

## Assigment#1

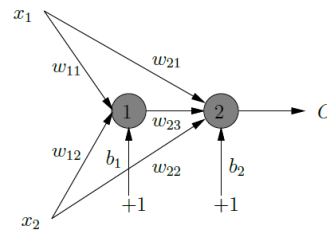
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### Backpropagation exercises

**Problem 1** (adapted from Haykin, 2009 - problem 4.1)

Consider the following NN. Assume a McCulloch-Pitts model with a step function and inputs and output in  $\{0, 1\}$ .



Suppose weights are:

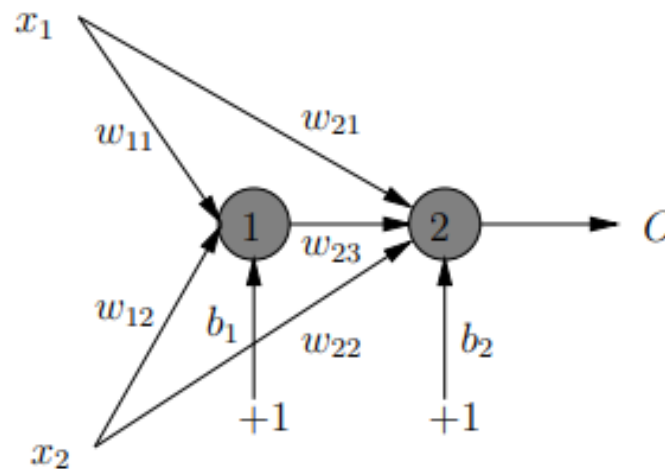
$$w_{11} = w_{12} = w_{21} = w_{22} = +1; w_{23} = -2; b_1 = -1.5; b_2 = -0.5$$

- Determine the separation planes.
- Obtain a truth table for the NN.
- Consider now that the activation function is a sigmoid with parameter  $a = 1$ . Calculate 1 iteration of the error backpropagation algorithm.

### Problem 1 Resolution:

The McCulloch-Pitts model is a simple artificial neuron. The inputs and the outputs can be either a zero or a one. And the output as a zero or a one.

a)



From the figure above, we can observe that:

- $x_1$  and  $x_2$  = i-th input of neuron j
- $w_{11}, w_{12}, w_{21}, w_{22}, w_{23}$  = synapse weight: output of i to input of j
  - if negative: the input is inhibitory
  - if positive: the input is excitatory
- $b_1$  and  $b_2$  = bias

Where the suppose weights are:

$$w_{11} = w_{12} = w_{21} = w_{22} = +1 \text{ (excitatory)}$$

$$w_{23} = -2 \text{ (inhibitory)}$$

$$b_1 = -1.5$$

$$b_2 = -0.5$$

To determine the separation planes, we need to find the decision boundaries between the two classes, where the values can be either 0 or 1.

From figure above, there are two neurons: 1 and 2.

For neuron 1:

$$y_1 = \varphi(x_1 w_{11} + x_2 w_{12} + b_1)$$

For neuron 2:

$$y_2 = \varphi(x_1 w_{21} + x_2 w_{22} + b_2 + w_{23} * y_1)$$

Thus, the expression for the output:

$$y = \varphi(x_1 w_{21} + x_2 w_{22} + b_2 + w_{23} \times \varphi(x_1 w_{11} + x_2 w_{12} + b_1)) \Leftrightarrow$$

$$y = \varphi(x_1 + x_2 - 0.5 - 2 \times \varphi(x_1 + x_2 - 1.5)) \quad (1)$$

Considering the input value of 0 for  $x_1$ , we have:

$$y = \varphi(x_1 + x_2 - 0.5 - 2 \times \varphi(x_1 + x_2 - 1.5)) \Leftrightarrow$$

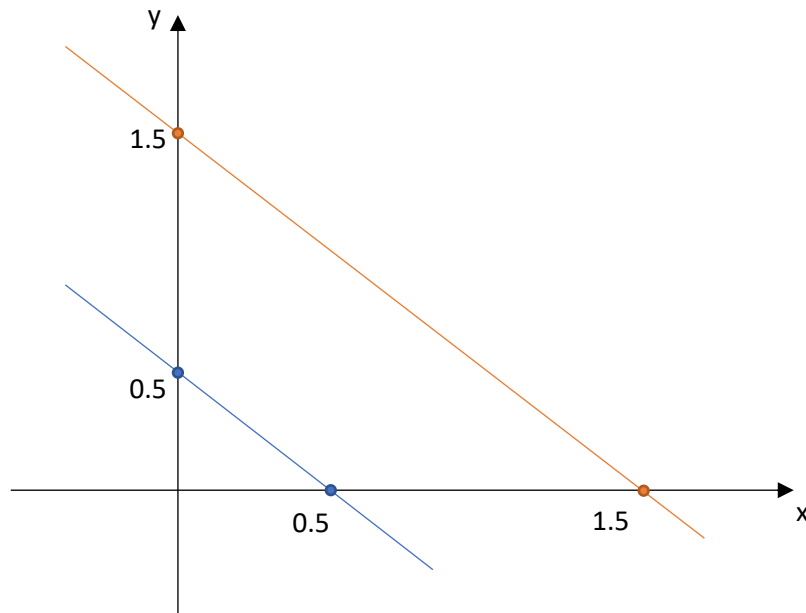
$$\Leftrightarrow y = \varphi(x_2 - 0.5 - 2\varphi(x_2 - 1.5))$$

Considering the input value of 0 for  $x_2$ , we have:

$$y = \varphi(x_1 + x_2 - 0.5 - 2 \times \varphi(x_1 + x_2 - 1.5)) \Leftrightarrow$$

$$\Leftrightarrow y = \varphi(x_1 - 0.5 - 2\varphi(x_1 - 1.5))$$

The separation planes are a straight line in the input space with slope -0.5 and y-intercept 1 for neuron 1 and with slope -1.5 and y-intercept 1.5 for neuron 2:



The blue separation plane is equal to:

$$y_1 = -x + 0.5$$

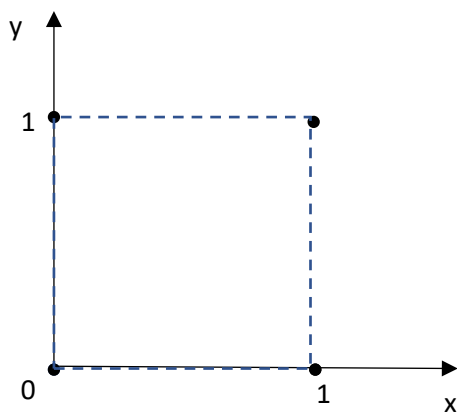
This means that if for the value of input  $x_1 = 0$ , there is an increment of 0.5, the value of the input  $x_1$  changes from 0 to 1. Thus, if  $y_1 < -x + 0.5$ , corresponds to output value 0 ( $y=0$ ), thus classe 0, and if  $y_1 > -x + 0.5$ , corresponds to output value 1 ( $y=1$ ), thus classe 1.

The orange separation plane is equal to:

$y_2 = -x + 1.5 \rightarrow$  this means that if for the value of input  $x_2 = 0$ , there is an increment of 1.5, the value of the input  $x_2$  changes from 0 to 1. Thus, if  $y_2 < -x + 1.5$ , corresponds to output value 0 ( $y=0$ ), thus classe 0, and if  $y_2 > -x + 1.5$ , corresponds to output value 1 ( $y=1$ ), thus classe 1.

b)

The input values  $x_1$  and  $x_2$  can be either 0 or 1, as the output value  $y$  can also be either 0 or 1, depending on the input values of  $x_1$  and  $x_2$ :



Considering the possible input values for  $x_1$  and  $x_2$ , we can obtain the following truth table:

- For  $x_1 = 0$  and  $x_2 = 0$ :

Neuron 1:

$$y1 = \varphi (x_1 w_{11} + x_2 w_{12} + b_1) \Leftrightarrow y1 = (0 \times 1 + 0 \times 1 - 1.5) = 0$$

For neuron 2:

$$y2 = \varphi (x_1 w_{21} + x_2 w_{22} + b_2 + w_{23} * y1) \Leftrightarrow y2 = (0 \times 1 + 0 \times 1 - 0.5 - 2 \times 0) = 0$$

Thus the output for y is 0.

- For  $x_1 = 0$  and  $x_2 = 1$ :

Neuron 1:

$$y1 = \varphi (x_1 w_{11} + x_2 w_{12} + b_1) \Leftrightarrow y1 = (0 \times 1 + 1 \times 1 - 1.5) = 0$$

For neuron 2:

$$y2 = \varphi (x_1 w_{21} + x_2 w_{22} + b_2 + w_{23} * y1) \Leftrightarrow y2 = (0 \times 1 + 1 \times 1 - 0.5 - 2 \times 0) = 1$$

Thus the output for y is 0.

- For  $x_1 = 1$  and  $x_2 = 0$ :

Neuron 1:

$$y1 = \varphi (x_1 w_{11} + x_2 w_{12} + b_1) \Leftrightarrow y1 = (1 \times 1 + 0 \times 1 - 1.5) = 0$$

For neuron 2:

$$y2 = \varphi (x_1 w_{21} + x_2 w_{22} + b_2 + w_{23} * y1) \Leftrightarrow y2 = (1 \times 1 + 0 \times 1 - 0.5 - 2 \times 0) = 1$$

Thus the output for y is 1.

- For  $x_1 = 1$  and  $x_2 = 1$ :

Neuron 1:

$$y1 = \varphi (x_1 w_{11} + x_2 w_{12} + b_1) \Leftrightarrow y1 = (1 \times 1 + 1 \times 1 - 1.5) = 1$$

For neuron 2:

$$y2 = \varphi (x_1 w_{21} + x_2 w_{22} + b_2 + w_{23} * y1) \Leftrightarrow y2 = (1 \times 1 + 1 \times 1 - 0.5 - 2 \times 1) = 0$$

Thus the output for y is 0.

$x_1$	$x_2$	$y$
0	0	0
0	1	1
1	0	1
1	1	0

c)

The activation function is a sigmoid with parameter  $\alpha = 1$ :

Sigmoid function:

$$y_j = \frac{1}{1+e^{(-\alpha v_i)}} \quad \text{with } \alpha = 1$$

Where:

$y_j$  = neuron j output

$v_i$  = induced local field (weighted sum of all inputs)

In the NN presented in the exercise, there are two activation functions (1 and 2).

For  $x_1 = 1$  and  $x_2 = 0$

$$v_1 = (w_{11} \times x_1 + w_{12} \times x_2 - b_1) = 1 + 0 - 1.5 = -0.5$$

$$v_2 = (w_{21} \times x_1 + w_{22} \times x_2 - b_1) = 1 + 0 - 1.5 = -0.5$$

For activation function 1 (sigmoid function):

$$y_1 = \frac{1}{1+e^{(-\alpha v_1)}} \Leftrightarrow y_1 = \frac{1}{1+e^{(-1 \times (-0.5))}} \Leftrightarrow y_1 = 0.3775$$

For activation function 2 (sigmoid function):

$$y_2 = \frac{1}{1+e^{(-\alpha v_2)}} \Leftrightarrow y_2 = \frac{1}{1+e^{(-1 \times (-0.5))}} \Leftrightarrow y_2 = 0.3775$$

Thus, for the sum in the second output:

$$v_3 = (w_{23} \times y_1 + w_{23} \times y_2 - b_2) \Leftrightarrow v_3 = (-2 \times 0.3775 + (-2) \times 0.3775 - 0.5) = 2.01$$

$$y_3 = \frac{1}{1+e^{(-\alpha v_3)}} \Leftrightarrow y_3 = \frac{1}{1+e^{(-1 \times (-2.01))}} \Leftrightarrow y_3 = 0.8818 \text{ (predicted value)}$$

Calculate the error:

$$e_j(n) = d_j(n) - y_j(n)$$

Where:

$e_j(n)$  error of neuron j

$y_j(n)$  real output

$d_j(n)$  desired output

Since we consider  $x_1 = 1$  and  $x_2 = 0$ , the desired output is 1.

Thus, the error:

$$e_j(n) = d_j(n) - y_j(n) \Leftrightarrow e_2(1) = d_2(1) - y_2(1) = 1 - 0.8818 = 0.1182$$

$$e_j(n) = d_j(n) - y_j(n)$$

$$\varphi = \frac{1}{1 + e^{(-v)}}$$

$$\varphi' = \varphi - (1 - \varphi)$$

Ponto:  $x_1 = 0$ ;  $x_2 = 1$ ;  $y = 1$

**Forward propagation:**

**Compute the weighted sum and activation of the input layer:**

$$v_1 = w_1 * x + b_1 = 0 + 1 - 1.5 = -0.5$$

$$a_1 = \varphi(v_1) = -1.5415$$

**Compute the weighted sum and activation of the output layer:**

$$v_2 = w_{23} * a_1 + b_2 = 1 - 0.5 + 3.083 = 3.583$$

$$a_2 = \varphi(v_2) = 0.973$$

**Compute the error of the output layer:**

$$d_2 = (a_2 - y) * \varphi'(v_2) = (0.973 - 1) * (3.582 * (1 - 3.582)) = 0.25$$

**Backward propagation:**

**Compute the error of the input layer:**

$$d_1 = (w_{23} * d_2) * \varphi'(v_1) = (-2 * 0.25) * (-0.5 * (1 - (-0.5))) = 0.375$$

**Compute the gradient of the weights and biases:**

$$dw_{23} = d_2 * a_1 = 0.25 * (-1.542) = -0.3855$$

$$db_2 = d_2 = 0.25$$

$$dw_1 = d_1 * x = [d_1 * x_1, d_1 * x_2] = [0, 0.375] \quad \# \text{ quero rever uma coisa}$$

$$db_1 = d_1 = 0.375$$

**Let the learning rate be:**

$$\alpha = 0.1$$

**Update the weights and biases:**

$$w_{23} = w_{23} - \alpha * dw_{23} = 1 + 0.0386 = 1.0386$$

$$b_2 = b_2 - \alpha * db_2 = -0.5 - (0.1 * 0.25) = -0.525$$

$$w_1 = w_1 - \alpha * dw_1 = [w_{x1}, w_{x2}] = [1, 0.9625]$$

$$w_{11} = w_{21} = 1$$

$$w_{12} = w_{22} = 0.9625$$

$$b_1 = b_1 - \alpha * db_1 = -1.5 - (0.1 * 0.375) = -1.5375$$