

CMPSC-265

Data Structures and Algorithms

Zaihan Yang
zyang13@suffolk.edu

Department of Math and Computer Science
Suffolk University

Fall 2019

Notice

- HW7 posted. Will be due on next Sunday midnight.
- Midterm_Exam1 will be held on:
 - Time: Oct 23rd (Wednesday)
 - Location: the same classroom
 - Topics:
 - The 1st week to this week's topics

Recap

- Merge Sort

Learning Topics

- Discussion on Quiz 2
- More on Quick Sort

Quick Sort

- **Basic idea:**

- Partitioning (rearrange) the array into two subarrays, and then sort each subarray independently and recursively.
- When the two subarrays are in-sort, the entire array is sorted.
- Different from Merge sort where the array is divided in half, in quick sort, the position of the partition depends on the contents of the array.

Quick Sort

- **Property:**

- Also follow the divide-and-conquer paradigm.
- Often use recursion
- An **in-place** algorithm
- Running time is **$n \log n$** on the average for an array of length n .
- Popular, and more often than other sorting algorithms.
- It is Quick, quicker than other sorting algorithms in typical applications.

Quicksort Algorithm

Given an array of n elements:

- If array only contains one element, return
- Else
 - pick one element to use as *pivot*.
 - Partition elements into two sub-arrays:
 - Elements less than or equal to pivot
 - Elements greater than pivot
 - Recursively Quicksort two sub-arrays
 - Return results

Quick Sort

- The recursive algorithm

```
public void quickSort(int arr[], int low, int high)
{
    if (low < high)
    {
        //partition
        int index = partition(arr, low, high);

        // Recursively sort each partition
        quicksort (arr, low, index-1);
        quicksort (arr, index+1, high);
    }
}
```


Quick Sort

- Two key processes:
 - How to pick a pivot?
 - There are many different ways to choose a pivot, and often we choose to use the first element in the array (subarray).
 - Can always choose the first one
 - Can always choose the last one
 - Choose a median one
 - How to do partition?
 - Goal: partition elements into two sub-arrays:
 - Elements less than or equal to pivot
 - Elements greater than pivot
 - How to do it?

Quick sort: partition code

```
private static int partition(Comparable[] a, int lo, int hi)
{ // Partition into a[lo..i-1], a[i], a[i+1..hi].
    int i = lo, j = hi+1;           // left and right scan indices
    Comparable v = a[lo];           // partitioning item
    while (true)
    { // Scan right, scan left, check for scan complete, and exchange.
        while (less(a[++i], v)) if (i == hi) break;
        while (less(v, a[--j])) if (j == lo) break;
        if (i >= j) break;
        exch(a, i, j);
    }
    exch(a, lo, j);                 // Put v = a[j] into position
    return j;                       // with a[lo..j-1] <= a[j] <= a[j+1..hi].
}
```

Example of partitioning

- choose pivot: 4 3 6 9 2 4 3 1 2 1 8 9 3 5 6
- search: 4 3 6 9 2 4 3 1 2 1 8 9 3 5 6
- swap: 4 3 3 9 2 4 3 1 2 1 8 9 6 5 6
- search: 4 3 3 9 2 4 3 1 2 1 8 9 6 5 6
- swap: 4 3 3 1 2 4 3 1 2 9 8 9 6 5 6
- search: 4 3 3 1 2 4 3 1 2 9 8 9 6 5 6
- swap: 4 3 3 1 2 2 3 1 4 9 8 9 6 5 6
- search: 4 3 3 1 2 2 3 1 4 9 8 9 6 5 6 (left > right)
- swap with pivot: 1 3 3 1 2 2 3 4 4 9 8 9 6 5 6

Quick Sort: partitioning

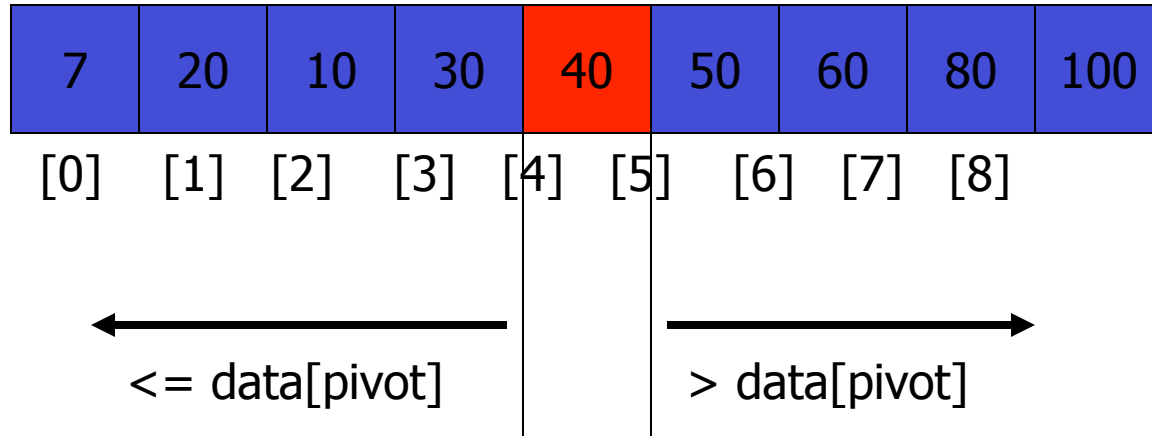
	i	j	a[]															
			0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
initial values	0	16	K	R	A	T	E	L	E	P	U	I	M	Q	C	X	O	S
scan left, scan right	1	12	K	R	A	T	E	L	E	P	U	I	M	Q	C	X	O	S
exchange	1	12	K	C	A	T	E	L	E	P	U	I	M	Q	R	X	O	S
scan left, scan right	3	9	K	C	A	T	E	L	E	P	U	I	M	Q	R	X	O	S
exchange	3	9	K	C	A	I	E	L	E	P	U	T	M	Q	R	X	O	S
scan left, scan right	5	6	K	C	A	I	E	L	E	P	U	T	M	Q	R	X	O	S
exchange	5	6	K	C	A	I	E	E	L	P	U	T	M	Q	R	X	O	S
scan left, scan right	6	5	K	C	A	I	E	E	L	P	U	T	M	Q	R	X	O	S
final exchange	6	5	E	C	A	I	E	K	L	P	U	T	M	Q	R	X	O	S
result		5	E	C	A	I	E	K	L	P	U	T	M	Q	R	X	O	S

Example

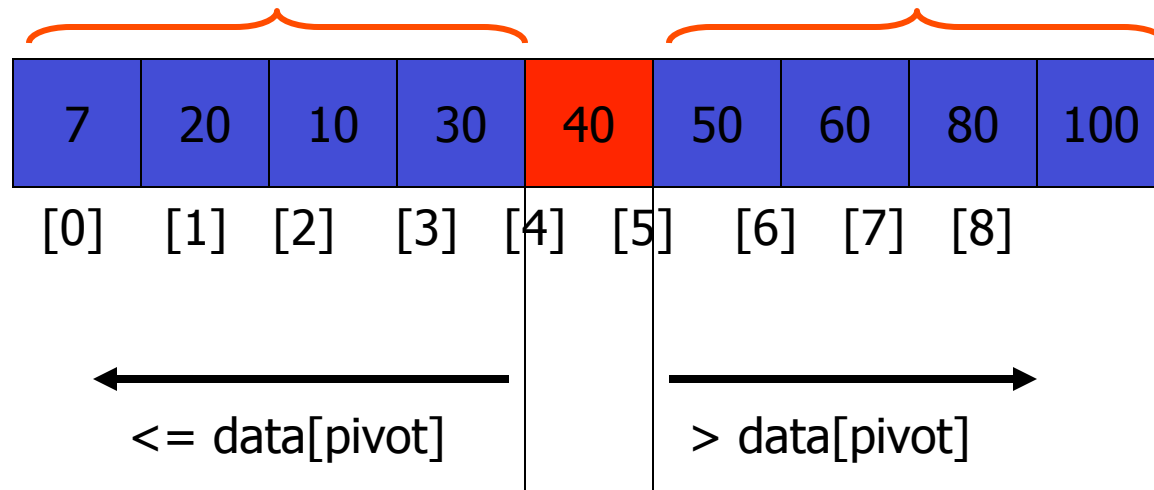
We are given array of n integers to sort:

40	20	10	80	60	50	7	30	100
----	----	----	----	----	----	---	----	-----

Partition Result

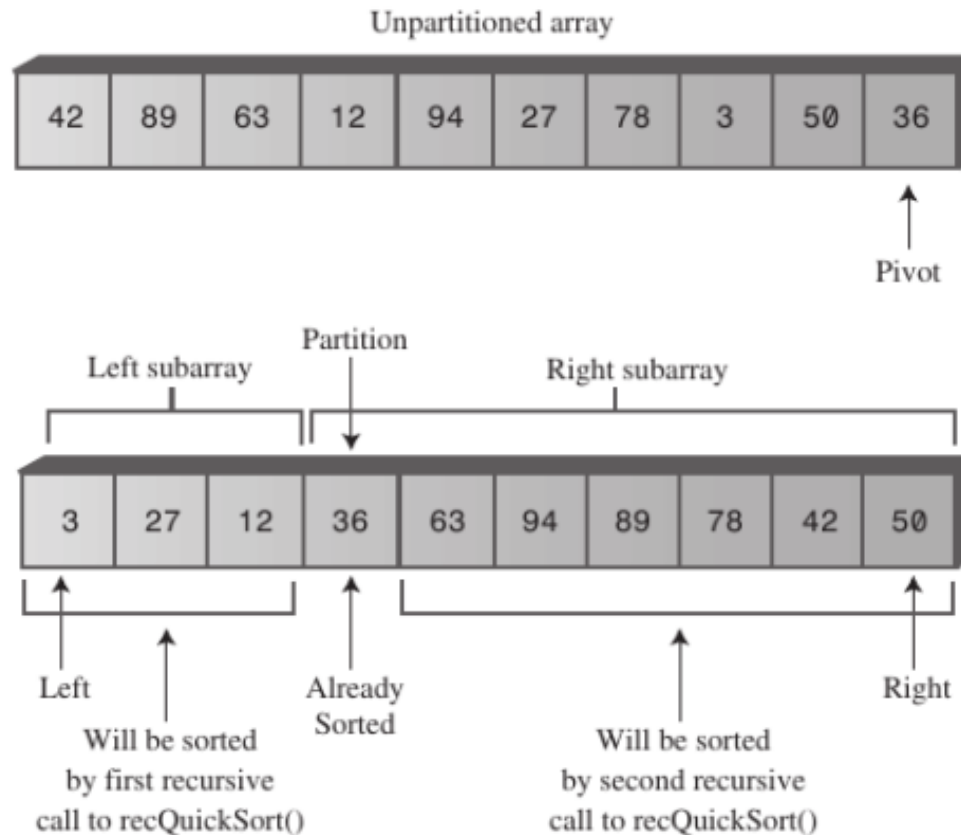


Recursion: Quicksort Sub-arrays



Partitioning the Array (Choosing the last one as pivot)

- Using the last element as the pivot



Partition(last element as pivot)

```
public int partition(int arr[], int low, int high)
{
    int pivot = arr[high];
    int i = (low-1); // index determining the end of left partition
    for (int j=low; j<high; j++)
    {
        if (arr[j] <= pivot) // arr[j] should be in the left partition
        {
            i++;
            // swap arr[i] and arr[j]
            int temp = arr[i];
            arr[i] = arr[j];
            arr[j] = temp;
        }
    }

    // put the pivot in its place
    int temp = arr[i+1];
    arr[i+1] = arr[high];
    arr[high] = temp;

    return i+1;
}
```

What is the time complexity of partition method?

$O(n)$

Choosing Pivot

- For simplicity we used the last element as the pivot.
 - fine if the input is random
 - What happens if the array is sorted?
 - We will have a subarray of size 0 and a subarray of size $n-1$
 - n recursion levels $\rightarrow O(n^2)$ total complexity
- Ideally we want to divide the array into halves
 - It makes $\log(n)$ recursion levels $\rightarrow O(n \log n)$ total complexity

Quick Sort: Improvement

- Picking a better pivot

- rather than picking the first/last as pivot:
 - drawback: if the array is already sorted, the partition will be biased to worst case: $\sim n^2$
 - Median of three elements:
 - It will be the best if we can find the median of the entire array, so to evenly partition into two halves
 - Unrealistic, so find the median of three elements for any subarray:
 - The first, middle and the last one
 - Pivot = median of $a[0]$, $a[n/2]$ and $a[n-1]$
 - $a[0]=8$, $a[n/2]=3$, $a[n-1]=5$, then the median is 5.

Partition(general, pivot isn't the last)

```
public int partition(int[] arr, int left, int right)
{
    int pivot = medianOfThree (arr[], left, right); // Pick pivot point

    while (left <= right) {

        while (arr[left] < pivot)
            left++;

        while (arr[right] > pivot)
            right--;

        // Swap elements, and update left and right
        if (left <= right) {
            int temp = arr[left];
            arr[left] = arr[right];
            arr[right] = temp;
            left++;
            right--;
        }
    }
    return left;
}
```

You can find median of three with comparisons.

It is easier to put the median of the three at the end and use the previous partition code.

Quick Sort: performance analysis

- **Best case:** partition into evenly half
 - $T(N)=T(N/2)+N$ (n is for the compares in partitioning)
 - proved to be $N\log N$ (Merge sort)
- **Worst case:** two partitions are extremely biased, i.e. left subarray contains only 1 element, and right one contains the $N-1$
 - $T(N)=N+N-1+N-2+\dots\dots\dots 1=N(N+1)/2 \sim N^2$

Quick Sort: performance analysis

■ Average Case: (can be obtained by shuffling)

1. $T_N = N+1 + (T_0 + T_1 + T_2 + \dots + T_{N-2} + T_{N-1})/N + (T_{N-1} + T_{N-2} + \dots + T_0)/N$

2. $NT_N = N(N+1) + 2(T_0 + T_1 + \dots + T_{N-2} + T_{N-1})$

3. $(N-1)T_{N-1} = (N-1)N + 2(T_0 + T_1 + \dots + T_{N-3} + T_{N-2})$

Subtract 2-3:

4. $NT_N - (N-1)T_{N-1} = 2N + 2T_{N-1}$

5.
$$\begin{aligned} T_N/(N+1) &= T_{N-1}/N + 2/(N+1) \\ &= T_{N-2}/(N-1) + 2/N + 2/(N+1) \\ &= T_{N-3}/(N-2) + 2/(N-1) + 2/N + 2/(N+1) \\ &= \dots \end{aligned}$$

$$= T_1/2 + 2(1/3 + 1/4 + 1/5 + \dots + 1/(N+1))$$

6. $T_N \sim 2(N+1)(1/3 + 1/4 + 1/5 + \dots + 1/(N+1)) \sim 2N \log N$

the integral of function $1/x$ is $\log x$

Stable Sort

- Stability: A sorting method is stable if it preserves the relative order of equal keys in the array.
- Is merge sort **stable**?
 - yes, items with equal keys appear in the same order as before
- It does not matter when the key=value, like list of integers,...
- Example
 - key=student names, value= section in this course

Summary on Sorting Algorithms

Algorithm	Best Case	Average Case	Worst Case	In-Place?	Stable?
Selection	$O(N^2)$	$O(N^2)$	$O(N^2)$	Yes	No
Insertion	$O(N)$	$O(N^2)$	$O(N^2)$	Yes	Yes
Bubble	$O(N^2)$	$O(N^2)$	$O(N^2)$	Yes	Yes
Merge	$O(N \log N)$	$O(N \log N)$	$O(N \log N)$	No	Yes
Quick	$O(N \log N)$	$O(N \log N)$	$O(N^2)$	Yes	No

Closing Remarks on Sorting

- Hybrid Approach
 - Do quick sort, but switch to insertion sort if array is small (around 10 elements).
- All the algorithms we discussed so far **were based on comparisons.**
 - You cannot do better than $O(n \log n)$ in a comparison based sorting algorithm.

Closing Remarks on Sorting

- There are non-comparison based algorithms
 - Counting sort
 - Gives $O(n+k)$ if elements are in range 1 to k
 - Needs additional space to save counts
 - Radix sort
 - Sort digit by digit from least significant to the most significant digit.
 - Uses counting sort every time.
 - Does it matter to use a stable sort for every digit?
 - Yes, otherwise it may undo the previous sort step.
Example: 5, 29, 21

System sort

- `import java.util.Arrays;`
- `int[] a = new int(10);`
- `Arrays.sort(a);`
- Property:
 - Different sorting algorithm for different data types; Typically, 3-way quick sort for primitive data types and Merge-sort for reference type.
 - Trade speed and memory usage for stability (primitive data type) and guaranteed performance (reference type)
 - A method that data types that implements Comparable interface
 - A method that uses Comparator.