

1 **Development of a multi-disciplinary**
2 **toolkit to study time cells in the**
3 **hippocampus**

5 A Thesis

6

7

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13

14 by
15 Kambadur Gundu Ananthamurthy

16

17

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21

22

DECLARATION

23

24 This thesis is a presentation of my original research work.
25 Wherever contributions of others are involved, every effort is
26 made to indicate this clearly, with due reference to the
27 literature, and acknowledgment of collaborative research and
28 discussions.

29

30 The work was done under the guidance of Professor Upinder
31 S. Bhalla, at the Tata Institute of Fundamental Research,
32 Mumbai.

33



Kambadur Gundu Ananthamurthy

35

36 In my capacity as supervisor of the candidate's thesis, I certify
37 that the above statements are true to the best of my
38 knowledge.

39



Prof. Upinder S. Bhalla

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359 **Abstract**

360

361 The mammalian Hippocampus is considered important for the
362 formation of several kinds of memory, one of which is the association
363 between stimuli occurring separately in time. Several studies have
364 shown that small populations of Hippocampal CA1 cells fire in time-
365 locked sequences, "bridging" the time gap in temporal tasks (B. Kraus
366 et al., 2013; MacDonald et al., 2011, 2013; Pastalkova et al., 2008),
367 including a single-session version of Trace Eye-Blink Conditioning or
368 TEC (Modi et al., 2014). Such cells are commonly termed time cells
369 (Eichenbaum, 2017; MacDonald et al., 2011).

370 The main goal of the Thesis was to be able to study time cells under a
371 variety of behavioural tasks and conditions and elucidate several
372 physiological properties. We standardised a multi-day Trace Eye-Blink
373 Conditioning (TEC) protocol to train head-fixed C57Bl6 mice (Siegel et
374 al., 2015). TEC involves an association between a previously neutral
375 Conditioned Stimulus (CS) with an eye-blink inducing Unconditioned
376 Stimulus (US), across an intervening, stimulus-free, Trace Interval. We
377 were able to observe stable, adaptive learning with our protocol. We
378 also standardized an *in vivo* imaging preparation to record calcium
379 activity from Hippocampal CA1 cells, adapted from previously
380 published methods (Dombeck et al., 2010; Modi et al., 2014). We used
381 a custom-built two photon laser-scanning microscope and performed
382 galvo-scans through the imaging window, during TEC acquisition. The
383 behaviour and imaging was conducted simultaneously to record
384 calcium activity as the animal learnt the task. Chronic Calcium Imaging
385 allowed us to track and record the activity of the same cells, confirmed
386 morphologically. We could then identify time cells across sessions, and

387 look for adaptations in tuning curves, along multiple sessions.
388 Furthermore, numerous approaches have been developed to analyse
389 time cells and neuronal activity sequences, but it is not clear if their
390 classifications match, nor how sensitive they are to various sources of
391 data variability. We provide two main contributions to address this: A
392 resource of synthetic 2-photon calcium activity data, and a survey of
393 several methods for analyzing time cell data using our synthetic data
394 as ground truth. The synthetic dataset and its generation code are
395 useful for profiling future methods, testing analysis tool-chains, and as
396 input to computational and experimental models of sequence
397 detection. We characterized strengths and weaknesses of several
398 time-cell analysis methods. Finally, we benchmark how computational
399 requirements scale with large datasets typical of recent recording
400 technologies.

401

402 Chapter 1 – Introduction

403

404 The vertebrate Central Nervous System (CNS), consisting primarily of
405 the central ganglia (brain) and the spinal cord, samples and receives
406 information from the external world offering top-down control over the
407 activity of all parts of the body. Functions like exploration, food
408 acquisition, and danger aversion, all involve complex coordination
409 between,

- 410 ● the Sensory Systems (that integrate information from the
411 environment),
412 ● the Memory Systems (that integrate sensory information with
413 prior experience), and
414 ● the Motor Systems (that integrate motor plans and execute
415 movement).

416

417 Projects and overall goals

418

419 The overall focus of the work and experiments described in this Thesis
420 was to study Memory Systems, specifically, in terms of,

421

422 **Project I:** How do sensory representations transform with
423 learning?

424

425 **Project II:** How does the timing of cellular activity adjust to
426 behavioural task variables?

427

428 **Project III:** What is the best way to detect and score time-tuned
429 cellular activity?

430

431 Narrowing down, we as a lab were interested in the mammalian
432 hippocampus, a brain structure which is important for consolidating
433 information (from Sensory and other Memory Systems) to enable
434 certain kinds of short-term memory and the translation of short-term
435 memory to long-term.

436

437 **A toolkit to study time cells: Thesis 438 Objectives**

439

440 Ramón y Cajal, one of the pioneers of neuroscience around 1900,
441 utilized Camillo Golgi's staining method to conclusively describe
442 neurons in the brain as independent functional units connected to each
443 other in intricate networks made up of many nodes ($\sim 10^6 - 10^9$). These
444 neurons have since been described not just anatomically, but also on
445 the basis of genetics, development, and neurophysiology.

446

447 In the sub-discipline of Learning and Memory an often studied neuron
448 type is the pyramidal neuron, an example of which is the hippocampal
449 CA1 pyramidal neuron. **It has been an important goal to study memory**
450 **and the neural code in terms of finer temporal order, viz., behavioural**
451 **time scales (~ms to s).** Combining

- 452 • stable, adaptable trace eye-blink conditioning behaviour, and,
453 • cellular resolution 2-photon calcium imaging of hippocampal
454 CA1, *in vivo*,
455 • with the goal to study time cell physiology,

456 was the core objective of the toolkit and the thesis as a whole.
457
458 Development in circuit manipulation tools using light-mediated
459 activation or suppression of neuronal excitability (Luo et al., 2018),
460 afford experimenters the ability to control circuits at ms time scales.
461 Concomitant progress in effective physiological models of network
462 activity during bouts of recall of the learnt behavioural trace require
463 standardized behaviour and recording. For us, this mandated the
464 design of a relatively low-cost, end-to-end configurable, combined
465 behavioural and recording technology, to reliably study the neural code
466 at the ms time scale, *in vivo*.
467
468 This thesis describes a toolkit of techniques ranging in a wide, multi-
469 disciplinary scope, assembled with standardized hardware and
470 software routines studying animal behaviour, network neurophysiology,
471 and statistical analyses. The aim of the toolkit was to support the
472 experimental ability to study the hippocampal CA1 pyramidal neuron
473 network, under strictly controlled behavioural contexts designed to train
474 experimental mice on temporal or episodic memory tasks. Specifically,
475 these tasks such as trace eye-blink conditioning (TEC) have previously
476 been described to elicit hippocampal CA1 sequences (B. Kraus et al.,
477 2013; B. J. Kraus et al., 2015; MacDonald et al., 2011, 2013; Modi et
478 al., 2014; Pastalkova et al., 2008). This spatiotemporal network activity
479 sequence is dynamic and built from individual hippocampal CA1
480 pyramidal neurons showcasing time tuned activity through spiking.
481 These cells are called time cells (Eichenbaum, 2017; MacDonald et al.,
482 2011).
483

484 More generally, sequential activity is hypothesized to be involved even
485 in the retrieval of evidence from memory, during more complex
486 behavioural decisions that are not directly informed by the sequences
487 of stimuli presented (see Shadlen & Shohamy, 2016 for review). It is
488 still uncertain if sequential activity comprehensively describes the
489 dynamic, physiological substrate of the neural code for memory and
490 learning, *i.e.* – the engram, at behavioural time scales, or that other
491 mechanisms may also be applicable, depending on the requirements
492 of the task. We now discuss engrams and the temporal limitations of
493 identifying engram cells using activity based molecular techniques.

494 **Engrams associated with Learning and**
495 **Memory**

496

497 The term "engram" (coined by Richard Semon) refers to the physical
498 substrate of memory in the organism, used for storing and recalling
499 memories (Josselyn & Tonegawa, 2020). Donald Hebb's theory of
500 Hebbian Plasticity (Hebb, 1949) postulated that memory formation was
501 correlated to modulations in synaptic strength and connectivity. The
502 theory critically emphasized that the pair of neurons connected through
503 the synapse undergoing plasticity to strengthen efficacy, required the
504 spiking activity of both neurons. In subsequent decades, research into
505 the idea led to the theory of spike-timing-dependent plasticity
506 (Caporale & Dan, 2008), a mechanism of synaptic plasticity based on
507 the relative timing of activity of the neurons. It is still a matter of debate
508 whether the biophysical manifestation of the engram is the synapse,
509 the activity of the neurons, biophysical or chemical processes, but it is

510 likely that the engram is distributed across several computational
511 scales in the brain.

512

513 An important first step in early neuroscience research attempted to
514 identify specific functions for specific brain regions. Experimenters
515 would train a variety of model systems to specific behavioural tasks
516 and attempt to delineate specific brain regions crucial (or not) to the
517 task using targeted lesion or ablation studies (see Vaidya et al., 2019
518 for review).

519

520 Eric Kandel's experiments with the Aplysia sensory neurons studied gill
521 withdrawal - an aversive but stable, adaptive behaviour (Carew et al.,
522 1971). The reliability of this learned response allowed the experiments
523 to include crucial electrophysiological and neurochemical circuit
524 dissections that ultimately lead to the discovery of the entire neural
525 circuit orchestrating the task, even to the level of cellular signaling.
526 This led to decades of research focused on the plasticity of synapses
527 across nervous systems in the animal kingdom.

528

529 With the development of more sophisticated recording and molecular
530 techniques, further details on mechanism within specific brain regions
531 and circuits could be described. Specifically, technological
532 advancements in molecular neuroscience led to the development of a
533 number of fluorescent sensors, conditional tagging, activators and
534 inhibitors that allowed cellular resolution tracing of the engram (Luo et
535 al., 2018).

536

537 Experiments by Sheena Josselyn, Alcino Silva, and colleagues led to
538 the discovery that the intrinsic excitability of a pyramidal neuron in any

539 network positively biased the probability of recruitment to the engram
540 (Han et al., 2009; Rogerson et al., 2014; Silva et al., 2009; Yiu et al.,
541 2014; Y. Zhou et al., 2009), *viz.*, the tagged set of cells were active
542 when memory was learnt and recalled. The engram seemed to be
543 described in terms of the cellular sub-population involved but the
544 experiment could only identify the same over a relatively longer
545 window of time (~mins.). This could lead to only a static list of cells
546 which may even have included False Positives (Type I error).
547 Importantly, any dynamics in the spatiotemporal patterns of activity of
548 the pyramidal neurons were not amenable to study at shorter
549 timescales (~ms.). On the other hand, physiological recordings could
550 describe these dynamics at short timescales, but were rarely translated
551 to chronic measurements of the activity of the same cells across days
552 and sessions, given technical limitations at the time.

553 **Dynamics in the neural code for engrams**

554
555 We first discuss some important results that help motivate the study of
556 physiological recordings in the context of engrams, *i.e.*, the dynamical
557 nature of the neural code (~ms to s). In later sections we will describe
558 these dynamics in more detail.

559
560 Place cells and their role in spatial navigation have been studied in
561 great detail through decades of research ever since they were first
562 described by John O'Keefe (O'Keefe & Dostrovsky, 1971). We did not
563 explicitly study place cells in this thesis but some key discoveries in
564 literature require mention, with the goal to build a case for a theory of
565 CA1 ensemble sequences (Modi et al., 2014). Briefly, place cells are

566 pyramidal neurons that showcased a higher than baseline probability
567 of firing action potentials whenever animals navigating spatial
568 environments visited specific locations. The tuning curves or firing
569 fields for these cells often map to the real spatial trajectory of the
570 animal and is thought to be an assimilation of both brain external
571 stimuli such as visual cues, as well as brain internal variables such as
572 motivation, goal orientation, memory, and experience(Ferbinteanu et
573 al., 2011; Ferbinteanu & Shapiro, 2003; Foster, 2017; Frank et al.,
574 2000; Wood et al., 2000).

575

576 As the animal enters these landmark locations in any spatial context,
577 these place cells showcase Phase Precession, firing earlier in phase to
578 cycles of Theta a network rhythm clocked at ~4-10 Hz, as the animal's
579 position changes relative to the landmark. These navigation mapped
580 place cell sequences are called Theta Sequences (Foster & Wilson,
581 2007), typically mapped to a few active neurons at a time.

582

583 In very specific contexts, these place cells express activity sequences
584 synchronized to Sharp Wave Ripples, a different network activity
585 phenomenon clocked at ~10-30 Hz, often not tied to the animal's
586 location, called Replay Sequences (Csicsvari et al., 2007; Foster &
587 Wilson, 2006). These sequences have been described to play out
588 typically in reverse temporal order to models of place cell sequences
589 describing known trajectories in space.

590

591 There is variability in the firing of place cells in any spatial context, and
592 studies have mapped specific sequences to very specific trajectory
593 goals (going towards or away from locations) with modulation by both
594 egocentric and allocentric orientations cues(Davidson et al., 2009;

595 Ferbinteanu et al., 2011; Ferbinteanu & Shapiro, 2003; Frank et al.,
596 2000; Wood et al., 2000) and movement speed based estimates of
597 distance (Kropff et al., 2015).

598

599 Place cell and time cell sequences have many similarities and
600 differences in descriptive neurophysiology, but may emerge from the
601 same memory organization principles (Buzsáki & Llinás, 2017). It is
602 argued that there is significance to the exact phrasing of the CA1
603 sequence in any given context. Furthermore, a very interesting feature
604 observed is Time-stamping, *viz.*, time dependent overlap of ensemble
605 responses to different contexts and behavioural parameters (Cai et al.,
606 2016; Mau et al., 2018).

607

608 We developed and standardized a multi-session, adaptable Trace Eye-
609 Blink Conditioning (TEC) paradigm in which head-fixed mice learn to
610 form associations between neutral and high-valence stimuli. We
611 describe associative learning in later sections as well in Chapter 2 –
612 “Behaviour”. TEC has been previously observed to elicit CA1 activity
613 sequences even in a single session of training (Modi et al., 2014). The
614 functional involvement of the hippocampus in the acquisition of
615 Conditioned Responses (CRs) has been studied and implicated by
616 studying acquisition rates to multiple trace intervals. It was found that
617 memory load, inferred in terms of task difficulty with longer trace
618 intervals (300 ms vs 500 ms), was crucial to observing an effect of
619 hippocampal lesions on the behavioural expression of CRs (Moyer et
620 al., 1990).

621

622 Several stimulus modalities have been used as the Unconditioned
623 Stimulus (US) such as periorbital air-puffs and electrical shocks (see

624 Disterhoft & Weiss, 2017 for review). Throughout all our TEC
625 experiments, we chose to use the mildly aversive air-puff to elicit the
626 Unconditioned Response (UR) to the US. Further, we use a flash of a
627 blue LED as the Conditioned Stimulus (CS), expecting to observe
628 reliable Conditioned Responses (CRs) to the CS within 3-7 days, for
629 trace intervals of ~250 ms (Siegel et al., 2015). CRs are observed as a
630 preemptive eye-blink response elicited reliably before the presentation
631 of the US – a reproducible attempt to avoid the discomfort of the
632 aversive air-puff. This is in accordance with the Rescorla-Wagner
633 model of Classical Conditioning, which assumes that association of the
634 CS and US based on repeated pairing depends on how well the
635 presence of the CS predicts the future occurrence of the US, along
636 with other variables such as the relative intensities and modalities of
637 the presented stimuli (Rescorla & Wagner, 1972). Extensions to this
638 model have suggested that there could be negative effects to the
639 associative learning when other CS (CS1, CS2, etc.) are also paired
640 together in within-compound-association tasks such as “backward
641 blocking” (Hamme & Wasserman, 1993). For our experiments we used
642 only one CS for any training session, typically a 50 ms flash of a blue
643 LED. However, our behavioural setup allows for multiple CS types,
644 e.g., CS1 = Blue LED flash and CS2 = auditory tone, to be presented
645 based on the experiment.

646

647 Transient increases in CA1 excitability post acquisition of the task were
648 described up to 4-5 days (Moyer et al., 1996) and could be important to
649 the forging of the task specific spatiotemporal sequences during
650 learning. In an *in vitro* assay, coronal sections of the hippocampus
651 (Figure 1) were stimulated at the Perforant Path to the cells of the
652 Dentate Gyrus in patterns that could be mnemonically mapped to

653 stereotypic, temporal sequences of Excitatory Postsynaptic Potentials
654 (EPSPs) read out at the hilar mossy cell layer ~400-500 ms later (Hyde
655 & Strowbridge, 2012). This suggested the presence of temporal
656 sequences even at the Dentate Granule cell layer, many synapses
657 before the hippocampal CA1.

658

659 On a longer timescale, hippocampal lesion-based experiments on mice
660 have been used to describe the role of the hippocampus to within 4
661 weeks of TEC, with deficits in Conditioned Responses (CRs) as a
662 readout of the effect of the lesion (Takehara et al., 2002). We aimed to
663 examine the processes that underlie this time-dependent role of the
664 hippocampus by chronically tracking the same cohort of hippocampal
665 CA1 cells across the sessions of TEC, at cellular resolution, using
666 galvo-scanning 2-photon calcium imaging. We were specifically
667 interested in studying the emergence and long-term activity dynamics
668 of time cells, touted to be the behavioural time scale ($\sim 10\text{-}10^3$ ms)
669 expression of the memory engram during associative learning, as
670 described in later sections. Preliminary results and additional details on
671 our TEC paradigm may be found in Chapter 2 – “Behaviour”.

672 **Theories on the function of the** 673 **hippocampus**

674

675 We now provide a very brief outline of the four main ideas of
676 hippocampal function studied over the past few decades. These are,
677 A) Response Inhibition - Studied mostly in the 1960's, this
678 perspective described the Hippocampus as important to the
679 ability of animals to inhibit their impulses and natural, habitual,

680 or dominant behavioral responses to stimuli, in order to select
681 more appropriate responses. This perspective was justified by
682 two observations with regard to animals with hippocampal
683 damage - 1) these animals tended to be hyperactive, and 2)
684 were unable to withhold previously learnt responses. British
685 psychologist Jeffrey Alan Gray developed this perspective to
686 link hippocampal activity with anxiety (McNaughton & Gray,
687 2000). Studies have now implicated the hippocampus in the
688 facilitation of correct responses and inhibition of incorrect
689 responses during contextual memory tasks, though not for
690 visual discrimination of contexts (Kim & Lee, 2012). Response
691 Inhibition is considered an executive function and the brain
692 circuitry involved, also includes (other than the hippocampus)
693 the prefrontal cortex, subthalamic nucleus, and caudate nucleus
694 (Diamond, 2013). Inhibitory control is typically impaired in
695 patients with drug addiction, attention deficit hyperactivity
696 disorder (ADHD), obsessive compulsive disorder (OCD), and
697 Tourette's syndrome, among many others, and is an active area
698 of research (Diamond, 2013; Nestler et al., 2015). Typical
699 neuropsychological tests used to measure inhibitory control
700 include the Stroop task, Go/No-Go task, Simon task, Flanker
701 task, anti-saccade tasks, delay of gratification tasks, and stop-
702 signal tasks (Diamond, 2013).

703

704 B) Episodic Memory – a form of declarative or explicit memory that
705 refers to the ability and mechanistic paradigms that allow for the
706 behavioural recall of a collection of past personal experiences,
707 occurring at particular places and times to the subject. The term
708 was coined by Endel Tulving in 1972 (see Clayton et al., 2007),

709 although the perspective was popularized many decades prior,
710 by the psychological studies on Patient H. M. (Henry Molaison),
711 who had been suffering from epileptic seizures and had to
712 undergo extensive hippocampectomy (surgical destruction of
713 the hippocampi), as treatment. American neurosurgeon William
714 Beecher Scoville and British-Canadian neuropsychologist
715 Brenda Milner were pioneers of this study and were able to
716 describe severe anterograde and partial retrograde amnesia in
717 the patient post surgery (Scoville & Milner, 1957). Since the late
718 2000's, the discovery and description of time cells (B. Kraus et
719 al., 2013; B. J. Kraus et al., 2015; MacDonald et al., 2011, 2013;
720 Modi et al., 2014; Pastalkova et al., 2008), has reinvigorated this
721 perspective. Nine important, collective properties of episodic
722 memory distinguish it from other types of memory (see Conway,
723 2009 for review) *viz.*, episodic memory

724 i) is a summary record of sensory-perceptual-conceptual-
725 affective processing,

726 ii) retains patterns of activation/inhibition over long periods,

727 iii) is often represented in the form of visual images,

728 iv) is perspective centered (subjective),

729 v) is a representation of short time slices of experience,

730 vi) is represented on a temporal dimension roughly in order
731 of occurrence,

732 vii) subject to rapid forgetting (extinction),

733 viii) helps make autobiographical remembering specific, and,

734 ix) is recollectively experienced when accessed.

735 The study of episodic memory and time cells forms the core of
736 this thesis, and further details, experiments, and preliminary
737 results are discussed in subsequent sections and chapters.

- 738
- 739 C) Spatial Cognition – is the ability of experimental animals to
- 740 locate and ascribe valence to points in space, during the
- 741 navigation of environments (see Hartley et al., 2014 for review).
- 742 Originally popularized by the remarkable work of American-
- 743 British neuroscientist John O’Keefe and American psychologist
- 744 Lynn Nadel, the link between hippocampal function and spatial
- 745 navigation was solidified with the discovery and subsequent
- 746 descriptions of place cells (Morris et al., 1982; O’Keefe &
- 747 Dostrovsky, 1971; O’Keefe & Recce, 1993). This perspective is
- 748 the most popular amongst the known and studied functions of
- 749 the Hippocampus and has been the subject of a large body of
- 750 work. Indeed, the Nobel Prize in Physiology or Medicine 2014
- 751 was awarded to John O’Keefe, May-Britt Moser, and Edvard I.
- 752 Moser, for “The Brain’s Navigational Place and Grid Cell
- 753 System”. Both egocentric as well as allocentric cues are
- 754 assimilated in an individual’s ability to navigate space, creating
- 755 a mental representation of the environment or cognitive map
- 756 (see “Focus on Spatial Cognition,” 2017 for review). There is
- 757 evidence that the hippocampus and striatal circuits process
- 758 different aspects of the environment, using very different
- 759 learning rules, *i.e.*, incidental learning and associative
- 760 reinforcement, respectively (Burgess, 2008). Spatial Cognition
- 761 and place cells will be discussed to a limited extent in
- 762 subsequent sections though these topics were not explicitly
- 763 studied in the experiments for this thesis.
- 764
- 765 D) Contextual Mapping – An emerging consensus in the field is
- 766 that the hippocampus actually builds contextual maps of the

environment or perceived events, with expansions to the neural activity code along any relevant dimension of stimuli. Stimuli or events cuing any modality, e.g., spatial, temporal, frequency, etc., may be assimilated, along with more brain internal variables such as (but not limited to) motivation, expected reward status, prior experience in related tasks, and goal-orientation (task specific). Furthermore, this allows the hippocampus to make predictive models that bind new information streams to collectively update predictions (M. R. Cohen & Kohn, 2011; Eichenbaum, 2017; Miller et al., 2023; O'Keefe & Nadel, 1978). **Pattern separation and conjunctive representation of the combined multi-modal experience in the hippocampus, has been implicated in reinforcement learning** (Ballard et al., 2019). **Contextual mapping** considers the hippocampal-entorhinal circuit as a Tolman-Eichenbaum machine (TEM) with the medial entorhinal cortex (MEC) cells thought to describe important aspects of past experience and the hippocampal cells implicated in binding the current sensory experience with prior experience (Ballard et al., 2019; Eichenbaum, 2017), with the goal to develop a functional model of the subjective experience of animals and flexibly selecting appropriate responses. To complement studies on place and time cells, the mapping of auditory tone frequencies in a frequency sweep (a relatively abstract external concept) has also been reported in the hippocampus-entorhinal circuit (Aronov et al., 2017).

Episodic memory forms the central function of study for all the hippocampus related experiments described in the thesis. Trace Eye-

796 Blink Conditioning (TEC) is an example of a task used to study
797 episodic recall (Thompson, 2004). The behavioural acquisition and
798 expression of CRs correlate well with the neuronal expression of
799 spatiotemporal sequences of time cells (Modi et al., 2014). Our
800 experiments aimed to describe finer details such as how the animals
801 assign valence to the neutral Conditioned Stimulus (CS) and how time
802 cell population codes adapt to changes in the trace interval. Some
803 characteristic features of the engram at behavioural time scales, *viz.*,
804 time cell activation sequences, have been described as preliminary
805 results (Chapter 3 – “Imaging”), under the behavioural context of TEC,
806 an associative learning task.
807

808 **A brief introduction to associative learning**

809
810 The ability to physiologically record cells is insufficient without placing
811 the experimental animals in precisely defined, stable behavioural
812 contexts. Only in this way can neural activity be checked for
813 correlations or mapping to distinct changes in external behaviour
814 variables and the decisions that the animal makes, accordingly.
815 Combining behaviour and recording was considered an important
816 guiding principle in all our experiments. **Associative learning** is the
817 overall process by which animals develop behavioural valence to
818 neutral stimuli that occur in temporal conjunction to other potent,
819 behaviour eliciting stimuli. We wished to study the network level
820 responses in the hippocampus, *in vivo*, especially during early learning
821 of associated stimuli, *i.e.*, behavioural acquisition, combining stable,
822 adaptable associative learning paradigms such as Trace Eye-Blink

823 Conditioning (TEC) with cellular resolution, behaviour time scale, high-
824 yield recordings of neurophysiology.

825

826 Prior to the early 20th century, Structuralism was a dominant
827 perspective in Psychology, insisting on introspection - the observation
828 and report of one's own mind and thoughts. Experiments and
829 discoveries by Ivan Pavlov at the Military Medical Academy in
830 Petrograd (St. Petersburg), eventually led to a dramatic shift in
831 perspective, with the birth of Classical Conditioning, a type of
832 associative learning. Following the very same methodology advocated
833 by Francis Bacon (early 17th century), quantitative data from carefully
834 conducted animal experiments were recorded, with the idea to narrow
835 down on a small number of hypotheses that could explain experimental
836 observations.

837

838 Ivan Pavlov provided essential demonstrations of anticipation and
839 made tremendous progress in understanding the circumstances on
840 which anticipation depends, and this is why Classical Conditioning is
841 also often referred to as Pavlovian Conditioning. Following Pavlov's
842 studies (Pavlov, 1927), it was proposed that Classical Conditioning
843 was a prototypical example of Association. While it does have caveats
844 such as covert learning when observable behaviour may be blocked
845 (Dickinson et al., 1983; Krupa & Thompson, 2003), Associative
846 learning is rich with a variety of animals and association tasks that
847 have been crucial to study memory and learning over the past century.

848

849 Typically, animals require no prior training to elicit a behavioural or
850 motor movement to biologically potent stimulus (appetitive or aversive),
851 called an Unconditioned Stimulus (US). Examples include food, water,

852 electrical shock, temperature shock, etc.. Without pairing with a US, a
853 neutral stimulus elicits no observable response from an animal, and
854 such a stimulus is called a Conditioned Stimulus (CS). Examples
855 include simple auditory tones, flashes of light, among others.

856
857 Classical Conditioning is both the behavioural procedure as well as the
858 learning process that results from the pairing of a previously neutral
859 stimulus (CS) with a biologically potent stimulus (US). Repeated
860 pairing allows animals to make implicit associations between the CS
861 and US, and essentially anticipate the occurrence of the US, once the
862 CS is observed. Animals report this forecasting feat by producing the
863 same response that they would to a US, albeit often a milder version.

864 Typical protocols for Classical Conditioning, follow the regime of
865 Forward pairing, *viz.*, - the CS is presented before the US, and this
866 temporal structure will be followed unanimously across all behaviour
867 experiments described in this thesis.

868
869 The standardization of the behavioural task, physiological recording
870 (imaging) preparation, as well as the custom analysis routines to look
871 for various physiological features are described in this thesis.
872 Combining these multi-disciplinary approaches allowed us to develop a
873 toolkit to study time cells in the hippocampus, under strict behavioural
874 contexts. It is important to note, however, that spatiotemporal
875 sequences of activity as measured by calcium imaging based
876 simultaneous recordings of a large number of cells, are not limited to
877 the hippocampus, being studied even in the visual cortex (Pachitariu et
878 al., 2017; Poort et al., 2015), somatosensory cortex (Petersen, 2019),
879 entorhinal cortex (Heys et al., 2014), and even in the cerebellum
880 (Giovannucci et al., 2017). Essentially, the analytical methods

881 developed (Ananthamurthy & Bhalla, 2023) can easily be adapted to
882 other neuronal network recordings where time-tuning may be
883 applicable. Experimental protocols for associative learning have been
884 standardized for a variety of animals, in a variety of experimental
885 conditions. The specific issue is of developing an experimental system
886 that can run simultaneous TEC behaviour and 2-photon imaging, in
887 concert, and provide the context for time cell physiology to be studied,
888 *in vivo*. It was important to design both aspects of the experiments
889 (behaviour and imaging) since these were the most suitable conditions
890 for studies on time cells.

891 Space and time in the hippocampus

892
893 Damage to the hippocampal system has been shown to cause the
894 impairment of long-term memory or amnesia, in human patients,
895 rodents, and non-human primates. Interestingly, such damage to the
896 Hippocampus seems to have no observable effect on the capacity for
897 acquisition and expression of skilled performance. These two results
898 suggest the role of the Hippocampus in certain kinds of memory, but
899 not all.

900
901 Anatomically, the hippocampal system receives input from, and in turn,
902 projects to the neocortical brain regions that serve as the site to
903 process higher order categories and modalities of information. The
904 hippocampal circuit is anatomically >3-4 synapses away from the
905 peripheral nervous system, and information typically arrives after many
906 layers of intervening processing and computation. It is thus suggested
907 that the hippocampus holds a privileged position in the brain, receiving

908 the outcomes of the computation of the brain's various modules, and
909 relating to them (Baudry & Lynch, 1981; Ekstrom & Ranganath, 2018;
910 Moscovitch et al., 2016; Poppenk et al., 2013; Tao et al., 2021). A
911 large majority of the cortical information is sent to the Hippocampus via
912 the Entorhinal Cortex (EC). This information is processed in roughly
913 three stereotactically and molecularly separable layers of cells in the
914 following order: EC → Dentate Gyrus → CA3 → CA1. This pathway
915 from the EC to the CA1 has three separate synaptic connections
916 (across the layers) and is also known as the Trisynaptic Pathway
917 (Figure 1). The output of the CA1 is then sent to other cortical areas.
918

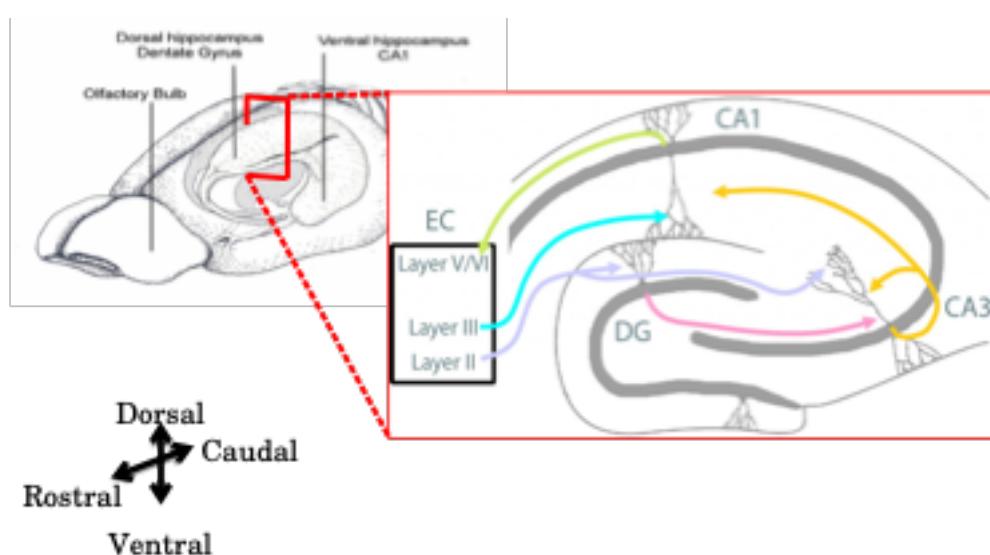


Figure 1: A schematic representation of the Trisynaptic Pathway as observed with coronal slices, along the longitudinal axis of the hippocampus. Image adapted from the webpage for the Neural Circuits and Memory Lab, University of Wisconsin (Milwaukee) [<https://sites.lsa.umich.edu/diba-lab/neural-circuits-of-the-hippocampus/>].

919 One of the most significant discoveries in the hippocampal system and
920 surrounding brain structures was the role played in spatial cognition.
921 An enormous corpus of research has conclusively described,
922 • head-direction cells: with tuning curves tied to the direction that
923 experimental animals were oriented to. These cells respond to
924 egocentric vestibular cues as well as allocentric sensory cues
925 (Ranck, 1973; Taube et al., 1990), in the dorsal presubiculum,
926 retrosplenial cortex, entorhinal cortex, thalamus, and striatum,
927 among others.
928 • grid cells: with multi-modal tuning curves at regular spatial
929 positions as a lattice, across the environment being navigated.
930 These cells assimilate information about location, distance, and
931 direction, and are typically found in the entorhinal cortex (Fyhn
932 et al., 2004; Hafting et al., 2005).
933 • boundary vector cells: with tuning curves to the edges of the
934 environment being navigated. These cells are typically found in
935 the subiculum, pre- and para-subiculum, and entorhinal cortex
936 (Bjerknes et al., 2014; Lever et al., 2009; O'Keefe & Burgess,
937 1996; Savelli et al., 2008; Solstad et al., 2008).
938 • speed cells: with modulated firing rates based on the actual
939 running or movement speed of the animals. These cells are
940 typically found in the entorhinal cortex (Kropff et al., 2015).
941 • place cells: with tuning curves to specific locations in the
942 environment (O'Keefe & Dostrovsky, 1971; O'Keefe & Recce,
943 1993). These cells may be found in several hippocampal sub-
944 layers but often studied in the CA1.
945
946 The activity of neurons in the hippocampus of awake, behaving
947 animals is modulated by significant stimuli or objects in the

948 environment as well as relationships between temporally discontiguous
949 but relevant, paired stimuli. With the discovery of place cells, it was
950 clear that the CA1 of animals navigating a spatial environment,
951 showcased location specific firing fields. With the discovery of time
952 cells, it was noted that the CA1 of animals could elicit spatiotemporal
953 sequences of activity whenever the animal was required to make a link
954 between stimuli or events, even with a stimulus-free period in between.
955 Together, these results provided an important physiological parallel
956 between the spatial learning and episode learning deficit seen with
957 damage to the Hippocampus. Curiously, both place cells and time
958 cells, as well as the sequences built up with them were non-
959 topographically mapped, *i.e.*, they may be located anywhere in the
960 hippocampus, with no obvious spatial order (Dombeck et al., 2010;
961 Modi et al., 2014)(Dombeck et al., 2010; Modi et al., 2014), in contrast
962 to results from the cortex (Dombeck et al., 2009; Ozden et al., 2008).
963
964 In an experiment published in 2008, Eva Pastalkova and colleagues
965 from Gyorgy Buzsaki's lab had rats navigate a figure 8 maze, with the
966 animal being rewarded with water, in between trials, if they managed to
967 alternate between the left and right arms (Pastalkova et al., 2008). As
968 an added nuance in the task, just before launching into the left or right
969 arms, the animal had to spend a fixed amount of time running a
970 treadmill, held in place. This would allow self-motion cues, but with the
971 absence of any other external stimuli. Impressively, single-units
972 recorded from the hippocampal CA1 cells revealed strong correlation
973 with the time spent on the treadmill, despite the absence of external
974 cues, and that different cells tuned to different time points, forming a
975 spatiotemporal sequence of activation (Pastalkova et al., 2008). In a
976 different experiment published in 2011, Christopher J. MacDonald and

977 colleagues from Howard Eichenbaum's lab had rats had to go around
978 a maze and perform a olfactory task (MacDonald et al., 2011). The
979 animals were first presented with an odour, then made to wait for a
980 delay period in a cordoned off section of the maze, before being
981 allowed to either dig for a reward or continue on the maze, depending
982 on the odour presented. As trials progressed, Hippocampal CA1 cells
983 were recorded (single-units) and found to not only be modulated by the
984 decision to be taken, but also to the amount of time spent in the delay
985 period. Experimentally, the delay period could be elongated or
986 shortened, each having an effect on remapping of the tuning fields of
987 the various CA1 cells, but to different extents (MacDonald et al., 2011).

988

989 In 2013, the Eichenbaum group published their findings with head-fixed
990 rats (no movement in space) performing a Delayed Match-To Sample
991 (DMS) task with pairs of odours, where again time tuned activity could
992 be observed with a sequence of Hippocampal CA1 cell activations, that
993 depended on the identity of the first odour (MacDonald et al., 2013). In
994 2014, Mehrab Modi, Ashesh Dhawale, and Upinder Bhalla published
995 their results with head-fixed mice learning and performing a Trace Eye-
996 Blink Conditioning (TEC), wherein it was observed that Hippocampal
997 CA1 cell activity sequences emerged in close relation to the acquisition
998 of behavioural performance, thus cementing the idea that sub-
999 populations of Hippocampal CA1 cells could bridge temporal gaps
1000 between relevant, paired stimuli, and that they did so with the activity
1001 of time-tuned cells (Modi et al., 2014).

1002

1003 Finally, it was important to study if these apparently time-tuned cells
1004 were tuned to the actual duration of time in a delay period, or whether
1005 it was more important for these cells to track the distance run. In an

1006 experiment published in 2013, Benjamin Kraus and colleagues from
1007 Howard Eichenbaum's lab again had their rats navigate a figure 8
1008 maze, but with a motorized treadmill in the central arm, to
1009 experimentally regulate the running speed. With this setup, the study
1010 was successful at delineating that both time spent running and
1011 distance run were important features, and that different cells could tune
1012 to either of the features (B. Kraus et al., 2013; B. J. Kraus et al., 2015).
1013 Whenever hippocampal CA1 cells showcased time-tuned activity (as
1014 opposed to space/location-tuned activity), such cells were dubbed
1015 "Time Cells" (Eichenbaum, 2017; MacDonald et al., 2011).
1016
1017 Other interesting physiological parallels between the CA1 place cells
1018 and time cells are,
1019 1. Phase Precession: In relation to theta oscillations (6-10 Hz)
1020 measured as local field potentials (LFP), individual cells tended
1021 to fire action potentials at progressively earlier phases with each
1022 successive cycle, described first for place cells (O'Keefe &
1023 Recce, 1993), and then also for time cells (Pastalkova et al.,
1024 2008).
1025 2. Temporal Compression: Sequences of place or time cells could
1026 be elicited at significantly shorter time scales, with fidelity in the
1027 participating cells (Dragoi & Buzsáki, 2006; Foster, 2017).
1028 Indeed, with regard to the typically studied regime of ~100-200
1029 ms or behaviour time scales, the same sequence may be
1030 elicited at ~10 ms as short segments during Sharp Wave
1031 Ripples (Dragoi et al., 1999; V. Itskov et al., 2008; Jadhav et al.,
1032 2012; O'Keefe & Recce, 1993; Valero et al., 2015) or even as
1033 the whole sequences during Replay (Csicsvari et al., 2007; Diba
1034 & Buzsáki, 2007; Foster, 2017; Foster & Wilson, 2006; Gupta et

1035 al., 2010; Pfeiffer & Foster, 2013) or Pre-play (Dragoi, 2013;
1036 Dragoi & Tonegawa, 2011).

1037 3. Remapping: Systematic changes in the experimental paradigm,
1038 such as those to the size of the experimental arena or in the
1039 time interval between stimuli or events, would result in
1040 systematic changes in the firing fields of place (Muller & Kubie,
1041 1989) and time cells (MacDonald et al., 2011).

1042 4. Variable Firing Fields: The width of the firing fields for a set of
1043 place or time cells, respectively, may be variable. However, an
1044 important distinction here is that there is as yet no clearly
1045 identified predictor of the widths for place cells to spatial
1046 directions, while time cells tuned to later time points in the inter-
1047 stimulus or delay periods usually exhibit a widening of firing
1048 fields (B. Kraus et al., 2013; B. J. Kraus et al., 2015; MacDonald
1049 et al., 2011, 2013; Mau et al., 2018; Pastalkova et al., 2008).
1050 The significance of firing field density and tuning widths is as yet
1051 an open line of inquiry.

1052

1053 Single-units recorded from the medial entorhinal cortex (MEC) as well
1054 as the hippocampal CA1 that were found tuned to specific frequency
1055 “landmarks” during frequency sweeps self-initiated by rats (Aronov et
1056 al., 2017), suggesting that the CA1 could tune to variables other than
1057 space and time. This added even more weight to “Contextual Mapping”
1058 as an important function of the hippocampus.

1059 **“Single-cell, multi-trial” vs. “multi-cell,
1060 single-trial” approaches in Neuroscience**

1061

1062 A dominant, early perspective in neurophysiology had been to record
1063 activity from a single cell, over many trials, under a variety of
1064 conditions (bath applications in slice physiology, different physiological
1065 conditions like stress and genetic background, etc.). For more than one
1066 recorded cell, the process would be repeated, till the dataset was
1067 complete.

1068

1069 An intermediate perspective was to record from multiple cells
1070 simultaneously, yet treat each cell independently for analysis towards
1071 correlation and mechanism studies, across many repeats of
1072 experimental conditions or trials (same as above).

1073

1074 An important and more modern perspective is to record from multiple
1075 cells simultaneously, and use this network or population activity to
1076 decode single-trial characteristics (position, time, stimulus presence,
1077 etc.) using very powerful numerical and mathematical algorithms
1078 involving (but not limited to) Bayesian Decoding and Information
1079 Theory. The essential idea is that the neuronal code of the brain is not
1080 defined just by the activity of single neurons since they may only
1081 encode very specific fractions of the experience, but rather that the
1082 population encodes the full experience, using a number of distributed
1083 and redundant strategies.

1084 • Bayesian Decoding: Using the activity of multiple,
1085 simultaneously recorded neurons to develop a likelihood
1086 estimate of the evidence (firing rate combinations) to the
1087 experimental parameter (spatial position, relative time, etc.)
1088 and combine this with the experimentally determined prior
1089 (probability), to obtain estimates of the conditional or

1090 posterior probability of a parameter value, given evidence.
1091 Bayes' Rule describes
1092
$$P(A|B) = P(B|A).P(A)/P(B)$$

1093 ... where,
1094 A: Parameter value (position, time, etc.)
1095 B: Evidence (cellular firing rate)
1096 $P(A)$: Prior Probability (experimentally defined)
1097 $P(B)$: Probability of evidence (Firing Rate)
1098 $P(A|B)$: Posterior probability of parameter value given
1099 evidence
1100 $P(B|A)$: Likelihood estimate of evidence given parameter
1101 value (based on recordings)
1102 This methodology has been used to not only successfully
1103 predict specific time points in a trial from population activity, but
1104 has also been used to observe that the population activity from
1105 a session of recording is able to predict time points in trials
1106 conducted on subsequent sessions of recording, up unto 3-4
1107 sessions (Mau et al., 2018).
1108 • Information Theory: Using recorded cellular activity to
1109 estimate how much information this activity carries about
1110 experimental parameters (position, time, stimuli, etc.) in a
1111 trial-by-trial fashion. Three essential metrics have been
1112 used,
1113 1. Information per activity spike (I_{spike}), in bits/spike
1114 2. Information per unit time (I_{sec}), in bits/sec
1115 3. Mutual Information (MI) between evidence and
1116 parameter value, in bits
1117 William Skaggs, Bruce McNaughton and colleagues published a
1118 series of experiments working out the value of Information Theory

1119 based approaches to deciphering the hippocampal code, reviewed
1120 previously (Skaggs et al., 1996). This idea was later adapted strongly
1121 by the field but focus throughout, remained on place cells.

1122

1123 A major step forward with “multi-cell, single-trial” approaches is the
1124 benefit of resolving how each cell and inter-cell interactions contribute
1125 to stimulus representation, behavioural task variables, and other brain-
1126 intrinsic computation. Technological advances in large-scale
1127 neurophysiology recordings such as the increased density of tetrode
1128 drives, Neuropixels, Optical sectioning and microscopy, Resonant
1129 scanning, etc., have enabled the discovery of well coordinated
1130 sequences of cellular activity such as Sharp Wave Ripples (SWRs),
1131 Replay, and behavioural timescale spatio-temporal sequences, *in vivo*,
1132 among others. This is primarily due to a radical improvement in an
1133 experimenter’s ability to simultaneously record from multiple cells
1134 (Foster, 2017), going from yields of ~10 cells to even ~10⁴ cells, per
1135 animal.

1136

1137 **Dimensionality reduction in the analysis of** 1138 **physiology**

1139

1140 Bayesian and Information theoretic approaches as well as methods
1141 like Principal Component Analysis (PCA) afford the experimenter a
1142 variety of mathematical procedures to examine dimensionality
1143 reduction, viz., the transformation of high-dimensional
1144 neurophysiological activity from recorded cells into low-dimensional
1145 representations that still retain meaningful properties of the original
1146 data (Pudil & Novovičová, 1998; van der Maaten et al., 2009). Such

1147 pre-processing steps in analysis often help delineate the contributions
1148 of test variables to function. Claude Shannon is often credited with
1149 development of Information Theory (Shannon, 1948), yet the field has
1150 evolved to also describing how relevance is assigned to a signal,
1151 based on statistical associations between multiple signals or stimuli in
1152 a sensory experience (Bialek et al., 1996, 2001; Chigirev & Bialek,
1153 2004; Tishby et al., 1999).

1154

1155 In the final version of the paper (Ananthamurthy & Bhalla, 2023;
1156 attached as Chapter 4 – “Analysis”), we reoriented focus on the most
1157 popular of the algorithms, and provide very well performing
1158 Python/C++ implementations, especially of the Ridge-to-background
1159 ratio (Mau et al., 2018) and Temporal Information (Mau et al., 2018)
1160 calculations, each of which consider cellular physiology and estimate a
1161 score for time cell-like behaviour.

1162 **Synthetic benchmarks for pre-hoc 1163 development of analytical procedures**

1164

1165 An interesting study published in 2018 used synthetic test datasets to
1166 go to the extent of estimating place cell detection algorithm
1167 performance on the basis of I_{spike} , I_{sec} and MI (Souza et al., 2018). They
1168 found,

- 1169 1. MI could outperform the other two in a variety of scenarios.
- 1170 2. I_{spike} and I_{sec} may still be useful in identifying unique
1171 subpopulations of place cells.
- 1172 3. Important algorithmic adjustments could be made to the
1173 calculations of I_{spike} and I_{sec} , to equalize performance between
1174 them and MI.

1175 There is clear nuance in the population code that required such a
1176 perspective during analysis. Eichenbaum and colleagues popularized
1177 the use of such metrics in the context of time cells (Mau et al., 2018),
1178 yet a systematic approach to identifying the best algorithms for time
1179 cells was requisite. We resorted to the use of such surrogate synthetic
1180 datasets acting as a large cohort of user-configurable test datasets to
1181 benchmark and characterize the predictive performance of a variety of
1182 time cell detection algorithms (Ananthamurthy & Bhalla, 2023; attached
1183 as Chapter 4 – “Analysis”). Analysis on real physiology datasets where
1184 categorical labels must be assessed is expected to benefit from this
1185 comparative analysis. Our Python/C++ implementations were
1186 rigorously tested and developed to the extent of excellent predictive
1187 performance. These algorithmic procedures may be used to study the
1188 nuances of time cell sequences with more statistical confidence.
1189

1190 Correlation analysis between pairs of recorded cells is one of the most
1191 important analytical directions taken by neurophysiologists
1192 understanding brain function, and has been reviewed previously (M. R.
1193 Cohen & Kohn, 2011). However, such analysis can be subject to False
1194 Positives, without appropriate significance studies. Specifically, it is
1195 important to look at whether the activity profile or tuning curves for cells
1196 (in relation to task variables) is significant above chance or other
1197 clearly defined cutoffs, using a large number of randomized surrogates
1198 of the recorded activity, generated by adding random timing shifts or
1199 bootstraps. Multiple pairwise correlations may not be sufficient to
1200 identify synchronous sequential activity in the network, without looking
1201 at higher orders of correlation across recorded cells. Ultimately, such
1202 studies benefit from simultaneous high-yield recordings, updated
1203 analytical procedures utilizing surrogate data for significance analysis,

1204 examining repetitions across trials (or trial-to-trial variability), and even
1205 the use of multiple analytical strategies, as reviewed previously
1206 (Foster, 2017; Grün, 2009).

1207

1208 Internally driven as opposed to externally driven network models of
1209 activity sequences have been proposed as the mechanism driving
1210 hippocampal CA1 sequences (Buzsáki & Llinás, 2017; Eichenbaum,
1211 2017). The CA1 neurons participating in any sequence may represent
1212 physiologically mappable attractors in temporally specific contexts. Our
1213 2-photon calcium recordings are expected to shed light on important
1214 correlations between task variables and the spatiotemporal sequence
1215 of activity, measured chronically, at cellular resolution.

1216

1217 Synfire chains (Abeles, 1982, 1991, 2004, 2009; Abeles et al., 2004)
1218 as sequential neuronal activity patterns or motifs have been described
1219 in cortical slices *in vitro* (Reyes, 2003), as well as *in vivo* (Ikegaya et
1220 al., 2004). The 2004 study described these sequences as songs
1221 (Ikegaya et al., 2004) that can incorporate new information as
1222 extensions of the motifs by combining multiple synfire chains
1223 (Bienenstock, 1995). However, an important consideration is that the
1224 original theoretical ideas behind synfire chains assumed feed-forward
1225 connections between layers of neurons, with recognition by
1226 subsequent neurons looking only at waves of synchrony, rather than
1227 specific individual neuronal identities (Abeles, 2004). Another important
1228 perspective is that these cortical sequences could be artifacts elicited
1229 just by chance, given the nature of membrane voltage fluctuations
1230 (McLelland & Paulsen, 2007; Mokeichev et al., 2007). There is thus
1231 some speculation over the significance of “cortical songs”, *in vivo*. **The**

1232 use of properly developed and benchmarked analytical procedures,
1233 tested and verified on physiology-equivalent test datasets (synthetic),
1234 is expected to help alleviate potential doubts in published physiology
1235 results (real recordings). For proper testing of our time cell detection
1236 algorithms, we incorporated many important user-controllable
1237 parameters for the generation of synthetic datasets, such as (but not
1238 limited to) Noise, Event Widths, Hit Trial Ratio, Trial-pair Imprecision,
1239 and Background Activity. Furthermore, reported but not popularly
1240 accepted physiological sequences such as synfire chains (Ikegaya et
1241 al., 2004) and preplay (Dragoi & Tonegawa, 2011), may now be more
1242 rigorously tested using the time cell analysis and detection algorithms
1243 provided (Ananthamurthy & Bhalla, 2023; attached as Chapter 4 –
1244 “Analysis”).
1245

1246 **Single-Unit Electrophysiology vs 2-Photon 1247 Calcium Imaging to study the Hippocampus**

1248
1249 The most well characterized and studied function of the hippocampus
1250 and surrounding tissue (Entorhinal Cortex, Parasubiculum, etc.) was
1251 the role these tissue systems played in Spatial Navigation and Coding.
1252 Single-Unit Electrophysiology was paramount to being able to isolate
1253 the activity from individual cells, and eventually was used to discover
1254 and describe properties of place cells (O’Keefe & Dostrovsky, 1971),
1255 grid cells (Fyhn et al., 2004; Hafting et al., 2005)(Fyhn et al., 2004;
1256 Hafting et al., 2005), head-direction cells (Taube et al., 1990), along
1257 with numerous other important physiological discoveries, as detailed
1258 previously. However, even with advances in the density of tetrode

1259 recordings, the yield of recorded cells from any given animal was often
1260 limited to <50 cells. It was only with the invention of Neuropixels (Jun
1261 et al., 2017) that this yield could be expanded to ~1000 cells. We had
1262 opted to utilize calcium imaging by 2-Photon Microscopy (Denk et al.,
1263 1990; Stosiek et al., 2003). This methodology, allowed us to record
1264 ~100-150 cells per session with our mice, albeit with significant cost to
1265 the recording frame rate on account of the limitations of the technique.
1266 Electrical recordings may be sampled even >20 kHz, while imaging
1267 based techniques typically cannot be used to record wide fields of
1268 view, sampled at >100 Hz (1-2 orders of magnitude, comparing
1269 sampling rates). Many spike sorting algorithms (see Buccino et al.,
1270 2022 for review) as well as automated ROI detection (see Robbins et
1271 al., 2021 for review) have been suggested for automated cell source
1272 identification, yet challenges remain, such as,
1273 1) scalability - more sources to identify from ever larger datasets force
1274 longer analysis runs.
1275 2) reproducibility – pre-processing analytical steps require manual
1276 curation to clean up the raw datasets, and this often affects the final
1277 result. We discuss details of our technique along with challenges and
1278 preliminary results, in Chapter 3 – “Imaging”.
1279
1280 The hippocampi (one in each hemisphere) of the mouse brain lie ~1
1281 mm below the most superficial layers of cortex (just inside the
1282 cranium), a barrier typically too wide for typical 1-photon fluorescence
1283 imaging systems (Confocal, Spinning Disk, etc.). This poses a very
1284 difficult challenge for imaging preparations since there are hardware
1285 and other technical limits to how long the working distance of
1286 microscope objectives can be made. The use of 2-photon microscopy
1287 combined with combinations of cortical excavations (to aid physical

1288 access), microendoscopes, as well as prisms to guide emitted
1289 fluorescence, have all been used to achieve deep brain imaging based
1290 recordings at cellular resolution, in rodents (Andermann et al., 2013;
1291 Attardo et al., 2015; Barreto et al., 2009; Barreto & Schnitzer, 2012;
1292 Dombeck et al., 2010; Heys et al., 2014; Murray & Levene, 2012;
1293 Velasco & Levene, 2014; Ziv et al., 2013).

1294

1295 All imaging preparation standardizations described in this thesis invoke
1296 2-Photon calcium imaging of hippocampal CA1 cells at cellular
1297 resolution (1 pix = ~1 μ m), following cortical excavations just above the
1298 left hippocampus (Dombeck et al., 2010).

1299 **Calcium imaging by 2-Photon Microscopy**

1300

1301 Typically, as cells become activated and elicit action potentials, there is
1302 often a large concomitant influx of Ca^{2+} ions through voltage gated
1303 calcium channels all around the perisomatic membrane, amongst other
1304 cellular compartments. Several organic dyes have been developed that
1305 reversibly bind Ca^{2+} ions in the cytosol and either become fluorescent
1306 or emit greater fluorescence (often with a red shift) when in this Ca^{2+} -
1307 bound state (Paredes et al., 2008). Additionally tremendous advances
1308 in molecular biology has seen the deployment of Genetically Encoded
1309 Calcium Indicators (GECIs) that may be exogenously incorporated into
1310 the genome of target cells. These GECIs serve the same function as
1311 organic calcium dyes, but may easily be replenished in the cytosol
1312 given the cell's natural machinery for transcription and translation, and
1313 whose Fluorescence properties can be engineered for brightness,
1314 responsivity, Ca^{2+} binding K_d , and fluorescence emission wavelengths.

1315 The number of cells that may be recorded by fluorescence is often only
1316 limited to either the spread of the organic dye or the imaging
1317 magnification settings, allowing for yields of 100-1000 cells.

1318

1319 With any Imaging based neurophysiology, there is always a trade-off
1320 between yield (number of cells recorded simultaneously) and temporal
1321 resolution. Increasing the yield by recording over a larger area of tissue
1322 requires many more pixels per imaging frame, resulting in a loss of
1323 temporal resolution (frame rate). On the other hand, increasing the
1324 frame rate necessitates capturing fewer pixels per frame, decreasing
1325 yield. There is even a limitation of simply zooming out, since stable
1326 fluorescence intensity fluctuations can only be identified when each
1327 cell is defined at least by a certain number of pixels, to allow proper
1328 isolation.

1329

1330 Specifically with calcium imaging, the signal to be recorded
1331 corresponds to Ca^{2+} flux in the cytoplasm as measured by the change
1332 in emitted fluorescence of reporters such as GCaMP, with a $\tau_{\text{rise}} = 10-$
1333 100 ms and $\tau_{\text{fall}} = 100-300$ ms (Chen et al., 2013). This signal is one or
1334 two orders of magnitude slower than the action potential ($\sim 2-5$ ms).
1335 However, this may not necessarily be a limitation since a dominant
1336 idea in the field is to simply consider rate coding, or time-averaged
1337 spiking activity (Abeles, 2004), bringing down the effective temporal
1338 resolution of the electrical record.

1339

1340 Genetically Encoded Voltage Indicators (GEVIs) that fluoresce with
1341 higher emission during membrane voltage dynamics have also been
1342 developed. However, their localization onto the membrane of the cell,
1343 instead of the cytoplasm, diminishes the total emitted photon flux per

1344 unit time, and requires longer bin times to achieve reasonable signal-
1345 to-noise, as reviewed previously (Mollinedo-Gajate et al., 2021). This
1346 unfortunately brings down the frame rate even more than what can be
1347 achieved with GECIs. We avoided GEVIs in the projects described in
1348 this thesis.

1349

1350 A major advancement in Fluorescence Imaging was the invention of
1351 Confocal and Multiphoton (typically 2-Photon) Microscopes, which
1352 allowed for unprecedented recording signal-to-noise by optical
1353 sectioning. 2-Photon Imaging itself was an important development for
1354 the neurophysiology of tissue greater than 300 μm in thickness, typical
1355 of rodent brain tissue, because it avoids wasteful excitation of imaging
1356 planes that are not in focus (out-of-plane). The 2-Photon effect
1357 requires two photons of longer wavelength (lower energy per photon),
1358 to near instantaneously excite a fluorophore. The photon flux is highest
1359 at the focal plane (with an N-squared dependence) of the microscope
1360 so only the section of the tissue corresponding to the focal place is
1361 allowed to achieve fluorescence. Additionally, longer wavelengths of
1362 excitation light can more easily penetrate deeper layers of tissue, due
1363 to comparatively lower scattering or Rayleigh effect (Denk et al., 1990;
1364 Helmchen & Denk, 2005).

1365

1366 The hippocampus (specifically the hippocampal CA1) was the main
1367 brain structure of interest for all our physiology experiments, and lies
1368 under about 1 mm of cortical tissue for mice. This is a depth that is
1369 difficult to image even with 2-Photon Microscopy. The typical
1370 methodology employed in such cases is to perform a cortical
1371 excavation just above the Hippocampus filling the crevice with optically
1372 clearer agarose or silicone elastomer. Even so, the hippocampal CA1

1373 cell body layer (*Stratum Pyramidale*) still lies about 150-300 μm below
1374 the external capsule and corpus callosum fibers (left intact for chronic
1375 imaging). Accordingly, we combined cortical excavation with 2-Photon
1376 microscopy, using a long working distance objective with a wide field of
1377 view, imaging cytosolic Ca^{2+} activity with the help of either an organic
1378 dye (OGB-1; acute imaging) or a GECI (GCaMP6f; chronic imaging).

1379

1380 An important perspective that has motivated the use of Imaging based
1381 physiology recordings (as opposed to Electrophysiological methods)
1382 other than potential yield, is that imaging provides anatomical
1383 confirmation of any particular recorded cell, and this in turn allows for,
1384 A) Unambiguous isolation of the same cell across multiple imaging
1385 sessions (across days and weeks). Single-Units are ultimately
1386 only algorithmically resolved and this can be done only for cells
1387 that are active and are represented in multiple spatially
1388 separated electrodes. However, very recently, Ashesh Dhawale
1389 and colleagues from Bence Olveczky's lab have devised a
1390 solution to track the movement of electrodes in tissue over time
1391 and use this information to ensure chronic recording of the
1392 same units (Dhawale et al., 2017). This technique was not
1393 available at the time when experiments for this thesis were
1394 started.

1395 B) Unambiguous detection of the lack of activity in an otherwise
1396 recorded cell. Since the cell can be anatomically identified
1397 independent of activity, it is possible to observe the absence of
1398 Ca^{2+} activity. Automated cell ROI detection (Francis et al., 2012;
1399 Maruyama et al., 2014; Mukamel et al., 2009; Pachitariu et al.,
1400 2017; Pnevmatikakis et al., 2016) simplifies the pre-processing
1401 step of cell isolation even over large batch sizes. These

1402 procedures inherently require the use of the calcium activity
1403 profiles of recorded cells, viz., inactive cells (though
1404 anatomically visible), may not be isolated.

1405 **Automated ROI detection for large-scale**
1406 **Calcium Imaging datasets**

1407
1408 A number of automated ROI detection algorithms have been cited in
1409 literature that require minimal user intervention, perform relatively fast
1410 identification for a large number of identified sources (putative cells).
1411 Some popular algorithms include PCA/ICA (Mukamel et al., 2009),
1412 Suite2p (Pachitariu et al., 2017), and Non-Negative Matrix
1413 Factorization or NNMF (Pnevmatikakis et al., 2016), which all have
1414 been developed to the extent where comparable or oftentimes much
1415 better ROI detection is achieved than as compared to the more tedious
1416 hand-drawn ROIs which scales very poorly with orders of cells
1417 recorded.
1418 We have strictly followed Suite2p (Pachitariu et al., 2017) for all
1419 physiological ROI (cell sources) described in this thesis.
1420

1421 **Short Summaries of the 3 projects**

1422 **Project I - How do sensory representations transform**
1423 **with learning?**
1424 Sensory Systems Neuroscience is a very popular field spanning
1425 studies looking at numerous brain regions and sub-regions in the

1426 cortex and hippocampus, *in vivo* (R. A. Andersen et al., 1993; Fassihi
1427 et al., 2017; Khan et al., 2010; Meeks et al., 2010; Petersen, 2019;
1428 Poort et al., 2015; Voelcker et al., 2022), among several others. Many
1429 if not most of these studies describe neural activity in animals with
1430 expert levels of behavioural learning and performance to the presented
1431 stimuli. Lacunae still remain as to mechanisms deployed during active
1432 or online learning especially in the early stages of behavioural training.
1433 There are not many published physiological readouts from large CA1
1434 populations, *in vivo*, under behavioural contexts for time cell activation,
1435 during the early, acquisition phases (Sessions 1-7, etc.) in associative
1436 learning. It is unclear what the spatiotemporal pattern of activity would
1437 reveal with a systematic, longitudinal survey.

1438

1439 We deployed our experiments with the intention to study how Calcium
1440 Imaging by 2-Photon could reveal finer population level details of
1441 network activity as the animals were tested on the learning of an
1442 operant conditioning or lick behaviour task. We were able to,

1443 1. Prototype OGB-1 based calcium imaging *in vivo*, from head-
1444 fixed mice in a manner suited to combined behaviour and
1445 recording experiments, and

1446 2. Study preliminary data from animals that correlation based
1447 functional activity clusters of recorded CA1 cells have spatial
1448 organization during bouts of spontaneous activity.

1449

1450 However, we were not satisfied with the level and rate of learning in
1451 our test animals eventually leading to a search for more stable
1452 behaviour paradigms in mice. Additionally, the use of OGB-1 as the
1453 Calcium Indicator also had to be abandoned since this fundamentally
1454 disallowed multi-day tracking of the same cells. We discuss our

1455 prototyping efforts and preliminary data for this project in detail, in the
1456 first few sections each of Chapters 2 (“Behaviour”) and 3 (“Imaging”).

1457

1458 **Project II - How does the timing of cellular activity adjust**
1459 **to behavioural task variables?**

1460

1461 Research on the cerebellum has made substantial progress in the
1462 elucidation of network mechanisms correlating well with external
1463 stimulus timing based variables, as animals learn Trace Eye-Blink
1464 Conditioning and Delay Conditioning (Kalmbach, Davis, et al., 2010;
1465 Kalmbach, Ohyama, et al., 2010; Medina et al., 2000; Ohyama et al.,
1466 2003; Siegel & Mauk, 2013). The preeminent studies on time cells in
1467 the hippocampus have focused on the context of appetitive reinforcing
1468 stimuli (Eichenbaum, 2004, 2017; B. Kraus et al., 2013; B. J. Kraus et
1469 al., 2015; MacDonald et al., 2011, 2013; Mau et al., 2018; Pastalkova
1470 et al., 2008). Time cells in the behavioural context of Trace Eye-Blink
1471 conditioning, an aversive learning paradigm, have been explored (Modi
1472 et al., 2014), but details such as correlations with rates of behavioural
1473 learning, tuning adaptability, and long-term stability (~weeks) of the
1474 time sequences are yet to be studied. **The degree of variability in time**
1475 **cell responses under these conditions is likely to inform mechanistic**
1476 **models of spatiotemporal sequences as observed in the hippocampus.**

1477

1478 We prototyped a GCaMP6f based *in vivo* hippocampal preparation that
1479 allowed for chronic, longitudinal recordings of hippocampal CA1, by 2-
1480 Photon Calcium Imaging (Dombeck et al., 2010) that could be

1481 combined with a stable and adaptable learning protocols of Trace Eye-
1482 Blink Conditioning (Siegel et al., 2015).

1483 From our preliminary set of recordings we were able to,

- 1484 1. Detect time cells in our population recordings,
- 1485 2. Observe signs of expansion of the time cell sub-population over
1486 early stages of learning, and
- 1487 3. Observe shifts in the timing of peak for known, chronically
1488 tracked time cells, typically moving away from the US and
1489 towards the CS.

1490

1491 Technical difficulties prevented us from expanding our experimental
1492 recording datasets to the point where these results could be looked at
1493 more critically and the results may be sufficient for publication. We
1494 discuss our prototyping efforts and preliminary data for this project in
1495 detail, in the last few sections each of Chapters 2 (“Behaviour”) and 3
1496 (“Imaging”).

1497

1498 **Project III - What is the best way to detect and score
1499 time-tuned cellular activity?**

1500

1501 Given that we had collected a reasonable sample of multi-day tracked
1502 cells while head-fixed mice were being trained to a Trace Eye-Blink
1503 Conditioning (TEC) task, we wished to move forward to identifying time
1504 cells in the most reliable way, with the aim to drawing quality
1505 conclusions from the physiology recordings.

1506

1507 The paper entitled “Synthetic Data Resource and Benchmarks for Time
1508 Cell Analysis and Detection Algorithms” (Ananthamurthy & Bhalla,
1509 2023) was a consolidation of our progress to analyse physiology data
1510 from real and synthetic cells expressed as calcium activity trials and
1511 sessions.

1512

1513 Here, we used a computational approach and developed categorically
1514 labelled, user definable, large scale synthetic datasets, as a test bed to
1515 compare and benchmark the predictions made by popular time cell
1516 detection algorithms. We were able to test the sensitivity of these
1517 computational algorithms across a wide array of experimental
1518 recording parameters, and could ultimately conclude the best
1519 operational regimes for each of them. All of the code base for this
1520 project is freely available online

1521 (<https://github.com/bhallalab/TimeCellAnalysis>) and is intended to be a
1522 resource to researchers.

1523 The paper is attached as Chapter 4 (“Analysis”).

1524 **Code Availability**

1525

1526 All our code for Synthetic Data generation and time cell Analysis is
1527 available at <https://www.github.com/bhallalab/TimeCellAnalysis>.

1528

1529 All our code for conducting Trace Eye-Blink Conditioning (TEC)
1530 behaviour is available at
<https://www.github.com/ananthamurthy/eyeBlinkBehaviour>.

1532

1533 Analysis scripts for evaluating TEC performance are available at
1534 <https://github.com/ananthamurthy/MATLAB/tree/master/TECAnalysis>.

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2118 **Chapter 2 – Behaviour**

2119

2120 **Towards understanding brain activity in a**
2121 **reproducible context**

2122

2123 Our understanding of memory and learning depends upon the type of
2124 learning that is studied (Schreurs, 1989). Two important categories of
2125 memory and learning experiments are,

- 2126 1. Non-associative (Habituation and Sensitization), and
2127 2. Associative learning (Classical and Operant Conditioning).

2128

2129 Non-associative learning paradigms provide information about how an
2130 organism responds to repeated presentations of a single stimulus
2131 (Brown, 1998). However, it was of interest to us to study how animals
2132 responded to a number of events and stimuli being associated, and
2133 how the activity of the brain relates to this. **Multi-modal stimulus**
2134 **integration is typical of the sensory experience. We felt it interesting to**
2135 **study how animals learnt to associate each stimulus modality,**
2136 **individually, given no clear *a priori* reason to assume that the**
2137 **physiological response would be identical for each.** Hence, we chose
2138 to design our experiments to incorporate associative learning, which is
2139 a relatively permanent change in behaviour that results from the
2140 temporal conjunction of two or more events or stimuli.

2141

2142 Empirically, reproducible behaviour depends on strong associations
2143 between the events or stimuli being paired, and may often require

2144 many repeated pairings or trials. Additionally, having the animal
2145 engage in the behavioural task and pay attention to the stimuli being
2146 presented, is crucial to look for important correlations between the
2147 experiment conditions (external) and brain activity (internal).
2148

2149 Anaesthetized animals have been previously used to study brain
2150 activity and led to important discoveries, e.g. - visual representation of
2151 moving bars in the visual cortex (Hubel & Wiesel, 1962), habituation in
2152 the Hippocampus (Deshmukh & Bhalla, 2003). However, it was clear
2153 that similar experiments repeated in awake animals did not result in the
2154 same observations. Indeed, animals needed to navigate a known
2155 environment before the discovery of place cells (O'Keefe &
2156 Dostrovsky, 1971), grid cells (Fyhn et al., 2004; Hafting et al., 2005),
2157 and head-direction cells (Ranck, 1973; Taube et al., 1990), among
2158 others, could be made. **We chose to avoid anaesthetized animals for**
2159 **the experiments described in this thesis. It is unclear if deep states of**
2160 **anaesthesia are also conducive to time cell sequence activation.**
2161 **Additionally, even if some form of sequential activity may be in effect**
2162 **during anaesthetic states, it would be very difficult to implicate**
2163 **physiological relevance without appropriate experimental modulations**
2164 **in a behavioural context.**

2165

2166 The reliability of the overt behavioural responses of the experiment
2167 animals then sets the conditions and parameter list to study physiology
2168 within the confines of reproducible behavioural contexts, and was
2169 considered an important mandate for the standardization of any of the
2170 behavioural tasks described in this chapter. Under the umbrella of
2171 associative learning, we began our experiments with various protocols
2172 related to operant conditioning wherein the reinforcing signal for

2173 learning was a water reward to correctly timed licks. As will be
2174 discussed in the next few sections, we later switched to aversive
2175 conditioning with Trace Eye-Blink Conditioning.

2176 **Operant conditioning [Project I]**

2177

2178 Operant conditioning is both the procedure and a type of associative
2179 learning process through which the strength of a voluntarily performed
2180 behaviour is modified positively (appetitively) by reward (water,
2181 sucrose, food, etc.), or negatively (aversively) by punishment (air-puff
2182 to the eye, electrical shocks, etc.). For example, if the animal responds
2183 to a presented stimulus by performing a lick onto a water spout, then a
2184 water reward would strengthen the behaviour while Lithium Chloride
2185 solution (which is aversive) would weaken it.

2186

2187 We now describe our experiments and results with regard to operant
2188 conditioning, in more detail.

2189

2190 **Required features**

2191

2192 For Project I, the goal was to study how the association of a neutral
2193 stimulus with a water reward modified the neurophysiological activity of
2194 the hippocampal CA1. **We aimed to more systematically study time**
2195 cells with the granularity of well-defined associative learning and ms
2196 resolution, high-yield (~100-150) CA1 recordings using 2-photon
2197 imaging. We believe this is why there is still insufficient clarity on the
2198 network level responses in the CA1, *in vivo*, especially during early

2199 learning of associated stimuli, *i.e.*, behavioural acquisition. We outline
2200 our set of core objectives required as features for the behavioural task:
2201 1. An assortment of different stimuli and modalities (light, tone, etc.)
2202 to be presented to the animal.
2203 2. The animal must withhold any motor movement during the
2204 presentation of the stimuli, to study pure stimulus responses.
2205 3. The animal must perform a lick for a water reward after the end of
2206 the stimulus presentation.
2207 4. The animal must be able to make the association between stimuli
2208 and water reward within 7-14 days of training (given technical
2209 limitations of chronic recording from tissue).

2210

2211 The behavioural state of the animal, in terms of anxiety, motivation,
2212 attention, etc., may be variable when a naïve animal is presented with
2213 different stimuli. This may cause a large variability in the activity of
2214 cells, since the animal may not be paying attention to it. Also, if the
2215 animal were rewarded for performing the task it is expected that there
2216 would be motivation to pay attention to the stimuli presented. Finally,
2217 such a task would involve the animal associating the stimuli that it is
2218 trained to with a behavioural task and this would provide an apt context
2219 to study association related changes in stimulus responses.

2220

2221 In the following sections, we discuss some important protocols that we
2222 tried and tested and a list of the various kinds of behavioural tasks we
2223 employed for head-fixed mice.

2224

2225 For Project I, we tried several variations of operant conditioning
2226 including Stimulus Detection tasks, Delayed Non-Match to Sample
2227 (DNMS), as well as Go/No-Go tasks. Each of these tasks requires

2228 animals to perform licks to the Conditioned Stimuli and for them to be
2229 rewarded (2-3 μ L water) or punished based on the task demands and
2230 protocol design.

2231 **Water delivery and calibration**

2232 The lick port was made from a trimmed and smoothed 16 gauge
2233 syringe, connected to a water reservoir with small diameter tubing. A
2234 solenoid valve clamped onto this tubing, gated by a 12V DC signal.
2235 When this gate was opened, the volume of water could be regulated by
2236 the duration of the 12V DC signal. We calibrated the duration of gate
2237 opening to achieve ~2 μ L per pulse or spurt (Jaramillo & Zador, 2014).
2238 The weight of 100 spurts was measured and then divided by 100 to get
2239 the weight of 1 spurt. 65 ms was found corresponding to 2.5 μ L (this
2240 value is going to be used for behaviour). In the figure below (Figure 2),
2241 the measured volumes/weights are plotted as blue filled diamonds,
2242 error bars are presented as Standard Error and the linear trendline is
2243 shown in black.

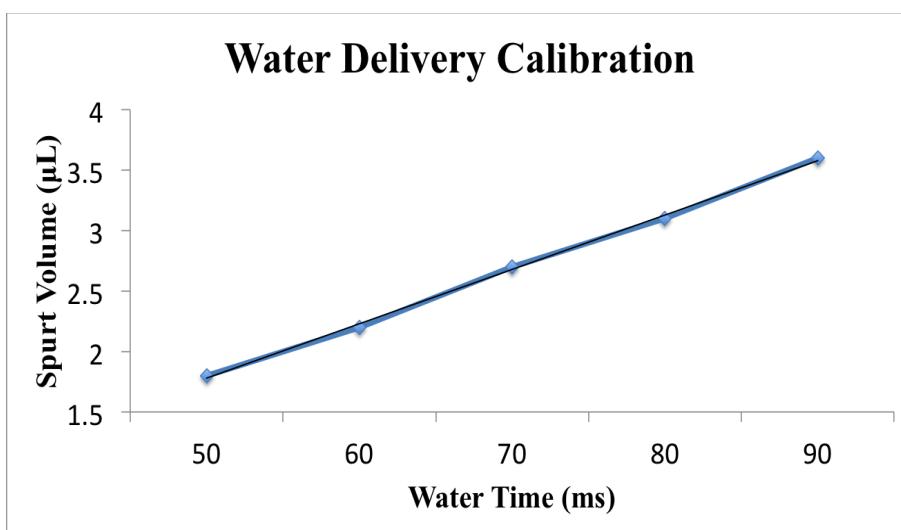


Figure 2: Water delivery calibration to reward the animal consistently with the same volume of water.

2244 **Opto-islator circuit for solenoid control**

2245

2246 To be able to programmatically control the 12V DC line to the solenoid
2247 valve, we used the following circuit (Figure 3), which accepted a 5V
2248 digital input from the DAQ (NI USB-6001) interfacing the lab computer
2249 to the behaviour rig.

2250

2251 **Parts list**

- 2252 1. 470 ohm resistor
- 2253 2. 15 kohm resistor
- 2254 3. MCT2e
- 2255 4. ULN2003
- 2256 5. Bases (adaptors for MCT2e and ULN2003)
- 2257 6. +5V and +12V DC inputs from a Power Supply)
- 2258 7. Source of +5V DC input (DAQ, etc.)
- 2259 8. Connecting wires
- 2260 9. Load Resistance (Solenoid, etc.)

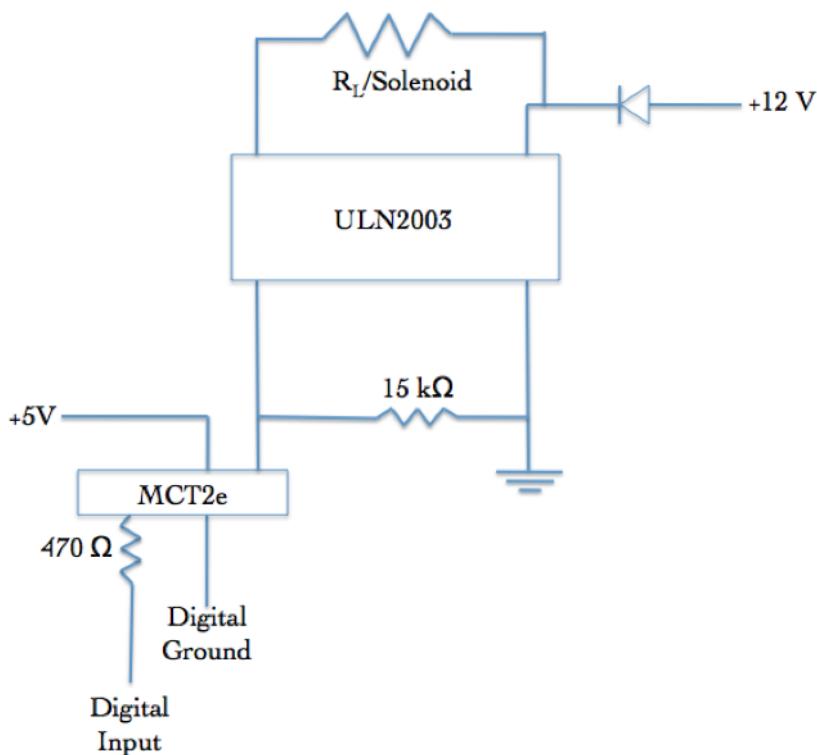


Figure 3: Optoisolator circuit to amplify +5V DC input to +12V DC output.

2262

2263 **Lick detection circuit**

2264

2265 To be able to monitor the presence or absence of licks to the port, the
 2266 conductive part (metal) of the lick port syringe was connected to a
 2267 MOSFET such that a 5V DC voltage could be read out, whenever the
 2268 animal would make contact with the port. This was designed as a
 2269 readout to Stimulus Detection by the animal. The circuit diagram is
 2270 shown below (Figure 4):

2271

2272 **Parts list**

2273 1. +5V Power Supply

2274 2. 4.7 kohm resistor
2275 3. 22 Mohm resistor
2276 4. IN4007 Diode
2277 5. NPN Transistor IRF540N (MOSFET)
2278

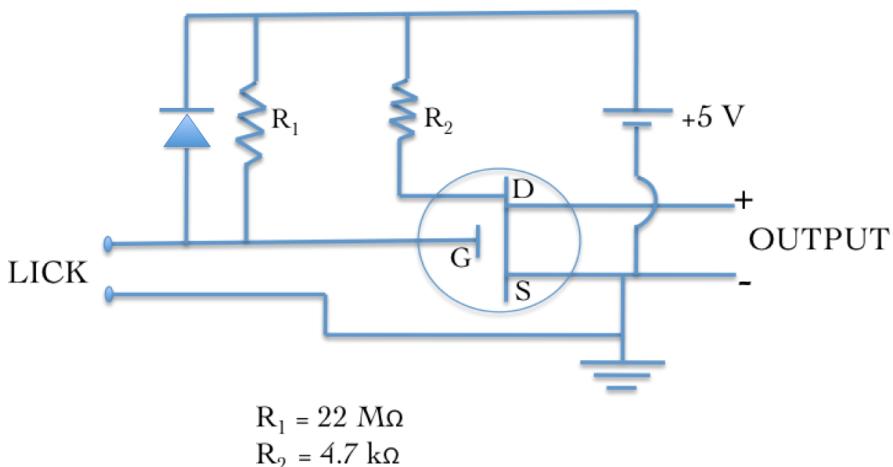


Figure 4: Lick detector circuit based on a MOSFET design.
Whenever the animal performed a lick, a +5V DC Output would be read out.

2280 Controlling task details and protocol information

2281
2282 All protocols were controlled using custom scripts written in NI
2283 LabVIEW 8. These scripts were run on a lab desktop which interfaced
2284 with the DAQ (NI USB-6001) via USB. The DAQ,
2285 1. Sent the 5V digital input to switch on the solenoid valve
2286 regulating water delivery, and
2287 2. Received the 5V digital output of the lick detection circuit
2288 whenever a lick was produced by the animal.
2289

2290 **Head-bar implant, Animal Handling, and Water**
2291 **deprivation**

2292

2293 All experiments were planned to be conducted on head-fixed C57Bl/6
2294 mice, with the eventual intention to perform in vivo imaging on these
2295 animals. For this, we surgically implanted metal head-bars on the skull
2296 of the animals while they were maintained on 1-2% Isoflurane, above a
2297 heating pad (35°C). Surgeries would last no longer than 30 mins per
2298 animal.

2299

2300 After 1-7 days of recovery after surgery, we handled the animals gently
2301 for 2 days till the animals would appear comfortable with lifting and
2302 gentle collar grabbing. Next, for 3-4 days, we kept the animals head-
2303 clamped. We restricted our animals to ~1ml of water per day, keeping
2304 check that their body weight did not fall to below 80% of the weight on
2305 day 1.

2306

2307 **PROTOCOL 1.1: Stimulus Detection Task**

2308

2309 We first tried the simplest version of the lick task wherein an auditory
2310 tone was followed by a water reward. The animal would have to
2311 withhold licking till the end of the stimulus presentation, and then
2312 perform the lick for the reward (Figure 5).

2313

2314 **Total number of trials:** 600/session; 1 session/day

2315 **Trial phases:**

2316 1. Stimulus free pre-tone (PT): 1 s

2317 2. Tone: 5 kHz for 1 s
2318 3. Critical timeout (CT): 100 ms
2319 4. Inter-trial Interval (ITI): randomized between 2 s to 5
2320

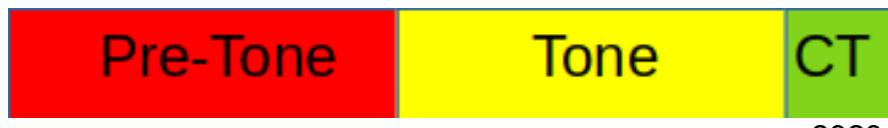


Figure 5: Typical trial structure with the various phases. Each trial was followed by a randomized Inter-Trial Interval (ITI).

2325

2326 Only licks during the critical timeout (CT) phase immediately after the
2327 Tone phase were rewarded while licks in other phases resulted in a
2328 phase restart. **No aversive stimuli were presented in this particular
2329 protocol.**

2330

**2331 PROTOCOL 1.2: Stimulus Detection Task with aversive
2332 punishment**

2333

2334 **Total number of trials:** 600/session; 1 session/day

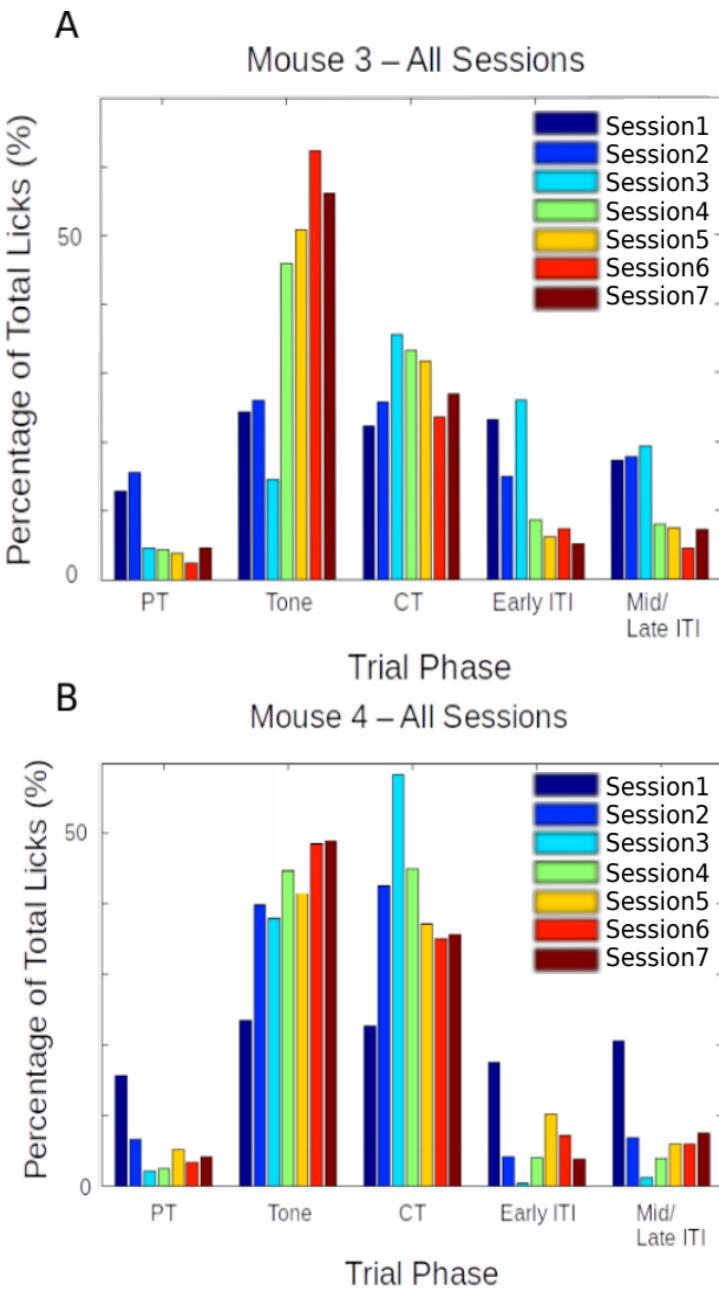


Figure 6: Performance evaluation for two example mice trained to Protocol 1.1 and 1.2. Percentage of total licks in the various trial phases (Pre-Tone, Tone, CT, and ITI), across all sessions of training (coloured bar plots) for A) Mouse 3, and B) Mouse 4.

2339 Only licks during the critical timeout (CT) phase immediately after the
2340 Tone phase were rewarded while licks in other phases resulted in an
2341 aversive punishment, *viz.*, 100 ms air-puff to the body of the animal,
2342 before a phase restart. For Mouse 3 we started Protocol 1.2 from
2343 Session 3 while for Mouse 4 we started Protocol 1.2 from Session 2.

2344 **Results – Protocols 1.1 and 1.2**

2345
2346 The behavioural performance for each of the experiment animals was
2347 evaluated using custom analysis scripts written in MATLAB 2011. Here
2348 are the results from two mice trained based on Protocols 1.1 and 1.2
2349 (Figure 6). In both the examples shown, animals would typically
2350 produce a great percentage of total licks even during the Tone period.
2351 **Each animal was presented with 600 training trials/day (1 session/day).**
2352 **Protocols 1.1 and 1.2 were prototyping experiments by design. Given**
2353 **the nature of our results, we decided to abort these protocols in favour**
2354 **of more structured, less aversive protocols, as described in the next**
2355 **few sections. The inability of our mice to behaviourally discriminate or**
2356 **withhold incorrect or unrewarded licks even for 7-14 sessions was**
2357 **considered, and the task was ultimately deemed unsuccessful.**

2358

2359 **Total animals trained:** 2

2360 **Conclusion:** Aborted

2361

2362 **Protocol 2: Stimulus Detection task with timeout box**

2363

2364 We also tried the same Stimulus Detection protocol, without an air-puff
2365 punishment, but with incorrect licks punished by a trial abort and a

2366 stimulus-free timeout phase, which the animal could escape from if it
2367 withheld licking. We decided to train the animals in blocks, each with a
2368 specific goal that the animal had to achieve.

2369

2370 **Trial phases:**

2371 1 Stimulus-free pre-tone (PT): 1 s

2372 2 Tone: 5 kHz for a variable duration (based on Block)

2373 3 Critical timeout (CT): 1000 ms

2374 4 Inter-trial Interval (ITI): randomized between 2 s to 5 s

2375

2376 Only licks during the critical timeout (CT) phase immediately after the
2377 Tone phase were rewarded while licks in other phases resulted in a
2378 phase restart.

2379

2380 **Block 1:** Unconditional Water to get the animal to associate the tone

2381 - ~20 trials

2382 - 100 or 200 ms Tone duration

2383 - Unconditional water provided at the end of the tone, irrespective of

2384 lick

2385

2386 **Block 2:** Conditional Water to get the animal to learn that licking

2387 with/after tone is going to be rewarded

2388 - 100 or 200 ms Tone duration

2389 - 1000 ms Reward phase

2390 - Lick during/after tone (Reward phase) = reward

2391 - No lick = no reward

2392 - Lick during pre-tone = no reward/abortion of trial

2393 - Lick during ITI = no reward/abortion of trial

2394 - Animals graduate to the next Block of training only after achieving at
2395 least 70-80% success rates

2396

2397 **Block 3:** Training the animal to learn "when" to lick

2398 - 1000 ms pre-tone, 100 or 200 ms Tone, 1000 ms Reward phase, 3-5
2399 s randomized ITI

2400 - Lick during Reward phase = reward

2401 - Any lick during the pre-tone or the tone, aborts the trial and sends the
2402 program to a Timeout phase (lasting, 2-3 s)

2403 - The timeout phase ends only when there is a 2-3 s (specified) interval
2404 of no licking

2405 - If the timeout phase ends, a new trial begins

2406 - Licks during ITI are also "punished" accordingly

2407 - Animals graduate to the next Block of training only after achieving 70-
2408 80% success rates

2409

2410 **Block 4:** Same as Block 3, but with a gradually increasing tone
2411 duration in steps of 50/100 ms

2412 - The tone duration is gradually increased, the increase being tailored
2413 to the performance of the animal

2414 - It will be attempted to get the animals to learn to wait for 500-700 ms

2415 - Animals graduate to the next Block of the experiment only after
2416 achieving 70-80% success rates

2417

2418 **Results – Protocol 2**

2419

2420 The behavioural performance for each of the experiment animals was
2421 evaluated using custom analysis scripts written in MATLAB. Here are
2422 two representative examples of mice trained based on Protocol 2 –
2423 Block 3 (Figure 7).

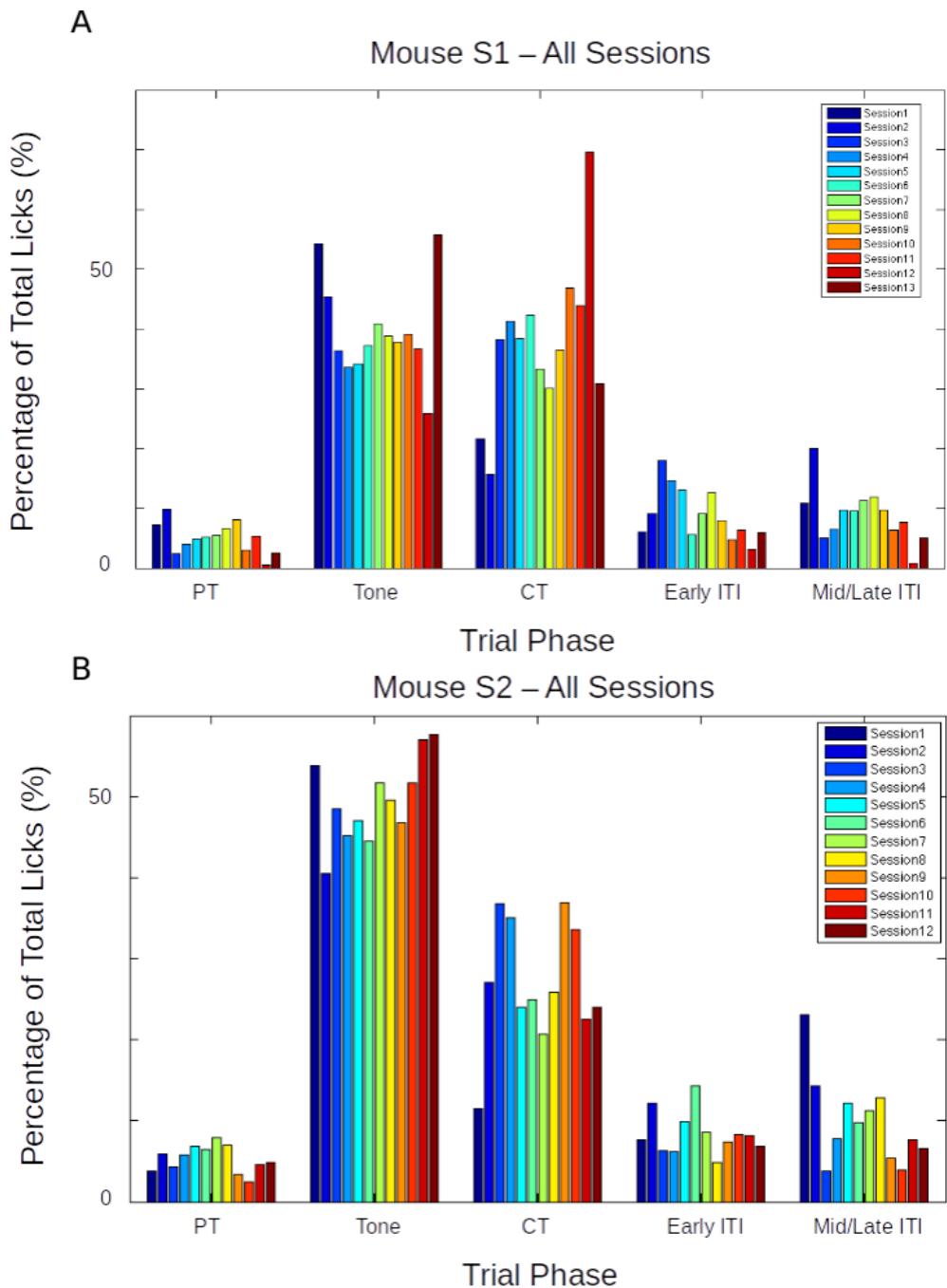


Figure 7: Performance evaluation for two example mice trained to Protocol 2. Percentage of total licks in the various trial phases (Pre-Tone, Tone, CT, and ITI), across all sessions of training (coloured bar plots), for (A) Mouse S1, and (B) Mouse S2.

2425 Again, as is clear from the examples above, that while the mice
2426 eventually produced a decent percentage of total licks in the critical
2427 timeout (CT) phase to get a water reward, they did not learn to
2428 withhold licks during the Tone phase, even after >10 sessions. The
2429 task was ultimately unsuccessful.

2430

2431 **Total animals trained:** 4

2432 **Conclusion:** Fail

2433

2434 **Protocol 3: Delayed Non-Match to Sample (DNMS)**

2435

2436 Delayed Non-Match to Sample (DNMS) is a task that is ideally suited
2437 to study working memory and recognition (Chudasama, 2010), but we
2438 decided to try it. This task involves trial-by-trial presentation of two
2439 stimuli separated by a stimulus-free delay interval. For any given trial,
2440 If the two pseudorandomly chosen pairs of stimuli were identical, then
2441 licks would not be rewarded. However, if the pair of stimuli were
2442 different, then licks would be rewarded with 2 μ L water.

2443

2444 We referenced previously published protocols (Jaramillo & Zador,
2445 2014) for their selection of auditory tone frequencies (3 kHz - 16.3 kHz)
2446 to select frequencies in the audible range. We had designed the
2447 experiment in such a way that the animal could behaviourally express
2448 a response to the perception of the various stimuli presented, as well
2449 as having learnt the overall task. We tried to incorporate more tones, in
2450 the hope that this may improve the chances of the animals focusing on
2451 the task specifics, instead of producing licks to just any stimulus.

2452

2453 **Tones used:** 6000 Hz, 8500 Hz, 10000 Hz, and 11500 Hz

2454 **Trial phases:**

2455 1. Pre-Tone duration (ms): 1000 ms

2456 2. CS 1 duration (ms): 350 ms

2457 3. Delay Interval duration (ms): 250 ms

2458 4. CS 2 duration (ms): 350 ms (unless a correct lick is
2459 elicited)

2460 5. ITI duration (s): randomized from 1 s to 3 s

2461 **Punishment:** Timeout Box (minimum of 3s of no licks to escape)

2462 **Reward:** 2 µL of water

2463

2464 **Results – Protocol 3**

2465

2466 >70-80% of the trials had to be aborted because the animals would not
2467 withhold licking after the 1st of the pair of tones was presented. This
2468 did not change even after 7 days (sessions) of training.

2469

2470 **Total animals trained:** 6

2471 **Conclusion:** Fail

2472

2473 **Protocol 4: Go/No-Go Task**

2474

2475 In an attempt to simplify the behavioural task, we decided to
2476 reconfigure the DNMS task to a simpler Go/No-Go task. Here, we
2477 would again present the animal with two stimuli, but with the only

2478 condition being that the animal would have to lick after the second
2479 stimulus, and not before. This simplifies the behaviour to a certain
2480 extent, because the animals need only use the first stimulus as a cue
2481 for the second. Failure to perform this task could more easily then be
2482 attributed to a lack of attention in that trial. Only the data from the trials
2483 where the animal succeeds to do the task would be considered for
2484 analysis. Training related changes in actual stimulus representations
2485 would be carefully dissected out. Furthermore, such a task would
2486 control for the behavioural state of the animal and help provide
2487 important datasets.

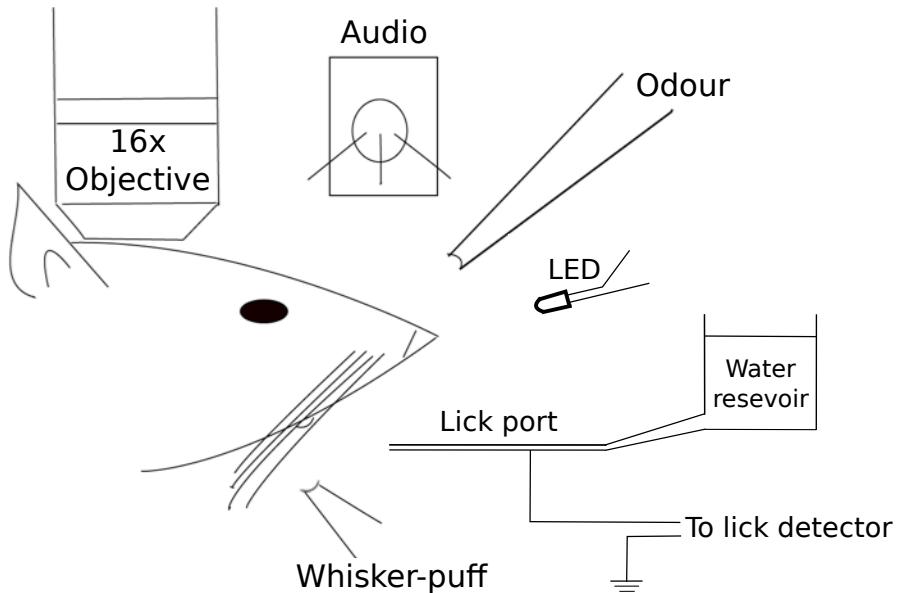


Figure 8: Schematic representation of the experimental setup for a simple detection task where the animal must perform a lick upon correctly detecting the presence of the conditioned stimulus (CS; from a range of modalities).

2492 In terms of imaging, we hoped to use the no-go stimulus to record a
2493 clean stimulus response without the possible contamination of
2494 movement (licking behaviour), and the go stimulus to verify attention
2495 (Figure 8).
2496 Trials were designed to go through the following phases and have the
2497 animal graduate to subsequent phases, only after correctly performing
2498 the behaviour:
2499 1. Pre-tone: Stimulus-free period; no lick
2500 2. No-go tone: 7kHz tone period; no lick
2501 3. Go tone: 10kHz tone period; lick for reward
2502 If the animal would perform an incorrect lick, the particular phase
2503 currently occurring was restarted. Only licks to the Go tone were
2504 rewarded (Figure 9).

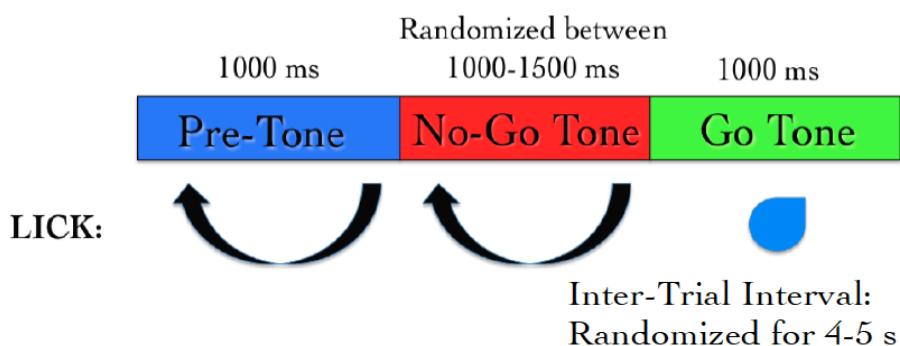


Figure 9: Typical trial structure with the various phases and lick dependent relationships.

2505

2506 **Results – Protocol 4**

2507
2508 The behavioural performance improves only after ~3-4 sessions of
2509 training (Figure 10A). This is primarily due to an increase in the
2510 percentage of trials with a correct Go tone lick, as shown (Figure 10B).

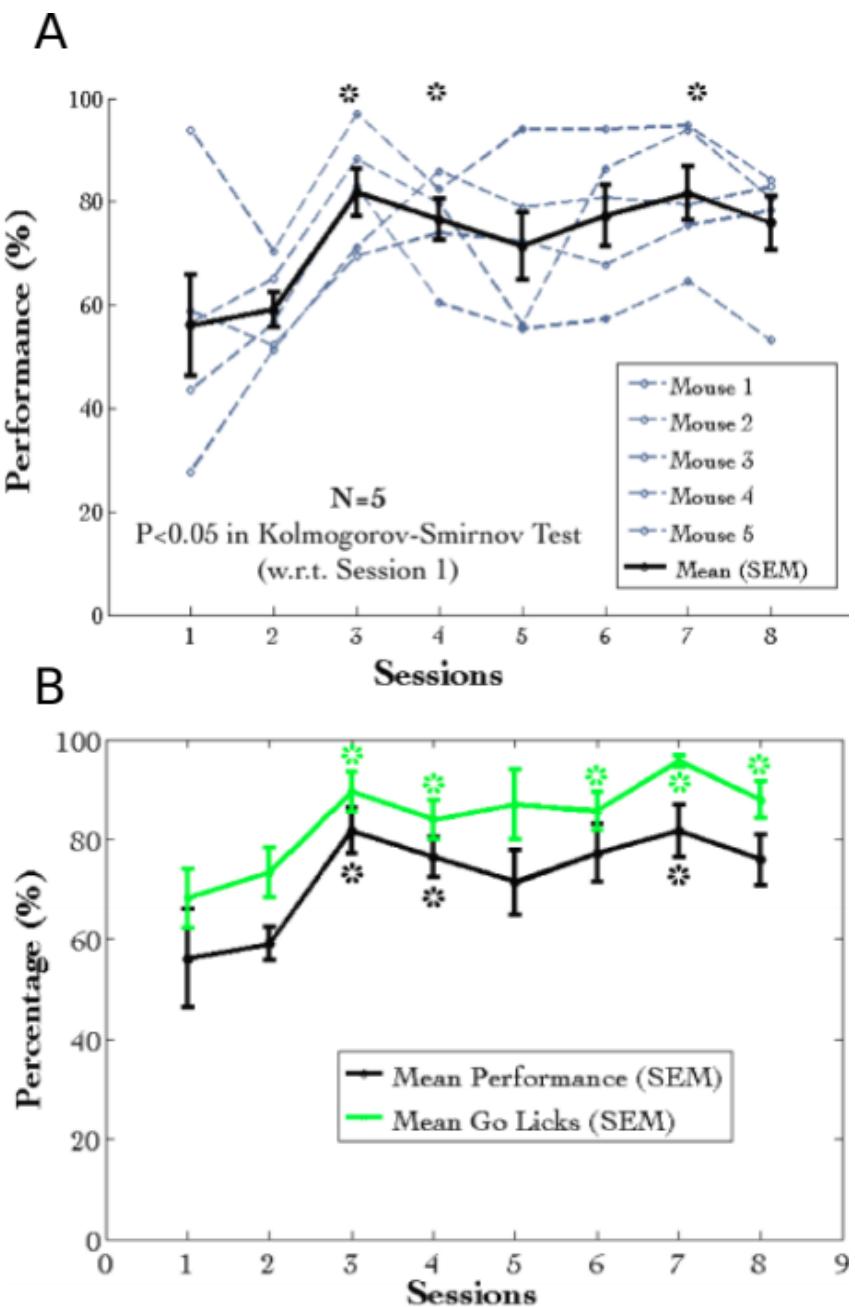


Figure 10: (A) Performance traces for all the animals individually shown in dashed grey lines. Mean + SEM in black. (B) Mean Performance (%) and Mean Go Tone Lick Percentage (%) with Session for the Go/No-Go Task, plotted in black and green, respectively.

2514 A plot of the lick histogram for the various trial phases revealed that
2515 despite reaching the maximum success rate, the animals continued to
2516 lick during the no-go tone phase (incorrect lick) for a long duration of
2517 time (Figure 11). There was no difference in the amounts of time spent
2518 in the pre-tone or no-go tone phases. This suggested that the animals
2519 did not discriminate between the Go and no-go tones. Accordingly, the
2520 current protocol was not being learnt as expected.

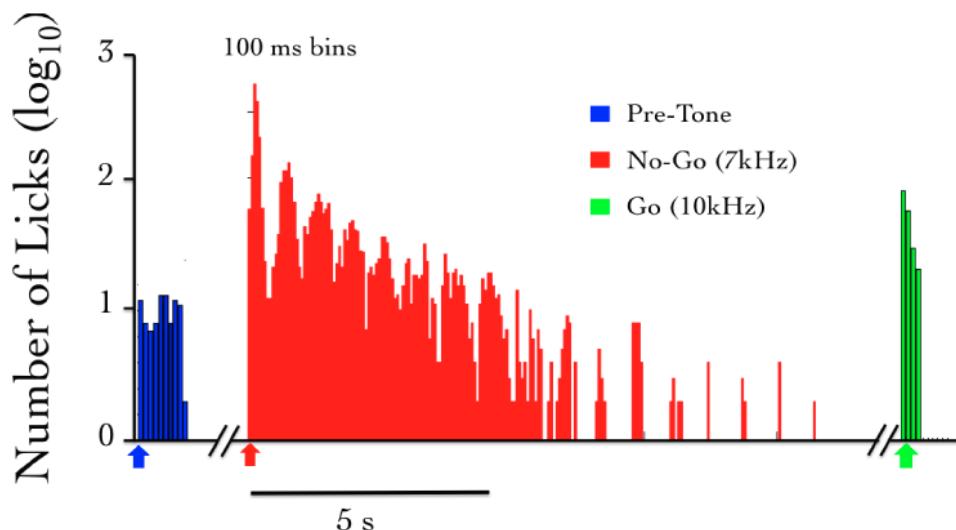


Figure 11: The number of licks in the pre-tone (blue), no-go tone (red), and go tone (green) phases, in a representative animal, in a session with maximum Performance (%).

2521
2522 We were not able to get discriminatory detection. Animals would resort
2523 to performing licks continuously and agnostically, to the go and no-go
2524 stimulus. In a study published many years later, it was determined that
2525 discriminatory tasks such as the one described above, could often
2526 require 3-4 weeks of training (Guo et al., 2014), since the animal was
2527 not punished with anything more than a delay or phase restart.

2528

2529 **Total animals trained:** 5

2530 **Conclusion:** Fail

2531 **Operant conditioning experiments failed to match**
2532 **behavioural requirements**

2533

2534 Operant conditioning tasks have been extensively and successfully
2535 modeled in a variety of laboratories. For our specific experiments, we
2536 required a task that could be learnt within 1-2 weeks. This was
2537 because we were not confident on how many simultaneous days of
2538 chronic imaging, we could achieve with the *in vivo* chronic 2-photon
2539 calcium imaging preparation (see Chapter 3 – “Imaging”). Additionally,
2540 it typically takes 3-4 weeks for head-fixed rodents to acquire sufficient
2541 expertise in behavioural performance for Operant Conditioning tasks,
2542 *viz.*, behavioural discrimination - to lick to the rewarded stimulus and
2543 withhold licks for non-rewarded stimuli or incorrect trial phases (Guo et
2544 al., 2014). Some animals have been reported to learn such tasks within
2545 1 week of training (Guo et al., 2014), but we did not observe this with
2546 our implemented protocols.

2547

2548 One alternative that we could have tried was to train the animals to
2549 expert levels of performance, and subsequently performed the
2550 hippocampus prep. The issue(s) with this is that,
2551 a) We wanted to study the hippocampal CA1 network during the
2552 learning or acquisition phase of behavioural training, as a distinct
2553 experiment from those published in literature.
2554 b) In such a protocol, we would require two separate surgeries, *viz.*, i)
2555 Head-bar implant, and, ii) hippocampus preparations. This, we
2556 believed would increase the technical difficulty of the overall
2557 experiment and could be more stressful for the experiment animals.

2558 c) We suspected that there could be unknown effects on behavioural
2559 performance, post-surgery, complicating the analysis and insights we
2560 aimed to study.

2561

2562 Across all Operant Conditioning protocols attempted, we could not
2563 observe behavioural discrimination (not licking to incorrect phases)
2564 within 1-2 weeks of training. We considered the experiments as
2565 failures, in line with this reasoning.

2566

2567 Eventually, we had to abandon these experiments, to switch to an
2568 aversive conditioning task, *viz.*, Trace Eye-Blink Conditioning (TEC).
2569 With the change in the main behavioural task we also changed the
2570 project goals. The TEC task was standardized with the intention to
2571 work on Project II which is to study how animals make complex
2572 associations between different types of stimuli and how they adapt to
2573 changes to the inter-stimulus interval (ISI).

2574 **Trace Eye-Blink Conditioning [Project II]**

2575

2576 We have introduced the Trace Eye-Blink conditioning paradigm in
2577 Chapter 1 – “Introduction”, but some key definitions and results require
2578 mention. Eye-blink Conditioning is a class of Classical Conditioning
2579 and requires the presentation of a neutral stimulus (Conditioned
2580 Stimulus, CS) along with an eye-blink eliciting, mildly aversive stimulus
2581 (Unconditioned Stimulus, US). Depending on whether the CS
2582 presentation overlaps with the US presentation or if the two stimuli are
2583 separated by a stimulus free interval in between (Trace interval), the
2584 concomitant procedure is called Delay Conditioning or Trace

2585 Conditioning, respectively (Figure 12). In either case, precise timing of
2586 the CS and US is mandated.

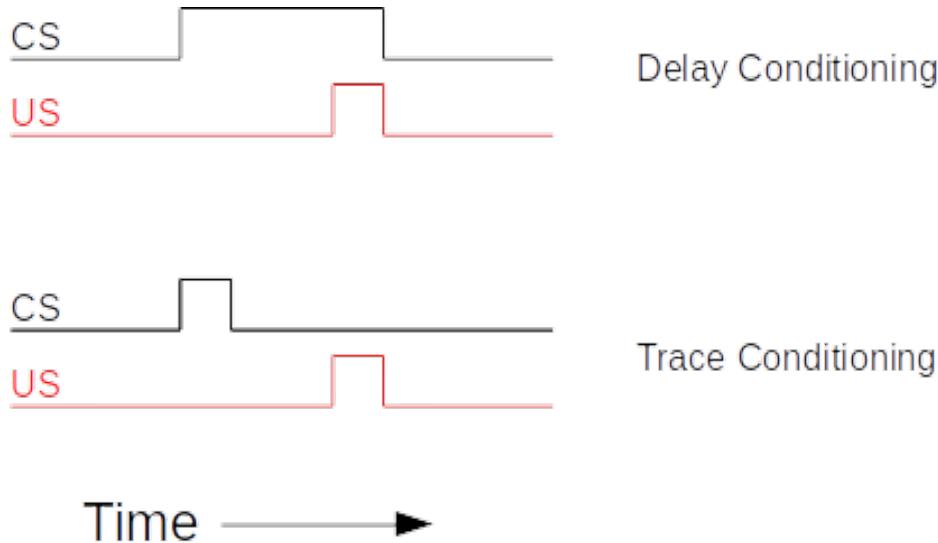


Figure 12: Schematic representation to showcase the two main subtypes of Classical Conditioning (Eye-Blink Conditioning, for our experiments) based on the relative timing and presentation of the CS and US.

2587
2588 The CS is usually an auditory tone or a visual stimulus (e.g.- LED
2589 Flash), while the US is typically a mild air-puff to the cornea, or a
2590 gentle electric shock to the eye-lid. Naive animals (rabbits, rodents,
2591 monkeys, etc.) produce a robust, reflexive eye-blink to the US
2592 (Unconditioned Response or UR) and ignore the CS, in early trials.
2593 However, with repeated pairing of CS and US, the animals are able to
2594 associate the two, and use the CS as a cue to predict the US,
2595 producing a partial, preemptive eye-blink just before the expected time
2596 of the US (Conditioned Response or CR). The CR develops in
2597 amplitude over multiple pairings or training sessions. In well trained
2598 animals, the CR begins at a time point closer and closer to the CS

2599 onset, and usually merges with the UR. The animals produce this CR
2600 in an attempt to avoid the US.

2601

2602 Traditionally, Trace Eye-Blink Conditioning has been an important
2603 hippocampus-dependent behavioural task, and has been adapted to a
2604 variety of different species, spanning rabbits, rats, and mice.

2605

2606 Damage or inhibition of the hippocampus has been shown to limit task
2607 acquisition without affecting other non-hippocampus dependent tasks
2608 such as Delay Conditioning. In an experiment, Ibotenic Acid was used
2609 in a session dependent fashion, to observe both limitations in first
2610 acquiring the Trace Conditioning task, as well as detriments to
2611 behavioural recall, even after animals learn the task to a high degree of
2612 proficiency, suggesting the pivotal role that the hippocampus plays in
2613 temporal tasks of this nature (Tseng et al., 2004).

2614

2615 A single session of Trace Eye-Blink Conditioning, with strong stimuli
2616 (CS and US), has been previously employed (Modi et al., 2014), but
2617 with only upto 50% of the animals learning the task. Typically animals
2618 require around 3-7 sessions (~200-600 trials) to robustly learn the task.
2619 Accordingly, we designed and standardized a multi-session version of
2620 TEC, to allow more animals to learn and acquire the task, based on
2621 previously published work (Siegel et al., 2015).

2622

2623 **Tracking eye-blink responses**

2624

2625 The most foolproof way to track eye-blink responses (especially with
2626 head-fixed animals) chronically (for multiple sessions across days), is

2627 to use a video camera. We used a Point Grey Chameleon3 1.3 MP
2628 Monochrome USB3.0 camera) for this purpose. It is cost effective and
2629 with proper scaling of the resolution and field of view, can achieve
2630 recording rates of >200 frames per second (FPS). An important criteria
2631 for getting faster frame rates is to have better illumination, so that the
2632 camera may be set to lower exposure settings. We used a set of 5-10
2633 Red colour LEDs as the light source, and these are run using a 12V
2634 DC line, with current limited resistors in series. Additionally, we used
2635 an IR-blocking filter to avoid capturing the 2-Photon excitation light
2636 (910-920 nm) when conduction behaviour and imaging experiments
2637 simultaneously. Finally, to focus the light from the eye of the animal
2638 onto the camera sensor, we used a Tamron M118FM16 lens (1/1.8,
2639 16mm F/1.4).

2640 **Treadmill and tracking running speed**

2641

2642 Allowing the head-fixed animals to run on a treadmill was an important
2643 behaviour rig consideration, as this allows the animals to be more
2644 engaged and less stressed **during experiments** (Siegel et al., 2015).
2645 **We considered treadmill tracking as a relevant variable to keep track**
2646 **of, despite the potential complications this could provide to imaging,**
2647 **viz., z-axis drift owing to relative motion between the brain tissue and**
2648 **microscope objective as the head-fixed animals run.** We used a 6 inch
2649 cylindrical massage roller with a stainless steel axle running along the
2650 length. This axle had ball bearings on the two ends, to allow for free
2651 rotation against clamps. Additionally, we used linear actuators to be
2652 able to adjust the height of the treadmill relative to the head-fixing
2653 clamps.

2654

2655 On one side of the treadmill, we used a printed pattern of black
 2656 squares (side length: 1 cm) along the circumference. This allowed an
 2657 IR LED - Photodetector pair to catch the edges of the black printed
 2658 squares. The number of edges detected per unit time, then gave us the
 2659 run speed of the animals being trained. We followed previously
 2660 published routines and protocols (Siegel et al., 2015) for setting up the
 2661 treadmill and run speed tracking (Figure 13), **over 50 ms sized bins**,
 2662 across each trial.

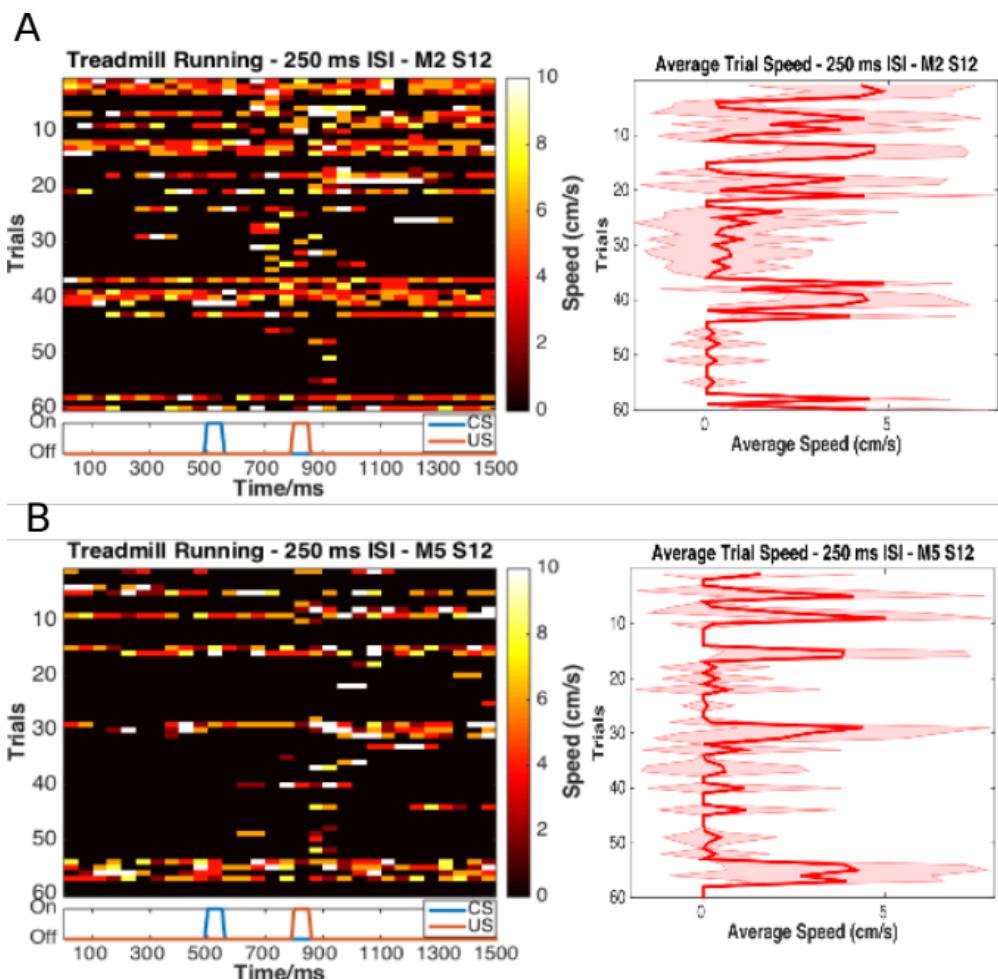


Figure 13: Trial-by-trial (left) and trial-averaged running speed (right) for two example animals, (A) M2 Session 12, and (B) M5 Session 12.

2663

2664 **Behaviour rig and protocol control - Software**

2665

2666 For our initial experiments we used the open-source behaviour

2667 controlling software suite Bonsai (Windows version). Later on, we were

2668 able to implement our own custom codes that allowed integration of

2669 the video camera, Arduino for stimulus delivery and treadmill tracking,

2670 and the software side of the protocols. Dilawar S. Rajput was

2671 instrumental in setting up the camera pipeline and integrating it into the

2672 Arduino code. The Camera server was implemented in C++ with

2673 Spinnaker API (Point Grey) and this fetched frames from the camera.

2674 The camera client was written in Python, and this read the frames to

2675 produce a copy to monitor the video feed live, as well as write the

2676 video frames to disk as .tif files.

2677 With this setup, the maximum memory usage was ~1.3 GB RAM, and

2678 the code (available at <https://github.com/BhallaLab/PointGreyCamera>)

2679 had the following dependencies:

2680 • libopencv-dev, python-opencv

2681 • cmake, g++, gnu-make

2682 • libtiff-dev, python-tifffile, python-numpy

2683 • python-gnuplotlib, gnuplot-x11

2684

2685 An important requirement for our behaviour experiment design was to

2686 be able to train the animals systematically under reproducible

2687 conditions, with the aim to have stable behavioural training and animal

2688 performance. We used a blue LED as the Conditioned Stimulus (CS,

2689 50 ms flash) with an air-puff to the eye serving as the Unconditioned

2690 Stimulus (US, 50 ms pulse). We used an Arduino to orchestrate

2691 stimulus delivery and protocol design. All experiments were performed

2692 on head-fixed C57Bl6 mice, since we planned to use a stationary,

2693 custom-built two-microscope to image hippocampal CA1 activity during
2694 task acquisition and recall (Figure 14; Figure 15).

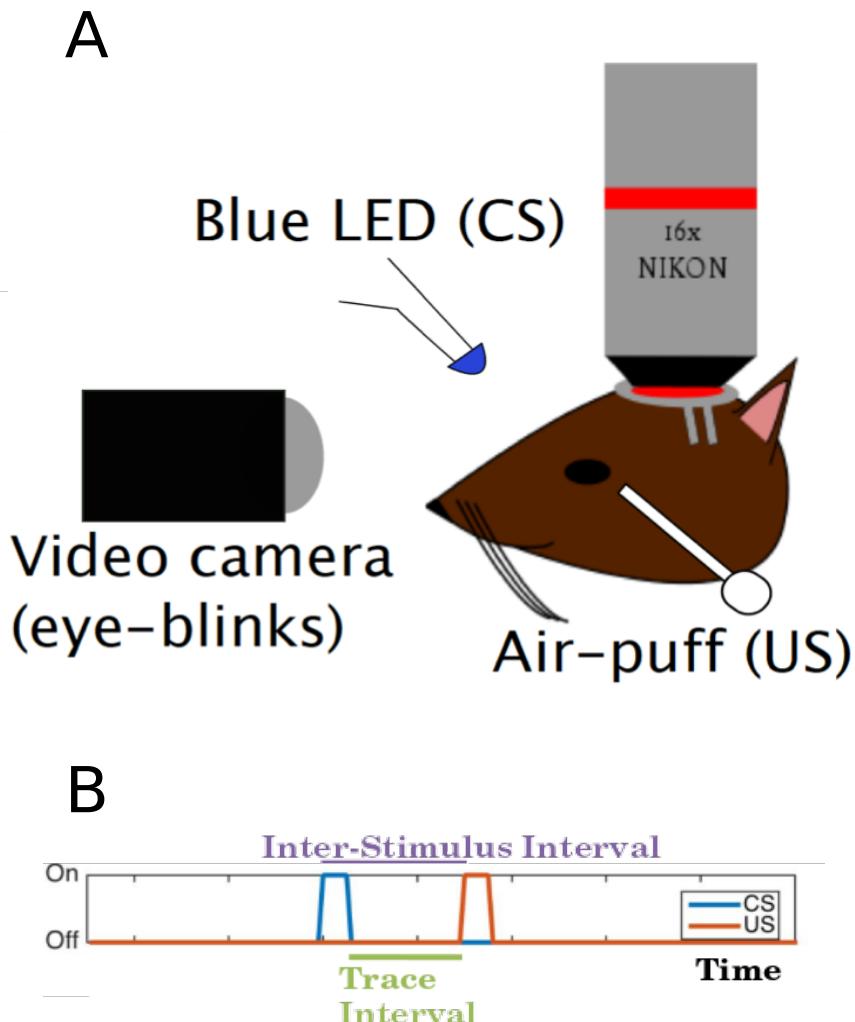


Figure 14: Schematic representation of (A) the behaviour rig with 2-Photon imaging, to train head-fixed mice on a Trace Eye-Blink Conditioning (TEC) task, and (B) relative time intervals between the CS and US.

2709

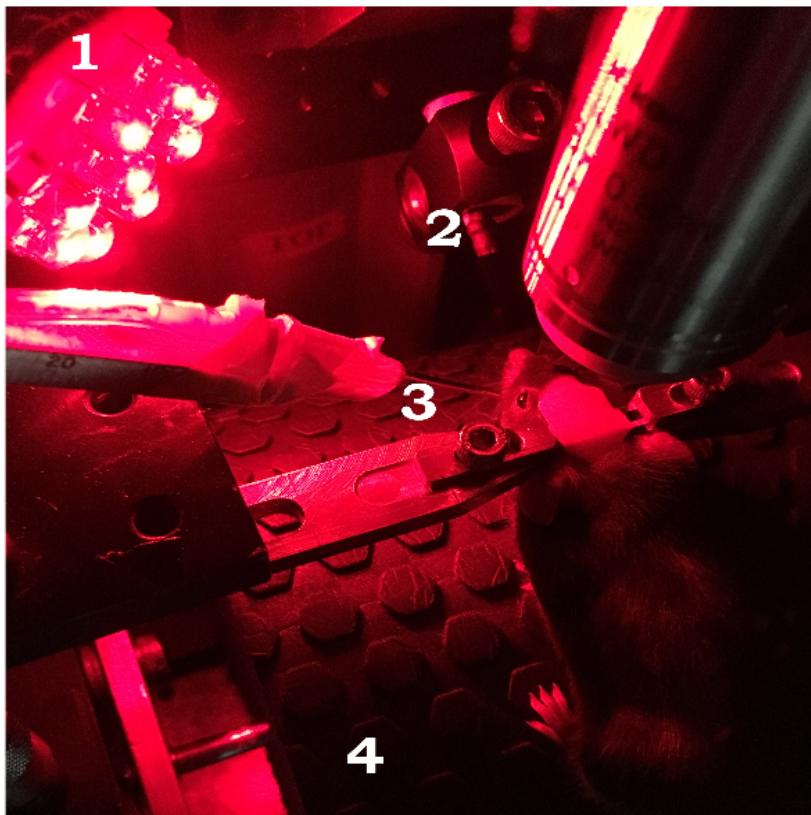


Figure 15: A picture of a head-fixed mouse clamped on the behaviour rig, with key components: 1) Red LED Illumination, 2) Blue LED CS, 3) Air-puff port directed to the left eye, and 4) Height-adjustable Treadmill.

2711 **Analysis - TEC**

2712

2713 Once the .tif movies of the eye of the animal being trained were saved,
2714 they were analyzed by a custom script written in MATLAB, wherein for
2715 every frame we (Figure 16),

- 2716 a) Adjust contrast (optional)
- 2717 b) Apply a median filter (optional)
- 2718 c) Crop out the pixels defining the eye and surrounding (identical
2719 number of pixels for all trials and animals)
- 2720 d) Binarize the image of the eye to get black pixels defining the
2721 visible (opened) portion of the eye
- 2722 e) Count the relative proportion of open vs closed eye pixels in the
2723 cropped image, and
- 2724 f) Assign each frame with a Fraction of Eye Closure (FEC) score.

2725

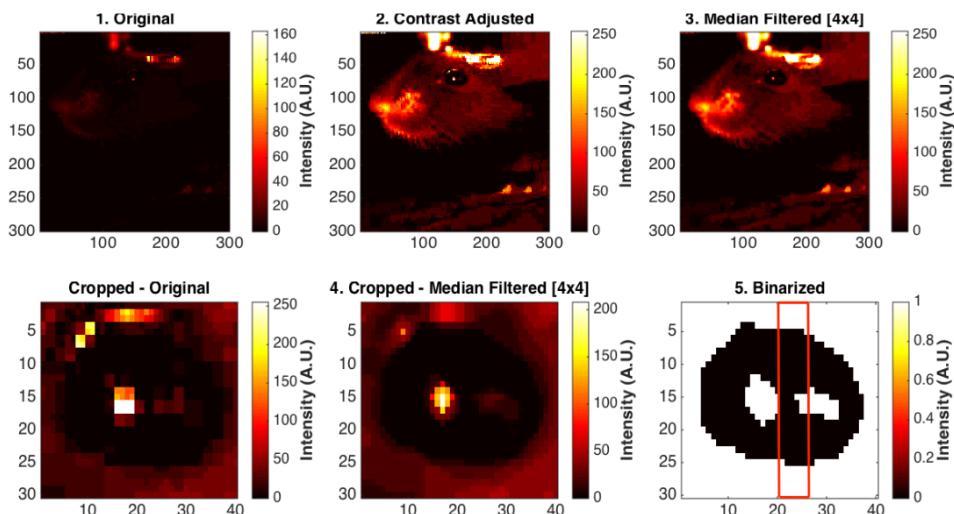


Figure 16: Steps from behaviour video frame to Fraction of Eye-Closed (FEC) analysis.

2726

2727 The FEC score then allowed us to analyse each trial's worth of frames
2728 for eye-blanks. There are many features of the eye-blink that could be

2729 used to gauge the overall performance of the animal in terms of both
2730 the Conditioned Response (CR) as well as the Unconditioned
2731 Response (UR), but for our experiments, we chose to use Eye-Blink
2732 Amplitude (Siegel et al., 2015). Additionally, we studied whether the
2733 animals could produce CRs in the absence of the US, by
2734 pseudorandomly selecting 10% trials to skip the US (Probe Trials).

2735

2736 **Results - TEC**

2737

2738 1. Animals showcase task acquisition by performing Conditioned
2739 Responses (CRs), observed as pre-emptive blinks timed to
2740 avoid the aversive US. The kinetics of the CR (timing,
2741 amplitude, etc.) are dependent on the amount of training, but
2742 are identical across paired and probe trials (Figure 17).

2743

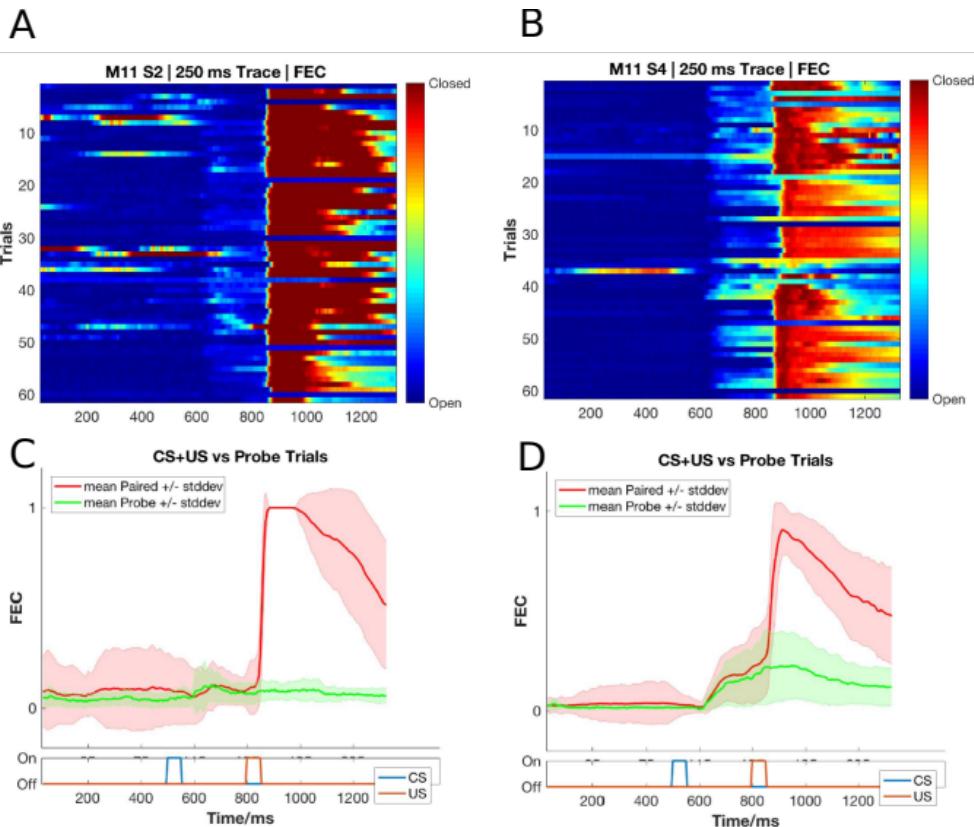


Figure 17: Conditioned Responses (CRs) are small amplitude eye-blanks triggered by the CS, and develop with multiple training sessions, while Unconditioned Responses (URs) are large eye-blanks to the US, typically consistent across sessions. (A,B) Trial-by-trial FEC traces for M11 (A) Session 2, and (B) Session 4. (C,D) Trial-averaged FEC traces for M11 (C) Session 2, and (D) Session 4, with paired (red) and probe (green) trials.

2744

2745 2. Most animals can pick up the task within 4-7 sessions (1
2746 session/day, 60 trials/session), even if on water deprivation.
2747 Animals can also be subsequently trained to different inter-
2748 stimulus intervals. Using the Conditioned Response (CR)
2749 amplitude, each trial can be binarized to whether a CR was
2750 elicited (Hit Trial) or not (Miss Trial), by thresholding at mean
2751 trial FEC + 2*Std. Dev.. Performance for the session is then

estimated as the ratio of Hit Trials to Total Trials (Figure 18). We additionally set a criterion that a performance of >70% be considered “strong learning”, 30-60% be considered “weak learning”, and “0-30%” be considered “non-learning”.

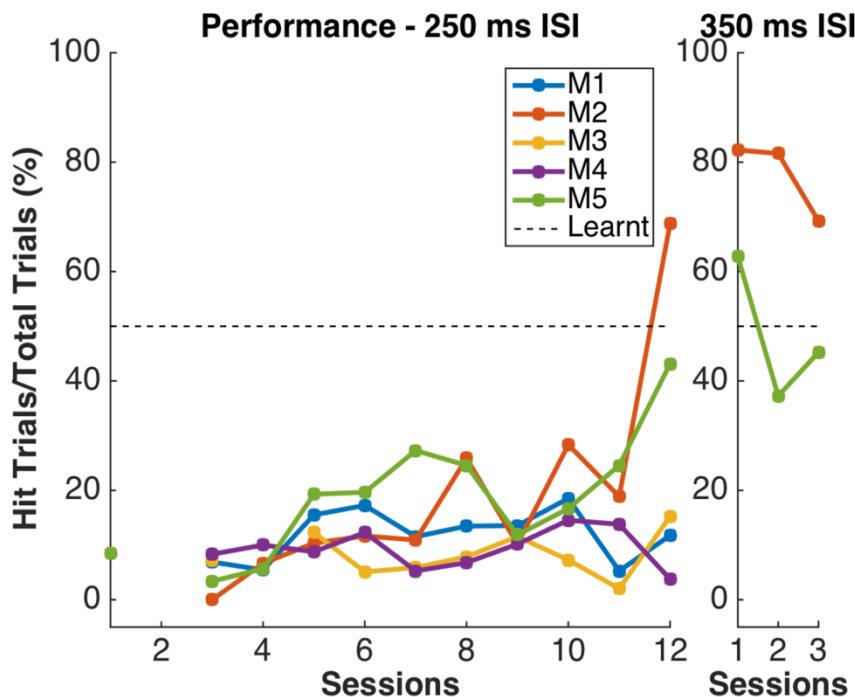


Figure 18: Performance in terms of the percentage of Hit Trials to total trials across sessions, including an ISI switch from 250 ms to 350 ms. Here, M2 is a strong learner (>60% hit trials/session) and M5 is a weak learner (30-60 % hit trials/session). M1, M3, and M4 did not learn the task.

3. Animals that learn multiple ISIs, especially when the second ISI is $\geq 2x$ the first ISI, showcase complex eye-blinks without extinction of the previously learnt CRs. Once an animal showcases the ability to produce Conditioned Responses (CRs) to one inter-stimulus interval (ISI), this interval can be elongated. In the example shown below we first trained the

2763 animal to a 250 ms ISI, and then switched to a 500 ms ISI
2764 (Figure 19).

2765

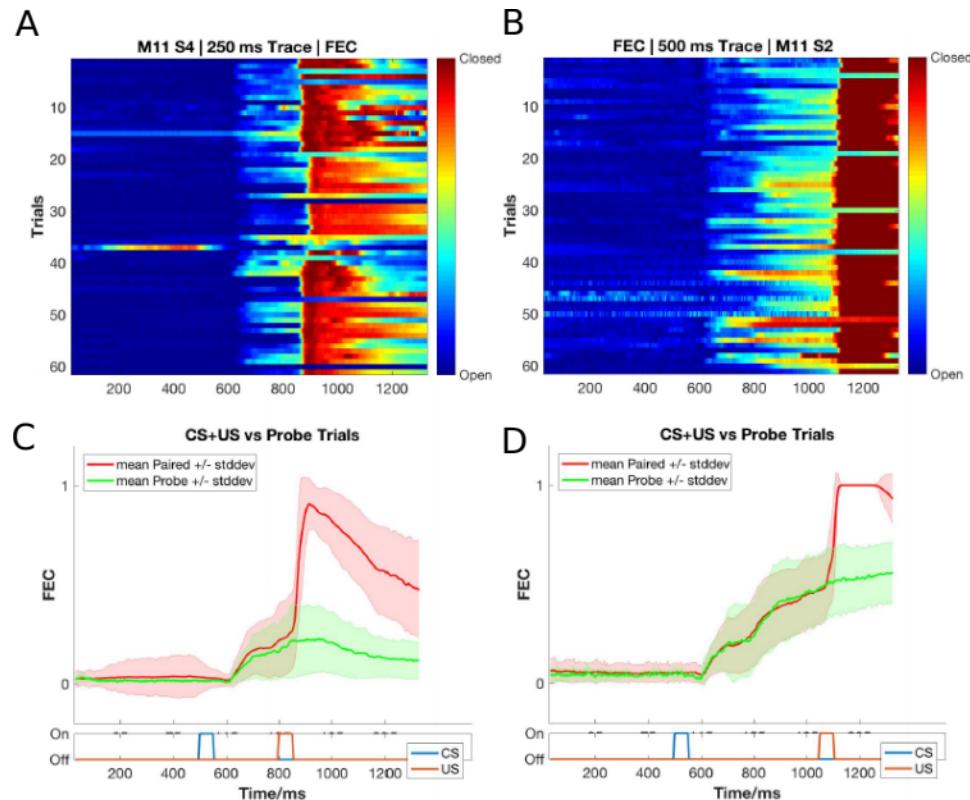


Figure 19: Conditioned Responses (CRs) are preserved for previously learnt ISIs, and switching the ISI to longer intervals results in Complex (multi-CR) eye-blink responses. (A,B) Trial-by-trial FEC scores for (A) 250 ms ISI (left) and (B) 500 ms ISI. (C,D) Trial-averaged FEC traces for (C) 250 ms ISI, and (D) 500 ms ISI, with paired (red) and probe (green) trials.

2766

2767 4. The onset of the Conditioned Response (CR) is not affected by
2768 the ISI switch, irrespective of how strongly the animals learn the
2769 task. CRs during paired and probe trials were near identical,
2770 showcasing that the animal (Figure 20; Figure 21).

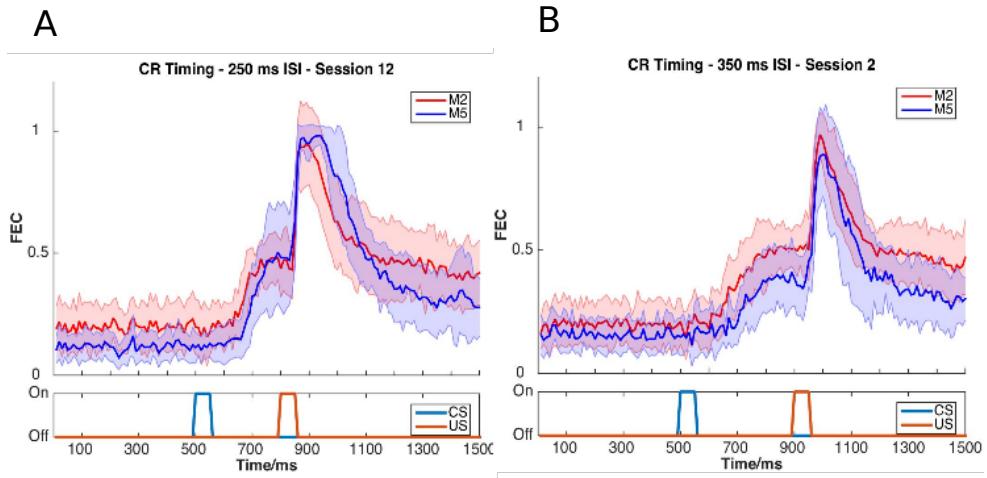


Figure 20: Conditioned Response (CR) onset timing is maintained across ISI switches. Representative examples from a short ISI switch from (A) 250 ms to (B) 350 ms (right).

2771

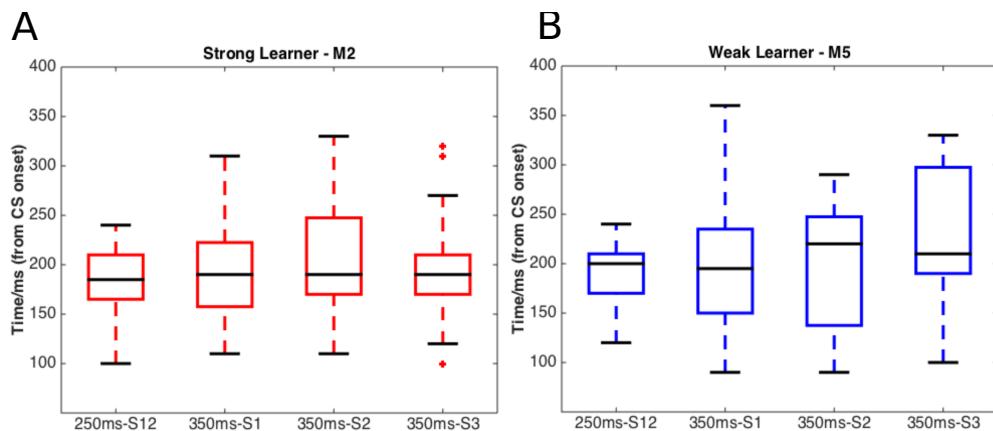


Figure 21: Bar plots for Conditioned Response (CR) onset across multiple sessions of training after ISI switch from 250 ms to 350 ms, irrespective of how strongly the animals learnt the task. (A) red, strong learner, and (B) blue, weak learner.

2772

2773 5. Animals can also be trained to very long ISIs from Session 1,
2774 with acquisition taking <10-14 days. Here we tried to train
2775 animals to either a 550 ms ISI or a 750 ms ISI. Note, however,

2776 that unless multiple ISIs are taught to the same animal, the CR
2777 eye-blink is singular (Figure 22).
2778

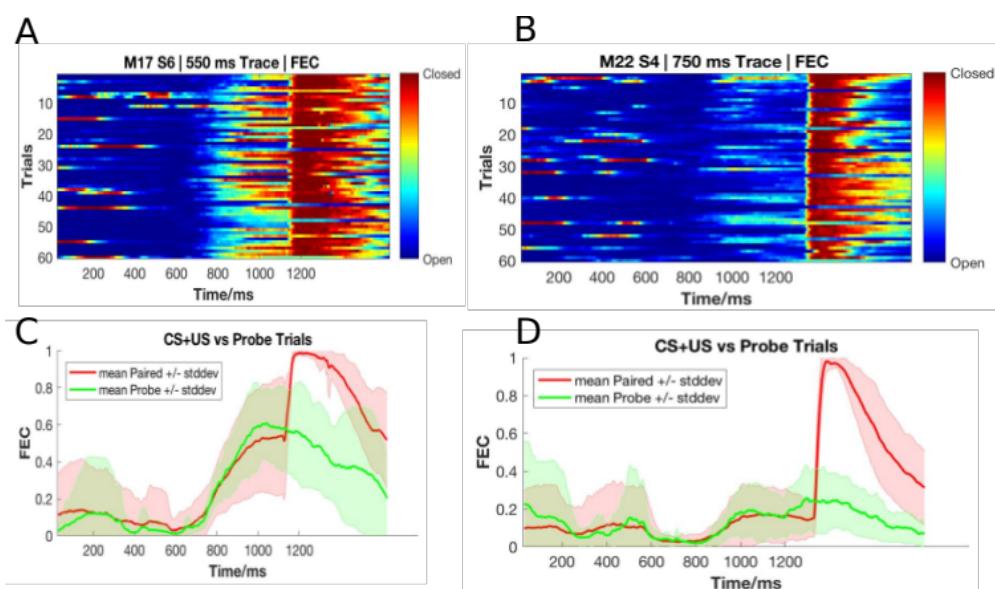


Figure 22: Representative example of mice trained to 550 ms ISI (Session 6) and 750 ms ISI (Session 4). (A,B) Trial-by-trial FEC responses for (A) 550 ms ISI (M17 Session 6), and (B) 750 ms ISI (M22 Session 4). (C,D) Trial-averaged FEC responses for (C) 550 ms ISI (M17 Session 6), and (D) 750 ms ISI (M22 Session 4), with paired (red) and probe trials (green).

2779
2780 **Total animals trained:** 18 [Conditioned Responses visible]

2781 **Conclusion:** Success

2782
2783 Ultimately, we were satisfied with the Trace Eye-Blink Conditioning
2784 paradigm since we could observe stable conditioned responses that
2785 developed over a reasonably short period of training time (<1 week),
2786 and adaptable conditioned responses to behaviour parameter
2787 modulations, in head-fixed mice that could be subjected to
2788 simultaneous 2-Photon calcium imaging.

Table 1: Summary table of behaviour protocols attempted and essential results.

NAME	PUNISHMENT TYPE	REMARKS
Operant Protocol 1.1 (Stimulus Detection)	No water reward for incorrect licks	Lack of water reward for incorrect licks not enough for behavioural discrimination at <1 week of training
Operant Protocol 1.2 (Stimulus Detection)	Air-puff punishment for incorrect licks	Strong punishment for incorrect licks not enough for behavioural discrimination at <1-2 weeks of training.
Operant Protocol 2 (Stimulus Detection)	Timeout (3s) punishment for incorrect licks	Alternate or weaker punishment attempted but not enough for behavioural discrimination at <1 week of training.
Operant Protocol 3 (DNMS)	Timeout (3s) punishment for incorrect licks	Alternate punishment attempted but not enough for behavioural discrimination at <1 week of training No obvious effect of adding delay intervals between stimulus presentations
Operant Protocol 4 (Go/No-Go)	Trial base repeat punishment for incorrect licks	Alternate punishment attempted but not enough for behavioural discrimination at <1 week of training
Aversive Protocol (Trace Eye-Blink Conditioning)	No punishment	Animals learn the task and produce stable, adaptable Conditioned Responses (CRs) within 1 week of training

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Chapter 3 – Imaging

2857

2858 The mammalian hippocampus is considered important in the formation
2859 of new memories about experienced events (episodic or
2860 autobiographical memory), general declarative memory (memories that
2861 can be explicitly verbalized), spatial memory and navigation, and
2862 associations between stimuli that are distinct in time, among other
2863 functions. To achieve this, the Hippocampus must integrate information
2864 from different areas of the cortex.

2865

2866 Much of the cortical information that enters the Hippocampus (at the
2867 Dentate Gyrus), comes through the Entorhinal Cortex, along the
2868 Perforant Path (Hjorth-Simonsen & Jeune, 1972). The Dentate Gyrus
2869 cell network then relays this information to the CA3 cell network
2870 through Mossy Fibers, which in turn relays the information to CA1
2871 cells, through the Schaffer Collateral Fibers. This is popularly known as
2872 the Trisynaptic Circuit or Pathway (Figure 1 from Chapter 1 -
2873 "Introduction") and there is scope and evidence for computation and
2874 information processing at every step (MacDonald et al., 2011, 2013;
2875 Modi et al., 2014; Nakashiba et al., 2008; Suh et al., 2011). Finally, the
2876 CA1 cells have their outputs to other brain regions. It is important to
2877 note, however, that regions like the CA1 are known to have access to
2878 information directly from other brain regions, as well (P. Andersen et
2879 al., 2006).

2880

2881 Literature in the field suggests that naïve animals may have some
2882 sensory gating of "Neutral" stimuli at the level of the CA1 (Abe et al.,
2883 2014; Bellistri et al., 2013; Kamondi, 1998). The source of this
2884 inhibition (at least the step before the local interneurons) seems to be

2885 the Medial Septum, through Fimbria-Fornix (Abe et al., 2014). Also,
2886 behavioural relevance allows the CA1 to elicit depolarizations that can
2887 be mapped to brain external stimuli (Dombeck et al., 2010; Harvey et
2888 al., 2009; P. M. Itskov et al., 2011; MacDonald et al., 2011, 2013; Modi
2889 et al., 2014; Pastalkova et al., 2008).

2890

2891 The Hippocampus consists of ventral and dorsal portions both of which
2892 are of similar composition but are parts of different neural circuits
2893 (Moser & Moser, 1998). The dorsal hippocampus performs primarily
2894 cognitive functions and in memory function, while the ventral
2895 hippocampus modulates emotional and affective processes (Fanselow
2896 & Dong, 2010).

2897

2898 **Physiology in the hippocampus**

2899

2900 The Hippocampus is located deep in the medial temporal lobe of
2901 mammals and is defined by several sub-structures, including the
2902 Dentate Gyrus (one site for information input to the hippocampus) and
2903 the Hippocampus Proper constituted by the CA1, CA2, CA3 and CA4
2904 cellular levels.

2905

2906 Using extracellular tungsten microelectrodes in naïve unanesthetized
2907 rabbits serially exposed to multimodal stimuli (Vinogradova, 2001), it
2908 was reported that in the CA1,

2909 1. A major fraction the reactive neurons have unimodal responses
2910 (41-44%)

2911 2. Multimodal neurons are modality-unspecific but have
2912 differentiated responses to stimuli of different modalities and even to
2913 various stimuli within a single modality
2914 3. Many neurons respond by Phasic (evoked responses last for the
2915 duration and as long as the stimulus) and Specific (stimulus-specific
2916 pattern) responses
2917 4. Neurons with inhibitory responses are encountered less
2918 frequently than those with various types of excitatory
2919 5. Habituation (non-responsiveness to repeatedly presented stimuli) is
2920 present though not among all the responsive cells (71-75%) and is
2921 often gradual
2922
2923 Imaging based activity studies have the advantage of being able to
2924 capture many more cells (>100 from the same animal) during
2925 experiments (Dombeck et al., 2010; Pachitariu et al., 2017; Peron et
2926 al., 2015; Sofroniew et al., 2016) as compared to typical
2927 electrophysiological measurements. Imaging provides an unambiguous
2928 method to identify cells that are not active during a period of interest.
2929 Another advantage is that it provides anatomical confirmation to help
2930 track the same cell over multiple days of recording, without ambiguity,
2931 for longitudinal studies. Finally, imaging techniques have gained
2932 momentum in the study of the hippocampal CA1 various spatial scales,
2933 from cellular resolution somatic studies (Dombeck et al., 2010; Modi et
2934 al., 2014), to dendrites (Mizrahi, 2004; Sheffield & Dombeck, 2014),
2935 axonic boutons terminating on the CA1 interneuron populations
2936 (Kaifosh et al., 2013; Lovett-Barron et al., 2014), as well as spines
2937 (Attardo et al., 2015), *in vivo*.
2938

2939 We designed our imaging studies (for this thesis), with the aim to
2940 understand the network and cellular mechanisms of the hippocampal
2941 CA1 that corresponded with behavioral learning induced changes. We
2942 started by looking for CA1 responses to neutral stimuli in naive
2943 animals, *in vivo*. Subsequently, we planned to subject these animals to
2944 behavioural training and study if and how the same cells would
2945 respond.

2946

2947 Depending on the intended duration of the imaging experiments, *viz.*, a
2948 few hours (single session) or a few days and weeks (multiple
2949 sessions), we were able to standardize both an Acute as well as a
2950 Chronic *in vivo* hippocampal preparation. We now discuss the *in vivo*
2951 hippocampal preparation, physiology recordings, and a brief summary
2952 of the results. An important perspective for our experiments was to
2953 study how sensory stimulus responses of hippocampal CA1 develop
2954 with associative learning.

2955

2956 **Methodology – Acute and chronic imaging**

2957 **[Projects I & II]**

2958

2959 The overall experiment deals with optically measuring the activity of
2960 the dorsal CA1 hippocampal neurons when different stimulus
2961 modalities are presented to a male C57BL/6 mouse. The thesis covers
2962 experiments conducted acutely (lasting <10 hours) using OGB-1 as a
2963 calcium sensor), as well as chronically (~7-21 days) using a genetically
2964 encoded calcium indicator, GCaMP6f.

2965

2966 The 2-Photon excitation wavelength for OGB-1 experiments was set to
 2967 810 nm (scattering coefficient: ~3 rad²/mm) and the same for
 2968 GCaMP6f was set to 910 nm (scattering coefficient: ~2 rad²/mm) to
 2969 image cell bodies (Min et al., 2017) in the CA1, *in vivo*. However,
 2970 despite the relatively low scattering of longer wavelengths, the
 2971 hippocampus cannot be imaged directly, through the cortex since the
 2972 layer of cortex is too thick (~1-1.5 mm) to allow proper excitation of the
 2973 sample. These infra-red (IR) photons are expected to be scattered
 2974 almost completely, well before the imaging depth of the CA1 layer.
 2975 These layers of cortex have to accordingly be carefully suctioned out to
 2976 allow the microscope objective to have optical access to the exposed
 2977 tissue (Figure 23).

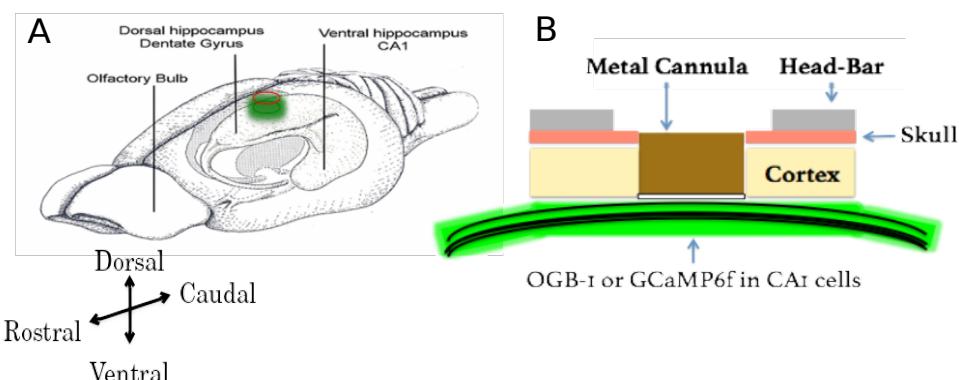


Figure 23: (A) A schematic of the rodent brain, showcasing the two hippocampi, one in each hemisphere. The hippocampi lie ~1 mm (mice) below the cortical surface. (B) The hippocampal preparation allows optical access to the deep lying hippocampal CA1 cells, relative to the skull/cortex. The CA1 cell bodies arrange in a laminar fashion as a tight cell body layer that can be visualized by fluorescence (using either OGB-1 or GCaMP).

2978
 2979 We first put the animal under anesthesia using a vapor chamber
 2980 saturated with 3% isoflurane. Next, the animal was cheek-clamped and
 2981 a light state of anesthesia was maintained using 1-2% isoflurane,

2982 provided directly to the nozzle of the animal, keeping track of ~1 Hz
2983 breathing rate and a body temperature of 35-37 °C (with heating pad).
2984 The animal was given a haircut and a circular incision of ~5 cm
2985 circumference was made on the scalp, revealing the skull below. We
2986 then affixed head-bars and skull screws with the help of dental cement,
2987 to be able to clamp the animal post surgery on the 2-Photon
2988 Microscope.

2989

2990 The left, dorsal hippocampus was targeted with a 3-5 mm circular
2991 craniotomy aimed at 1.5 mm left and 2.0 mm behind bregma, tearing
2992 and peeling out the Dura to reveal the cortex. We then carefully
2993 aspirated out the cortex (part of the somatosensory cortex) under
2994 repeated washes of Cortex Buffer (see table 1 for recipe), until the
2995 horizontal CC fibre layer was visible. Finally, we added a drop of low
2996 gelling agarose and a 5 mm coverslip (for acute preps); Kwik-Sil and
2997 inserted a 3 mm metal cannula with a coverslip attached at the bottom
2998 (for chronic preps). We used different sensors depending on the
2999 requirement for the preparation, *viz.*, acute (OGB-1) or chronic imaging
3000 (GCaMP6f). **We kept track of animals that showcased unusual gait or**
3001 **low/no mobility and avoided their use altogether for production**
3002 **datasets and experiments, in accordance with previously published**
3003 **protocols** (Dombeck et al., 2010). We refer to this series of steps as
3004 the hippocampal preparation.

3005 **Preparation of Cortex Buffer**

3006

3007 We prepared cortex buffer by weighing out the required amount of the
3008 salts, NaCL, KCl, Glucose and HEPES (see table 2 for recipe) and

3009 making up the volume of the solution with Milli Q Water to ~1000 ml.
3010 We then set the pH of the buffer using a calibrated pH meter to 7.35,
3011 using 1M NaOH_(aq). Next, we fill up the volume to 1000 ml and verify
3012 the pH (should not have changed). Finally, we filter the contents
3013 through a 0.22 um membrane using a vacuum filtration, and store at
3014 6 °C.

3015 Recipe for ready-to-use cortex buffer. The buffer is typically prepared
3016 and stored in aliquots to be used each week. The correct pH range of
3017 the buffer was often a crucial factor ensuring the success of the
3018 hippocampal preparation.

3019

Table 2: Recipe for ready-to-use cortex buffer. The buffer is typically prepared and stored in aliquots to be used each week. The correct pH range of the buffer was often a crucial factor ensuring the success of the hippocampal preparation.

INGREDIENT	CONCENTRATION (mM)	AMOUNT (g or ml) for 1000 ml]
NaCl (s)	125	7.31 g
KCl (s)	5	0.373 g
Glucose (s)	10	1.8 g
HEPES (s)	10	2.38 g
CaCl ₂ (aq)	2	1.6 ml of 1.25 M stock solution
MgCl ₂ (aq)	2	1.5 ml of 1.3 M stock solution

3020

3021 **Oregon Green Bapta-1 injections for acute**
 3022 **imaging**

3023

3024 To prepare Oregon Green Bapta-1 (OGB-1) dye for microinjections, we
3025 first dissolve a 50 µg tube of OGB-1 in 5 µl of Pluronic Acid, and vortex
3026 the mix for 5 minutes. Separately, we dilute 20 µl of Phenol Red into
3027 500 µl of cortex buffer, and transfer 45 µl of this solution to the OGB-1
3028 mix. Next, we sonicate the 50 µl solution for 20 mins., followed by
3029 centrifugation at 10000 RPM for 5 seconds. The remaining supernatant
3030 is split into 7 aliquots (7 µl), and stored at -20 C for a maximum of one
3031 week (7 days).

3032

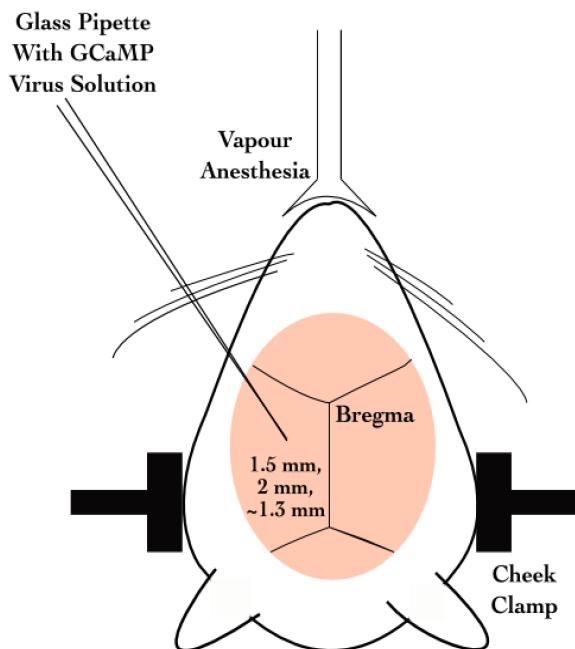
3033 For acute/single-day experiments, we injected OGB-1 using pulled,
3034 dye loaded micropipettes (~2 MΩ resistance, ~2 µm diameter) at a
3035 depth of 100-150 µm (Figure 23) from the topmost layer of exposed
3036 tissue, till a slow but detectable pulse of dye (visualized as a red/pink
3037 solution) may be visible just below the tissue surface. This allows the
3038 dye to be soaked up by the basal dendrites of the CA1 and takes 30-
3039 60 mins for incorporation into the cytoplasm. We typically allow the
3040 animal 1-2 hours of respite before the subsequent imaging session.
3041 High pressure ejection of the dye into the tissue may damage the
3042 neuropil, while very low pressures or clogs in the pipette affect the
3043 spread of the dye across the tissue. We aimed to image ~100 x 100
3044 µm² of the tissue in any particular ROI, and achieved this with 5 minute
3045 injections with each micropipette aiming to load the dye at 2-3, well
3046 separated positions spread across the entire exposed dorsal surface.
3047 We estimated that the dye volume was <1000 nl/injection. After the
3048 injection cycle with any micropipette, we left the tissue undisturbed for
3049 at least 5-10 mins before pulling the micropipette out of the tissue.
3050 Once all the injections were complete, the exposure was sealed using
3051 5% low gelling agarose making sure the temperature was cool enough
3052 to avoid heat-related tissue damage.

3053
3054 OGB-1 is eventually cleared from the cytoplasm but allows for a limited
3055 window for imaging studies (Stosiek et al., 2003). Reopening the
3056 agarose seal and re-injections were never attempted to prevent
3057 unnecessary damage to the underlying tissue. Additionally, the
3058 agarose plug itself was found to be unstable beyond 1-3 days. This
3059 resulted in the imaging possibility being limited to the same day as the
3060 surgery (acute imaging).

3061

3062 **GCaMP and chronic imaging**

3063
3064 For chronic/multi-day experiments, we standardized a stereotaxic viral
3065 injection step, where we inject the gene for GCaMP5 or GCaMP6f into
3066 the dorsal hippocampus at -1.5 mm lateral, 2 mm posterior, and 1.3
3067 mm dorsal from bregma on the skull surface (Figure 24).



3080

Figure 24: Figure 24: Schematic representation for stereotaxic viral injection.

3083

3084 Later on, we switched to directly using GCaMP6f transgenic mice
3085 (background: C56BL/6) which express GCaMP6f in the Hippocampus
3086 [Tg(Thy1-
3087 GCaMP6f)GP5.17Dkim JAX stock #025393]. This helped us
3088 circumvent the dye loading or viral injection steps, aiding in the
3089 potential success of the preparations, by way of tissue health and
3090 recording quality.

3091

3092 **Results - Imaging**

3093

3094 **2-Photon calcium imaging of hippocampal CA1, *in vivo***

3095

3096 The CA1 cell body layer is ~200 µm deep, in through the hippocampal
3097 surface. At these depths, scattering of excitation as well as emission
3098 light is significant. However, we are able to image at these depths with
3099 Two-Photon Imaging LASER Scanning Imaging (810 nm for OGB-1
3100 and 910 nm for GCaMP5/GCaMP6f), where a high intensity pulsed
3101 LASER allows for two photons to near instantaneously excite
3102 fluorophores in a thin z-slice plane which is the focal plane of the
3103 Objective. Our LASER, the Coherent Chameleon Ultra II emits ~3 W at
3104 810 nm, and ~2 W at 910 nm. At these depths, there is scattering of
3105 emitted photons. However, since only the focal plane is excited any
3106 and all emitted photons that we capture are part of the signal. We use
3107 a Nikon 16x water immersion, 0.8 NA, 3 mm working distance
3108 Objective (N16XLWD-PF), to get a large field of view.

3109

3110 **Acute Imaging of OGB-1 loaded hippocampal CA1, *in*
3111 *vivo***

3112

3113 We injected OGB-1 dye into brain tissue for our acute imaging
3114 experiments (see Methodology for details). OGB-1 spreads throughout
3115 the cytoplasm and neuropil, and infiltrates the cell nucleus, giving the
3116 cells the appearance of solid circles (cells). The cell body (soma)

3117 ranges from 10-15 μm depending on the orientation of the imaging
3118 layer in 3D tissue space (Figure 25A).
3119

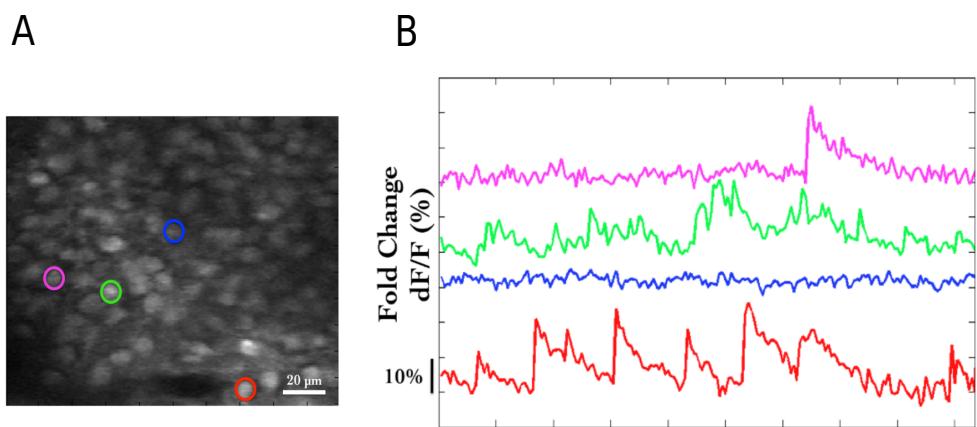


Figure 25: (A) Representative Two-Photon Calcium Image of a plane of Hippocampal CA1 cells, bolus loaded with Oregon Green Bapta-1 (OGB-1), at an instant in time. Example cells marked out post-hoc as pink, green, blue and red. Scale bar 20 μm . (B) Representative dF/F (%) traces for the calcium activity. Recorded in a single 10s video for example cells – pink, green, blue, and red. Scale bar 1 sec; 10% dF/F .

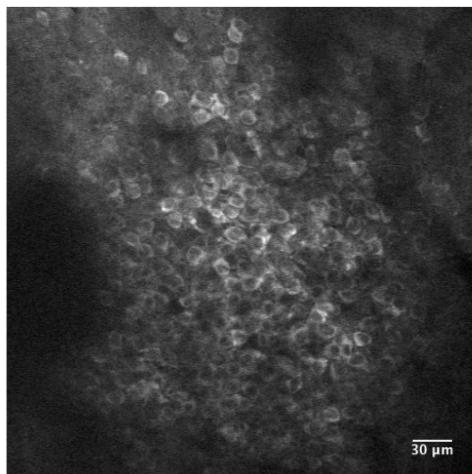
3120 Each cell in the recorded region of interest (ROI), is identified, marked
3121 out (in pixel identity), based on local activity of correlated pixels in the
3122 time series movies. The average intensity of the pixels corresponding
3123 to each cell for each frame in each recording video, is saved as the
3124 raw calcium fluorescence trace. Next, these raw calcium traces are
3125 baseline normalized to equate the baselines for each cell at 0, and
3126 describe the dynamic range of the intensity values as 0 to 1, or 1 to
3127 100%. The corresponding time series of baseline normalized dF/F for
3128 the representative example cells are shown (Figure 25B; Figure 28B).
3129

3130 **Chronic imaging of hippocampal CA1 using GCaMP**

3131

3132 For chronic imaging, tissue health was of paramount concern since it
3133 could easily degrade in time (Figure 26). With practice and
3134 standardization, we were able to get the preparations to survive for 2-4
3135 weeks at very good signal-to-noise. Preparations that resulted in very
3136 poor signal-to-noise were often recorded but have been filtered out of
3137 the data showcased in this thesis. While preparations can sometimes
3138 last even months, typically it is crucial to consider if the ROI for
3139 recording could provide >20 cells, to continue the experiment.
3140 GCaMP is typically designed to be cytosolic and does not typically
3141 cross into the cell nucleus. GCaMP labeled cell bodies appear as
3142 doughnuts in the imaging slice (Figure 26A; Figure 27).

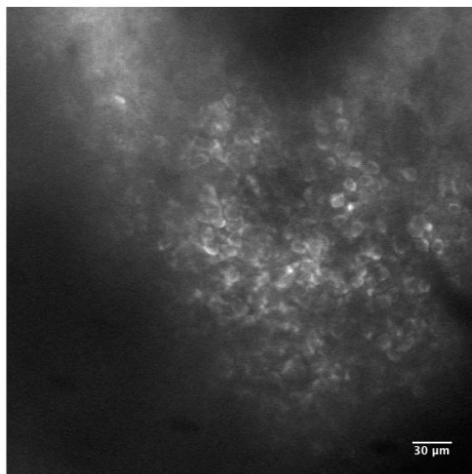
A



DAY 1

Laser Power (at 890 nm): ~50-60 mW
Supply Voltage to PMT: 13.5 V
Control Voltage to PMT: 0.5 V
Preamplifier Sensitivity: 200 nA/V

B



DAY 3

Laser Power (at 890 nm): ~150 mW (!!)
Supply Voltage to PMT: 12-13 V
Control Voltage to PMT: 0.5 V
Preamplifier Sensitivity: 200 nA/V

Figure 26: Tissue Degradation over time. Representative Two-Photon Calcium images of a snapshot in time of the Hippocampal CA1 cell body layer on (A) Day 1 after the surgery and (B) Day 3, after the surgery. Scale bar 30 μm.

3143

3144 Recordings with very good signal-to-noise, where the same chronically
3145 labeled CA1 cells could be anatomically identified on subsequent days
3146 even >2-3 weeks post surgery (Figure 27) were eventually acquired,
3147 and are featured in the data presented in Chapter 4.

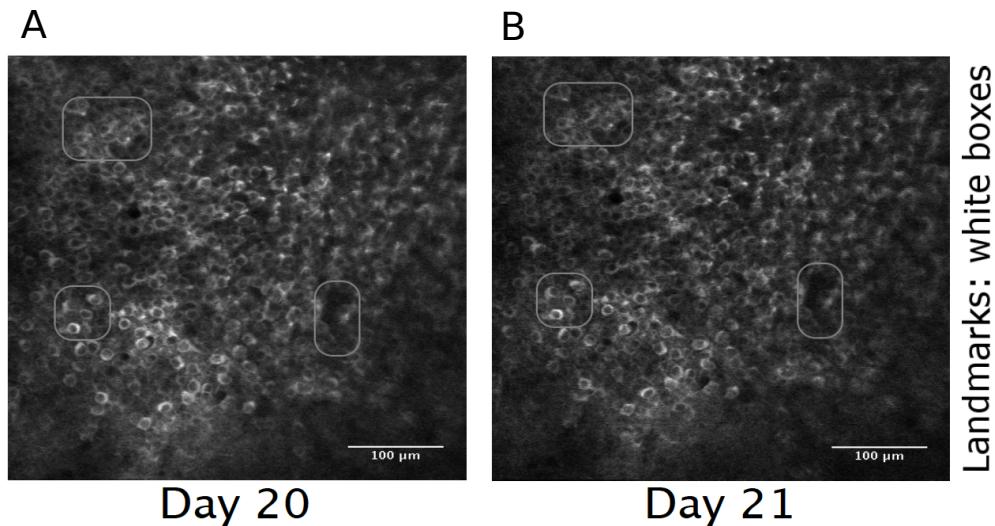


Figure 27: Chronic calcium imaging of the same Hippocampal CA1 cells over weeks. Representative 2-Photon images from (A) Day 20, and (B) Day 21, after surgery. Landmarks have been marked and were used to confirm frame registration and identify cells. Scale bar 100 μm .

3148

3149 The magnification and resolution of the field of view are important
 3150 parameters to consider when balancing magnification for the resolution
 3151 and the maximization of the number of cells being simultaneously
 3152 recorded from (Figure 25A; Figure 28A).

3153

3154 While recording at high frame rates for live imaging, we captured a
 3155 relatively large number of cells (~100) in time-series imaging frames, at
 3156 frame rates of around 10-15 frames per second (FPS). Subsequently,
 3157 we subjected the animal to various stimuli across trials and saved
 3158 these images for analysis.

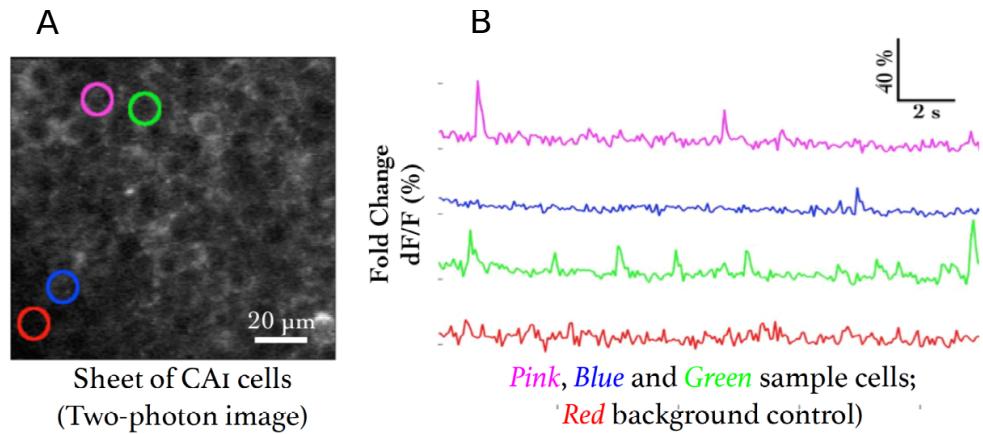


Figure 28: (A) Representative Two-Photon Calcium Image of a plane of Hippocampal CA1 cells expressing GCaMP6f at an instant in time. Example cells marked out post-hoc in pink, blue, and green, with no-cell background in red. Scale bar 20 μ m. (B) Representative dF/F (%) traces for the calcium activity recorded in a single 10s video for example cells – pink, blue, and green, with no-cell background in red. Scale bar 2 sec; 10% dF/F.

3159

3160 Spontaneous activity during non-stimulus periods

3161

3162 We recorded the calcium activity from a large number of hippocampal
 3163 CA1 cell bodies, while presenting various neutral and conditioning
 3164 stimuli, including fairly large periods of time before and after stimulus
 3165 presentation. Activity of cells, typically observed *in vivo*, in these
 3166 periods is termed spontaneous activity. Cells may showcase variable
 3167 rate (number of calcium events per sec) and timing. Given proper
 3168 signal isolation for identified cells in an ROI, each source or “cell” may
 3169 be considered independent, *i.e.* - there is minimized cross talk between
 3170 the fluorescence emitted by each cell body.

3171

3172 **Spatial organization of activity correlated cells during**
3173 **spontaneous activity**

3174

3175 As part of our Acute Imaging experiments using OGB-1, we studied the
3176 Pearson's Correlation Coefficient for the activity traces across all cell
3177 pairs, during bouts of spontaneous activity, viz., all frames from the
3178 beginning of the trial till the presentation of the stimulus, across all
3179 trials. Cell pairs showcased a range of correlation coefficients (Figure
3180 29) and we were able to cluster cells based on activity using Meta-K
3181 Means (unpublished data from Dhawale, 2013; Modi et al., 2014). We
3182 set the initial seed to $k = 3$, with 1000 bootstrap iterations (see Modi et
3183 al., 2014 for sensitivity analysis), distance as specified by pair-wise
3184 correlation coefficient (Figure 29A; Figure 31A; Figure 32A), and a
3185 threshold of 80% to bundle meta-groups.

3186

3187 Correlations were calculated for all frames post the whisker-puff
3188 (stimulus), which corresponds to ~8 s of network activity. It is across
3189 this period that the clustering was performed.

3190

3191 The correlation analysis was performed to check for consistency
3192 across previous recordings in the lab (Modi et al., 2014; Ashesh
3193 Dhawale's Thesis). The idea here is to be able to provide proof-of-
3194 principle results to confirm if the technique was working. Additionally,
3195 although the results are from 1 animal, the session consists of 60 trials
3196 for each of the >100 cells recorded. This very preliminary result has
3197 been studied and described in detail, previously (Modi et al., 2014).

3198

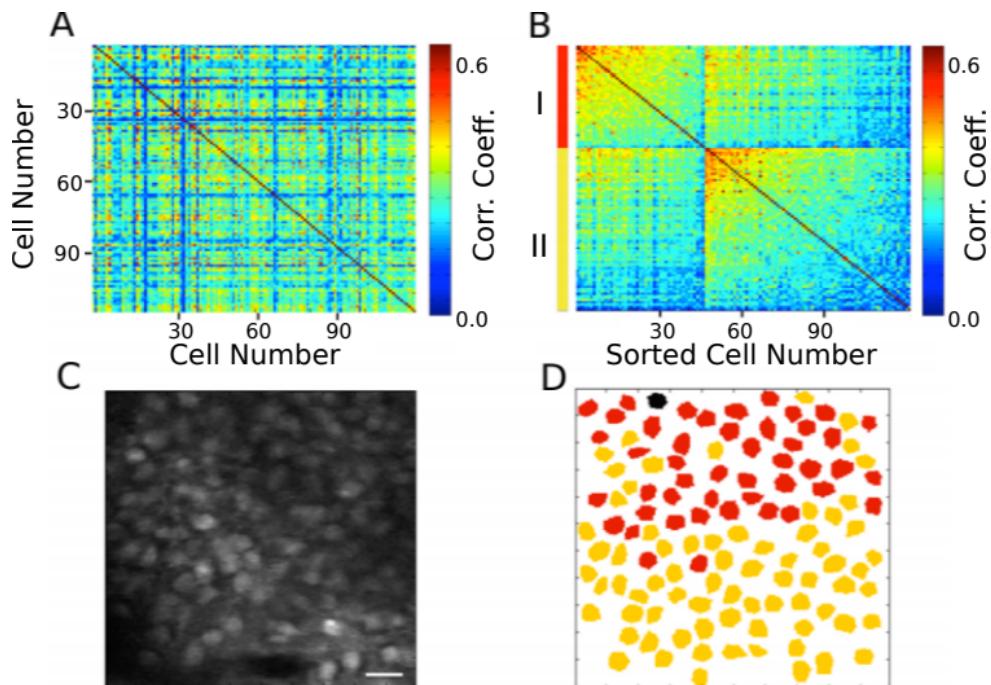


Figure 29: (A) Correlation Coefficients for cell pairs from an example two-photon recording. (B) Meta-K Means clustering with cell pairs using the heuristic that within group pairs would be more similar than between group pairs. In this example, the cells were clustered into two groups, I (red) and II (yellow). (C) Two-Photon image of the field of view of cells; same as Figure 25A. (D) Spatial organization of correlated cell pair groups during spontaneous activity (Modi et al., 2014).

3199 **Stimulus evoked responses**

3200

3201 We also recorded calcium activity from the same cells during
3202 presentation of various neutral stimuli to the animals. Here are the
3203 results of the auditory (tone) and somatosensory (whisker) stimulus
3204 experiments.

3205

3206 A neutral auditory tone at 5000 Hz (for 1 sec) was presented to the
 3207 animals N= 6 animals; 25 trials). We observed no clear signs of cell
 3208 activity modulation by neutral tones. Below, we show an example
 3209 animal with trial-averaged calcium traces as dF/F (%), across all
 3210 recorded cells with a 1 sec tone presentation (Figure 30A). We also
 3211 presented animals to whisker stimulation by playing a 1s long air-puff
 3212 (5 LPM) to the ipsilateral (left) whisker (N = 5 animals; 25 trials). In this
 3213 case, we observed whisker-stimulation based cell activity modulation.
 3214 Below, we show the trial-averaged calcium traces as dF/F (%) of the
 3215 same example animal as above, presented with a 1 sec whisker-puff
 3216 (Figure 30B).

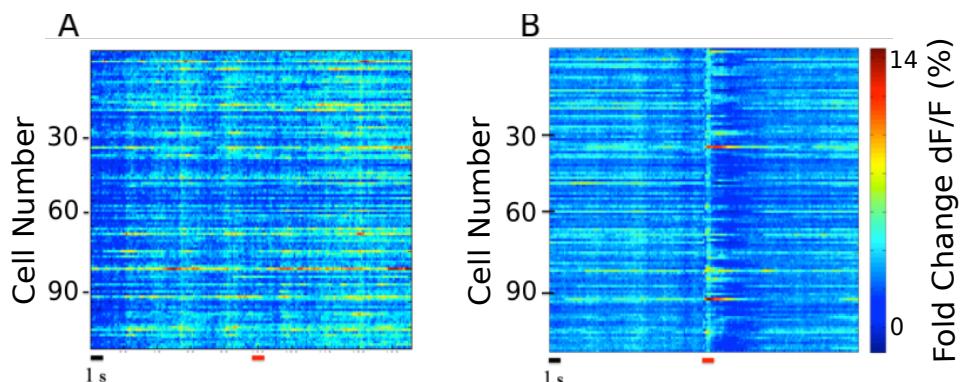


Figure 30: (A) Trial-averaged (25 trials) calcium activity from ~100 CA1 cells in trials where a 5000 Hz tone was presented (red bar). (B) Trial-averaged (18 trials) calcium activity from the same cells in trials where a 5 LPM air-puff to the ipsilateral whiskers was presented (red bar). Scale bar 1 sec (black bar).

3217
 3218 The damage inflicted to the somatosensory cortex was only on one
 3219 hemisphere. We presented somatosensory cortex to the ipsilateral
 3220 whiskers, information that is expected to be processed on the
 3221 contralateral hemisphere. In any case, we observed responses at the
 3222 CA1 timed to the presentation of the whisker-puff, unlike trials with
 3223 tone. We describe tuning modulations across days for the chronically

3224 tracked time cells in the last section of Chapter 3 – “Imaging”. It is very
3225 difficult to isolate and therefore comment on the effect of tissue
3226 recovery, since we did not directly measure this variable.

3227 **Spatial organization of activity correlated cells post whisker-puff
3228 stimulation**

3229

3230 We attempted the same clustering analysis using Meta-K-Means on
3231 the activity profiles of all the cells post presentation of the whisker-puff
3232 till the end of the trial, across all trials (~8 s). These functionally
3233 correlated cell pair groups were found distributed across the imaging
3234 plane with no clear sign of spatial clustering (Figure 31).

3235 Comparing Figure 29D and Figure 31C, we observe that the whisker-
3236 puff stimulation results in a change in the spatial map of correlated
3237 activity, in the same network of cells.

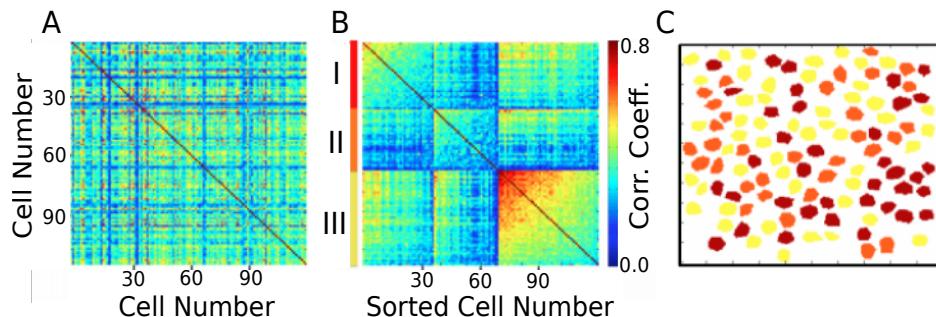


Figure 31: (A) Correlation Coefficients for cell pairs from post whisker-puff stimulation periods. (B) Meta-K Means clustering with cell pairs using the heuristic that within group pairs would be more similar than between group pairs. In this example, the cells were clustered into three groups I (red), II (orange), and III (yellow). (D) No clear spatial organization of correlated cell pair groups post whisker stimulation.

3238

3239 We did not have clear values for the exact duration of the persistence
3240 of the *in vivo*, hippocampal network state post US. This is actually a
3241 very interesting potential experiment, but we did not sub-divide the
3242 post-US period for this analysis. At the time, we only wished to see if
3243 our results were consistent with those previously published (Modi et
3244 al., 2014; Ashesh Dhawale's Thesis), as proof-of-principle for
3245 technique standardization.

3246

3247 As a control, we shuffled the trial time points for each cell
3248 pseudorandomly, to artificially break activity correlations. When we
3249 attempted the Meta-K-Means clustering on this surrogate dataset, we
3250 did not observe functional clustering (Figure 32).

3251

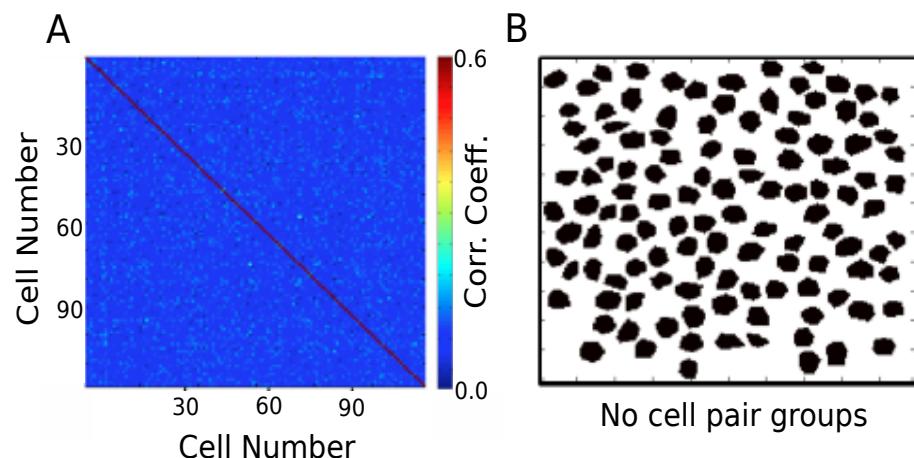


Figure 32: (A) Correlation Coefficients for cell pairs from a trial-shuffle control dataset (B) Meta-K Means clustering revealed that there were no cell pair groups identified.

3255 **Chronic imaging now possible for weeks with the same**
3256 **mouse**

3257

3258 The need for multi-day tracking was mandated for recordings through
3259 behavioural training, since the animals typically only learn Trace Eye-
3260 Blink Conditioning (TEC; Chapter 2) over the course of 3-7 days
3261 (Siegel et al., 2015). A different experiment design would have been to
3262 train animals and then perform the hippocampal preparation to record
3263 CA1 neural activity while the animal(s) exhibit learnt Conditioned
3264 Responses (CRs). However, we argued against this experimental
3265 design, on account of the following.

3266 1. The actual cellular and network mechanisms that allow for the
3267 animal to learn the behavioural task would be very difficult to study
3268 given that the learning period would have passed.

3269 2. The success rate of the hippocampal preparation is typically very
3270 low (estimated at ~33-50% based on the last 200 attempts), given
3271 potential sources of failures such as tissue decay, bleeding into the
3272 imaging window from damaged parts of the hippocampus, implant
3273 instability especially with stressed or unsettled experiment animals,
3274 and photobleaching from the 2-P excitation LASER over multiple
3275 imaging sessions. TEC is typically learnt by >50% animals (Modi et al.,
3276 2014; Siegel et al., 2015). We had argued for exposing the
3277 hippocampus for imaging before behavioural training since any
3278 successful preparations could then be subjected to the relatively more
3279 consistent behavioural training.

3280 3. Having the preparation performed before training minimizes the
3281 number of times the animal would be subjected to surgery (to just the
3282 once), improving chances of animal health through the experiment.

3283

3284 Next, we discuss some preliminary results from the chronic imaging
3285 datasets. A non-overlapping set of results that feature in “Chapter 4 -
3286 Analysis” of this thesis, have been skipped here for brevity.

3287

3288 **Preliminary analysis to identify time cells**

3289

3290 The analysis algorithm pertaining only to the results presented here in
3291 “Chapter 3 - Imaging”, was adapted from William Mau’s Temporal
3292 Information method (Mau et al., 2018; Chapter 4 – “Analysis”). This
3293 version of the algorithm is expected to be subject to some degree of
3294 Type I (false positives) and Type II (false negative) errors.

3295 Subsequently, the algorithm was developed to the extent of the
3296 Python/C++ implementation featured in “Chapter 4 – Analysis”, with
3297 much superior prediction performance.

3298

3299 1. We applied a filter to select for cells that had activity in >25% of
3300 trials (irrespective of tuning)
3301 2. We then develop Peri-stimulus Time Histograms (PSTH), using
3302 Area Under the Curve for a binsize of 3 frames, centering the “0
3303 ms” to the onset of the Conditioned Stimulus for visualization.
3304 3. Next, we estimate Temporal Information (TI), using

$$3305 \quad TI = 1/\lambda * \sum_j \lambda_j \log_2 (\lambda_j / \lambda) P_j$$

3306 where,

3307 λ : Average Transient rate for each cell

3308 λ_j : Average transient rate for each cell in bin “j”

3309 P_j : Probability that the mouse was in time bin “j”

3310 For every trial, we also random shuffled the frame points to develop a
3311 random activity model (1000 times) and ensure that $\lambda > \lambda_{\text{rand}}$ in more
3312 than 99% of the models. Filtering for cells active in >25% trials with a
3313 $\lambda > \lambda_{\text{rand}}$ in >99% shuffles along with the estimation of TI, provided us a
3314 handle on reliability.

3315

3316 We define time cells as cells with a higher probability of eliciting
3317 activation (tuning fields) to specific temporal landmarks across trials,
3318 rather than uniformly over the whole trial. For the results described in
3319 this chapter (Chapter 3 – “Imaging”), our temporal information
3320 calculation was used as a functional definition for time cells.

3321

3322 **Time Cells**

3323

3324 During the experience of temporally organized events or stimuli, in this
3325 case post training to Trace Eye-Blink Conditioning, a rough contingent
3326 of ~20% of the total cells recorded, were observed to showcase time-
3327 locked calcium activity mapping the Blue LED or Conditioned Stimulus
3328 (CS) to the air-puff or Unconditioned Stimulus (US). These cells were
3329 classified as time cells. Here are some example time cells (Figure 33).

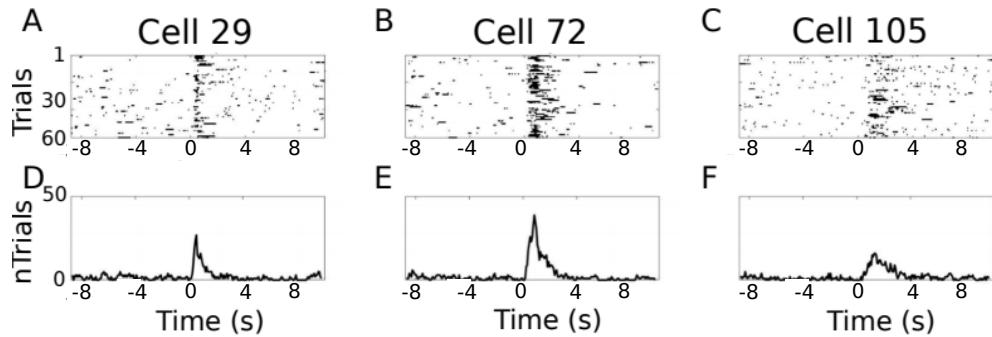


Figure 33: Example Time Cells visualized as event raster plots (A, B, C), along with the trial-summed activity profiles (D, E, F) suggesting ~50% hit trials, for cells 29 and 72 from Session 1 (session type 5) with mouse M26, and slightly lower reliability (~25% hit trials) from cell 105. **The Conditioned Stimulus (CS; blue LED) is presented at time 0 ms.**

3330

3331

3332 Other Cells

3333

3334 On the other hand, most cells did not clear our analysis algorithm

3335 checkpoints and were classified as other cells. Here are some example

3336 Other Cells (Figure 34) from the same session with mouse M26

3337 (Session 1; session type 5).

3338

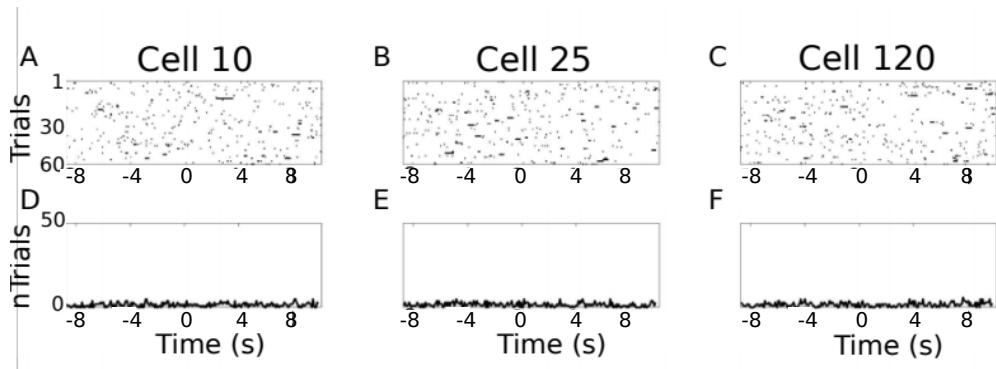


Figure 34: Example Other Cells visualized as event raster plots (A, B, C), along with the trial-summed activity profiles (D, E, F) for cells 10, 25, and 120 from Session 1 (session type 5) with mouse M26. **The Conditioned Stimulus (CS; blue LED) is presented at time 0 ms.**

3339

3340 Considering only the classified time cells, we sorted cells based on the
 3341 time of the peak of the trial-average activity and a spatiotemporal
 3342 sequence was visualized (Figure 35; also see “Chapter 4 - Analysis
 3343 Figure 7H”). **Peri-stimulus time histograms (PSTH) or event time**
 3344 **histograms (ETH)** were developed by summing the number of
 3345 threshold crossing activity events per bin (bin size = 3 frames/bin or
 3346 ~200 ms/bin at 14.5 Hz) across trials. Different cells showcase different
 3347 widths for ETH or tuning curves (Figure 35A; Figure 35B).

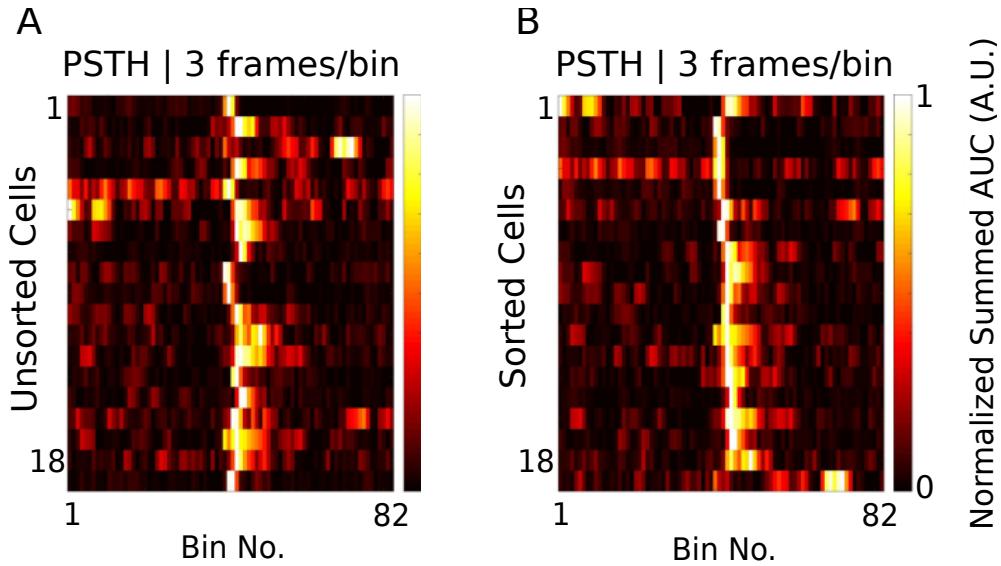


Figure 35: Event Time Histograms or tuning curves for Identified Time-Locked Cells with trial-summed peaks in session 4 of training (session type 5) with mouse M26. (A) Unsorted list of Time cells. (B) Peak-sorted list of the same cells. Here, 1 bin = 3 frames = ~210 ms (sampling rate 14.5 Hz without binning; ~5 Hz with binning). As per the (legacy) session type 5 protocol, the CS (50 ms Blue LED flash) was presented in frame bin 39, followed by a stimulus-free trace interval during frame bins 40 and 41, and then the US (50 ms airpuff to ipsilateral eye) was presented in frame bin 42.

3348

3349

3350 We did not observe any obvious trend in the temporal information of
3351 time cells with peak times. For the same cells (as in Figure 35), we
3352 now look at the actual Temporal Information estimates plotted against
3353 sorted time cells (Figure 36).

3354

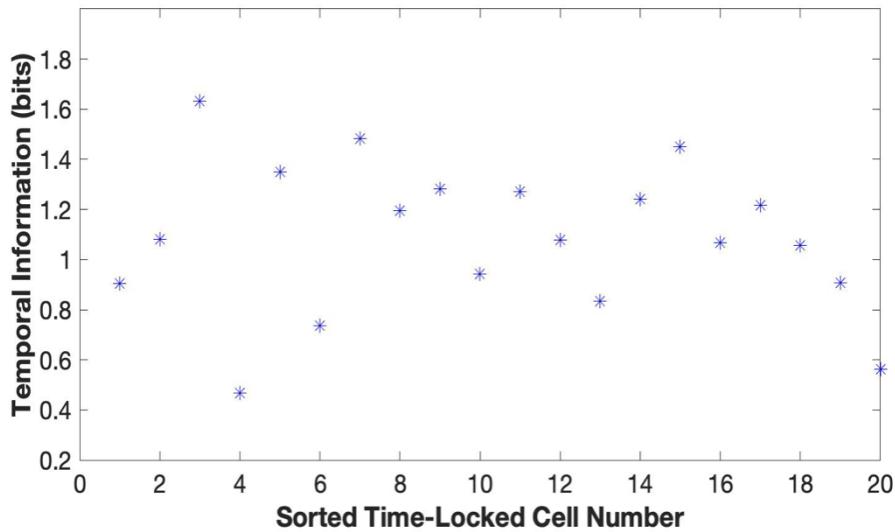


Figure 36: Temporal Information (bits) vs Sorted time cell number from the analytically identified Time Cells in Session 4 (session type 5) with mouse M26. No clear trend was observed.

3371 It has been observed in time cell literature as well as in our real
3372 physiology recordings that time cells with tuning to later time points in a
3373 trial, tend to have wider tuning curves (B. J. Kraus et al., 2015;
3374 MacDonald et al., 2011, 2013; Mau et al., 2018; Pastalkova et al.,
3375 2008). This is why no obvious trend in a graph of Temporal Information
3376 vs time of peak sorted time cells, seemed curious enough to mention in
3377 the thesis. However, while we report the observation, we made no
3378 attempts to delineate further detail, given a limited number of
3379 observations. This legacy adaptation of the temporal information
3380 calculation had not been benchmarked and thus the result was not
3381 certain, at the time. Subsequently, we have observed similar results in
3382 other lab colleague's recordings, and avoided further discussion, with
3383 regard to the scope of this thesis.

3384 **Tuning, re-tuning, and de-tuning of time cells across**
3385 **sessions**

3386
3387 A crucial advantage of the chronic preparation was that many
3388 anatomically aligned and classified cells (as cell ROIs), could be
3389 recorded from over several days and sessions, to look for possible
3390 changes in calcium activity profiles across sessions in the same set of
3391 cells.

3392
3393 We noticed some evidence for an expansion of the set of identified
3394 time cells with sessions, up to a reliable pool of ~20% time cells.
3395 Altogether, from the pool of chronically aligned cells (across sessions),
3396 there was an increase from 7.7% to 23.1% of time cells. Considering
3397 the full cohort cells (irrespective of tracking across multiple training
3398 sessions) the increase was from 7.2% to 21.1% time cells. Here are

3399 the classified time cells between two independent recording sessions,
3400 early in training (Figure 37).

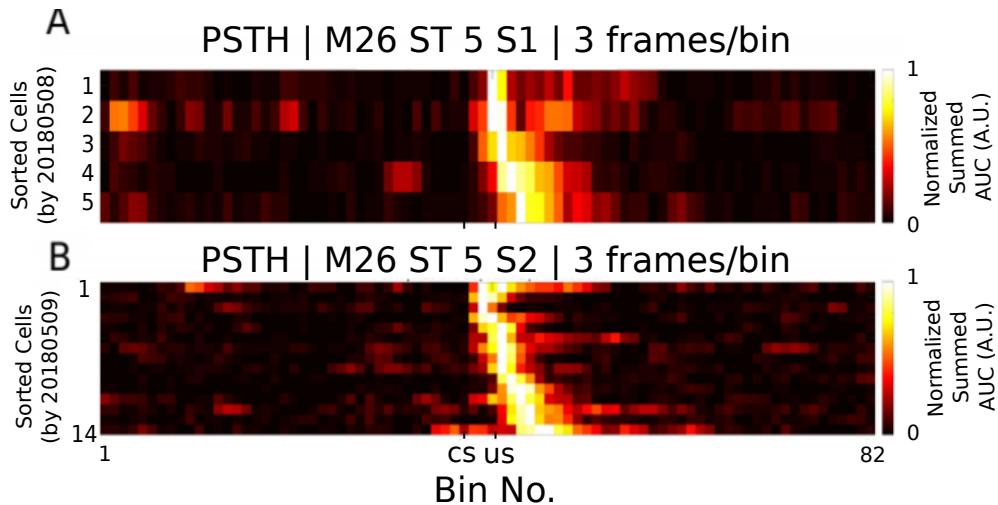


Figure 37: More time cells observed to attain tuning as training sessions. Event Time Histograms of the identified set of time cells between A) Sessions 1 and (B) Session 2, with mouse M26 (session type 5).

3401
3402 The same may also be visualized as trial-averaged calcium activity
3403 profiles for all recorded cells across independent recording sessions
3404 (Figure 38).

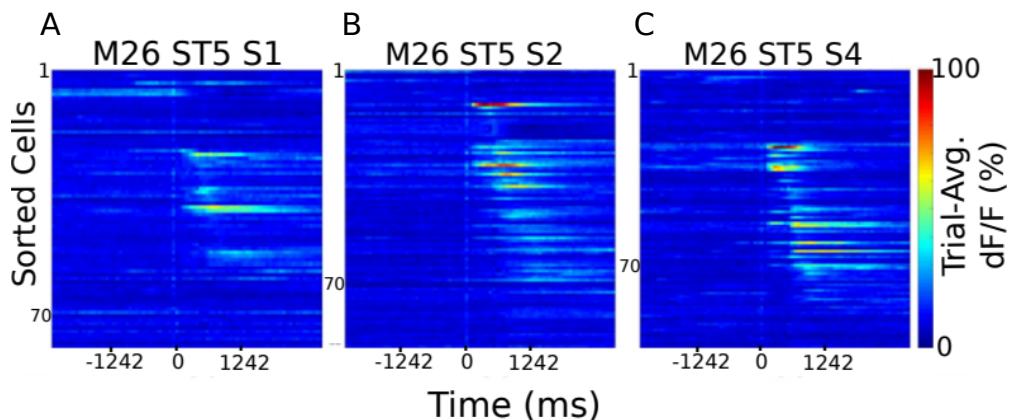


Figure 38: Sorted Time Cells form Sequences that develop with learning. Trial-Averaged calcium activity traces for all recorded cells across A) Session 1, B) Session 2, and C) Session 4, with mouse M26 (sessiontype 5). Time 0 ms was aligned to the CS. The CS + Trace + US interval lasted from 0-600 ms, here.

3405

3406 Chronically tracked time cells that showed reliable tuning across
 3407 sessions were then compared to look for any shifts in the peak tuning
 3408 bin. We observed examples of cells that maintained their tuning across
 3409 pairs of sessions (Figure 39).

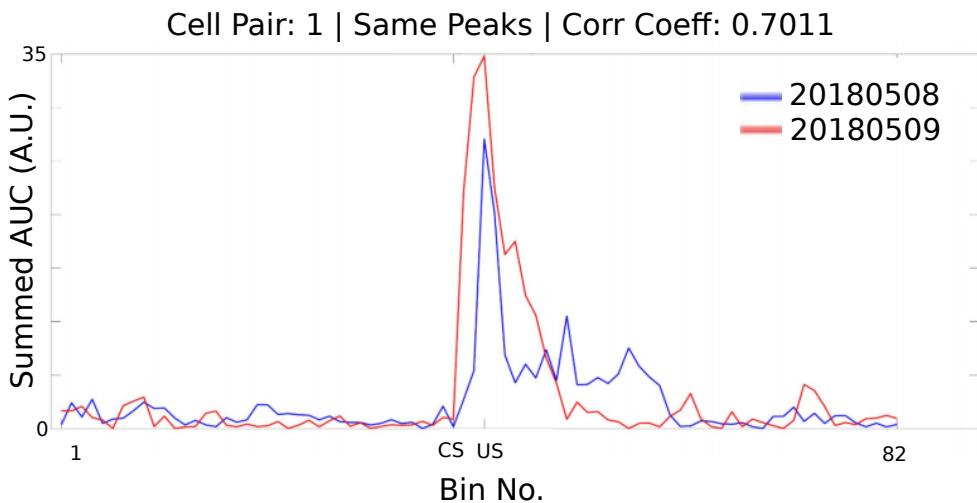


Figure 39: Same/similar tuning across session 1 and session 2 for this example time cell. Blue Event Time Histograms represent the tuning curve for the cell for session 1 (date: 20180508) and Red represent the same but for session 2 (date: 20180509). Pearson's correlation coefficient between the two session pairs was 0.7011.

3410

3411 Here are examples wherein the tuning curve peaks shift to earlier time
 3412 points, across sessions (Figure 40) for Mouse M26, session type 5,
 3413 session 1 vs 2.

3414

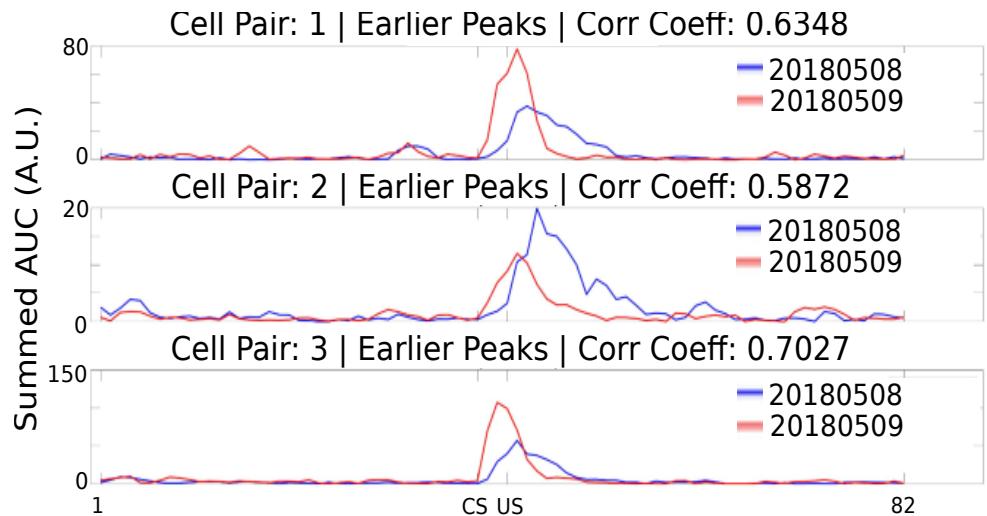


Figure 40: Three example chronically tracked cell pairs with tuning peaks arriving earlier with training sessions (rows). Blue Event Time Histograms represent the tuning curve for any cell for session 1 (date: 20180508) and Red represent the same but for session 2 (date: 20180509). Pearson's correlation coefficient between the session pairs was 0.6.348, 0.5872 and 0.7027 for A) cell pair 1, B) cell pair 2, and C) cell pair3, respectively.

3416 Here is an example of a cell showcasing de-tuning for the CS-US
3417 interval, across sessions (Figure 41), potentially with a new, delayed
3418 peak almost 100 frames later.

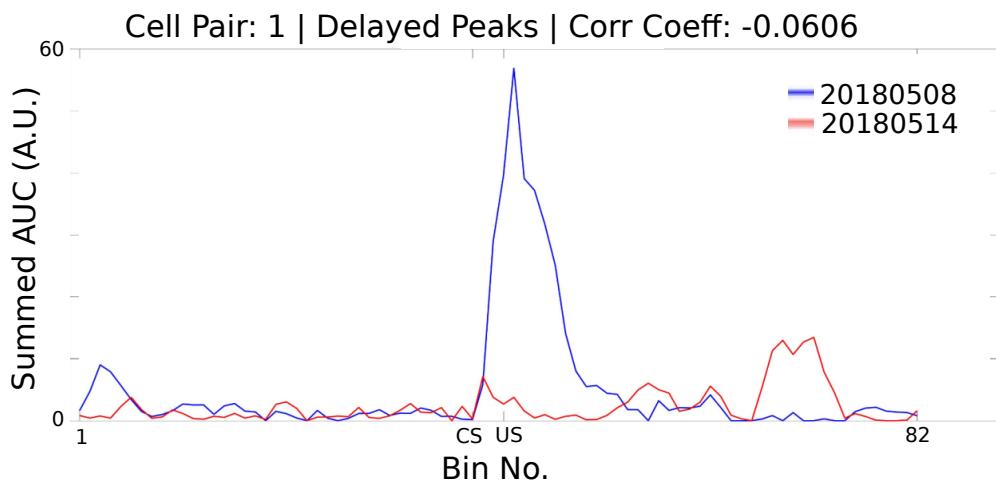


Figure 41: Example chronically tracked cell pair with de-tuning between session 1 and 4. Blue Event Time Histograms represent the tuning curve for the example cell for session 1 (date: 20180508) and Red represent the same but for session 4 (date: 20180514). Pearson's correlation coefficient between the two curves was -0.0606.

3419
3420 A full summary of the correlation based peak timing analysis for
3421 chronically identified time cells with mouse M26 is shown (Figure 42).
3422 Across all same cell pairs (~60% of all session-wise cells tracked; ~80
3423 cells), there was positive correlation (>0.2) in 71% of Time Cells
3424 (Figure 42A). Typically, we observed only at best a correlation
3425 coefficient of ~0.6-0.8 across cell pairs (see Figures 29 and 31). We
3426 chose 0.2 as the threshold, accordingly.
3427
3428 Also a comparison of the tuning curve peaks between the same time
3429 cell pairs (Figure 42B) revealed that a majority of the re-tuned peaks
3430 occurred earlier in time, going across sessions (71%), with an equal

3431 proportion of cells without much re-tuning (14%) or de-tuning to later
3432 time points (14%).

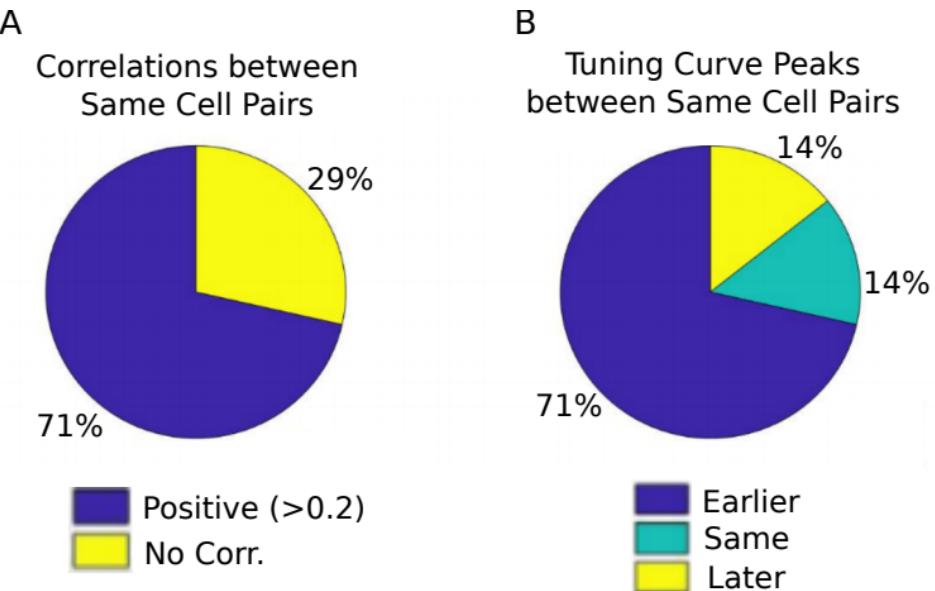


Figure 42: Comparing chronically tracked time cells across sessions for all matched cell pairs by (A) Correlation Analysis, and (B) Timing of peaks.

3433

3434 A summary of the key preliminary results observed using real
3435 physiology data is as follows.

3436 1. Time cell tuning curve peaks typically began only after the
3437 presentation of the CS.

3438 2. The width of the tuning curve peaks for time cells increased with
3439 tuning to later frame bins. This was consistent with the recordings
3440 presented in literature under physiological conditions (B. Kraus et al.,
3441 2013; B. J. Kraus et al., 2015; MacDonald et al., 2011, 2013; Mau et
3442 al., 2018; Pastalkova et al., 2008).

3443 3. Pairwise time cell tuning curves for different time cells may have
3444 some overlap in timing, but peaks were observed in all frame bins
3445 between the CS and the US. This particular observation is confounded

3446 by the short number of Trace period frames recorded and the
3447 requirement to consider 3 recording frames to every bin (Mau et al.,
3448 2018), decreasing the effective sampling rate even further (14.5 Hz
3449 without binning, to ~5 Hz with binning). However, the observation is still
3450 consistent with previous literature (B. Kraus et al., 2013; B. J. Kraus et
3451 al., 2015; MacDonald et al., 2011, 2013; Mau et al., 2018; Modi et al.,
3452 2014; Pastalkova et al., 2008).

3453 4. A surprisingly large number of time cells could be identified with
3454 tuning peaks for frame bins occurring after the termination of the US.
3455 Single cell measurements tend to define responses up to 200-1000 ms
3456 post US. Our own correlation-based clustering analysis was across the
3457 whole post US phase (vs pre-stim phase), which corresponded to ~8s.
3458 It was surprising to us, that such a wide window post-US period could
3459 still reveal distinctly different spatial clustering to a comparable ~8s
3460 window pre-CS.

3461 5. Considering all chronically tracked cells, the classified and sorted
3462 time cells formed sequences that were dynamic across learning
3463 sessions. Many time cells developed tuning curves with sessions while
3464 some time cells lost their tuning.

3465 6. For the majority of time cells, re-tuning occurred with initial tuning to
3466 the timing of the US in earlier sessions, followed by a shift to earlier
3467 time points for the tuning peak, as training progressed through
3468 sessions.

3469
3470 Our preliminary imaging and behaviour results describe neuronal
3471 sequence activations based on the emergence or re-tuning dynamics
3472 of temporal tuning by time cells, during early phases of behavioural
3473 acquisition, in a chronically tracked fashion. Future directions to be
3474 explored in the lab include studying the reliability of a larger pool of

3475 chronically tracked cells with switches in the inter-stimulus interval (ISI)
3476 between the CS and the US as well as with a larger palette of different
3477 stimuli testing out a battery of Conditioned Stimuli (CS1, CS2, etc.) and
3478 Unconditioned Stimuli (US1, US2, etc.). The goal is to understand how
3479 well the internal neural spatiotemporal CA1 sequence maps to the
3480 external behavioural protocol parameters, *in vivo*.

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3602

3603 **Chapter 4 – Analysis**

3604

3605

3606 Our efforts to identify the best use cases for the various implemented
3607 time cell analysis algorithms on the basis of a testbed of user-defined,
3608 categorically labeled synthetic data with known ground truth (Project
3609 III), have been consolidated into a publication. The early access
3610 version of our paper (along with supplementary figures) has been
3611 attached.

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