# CNN Popular Architectures and Transfer Learning

Palacode Narayana Iyer Anantharaman 16<sup>th</sup> Oct 2018

# Motivation: Why study these?

Understanding popular architectures help us in many ways:

- We develop a better understanding of the subject by studying high performant architectures
- This helps perform our own research on newer models
- Learning their design philosophy help us to design our models more effectively.
- Use them as a backbone for transfer learning, selecting the right architecture

#### References

#### **Deep Residual Learning for Image Recognition**

Kaiming He Xiangyu Zhang Shaoqing Ren Jian Sun
Microsoft Research
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#### **Squeeze-and-Excitation Networks**

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#### Going Deeper with Convolutions

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Dragomir Anguelov<sup>1</sup>, Dumitru Erhan<sup>1</sup>, Vincent Vanhoucke<sup>1</sup>, Andrew Rabinovich<sup>4</sup>

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#### [PDF] May 1, 2018 Lecture 9 - CS231n

cs231n.stanford.edu/slides/2018/cs231n\_2018\_lecture09.pdf ▼
May 1, 2018 - Fei-Fei Li & Justin Johnson & Serena Yeung. Lecture 9 -. May 1, 2018. 20. ImageNet Large Scale Visual Recognition Challenge (ILSVRC) ...





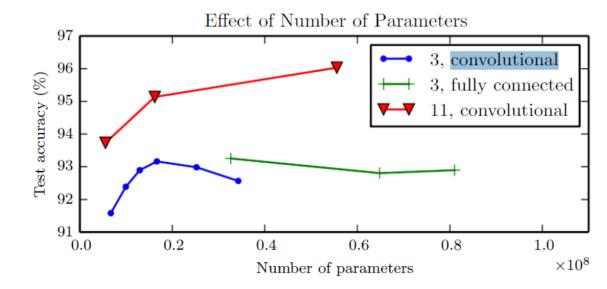
https://leonardoaraujosantos.gitbooks.io/artificial-inteligence/

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## Current trend: Deeper Models work better

- CNNs consistently outperform other approaches for the core tasks of CV
- Deeper models work better
- Increasing the number of parameters in layers of CNN without increasing their depth is not effective at increasing test set performance.
- Shallow models overfit at around 20 million parameters while deep ones can benefit from having over 60 million.
- Key insight: Model performs better when it is architected to reflect composition of simpler functions than a single complex function. This may also be explained off viewing the computation as a chain of dependencies



# Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0

[27x27x96] MAX POOL1: 3x3 filters at stride 2

[27x27x96] NORM1: Normalization layer

[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2

[13x13x256] MAX POOL2: 3x3 filters at stride 2

[13x13x256] NORM2: Normalization layer

[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1

[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1

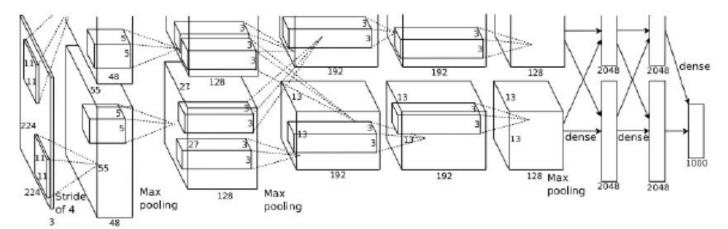
[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1

[6x6x256] MAX POOL3: 3x3 filters at stride 2

[4096] FC6: 4096 neurons

[4096] FC7: 4096 neurons

[1000] FC8: 1000 neurons (class scores)



#### Details/Retrospectives:

- first use of ReLU
- used Norm layers (not common anymore)
- heavy data augmentation
- dropout 0.5
- batch size 128
- SGD Momentum 0.9
- Learning rate 1e-2, reduced by 10 manually when val accuracy plateaus
- L2 weight decay 5e-4
- 7 CNN ensemble: 18.2% -> 15.4%

#### VGG Net

```
(not counting biases)
                    memory: 224*224*3=150K params: 0
INPUT: [224x224x3]
CONV3-64: [224x224x64] memory: 224*224*64=3.2M params: (3*3*3)*64 = 1,728
CONV3-64: [224x224x64] memory: 224*224*64=3.2M params: (3*3*64)*64 = 36,864
POOL2: [112x112x64] memory: 112*112*64=800K params: 0
CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*64)*128 = 73,728
CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*128)*128 = 147,456
POOL2: [56x56x128] memory: 56*56*128=400K params: 0
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*128)*256 = 294,912
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
POOL2: [28x28x256] memory: 28*28*256=200K params: 0
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*256)*512 = 1,179,648
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*512)*512 = 2,359,296
CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*512)*512 = 2,359,296
POOL2: [14x14x512] memory: 14*14*512=100K params: 0
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
POOL2: [7x7x512] memory: 7*7*512=25K params: 0
FC: [1x1x4096] memory: 4096 params: 7*7*512*4096 = 102,760,448
FC: [1x1x4096] memory: 4096 params: 4096*4096 = 16,777,216
FC: [1x1x1000] memory: 1000 params: 4096*1000 = 4,096,000
TOTAL memory: 24M * 4 bytes ~= 93MB / image (only forward! ~*2 for bwd)
TOTAL params: 138M parameters
```

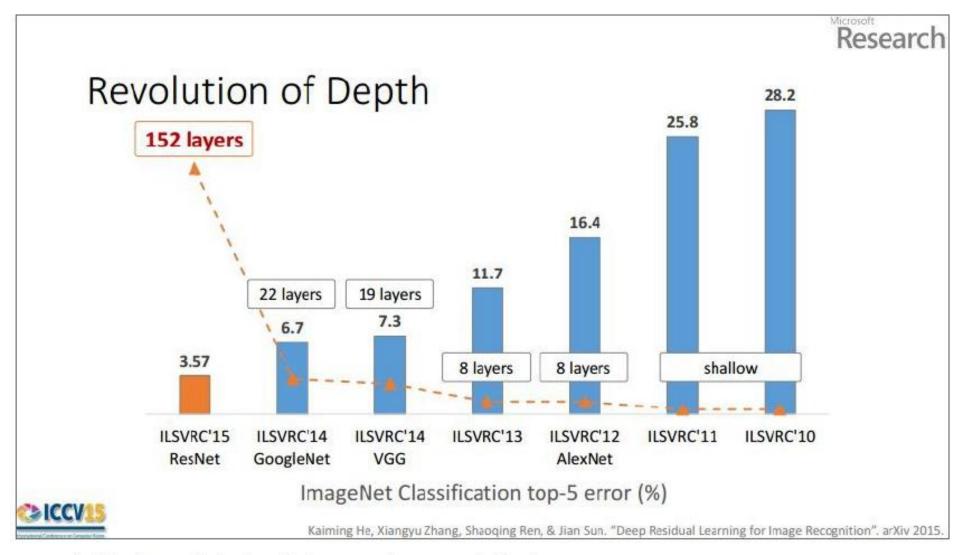
ConvNet Configuration 13 weight 16 weight 16 weight layers layers layers put ( $224 \times 224$  RGB image conv3-64 conv3-64 conv3-64 conv3-64 conv3-64 conv3-64 maxpool conv3-128 conv3-128 conv3-128 conv3-128 conv3-128 conv3-128 maxpool conv3-256 conv3-256 conv3-256 conv3-256 conv3-256 conv3-256 conv3-256 conv1-256 maxpool conv3-512 conv3-512 conv3-512 conv3-512 conv3-512 conv3-512 conv1-512 conv3-512 maxpool conv3-512 conv3-512 conv3-512 conv3-512 conv3-512 conv3-512 conv1-512 conv3-512 maxpool FC-4096 FC-4096 FC-1000 soft-max

#### VGG net

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CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*64)*128 = 73,728
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CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*128)*128 = 147,456
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CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
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CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
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POOL2: [7x7x512] memory: 7*7*512=25K params: 0
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FC: [1x1x4096] memory: 4096 params: 4096*4096 = 16,777,216
FC: [1x1x1000] memory: 1000 params: 4096*1000 = 4,096,000
TOTAL memory: 24M * 4 bytes ~= 93MB / image (only forward! ~*2 for bwd)
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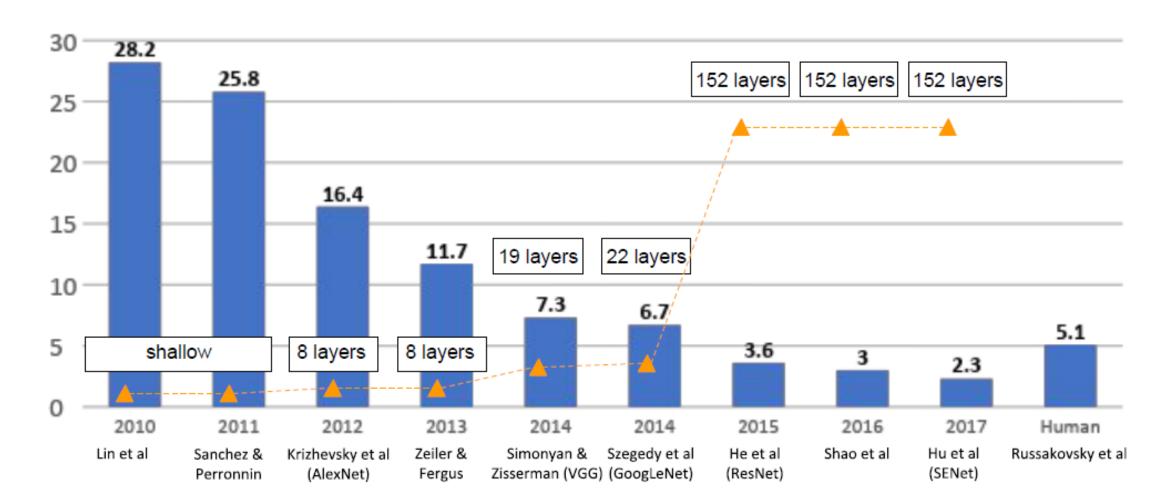
#### ResNet



(slide from Kaiming He's recent presentation)

# Recent Results (Credits: CS231n Stanford)

ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



#### Resnet Motivation

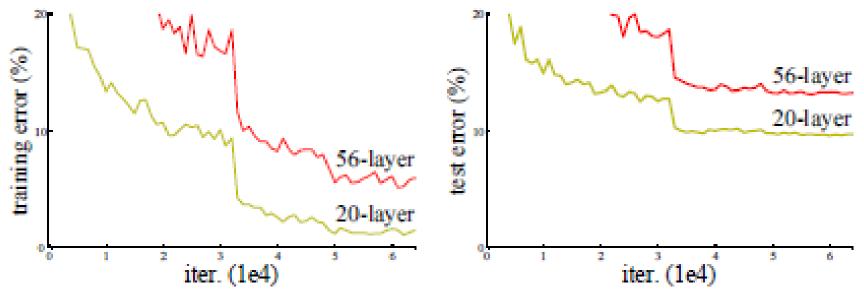


Figure 1. Training error (left) and test error (right) on CIFAR-10 with 20-layer and 56-layer "plain" networks. The deeper network has higher training error, and thus test error. Similar phenomena on ImageNet is presented in Fig. 4.

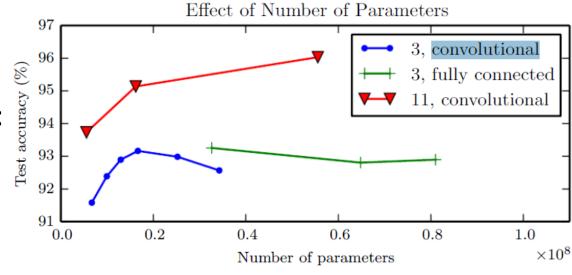
# ResNet Approach

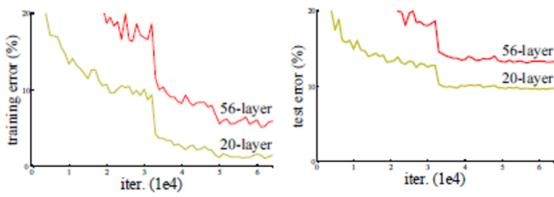
Generally: Deeper the better - See the fig

 Issue: Hard to train deeper networks effectively: Validation error goes up not just due to overfitting but increase in training error

 Solution: Use skip connections to propagate the activations to reduce the impact

• Rationale: Imagine how to get an identity output through a deep network accurately.





# ResNet Hypothesis: Rationale

- Deeper models should perform at least as well as shallower models
  - More parameters, more degrees of freedom to get the training error down
  - More depth, more ability to model abstractions

 Solution by construction is copying the learned layers of a shallower model and setting the additional layers to identity mapping

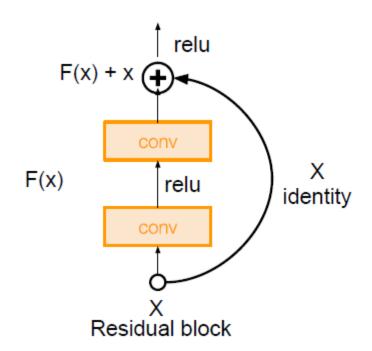
#### ResNet

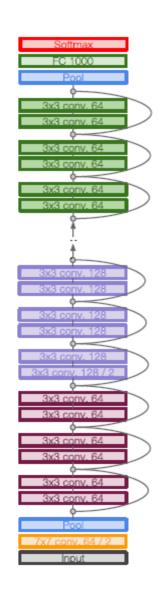
Very deep network: Uses 152 layers

• Shallower versions (e.g. Resnet50) are available

 The "go to" backbone network for many applications such as Faster RCNN

 Pre trained weights are available for Keras, TensorFlow





#### ResNet Details

- Default input size: (224, 224, 3)
- Each stage reduces the width, height dimensions by a factor of 2
- This property is leveraged in later implementations such as pyramidal networks

layer name	output size	18-layer	34-layer	50-layer	101-layer	152-layer		
conv1	112×112	7×7, 64, stride 2						
conv2_x	56×56	3×3 max pool, stride 2						
		$\left[\begin{array}{c} 3\times3, 64\\ 3\times3, 64 \end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3, 64\\ 3\times3, 64 \end{array}\right]\times3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$		
conv3_x	28×28	$\left[\begin{array}{c} 3 \times 3, 128 \\ 3 \times 3, 128 \end{array}\right] \times 2$	$\left[\begin{array}{c} 3 \times 3, 128 \\ 3 \times 3, 128 \end{array}\right] \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$		
conv4_x	14×14	$\left[\begin{array}{c} 3 \times 3, 256 \\ 3 \times 3, 256 \end{array}\right] \times 2$	$\left[\begin{array}{c} 3 \times 3, 256 \\ 3 \times 3, 256 \end{array}\right] \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36$		
conv5_x	7×7	$\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$		
	1×1	average pool, 1000-d fc, softmax						
FLOPs		$1.8 \times 10^{9}$	$3.6 \times 10^{9}$	$3.8 \times 10^{9}$	$7.6 \times 10^9$	11.3×10 <sup>9</sup>		

# ImageNet Results summary table

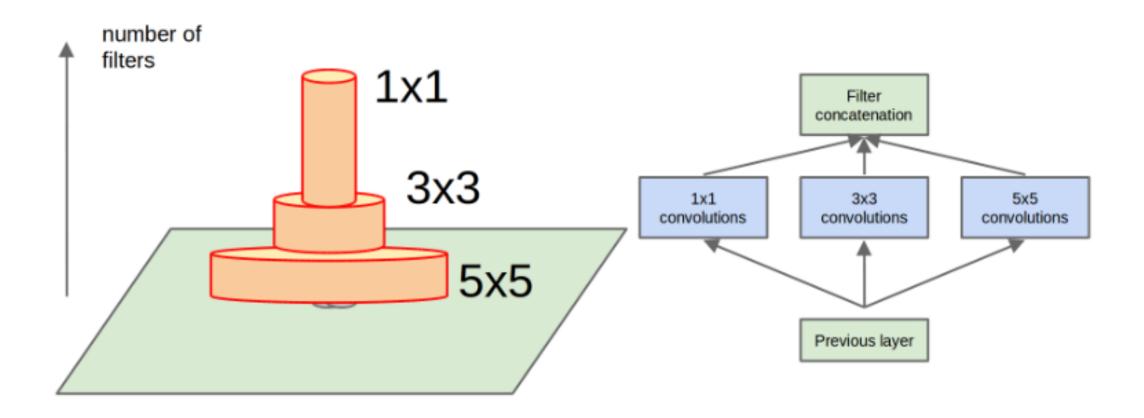
2015	ResNet (ILSVRC'15) 3.57			
Year	Codename	Error (percent)	99.9% Conf Int	
2014	GoogLeNet	6.66	6.40 - 6.92	
2014	VGG	7.32	7.05 - 7.60	
2014	MSRA	8.06	7.78 - 8.34	
2014	AHoward	8.11	7.83 - 8.39	
2014	DeeperVision	9.51	9.21 9.82	Microsoft PosNot a 1E2 layers notwork
2013	Clarifai <sup>†</sup>	11.20	10.87 - 11.53	Microsoft ResNet, a 152 layers network
2014	CASIAWS†	11.36	11.03 - 11.69	
2014	Trimps <sup>†</sup>	11.46	11.13 - 11.80	
2014	Adobe†	11.58	11.25 - 11.91	
2013	Clarifai	11.74	11.41 - 12.08	
2013	NUS	12.95	12.60 - 13.30	GoogLeNet, 22 layers network
2013	ZF	13.51	13.14 - 13.87	
2013	AHoward	13.55	13.20 - 13.91	
2013	OverFeat	14.18	13.83 - 14.54	
2014	Orange <sup>†</sup>	14.80	14.43 - 15.17	
2012	SuperVision <sup>†</sup>	15.32	14.94 - 15.69	11 -f T
2012	SuperVision	16.42	16.04 - 16.80	U. of Toronto, SuperVision, a 7 layers network
2012	ISI	26.17	25.71 - 26.65	
2012	VGG	26.98	26.53 - 27.43	
2012	XRCE	27.06	26.60 - 27.52	
2012	UvA	29.58	29.09 - 30.04	

human error is around 5.1% on a subset

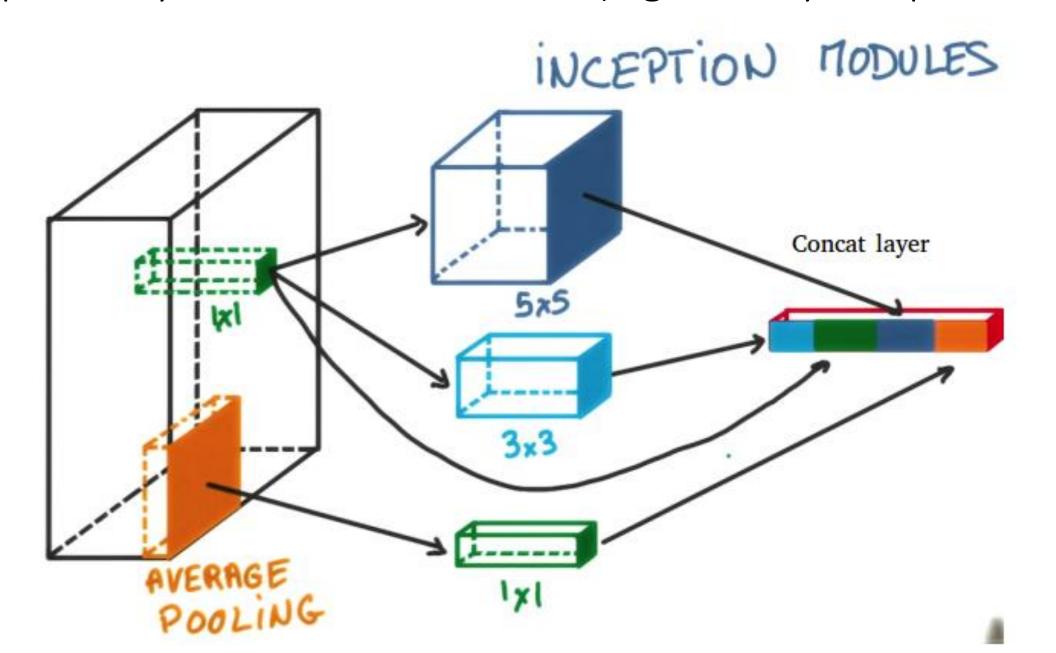
# GoogleNet

- Design choices of filter sizes: (3, 3), (5, 5) and so on Which to choose for each convolution layer?
- Why not try all of them and choose the best?
- Trying each possible value on every layer and experimenting manually is not a solution
- Inception layer allows multiple filter sizes and learn their contributions through parameters automatically

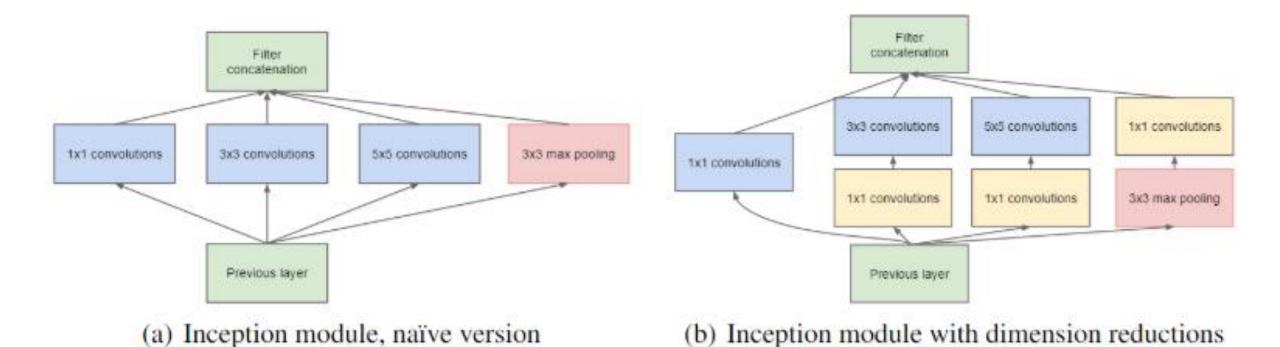
# Inception Layer Naïve Architecture



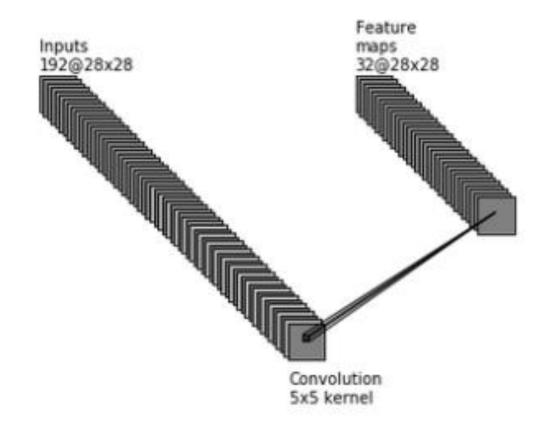
#### Inception Layer Naïve Architecture (Fig Udacity Deep Learning)

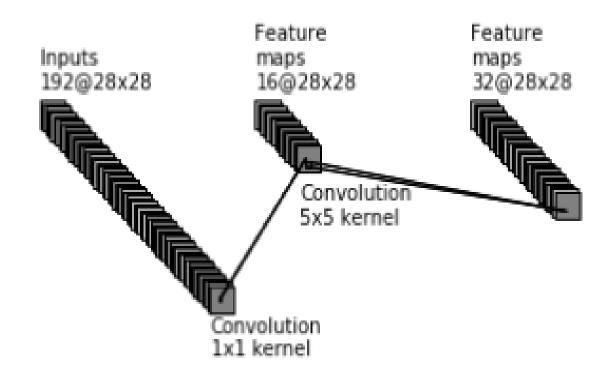


# Inception Architecture with bottleneck layer



# Bottleneck Layer





# Example

- Consider an input volume (28, 28, 192) and an output volume (28, 28, 32)
- How many computations are needed if we use a 5 x 5 filter?
- Each filter will be 5 x 5 x 192, we will be moving this over a 28 x 28 surface and we have 32 of them
  - $28 \times 28 \times 32 \times 5 \times 5 \times 192 = 120M$
- If we need multiple such filters, we need to add up corresponding computations for each of them
- On a very deep network these many computations are prohibitively large even when we use powerful hardware
- By reducing the dimensionality of the input before final convolutions, we get a manageable number of computations

# Example with bottleneck layer

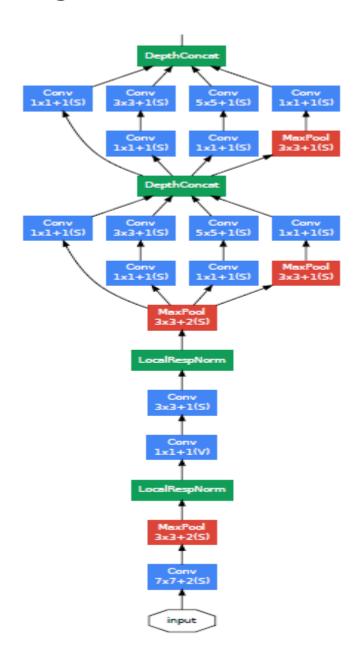
• In our example, we can transform the 28 x 28 x 192 in to same sized surface but much reduced depth (say 16) using 1 x 1 convolutions

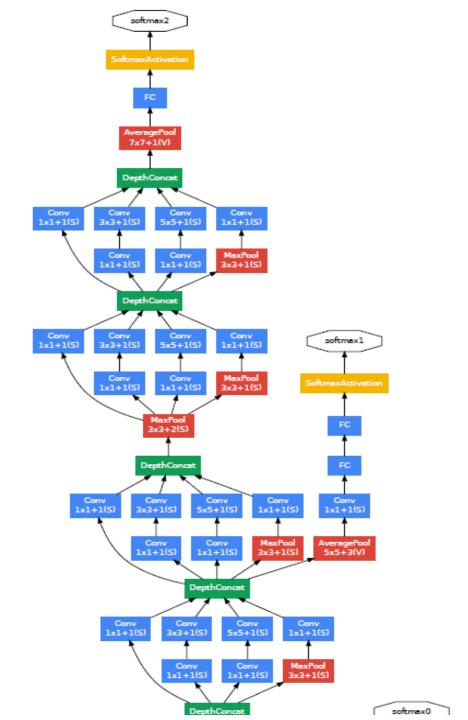
• The bottleneck layer has the shape (28 x 28 x 16)

• Perform the required convolutions (e.g.  $5 \times 5$ ) on the bottleneck layer to generate the final output volume

 Computations: #computations between input to bottleneck layer + #computations between bottleneck to output. In our example this is 12M

#### GoogleNet architecture with Inception layer





### State of the art: SENet

• ImageNet 2017 topper in multiple categories

 A novel technique to weight the contributions of the channels of a convolutional layer

# SeNet: Squeeze and Excitation Network

SeNet is the winning architecture of ImageNet 2017 in multiple categories

• Error rate on image classification: 2.251%

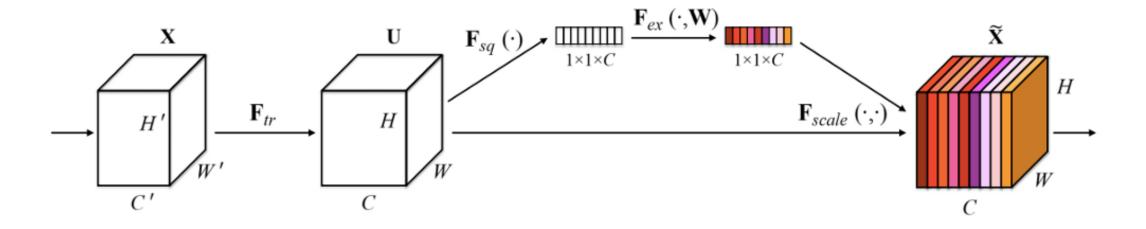
#### • Key Idea:

- In authors' words: "Improve the representational power of the network by explicitly modelling interdependencies between channels of its convolutional features"
- Simple explanation: Add parameters to each channel of a convolutional block so that network can adaptively adjust the weighting of each feature map

#### SeNet Rationale

- Deep CNN's learn increasing levels of abstractions from lower to higher layers. Lower layers have higher resolution and can extract basic elements of information
- Higher layers can detect faces or generate text etc and deal with abstract information
- All of this works by fusing the spatial and channel information of an image.
- The network weights each of its channels equally when creating the output feature maps.
- SENets change this by adding a content aware mechanism to weight each channel adaptively. In it's most basic form this could mean adding a single parameter to each channel and giving it a linear scalar how relevant each one is.

#### SENet Architecture



- Get a global understanding of each channel by squeezing the feature maps to a single numeric value. This results in a vector of size *n*, where *n* is equal to the number of convolutional channels.
- Afterwards, it is fed through a two-layer neural network, which outputs a vector of the same size. These *n* values can now be used as weights on the original features maps, scaling each channel based on its importance.

# Code Illustration of the key idea

```
def se_block(in_block, ch, ratio=16):
    x = GlobalAveragePooling2D()(in_block)
    x = Dense(ch//ratio, activation='relu')(x)
    x = Dense(ch, activation='sigmoid')(x)
    return multiply()([in_block, x])
```

Ref: <a href="https://towardsdatascience.com/squeeze-and-excitation-networks-9ef5e71eacd7">https://towardsdatascience.com/squeeze-and-excitation-networks-9ef5e71eacd7</a>

Credits: Paul-Louis Prove

## High level steps

- The function is given an input convolutional block and the current number of channels it has
- 2. We squeeze each channel to a single numeric value using average pooling
- A fully connected layer followed by a ReLU function adds the necessary nonlinearity. It's output channel complexity is also reduced by a certain ratio.
- A second fully connected layer followed by a Sigmoid activation gives each channel a smooth gating function.
- At last, we weight each feature map of the convolutional block based on the result of our side network.

Ref: <a href="https://towardsdatascience.com/squeeze-and-excitation-networks-9ef5e71eacd7">https://towardsdatascience.com/squeeze-and-excitation-networks-9ef5e71eacd7</a>

Credits: Paul-Louis Prove

## Adding squeeze excitation technique to ResNet

