

Professional Development Short Course On:

Underwater Acoustic Modeling and Simulation

Instructor:

Paul C. Etter

ATI Course Schedule: <http://www.ATCourses.com/schedule.htm>

ATI's Underwater Acoustic Modeling: http://www.atcourses.com/underwater_acoustics_modeling.htm

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Underwater Acoustic Modeling and Simulation

April 20-23, 2009

Beltsville, Maryland

\$1795 (8:30am - 4:00pm)

"Register 3 or More & Receive \$100⁰⁰ each Off The Course Tuition."

Summary

The subject of underwater acoustic modeling deals with the translation of our physical understanding of sound in the sea into mathematical formulas solvable by computers.

This course provides a comprehensive treatment of all types of underwater acoustic models including environmental, propagation, noise, reverberation and sonar performance models. Specific examples of each type of model are discussed to illustrate model formulations, assumptions and algorithm efficiency. Guidelines for selecting and using available propagation, noise and reverberation models are highlighted. Problem sessions allow students to exercise PC-based propagation and active sonar models.



Each student will receive a copy of *Underwater Acoustic Modeling and Simulation* by Paul C. Etter, in addition to a complete set of lecture notes.

Instructor

Paul C. Etter has worked in the fields of ocean-atmosphere physics and environmental acoustics for the past thirty years supporting federal and state agencies, academia and private industry. He received his BS degree in Physics and his MS degree in Oceanography at Texas A&M University. Mr. Etter served on active duty in the U.S. Navy as an Anti-



Submarine Warfare (ASW) Officer aboard frigates. He is the author or co-author of more than 140 technical reports and professional papers addressing environmental measurement technology, underwater acoustics and physical oceanography. Mr. Etter is the author of the textbook *Underwater Acoustic Modeling and Simulation*.

What You Will Learn

- What models are available to support sonar engineering and oceanographic research.
- How to select the most appropriate models based on user requirements.
- Where to obtain the latest models and databases.
- How to operate models and generate reliable results.
- How to evaluate model accuracy.
- How to solve sonar equations and simulate sonar performance.
- Where the most promising international research is being performed.

Course Outline

1. Introduction. Nature of acoustical measurements and prediction. Modern developments in physical and mathematical modeling. Diagnostic versus prognostic applications. Latest developments in acoustic sensing of the oceans.

2. The Ocean as an Acoustic Medium. Distribution of physical and chemical properties in the oceans. Sound-speed calculation, measurement and distribution. Surface and bottom boundary conditions. Effects of circulation patterns, fronts, eddies and fine-scale features on acoustics. Biological effects.

3. Propagation. Observations and Physical Models. Basic concepts, boundary interactions, attenuation and absorption. Shear-wave effects in the sea floor and ice cover. Ducting phenomena including surface ducts, sound channels, convergence zones, shallow-water ducts and Arctic half-channels. Spatial and temporal coherence. Mathematical Models. Theoretical basis for propagation modeling. Frequency-domain wave equation formulations including ray theory, normal mode, multipath expansion, fast field and parabolic approximation techniques. New developments in shallow-water and under-ice models. Domains of applicability. Model summary tables. Data support requirements. Specific examples (PE and RAYMODE). References. Demonstrations.

4. Noise. Observations and Physical Models. Noise sources and spectra. Depth dependence and directionality. Slope-conversion effects. Mathematical Models. Theoretical basis for noise modeling. Ambient noise and beam-noise statistics models. Pathological features arising from inappropriate assumptions. Model summary tables. Data support requirements. Specific example (RANDI-III). References.

5. Reverberation. Observations and Physical Models. Volume and boundary scattering. Shallow-water and under-ice reverberation features. Mathematical Models. Theoretical basis for reverberation modeling. Cell scattering and point scattering techniques. Bistatic reverberation formulations and operational restrictions. Data support requirements. Specific examples (REVMOD and Bistatic Acoustic Model). References.

6. Sonar Performance Models. Sonar equations. Model operating systems. Model summary tables. Data support requirements. Sources of oceanographic and acoustic data. Specific examples (NISSM and Generic Sonar Model). References.

7. Modeling and Simulation. Review of simulation theory including advanced methodologies and infrastructure tools. Overview of engineering, engagement, mission and theater level models. Discussion of applications in concept evaluation, training and resource allocation.

8. Modern Applications in Shallow Water and Inverse Acoustic Sensing. Stochastic modeling, broadband and time-domain modeling techniques, matched field processing, acoustic tomography, coupled ocean-acoustic modeling, 3D modeling, and chaotic metrics.

9. Model Evaluation. Guidelines for model evaluation and documentation. Analytical benchmark solutions. Theoretical and operational limitations. Verification, validation and accreditation. Examples.

10. Demonstrations and Problem Sessions. Demonstration of PC-based propagation and active sonar models. Hands-on problem sessions and discussion of results.

Underwater Acoustic Modeling and Simulation

April 26-29, 2004

8:30am - 4:00pm

Middletown, Rhode Island

Courtyard by Marriott

401.849.8000

\$1595

Summary

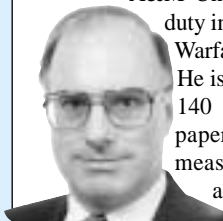
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Course Textbook:

Underwater Acoustic Modeling and Simulation,
3rd edition, by Paul C. Etter, Spon Press (2003)



E-STREAMS Vol. 6, No. 11 - November 2003 - Physics - Book Review -

Underwater Acoustic Modeling and Simulation, 3rd edition by Paul C. Etter. New York, NY, Spon Press/Taylor & Francis, 2003. 424p., illus., bibliog., index. ISBN 0-419-26220-2. LC Call no.: QC242.2.E88 2003.

Reviewer: Robert F. Skinder, Science Reference Librarian, University of South Carolina—Columbia Thomas Cooper Library.

“This book includes several well done appendices including abbreviations and acronyms, a glossary, a list of websites for important acoustic databases and an extraordinary collection of references, many culled from the gray literature.

Underwater Acoustic Modeling and Simulation meets the highest standards of professional writing and scholarship. The book is thorough yet very readable. It belongs in libraries that serve a naval, geophysical or oceanographic clientele or any college or university serving the graduate applied physics or applied mathematics student.”

Course Outline

1. Introduction
2. Acoustical Oceanography
3. Propagation I. Observations and Physical Models
4. Propagation II. Mathematical Models (Part 1)
5. Propagation II. Mathematical Models (Part 2)
6. Noise I. Observations and Physical Models
7. Noise II. Mathematical Models
8. Reverberation I. Observations and Physical Models
9. Reverberation II. Mathematical Models
10. Sonar Performance Models
11. Model Evaluation
12. Simulation

Course Schedule

Day 1		Day 2		Day 3		Day 4	
AM	PM	AM	PM	AM	PM	AM	PM
Registration / Opening Remarks			Lab I : Transmission Loss and Passive Sonars	Propagation II: Mathematical Models (Part 2) Continued	Reverberation II: Mathematical Models	Lab II: Active Monostatic and Bistatic Sonars	Model Evaluation
Introduction				Noise I: Observations and Physical Models	Sonar Performance Models		
Acoustical Oceanography	Propagation II: Mathematical Models (Part 1)			Audio Tape			Simulation
		Propagation II: Mathematical Models (Part 2)		Noise II: Mathematical Models			
Propagation I: Observations and Physical Models				Reverberation I: Observations and Physical Models			Closing Remarks / Evaluation Forms

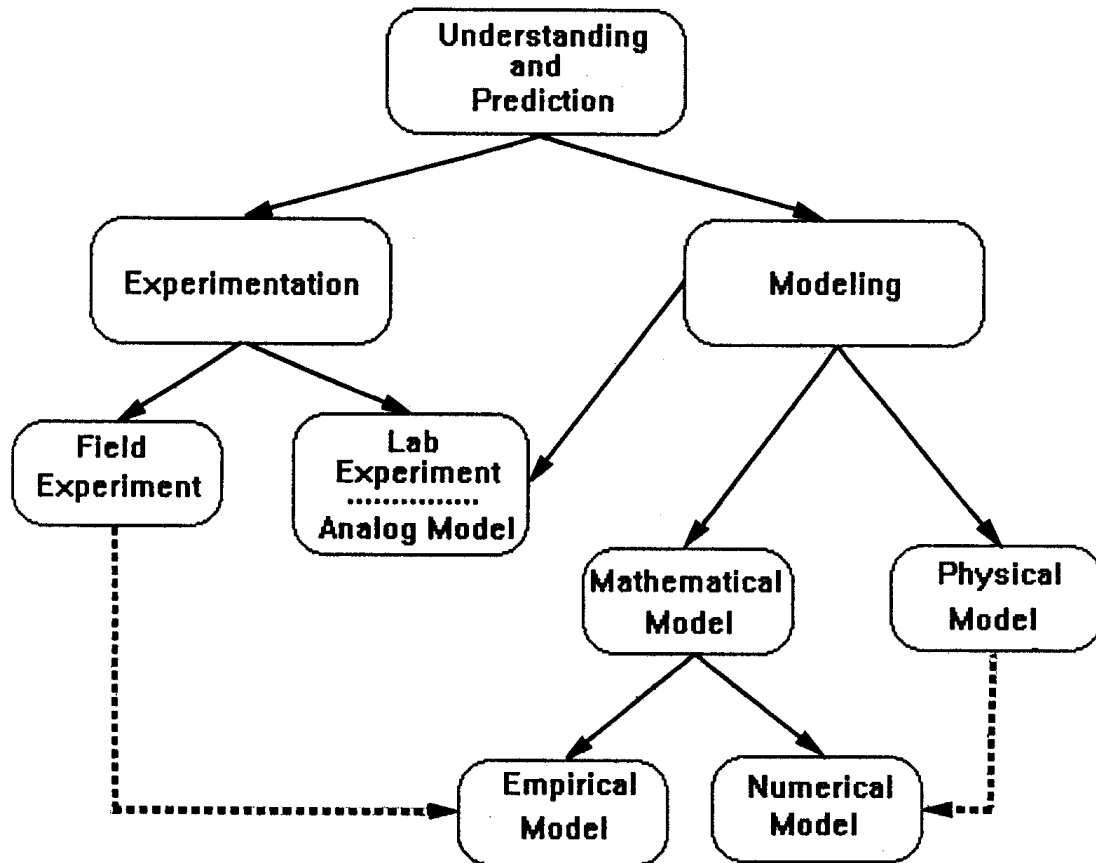
Definitions

- *Underwater acoustics*
 - Development and employment of acoustical methods to
 - Image underwater features
 - Communicate information via the oceanic waveguide
 - Measure oceanic properties
- *Modeling*
 - Method for organizing knowledge accumulated through observation or deduced from underlying principles
- *Simulation*
 - Method for implementing a model over time
- *Computational ocean acoustics*
 - Development and refinement of numerical codes that model the ocean as an acoustic medium

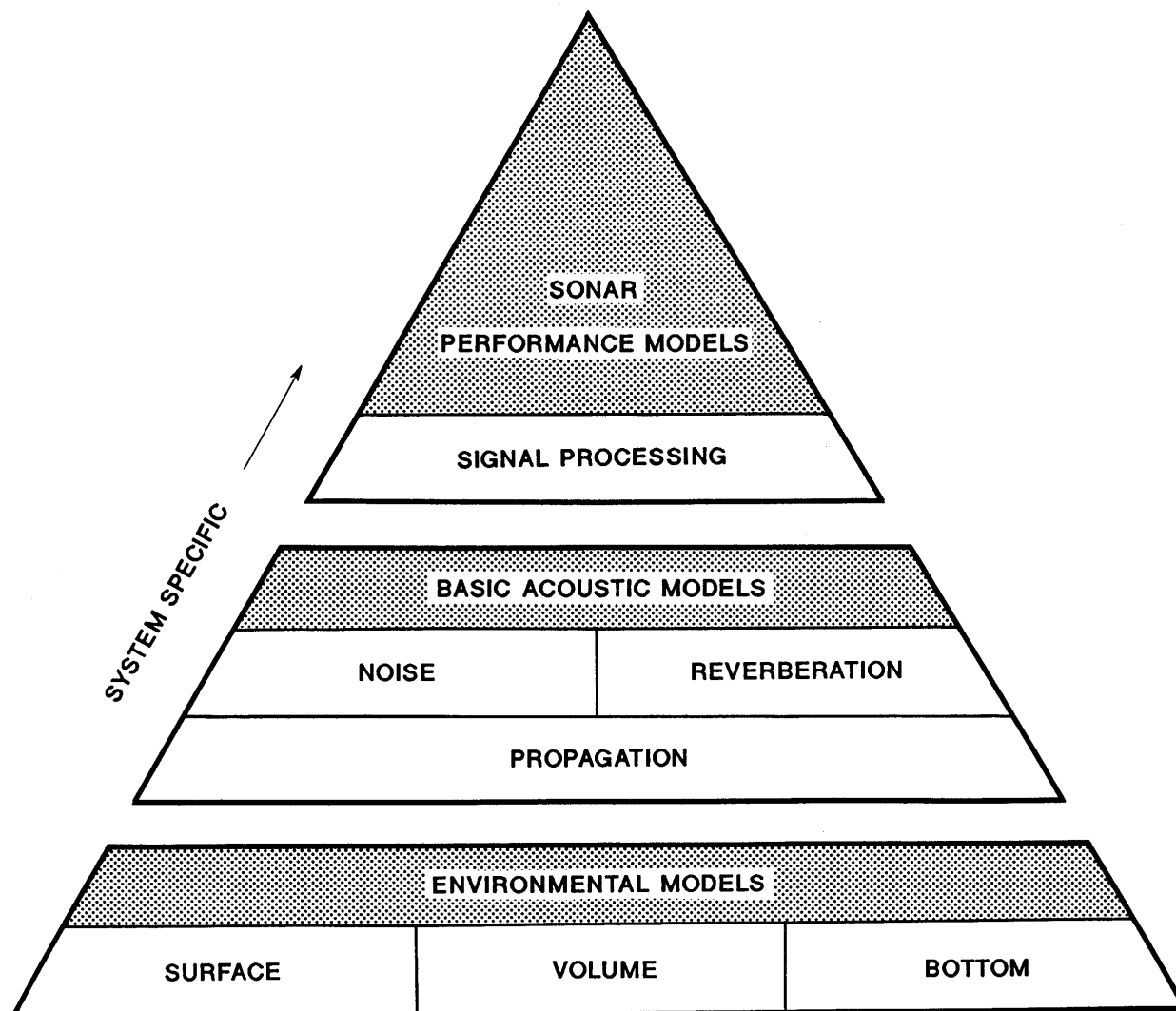
Types of Models

- Physical models
 - Conceptual representation of the physical processes occurring in the ocean
 - Sometimes called analytical models
- Mathematical models
 - Empirical models
 - Based on observations
 - Numerical models
 - Based on mathematical representations of the governing physics
- Analog models
 - Controlled acoustic experimentation in water tanks using appropriate oceanic scaling factors

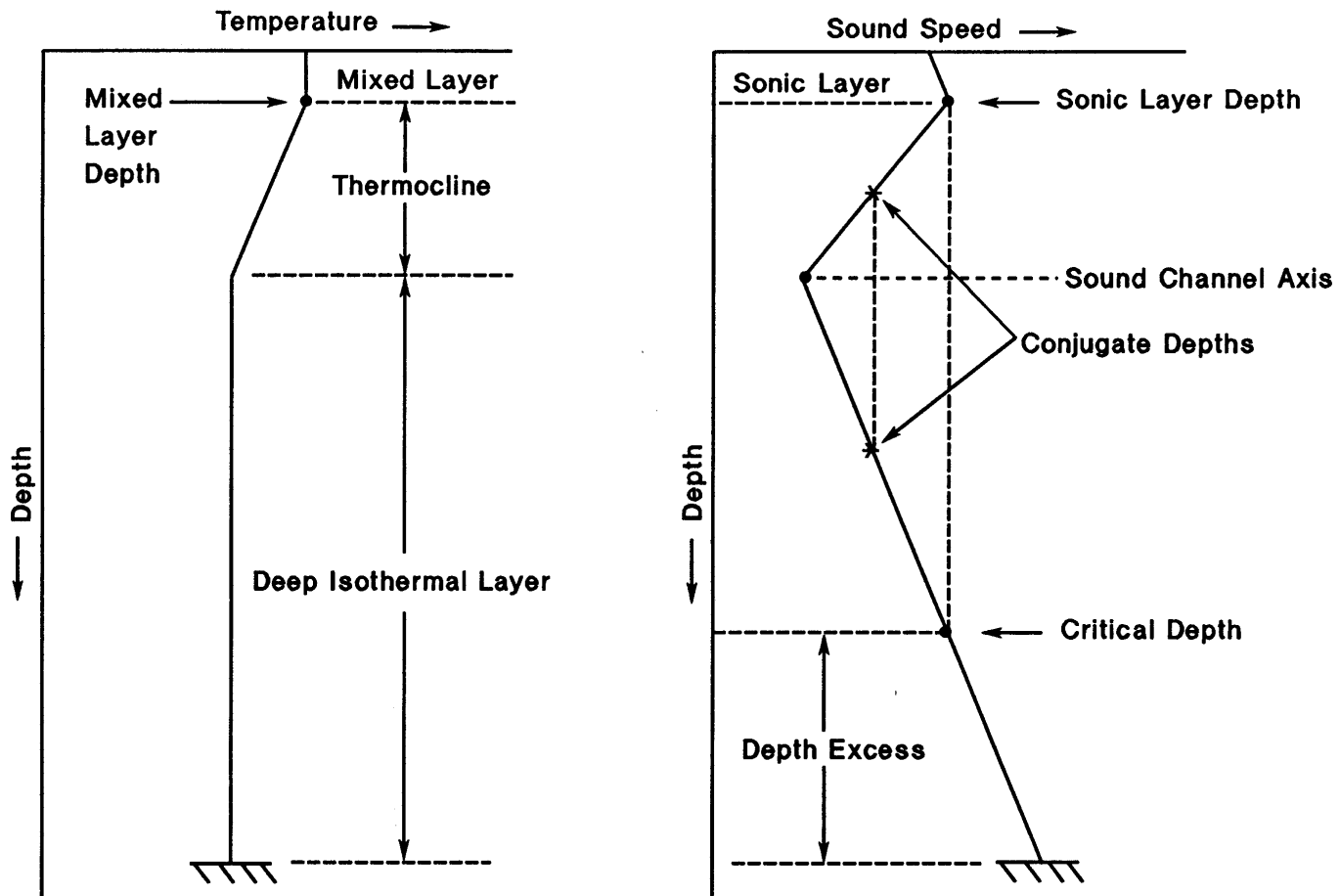
Schematic relationship between experimentation and modeling.



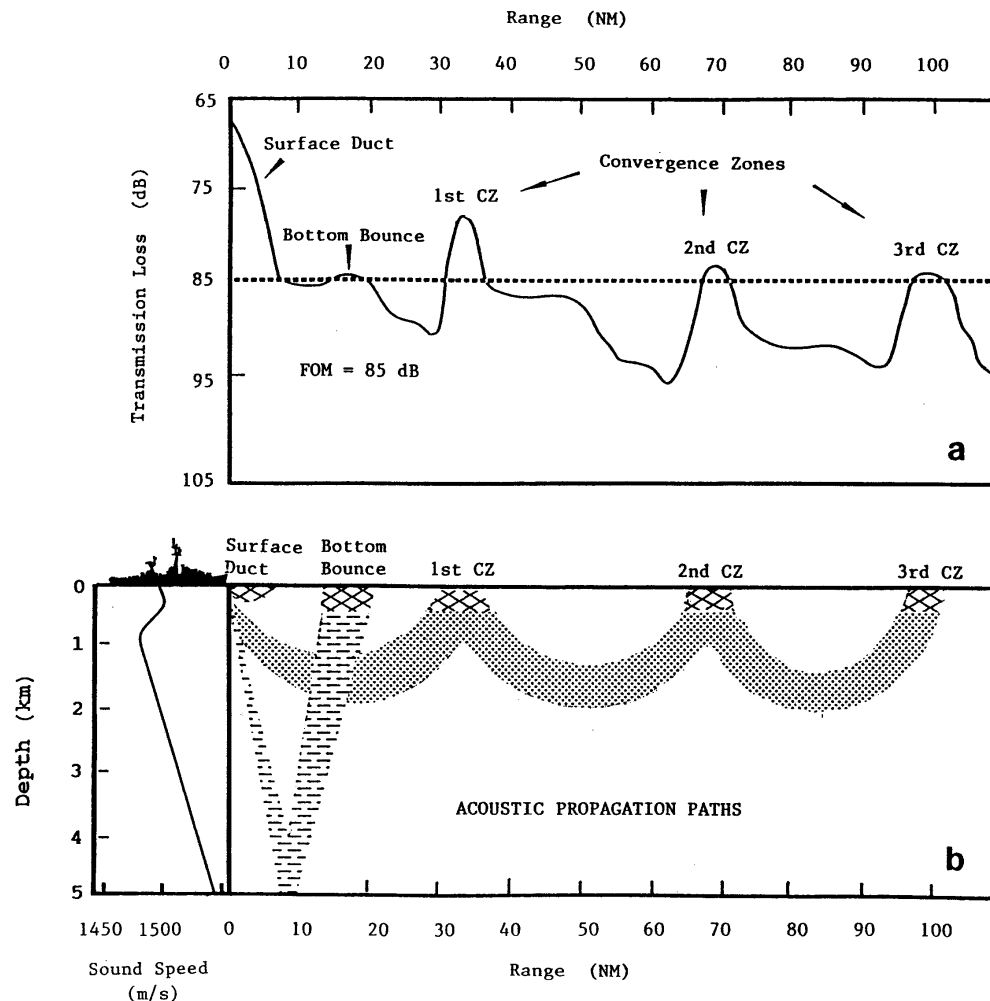
Generalized relationships among environmental models, basic acoustic models and sonar performance models.



Schematic relationship between temperature and sound speed profiles in the deep ocean.



Hypothetical relationship between (a) transmission loss (TL) curve and (b) the corresponding propagation paths and detection zones (cross-hatched areas near the sea surface) associated with a figure of merit (FOM) of 85 dB. A plausible sound speed profile is shown at the left side of panel (b). Both the source (target) and receiver (ship's sonar) are positioned near the surface.

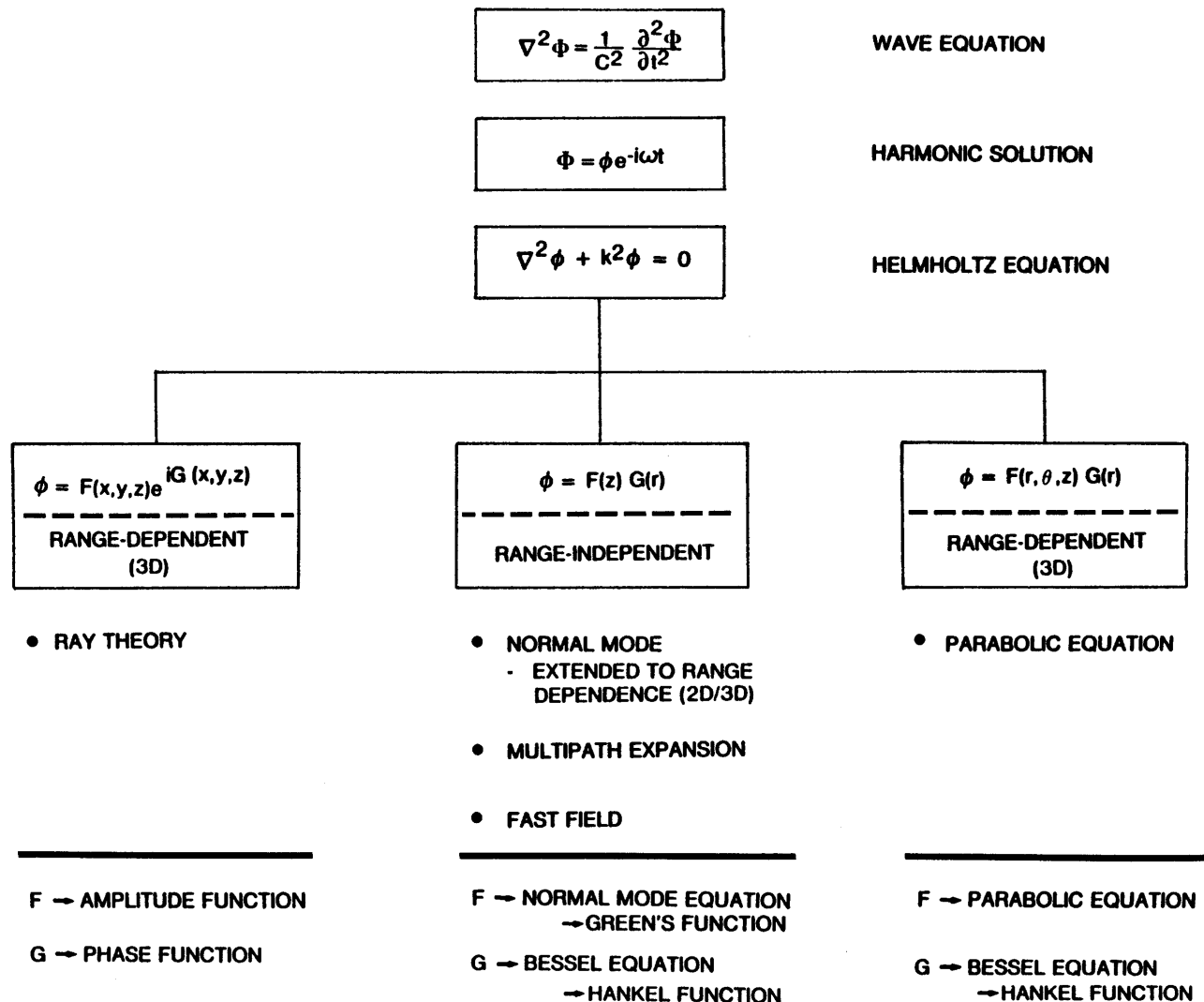


Classification of Propagation Models









































- Techniques
 - Ray theory
 - Normal mode
 - Multipath expansion
 - Fast field
 - Parabolic equation
- Hybrid formulations
 - Combinations of two or more techniques to optimize capabilities
- Range dependence
 - Range independent
 - Variables are functions of depth (z) only
 - Range dependent
 - Variables are functions of depth (z), range (r) and azimuth (θ)
 - 2-D $f(z, r)$
 - 3-D $f(z, r, \theta)$

Summary of relationships among theoretical approaches for propagation modeling.

(Adapted from Jensen and Krol, 1975.)



Domains of applicability of underwater acoustic propagation models. (Adapted from Jensen, 1982; Proc. MTS / IEEE Oceans 82 Conf., pp. 147-54; copyright by IEEE.)




MODEL TYPE	APPLICATIONS							
	SHALLOW WATER				DEEP WATER			
	LOW FREQUENCY		HIGH FREQUENCY		LOW FREQUENCY		HIGH FREQUENCY	
	RI	RD	RI	RD	RI	RD	RI	RD
RAY THEORY								
NORMAL MODE								
MULTIPATH EXPANSION								
FAST FIELD								
PARABOLIC EQUATION								

LOW FREQUENCY (< 500 HZ)

RI: RANGE-INDEPENDENT ENVIRONMENT

HIGH FREQUENCY (> 500 HZ)

RD: RANGE-DEPENDENT ENVIRONMENT

-  MODELING APPROACH IS BOTH APPLICABLE (PHYSICALLY) AND PRACTICAL (COMPUTATIONALLY)
-  LIMITATIONS IN ACCURACY OR IN SPEED OF EXECUTION
-  NEITHER APPLICABLE NOR PRACTICAL

Summary of underwater acoustic propagation models.

<i>Technique</i>	<i>Range Independent</i>		<i>Range Dependent</i>	
Ray Theory	CAPARAY [1]		ACCURAY [8]	MEDUSA [18]
	FACT [2]		BELLHOP [9]	MIMIC [19]
	FLIRT [3]		Coherent	MPC [20]
	GAMARAY [4]		DELTA [10]	MPP [21]
	ICERAY [5]		FACTEX [11]	Pedersen [22]
	PLRAY [6]		GRAB [12]	RAYWAVE
	RANGER [7]		GRASS [13]	[23]
			HARORAY	RP-70 [24]
			[14]	SHALFACT
			HARPO [15]	[25]
			HARVEST [16]	TRIMAIN [26]
			LYCH [17]	
Normal Mode	AP-2 / 5 [27]	PROTEUS [38]	ADIAB [41]	MOCTESUMA
	BDRM [28]	SHEAR2 [39]	ASERT [42]	[52]
	COMODE [29]	Stickler [40]	ASTRAL [43]	NAUTILUS
	DODGE [30]		CENTRO [44]	[53]
	FNMSS [31]		CMM3D [45]	PROLOS [54]
	MODELAB		COUPLE [46]	PROSIM [55]
	[32]		CPMS [47]	SHAZAM [56]
	NEMESIS [33]		FELMODE [48]	SNAP / C-
	NLNM [34]		Kanabis [49]	SNAP [57]
	NORMOD3		KRAKEN [50]	WEDGE [58]
	[35]		MOATL [51]	WKBZ [59]
	NORM2L [36]			WRAP [60]
	ORCA [37]			3D Ocean [61]
Multipath Expansion	FAME [62]		No Existing Solutions	
	MULE [63]			
	NEPBR [64]			
	RAYMODE			
	[65]			

Summary of underwater acoustic propagation models (continued).

<i>Technique</i>	<i>Range Independent</i>		<i>Range Dependent</i>	
Fast Field or Wavenumber Integration	FFP [66]	RPRESS [71]	CORE [75]	SAFRAN [80]
	Kutschale FFP [67]	SAFARI [72]	RDFFP [76]	
		SCOOTER [73]	RD-OASES [77]	
	MSPFFP [68]	SPARC [74]	RDOASP [78]	
	OASES [69]		RDOAST [79]	
Parabolic Equation				
			AMPE / CMPE [81]	PAREQ [98]
			CCUB/SPLN/C NP1 [82]	PDPE [99]
			Corrected PE [83]	PE [100]
			DREP [84]	PECan [101]
			FDHB3D [85]	PE-FFRAME [102]
			FEPE [86]	PESOGN [103]
			FEPE-CM [87]	PE-SSF (UMPE / MMPE) [104]
			FEPES [88]	RAM / RAMS [105]
			FOR3D [89]	SNUPE [106]
			HAPE [90]	Spectral PE [107]
			HYPER [91]	TDPE [108]
			IFD Wide Angle [92]	Two-Way PE [109]
			IMP3D [93]	ULETA [110]
			LOGPE [94]	UNIMOD [111]
			MaCh1 [95]	3DPE (NRL-1) [112]
			MOREPE [96]	3DPE (NRL-2) [113]
			OS2IFD [97]	3D TDPA [114]



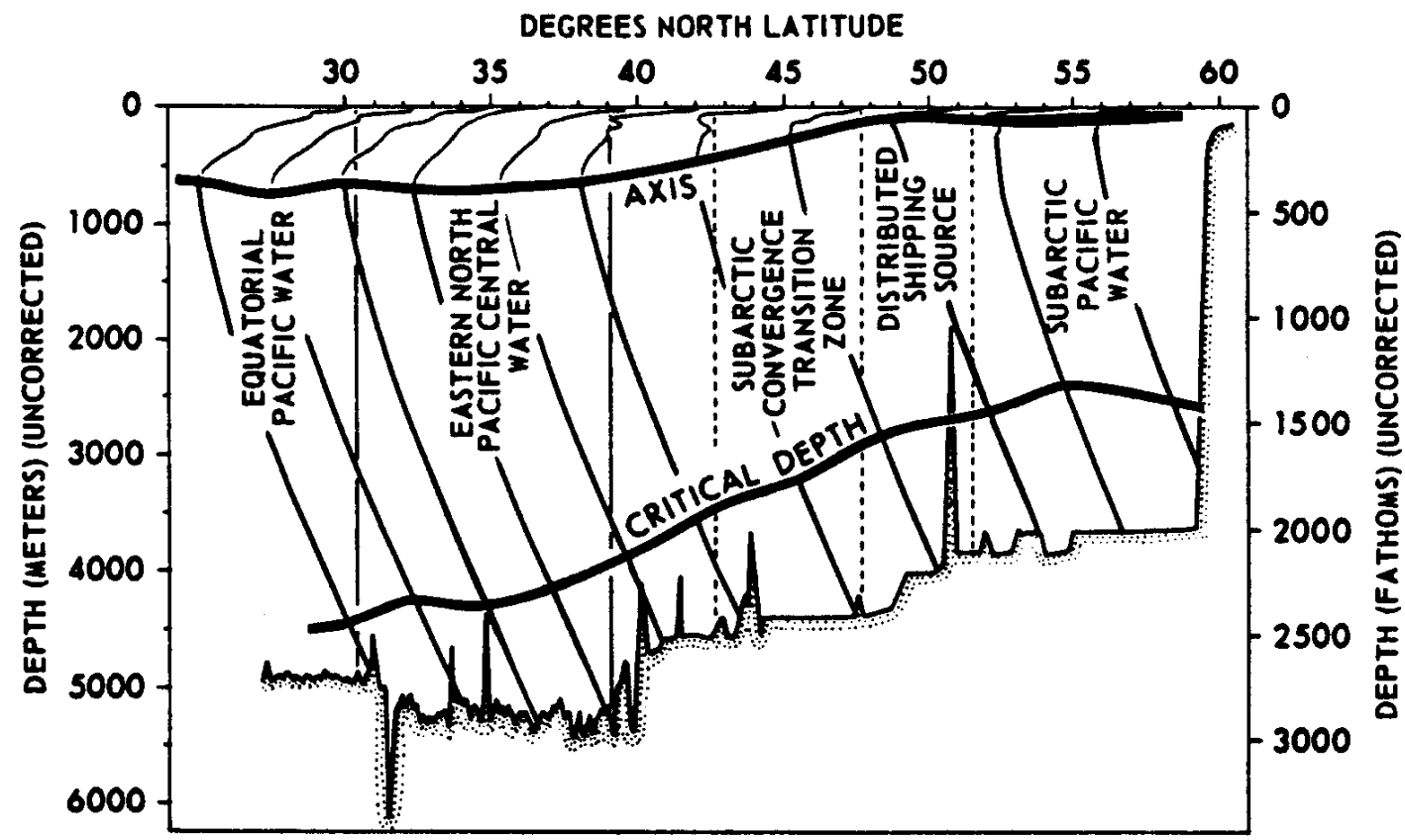
Summary of inverse ocean-acoustic sensing techniques.

<i>Propagation</i>	<i>Noise</i>	<i>Reverberation</i>
<ul style="list-style-type: none">• Matched field processing<ul style="list-style-type: none">✓ source localization✓ marine environment characterization• Ocean acoustic tomography<ul style="list-style-type: none">✓ density field (eddies, currents)✓ temperature (climate monitoring)• Deductive geoacoustic inversion<ul style="list-style-type: none">✓ sediment parameters✓ sea-floor scattering characteristics	<ul style="list-style-type: none">• Field inversion<ul style="list-style-type: none">✓ wind speeds✓ rainfall rates• Acoustic daylight<ul style="list-style-type: none">✓ object imaging• Geoacoustic inversion<ul style="list-style-type: none">✓ seabed acoustics	<ul style="list-style-type: none">• Field inversion<ul style="list-style-type: none">✓ sea-floor imaging

Mathematical Models of Noise

- Ambient noise models
 - Mean noise levels due to:
 - Surface weather
 - Biologics
 - Commercial activities (e.g., shipping, oil drilling)
 - Regression formulas
- Beam-noise statistics models
 - Low-frequency shipping noise
 - Application to large-aperture, narrow-beam passive sonars
 - Convolution of receiver beam pattern with noise intensities
 - Two approaches
 - Analytic (deductive)
 - Simulation (inductive)

Bathymetric and sound-speed structure in the North Pacific Ocean. The noise from distributed shipping sources at high latitudes can enter the sound channel and propagate with little attenuation to lower latitudes. Relationships between the sound speed structure and the prevailing water masses are also illustrated. (Kibblewhite et al., 1976.)



Beam-Noise Statistics Models

- Noise power at beamformer output

$$Y = \sum_{i=1}^m \sum_{j=1}^n \sum_{k=1}^{A_{ij}} S_{ijk} Z_{ijk} B_{ijk}$$

$m =$ number of routes in the basin

$n =$ number of ship types

$A_{ij} =$ number of ships of type j on route i (a random variable)

$S_{ijk} =$ source intensity of the k th ship of type j on route i (a random variable that is statistically independent of the source intensity of any other ship)

$Z_{ijk} =$ intensity transmission ratio from ship ijk to the receiving point

$B_{ijk} =$ gain for a plane wave arriving at the array from ship ijk

Summary of underwater acoustic noise models.

<i>Ambient Noise</i>	<i>Beam Noise Statistics</i>	
	<i>Analytic</i>	<i>Simulation</i>
AMBENT [1]	BBN Shipping Noise [11]	BEAMPL [15]
ANDES [2]	BTL [12]	DSBN [16]
CANARY [3]	USI Array Noise [13]	NABTAM [17]
CNOISE [4]	Sonobuoy Noise [14]	
DANES [5]		
DINAMO [6]		
DUNES [7]		
FANM [8]		
Normal Mode Ambient Noise [9]		
RANDI - I / II / III [10]		

Classification of Reverberation Models

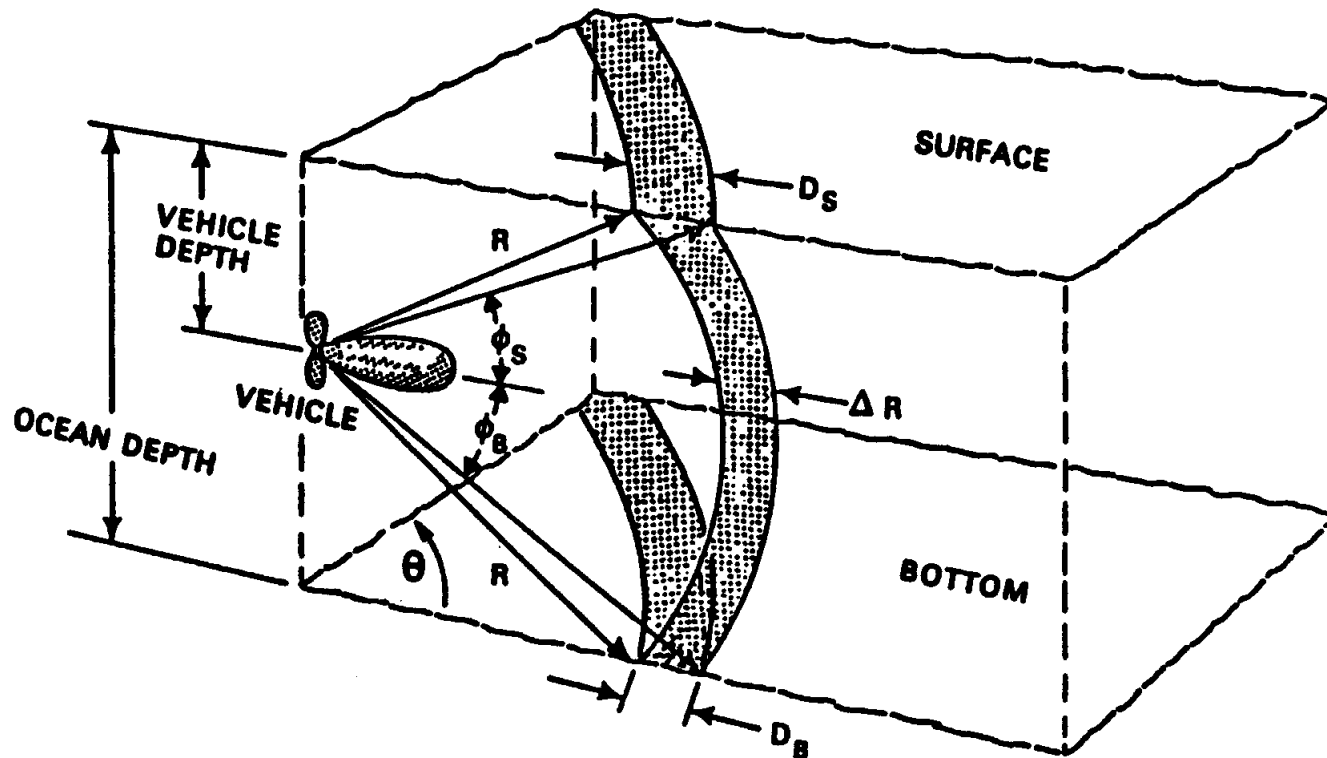
- **Cell Scattering Models**

- Scatterers are uniformly distributed
- Ocean is divided into cells, each containing a large number of scatterers
- Backscattering strengths are used to approximate the target strength per unit area or volume
- Summing the contributions of each cell yields the total average reverberation level as a function of time after transmission

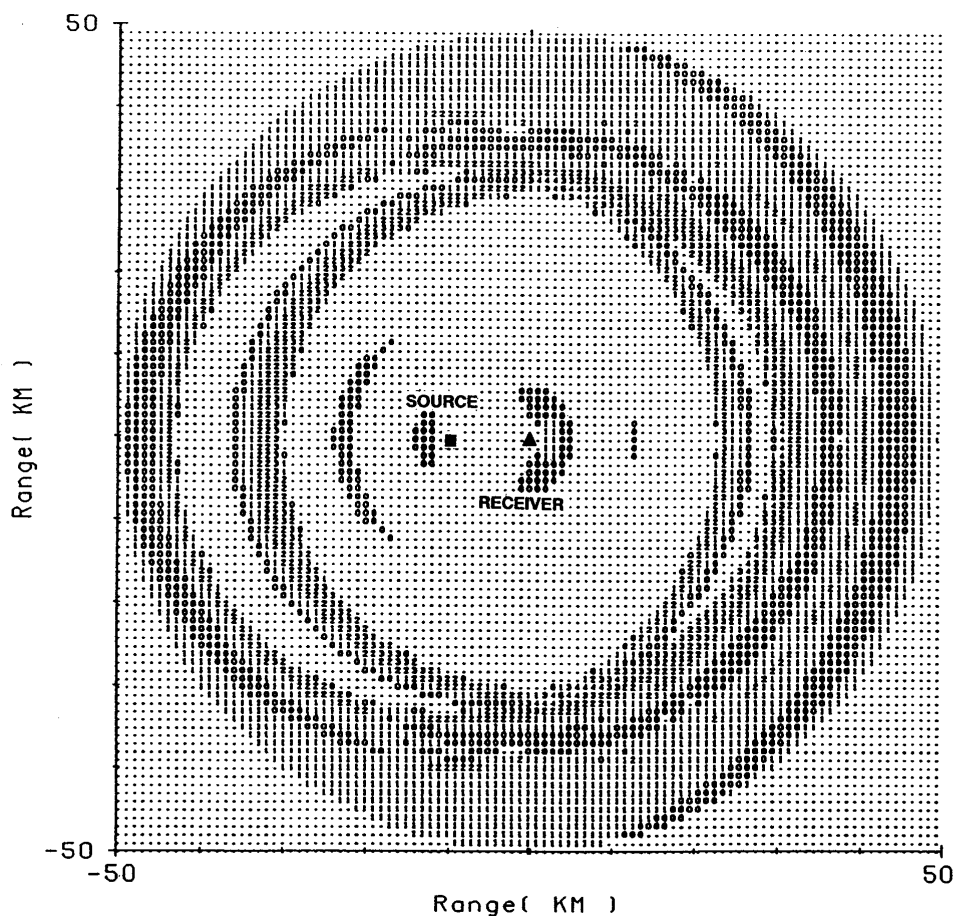
- **Point Scattering Models**

- Statistical approach in which the scatterers are randomly distributed
- Reverberation is computed by summing the echoes from each individual scatterer

REVMOD reverberation model geometry. (Hodgkiss, 1984; *IEEE J. Oceanic Engr.*,
10, 285-9; copyright by IEEE.)

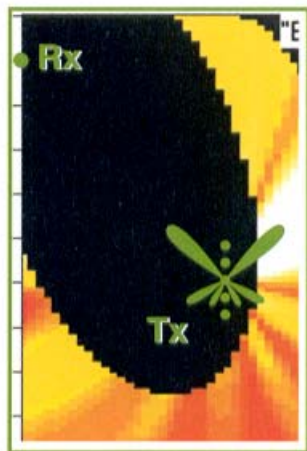


Sample output from the bistatic acoustic model (BAM) showing echo-to-background levels. Also shown is the cumulative area coverage contained within specified contours of echo-to-background level.

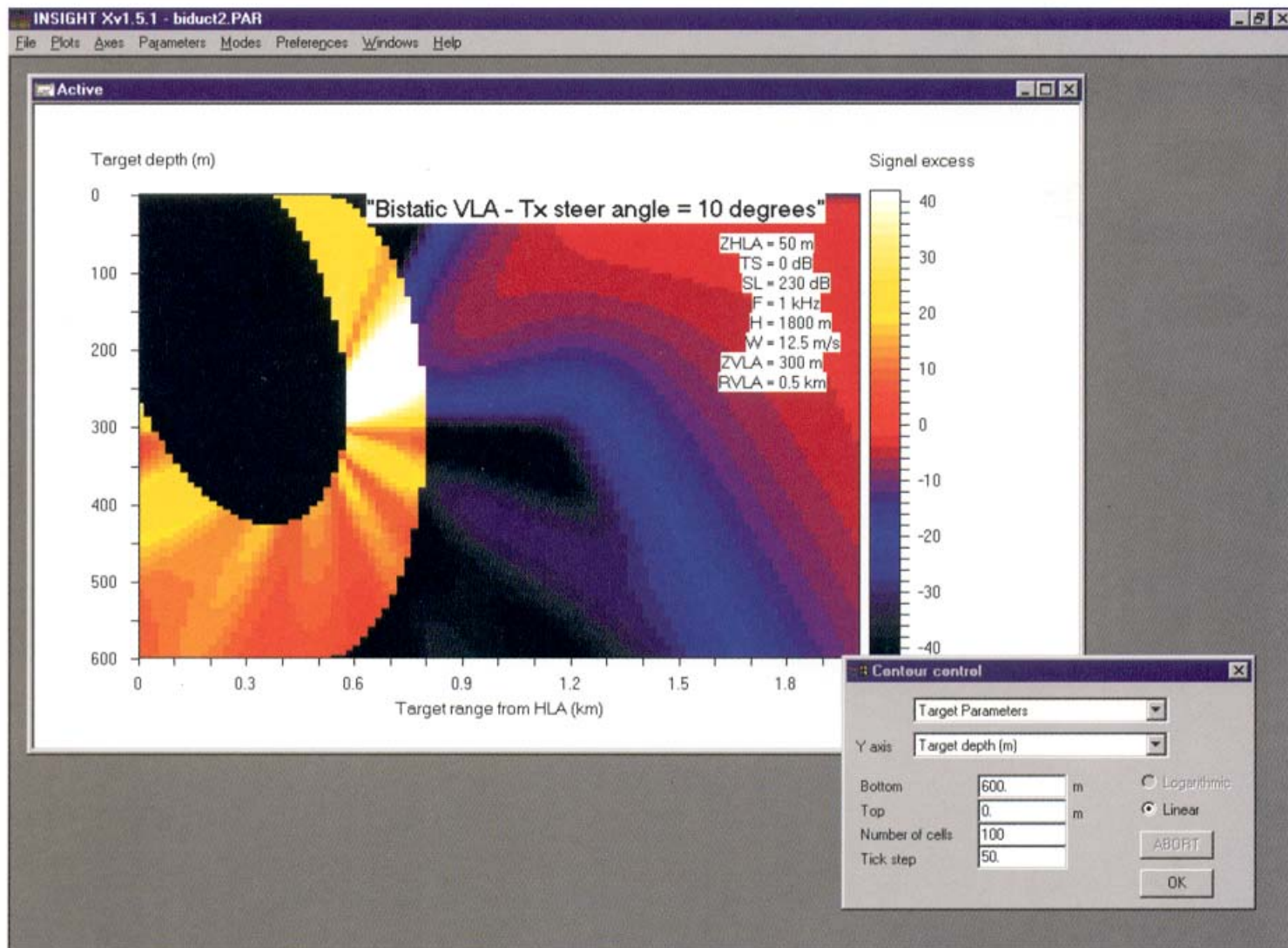


<u>Symbol</u>	<u>Echo-to-Background Level</u>
•	< -10 dB
0	-10 to -6
1	-6 to -2
2	-2 to 2
3	2 to 6
4	6 to 10
5	10 to 14
6	14 to 18
7	18 to 22
8	> 22

<u>Contour Level</u>	<u>Cumulative Area</u>
≥ -10 dB	4942 km ²
≥ -6	3427
≥ -2	871
≥ 2	283
≥ 6	45
≥ 10	2
≥ 14	0
≥ 18	0
≥ 22	0



Signal excess plotted as a function of target position for a bistatic sonar geometry. The transmitter is a steered vertical line array at a range of 500 m from the receiver and depth 300 m. Notice the black ellipsoidal region of low signal excess between transmitter and receiver due to direct blast reverberation.



Summary of underwater acoustic reverberation models.

<i>Cell Scattering</i>		<i>Point Scattering</i>	
<i>Monostatic</i>	<i>Bistatic</i>	<i>Monostatic</i>	<i>Bistatic</i>
DOP [1]	BAM [8]	REVGGEN [16]	Under-Ice Reverberation
EIGEN / REVERB [2]	BiKR [9]		Simulation [17]
MAM [3]	BiRASP [10]		
PEREV [4]	BISAPP [11]		
REVMOD [5]	BISSM [12]		
REVSIM [6]	OGOPOGO [13]		
TENAR [7]	RASP [14]		
	RUMBLE [15]		

Sonar Equations

- Active sonars

- Noise background

$$SL - 2TL + TS = NL - DI + RD_N$$

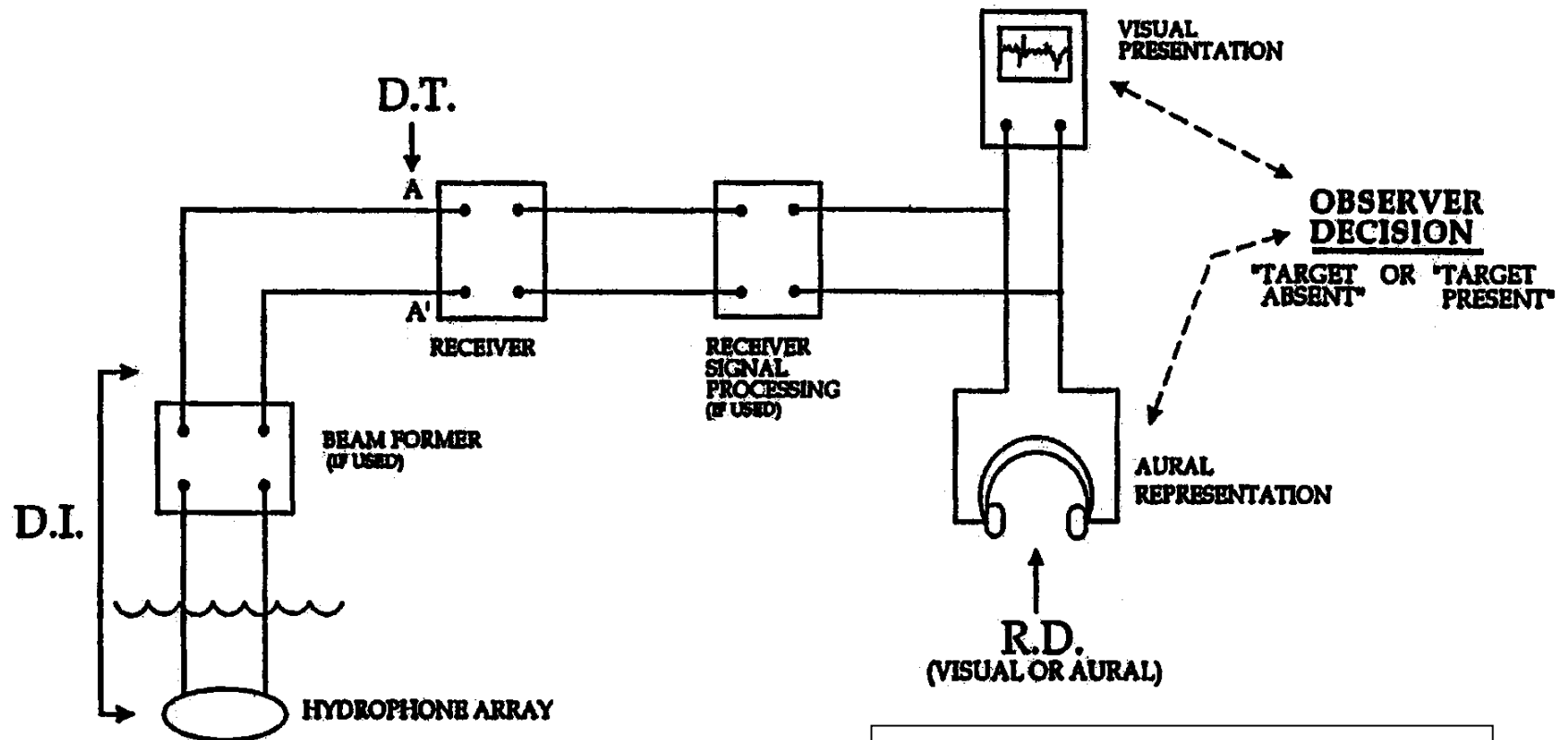
- Reverberation background

$$SL - 2TL + TS = RL + RD_R$$

- Passive sonars

$$SL - TL = NL - DI + RD$$

Components of Detection Process



M.D.S.

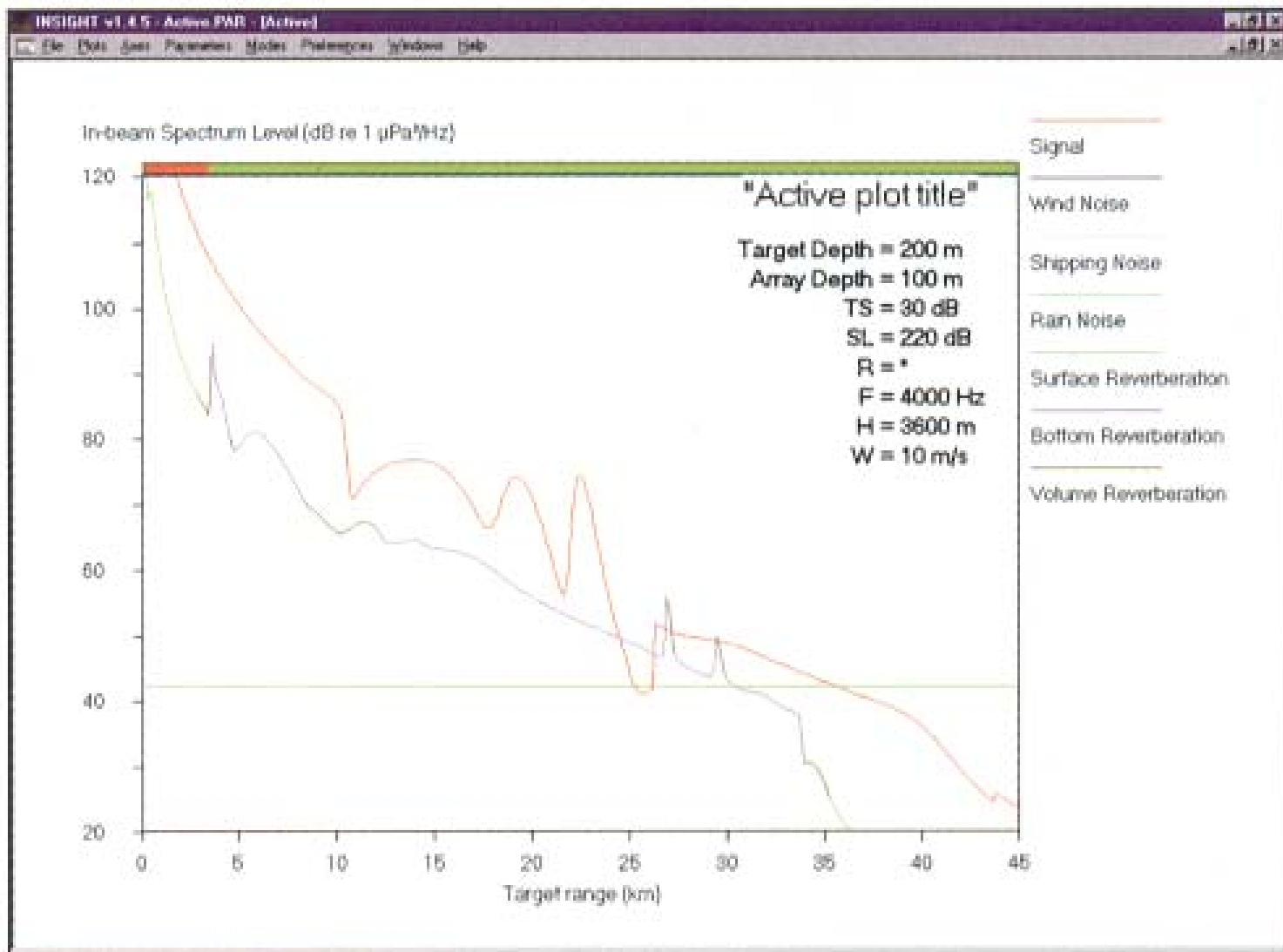
Dawe (1997)

MDS – minimum discernable signal

DI – directivity index

DT – detection threshold

RD – recognition differential



Summary of primary data banks.

<i>Data bank</i>	<i>Custodian</i>	<i>Representative database parameters</i>			
FNMOC Data Files http://www.fnmoc.navy.mil/	FNMOC	<i>Marine meteorology</i> <ul style="list-style-type: none"> ▪ Solar radiation ▪ Clouds ▪ Surface pressure <i>Oceanography</i> <ul style="list-style-type: none"> ▪ Wave direction, period and height ▪ Combined sea height direction and period ▪ Significant wave height ▪ Swell direction, period and height ▪ White caps 	<ul style="list-style-type: none"> ▪ Precipitation ▪ Surface air temperature <ul style="list-style-type: none"> ▪ Insolation, reflected radiation ▪ Sensible and evaporative heat flux ▪ Total heat flux ▪ Bathymetry ▪ Shipping density 	<ul style="list-style-type: none"> ▪ Surface marine winds ▪ Total heat flux <ul style="list-style-type: none"> ▪ Operational bathy-thermograph observations ▪ MBT, XBT, STD, hydrocasts ▪ Sea-surface temperature ▪ Sea-surface temperature anomaly 	<ul style="list-style-type: none"> ▪ Sensible and evaporative heat fluxes <ul style="list-style-type: none"> ▪ Potential mixed layer depth ▪ Primary layer depth ▪ Temperature at the top of the thermocline ▪ Thermocline gradient
DoD Bathymetric Data Library http://www.nima.mil/	NIMA	<ul style="list-style-type: none"> ▪ Ocean floor depth 			
NAVOCEANO Data Files http://www.navo.navy.mil/	NAVOCEANO	<ul style="list-style-type: none"> ▪ Bathymetry ▪ Climatology ▪ Temperature / salinity / oxygen versus depth ▪ Computed sound speed, sigma-t, specific volume 	<ul style="list-style-type: none"> ▪ Conductivity ▪ Transmission loss ▪ Ambient noise ▪ Volume reverberation ▪ Bottom loss ▪ Surface currents ▪ Subsurface currents 	<ul style="list-style-type: none"> ▪ Ice type / thickness ▪ Core samples ▪ Sediment samples ▪ Geomagnetism ▪ Seismic profiles ▪ Gravity 	<ul style="list-style-type: none"> ▪ Dangerous marine animals ▪ Boring / fouling organisms ▪ Plankton ▪ Bioluminescence

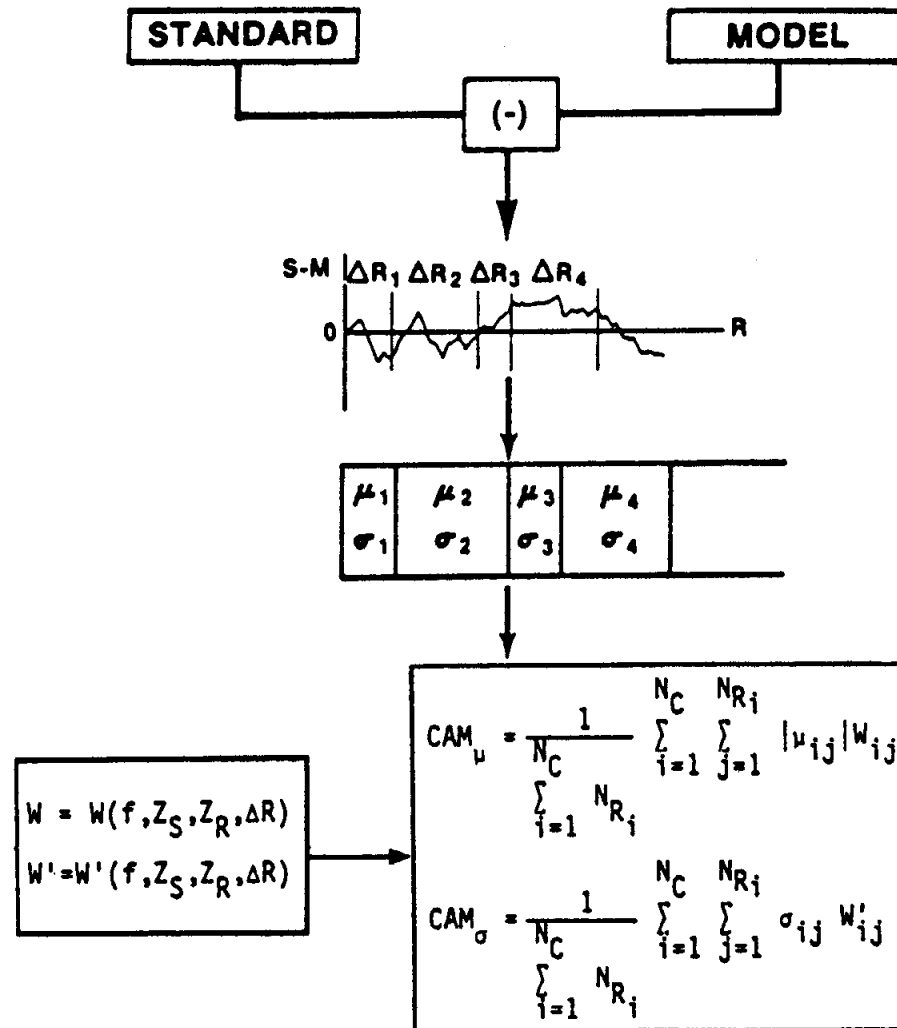
Summary of primary data banks (continued).

NCDC Marine Climatic Data Files http://lwf.ncdc.noaa.gov/oa/ncdc.html	NOAA / NCDC	<ul style="list-style-type: none"> ▪ Air temperature ▪ Pressure ▪ Waves 	<ul style="list-style-type: none"> ▪ Dew point temperature ▪ Sea-surface temperature 	<ul style="list-style-type: none"> ▪ Low clouds ▪ Total clouds 	<ul style="list-style-type: none"> ▪ Wind ▪ Visibility
NGDC Marine Geology and Geophysics Data Files http://www.ngdc.noaa.gov/	NOAA / NGDC	<ul style="list-style-type: none"> ▪ Airborne magnetic survey (elements D,I,F) ▪ Marine magnetic survey (total intensity F only) 	<ul style="list-style-type: none"> ▪ Megascopic core description, marine geological sample index, grain size analysis 	<ul style="list-style-type: none"> ▪ Digital hydrographic survey ▪ Summary bathymetric and topographic files 	<ul style="list-style-type: none"> ▪ Marine bathymetry ▪ Seismic profiles ▪ Marine gravity
NODC Data Files http://www.nodc.noaa.gov/	NOAA / NODC	<ul style="list-style-type: none"> ▪ Temperature ▪ Salinity ▪ Computed sound speed 	<ul style="list-style-type: none"> ▪ Marine meteorological parameters 	<ul style="list-style-type: none"> ▪ Marine chemical parameters 	<ul style="list-style-type: none"> ▪ Surface currents

Summary of sonar performance models including active sonar models, model-operating systems and tactical decision aids.

<i>Active Sonar Models</i>		<i>Model Operating Systems</i>	<i>Tactical Decision Aids</i>
Active RAYMODE [1]	LORA [11]	CAAM [20]	IMAT [25]
ALMOST [2]	MINERAY [12]	CASS [21]	NECTA [26]
ASPM [3]	MOCASSIN [13]	GSM – Bistatic [22]	
CASTAR [4]	MSASM [14]	HydroCAM [23]	
CONGRATS [5]	NISSM – II [15]	PRISM [24]	
GASS [6]	SEARAY [16]		
HODGSON [7]	SONAR [17]		
INSIGHT [8]	SST [18]		
INSTANT [9]	SWAT [19]		
LIRA [10]			

Summary of the POSSM model evaluation methodology. (Lauer, 1979.)



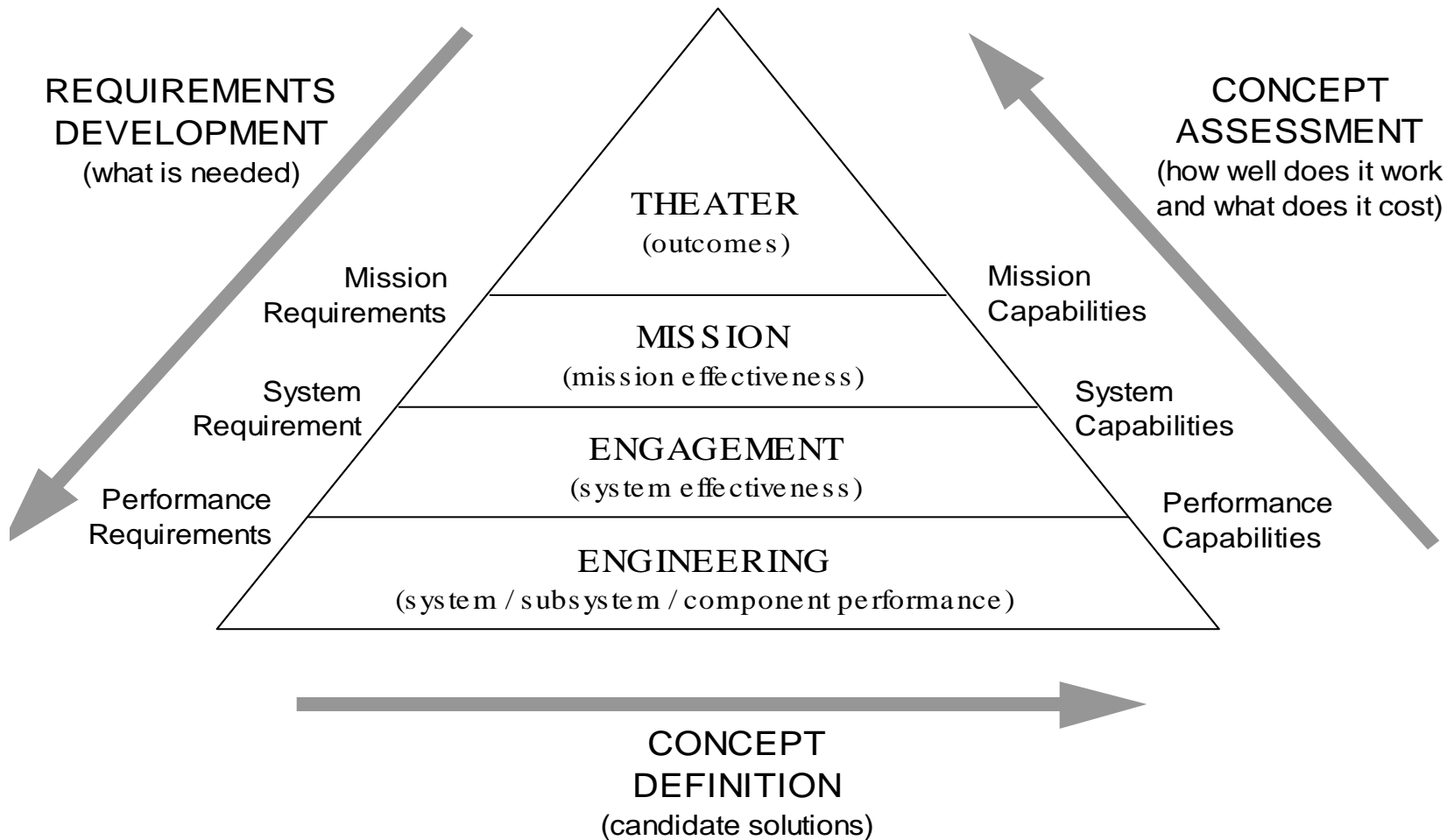
Four categories of simulation based on the degree of human involvement.

	<i>Real systems</i>	<i>Simulated systems</i>
<i>Real people</i>	Live Simulation	Virtual Simulation
<i>Simulated people</i>	Smart Systems	Constructive Simulation

Four principal levels of simulation for naval applications.
(National Research Council, 1997.)

<i>Level</i>	<i>Output</i>	<i>General Applications</i>
Theater	Force dynamics	<ul style="list-style-type: none">• Evaluate force structures.• Evaluate strategies.
Mission	Mission effectiveness	<ul style="list-style-type: none">• Evaluate force employment concepts.
Engagement	System effectiveness	<ul style="list-style-type: none">• Evaluate system alternatives.• Train system operators.• Evaluate tactics.
Engineering	System performance	<ul style="list-style-type: none">• Design and evaluate systems/subsystems.• Support system testing.

Modeling and simulation in system design. (US Department of the Navy, 2000a.)



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