

# Quantum Integrable Systems and Enumerative Geometry

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We will talk about the relationship between two seemingly independent areas of mathematics:

- Quantum Integrable Systems

Exactly solvable models of statistical physics: spin chains, vertex models

1930s: Hans Bethe: Bethe ansatz solution of Heisenberg model

1960-70s: R.J. Baxter, C.N. Young: Yang-Baxter equation, Baxter operator

1980s: Development of "QISM" by Leningrad school leading to the discovery of quantum groups by Drinfeld and Jimbo

Since 1990s: textbook subject and an established area of mathematics and physics.

- Enumerative geometry: quantum K-theory

Generalization of quantum cohomology in the early 2000s by A. Givental, Y.P. Lee and collaborators. Recently big progress in this direction by A. Okounkov and his school.

Outline

Quantum Integrability

Nekrasov-Shatashvili ideas

Quantum K-theory

Further Directions

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## Path to this relationship:

- First hints: work of Nekrasov and Shatashvili on 3-dimensional gauge theories, now known as **Gauge-Bethe correspondence**:

N. Nekrasov, S. Shatashvili, *Supersymmetric vacua and Bethe ansatz*, arXiv:0901.4744

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- Subsequent work in **geometric representation theory**:

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Understanding (enumerative) geometry of **symplectic resolutions**:

"Lie algebras of XXI century" (A. Okounkov' 2012)

Important examples: Springer resolution, Hilbert scheme of points in the plane, Hypertoric varieties,...

A large class of symplectic resolutions is provided by Nakajima quiver varieties (simplest subclass:  $T^*Gr(k, n)$ )

In this talk our main example will be  $T^*Gr(k, n)$  and more generally, cotangent bundles to (partial) flag varieties.



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Based on:

- ▶ Petr P. Pushkar, Andrey Smirnov, A.Z., *Baxter Q-operator from quantum K-theory*, arXiv:1612.08723
- ▶ Peter Koroteev, Petr P. Pushkar, Andrey Smirnov, A.Z., *Quantum K-theory of Quiver Varieties and Many-Body Systems*, arXiv:1705.10419

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Quantum Integrability

Nekrasov-Shatashvili  
ideas

Quantum K-theory

Further Directions

Quantum groups and quantum integrability

Nekrasov-Shatashvili ideas

Quantum K-theory and integrability

Back to Givental's ideas+ further directions

Let us consider Lie algebra  $\mathfrak{g}$ .

The associated *loop algebra* is  $\hat{\mathfrak{g}} = \mathfrak{g}[t, t^{-1}]$  and  $t$  is known as *spectral parameter*.

The following representations, known as *evaluation modules* form a tensor category of  $\hat{\mathfrak{g}}$ :

$$V_1(a_1) \otimes V_2(a_2) \otimes \cdots \otimes V_n(a_n),$$

where

- ▶  $V_i$  are representations of  $\mathfrak{g}$
- ▶  $a_i$  are values for  $t$

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## Quantum group

$$U_{\hbar}(\hat{\mathfrak{g}})$$

is a deformation of  $U(\hat{\mathfrak{g}})$ , with a **nontrivial intertwiner**  $R_{V_1, V_2}(a_1/a_2)$ :

$$V_1(a_1) \otimes V_2(a_2)$$



$$V_2(a_2) \otimes V_1(a_1)$$

which is a rational function of  $a_1, a_2$ , satisfying **Yang-Baxter equation**:



The generators of  $U_{\hbar}(\hat{\mathfrak{g}})$  emerge as matrix elements of  $R$ -matrices (the so-called FRT construction).

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Source of integrability: commuting *transfer matrices*, generating *Baxter algebra* which are weighted traces of

$$\tilde{R}_{W(u), \mathcal{H}_{\text{phys}}} : W(u) \otimes \mathcal{H}_{\text{phys}} \rightarrow W(u) \otimes \mathcal{H}_{\text{phys}}$$

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# Baxter algebra and Integrability

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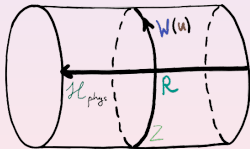
Further Directions

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$$\tilde{R}_{W(u), \mathcal{H}_{\text{phys}}} : W(u) \otimes \mathcal{H}_{\text{phys}} \rightarrow W(u) \otimes \mathcal{H}_{\text{phys}}$$

over auxiliary  $W(u)$  space:

$$T_W(u) = \text{Tr}_{W(u)} \left( (Z \otimes 1) \tilde{R}_{W(u), \mathcal{H}_{\text{phys}}} \right)$$



Here  $Z \in e^{\mathfrak{h}}$ , where  $\mathfrak{h} \in \mathfrak{g}$  are diagonal matrices.

## Integrability:

$$[T_{w'}(u'), T_w(u)] = 0$$

There are special transfer matrices is called *Baxter Q-operators*. Such operators generate all Baxter algebra.

Primary goal for physicists is to diagonalize  $\{T_w(u)\}$  simultaneously.

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# $\mathfrak{g} = \mathfrak{sl}(2)$ : XXZ spin chain

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Textbook example (and main example in this talk) is XXZ Heisenberg spin chain:

$$\mathcal{H}_{\text{XXZ}} = \mathbb{C}^2(a_1) \otimes \mathbb{C}^2(a_2) \otimes \cdots \otimes \mathbb{C}^2(a_n)$$

States:

$\uparrow\uparrow\uparrow\uparrow \downarrow \uparrow\uparrow\uparrow \downarrow \uparrow\uparrow\uparrow\uparrow \downarrow \uparrow\uparrow\uparrow\uparrow \downarrow\downarrow \uparrow\uparrow\uparrow$

Here  $\mathbb{C}^2$  stands for 2-dimensional representation of  $U_h(\widehat{\mathfrak{sl}}_2)$ .

Algebraic method to diagonalize transfer matrices:

Algebraic Bethe ansatz

as a part of Quantum Inverse Scattering Method developed in the 1980s.



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The eigenvalues are generated by symmetric functions of **Bethe roots**  $\{x_i\}$ :

$$\prod_{j=1}^n \frac{x_i - a_j}{\hbar a_j - x_i} = z \hbar^{-n/2} \prod_{\substack{j=1 \\ j \neq i}}^k \frac{x_i \hbar - x_j}{x_i - x_j \hbar}, \quad i = 1 \cdots k,$$

so that the eigenvalues  $\Lambda(u)$  of the  $Q$ -operator are the generating functions for the elementary symmetric functions of Bethe roots:

$$\Lambda(u) = \prod_{i=1}^k (1 + u \cdot x_i)$$

A real challenge is to describe representation-theoretic meaning of  $Q$ -operator for general  $\mathfrak{g}$  (possibly infinite-dimensional).

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# q-difference equation

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Modern way of looking at Bethe ansatz: solving **q-difference equations** for

$$\Psi(z_1, \dots, z_k; a_1, \dots, a_n) \in V_1(a_1) \otimes \cdots \otimes V_n(a_n)[[z_1, \dots, z_k]]$$

known as

Quantum Knizhnik-Zamolodchikov (aka Frenkel-Reshetikhin) equations:

$$\Psi(qa_1, \dots, a_n, \{z_i\}) = (Z \otimes 1 \otimes \cdots \otimes 1) R_{V_1, V_n} \dots R_{V_1, V_2} \Psi$$

+

commuting difference equations in  $z$  – variables

Here  $\{z_i\}$  are the components of twist variable  $Z$ .

The latter series of equations are known as **dynamical equations**, studied by Etingof, Felder, Tarasov, Varchenko, ...

In  $q \rightarrow 1$  limit we arrive to an eigenvalue problem. Studying the asymptotics of the corresponding solutions we arrive to Bethe equations and eigenvectors.

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# Nekrasov-Shatashvili ideas

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In 2009 Nekrasov and Shatashvili looked at 3d SUSY gauge theories on  $\mathbb{C} \times S^1$ :



with gauge group

$$G = U(v_1) \times U(v_2) \times \dots U(v_{\text{rank } \mathfrak{g}}),$$

and some "matter fields" (sections of associated vector  $G$ -bundles), to be specified below.

The collection  $\{v_i\}$  determines the weights of the corresponding subspace in  $\mathcal{H}$ .

In the simplest case of  $\mathfrak{g} = \mathfrak{sl}(2)$  we just have one  $U(v)$  and

$$\uparrow\uparrow\uparrow\uparrow \downarrow \uparrow\uparrow\uparrow \downarrow \uparrow\uparrow\uparrow\uparrow \downarrow \uparrow\uparrow\uparrow\uparrow\uparrow \downarrow\downarrow \uparrow\uparrow\uparrow, \text{ and } \# \downarrow = v$$

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# Full Gauge/Bethe correspondence dictionary

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Gauge group  $G$ :  $U(v_1) \times U(v_2) \times \dots U(v_{\text{rank } g})$

The set  $\{v_i\}$  determines the weight (e.g. number of inverted spins)

Maximal torus:  $\{x_{i_1}, \dots, x_{i_{v_i}}\}$  — these are **Bethe roots** variables.

Matter Fields: affine space  $\mathcal{M}$

► Standard matter fields:  $\bigoplus_{i=1}^{\text{rank } g} V_i^* \otimes W_i$ , s.t.  $\dim(V_i) = v_i$ ;

$W_i$  is a *framing* (“*flavor*”) space, where  $\mathbb{C}_{a_1}^\times \times \mathbb{C}_{a_2}^\times \times \dots$  act.

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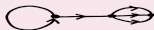
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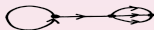
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$$\text{str}(e^{-\beta \not{D}^2} A) = \text{tr}_{\text{Ker } \not{D}_{\text{even}}}(A) - \text{tr}_{\text{Ker } \not{D}_{\text{odd}}}(A) = \text{str}_{\text{index } \not{D}}(A)$$

Mathematically those correspond to (very similar to GW curve counting!) weighted K-theoretic counts of **quasimaps**:

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The weight (Kähler) parameter is  $Z^{\deg(f)}$ , which is exactly twist parameter  $Z$  we encountered before.

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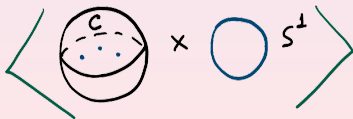
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One can think of **quantum K-theory ring**:



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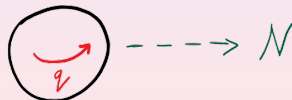
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Further input by Okounkov:

$q$  – difference equations = qKZ equations + dynamical equations



In the following we will talk about this in the simplest case:

- ▶ Nakajima variety:  $N = T^* Gr(k, n)$
- ▶ Quantum Integrable System:  $\mathfrak{sl}(2)$  XXZ spin chain.



$$T^*Gr(k, n) = N_{k,n}, \quad \sqcup_k N_{k,n} = N(n).$$

As a Nakajima variety:

$$N_{k,n} = T^*\mathcal{M} // GL(V) = \mu^{-1}(0)_s / GL(V),$$

where

$$T^*\mathcal{M} = Hom(V, W) \oplus Hom(W, V)$$

Tautological bundles:

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# Tori, Fixed points and Bethe roots

Anton Zeitlin

## Torus action:

$$A = \mathbb{C}_{a_1}^\times \times \cdots \times \mathbb{C}_{a_n}^\times \curvearrowright W,$$

Full torus :  $T = A \times \mathbb{C}_\hbar^\times$ , where  $\mathbb{C}_\hbar^\times$  scales cotangent directions

## Fixed points: $\mathbf{p} = \{s_1, \dots, s_k\} \in \{a_1, \dots, a_n\}$

Denote  $\mathcal{A} := \mathbb{Q}(a_1, \dots, a_n, \hbar)$ ,  $R := \mathbb{Z}(a_1, \dots, a_n, \hbar)$ , then **localized K-theory** is:

$$K_T(N(n))_{loc} = K_T(N(n)) \otimes_R \mathcal{A} = \sum_{k=0}^n K_T(N_{k,n}) \otimes_R \mathcal{A}$$

is a  $2^n$ -dimensional  $\mathcal{A}$ -vector space (Hilbert space for spin chain),  
spanned by  $\mathcal{O}_{\mathbf{p}}$ .

Classical Bethe equations: The eigenvalues of the operators of multiplication by  $\tau$  are  $\tau(x_1, \dots, x_k)$  evaluated at the solutions of the following equations:

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# Quantum tautological classes and Bethe equations

Anton Zeitlin

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We will use theory of **quasimaps**:

$$\mathcal{C} \dashrightarrow N_{k,n}$$

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- ▶ section  $f \in H^0(\mathcal{C}, \mathcal{M} \oplus \mathcal{M}^* \otimes \hbar)$ , satisfying the condition  $\mu = 0$ , where  $\mathcal{M} = \text{Hom}(\mathcal{V}, \mathcal{W})$ , so that  $\mathcal{W}$  is a trivial bundle of rank  $n$ .

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For the moduli space of quasimaps

$$QM(N_{k,n}) = \text{stable quasimaps to } N_{k,n} / \sim$$

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# Relative quasimaps

Anton Zeitlin

Resolution, to make evaluation map proper:

$$\begin{array}{ccc} & QM^d(N_{k,n})_{\text{relative } p} & \\ \nearrow & & \searrow \tilde{\text{ev}}_p \\ QM^d(N_{k,n})_{\text{nonsing } p} & \xrightarrow{\text{ev}_p} & N_{k,n} \end{array}$$

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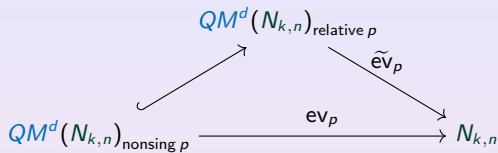
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That allows the curve to break: emergence of “*accordeons*”:



$$\begin{array}{ccc} p' & \longrightarrow & \mathcal{C}' \xrightarrow{f'} N_{k,n} \\ \downarrow & & \downarrow \pi \\ p & \longrightarrow & \mathcal{C} \end{array}$$

i)  $\pi$  is a stabilization of  $(\mathcal{C}', p')$

ii)  $f'$  : nonsing at  $p'$  and nodes of  $\mathcal{C}'$

iii)  $\text{Aut}(f')$  is finite

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$QM^d(N_{k,n})$  have perfect deformation-obstruction theory:

- If  $(\mathcal{V}, \mathcal{W})$  defines quasimap nonsingular at  $p$ ,

$$T_{(\mathcal{V}, \mathcal{W})}^{\text{vir}} QM^d_{\text{nonsing } p}(N_{k,n}) = \text{Def} - \text{Obs} = H^\bullet(\mathcal{P} \oplus \hbar \mathcal{P}^*),$$

where  $\mathcal{P}$  is the polarization bundle on the curve  $\mathbb{C}$ :

$$\mathcal{P} = \mathcal{W} \otimes \mathcal{V}^* - \mathcal{V}^* \otimes \mathcal{W}.$$

- Virtual structure sheaf:

$$\hat{\mathcal{O}}_{\text{vir}} = \mathcal{O}_{\text{vir}} \otimes \mathcal{K}_{\text{vir}}^{1/2} \dots,$$

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# Pushforwards and degeneration formula

Anton Zeitlin

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How to degenerate curve in a suitable way?

Avoiding singularities  $\rightarrow$  Degeneration formula:

$$\chi(QM(\mathbb{C}_\epsilon \rightarrow N_{k,n}), \hat{\theta}_{\text{vir}} z^d) = (\mathbf{G}^{-1} \text{ev}_{1,*}(\hat{\theta}_{\text{vir}} z^d), \text{ev}_{2,*}(\hat{\theta}_{\text{vir}} z^d))$$

Here pairing  $(\mathcal{F}, \mathcal{G}) := \chi(\mathcal{F} \otimes \mathcal{G} \otimes K^{-1/2})$ ,

$$\text{ev}_i : QM(\mathbb{C}_{0,i} \rightarrow N_{k,n})_{\text{relative gluing point}} \rightarrow N_{k,n}$$

$$\text{---} = \text{---} \times \text{---} = \text{---} \rangle \mathbf{G}^{-1} ( \text{---} \text{---} \text{---}$$

so that  $\mathbf{G}$  is a gluing operator  $\longleftrightarrow$ :

$$\mathbf{G} = \sum_{d=0}^{\infty} z^d \text{ev}_{p_1, p_2, *} \left( QM_{\text{relative } p_1, p_2}, \hat{\theta}_{\text{vir}} \right) \in K_T(N_{k,n})^{\otimes 2}[[z]]$$

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# Quantum multiplication, quantum tautological classes

Anton Zeitlin

We define the commutative and associative **quantum product** by means of the following element in  $K_T(N_{k,n})^{\otimes 2}[[z]]$ :

$$\mathcal{F} \circledast \cdot = \sum_{d=0}^{\infty} z^d \text{ev}_{p_1, p_3, *} \left( QM^d_{\text{relative } p_1, p_2, p_3}, \text{ev}_{p_2}^* (\mathbf{G}^{-1} \mathcal{F}) \hat{\theta}_{\text{vir}} \right) \mathbf{G}^{-1}$$

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$QK_T(N_{k,n}) = K_T(N_{k,n})[[z]]$  is a **unital algebra**, so that:

$$\hat{\mathbf{1}}(z) = \mathbf{1} \bullet \longrightarrow = \sum_{d=0}^{\infty} z^d \text{ev}_{p_2, *} \left( QM^d_{\text{relative } p_2}, \hat{\theta}_{\text{vir}} \right)$$

Similarly, one defines **quantum tautological classes**:

$$\hat{\tau}(z) = \tau \bullet \longrightarrow = \sum_{d=0}^{\infty} z^d \text{ev}_{p_2, *} \left( QM^d_{\text{relative } p_2}, \hat{\theta}_{\text{vir}} \tau(\mathcal{V}|_{p_1}) \right)$$

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Let us talk about  $G = T \times \mathbb{C}_q^\times$ -equivariant K-theory.

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- ▶ **Vertex**, a class in  $K_G(N_{k,n})_{loc}[[z]]$ :

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singular in  $q \rightarrow 1$  limit.

Let us talk about  $G = T \times \mathbb{C}_q^\times$ -equivariant K-theory.

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$$V^{(\tau)}(z) = \tau \text{---} \circ = \sum_{d=0}^{\infty} z^d \text{ev}_{p_2,*} \left( QM^d_{\text{nonsing } p_2}, \hat{\theta}_{\text{vir}} \tau(\mathcal{V}|_{p_1}) \right)$$

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Fusion operator is defined as the following class in  $K_G^{\otimes 2}(N_{k,n})_{loc}[[z]]$ :

$$\Psi(z) = \sum_{d=0}^{\infty} z^d \text{ev}_{p_1, p_2, *} \left( \begin{matrix} QM^d \\ \text{relative } p_1 \\ \text{nonsing } p_2 \end{matrix}, \hat{\theta}_{\text{vir}} \right)$$

# q-difference equation

Anton Zeitlin

Fusion relates two types of vertices:

$$\hat{V}^{(\tau)}(z) = \Psi(z) V^{(\tau)}(z)$$

$$\leftarrow \bullet \tau = \leftarrow \circ \circ \bullet \tau$$

**Theorem.** i)[A. Okounkov] Fusion operator satisfies q-difference equation:

$$\Psi(qz) = M(z) \Psi(z) \mathcal{O}(1)^{-1},$$

where  $\mathcal{O}(1)$  is the operator of classical multiplication by the corresponding line bundle and

$$M(z) = \sum_{d=0}^{\infty} z^d \text{ev}_* \left( QM^d_{\text{relative } p_1, p_2}, \hat{\mathcal{O}}_{\text{vir}} \det H^*(\mathcal{V} \otimes \pi^*(\mathcal{O}_{p_1})) \right) \mathbf{G}^{-1},$$

where  $\pi$  is a projection from semistable curve  $\mathcal{C}' \rightarrow \mathcal{C}$  and  $\mathcal{O}_{p_1}$  is a class of point  $p_1 \in \mathcal{C}$ .

ii) [P. Pushkar, A. Smirnov, A.Z] Under the specialization  $q = 1$  the operator  $M(z)$  coincides with the operator of quantum multiplication by the quantum line bundle:

$$M(z)|_{q=1} = \widehat{\mathcal{O}(1)}(z).$$

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**Theorem.** [P. Pushkar, A. Smirnov, A.Z.]

i) Localization formula implies the following integral formula for the vertex:

$$V_{\mathbf{p}}^{(\tau)}(z) = \frac{1}{2\pi i \alpha_p} \int_{C_p} \prod_{i=1}^k \frac{ds_i}{s_i} e^{-\frac{\ln(z_{\#}) \ln(s_i)}{\ln(q)}} \prod_{i,j=1}^k \frac{\varphi\left(\frac{s_i}{s_j}\right)}{\varphi\left(\frac{q}{h} \frac{s_i}{s_j}\right)} \prod_{i=1}^n \prod_{j=1}^k \frac{\varphi\left(\frac{q}{h} \frac{s_j}{a_j}\right)}{\varphi\left(\frac{s_j}{a_j}\right)} \tau(s_1, \dots, s_k),$$

where  $\varphi(x) = \prod_{i=0}^{\infty} (1 - q^i x)$ ,  $z_{\sharp} = (-1)^n \hbar^{n/2} z$ ,  $\alpha_p$  is a normalization parameter.

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ii) The eigenvalues  $\tau_p(z)$  of  $\hat{\tau}(z)$  are labeled by fixed points are given by the following formula:

$$\tau_p(z) = \lim_{q \rightarrow 1} \frac{V_p^{(\tau)}(z)}{V_p^{(1)}(z)} = \tau(x_{i_1}, x_{i_2}, \dots, x_{i_k})$$

where  $V_p^{(\tau)}(z)$  are the components of bare vertex in the basis of fixed points and  $\{x_{i_r}\}$  are the solutions of Bethe equations.

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# Relation to Many-Body systems: (partial) flags

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Givental and his collaborators (1990s and early 2000s): relation between quantum geometry of flag varieties and many body systems.

Cotangent bundle to partial flag variety is a

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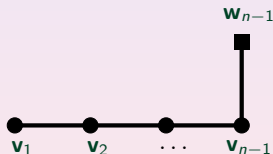
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# Relation to Ruijsenaars-Schneider and Toda systems

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Relations between classical **multiparticle systems** and **quantum integrable models** were observed on various levels (Mukhin, Tarasov, Varchenko, Zabrodin, Zotov, ...)

Gaiotto and Koroteev indicated that in the context of Gauge/Bethe correspondence of Nekrasov and Shatashvili.

Generalization of Givental and Kim result:

**Theorem.**[P. Koroteev, P. Pushkar, A. Smirnov, A.Z.] Quantum K-theory of  $T^*\mathbb{F}\ell$  ( $\mathbb{F}\ell$ ) is an algebra of functions on the Lagrangian subvariety in the phase space of trigonometric Ruijsenaars-Schneider (relativistic Toda) system.



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- ▶ Original Givental's work: J-functions (analogue of vertices) as eigenfunctions of  $q$ -difference Toda Hamiltonians.

Recent work of Zabrodin and Zotov connects  $qKZ$  equations and eigenfunction problem for quantum many-body Hamiltonians.  
Geometric meaning?

- ▶ There are quantum Wronskian relations, which  $Q$ -operators satisfy.  
Geometric meaning?
- ▶ Enumerative geometry of symplectic resolutions  $\rightarrow$  new kinds of integrable systems. Simplest example: Hilbert scheme of points on a plane.
- ▶ Elliptic quantum groups, integrable systems and Elliptic cohomology from 4-dimensional Gauge theories. Some recent progress by Aganagic and Okounkov.

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Thank you!