# What is Multivariate Calculus?

Single-variate calculus is the study of functions with one input variable and one output variable:

$$f: \mathbb{R} \to \mathbb{R}.$$
 domain codomain

Example:  $f(x) = x^2$ .

Multivariate calculus is the study of functions with n input variables and m output

$$f: \mathbb{R}^n \to \mathbb{R}^m$$
,

where  $\mathbb{R}^n$  is n-dimensional space:  $\mathbb{R}^n=\{(x_1,\ldots,x_n)\}$ . Example:  $f:\mathbb{R}^2\to\mathbb{R}^3$  given by  $f(x,y)=(x+y,y,x^2+2y^2)$ 

As in the single-variate case, we will approximate functions by their derivatives, which are linear functions: this is why we will need tools from linear algebra.

Multivariate calculus is the study of functions with  $\,n\,$  input variables and  $\,m\,$ output variables:

In this class:

 $(\S5,6:$  Review of integration for functions  $\mathbb{R} o \mathbb{R})$ 

What is the area under the curve  $y=x^2$  for 0 < x < 1? §14: Integration for functions  $\mathbb{R}^n \to \mathbb{R}$ 

What is the volume under the surface  $z=x^2+y^2$  over the triangle 0< x< y< 1? §12 Differentiation for functions  $\mathbb{R}^n\to\mathbb{R}^m$ 

What is the tangent plane at (4,1/2,1) to the surface  $2x+2\ln y=9-z^2$ ? §13 Stationary points and extrema for functions  $\mathbb{R}^n\to\mathbb{R}$ 

What is the largest value of  $2x^2+y^2-y+3$  on the unit disc  $x^2+y^2\leq 1$ ? Our domains will mostly be  $\mathbb{R}^2$  or  $\mathbb{R}^3$  (i.e. n=2,3 usually).

In Math3415 Vector Calculus (m, n are usually 2 or 3):

 $\S 11$  Curves, i.e. functions  $\mathbb{R} \to \mathbb{R}^m$ 

§15 Integration along curves and surfaces

 $\S16$  Relating differentiation and integration for functions  $\mathbb{R}^n o \mathbb{R}^n$ . Semester 2 2017, Week 1, Page 2 of 35

Semester 2 2017, Week 1, Page 1 of 35 HKBU Math 2205 Multivariate Calculus In addition to computation, a very important skill in this class is visualisation in two and three dimensions. From the official syllabus:

## Course Intended Learning Outcomes (CILOs):

Upon successful completion of this course, students should be able to:

Visualize and sketch geometrical objects in 2- and 3-dimension, to manipulate 1 related issues of the chosen topics as outlined in "course content."	No.	Course Intended Learning Outcomes (CILOs)
s outlined in "cours	-	objects in 2- and 3-dimension, t
	-	s outlined in "cours

On homeworks and exams, you will be asked to draw.

Before we start analysing functions, we will spend 1-2 weeks on some geometry in  $\mathbb{R}^n$  .

# §10.2-10.4: Vectors, Lines and Planes

A vector is a quantity with a length and a direction (in n-dimensional space  $\mathbb{R}^n$ ). Vectors are usually represented by arrows. To distinguish between a number (a scalar) and a vector, we type vectors in bold  $(\mathbf{v})$  and hand-write vectors with a arrow on top  $(ec{v})$ .

this is also the Each point  $(x_1,x_2,\ldots,x_n)$  in  $\mathbb{R}^n$  is associated with a *position vector*, whose arrow goes from  $(0,0,\ldots,0)$  to  $(x_1,x_2,\ldots,x_n)$ .



position vector of

Vectors do not generally have a position - that is, two arrows represent the same vector if they are parallel and have the same length, even if they are in different places.

- i. Vector addition  $\mathbf{u} + \mathbf{v}$  (p6, §10.2 definition 1 in textbook);
- ii. Scalar multiplication  $t\mathbf{u}$  (p7,  $\S10.2$  definition 2 in textbook);
- iii. Dot product  $\mathbf{u} \bullet \mathbf{v}$  and length  $|\mathbf{v}| = \sqrt{\mathbf{v} \bullet \mathbf{v}}$  (p13-15, §10.2 definition 3 in textbook)

Using these operations, we can describe some simple geometric objects:

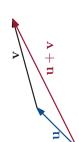
- a. Vector parametric equation and scalar parametric equation of a line (p10-12, §10.4 p590 (8E) p588 (7E) in textbook);
- b. Standard form of a plane (p16-18, §10.4 p588 (8E) p586 (7E) in textbook);
  - c. Spheres, cylinders, etc. (p19-36, §10.1 examples 2-5, 10.5 in textbook).

(There are many many other concepts in these sections of the textbook, which we will not need.)

#### i. Vector addition

Let  ${f u}$  and  ${f v}$  be vectors in  ${\Bbb R}^n$ . To calculate  ${f u}+{f v}$ , put the tail of  ${f v}$  at the head of  ${f u}$ . Then  ${f u}+{f v}$  is the vector going from the tail of  ${f u}$  to the head of  ${f v}$ .





It is easy to check that  $\mathbf{u}+\mathbf{v}=\mathbf{v}+\mathbf{u}$ and  $(\mathbf{u} + \mathbf{v}) + \mathbf{w} = \mathbf{u} + (\mathbf{v} + \mathbf{w})$ .

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#### ii. Scalar multiplication

Let v be a vector and  $\overline{t}$  be a scalar (i.e. a number).

• If t>0, then  $t{\bf v}$  is the vector in the same direction as  ${\bf v}$  whose length is ttimes that of v.

Every vector in  $\mathbb{R}^2$  can be written as the sum of

These two operations allow us to describe all

vectors in  $\mathbb{R}^2$  in the following way:

a "horizontal" vector and a "vertical" vector.

Let i denote the position vector of (1,0), and j

Every 'horizontal" vector is a scalar multiple of

vectors are called the standard basis vectors. denote the position vector of (0,1). These





• If t<0, then  $t{\bf v}$  is the vector in the opposite direction as  ${\bf v}$  whose length is |t|times that of v.



• If t = 0, then  $t\mathbf{v} = 0\mathbf{v} = \mathbf{0}$ , the zero vector, which has length 0 and therefore no particular direction.

It is easy to check that  $\mathbf{v} + (-1)\mathbf{v} = \mathbf{0}$  and  $t(\mathbf{u} + \mathbf{v}) = t\mathbf{u} + t\mathbf{v}$ .

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 $=\frac{7}{2}\mathbf{i}-2\mathbf{j}$ 

an expression is called a linear combination of i

written as  $x\mathbf{i} + y\mathbf{j}$  for some scalars x,y. Such

multiple of  $\mathbf{j}$ , so every vector in  $\mathbb{R}^2$  can be i, and every "vertical" vector is a scalar

 $\mathbf{v} = \mathbf{u} + \mathbf{w}$ 

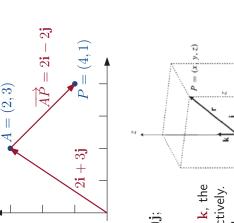
and j.

**Example**: The vector going from  $A=\left(a,b\right)$  to P=(p,q) is  $AP=(p-a)\mathbf{i}+(q-b)\mathbf{j}$ (difference of position vectors)

when vectors are written as linear combinations Addition and scalar multiplication are easy of **i** and j

$$(u_1\mathbf{i} + u_2\mathbf{j}) + (v_1\mathbf{i} + v_2\mathbf{j}) = (u_1 + v_1)\mathbf{i} + (u_2 + v_2)\mathbf{j};$$
  
 $t(u_1\mathbf{i} + u_2\mathbf{j}) = (tu_1)\mathbf{i} + (tu_2)\mathbf{j}.$ 

Similarly, in  $\mathbb{R}^3$ , the *standard basis vectors* are i, j, k, the position vectors of (1,0,0), (0,1,0), (0,0,1) respectively.  $\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n$ , and these are the position vectors of The standard basis vectors in  $\mathbb{R}^n$  are usually called  $(1,0,\ldots,0),(0,1,0,\ldots,0),\ldots,(0,\ldots,0,1).$ HKBU Math 2205 Multivariate Calculus



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## a. Parametric equation of a line

 $\mathbf{v}=a\mathbf{i}+b\mathbf{j}+c\mathbf{k}$  a vector in  $\mathbb{R}^3$ . There is a unique line passing through  $P_0$  parallel Let  $\mathbf{r}_0 = \overline{x_0\mathbf{i} + y_0\mathbf{j} + z_0\mathbf{k}}$  be the position vector of a point  $P_0$  in  $\mathbb{R}^3$ , and

position vector  ${\bf r}=x{\bf i}+y{\bf j}+z{\bf k}$ , then  $P_0\dot P={\bf r}-{\bf r}_0$  is parallel to  ${\bf v}$ , i.e. is a To find a description for this line: if P is any other point on this line, with multiple of  $\mathbf{v}$ . So  $\mathbf{r} - \mathbf{r}_0 = t\mathbf{v}$ , i.e.

$$\mathbf{r} = \mathbf{r}_0 + t\mathbf{v}.$$

This is the vector parametric equation of the line.

Equating the coefficients of i, j and k, we obtain the As linear combinations of the standard basis vectors,  $x\mathbf{i} + y\mathbf{j} + z\mathbf{k} = (x_0\mathbf{i} + y_0\mathbf{j} + z_0\mathbf{k}) + t(a\mathbf{i} + b\mathbf{j} + c\mathbf{k}).$ scalar parametric equations:  $x = x_0 + at$ , the vector parametric equation says

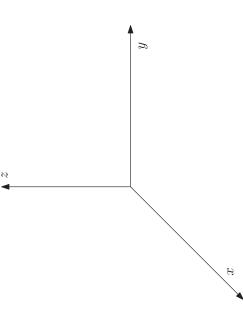
 $y = y_0 + bt,$ 

 $z = z_0 + ct.$ 

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Example: Find the vector and scalar parametric equations for the line through (1,0,-1) parallel to  $-\mathbf{i}-\mathbf{j}+3\mathbf{k}$ , and sketch this line.



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Scalar parametric equations:  $x = x_0 + at$ , Vector parametric equation:  ${f r}={f r}_0+t{f v}$ 

$$y = y_0 + bt,$$
$$z = z_0 + ct.$$

These are call parametric or explicit equations because they give the coordinates of each point on the line as a function of the parameter t. Each value of t in  ${\mathbb R}$ corresponds to one point on the line. We can think of t as time.

 $\mathbb{R}^n$  and  ${f v}$  is a vector in  $\mathbb{R}^n$ , then  ${f r}={f r}_0+t{f v}$  describes the "line" in  $\mathbb{R}^n$  through The same construction works in  $\mathbb{R}^n$ : if  $\mathbf{r}_0$  is the position vector of a point  $P_0$  in  $P_0$  parallel to  ${f v}$ .

But because a plane is 2-dimensional in 3-dimensional space, and 2+1=3, it is We can similarly obtain parametric equations for a plane in  $\mathbb{R}^3$ : if  $\mathbf{r}_0$  is the position vector of a point  $P_0$  in  $\mathbb{R}^3$  and  $\mathbf{v},\mathbf{w}$  are two vectors in  $\mathbb{R}^3$ , then  ${\bf r}={\bf r}_0+t{\bf v}+s{\bf w}$  describes the plane through  $P_0$  parallel to  ${\bf v}$  and  ${\bf w}$ .

easier to work with implicit equations for a plane. HKBU Math 2205 Multivariate Calculus

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#### iii. Dot product

Given vectors  ${\bf u}=u_1{\bf i}+u_2{\bf j}$  and  ${\bf v}=v_1{\bf i}+v_2{\bf j}$  in  $\mathbb{R}^2$ , their dot product (or scalar product) is the scalar

$$\mathbf{u} \bullet \mathbf{v} = u_1 v_1 + u_2 v_2.$$

Given vectors  $\mathbf{u}=u_1\mathbf{i}+u_2\mathbf{j}+u_3\mathbf{k}$  and  $\mathbf{v}=v_1\mathbf{i}+v_2\mathbf{j}+v_3\mathbf{k}$  in  $\mathbb{R}^3$ , their dotproduct is the scalar

$$\mathbf{u} \bullet \mathbf{v} = u_1 v_1 + u_2 v_2 + u_3 v_3.$$

(The definition is similar for other  $\mathbb{R}^n$ .)

Example: If 
$$\mathbf{u}=3\mathbf{i}+4\mathbf{j}-5\mathbf{k}$$
 and  $\mathbf{v}=2\mathbf{i}-\mathbf{j}+2\mathbf{k}$ , then

$$\mathbf{u} \bullet \mathbf{v} = 3 \cdot 2 + 4 \cdot -1 - 5 \cdot 2 = -8.$$

It is easy to check that:

$$\mathbf{u} \bullet \mathbf{v} = \mathbf{v} \bullet \mathbf{u}$$
;

$$\mathbf{u} \bullet (\mathbf{v} + \mathbf{w}) = \mathbf{u} \bullet \mathbf{v} + \mathbf{u} \bullet \mathbf{w}$$

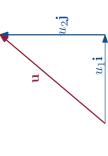
$$(t\mathbf{u}) ullet \mathbf{v} = \mathbf{u} ullet (t\mathbf{v}) = t(\mathbf{u} ullet \mathbf{v})$$
  
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By Pythagoras's Theorem, the length of a vector  ${\bf u} = \vec{u_1} {\bf i} + u_2 {\bf j}$  is  $\sqrt{u_1^2 + u_2^2}$ , i.e.

$$|\mathbf{u}| = \sqrt{\mathbf{u} \cdot \mathbf{u}}$$

The same formula works also in  $\mathbb{R}^3$ :  $|\mathbf{u}| = \sqrt{\mathbf{u} \cdot \mathbf{u}} = \sqrt{u_1^2 + u_2^2 + u_3^2}.$ 



For many applications, we will be interested in vectors of length 1.

# Definition: A unit vector is a vector whose length is 1.

Given  ${f v}$ , to create a unit vector in the direction of  ${f v}$ , we divide  ${f v}$  by its length  $|{f v}|$ This process is called normalising.

**Example**: If 
$$\mathbf{v}=2\mathbf{i}-\mathbf{j}+2\mathbf{k}$$
, then  $|\mathbf{v}|=\sqrt{\mathbf{v}\bullet\mathbf{v}}=\sqrt{2\cdot 2\cdot 2-1\cdot -1+2\cdot 2}=3$ , so a unit vector in the same direction as  $\mathbf{v}$  is  $\frac{\mathbf{v}}{|\mathbf{v}|}=\frac{2}{3}\mathbf{i}-\frac{1}{3}\mathbf{j}+\frac{2}{3}\mathbf{k}$ .

unit vector in the same direction as 
$${f v}$$
 is  ${rac{{f v}}{{{f v}_0}}}=rac{2}{3}{f i}$ 

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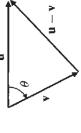
To see why the dot product is important, recall the cosine law:  $|\mathbf{u} - \mathbf{v}|^2 = |\mathbf{u}|^2 + |\mathbf{v}|^2 - 2|\mathbf{u}| |\mathbf{v}| \cos \theta.$ 

We can "expand" the left hand side using dot products:

$$|\mathbf{u} - \mathbf{v}|^2 = (\mathbf{u} - \mathbf{v}) \bullet (\mathbf{u} - \mathbf{v})$$

$$= \mathbf{u} \bullet \mathbf{u} - \mathbf{u} \bullet \mathbf{v} - \mathbf{v} \bullet \mathbf{u}$$

$$= \mathbf{u} \bullet \mathbf{u} - \mathbf{u} \bullet \mathbf{v} - \mathbf{v} \bullet \mathbf{u} + \mathbf{v} \bullet \mathbf{v}$$
$$= |\mathbf{u}|^2 - 2\mathbf{u} \bullet \mathbf{v} + |\mathbf{v}|^2.$$



Comparing with the cosine law, we see  $\mathbf{u} \bullet \mathbf{v} = |\mathbf{u}| |\mathbf{v}| \cos \theta$ .

<sup>≅</sup>, i.e. In particular, two vectors  ${f u}$  and  ${f v}$  are perpendicular if and only if heta=when  $\cos \theta = 0$ . This is equivalent to  $\mathbf{u} \cdot \mathbf{v} = 0$ .

### b. Standard form of a plane

Definition: A normal vector to a plane is a vector perpendicular to it.

 $\mathbf{n} = A\mathbf{i} + B\mathbf{j} + C\mathbf{k}$  a vector in  $\mathbb{R}^3$  . There is a unique plane passing through  $P_0$ Let  ${f r}_0=x_0{f i}+y_0{f j}+z_0{f k}$  be the position vector of a point  $P_0$  in  ${\Bbb R}^3$ , and perpendicular to n.

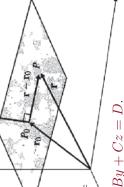
To find a description for this plane: if P is any other point on this plane, with position vector  ${f r}=x{f i}+y{f j}+z{f k}$ , then  $\overline{P_0}\overline{P}={f r}-{f r}_0$  is perpendicular to n. So

$$(\mathbf{r} - \mathbf{r}_0) \bullet \mathbf{n} = 0.$$

To obtain a scalar equation, we again write out the linear combinations of the standard basis vectors:

$$((x\mathbf{i} + y\mathbf{j} + z\mathbf{k}) - (x_0\mathbf{i} + y_0\mathbf{j} + z_0\mathbf{k})) \bullet (A\mathbf{i} + B\mathbf{j} + C\mathbf{k}) = 0,$$
  
0, i.e.  $A(x - x_0) + B(y - y_0) + C(z - z_0) = 0.$ 

We can rearrange this into standard form,  $\sqrt{4x+By+Cz}=D$ .



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The standard form Ax + By + Cz = D is an implicit description of the plane - it is an equation that all points on the plane must satisfy

σ parameters), we can solve for one of the variables in terms of the others: e.g. To obtain an explicit description (i.e. write x,y,z each as a function of parametrisation of x + 3y - 2z = -2 is x = x,

$$y = y,$$

$$z = x + 3y + 1.$$

Question: What is the set satisfying the inequality x+3y-2z<-2?

(Hint: how is the set satisfying z < 0 related to the set satisfying z = 0?)

**Answer**: The inequalities x-3y-2z<-2 and x-3y-2z>-2 describe the two sides of the plane x-3y-z=-2. To find out which inequality describes which side: given a point on the plane, in order to achieve  $x-3y-2z<-2,\,{\rm I}$ can fix x,y and increase z (because the coefficient of z is negative). So the inequality is the region above the plane. (See p33 for another method.)

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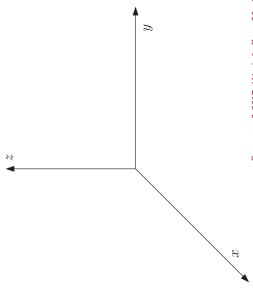
## §10.5: Quadric Surfaces

In general, the set of points in  $\mathbb{R}^n$  satisfying a single equation is an n-1dimensional object, a "hypersurface". Here, we identify and sketch some sets defined by simple cases of a quadratic equation in  $\mathbb{R}^3$  :

$$Ax^{2} + By^{2} + Cz^{2} + Dxy + Exz + Fyz + Gx + Hy + Iz = J.$$

These usually (but not always, see p35-36) describe a 2-dimensional surface. We will also consider when the equals sign in the above equation is replaced by an inequality (< or >), which will usually describe one side of these surfaces. We begin with the simplest case, where one of the variables does not appear in the equation.

**Example**: Describe and sketch the set in  $\mathbb{R}^3$  satisfying  $x^2+y^2=1$ .



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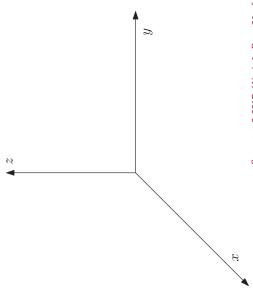
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Recall that it is useful to parametrise a surface, i.e. write x,y,z explicitly as

**Example**: Parametrise the cylinder  $y^2 + 4z^2 = 4$ .

functions of a parameter.



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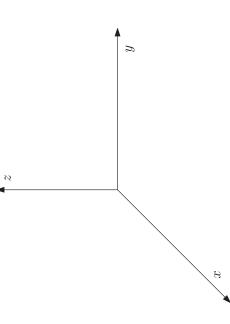
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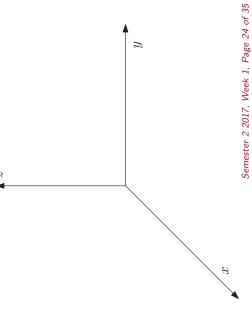
The next simplest quadric surface is when one of the variables only has degree 1.

**Example**: Describe and sketch the set satisfying  $z = x^2 + y^2$ .

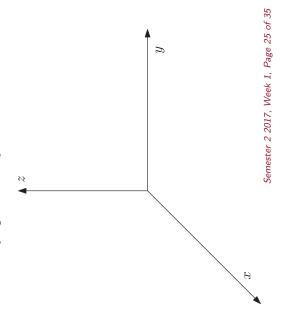


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**Example**: Describe and sketch the set satisfying  $y = x^2 - 2x + z^2$ .



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To summarise p23-25: an equation of the form

$$z = Ax^2 + By^2 + Dxy + Gx + Hy - J$$

describes either:

ellipse, i.e. sum of two squares, e.g. an elliptic paraboloid, if the right hand side is the equation of an  $z = x^2 + y^2$ 

a hyperbolic paraboloid (or a saddle), if the right hand side is the equation of a hyperbola, i.e. difference of two squares, e.g.  $z = x^2 - y^2$ 

The case is similar if y is a quadratic function of x and z, or x is a quadratic function of  $\boldsymbol{y}$  and  $\boldsymbol{z}$ .

It is easy to parametrise a paraboloid, since one of the variables is an explicit

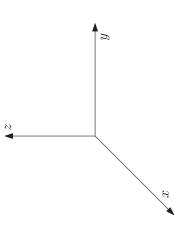
function of the other two. HKBU Math 2205 Multivariate Calculus

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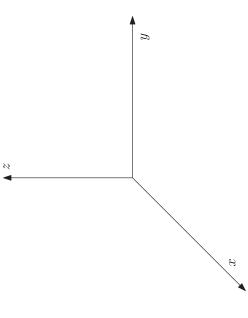
Now we consider the most general case, where (after completing the square to remove cross terms and linear terms) we have  $Ax^2 + By^2 + Cz^2 = J$  and  $A, B, C \neq 0$ .

**Example**: Describe and sketch the set satisfying  $x^2 + y^2 + z^2 = 1$ . First consider the case where  ${\cal A},{\cal B},{\cal C}$  have the same sign:



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**Example**: Describe and sketch the set satisfying  $x^2 + y^2 + 4z^2 = 4$ .



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three-dimensional regions  $(\S10.6, \S14.6)$ .

Now suppose  $Ax^2+By^2+Cz^2=J$  and A,B,C don't all have the same sign, e.g.  $Ax^2 + By^2 - z^2 = J$  with A, B > 0, which we can rearrange as

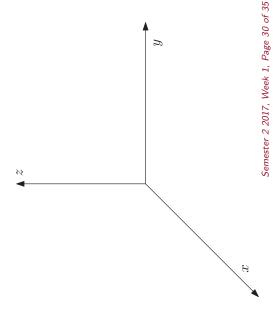
$$z^2 = Ax^2 + By^2 - J, \quad A, B > 0.$$

Now there are three possibilities depending on the sign of J (zero, positive,

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**Example**: Describe and sketch the set satisfying  $z^2 = x^2 + y^2$  (i.e. J = 0).



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To describe and sketch the quadric defined by

then the equation describes a hyperboloid - drawing these is NOT examinable.

J > 0, e.g.  $z^2 = x^2 + y^2 - 1$ : hyperboloid of one sheet;

 $z^2 = Ax^2 + By^2 - J$ ,  $A, B > 0, J \neq 0$ ,

<u>+</u>

J < 0, e.g  $z^2 = x^2 + y^2 + 1$ : hyperboloid of two sheets.

$$Ax^{2} + By^{2} + Cz^{2} + Dxy + Exz + Fyz + Gx + Hy + Iz = J$$
:

- ullet First, complete the square to remove the cross terms Dxy+Exz+Fyz (see week 2 p11)
- $x^2 + \dot{y}^2 = 1$ • If one variable does not appear in the equation, then the set is a cylinder (see p20-21, ex. sheet #2 q2).
- paraboloid is elliptic if the two quadratic variables have the same sign, and  $z = x^2 + y^2$ ;  $z = x^2$ • If one variable only has degree one, then the set is a paraboloid: the hyperbolic if they have different signs (see p25).

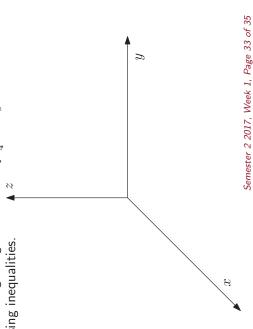
  - If all three variables have degree two: — If the coefficients of  $x^2, y^2, z^2$  have the same sign, then the set is an
- If the coefficients of z, z, z, or a hyperboloid. There is no constant term), or a hyperboloid.  $z^2=x^2+y^2, \ z^2=x^2+y^2-1; \ z^2=x^2+y^2+1$  Semester 2 2017, Week 1, Page 32 of 35 \_\_\_\_ || ellipsoid;  $x^2+y^2+z^2$  — If the coefficients of  $x^2,y^2,z^2$  have different signs, then it is a cone (if

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(pictures from Paul's online math notes) Semester 2 2017, Week 1, Page 31 of 35

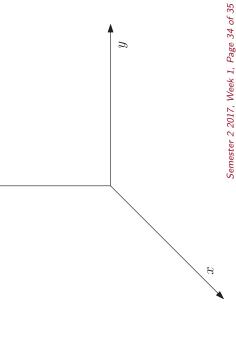
# Regions bounded by surfaces and inequalities

**Example**: Describe and sketch the larger region bounded by  $\frac{1}{4}x^2 + y^2 + z^2 = 1$ and  $z=-\frac{1}{5}$ , and describe it using inequalities.



#### Degenerate cases

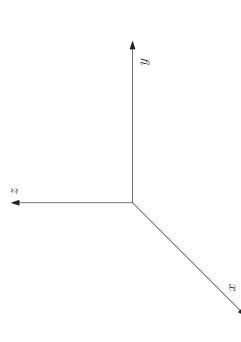
**Example**: Describe and sketch the set satisfying  $x^2 + y^2 + z^2 + 1 = 0$ .



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**Example**: Describe and sketch the set in  $\mathbb{R}^3$  satisfying  $x^2-y^2=0$ .



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