

# COMP2610 / COMP6261 Information Theory

## Lecture 1: Introduction

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Australian  
National  
University

# Overview

- 1 Course Outline - Mechanics
- 2 Information and the Nature of the Universe
- 3 Examples
- 4 Brief History

# Course Information and Communications

We use ANU Wattle site for all course communications

<https://wattlecourses.anu.edu.au/course/view.php?id=41135>

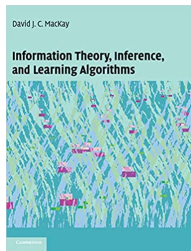
- Check Course Outline document
- It is your responsibility to check the webpage everyday for course information and announcements.
- Use 'Course Discussion Forum' for questions.

# Teaching Team

- Thushara Abhayapala (Convenor)
- Manish Kumar (Manish.Kumar@anu.edu.au)
- Angela Zhang (Yile.Zhang@anu.edu.au)
- Naisheng (Nick) Liang (u6356745@anu.edu.au)
- Zhifeng Tang (Zhifeng.Tang@anu.edu.au)

# Pre-Requisite and Self-administered Quiz

- No formal pre-requisite
- Working familiarity with elementary probability theory - Understanding of
  - notions of probability, conditional probability,
  - expectation, other moments,
  - distribution functions, density functions, joint distributions.
- The 'Teaching Team' will very quickly remind you of these concepts in the lectures. But we are not teaching them from scratch.
- Self-administered Quiz - Now available on Wattle under 'Assignments'. (Not a formal assessment)



David MacKay, “Information Theory, Inference, and Learning Algorithms”, Cambridge University press, (primary text; available <http://www.inference.org.uk/mackay/itila> )

Additional reading (Available in ANU Library):

- “Elements of Information Theory” by Cover and Thomas, 2nd Edition, New York, Wiley, 2006.
- “Pattern Recognition and Machine Learning,” by Christopher M. Bishop

# Lectures and Tutorials

- Two Lectures per week
- Tutorials - Choose one from 7 repeat tute slots ( Sign-up via MytimeTable). All tutes are on-campus only.
- Tutorials start from Week1 !
- Problem sets will be provided for each tutorial. These will review material covered in previous lectures except in week 1.
- You are expected to have tried the exercises beforehand. We will run tutorials in Workshop style.
- Do not think you can just turn up and watch. Or get someone else to do it for you.
- You cannot learn maths by watching someone else do it. Just like riding a bike; cooking; programming; piano; everything!
- You will get far more from a tutorial by trying the questions; failing; and then seeing what you should have done.
- In a nutshell: The secret of success is deliberate practice.

# Assessment

- COMP2610 and COMP6261 share some assessment. You will have to do a different subset of questions in the assignments and the exam depending upon which course you are enrolled in.
- There are FOUR components to the assessment for this course:
  - Assignment 1 10%
  - Assignment 2 20%
  - Assignment 3 20%
  - Final Exam 50% **Hurdle component, min score required is 40% of the exam**
- **Late Submission Policy:** A late submission attracts a penalty of 5% per working day as per ANU Policy until a week from the due date. We will provide solutions to the assignment after a week from the due date and if you submit after that time you get zero marks (100% penalty). Extensions will be considered according to the ANU Policy



# Expectations

- You are expected to have familiarity and ability with elementary probability theory. The take-home quiz is designed to help you check whether your background is sufficient.
- **You are responsible for your learning.** We (Teaching Team) here to assist. We take this seriously.
- You are not obliged to attend any of the lectures or tutorials. Not attending is a high risk strategy!
- The course closely follows the text. In principle, you can study that, do exercises, skip all lectures and tutes and get a HD.
- Learning mathematical material is hard and cannot be delegated or outsourced. “**There is no royal road to geometry.**” Don’t kid yourself!

## Consultation & Other Issues

- Request for clarifying assignment: **must be** posted on Wattle
- If you really need to meet in person, send an email request first
- Email response times may vary but consider **1 day as a fast reply** and **up to three days** as a normal response time
- Technical questions: encouraged to post on Wattle's public forum

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- **Engineering** (your telephone for example)
- **Computing** (What is that computers do? *They process information*)

# What Is Information? (1)

According to a dictionary definition, **information** can mean

- ① Facts provided or learned about something or someone:  
*a vital piece of information.*
- ② What is conveyed or represented by a particular arrangement or sequence of things:  
*genetically transmitted information.*

Important!

- Usually unhelpful to ask “What is?” questions!
- Better to ask what happens to it?

## What is Information? (2)

In this course: information in the context of *communication* (includes information storage).

- Explicitly include uncertainty — indeed, rather than deriving information from probability theory, one can start with information and derive probability theory from that!
- Claude Shannon (1948): “Amount of unexpected data a message contains”
  - ▶ A theory of information **transmission**
  - ▶ Does not consider the **meaning** of the message ... This is (arguably) in the eye of the beholder.
  - ▶ “The meaning of information is given by the processes that interpret it”

N. Katherine Hayles, *Cognition Everywhere: The Rise of Cognitive Nonconsciousness and the Costs of Consciousness*, *New Literary History* 45, 199–220 (2014)

## What is Information? (3)

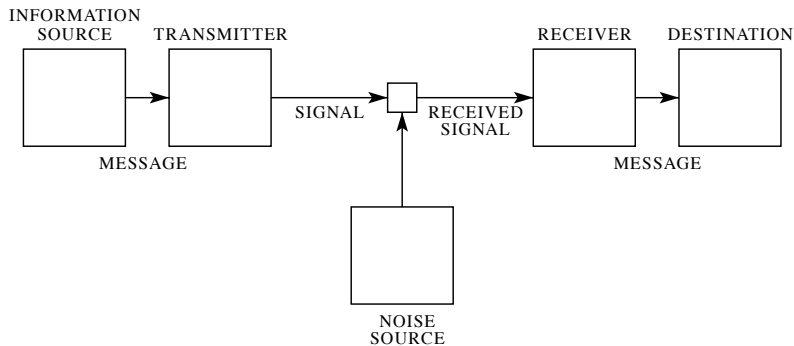


Fig. 1 — Schematic diagram of a general communication system.

From Claude Shannon, A Mathematical Theory of **Communication**, *Bell System Technical Journal* (1948).

# What Is Information? (4)

Information is a message that is uncertain to receivers:

- If we receive something that we already knew with absolute certainty then it is non-informative
- Uncertainty is crucial in measuring information content
- We will deal with uncertainty using probability theory

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## Definition (Information Theory)

Information theory is the study of the fundamental limits and potential of the representation and transmission of information.

## Examples

## Example 1: What Number Am I Thinking of?

- I have in mind a number that is between 1 and 20
- You are allowed to ask me one question at a time
- I can only answer yes/no
- Your goal is to figure out the number as quickly as possible
- What strategy would you follow?



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Your strategy + my answers = a code for each number

Some variants:

- What if you knew I never chose prime numbers?
- What if you knew I was twice as likely to pick numbers more than 10?
- What if you knew I only ever chose one of 7 or 13?

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- Whether the case holding the money is numbered less than 8?
- ... is less than 12?
- Which range out of 0-3, 4-7, 8-11, or 12-15 the money case is in?

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### Key Question:

Can we use these ideas to quantify information?

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Can you read this sentence without any vowels?

Written English (and other languages) has much *redundancy*.

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- Naively there should be almost 5 bits per letter  
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### Key Question:

- How much redundancy can we safely remove?  
(Note: ‘rd’ could be ‘read’, ‘red’, ‘road’, etc.)

## Example 4: Error Correction

Hmauns hvae the ailtiby to cerroct for eorrrs in txet and iegmas.



### Key Question:

- How much noise is it possible to correct for and how?



# A Summary of the History of Information Theory

1920s : Nyquist & Hartley at Bell Labs

1940 : Turing and Good at Bletchley Park (WWII)

1942 : Hedy Lamarr and George Antheil

1948 : Claude Shannon: “A Mathematical Theory of Communication”

1951 : Huffman Coding

1958 : Peter Elias: “Two Famous Papers”

1970 : “Coding is Dead”

1970- : Revival with advent of digital computing  
CDs, DVDs, MP3s, Digital TV, Mobiles, Internet, Deep-space  
comms (Voyager), ...

# Brief Overview of Course

- How can we quantify information?
  - ▶ Basic Definitions and Key Concepts
  - ▶ Probability, Entropy & Information
- How can we make good guesses?
  - ▶ Probabilistic Inference
  - ▶ Bayes Theorem
- How much redundancy can we safely remove?
  - ▶ Compression
  - ▶ Source Coding Theorem, Kraft Inequality
  - ▶ Block, Huffman, and Lempel-Ziv Coding
- How much noise can we correct and how?
  - ▶ Noisy-Channel Coding
  - ▶ Repetition Codes, Hamming Codes
- What is randomness?
  - ▶ Kolmogorov Complexity
  - ▶ Algorithmic Information Theory

# Learning Outcomes

- 1 Understand and apply fundamental concepts in information theory such as probability, entropy, information content and their inter-relationships.
- 2 Understand the principles of data compression and be able to implement classical compression schemes by hand on toy problems.
- 3 Compute entropy and mutual information of random variables.
- 4 Implement and analyse basic coding and compression algorithms.
- 5 Understand the relationship of information theoretical principles and Bayesian inference in data modelling and pattern recognition.
- 6 Understand some key theorems and inequalities that quantify essential limitations on compression, communication and inference.
- 7 Know the basic concepts regarding communications over noisy channels.

# What Tools Will We Use?

- Elementary probability theory
  - ▶ “What’s the probability of rolling an odd number using a fair die?”
  - ▶ <http://www.khanacademy.org/math/probability>
  - ▶ Also see resources pointed to on Wattle
- Elementary linear algebra
  - ▶ “If  $x = (1, 1, 0)$  and  $y = (-2, 0, 1)$  what is  $x \cdot y$  and  $3x + 2y$ ?”
  - ▶ <http://www.khanacademy.org/math/linear-algebra>
- Basic programming skills
  - ▶ “Do you know your `for` loops from your `while` loops?”

# What's next

- 1 If you are not comfortable about your probability and algebra skills, start today on improving them
- 2 Get a copy of the text and start perusing it
- 3 Do the Self Assessment Quiz
- 4 Attend tutorials in week 1