

Redundancy Resolution using Backtracking and a Discretized Nullspace-Search Approach

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Abstract—Redundant manipulators pose a non-trivial inverse kinematics problem. Due to computability restrictions, most of the existing approaches only offer local optimality guarantees. The vast majority of these methods are based on the Gradient Projection Method (GPM) [1] which use the self-motions in the Jacobian nullspace to achieve secondary tasks. In this paper, we propose a method that also exploits the nullspace, but instead of projecting arbitrary configurations, our approach discretizes the nullspace and perform an exhaustive search, selecting the configuration(s) that maximizes a desirable performance function. Furthermore, our algorithm implements a backtracking schema to prevent failures caused by local minima. Simulated experiments show that our algorithm solve tracking problems that cannot be solved otherwise by using a GPM based approach. We present results with diverse robotic manipulators of up to 9 DOF.

I. INTRODUCTION

Task redundancy is a desirable feature in robotic manipulators. The additional degrees of freedom allow the robot to not only achieve a primary goal, such as following an end-effector trajectory, but it also endows the system with multiple ways to execute the same task successfully. Diverse uses of redundancy include obstacle avoidance in cluttered environments, joint velocity control, singularity avoidance, torque minimization among others.

Although redundancy is advantageous, it comes at a cost. The complexity of the manipulator increases, which means that the solution of the *inverse kinematics problem* does not have a closed-form solution except for particular configurations. Multiple approaches have been proposed, most of them tailored to solve the problem under specific assumptions and hence assessing different success criteria depending on the particular task [2].

The inverse kinematics problem was initially analyzed under an industrial perspective, where criteria such as minimization of kinetic energy and manipulability were of vital interest. Since robots are slowly making the transition of factories to more challenging environment such as homes, the manipulator requirements are more complex, hence redundancy is a useful and needed feature.

The present paper focuses on solving a task typically encountered by a manipulator: Tracking an end-effector trajectory such that the manipulator avoids collisions in a static environment. This kind of task is important not only in the context of the robot accomplishing a task but it is also a

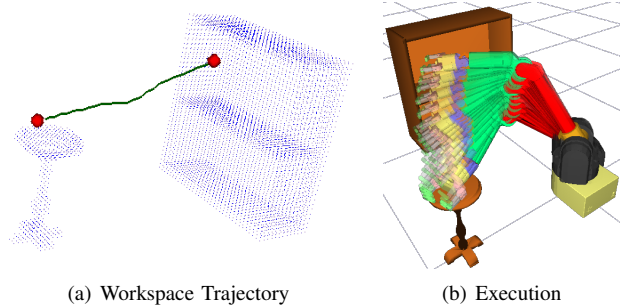


Fig. 1. Experiment with a 7-DOF BarretWMA Arm

helpful tool for robot learning, facilitating the demonstration of a task executed by a human (in which only the end-effector movement becomes the key feature, leaving to the robot the task of figuring out how to replicate the movement with its own particular kinematic configuration that may or may not be anthropomorphic).

We present background inverse kinematic theory and some of the existing approaches in Section II. On Section III we describe our proposed algorithm. Results of series of simulated experiments are presented in section IV. We end this paper with conclusions and future work in Section V.

II. BACKGROUND

The forward kinematics of a manipulator is given as follows:

$$x = f(q) \quad (1)$$

and its differential version is expressed as:

$$\dot{x} = J\dot{q} \quad (2)$$

where $x \in R^m$, $q \in R^n$, and m and n are the dimensions of the task and joint space respectively. If $m < n$, the manipulator is *task redundant*.

Manipulator tasks are varied; however, here we briefly describe two of the most common (as it is similarly explained in [3]):

- **End-Point Goal Task:** The manipulator is given start and end task space points. The task is to find a sequence of joint space configurations that connect them. This is most known as a *path planning problem*
- **Point-to-Point Task:** The manipulator is given a task space trajectory to be mapped to a joint space trajectory. This is most known as a *tracking problem*, which will be the focus of this paper.

The inverse kinematics problem consists on finding a mapping such that $q = f^{-1}(x)$. For redundant manipulators there are potentially infinite values q for a given x , which can be obtained by solving (2). A particular solution is given by:

$$\dot{q} = J^\dagger \dot{x} \quad (3)$$

where J^\dagger is a generalized inverse that can be chosen to minimize a specific criterion. In [4], Whitney used the Moore-Penrose pseudo-inverse to minimize the norm of \dot{q} . Whitney further proposed to use a pseudo-inverse Jacobian weighted with the inertia matrix in order to minimize the kinematic energy of the system. A generalization of this is the weighted least-norm method (WLM) presented in [5], which proved to be particularly effective to avoid joint limits. Recent extensions to this approach include the work of Xiang with GWLM [6].

(3) is a particular solution of 2. In general, the set of possible solutions can be expressed as:

$$\dot{q} = J^\dagger \dot{x} + (I - J^\dagger J) \dot{q}_0 \quad (4)$$

The second right-hand term represents the homogeneous solutions or *self-motions*, that is, motions in the configuration space that do not produce motions in the task space.

Homogeneous solutions are configurations in the Jacobian nullspace. These can be found by projecting an arbitrary vector \dot{q}_0 on the nullspace, such as in (4) where the columns of $(I - J^\dagger J)$ are the basis of the nullspace of J . Most of the proposed methods differ in their choosing of \dot{q}_0 . The most widely used method is the *Gradient projection method* (GPM) proposed by Liegeois in [1]. The GPM method consists on defining an optimization function $H(q)$ to be minimized. By defining:

$$\dot{q}_0 = -\alpha \frac{\partial H}{\partial q} \quad (5)$$

it produces solutions that move the manipulator away from undesirable configurations. Diverse H functions have been used in the literature, such as the measure manipulability in [7], used to avoid singularities. Other applications include obstacle avoidance [8], minimization of torques [9], avoidance of joint limits [1], among others. An excellent overview of these early methods can be found in [10]. For problems with more than one subtask, nested approaches have been proposed [11] [12], based on the relative priority between each subtask. Other methods instead define a weighed sum of optimization functions, such as in [13].

There are other approaches that tackle the redundancy problem by defining additional constraints such that the problem is no longer redundant. Examples of these are the Extended Jacobian [14] and the Augmented Jacobian [15] [16].

The methods mentioned above solve different kinds of problems; however all of them share the same weakness of being local, which precludes them to fall in local minima. There are a few global approaches in the literature, but these are rarely used in practice due to the intensive computation required (Add citations). In the next section we propose a

method that attempts to escape the local minima curse by using backtracking in the discretized nullspace of the manipulator.

III. PROPOSED ALGORITHM

A. Basics

We saw in section II that the solution to (2) has the general form:

$$\dot{q} = J^\dagger \dot{x} + (I - J^\dagger J) \dot{q}_0 \quad (6)$$

where the second right-hand term represents the self-motions in the Jacobian nullspace, which is a subspace of dimensions $(n - m)$. Hence, we can write (6) equivalently as:

$$\dot{q} = J^\dagger \dot{x} + \sum_{i=1}^{n-m} w_i \hat{e}_i \quad (7)$$

where:

- \hat{e}_i : Normalized basis of the Jacobian nullspace
- w_i : Coefficients of each \hat{e}_i

Both representations are equivalent, however (7) is of special interest to us since instead of having to define a vector $q_0 \in R^n$, we define the homogeneous solution in terms of the $(n - m)$ coefficient w_i . The problem, however still has potentially infinite solutions.

Our approach proposes, instead of finding a q_0 vector (as GPM does), to effectuate a search in the discretized Jacobian nullspace. The discretization is carried out by selecting a range of values for each w_i . Notice that, since we are considering the tracking of a task space *trajectory*, we do only consider homogeneous solutions that are realizable in one time step. To make this clear, please refer to Fig.2. Figures 2.a and 2.c show the one-step self-motions that can be achieved in one time step. Figures 2.b and 2.d show the subset of additional self-motions corresponding to these that would require more than one time-step to be executed, hence they are not considered in the nullspace search explained in this paper¹.

Once the set of self-motions is generated we proceed to eliminate the configurations that are in collision, keeping only the set of *valid* configurations, from which any can be a possible solution for the task space point evaluated. Rather than picking a random configuration from the nullspace set, we choose a configuration such that it maximizes a performance optimization function. Previous work such as [17] suggests a serie of diverse functions to assess the desirability of each configuration. In particular, we have evaluated the so-called Joint Range Availability measurement (JRA):

$$JRA(q) = \sum_{i=1}^n \frac{q_i - \bar{q}_i}{q_{iMax} - q_{iMin}} \quad (8)$$

in our experiments. We save the nullspace sets as priority queues ordered w.r.t. the JRA measure of each configuration. The approach explained so far is shown in Algorithm 1

¹Notice that in the general case of tracking a *path*, all the self-motion configurations should also be considered. We are currently working on this topic

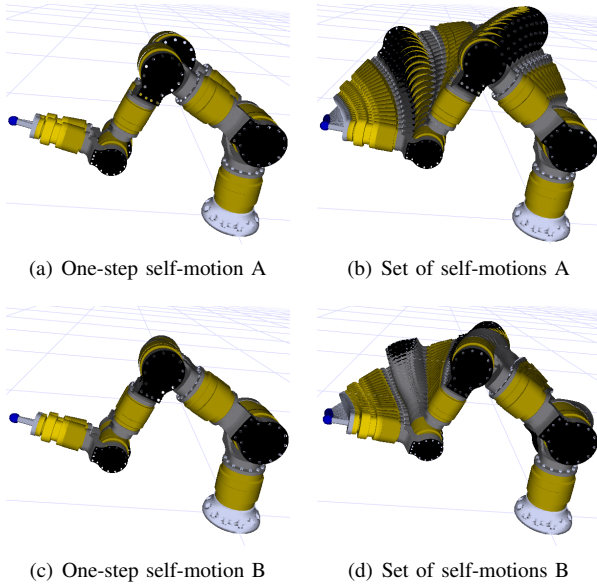


Fig. 2. Illustration of self-motion considered

Algorithm 1: Simple_Track(\mathcal{P} , q_0 , \mathcal{Q})

Input: Workspace trajectory \mathcal{P} and initial joint configuration q_0
Output: Jointspace trajectory \mathcal{Q}

```

1  $q \leftarrow q_0$ 
2 for  $i \leftarrow 1$  to  $\mathcal{P}.size()$  do
3   if Generate_Sol( $q$ ,  $\mathcal{P}[i]$ ,  $\mathcal{NS}[i]$ ) is false then
4     return false
5   else
6      $q \leftarrow \mathcal{NS}[i][0]$ ; // Top priority element
7      $\mathcal{Q}.push\_back(q)$ 
8 return true

```

Algorithm 1 is prone to fall in local minima. This can be easily seen in Line 3 in Algorithm 1, which reports failure if a solution is not found for a workspace point. We know that a solution might exist if previous configurations were chosen differently. Hence, our method improves this by implementing a *backtracking schema* that operates whenever local solutions fail.

B. Backtracking

Algorithm 4 implements a recursive backtracking procedure. It accepts as input the current configuration, the stored nullspace sets of the trajectory mapped so far and the maximum number of backtracking steps allowed. When a failure is detected at time i , our algorithm goes back one step ($i - 1$), pop up the failing configuration and choose a different one from the $\mathcal{NS}[i - 1]$. Notice that since \mathcal{NS} stores the nullspace sets as priority queues, the next configuration to be evaluated is the next best, according to our optimization function. In case the backtrack fails again in producing a valid solution,

Algorithm 2: Generate_Sol(q , p , ns)

Input: Current joint configuration q , next workspace point p
Output: Nullspace queue ns corresponding to q

```

1  $ds \leftarrow (p - \text{ToTaskSpace}(q))$ 
2  $q_p \leftarrow J^\dagger(q) \cdot ds$ ; // Least-norm sol
3  $\hat{e} = J(q).kernel()$ ; //  $J$  nullspace basis
4 forall combinations of  $w_j$  do
5    $q_{ns} \leftarrow q + q_p + \sum_{j=1}^{m-n} w_j \hat{e}_j$ 
6   if  $\|p - \text{ToTaskSpace}(q_{ns})\| < \text{thresh}$  then
7     if InCollision( $q_{ns}$ ) is false and
       InLimits( $q_{ns}$ ) is true then
8        $ns.Push\_Queue(q_{ns}, JRA(q_{ns}))$ 
9 if  $ns.size() > 0$  then
10  return true
11 else
12  return false

```

it backtracks to $i - 2$ and it will repeat the procedure until it finds a solution or until it reaches the maximum allowed number of backtracking steps.

Of course, backtracking can be computationally intensive if the depth is too big. In order to avoid backtracking as much as possible, we must use a reasonable heuristic function such that backtrack is only used in extreme cases. In our experiments we only used the *JRA* function, which seemed to produce adequate results.

Our proposed method is shown in Algorithm 3. The recursive backtracking routine (which actually implements a breadth-first search) is presented in Algorithm 4. Notice that the latter algorithm depends on the ForwardSearch routine(Algorithm 5) which searches for a solution from the backtracked configuration ($i - \text{step}$). Also note that each time a backtrack is executed, the nullspace sets generated previously from ($i - \text{step} - 1$) to i are cleared and updated according to the new configuration found in ($i - \text{step}$).

IV. EXPERIMENTS AND RESULTS

In this section we present a series of simulated experiments with diverse manipulators. The simulations were made using the integrated GRIP + DART² software platform. For collision detection we used the VCOLLIDE package [18]. All the experiments were executed on a Intel 2 Core Duo (2.80GHz).

A. Preliminaries

Before presenting the experiments, some details should be mentioned:

- All the experiments presented are *end-effector position tracking* problems. We chose to focus this paper on

²GRIP (<https://github.com/golems/grip>) is a robotics simulator based on the physical engine DART (<https://github.com/golems/dart>). Both packages are OpenSource projects currently developed at Georgia Tech

Algorithm 3: Complete_Track(\mathcal{P} , q_0 , \mathcal{Q} , $maxStep$)

Input: Workspace trajectory \mathcal{P} and initial joint configuration q_0 , maximum backtrack allowed $maxStep$

Output: Jointspace trajectory \mathcal{Q}

```
1  $q \leftarrow q_0$ 
2 for  $i \leftarrow 1$  to  $\mathcal{P}.size()$  do
3   if Generate_Sol( $q$ ,  $\mathcal{P}[i]$ ,  $\mathcal{NS}[i]$ ) is false then
4      $step \leftarrow 1$ 
5      $b = \text{Backtrack}(i, step, maxStep, \mathcal{NS}, \mathcal{P})$ 
6     if  $b$  is false then
7       return false
8     else
9       Update( $\mathcal{Q}$ ,  $i$ ,  $step$ ,  $\mathcal{NS}$ )
10  else
11     $q \leftarrow \mathcal{NS}[i][0]$ 
12     $\mathcal{Q}.push\_back(q)$ 
13 return true
```

Algorithm 4: Backtrack(i , $step$, $maxStep$, \mathcal{NS} , \mathcal{P})

Input: current index i , current backtrack $step$, maximum backtrack $maxStep$, nullspace sets \mathcal{NS} , workspace trajectory \mathcal{P}

Output: Updated \mathcal{NS}

```
1 if  $step < maxStep$  then
2   if ForwardSearch( $i$ ,  $step$ ,  $maxStep$ ,  $\mathcal{NS}$ ,  $\mathcal{P}$ ) is
   false then
3     return Backtrack( $i$ ,  $step++$ ,  $maxStep$ ,  $\mathcal{NS}$ ,
4      $\mathcal{P}$ )
5   else
6     return true
7 else
8   return ForwardSearch( $i$ ,  $step$ ,  $maxStep$ ,  $\mathcal{NS}$ ,  $\mathcal{P}$ )
9 return true
```

Algorithm 5: ForwardSearch(i , $step$, $maxStep$, \mathcal{NS} , \mathcal{P})

Input: current index i , current backtrack $step$, maximum backtrack $maxStep$, nullspace sets \mathcal{NS}

Output: Updated \mathcal{NS}

```
1 ForwardClear_NS( $i$ ,  $step$ ,  $\mathcal{NS}$ )
2  $j \leftarrow i - step$ 
3  $found \leftarrow \text{false}$ 
4 while  $\mathcal{NS}[j].size() > 0$  and  $found$  is false do
5    $b \leftarrow \text{Generate\_Sol}(\mathcal{NS}[j][0], \mathcal{P}[j+1],$ 
6    $\mathcal{NS}[j+1])$ 
7   if  $b$  is true then
8     if  $step > 1$  then
9        $found \leftarrow \text{ForwardSearch}(i, step-1,$ 
10       $maxStep, \mathcal{NS}, \mathcal{P})$ 
11     else
12        $found \leftarrow \text{true}$ 
13   else
14      $\mathcal{NS}[j].Pop\_Queue()$ 
15 if  $found$  is false then
16   if  $step$  is  $maxStep$  then
17     return false
18   else
19      $\mathcal{NS}[j+1].Pop\_Queue()$ 
20 return true
```

Procedure Update(\mathcal{Q} , i , $step$, \mathcal{NS})

```
1 for  $j \leftarrow 1$  to  $step$  do
2   // Update the changed joint trajectory points
3    $\mathcal{Q}[i-j] \leftarrow \mathcal{NS}[i-j][0]$ 
4 end
```

relative norm w.r.t each other.

B. Experiment 1: LWA3

Our first series of experiments were done with a 7 DOF LWA3 arm, as shown in Fig.3. The initial configuration of the robot has its end effector below the cabinet. The workspace trajectory to follow is shown in Fig.3(b). Notice that the end-effector trajectory is very close to the cabinet, hence the tracking is harder since there are more chances of the manipulator links colliding with the object. The resulting

Procedure ForwardClearNS(i , $step$, \mathcal{NS})

```
1 if  $step > 1$  then
2   for  $j \leftarrow 1$  to  $step$  do
3      $\mathcal{NS}[i-j].clear()$ 
4   end
5 end
```

position (rather than pose) tracking since the manipulator has more redundant degrees of freedom with respect to this kind of tasks. Having less constraints poses a more challenging scenario to test our algorithm.

- The workspace trajectories used in the experiments were generated with a low-level workspace planner described in *Tech-Report*
- All the kinematics evaluations were handled by the DART dynamics package. Additional linear algebra calculations (such as the nullspace basis or the Jacobian pseudo-inverse) were performed using the Eigen³ C++ library.
- All the experiments used a discretization of 10 values per each w_i . The range of each w_i was $[-10, 10]$. The nullspace basis \hat{e}_i were normalized to have the same

³<http://eigen.tuxfamily.org>

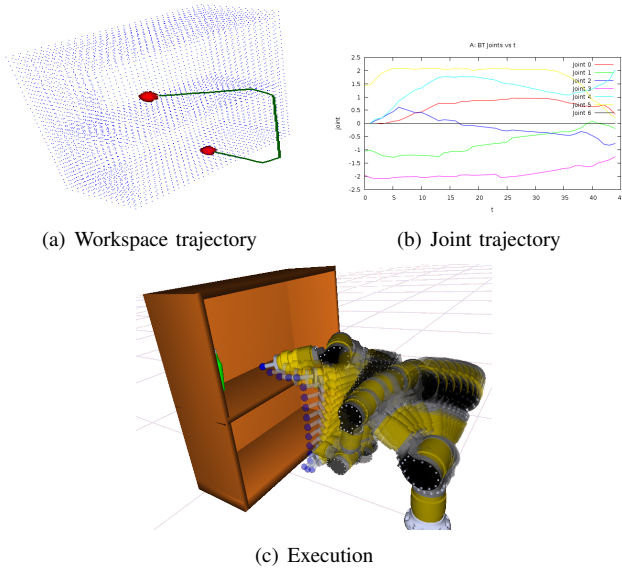


Fig. 3. Experiment with a 7-DOF LWA3 Schunk arm

execution is shown in Fig.3(c).

C. Experiment 2: BarretWMA

Our second experiment was done with a 7 DOF BarretWMA manipulator. The scenario kept the same as in the experiment with the LWA3 but the initial configuration of the arm changed as it is shown in Fig.4. The arm has its end effector located on top of the cabinet and its target location is resting on top of the table. The resulting trajectory is shown in Fig.4.(c). In this execution, two backtrack calls were needed (at time step 3 and 31 out of the total trajectory of 35 points) both of them requiring a depth of 2. Notice that a simple search such as GPM is not able to solve this problem for this particular workspace to follow.

D. Experiment 3: Mitsubishi

- 1) Case 1: Straight Line:
- 2) Case 2: Straight Line:

E. Experiment 4: Dual-Armed robot

V. CONCLUSIONS AND FUTURE WORK

We have presented an approach to solve the trajectory tracking problem for redundant manipulators based on the discretization of the Jacobian nullspace and a backtracking strategy to prevent local minima traps. In contrast with previous approaches, we exploit the nullspace by considering more than one possible configuration and allow our method to be more flexible. In contrast with previous work in which a set of joints were arbitrarily selected as non-redundant, our approach uses the nullspace basis of the Jacobian to perform the search with the minimum required values.

As future work, we intend to devise more simulated experiments with more challenging scenarios and further test the presented method in a physical manipulator. Additionally, we plan to test our approach with *pose tracking* problems to verify its effectiveness.

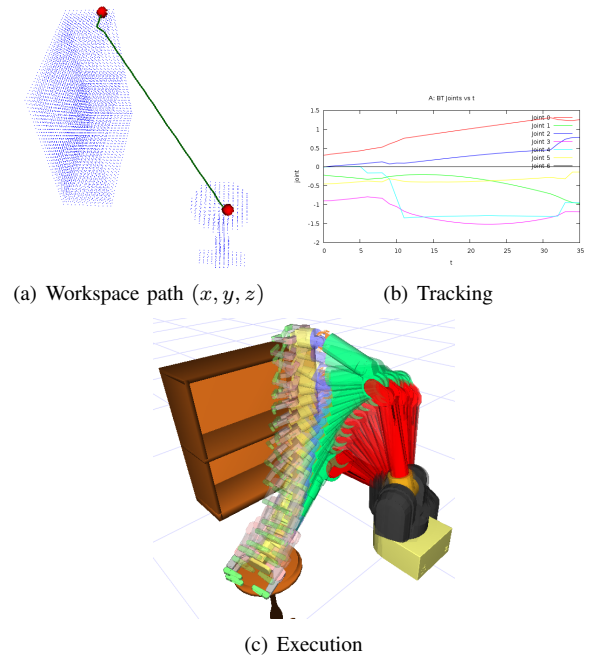


Fig. 4. Experiment with a 7-DOF BarretWMA

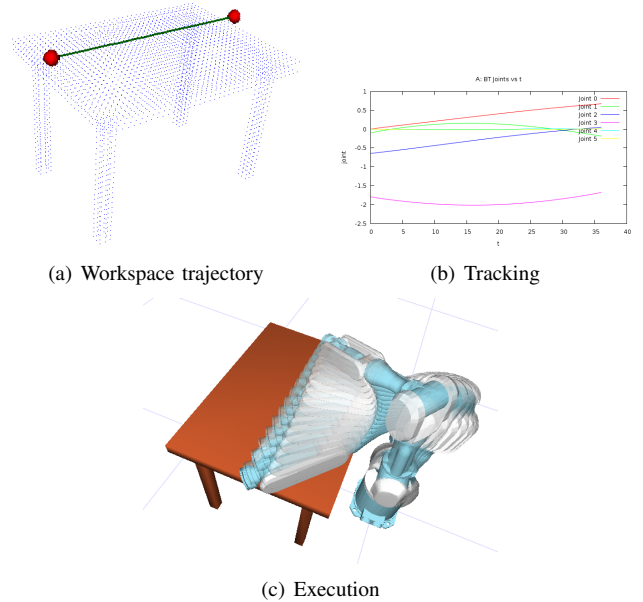


Fig. 5. Experiment with a 6-DOF manipulator

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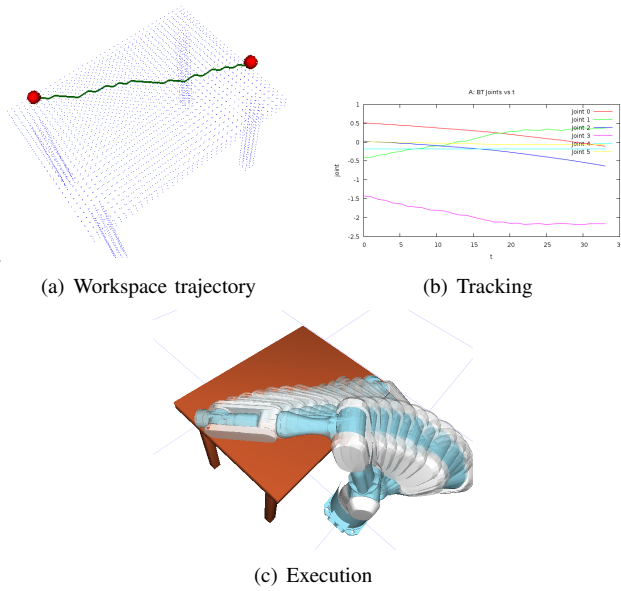


Fig. 6. Experiment with a 6-DOF manipulator

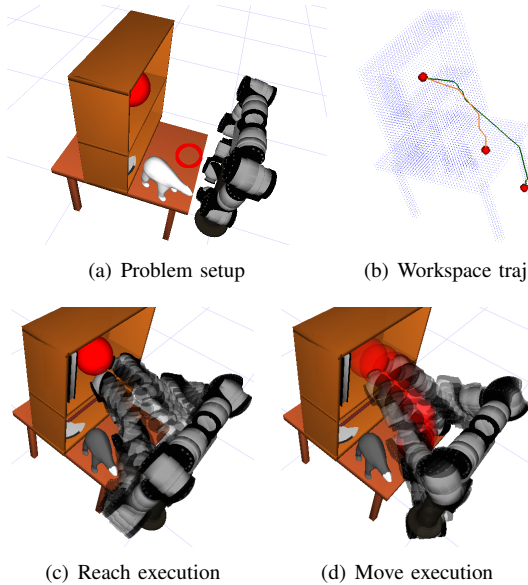


Fig. 7. Experiment with a Dual-Armed robot

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