2, there are 23059 terms left, and when "min_df" is 5, there are 9815 terms left. This result agrees with our expectation that as "min_df" gets larger, fewer terms remain to be considered.

```
In [4]: import nltk
    from sklearn.feature_extraction import text
    from nltk.corpus import stopwords
    from string import punctuation

# Create our own stopwords set
    stop_words_skt = text.ENGLISH_STOP_WORDS
    stop_words_en = stopwords.words('english')
    combined_stopwords = set.union(set(stop_words_en), set(punctuation), set(stop_words_en))
```

```
In [5]: from sklearn.feature_extraction.text import CountVectorizer

# Define stemming function
analyzer = CountVectorizer().build_analyzer()
stemmer = nltk.stem.SnowballStemmer('english')
def stemmed_words(doc):
    return (stemmer.stem(word) for word in analyzer(doc) if word not in comb
```

(4732, 23059) (4732, 9815)

(c)

- Load the training and testing sets of all sub-classes
- Construct the term-class matrix from the term-document matrix:

For each class, we add feature vectors of documents corresponding to that class to form a new feature vector. And then, we stack all new feature vectors horizontally.

Compute the TF_ICF feature matrix:

This algorithm is exactly the same as the one we used to compute the TF_IDF feature matrix.

- Find the 10 most significant terms in concerned sub-classes:
 - Concerned sub-classes: 'comp.sys.ibm.pc.hardware',
 'comp.sys.mac.hardware', 'misc.forsale', 'soc.religion.christian'
 - Here, we consider "significance" as an equivalence of frequency, i.e. a term is more significant if it shows up more often.
 - By running the code block below, four groups of terms will be returned. The result seems correct because terms like "edu", "scsi" and "univers(ity)" are more related to computer technology while "church", "christian" and "god" are more related to recreational(religious) activity.

```
In [7]: categories_sub = ['comp.sys.ibm.pc.hardware', 'comp.sys.mac.hardware', 'misc
        idxs sub = [3, 4, 6, 15]
        X_ALL_train = fetch_20newsgroups(subset='train', shuffle=True, random_state=
        X ALL train counts = count vect.fit transform(X ALL train.data).toarray()
        # Convert term-document into term-class matrix by adding the feature vectors
        counts_by_category = []
        num_docs = X_ALL_train_counts.shape[0]
        num terms = X ALL train counts.shape[1]
        for category idx in np.arange(20):
            if category idx == 0:
                counts by category = np.sum(X ALL train counts[X ALL train.target==(
            else:
                counts by category = np.vstack([counts by category, np.sum(X ALL tra
                    reshape(1, num_terms)])
        # Compute the TF ICF feature matrix and present the top 10 words (in terms
        X train tficf = tfidf transformer.fit transform(counts by category).toarray
        for idx in idxs sub:
            top 10 = np.argsort(X train tficf[idx, :])[-10:]
            top 10 words = [count vect.get feature names()[i] for i in top 10]
            print top 10 words
        [u'card', u'organ', u'subject', u'com', u'line', u'use', u'ide', u'edu',
        u'drive', u'scsi'l
        [u'scsi', u'simm', u'appl', u'use', u'quadra', u'organ', u'subject', u'li
        ne', u'mac', u'edu']
```

[u'use', u'univers', u'com', u'new', u'post', u'organ', u'subject', u'sal

[u'christ', u'say', u'line', u'peopl', u'subject', u'church', u'jesus',

(d)

e', u'line', u'edu']

u'edu', u'christian', u'god']

Before feeding data into classifiers, we need to reduce their dimensions so that we only keep the most valuable information of data. Here, we introduce two different dimension reduction techniques: LSI and NMF. We will compare them in following parts.

We also introduce pipelines to simplify coding. By assembling key components including: CountVectorizer, TfidfTransformer, TruncatedSVD/NMF and classifiers, we no longer need to re-write everything for just a trivial alteration in the problem setting.

```
In [9]: from sklearn.pipeline import Pipeline
        from sklearn.decomposition import TruncatedSVD, NMF
        from sklearn.svm import LinearSVC
        # Define two pipelines using different dimension reduction techniques
        pipe LSI = Pipeline([
            ('vect', CountVectorizer(min df=5, analyzer=stemmed words)),
            ('tfidf', TfidfTransformer()),
            ('reduce_dim', TruncatedSVD(n_components=50, random_state=42)),
            ('clf', LinearSVC(C=10**3)),
        ])
        pipe_NMF = Pipeline([
            ('vect', CountVectorizer(min df=5, analyzer=stemmed words)),
            ('tfidf', TfidfTransformer()),
            ('reduce_dim', NMF(n_components=50, random_state=42)),
            ('clf', LinearSVC(C=10**3)),
        ])
```

Helper functions:

- plot roc(): Plot ROC curve
- fit_predict_and_plot_roc_and_evaluate(): Evaluate classifiers

```
In [10]: from sklearn.metrics import roc_curve, auc, confusion_matrix, accuracy_score
         # Plot the ROC curve based on TPR(True Positive Rate) and FPR(False Positive
         def plot_roc(fpr, tpr):
             fig, ax = plt.subplots()
             roc_auc = auc(fpr,tpr)
             ax.plot(fpr, tpr, lw=2, label= 'area under curve = %0.4f' % roc auc)
             ax.grid(color='0.7', linestyle='--', linewidth=1)
             ax.set_xlim([-0.1, 1.1])
             ax.set ylim([0.0, 1.05])
             ax.set_xlabel('False Positive Rate', fontsize=15)
             ax.set_ylabel('True Positive Rate',fontsize=15)
             ax.legend(loc="lower right")
             for label in ax.get xticklabels()+ax.get yticklabels():
                  label.set_fontsize(15)
         # Evaluate a classifier based on metrics including: ROC curve, confusion mat
         def fit predict and plot roc and evaluate(pipe, classifier, isBinary, train
             pipe.fit(train data, train label)
             pred_result = pipe.predict(test_data)
             # SVM does not support predict proba() method
             if (classifier == "SVM"):
                 prob score = pipe.decision function(test data)
                 prob score = pipe.predict proba(test data)[:, 1]
             if (classifier == "LogisticRegression"):
                 print pipe.named steps['clf'].coef
             # Only plot ROC curves for binary classification problems
             if (isBinary == True):
                 fpr, tpr, _ = roc_curve(test_label, prob_score)
                 plot roc(fpr, tpr)
             # Confusion matrix
             confusion = confusion matrix(test label, pred result)
             # Accuracy
             acc = accuracy score(test label, pred result)
             # Recall and precision rates
             if (isBinary == True):
                 rec = recall score(test label, pred result)
                 pre = precision score(test label, pred result)
             else:
                 rec = recall score(test label, pred result, average='weighted')
                 pre = precision score(test label, pred result, average='weighted')
             # Print out results
             print confusion, acc, rec, pre
             return pipe
```

(e)

Apply Linear SVC to classify.

Compare hard margin linear SVCs with different "min_df":

Hard margin SVMs refer to those assign more weights on mis-classification penalty, and less on regularization term. We explore the effect of "min_df" on hard margin SVMs under the settings "Hard Margin Linear SVC, min_df=5, LSI" and "Hard Margin Linear SVC, min_df=2, LSI". From the results below, we notice that "min_df" = 2 is better since it achieves a slightly higher accuracy. But overall, "min_df" does not have any significant effect on predictions by hard margin SVMs.

- "min_df" = 5: acc 0.971, rec 0.989, pre 0.956, conf_mx = [1487 73; 18 1582]
- "min_df" = 2: acc 0.974, rec 0.980, pre 0.970, conf_mx = [1511 49; 32 1568]
- Compare soft margin linear SVCs with different "min_df":

Soft margin SVMs refer to those assign more weights on regularization terms, and less on mis-classification penalty. We explore the effect of "min_df" on soft margin SVMs under the settings "Soft Margin Linear SVC, min_df=5, LSI" and "Soft Margin Linear SVC, min_df=2, LSI". From the results below, we notice that "min_df" = 5 is better since it achieves a slightly higher accuracy. But overall, "min_df" does not have any significant effect on predictions by soft margin SVMs too.

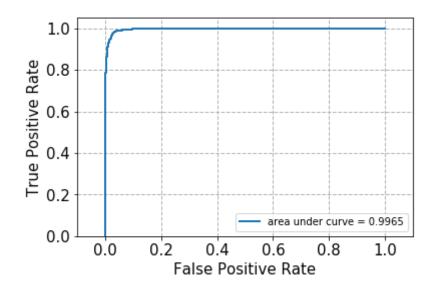
- "min_df" = 5: acc 0.942, rec 0.995, pre 0.900, conf_mx = [1384 176; 8 1582]
- "min_df" = 2: acc 0.937, rec 0.995, pre 0.892, conf_mx = [1369 191; 8 1582]
- Compare hard margin linear SVCs vs. soft margin linear SVCs:

We explore the effect of tradeoff parameter on SVMs under the settings "Hard Margin Linear SVC, min_df=5, LSI" and "Soft Margin Linear SVC, min_df=5, LSI". From the results below, we notice that hard margin SVMs achieve better accuracy and precision rate than soft margin SVMs, while their recall rates are similar. This means that in this case, giving too much weight to the regularization term may degrade the performance of SVMs.

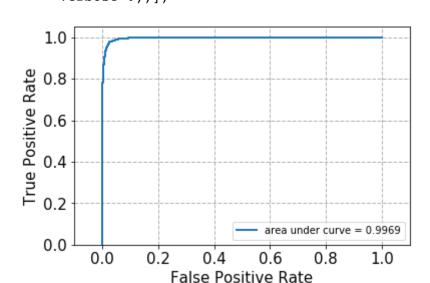
- Hard margin: acc 0.971, rec 0.989, pre 0.956, conf_mx = [1487 73; 18 1582]
- Soft margin: acc 0.942, rec 0.995, pre 0.900, conf_mx = [1384 176; 8 1582]
- Compare LSI and NMF:

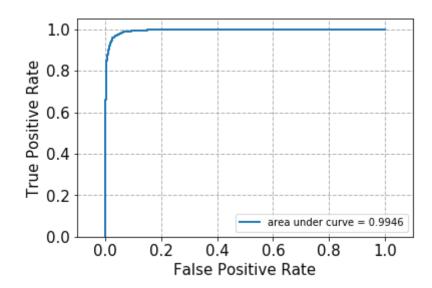
We explore the effect of different dimension reduction techniques under the settings "Hard Margin Linear SVC, min_df=5, LSI" and "Hard Margin Linear SVC, min_df=5, NMF". From the results below, we notice that applying LSI achieves far better accuracy and precision rate than applying NMF, while their recall rates are similar. While using NMF, although most positive examples are well classified, a lot of negative examples are predicted as positive too. This is not desired.

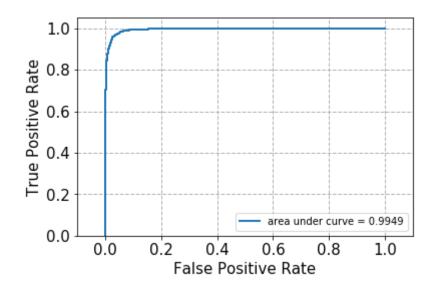
- LSI: acc 0.971, rec 0.989, pre 0.956, conf_mx = [1487 73; 18 1582]
- NMF: acc 0.907, rec 0.997, pre 0.847, conf_mx = [1273 287; 5 1585]



binary=False, decode_error=u'strict', dtype=<type 'numpy.int64'>,
 encoding=u'utf-8', input=u'content', lowercase=True, max_df=1.0,
 max_features=None, min_df=2, ngram_range=(1, 1), preprocessor=
N...ax_iter=1000,
 multi_class='ovr', penalty='l2', random_state=None, tol=0.0001,
 verbose=0))))





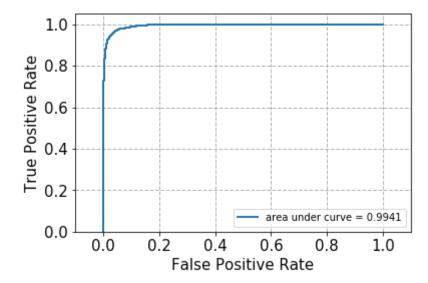


verbose=0))])

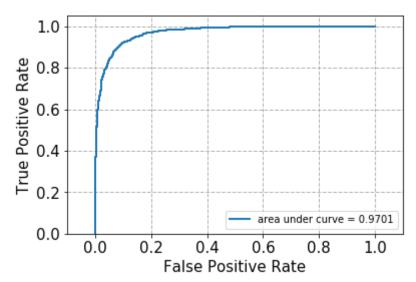
Out[15]: Pipeline(memory=None,

steps=[('vect', CountVectorizer(analyzer=<function stemmed_words at
0x10e74eb18>,

multi_class='ovr', penalty='12', random_state=None, tol=0.0001,
verbose=0))])



```
# Soft Margin Linear SVC, NMF
In [16]:
         pipe_NMF.set_params(clf=LinearSVC(C=10**-3))
         fit predict and plot roc and evaluate(pipe NMF, "SVM", True, data train, lak
             27 1533]
         ] ]
              0 1590|| 0.513333333333333 1.0 0.5091258405379443
Out[16]: Pipeline(memory=None,
              steps=[('vect', CountVectorizer(analyzer=<function stemmed words at
         0x10e74eb18>,
                 binary=False, decode error=u'strict', dtype=<type 'numpy.int64'>,
                 encoding=u'utf-8', input=u'content', lowercase=True, max_df=1.0,
                 max features=None, min df=5, ngram range=(1, 1), preprocessor=
         N...ax iter=1000,
              multi_class='ovr', penalty='12', random_state=None, tol=0.0001,
              verbose=0))])
```



(f)

• Cross-validate(5-fold) to find the optimal regularization coefficient:

We select the optimal regularization coefficient from the set {10^k|-3<=k<=3} by evaluating cross_val_score() based on various regularization coefficients. According to our experiments, the optimal regularization coefficients for SVM using LSI and SVM using NMF are both 100. When we compare the results here with previous results, we find out that C=100 does give better results than either C=1000 or C=0.001. Especially for NMF, the boosting effect of choosing C=100 is tremendous.

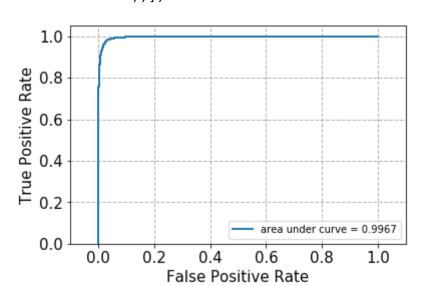
Compare LSI and NMF:

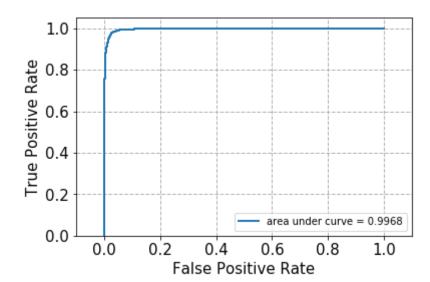
We explore the effect of different dimension reduction techniques under the settings "Fine-tuned Linear SVC, min_df=5, LSI" and "Fine-tuned Linear SVC, min_df=5, NMF". From the results below, we notice that applying LSI achieves better accuracy, recall and precision rates than applying NMF. But its performance advantage is not that obvious like the cases C=1000 or C=0.001, implying that a proper regularization coefficient can have huge effect on NMF.

- LSI: acc 0.976, rec 0.983, pre 0.969, conf_mx = [1510 50; 27 1563]
- NMF: acc 0.959, rec 0.967, pre 0.953, conf_mx = [1485 75; 53 1537]

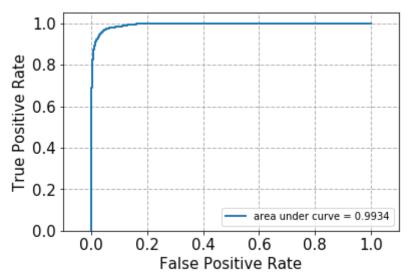
```
from sklearn.model selection import cross val score
In [17]:
         num folds = 5
         exponents = np.linspace(-3, 3, num=7)
         LSI_score = []
         NMF_score = []
         \# 5-fold cross validation to find the best exponent, which achieves the high
         LSI dim reductor = TruncatedSVD(n_components=50, random_state=42)
         NMF dim reductor = NMF(n components=50, random state=42)
         LSI_feature = LSI_dim_reductor.fit_transform(X_train_tfidf)
         NMF feature = NMF dim reductor.fit transform(X train tfidf)
         for ex in exponents:
             this svm = LinearSVC(C=10**ex)
             LSI score = np.append(LSI score, np.mean(cross val score(this svm, LSI f
             NMF score = np.append(NMF score, np.mean(cross val score(this svm, NMF f
         LSI best = 10 ** exponents[np.argmax(LSI score)]
         NMF best = 10 ** exponents[np.argmax(NMF score)]
         print LSI best, NMF best
```

10.0 100.0





```
# Fine-tuned Linear SVC, min df=5, NMF
In [20]:
         pipe NMF.set params(clf=LinearSVC(C=NMF best))
         fit predict and plot roc and evaluate(pipe NMF, "SVM", True, data train, lak
         [[1488
                  72]
             54 1536]] 0.96 0.9660377358490566 0.9552238805970149
Out[20]: Pipeline(memory=None,
              steps=[('vect', CountVectorizer(analyzer=<function stemmed_words at</pre>
         0x10e74eb18>,
                 binary=False, decode error=u'strict', dtype=<type 'numpy.int64'>,
                 encoding=u'utf-8', input=u'content', lowercase=True, max_df=1.0,
                 max features=None, min df=5, ngram range=(1, 1), preprocessor=
         N...ax iter=1000,
              multi_class='ovr', penalty='12', random_state=None, tol=0.0001,
              verbose=0))])
```



(g)

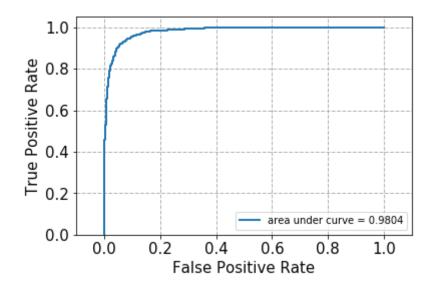
Apply Multinomial Naive Bayes to classify.

Compare between Multinomial Naive Bayes and SVMs:

Since Multinomial Naive Bayes from sklearn only support non-negative input matrices, we can only use NMF in this case. We explore the effect of different types of classifier under the settings "Multinomial Naive Bayes, min_df=5, NMF" and "Fine-tuned Linear SVC, min_df=5, NMF". From the results below, we notice that SVMs achieve better accuracy and precision rate than Multinomial Naive Bayes classifiers, while their recall rates are similar. Perhaps this implies the built-in SVMs are more appropriate than Multinomial Naive Bayes classifiers for binary classification problems.

- Multinomial Naive Bayes: acc 0.919, rec 0.970, pre 0.882, conf_mx = [1353 207; 47 1543]
- SVM: acc 0.959, rec 0.967, pre 0.953, conf_mx = [1485 75; 53 1537]

```
from sklearn.naive bayes import MultinomialNB
In [21]:
         # Multinomial Naive Bayes, min df=5, NMF
         pipe_NMF.set_params(vect=CountVectorizer(min_df=5, analyzer=stemmed_words),
         fit predict and plot roc and evaluate(pipe NMF, "NaiveBayes", True, data tra
         [[1353
                207]
          [ 47 1543]] 0.9193650793650794 0.970440251572327 0.8817142857142857
Out[21]: Pipeline(memory=None,
              steps=[('vect', CountVectorizer(analyzer=<function stemmed words at
         0x10e74eb18>,
                 binary=False, decode_error=u'strict', dtype=<type 'numpy.int64'>,
                 encoding=u'utf-8', input=u'content', lowercase=True, max_df=1.0,
                 max_features=None, min_df=5, ngram_range=(1, 1), preprocessor=
         N...cd', tol=0.0001,
           verbose=0)), ('clf', MultinomialNB(alpha=1.0, class prior=None, fit pri
```



(h)

or=True))])

Apply Logistic Regression to classify.

• Compare among Logistic Regression, SVMs and Multinomial Naive Bayes:

In this part, our Logistic Regression classifier does not include the regularization term. We achieve this by assigning "C" na extremely large value. We explore the effect of different types of classifier under the settings "Logistic Regression, min_df=5, NMF", "Fine-tuned Linear SVC, min_df=5, NMF" and "Multinomial Naive Bayes, min_df=5, NMF". From the results below, we notice that SVMs achieve the highest accuracy. But overall, they perform similarly to Logistic Regression classifiers. Both of them are far better than Multinomial Naive Bayes classifiers.

- Logistic Regression: acc 0.962, rec 0.966, pre 0.959, conf_mx = [1494 66: 54 1536]
- SVM: acc 0.959, rec 0.967, pre 0.953, conf_mx = [1485 75; 53 1537]
- Multinomial Naive Bayes: acc 0.919, rec 0.970, pre 0.882, conf_mx = [1353 207; 47 1543]
- Compare Logistic Regression with different "min_df":

We explore the effect of "min_df" on Logistic Regression classifiers under the settings "Logistic Regression, min_df=5, LSI" and "Logistic Regression, min_df=2, LSI". From the results below, we notice that varying "min_df" does not significantly influence the predictability of Logistic Regression classifiers, meaning that in this case, the effect of "min_df" is very trivial.

- "min_df" = 5: acc 0.974, rec 0.982, pre 0.967, conf_mx = [1506 54; 28 1562]
- "min_df" = 2: acc 0.974, rec 0.981, pre 0.968, conf_mx = [1509 51; 31 1559]
- Compare LSI and NMF:

We explore the effect of different dimension reduction techniques under the settings "Logistic Regression, min_df=5, LSI" and "Logistic Regression, min_df=5, NMF". From the results below, we notice that applying LSI achieves better accuracy, recall and precision rates than applying NMF. This almost agrees with our previous conclusion about experiments on SVMs. Therefore, we can guess that LSI retains more valuable information about original data than NMF does.

- LSI: acc 0.974, rec 0.982, pre 0.967, conf_mx = [1506 54; 28 1562]
- NMF: acc 0.962, rec 0.966, pre 0.959, conf_mx = [1494 66; 54 1536]

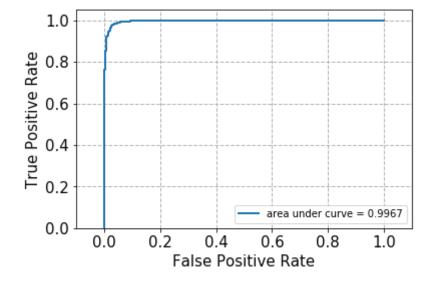
In [22]: from sklearn.linear_model import LogisticRegression # Logistic Regression, min_df=5, LSI, without regularization pipe_LSI.set_params(vect=CountVectorizer(min_df=5, analyzer=stemmed_words), fit_predict_and_plot_roc_and_evaluate(pipe_LSI, "LogisticRegression", True,

```
[[-7.32265460e+00
                 1.18143917e+02
                                  5.92180878e+01 -5.06991966e+01
  -3.14085101e+00 -3.44648534e+01 -1.44982957e+01 7.54921452e+00
  4.57523314e+01
                  2.75412968e+00
                                  3.17656714e+00 -3.43343300e-01
                                  1.43797554e+01 -4.30518570e+00
  5.23770593e+00 8.43864777e+00
  -2.40067660e+01
                  1.18191764e+01
                                  7.56255275e+00 3.75077300e+00
                  3.95449900e-02 -9.77894948e+00 -8.84544581e+00
  1.35869112e+01
 -7.06011469e+00 1.23963898e+01 4.74484945e+00 -3.45958579e+00
                                  2.70060766e-03 -7.34311154e+00
 -1.10678278e-01 -1.42598822e+01
  1.18261531e+01 -2.60467044e+00 -1.00807107e+01 -5.27225975e+00
                                  2.36602992e+00 -2.90825721e+00
  6.22165796e+00
                  1.51481687e+01
 -1.00178297e+00 -1.47175182e+00
                                  1.81392108e+01 1.48370721e+01
 -1.51941372e+00 -3.53636685e+00 4.80776232e+00 -8.67789664e+00
 -2.59911673e+00 3.87474950e+00]]
[[1506]
        54]
   28 1562]] 0.9739682539682539 0.9823899371069182 0.9665841584158416
```

Out[22]: Pipeline(memory=None,

steps=[('vect', CountVectorizer(analyzer=<function stemmed_words at
0x10e74eb18>,

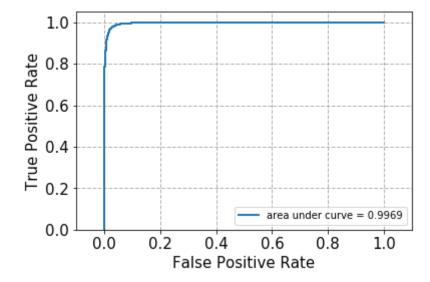
solver='liblinear', tol=0.0001, verbose=0, warm start=False))])



```
pipe_LSI.set_params(vect=CountVectorizer(min_df=2, analyzer=stemmed words))
In [23]:
          fit predict and plot roc and evaluate(pipe LSI, "LogisticRegression", True,
         [[ -3.80439842 131.56208249 -26.55751678
                                                    87.40021097
                                                                  -4.93447814
           -42.40803388
                         -7.93602235
                                       -5.07587138
                                                    33.06996466
                                                                 15.62750689
                           3.61455048 -17.20855056
            27.2295058
                                                    12.88264325
                                                                  12.1714216
            -0.25475001 -31.08892758
                                      -1.91483578
                                                     7.1004863
                                                                  -3.79628479
             2.62537171
                        -3.49057029
                                       -2.61220533
                                                     4.58689222 -11.19036146
            -7.94960306
                         19.79237492
                                       -8.38269552
                                                     7.33101998
                                                                   4.52833429
             2.50448198 -14.4704136
                                        7.09037335 - 12.83932976
                                                                   4.46389947
            -4.16432599
                           0.39241539
                                       -0.37768647
                                                     9.49441713
                                                                  14.88277785
                                       15.29943608
            -0.27488613
                         -0.52715039
                                                    17.14945397
                                                                  -2.64173979
             4.72506984
                           1.60619227
                                       -5.68028078
                                                    14.79622493
                                                                   3.95155809]]
         [[1509
                  51]
             31 1559]] 0.9739682539682539 0.980503144654088 0.9683229813664597
Out[23]: Pipeline(memory=None,
              steps=[('vect', CountVectorizer(analyzer=<function stemmed words at
```

steps=[('vect', CountVectorizer(analyzer=<function stemmed_words at
0x10e74eb18>,

solver='liblinear', tol=0.0001, verbose=0, warm_start=False))])

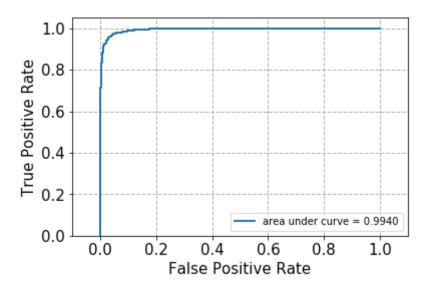


```
# Logistic Regression, min df=5, NMF, without regularization
In [24]:
         pipe NMF.set params(clf=LogisticRegression(C=10**6))
         fit predict and plot roc and evaluate(pipe NMF, "LogisticRegression", True,
          [[-329.52640243
                            82.87289868
                                          395.63148496
                                                        -85.6785146
                                                                        25.12296134
           -111.93708705
                            24.29915474
                                         226.98450293
                                                        -97.42545049 -212.28307622
              24.73780102 -225.13566741
                                           43.71723597
                                                         -8.54999077
                                                                        81.20743285
              -7.74210783 -112.60925531
                                         259.23537975
                                                          2.26791305
                                                                         5.79632227
              40.34324401
                           -88.57848336
                                          30.59224989
                                                         10.41559329 -243.04607605
              38.05724793
                            15.21705661
                                         -19.6120553
                                                        -19.97147389 -168.67013907
               0.97683661
                            47.23274953 -136.44737089
                                                        -27.19067152
                                                                        47.31971152
                            19.11307299 -105.62772
               1.21829697
                                                         54.37322123
                                                                       -31.11935824
            -58.66508155
                           -96.71312908
                                           31.04024392
                                                         47.55752411
                                                                        23.75015634
              68.51664613
                             8.36697625
                                           32.81148387
                                                         -1.44390265
                                                                        11.38782412]]
         [[1494
                   66]
             54 1536|| 0.9619047619047619 0.9660377358490566 0.9588014981273408
Out[24]: Pipeline(memory=None,
```

steps=[('vect', CountVectorizer(analyzer=<function stemmed_words at 0x10e74eb18>,

binary=False, decode_error=u'strict', dtype=<type 'numpy.int64'>, encoding=u'utf-8', input=u'content', lowercase=True, max_df=1.0, max_features=None, min_df=5, ngram_range=(1, 1), preprocessor= N...ty='12', random state=None,

solver='liblinear', tol=0.0001, verbose=0, warm start=False))])



(i)

Compare the effect of I2-norm/I1-norm on testing error:

We explore the effect of I2-norm/I1-norm on testing error under the settings "Logistic Regression, min_df=5, LSI, I2-norm regularization" and Logistic Regression, min_df=5, LSI, I1-norm regularization. From the results below, we notice that I2-norm achieves a slightly better accuracy, recall and precision rates than I1-norm, but their differences are trivial. Hence, different choices of norm do not significantly influence the testing error.

- I2-norm: acc 0.969, rec 0.979, pre 0.961, conf_mx = [1496 64; 33 1557]
- I1-norm: acc 0.968, rec 0.977, pre 0.960, conf_mx = [1495 65; 36 1554]
- Compare the effect of I2-norm/I1-norm on learnt coefficients of fitted hyperplane:

We explore the effect of I2-norm/I1-norm on learnt coefficients of fitted hyperplane under the settings "Logistic Regression, min_df=5, LSI, I2-norm regularization" and Logistic Regression, min_df=5, LSI, I1-norm regularization. By printing out the coefficients, we notice that all of I2-norm's coefficient are nonzero, while most of I1-norm's coefficients are zero. This results from the properties of I2-norm and I1-norm.

If we only want to keep dimensions with the strongest effect on samples(the coefficients on those dimensions have large absolute value), then we should use I1-norm. Otherwise, we should use I2-norm.

- I2-norm: All coefficients are nonzero
- I1-norm: Most coefficients are zero
- Compare LSI and NMF:

We explore the effect of different dimension reduction techniques under the settings "Logistic Regression, min_df=5, LSI, I2-norm regularization" and "Logistic Regression, min_df=5, NMF, I2-norm regularization". From the results below, we notice that applying LSI achieves better accuracy, recall and precision rates than applying NMF. This agrees with our previous conclusion about experiments on Logistic Regression classifiers without regularization.

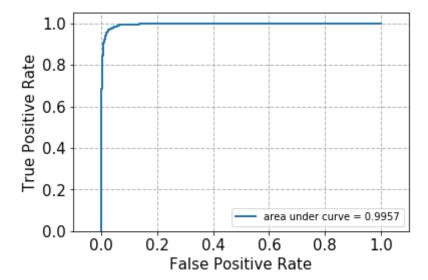
- LSI: acc 0.969, rec 0.979, pre 0.961, conf mx = [1496 64; 33 1557]
- NMF: acc 0.915, rec 0.953, pre 0.887, conf mx = [1366 194; 74 1516]

In [25]: # Logistic Regression, min_df=5, LSI, 12-norm regularization pipe_LSI.set_params(vect=CountVectorizer(min_df=5, analyzer=stemmed_words), fit_predict_and_plot_roc_and_evaluate(pipe_LSI, "LogisticRegression", True,

```
[[-9.82296745e-01
                  2.43939959e+01
                                 1.05291168e+01 -7.61652294e+00
 -1.21268896e+00 -3.38239915e+00 -3.23845368e+00 1.90720830e-01
  7.37423485e+00 -2.00990271e-01
                                 6.63679204e-01 -1.12927473e+00
  3.00527818e-01 9.06235041e-02
                                 1.81889195e+00 -3.79241552e-01
 -2.05040879e+00 2.01309765e+00
                                 1.11530834e+00 8.34377090e-01
  1.50158470e+00 6.38245762e-01 -3.49914784e-01 -1.65457611e+00
  2.04606654e-01 9.66742730e-01 -8.29473792e-01 -5.81893213e-01
 -5.54400403e-01 -2.35322793e+00 -2.12160474e-01 -2.43592503e-01
  9.99234451e-01 -7.95592721e-01 -3.05938304e-01 -3.34975903e-01
                                 3.84124389e-01 -1.11153224e-01
 -9.11549709e-01 8.34794021e-01
 -7.18242945e-01 8.21370020e-01
                                 1.83336752e+00 1.20147707e+00
 -1.00009285e+00 2.06333857e-01 -3.15898321e-01 -8.72181669e-03
 -5.00737185e-01 -2.94287799e-0111
[[1496
        641
  33 1557|| 0.9692063492063492 0.9792452830188679 0.9605181986428131
```

Out[25]: Pipeline(memory=None,

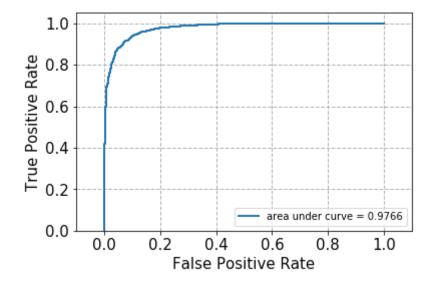
steps=[('vect', CountVectorizer(analyzer=<function stemmed_words at
0x10e74eb18>,



Logistic Regression, min df=5, NMF, 12-norm regularization In [26]: pipe NMF.set params(clf=LogisticRegression(penalty='12')) fit predict and plot roc and evaluate (pipe NMF, "LogisticRegression", True, [[-3.08039906]5.87429512 5.8976537 -4.08722674 -0.87360487 -7.04614407 7.13012277 - 3.32673152 - 4.41294221 1.82956374 - 3.699717911.57420621 1.22678011 - 1.66428642 4.95566686 - 1.38115681 - 2.933150523.97169662 0.66918275 2.00581013 0.97528921 -6.62926946 2.50969676 1.30742419 -4.27549968 3.10382045 1.1701523 -1.86781626 -1.63826291 -3.42621176 2.48110386 -5.95579471 -2.41961317 0.24966271 3.31383399 -0.17605718 1.99330952 -7.61702274 2.91286879 -3.22950896 -6.1816285 -5.03021943 3.13696118 3.30225585 7.21027568 0.46756066 2.96086397 3.56685881 -1.18709585 2.63015982]] [[1366 194] 74 1516]] 0.9149206349206349 0.9534591194968554 0.8865497076023392

Out[26]: Pipeline(memory=None,

steps=[('vect', CountVectorizer(analyzer=<function stemmed_words at
0x10e74eb18>,

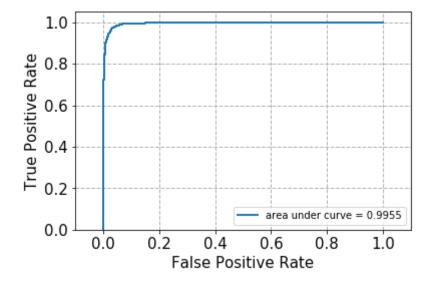


In [27]: # Logistic Regression, min_df=5, LSI, 11-norm regularization
 pipe_LSI.set_params(clf=LogisticRegression(penalty='l1'))
 fit_predict_and_plot_roc_and_evaluate(pipe_LSI, "LogisticRegression", True,

```
[[ 0.
                 72.44373963
                               30.87820293 -21.34107033
                                                            -0.570343
  -10.88312145
                 -4.93315764
                                              20.28573994
                                                             0.
                                 0.
                  0.
                                 0.
                                                             0.
    0.26614665
                                               0.
   -0.53432782
                 -6.98517921
                                 0.
                                               0.71374819
                                                             0.
    4.1285672
                  0.
                                 0.
                                              -0.87804414
                                                            -1.99929195
    1.95810941
                  0.
                                 0.
                                                            -2.17709777
                                               0.
    0.
                  0.
                                 2.07248512
                                               0.
    0.
                  0.
                                 3.45804642
                                                             0.
                                               0.
                                               3.78090005
    0.
                  0.
                                 5.6437754
                                                             0.
    0.
                                               0.
                                                             0.
                  0.
                                 0.
                                                                         ]]
[[1495
         65]
    36 1554|| 0.967936507936508 0.9773584905660377 0.9598517603458925
```

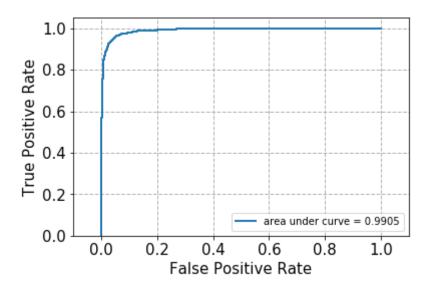
Out[27]: Pipeline(memory=None,

steps=[('vect', CountVectorizer(analyzer=<function stemmed_words at
0x10e74eb18>,



```
# Logistic Regression, min df=5, NMF, l1-norm regularization
In [28]:
         pipe NMF.set params(clf=LogisticRegression(penalty='11'))
         fit predict and plot roc and evaluate(pipe NMF, "LogisticRegression", True,
         [[-45.08814074
                          34.58372076
                                       71.35551351 -11.73836092
                                                                   0.
                                       67.44851576 -12.77566192 -25.6928318
           -39.68422359
             1.82363576 -42.93770288
                                                     -0.9267947
                                                                  31.68255931
            -1.34846713
                         -7.31804987
                                       30.18229814
                                                      0.
                                                                   0.
             0.
                         -35.59864498
                                        5.08500617
                                                      0.
                                                                 -26.43359365
             9.23877385
                                       -3.24263482
                           0.
                                                    -3.23914528 -22.67656827
             0.
                           5.39281313 -37.08199172
                                                     -5.16229653
                                                                   7.93307484
                           4.05426094 -50.06522763
             0.
                                                      5.72815853
                                                                  -7.7898128
           -21.85216068 -17.49378052
                                        6.17221158
                                                      6.81218389
                                                                   6.27953471
            29.19956744
                           0.
                                        7.90095659
                                                      0.
                                                                   3.24999279]]
         [[1468
                  92]
             57 1533|| 0.9526984126984127 0.9641509433962264 0.9433846153846154
Out[28]: Pipeline(memory=None,
```

steps=[('vect', CountVectorizer(analyzer=<function stemmed_words at
0x10e74eb18>,



(j)

Apply multiclass classification.

• Load the training and testing sets of 4 sub-classes:

Concerned categories: 'comp.sys.ibm.pc.hardware', 'comp.sys.mac.hardware', 'misc.forsale', 'soc.religion.christian'

- Extract the actual data and labels
- Compare among Multinomial Naive Bayes, SVM OneVsOne and SVM OneVsRest:

We explore the effect of different types of multiclass classifier under the settings "Multiclass Multinomial Naive Bayes, min_df=5, NMF", "Multiclass Linear SVC(ovo), min_df=5, NMF" and "Multiclass Linear SVC(ovr), min_df=5, NMF". From the results below, we notice that SVMs - OneVsRest achieve the highest accuracy, recall and precision rates. But overall, they perform similarly to SVMs - OneVsOne. Both of them are far better than Multinomial Naive Bayes classifiers.

- Multinomial Naive Bayes: acc 0.774, rec 0.774, pre 0.783, conf_mx =
 [308 22 56 6; 91 228 60 6; 62 19 285 24; 0 0 7 391]
- SVM OneVsOne: acc 0.846, rec 0.846, pre 0.848, conf_mx = [316 48 25 3; 61 296 26 2; 39 22 326 3; 9 1 2 386]
- SVM OneVsRest: acc 0.848, rec 0.848, pre 0.849, conf_mx = [310 57 22 3; 62 296 25 2; 33 21 333 3; 7 2 1 388]

Compare LSI and NMF:

We explore the effect of different dimension reduction techniques under the settings "Multiclass Linear SVC(ovo), min_df=5, LSI, min_df=5, LSI" and "Multiclass Linear SVC(ovo), min_df=5, NMF". From the results below, we notice that applying LSI achieves better accuracy, recall and precision rates than applying NMF. This agrees with our previous conclusion about experiments on SVMs and Logistic Regression classifiers.

- LSI: acc 0.886, rec 0.886, pre 0.886, conf_mx = [329 40 22 1; 34 323 28 0; 23 25 341 1; 4 0 0 394]
- NMF: acc 0.846, rec 0.846, pre 0.848, conf_mx = [316 48 25 3; 61 296 26 2; 39 22 326 3; 9 1 2 386]

```
In [29]: # Raw data
X_train_sub = fetch_20newsgroups(subset='train', categories=categories_sub,
X_test_sub = fetch_20newsgroups(subset='test', categories=categories_sub, state)
# Data fed into classifiers
data_train_sub = X_train_sub.data
data_test_sub = X_test_sub.data
label_train_sub = X_train_sub.target
label_test_sub = X_test_sub.target
```

```
In [30]: # Multiclass Multinomial Naive Bayes, min df=5, NMF
         pipe NMF.set params(clf=MultinomialNB())
         fit predict and plot roc and evaluate(pipe NMF, "NaiveBayes", False, data tr
         [[308 22
                    56
                         61
          [ 91 228 60
                         6]
          [ 62 19 285
                        24]
                     7 391]] 0.7744408945686901 0.7744408945686901 0.7828059776238
          ſ
         976
Out[30]: Pipeline(memory=None,
              steps=[('vect', CountVectorizer(analyzer=<function stemmed words at
         0x10e74eb18>,
                 binary=False, decode error=u'strict', dtype=<type 'numpy.int64'>,
                 encoding=u'utf-8', input=u'content', lowercase=True, max_df=1.0,
                 max features=None, min df=5, ngram_range=(1, 1), preprocessor=
         N...cd', tol=0.0001,
           verbose=0)), ('clf', MultinomialNB(alpha=1.0, class prior=None, fit pri
         or=True))])
In [31]: from sklearn.multiclass import OneVsOneClassifier
         # Multiclass Linear SVC(ovo), min df=5, LSI
         pipe LSI.set params(clf=OneVsOneClassifier(LinearSVC(C=NMF best)))
         fit predict and plot roc and evaluate(pipe LSI, "SVM", False, data train suk
         [[329 40 22
                         1]
          [ 34 323 28
                         01
          [ 23 25 341
                         11
          [
                     0 39411 0.886261980830671 0.886261980830671 0.886458487561992
         2
Out[31]: Pipeline(memory=None,
              steps=[('vect', CountVectorizer(analyzer=<function stemmed words at
         0x10e74eb18>,
                 binary=False, decode_error=u'strict', dtype=<type 'numpy.int64'>,
                 encoding=u'utf-8', input=u'content', lowercase=True, max df=1.0,
                 max features=None, min df=5, ngram range=(1, 1), preprocessor=
         N...lti class='ovr', penalty='12', random state=None, tol=0.0001,
              verbose=0),
                   n_jobs=1))])
```

```
In [32]: # Multiclass Linear SVC(ovo), min df=5, NMF
         pipe NMF.set params(clf=OneVsOneClassifier(LinearSVC(C=NMF best)))
         fit predict and plot roc and evaluate(pipe NMF, "SVM", False, data train suk
         [[316 48
                    25
                         31
          [ 61 296 26
                         2]
          [ 37 22 328
                         3 ]
          8
                 1
                     3 386]] 0.8472843450479233 0.8472843450479233 0.8490997749960
         966
Out[32]: Pipeline(memory=None,
              steps=[('vect', CountVectorizer(analyzer=<function stemmed words at
         0x10e74eb18>,
                 binary=False, decode error=u'strict', dtype=<type 'numpy.int64'>,
                 encoding=u'utf-8', input=u'content', lowercase=True, max_df=1.0,
                 max_features=None, min_df=5, ngram_range=(1, 1), preprocessor=
         N...lti_class='ovr', penalty='12', random_state=None, tol=0.0001,
              verbose=0),
                   n jobs=1))])
In [33]: # Multiclass Linear SVC(ovr), min df=5, LSI
         pipe LSI.set params(clf=LinearSVC(C=LSI best))
         fit predict and plot roc and evaluate(pipe LSI, "SVM", False, data train suk
         [[314 51 26
                         11
          [ 28 327 30
                         0 ]
          [ 22 18 346
                         4]
                     1 394|| 0.8824281150159744 0.8824281150159744 0.8825287881463
          [ 3
         091
Out[33]: Pipeline(memory=None,
              steps=[('vect', CountVectorizer(analyzer=<function stemmed words at
         0x10e74eb18>,
                 binary=False, decode_error=u'strict', dtype=<type 'numpy.int64'>,
                 encoding=u'utf-8', input=u'content', lowercase=True, max df=1.0,
                 max features=None, min df=5, ngram range=(1, 1), preprocessor=
         N...ax iter=1000,
              multi class='ovr', penalty='12', random state=None, tol=0.0001,
              verbose=0))])
```

```
In [34]: # Multiclass Linear SVC(ovr), min df=5, NMF
         pipe NMF.set params(clf=LinearSVC(C=NMF best))
         fit predict and plot roc and evaluate(pipe NMF, "SVM", False, data train sub
         [[311 56 22
                         3 ]
          [ 63 295 25
                         2]
          [ 33 21 333
                         3 ]
          [
            7
                     1 388]] 0.8479233226837061 0.8479233226837061 0.8491857113302
         271
Out[34]: Pipeline(memory=None,
              steps=[('vect', CountVectorizer(analyzer=<function stemmed words at
         0x10e74eb18>,
                 binary=False, decode_error=u'strict', dtype=<type 'numpy.int64'>,
                 encoding=u'utf-8', input=u'content', lowercase=True, max_df=1.0,
                 max_features=None, min_df=5, ngram_range=(1, 1), preprocessor=
         N...ax_iter=1000,
              multi class='ovr', penalty='12', random state=None, tol=0.0001,
              verbose=0))])
 In [ ]:
 In [ ]:
```