

1 **Development of a multi-disciplinary**
2 **toolkit to study time cells in the**
3 **hippocampus**
4

5 A Thesis
6

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8

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15
16
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21

DECLARATION

22

23 This thesis is a presentation of my original research work.
24 Wherever contributions of others are involved, every effort is
25 made to indicate this clearly, with due reference to the
26 literature, and acknowledgment of collaborative research
27 and discussions.

28

29 The work was done under the guidance of Professor Upinder
30 S. Bhalla, at the Tata Institute of Fundamental Research,
31 Mumbai.

32

33

34 **[candidate's name and signature]**

35

36 In my capacity as supervisor of the candidate's thesis, I
37 certify that the above statements are true to the best of my
38 knowledge.

39

40

41 **[guide's name and signature]**

42 Date:

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81 **Abstract**

82

83 The mammalian Hippocampus is considered important for the
84 formation of several kinds of memory, one of which is the association
85 between stimuli occurring separately in time. Several studies have
86 shown that small populations of Hippocampal CA1 cells fire in time-
87 locked sequences, "bridging" the time gap in temporal tasks (B. Kraus
88 et al., 2013; MacDonald et al., 2011, 2013; Pastalkova et al., 2008),
89 including a single-session version of Trace Eye-Blink Conditioning or
90 TEC (Modi et al., 2014). Such cells are commonly termed time cells
91 (Eichenbaum, 2017; MacDonald et al., 2011).

92 The main goal of the Thesis was to be able to study time cells under a
93 variety of behavioural tasks and conditions and elucidate several
94 physiological properties. We standardised a multi-day Trace Eye-Blink
95 Conditioning (TEC) protocol to train head-fixed C57Bl6 mice (Siegel et
96 al., 2015). TEC involves an association between a previously neutral
97 Conditioned Stimulus (CS) with an eye-blink inducing Unconditioned
98 Stimulus (US), across an intervening, stimulus-free, Trace Interval. We
99 were able to observe stable, adaptive learning with our protocol. We
100 also standardized an *in vivo* imaging preparation to record calcium
101 activity from Hippocampal CA1 cells, adapted from previously
102 published methods (Dombeck et al., 2010; Modi et al., 2014). We used
103 a custom-built two photon laser-scanning microscope and performed
104 galvo-scans through the imaging window, during TEC. The behaviour
105 and Imaging was conducted simultaneously to record calcium activity
106 as the animal learnt the task. Chronic Calcium Imaging allowed us to
107 track and record the activity of the same cells, confirmed

108 morphologically. We could then identify time cells across sessions, and
109 look for adaptations in tuning curves, along multiple sessions.
110 Furthermore, numerous approaches have been developed to analyse
111 time cells and neuronal activity sequences, but it is not clear if their
112 classifications match, nor how sensitive they are to various sources of
113 data variability. We provide two main contributions to address this: A
114 resource of synthetic 2P Calcium activity data, and a survey of several
115 methods for analyzing time cell data using our synthetic data as
116 ground truth. The synthetic dataset and its generation code are useful
117 for profiling future methods, testing analysis tool-chains, and as input
118 to computational and experimental models of sequence detection. We
119 characterized strengths and weaknesses of several time-cell analysis
120 methods. Finally, we benchmark how computational requirements
121 scale with large datasets typical of recent recording technologies.

122 Chapter 1 – Introduction

123

124 The vertebrate Central Nervous System (CNS), consisting primarily of
125 the central ganglia (brain) and the spinal cord, samples and receives
126 information from the external world offering top-down control over the
127 activity of all parts of the body. Functions like exploration, food
128 acquisition, and danger aversion, all involve complex coordination
129 between,
130 ● the Sensory Systems (that integrate information from the
131 environment),
132 ● the Memory Systems (that integrate sensory information with
133 prior experience), and
134 ● the Motor Systems (that integrate motor plans and execute
135 movement).

136

137 Projects and overall goals

138

139 The overall focus of the work and experiments described in this Thesis
140 was to study Memory Systems, specifically, in terms of,

141

142 **Project I:** How do sensory representations transform with
143 learning?

144

145 **Project II:** How does the timing of cellular activity adjust to
146 behavioural task variables?
147
148 **Project III:** What is the best way to detect and score time-tuned
149 cellular activity?
150
151 Narrowing down, we as a lab were interested in the mammalian
152 hippocampus, a brain structure which is important for consolidating
153 information (from Sensory and other Memory Systems) to enable
154 certain kinds of short-term memory and the translation of short-term
155 memory to long-term.
156

157 **Neural systems and behaviour**
158
159 Ramón y Cajal, one of the pioneers of neuroscience around 1900,
160 utilized Camillo Golgi's staining method to conclusively describe
161 neurons in the brain as independent functional units connected to each
162 other in intricate networks made up of many nodes ($\sim 10^6 - 10^9$). These
163 neurons have since been described not just anatomically, but also on
164 the basis of genetics, development, and neurophysiology.
165
166 In the sub-discipline of Learning and Memory an often studied neuron
167 type is the pyramidal neuron, an example of which is the hippocampal
168 CA1 pyramidal neuron. This thesis describes a toolkit of techniques
169 ranging in a wide, multi-disciplinary scope, assembled with
170 standardized hardware and software routines studying animal
171 behaviour, network neurophysiology, and statistical analyses.

172 The aim of the toolkit was to provide the experimental ability to study
173 the hippocampal CA1 pyramidal neuron network, under strictly
174 controlled behavioural contexts designed to train experimental mice on
175 temporal or episodic memory tasks. Specifically, these tasks such as
176 Trace Eye-Blink Conditioning (TEC) have previously been described to
177 elicit hippocampal CA1 sequences (B. J. Kraus et al., 2015;
178 MacDonald et al., 2011, 2013; Mau et al., 2018; Modi et al., 2014;
179 Pastalkova et al., 2008). This spatiotemporal network activity sequence
180 is dynamic and built from individual hippocampal CA1 pyramidal
181 neurons showcasing time tuned activity through spiking. These cells
182 are called time cells (Eichenbaum, 2017; MacDonald et al., 2011).
183

184 **Engrams associated with Learning and
185 Memory**

186
187 The term "engram" (coined by Richard Semon) refers to the physical
188 substrate of memory in the organism, used for storing and recalling
189 memories (Josselyn & Tonegawa, 2020). Donald Hebb's theory of
190 Hebbian Plasticity (Hebb, 1949) postulated that memory formation was
191 correlated to modulations in synaptic strength and connectivity. The
192 theory critically emphasized that the pair of neurons connected through
193 the synapse undergoing plasticity to strengthen efficacy, required the
194 spiking activity of both neurons. In subsequent decades, research into
195 the idea led to the theory of spike-timing-dependent plasticity
196 (Caporale & Dan, 2008), a mechanism of synaptic plasticity based on
197 the relative timing of activity of the neurons. It is still a matter of debate
198 whether the biophysical manifestation of the engram is the synapse,

199 the activity of the neurons, biophysical or chemical processes, but it is
200 likely that the engram is distributed across several computational
201 scales in the brain.

202

203 Eric Kandel's experiments with the Aplysia sensory neurons studied gill
204 withdrawal - an aversive but stable, adaptive behaviour (Carew et al.,
205 1971). The reliability of this learned response allowed the experiments
206 to include crucial electrophysiological and neurochemical circuit
207 dissections that ultimately lead to the discovery of the entire neural
208 circuit orchestrating the task, even to the level of cellular signaling.
209 This led to decades of research focused on the plasticity of synapses
210 across nervous systems in the animal kingdom.

211

212 Research exploring causal relationships between the physical or
213 functional integrity of various brain regions and overt behaviour has
214 been crucial to mapping many brain regions to specific functions and
215 motor responses. Technological advancements in molecular
216 neuroscience led to the development of a number of fluorescent
217 sensors, conditional tagging, activators and inhibitors that allowed
218 cellular resolution tracing of the engram (Luo et al., 2018).

219

220 Experiments by Sheena Josselyn, Alcino Silva, and colleagues led to
221 the discovery that the intrinsic excitability of a pyramidal neuron in any
222 network positively biased the probability of recruitment to the engram
223 (Han et al., 2009; Rogerson et al., 2014; Yiu et al., 2014; Y. Zhou et
224 al., 2009), *viz.*, the tagged set of cells were active when memory was
225 learnt and recalled. The engram seemed to be described in terms of
226 the cellular sub-population involved but the experiment could only
227 identify the same over a relatively longer window of time (~mins.). This

228 could lead to only a static list of cells which may even have included
229 False Positives (Type I error). Importantly, any dynamics in the
230 spatiotemporal patterns of activity of the pyramidal neurons were not
231 amenable to study at shorter timescales (~ms.). On the other hand,
232 physiological recordings could describe these dynamics at short
233 timescales, but were rarely translated to chronic measurements of the
234 activity of the same cells across days and sessions, given technical
235 limitations at the time.
236

237 **Dynamics in the neural code for engrams**

238
239 We first discuss some important results that help motivate the study of
240 physiological recordings in the context of engrams, *i.e.*, the dynamical
241 nature of the neural code (~ms to s). In later sections we will describe
242 these dynamics in more detail.
243
244 Place cells and their role in spatial navigation have been studied in
245 great detail through decades of research ever since they were first
246 described by John O'Keefe (O'Keefe & Dostrovsky, 1971). We did not
247 explicitly study place cells in this thesis but some key discoveries in
248 literature require mention, with the goal to build a case for a theory of
249 CA1 ensemble sequences (Modi et al., 2014). Briefly, place cells are
250 pyramidal neurons that showcased a higher than baseline probability
251 of firing action potentials whenever animals navigating spatial
252 environments visited specific locations. The tuning curves or firing
253 fields for these cells often map to the real spatial trajectory of the
254 animal and is thought to be an assimilation of both brain external

255 stimuli such as visual cues, as well as brain internal variables such as
256 motivation, goal orientation, memory, and experience (Ferbinteanu et
257 al., 2011; Ferbinteanu & Shapiro, 2003; Foster, 2017; Frank et al.,
258 2000; Wood et al., 2000).

259

260 As the animal enters these landmark locations in any spatial context,
261 these place cells showcase Phase Precession, firing earlier in phase to
262 cycles of Theta a network rhythm clocked at ~4-10 Hz, as the animal's
263 position changes relative to the landmark. These navigation mapped
264 place cell sequences are called Theta Sequences (Foster & Wilson,
265 2007), typically mapped to a few active neurons at a time.

266

267 In very specific contexts, these place cells express activity sequences
268 synchronized to Sharp Wave Ripples, a different network activity
269 phenomenon clocked at ~10-30 Hz, often not tied to the animal's
270 location, called Replay Sequences (Csicsvari et al., 2007; Foster &
271 Wilson, 2006). These sequences have been described to play out
272 typically in reverse temporal order to models of place cell sequences
273 describing known trajectories in space.

274

275 There is variability in the firing of place cells in any spatial context, and
276 studies have mapped specific sequences to very specific trajectory
277 goals (going towards or away from locations) with modulation by both
278 egocentric and allocentric orientations cues (Davidson et al., 2009;
279 Ferbinteanu et al., 2011; Ferbinteanu & Shapiro, 2003; Frank et al.,
280 2000; Wood et al., 2000) and movement speed based estimates of
281 distance (Kropff et al., 2015).

282

283 Place cell and time cell sequences have many similarities and
284 differences in descriptive neurophysiology, but may emerge from the
285 same memory organization principles (Buzsáki & Llinás, 2017). It is
286 argued that there is significance to the exact phrasing of the CA1
287 sequence in any given context. Furthermore, a very interesting feature
288 observed is Time-stamping, *viz.*, time dependent overlap of ensemble
289 responses to different contexts and behavioural parameters (Cai et al.,
290 2016; Mau et al., 2018).

291

292 Trace Eye-Blink Conditioning is a behavioural context which has been
293 shown to feature CA1 time cell activity sequences. Transient increases
294 in CA1 excitability post acquisition of the task were described up to 4-5
295 days (Moyer et al., 1990) and could be important to the forging of the
296 task specific spatiotemporal sequences during learning. Moreover,
297 Trace Eye-Blink Conditioning in mice has been previously observed to
298 elicit CA1 activity sequences even in a single session of training (Modi
299 et al., 2014).

300

301 Internally driven as opposed to externally driven network models of
302 activity sequences have been proposed as the mechanism driving
303 hippocampal CA1 sequences (Buzsáki & Llinás, 2017; Eichenbaum,
304 2017). The CA1 neurons participating in any sequence may represent
305 physiologically mappable attractors in temporally specific contexts.

306

307 **Theories on the function of the**
308 **hippocampus**

309

310 Four main ideas of hippocampal function studied over the past few
311 decades are,

312 A) Response Inhibition - Studied mostly in the 1960's, this
313 perspective described the Hippocampus as important to the
314 ability of animals to inhibit their impulses and natural, habitual,
315 or dominant behavioral responses to stimuli, in order to select
316 more appropriate responses. This perspective was justified by
317 two observations with regard to animals with hippocampal
318 damage - 1) these animals tended to be hyperactive, and 2)
319 were unable to withhold previously learnt responses. British
320 psychologist Jeffrey Alan Gray developed this perspective to
321 link hippocampal activity with anxiety (McNaughton & Gray,
322 2000).

323

324 B) Episodic Memory – This perspective was popularized by the
325 psychological studies on Patient H. M. (Henry Molaison), who
326 had been suffering from epileptic seizures and had to undergo
327 extensive hippocampectomy (surgical destruction of the
328 hippocampi), as treatment. American neurosurgeon William
329 Beecher Scoville and British-Canadian neuropsychologist
330 Brenda Milner were pioneers of this study and were able to
331 describe severe anterograde and partial retrograde amnesia in
332 the patient post surgery (Scoville & Milner, 1957). Since the late
333 2000's, the discovery and description of time cells (B. Kraus et

334 al., 2013; B. J. Kraus et al., 2015; MacDonald et al., 2011, 2013;
335 Modi et al., 2014; Pastalkova et al., 2008), has reinvigorated this
336 perspective.

337

338 C) Spatial Cognition - Originally popularized by the remarkable
339 work of American-British neuroscientist John O'Keefe and
340 American psychologist Lynn Nadel, the link between
341 hippocampal function and spatial navigation was solidified with
342 the discovery and subsequent descriptions of place cells (Morris
343 et al., 1982; O'Keefe & Dostrovsky, 1971; O'Keefe & Recce,
344 1993). This perspective is the most popular amongst the known
345 and studied functions of the Hippocampus and has been the
346 subject of a large body of work. Indeed, the Nobel Prize in
347 Physiology or Medicine 2014 was awarded to John O'Keefe,
348 May-Britt Moser, and Edvard I. Moser, for "The Brain's
349 Navigational Place and Grid Cell System".

350

351 D) Contextual Mapping – An emerging consensus in the field is
352 that the hippocampus actually builds contextual maps of the
353 environment or perceived events, with expansions to the neural
354 activity code along any relevant dimension of stimuli. Stimuli or
355 events cuing any modality, e.g., spatial, temporal, frequency,
356 etc., may be assimilated, along with more brain internal
357 variables such as (but not limited to) motivation, expected
358 reward status, prior experience in related tasks, and goal-
359 orientation (task specific). This allows the hippocampus to make
360 predictive models that bind new information streams to
361 collectively update predictions (M. R. Cohen & Kohn, 2011;
362 Eichenbaum, 2017; Miller et al., 2023; O'Keefe & Nadel, 1978).

363

364 **Space and time in the hippocampus**

365

366 Damage to the hippocampal system has been shown to cause the
367 impairment of long-term memory or amnesia, in human patients,
368 rodents, and non-human primates. Interestingly, such damage to the
369 Hippocampus seems to have no observable effect on the capacity for
370 acquisition and expression of skilled performance. These two results
371 suggest the role of the Hippocampus in certain kinds of memory, but
372 not all.

373

374 Anatomically, the hippocampal system receives input from, and in turn,
375 projects to the neocortical brain regions that serve as the site to
376 process higher order categories and modalities of information. It is thus
377 suggested that the Hippocampus holds a privileged position in the
378 brain, receiving the outcomes of the computation of the brain's various
379 modules, and relating to them. A large majority of the cortical
380 information is sent to the Hippocampus via the Entorhinal Cortex (EC).
381 This information is processed in roughly three stereotactically and
382 molecularly separable layers of cells in the following order: EC
383 → Dentate Gyrus → CA3 → CA1. This pathway from the EC to the CA1
384 has three separate synaptic connections (across the layers) and is also
385 known as the Trisynaptic Pathway (Figure 1). The output of the CA1 is
386 then sent to other cortical areas.

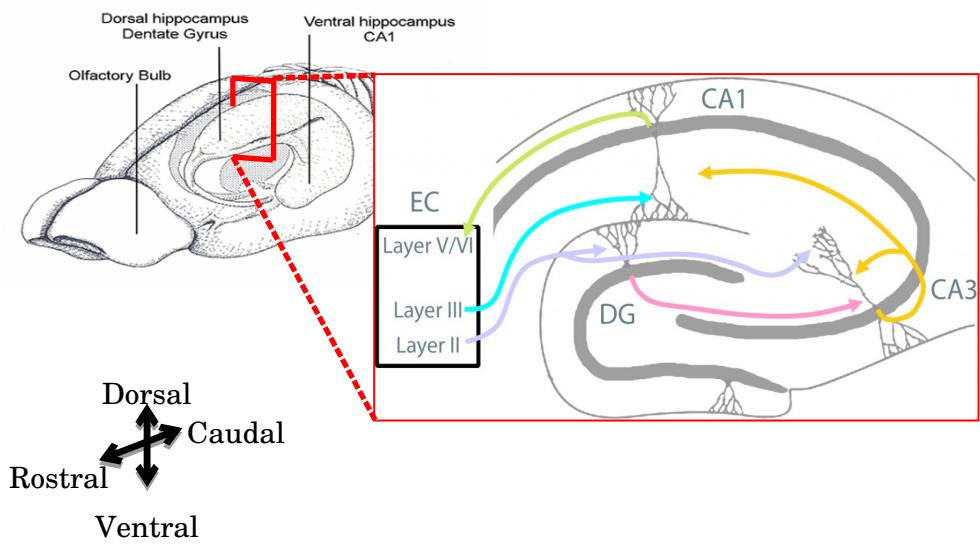


Figure 1: A schematic representation of the Trisynaptic Pathway as observed with coronal slices along the longitudinal axis of the hippocampus. Image adapted from the webpage for the Neural Circuits and Memory Lab, University of Wisconsin (Milwaukee) [<https://sites.lsa.umich.edu/diba-lab/neural-circuits-of-the-hippocampus/>])

388 One of the most significant discoveries in the hippocampal system and
389 surrounding brain structures was the role played in spatial cognition.
390 An enormous corpus of research has conclusively described,
391 • head-direction cells: with tuning curves tied to the direction that
392 experimental animals were oriented to. These cells respond to
393 egocentric vestibular cues as well as allocentric sensory cues
394 (Ranck, 1973; Taube et al., 1990), in the dorsal presubiculum,
395 retrosplenial cortex, entorhinal cortex, thalamus, and striatum,
396 among others.
397 • grid cells: with multi-modal tuning curves at regular spatial
398 positions as a lattice, across the environment being navigated.
399 These cells assimilate information about location, distance, and
400 direction, and are typically found in the entorhinal cortex (Fyhn
401 et al., 2004; Hafting et al., 2005).
402 • boundary vector cells: with tuning curves to the edges of the
403 environment being navigated. These cells are typically found in
404 the subiculum, pre- and para-subiculum, and entorhinal cortex
405 (Bjerknes et al., 2014; Lever et al., 2009; O'Keefe & Burgess,
406 1996; Savelli et al., 2008; Solstad et al., 2008).
407 • speed cells: with modulated firing rates based on the actual
408 running or movement speed of the animals. These cells are
409 typically found in the entorhinal cortex (Kropff et al., 2015).
410 • place cells: with tuning curves to specific locations in the
411 environment (O'Keefe & Dostrovsky, 1971; O'Keefe & Recce,
412 1993). These cells may be found in several hippocampal sub-
413 layers but often studied in the CA1.
414
415 The activity of neurons in the hippocampus of awake, behaving
416 animals is modulated by significant stimuli or objects in the

417 environment as well as relationships between temporally discontiguous
418 but relevant, paired stimuli. With the discovery of place cells, it was
419 clear that the CA1 of animals navigating a spatial environment,
420 showcased location specific firing fields. With the discovery of time
421 cells, it was noted that the CA1 of animals could elicit spatiotemporal
422 sequences of activity whenever the animal was required to make a link
423 between stimuli or events, even with a stimulus-free period in between.
424 Together, these results provided an important physiological parallel
425 between the spatial learning and episode learning deficit seen with
426 damage to the Hippocampus. Curiously, both place cells and time
427 cells, as well as the sequences built up with them were non-
428 topographically mapped, *i.e.*, they may be located anywhere in the
429 hippocampus, with no obvious spatial order (Dombeck et al., 2010;
430 Modi et al., 2014), in contrast to results from the cortex (Dombeck et
431 al., 2009; Ozden et al., 2008).

432

433 In an experiment published in 2008, Eva Pastalkova and colleagues
434 from Gyorgy Buzsaki's lab had rats navigate a figure 8 maze, with the
435 animal being rewarded with water, in between trials, if they managed to
436 alternate between the left and right arms (Pastalkova et al., 2008). As
437 an added nuance in the task, just before launching into the left or right
438 arms, the animal had to spend a fixed amount of time running a
439 treadmill, held in place. This would allow self-motion cues, but with the
440 absence of any other external stimuli. Impressively, single-units
441 recorded from the hippocampal CA1 cells revealed strong correlation
442 with the time spent on the treadmill, despite the absence of external
443 cues, and that different cells tuned to different time points, forming a
444 spatiotemporal sequence of activation (Pastalkova et al., 2008). In a
445 different experiment published in 2011, Christopher J. MacDonald and

446 colleagues from Howard Eichenbaum's lab had rats had to go around
447 a maze and perform a olfactory task (MacDonald et al., 2011). The
448 animals were first presented with an odour, then made to wait for a
449 delay period in a cordoned off section of the maze, before being
450 allowed to either dig for a reward or continue on the maze, depending
451 on the odour presented. As trials progressed, Hippocampal CA1 cells
452 were recorded (single-units) and found to not only be modulated by the
453 decision to be taken, but also to the amount of time spent in the delay
454 period. Experimentally, the delay period could be elongated or
455 shortened, each having an effect on remapping of the tuning fields of
456 the various CA1 cells, but to different extents (MacDonald et al., 2011).

457

458 In 2013, the Eichenbaum group published their findings with head-fixed
459 rats (no movement in space) performing a Delayed Match-To Sample
460 (DMS) task with pairs of odours, where again time tuned activity could
461 be observed with a sequence of Hippocampal CA1 cell activations, that
462 depended on the identity of the first odour (MacDonald et al., 2013). In
463 2014, Mehrab Modi, Ashesh Dhawale, and Upinder Bhalla published
464 their results with head-fixed mice learning and performing a Trace Eye-
465 Blink Conditioning (TEC), wherein it was observed that Hippocampal
466 CA1 cell activity sequences emerged in close relation to the acquisition
467 of behavioural performance, thus cementing the idea that sub-
468 populations of Hippocampal CA1 cells could bridge temporal gaps
469 between relevant, paired stimuli, and that they did so with the activity
470 of time-tuned cells (Modi et al., 2014).

471

472 Finally, it was important to study if these apparently time-tuned cells
473 were tuned to the actual duration of time in a delay period, or whether
474 it was more important for these cells to track the distance run. In an

475 experiment published in 2013, Benjamin Kraus and colleagues from
476 Howard Eichenbaum's lab again had their rats navigate a figure 8
477 maze, but with a motorized treadmill in the central arm, to
478 experimentally regulate the running speed. With this setup, the study
479 was successful at delineating that both time spent running and
480 distance run were important features, and that different cells could tune
481 to either of the features (B. Kraus et al., 2013). Whenever hippocampal
482 CA1 cells showcased time-tuned activity (as opposed to
483 space/location-tuned activity), such cells were dubbed "Time Cells"
484 (Eichenbaum, 2017; MacDonald et al., 2011).

485

486 Other interesting physiological parallels between the CA1 place cells
487 and time cells are,

- 488 1. Phase Precession: In relation to theta oscillations (6-10 Hz)
489 measured as local field potentials (LFP), individual cells tended
490 to fire action potentials at progressively earlier phases with each
491 successive cycle, described first for place cells (O'Keefe &
492 Recce, 1993), and then also for time cells (Pastalkova et al.,
493 2008).
- 494 2. Temporal Compression: Sequences of place or time cells could
495 be elicited at significantly shorter time scales, with fidelity in the
496 participating cells (Dragoi & Buzsáki, 2006; Foster, 2017).
497 Indeed, with regard to the typically studied regime of ~100-200
498 ms or behaviour time scales, the same sequence may be
499 elicited at ~10 ms as short segments during Sharp Wave
500 Ripples (Dragoi et al., 1999; V. Itskov et al., 2008; Jadhav et al.,
501 2012; O'Keefe & Recce, 1993; Valero et al., 2015) or even as
502 the whole sequences during Replay (Csicsvari et al., 2007; Diba
503 & Buzsáki, 2007; Foster, 2017; Foster & Wilson, 2006; Gupta et

504 al., 2010; Pfeiffer & Foster, 2013, 2015)(Foster, 2017; Foster &
505 Wilson, 2006; Gupta et al., 2010; Pfeiffer & Foster, 2013) or
506 Pre-play (Dragoi & Tonegawa, 2011, 2013).

507 3. Remapping: Systematic changes in the experimental paradigm,
508 such as those to the size of the experimental arena or in the
509 time interval between stimuli or events, would result in
510 systematic changes in the firing fields of place (Muller & Kubie,
511 1989) and time cells (MacDonald et al., 2011).

512 4. Variable Firing Fields: The width of the firing fields for a set of
513 place or time cells, respectively, may be variable. However, an
514 important distinction here is that there is as yet no clearly
515 identified predictor of the widths for place cells to spatial
516 directions, while time cells tuned to later time points in the inter-
517 stimulus or delay periods usually exhibit a widening of firing
518 fields (B. J. Kraus et al., 2015; MacDonald et al., 2011, 2013;
519 Mau et al., 2018; Pastalkova et al., 2008). The significance of
520 firing field density and widths is as yet an open line of inquiry.

521

522 Single-units recorded from the medial entorhinal cortex (MEC) as well
523 as the hippocampal CA1 that were found tuned to specific frequency
524 “landmarks” during frequency sweeps self-initiated by rats (Aronov et
525 al., 2017), suggesting that the CA1 could tune to variables other than
526 space and time. This added even more weight to “Contextual Mapping”
527 as an important function of the hippocampus.

528 **“Single-cell, multi-trial” vs. “multi-cell,
529 single-trial” approaches in Neuroscience**

530

531 A dominant, early perspective in neurophysiology had been to record
532 activity from a single cell, over many trials, under a variety of
533 conditions (bath applications in slice physiology, different physiological
534 conditions like stress and genetic background, etc.). For more than one
535 recorded cell, the process would be repeated, till the dataset was
536 complete.

537

538 An intermediate perspective was to record from multiple cells
539 simultaneously, yet treat each cell independently for analysis towards
540 correlation and mechanism studies, across many repeats of
541 experimental conditions or trials (same as above).

542

543 An important and more modern perspective is to record from multiple
544 cells simultaneously, and use this network or population activity to
545 decode single-trial characteristics (position, time, stimulus presence,
546 etc.) using very powerful numerical and mathematical algorithms
547 involving (but not limited to) Bayesian Decoding and Information
548 Theory. The essential idea is that the neuronal code of the brain is not
549 defined just by the activity of single neurons since they may only
550 encode very specific fractions of the experience, but rather that the
551 population encodes the full experience, using a number of distributed
552 and redundant strategies.

553 • Bayesian Decoding: Using the activity of multiple,
554 simultaneously recorded neurons to develop a likelihood
555 estimate of the evidence (firing rate combinations) to the
556 experimental parameter (spatial position, relative time, etc.)
557 and combine this with the experimentally determined prior
558 (probability), to obtain estimates of the conditional or

559 posterior probability of a parameter value, given evidence.
560 Bayes' Rule describes
561
$$P(A|B) = P(B|A).P(A)/P(B)$$

562 ... where,
563 A: Parameter value (position, time, etc.)
564 B: Evidence (cellular firing rate)
565 $P(A)$: Prior Probability (experimentally defined)
566 $P(B)$: Probability of evidence (Firing Rate)
567 $P(A|B)$: Posterior probability of parameter value given
568 evidence
569 $P(B|A)$: Likelihood estimate of evidence given parameter
570 value (based on recordings)

571 This methodology has been used to not only successfully
572 predict specific time points in a trial from population activity, but
573 has also been used to observe that the population activity from
574 a session of recording is able to predict time points in trials
575 conducted on subsequent sessions of recording, up unto 3-4
576 sessions (Mau et al., 2018).

577 • Information Theory: Using recorded cellular activity to
578 estimate how much information this activity carries about
579 experimental parameters (position, time, stimuli, etc.). Three
580 essential metrics have been used,

581 1. Information per activity spike (I_{spike}), in bits/spike
582 2. Information per unit time (I_{sec}), in bits/sec
583 3. Mutual Information (MI) between evidence and
584 parameter value, in bits

585 William Skaggs, Bruce McNaughton and colleagues published a
586 series of experiments working out the value of Information Theory
587 based approaches to deciphering the hippocampal code, reviewed

588 previously (Skaggs et al., 1996). This idea was later adapted strongly
589 by the field but focus throughout, remained on place cells.

590

591 An interesting study published in 2018 even used synthetic test
592 datasets to go to the extent of estimating place cell detection algorithm
593 performance on the basis of I_{spike} , I_{sec} and MI (Souza et al., 2018). They
594 found,

- 595 1. MI could outperform the other two in a variety of scenarios.
- 596 2. I_{spike} and I_{sec} may still be useful in identifying unique
597 subpopulations of place cells.
- 598 3. Important algorithmic adjustments could be made to the
599 calculations of I_{spike} and I_{sec} , to equalize performance between
600 them and MI.

601 There was clear nuance in the population code that required such a
602 perspective during analysis. Eichenbaum and colleagues popularized
603 the use of such metrics in the context of time cells (Mau et al., 2018),
604 yet a systematic approach to identifying the best algorithms for time
605 cells was requisite.

606

607 Correlation analysis between pairs of recorded cells is one of the most
608 important analytical directions taken by neurophysiologists
609 understanding brain function, and has been reviewed previously (M. R.
610 Cohen & Kohn, 2011). However, such analysis can be subject to False
611 Positives, without appropriate significance studies. Specifically, it is
612 important to look at whether the activity profile or tuning curves for cells
613 (in relation to task variables) is significant above chance or other
614 clearly defined cutoffs, using a large number of randomized surrogates
615 of the recorded activity, generated by adding random timing shifts or
616 bootstraps. Multiple pairwise correlations may not be sufficient to

617 identify synchronous sequential activity in the network, without looking
618 at higher orders of correlation across recorded cells. Ultimately, such
619 studies benefit from simultaneous high-yield recordings, updated
620 analytical procedures utilizing surrogate data for significance analysis,
621 examining repetitions across trials (or trial-to-trial variability), and even
622 the use of multiple analytical strategies, as reviewed previously
623 (Foster, 2017; Grün, 2009).

624

625 Synfire chains (Abeles, 1982, 1991, 2009; Abeles et al., 2004) as
626 sequential neuronal activity patterns or motifs have been described in
627 cortical slices *in vitro* (Reyes, 2003), as well as *in vivo* (Ikegaya et al.,
628 2004). The 2004 study described these sequences as songs (Ikegaya
629 et al., 2004) that can incorporate new information as extensions of the
630 motifs by combining multiple synfire chains (Bienenstock, 1995).

631 However, an important consideration is that the original theoretical
632 ideas behind synfire chains assumed feed-forward connections
633 between layers of neurons, with recognition by subsequent neurons
634 looking only at waves of synchrony, rather than specific individual
635 neuronal identities (Abeles, 2004). Another important perspective is
636 that these cortical sequences could be artifacts elicited just by chance,
637 given the nature of membrane voltage fluctuations (McLelland &
638 Paulsen, 2007; Mokeichev et al., 2007). There is thus some speculation
639 over the significance of “cortical songs”, *in vivo*.

640

641 A major step forward with “multi-cell, single-trial” approaches is the
642 benefit of resolving how each cell and inter-cell interactions contribute
643 to stimulus representation, behavioural task variables, and other brain-
644 intrinsic computation. Technological advances in large-scale
645 neurophysiology recordings such as the increased density of tetrode

646 drives, neuropixels, optical sectioning and microscopy, resonant
647 scanning, etc., have enabled the discovery of well coordinated
648 sequences of cellular activity such as Sharp Wave Ripples (SWRs),
649 Replay, and behavioural timescale spatio-temporal sequences, *in vivo*,
650 among others. This is primarily due to a radical improvement in an
651 experimenter's ability to simultaneously record from multiple cells
652 (Foster, 2017), going from yields of ~10 cells to even ~10⁴ cells, per
653 animal.

654

655 **Single-Unit Electrophysiology vs 2-Photon** 656 **Calcium Imaging to study the Hippocampus**

657

658 The most well characterized and studied function of the hippocampus
659 and surrounding tissue (Entorhinal Cortex, Parasubiculum, etc.) was
660 the role these tissue systems played in Spatial Navigation and Coding.
661 Single-Unit Electrophysiology was paramount to being able to isolate
662 the activity from individual cells, and eventually was used to discover
663 and describe properties of place cells (O'Keefe & Dostrovsky, 1971),
664 grid cells (Fyhn et al., 2004; Hafting et al., 2005), head-direction cells
665 (Taube et al., 1990), along with numerous other important
666 physiological discoveries, as detailed previously. However, even with
667 advances in the density of tetrode recordings, the yield of recorded
668 cells from any given animal was often limited to <50 cells. It was only
669 with the invention of Neuropixels (Jun et al., 2017) that this yield could
670 be expanded to ~1000 cells. We had opted to utilize calcium imaging
671 by 2-Photon Microscopy (Denk et al., 1990; Stosiek et al., 2003). This
672 methodology, allowed us to record ~100-150 cells per session with our

673 mice, albeit with significant cost to the recording frame rate on account
674 of the limitations of the technique. We discuss details of our technique
675 along with challenges and preliminary results, in Chapter 3 –
676 “Imaging”.

677

678 The hippocampi (one in each hemisphere) of the mouse brain lie ~1
679 mm below the most superficial layers of cortex (just inside the
680 cranium), a barrier typically too wide for typical 1-photon fluorescence
681 imaging systems (Confocal, Spinning Disk, etc.). This poses a very
682 difficult challenge for imaging preparations since there are hardware
683 and other technical limits to how long the working distance of
684 microscope objectives can be made. The use of 2-photon microscopy
685 combined with combinations of cortical excavations (to aid physical
686 access), microendoscopes, as well as prisms to guide emitted
687 fluorescence, have all been used to achieve deep brain imaging based
688 recordings at cellular resolution, in rodents (Andermann et al., 2013;
689 Attardo et al., 2015; Barreto et al., 2009; Barreto & Schnitzer, 2012;
690 Dombeck et al., 2010; Heys et al., 2014; Murray & Levene, 2012;
691 Velasco & Levene, 2014; Ziv et al., 2013).

692

693 All imaging preparation standardizations described in this thesis invoke
694 2-Photon calcium imaging of hippocampal CA1 cells at cellular
695 resolution (1 pix = ~1 μ m), following cortical excavations just above the
696 left hippocampus (Dombeck et al., 2010).

697 **Calcium imaging by 2-Photon Microscopy**

698

699 Typically, as cells become activated and elicit action potentials, there is
700 often a large concomitant influx of Ca^{2+} ions through voltage gated
701 calcium channels all around the perisomatic membrane, amongst other
702 cellular compartments. Several organic dyes have been developed that
703 reversibly bind Ca^{2+} ions in the cytosol and either become fluorescent
704 or emit greater fluorescence (often with a red shift) when in this Ca^{2+} -
705 bound state (Paredes et al., 2008). Additionally tremendous advances
706 in molecular biology has seen the deployment of Genetically Encoded
707 Calcium Indicators (GECIs) that may be exogenously incorporated into
708 the genome of target cells. These GECIs serve the same function as
709 organic calcium dyes, but may easily be replenished in the cytosol
710 given the cell's natural machinery for transcription and translation, and
711 whose Fluorescence properties can be engineered for brightness,
712 responsivity, Ca^{2+} binding K_d , and fluorescence emission wavelengths.
713 The number of cells that may be recorded by fluorescence is often only
714 limited to either the spread of the organic dye or the imaging
715 magnification settings, allowing for yields of 100-1000 cells.

716
717 With any Imaging based neurophysiology, there is always a trade-off
718 between yield (number of cells recorded simultaneously) and temporal
719 resolution. Increasing the yield by recording over a larger area of tissue
720 requires many more pixels per imaging frame, resulting in a loss of
721 temporal resolution (frame rate). On the other hand, increasing the
722 frame rate necessitates capturing fewer pixels per frame, decreasing
723 yield. There is even a limitation of simply zooming out, since stable
724 fluorescence intensity fluctuations can only be identified when each
725 cell is defined at least by a certain number of pixels, to allow proper
726 isolation.

727

728 Specifically with calcium imaging, the signal to be recorded
729 corresponds to Ca^{2+} flux in the cytoplasm as measured by the change
730 in emitted fluorescence of reporters such as GCaMP, with a $\tau_{\text{rise}} = 10-$
731 100 ms and $\tau_{\text{fall}} = 100\text{-}300$ ms (Chen et al., 2013). This signal is one or
732 two orders of magnitude slower than the action potential ($\sim 2\text{-}5$ ms).
733 However, this may not necessarily be a limitation since a dominant
734 idea in the field is to simply consider rate coding, or time-averaged
735 spiking activity (Abeles, 2004), bringing down the effective temporal
736 resolution of the electrical record.

737

738 Genetically Encoded Voltage Indicators (GEVIs) that fluoresce with
739 higher emission during membrane voltage dynamics have also been
740 developed. However, their localization onto the membrane of the cell,
741 instead of the cytoplasm, diminishes the total emitted photon flux per
742 unit time, and requires longer bin times to achieve reasonable signal-
743 to-noise, as reviewed previously (Mollinedo-Gajate et al., 2021). This
744 unfortunately brings down the frame rate even more than what can be
745 achieved with GECIs. We avoided GEVIs in the projects described in
746 this thesis.

747

748 A major advancement in Fluorescence Imaging was the invention of
749 Confocal and Multiphoton (typically 2-Photon) Microscopes, which
750 allowed for unprecedented recording signal-to-noise by optical
751 sectioning. 2-Photon Imaging itself was an important development for
752 the neurophysiology of tissue greater than 300 μm in thickness, typical
753 of rodent brain tissue, because it avoids wasteful excitation of imaging
754 planes that are not in focus (out-of-plane). The 2-Photon effect
755 requires two photons of longer wavelength (lower energy per photon),
756 to near instantaneously excite a fluorophore. The photon flux is highest

757 at the focal plane (with an N-squared dependence) of the microscope
758 so only the section of the tissue corresponding to the focal place is
759 allowed to achieve fluorescence. Additionally, longer wavelengths of
760 excitation light can more easily penetrate deeper layers of tissue, due
761 to comparatively lower scattering or Rayleigh effect (Denk et al., 1990;
762 Helmchen & Denk, 2005).

763

764 The hippocampus (specifically the hippocampal CA1) was the main
765 brain structure of interest for all our physiology experiments, and lies
766 under about 1 mm of cortical tissue for mice. This is a depth that is
767 difficult to image even with 2-Photon Microscopy. The typical
768 methodology employed in such cases is to perform a cortical
769 excavation just above the Hippocampus filling the crevice with optically
770 clearer agarose or silicone elastomer. Even so, the hippocampal CA1
771 cell body layer (*Stratum Pyramidale*) still lies about 150-300 μm below
772 the external capsule and corpus callosum fibers (left intact for chronic
773 imaging). Accordingly, we combined cortical excavation with 2-Photon
774 microscopy, using a long working distance objective with a wide field of
775 view, imaging cytosolic Ca^{2+} activity with the help of either an organic
776 dye (OGB-1; acute imaging) or a GECI (GCaMP6f; chronic imaging).

777

778 An important perspective that has motivated the use of Imaging based
779 physiology recordings (as opposed to Electrophysiological methods)
780 other than potential yield, is that imaging provides anatomical
781 confirmation of any particular recorded cell, and this in turn allows for,
782 A) Unambiguous isolation of the same cell across multiple imaging
783 sessions (across days and weeks). Single-Units are ultimately
784 only algorithmically resolved and this can be done only for cells
785 that are active and are represented in multiple spatially

786 separated electrodes. However, very recently, Ashesh Dhawale
787 and colleagues from Bence Olveczky's lab have devised a
788 solution to track the movement of electrodes in tissue over time
789 and use this information to ensure chronic recording of the
790 same units (Dhawale et al., 2017). This technique was not
791 available at the time when experiments for this thesis were
792 started.

793 B) Unambiguous detection of the lack of activity in an otherwise
794 recorded cell. Since the cell can be anatomically identified
795 independent of activity, it is possible to observe the absence of
796 Ca^{2+} activity. Automated cell ROI detection (Francis et al., 2012;
797 Maruyama et al., 2014; Mukamel et al., 2009; Pachitariu et al.,
798 2017; Pnevmatikakis et al., 2016) simplifies the pre-processing
799 step of cell isolation even over large batch sizes. These
800 procedures inherently require the use of the calcium activity
801 profiles of recorded cells, viz., inactive cells (though
802 anatomically visible), may not be isolated.

803 **Automated ROI detection for large-scale**
804 **Calcium Imaging datasets**

805
806 A number of automated ROI detection algorithms have been cited in
807 literature that require minimal user intervention, perform relatively fast
808 identification for a large number of identified sources (putative cells).
809 Some popular algorithms include PCA/ICA (Mukamel et al., 2009),
810 Suite2p (Pachitariu et al., 2017), and Non-Negative Matrix
811 Factorization (NNMF)(Pnevmatikakis et al., 2016), which all have been
812 developed to the extent where comparable or oftentimes much better

813 ROI detection is achieved than as compared to the more tedious hand-
814 drawn ROIs which scales very poorly with orders of cells recorded.
815 We have strictly followed Suite2p (Pachitariu et al., 2017) for all
816 physiological ROI (cell sources) described in this thesis.
817

818 **A brief introduction to associative learning**

819
820 The ability to physiologically record cells is insufficient without placing
821 the experimental animals in precisely defined, stable behavioural
822 contexts. Only in this way can neural activity be checked for
823 correlations or mapping to distinct changes in external behaviour
824 variables and the decisions that the animal makes, accordingly.
825 Combining behaviour and recording was considered an important
826 guiding principle in all our experiments.
827
828 Prior to the early 20th century, Structuralism was a dominant
829 perspective in Psychology, insisting on introspection - the observation
830 and report of one's own mind and thoughts. Experiments and
831 discoveries by Ivan Pavlov at the Military Medical Academy in
832 Petrograd (St. Petersburg), eventually led to a dramatic shift in
833 perspective, with the birth of Classical Conditioning, a type of
834 associative learning. Following the very same methodology advocated
835 by Francis Bacon (early 17th century), quantitative data from carefully
836 conducted animal experiments were recorded, with the idea to narrow
837 down on a small number of hypotheses that could explain experimental
838 observations.
839

840 Ivan Pavlov provided essential demonstrations of anticipation and
841 made tremendous progress in understanding the circumstances on
842 which anticipation depends, and this is why Classical Conditioning is
843 also often referred to as Pavlovian Conditioning. Following Pavlov's
844 studies (Pavlov, 1927), it was proposed that Classical Conditioning
845 was a prototypical example of Association. While it does have caveats
846 such as covert learning when observable behaviour may be blocked
847 (Dickinson et al., 1983; Krupa & Thompson, 2003), Associative
848 learning is rich with a variety of animals and association tasks that
849 have been crucial to study memory and learning over the past century.

850

851 Typically, animals require no prior training to elicit a behavioural or
852 motor movement to biologically potent stimulus (appetitive or aversive),
853 called an Unconditioned Stimulus (US). Examples include food, water,
854 electrical shock, temperature shock, etc.. Without pairing with a US, a
855 neutral stimulus elicits no observable response from an animal, and
856 such a stimulus is called a Conditioned Stimulus (CS). Examples
857 include simple auditory tones, flashes of light, among others.

858

859 Classical Conditioning is both the behavioural procedure as well as the
860 learning process that results from the pairing of a previously neutral
861 stimulus (CS) with a biologically potent stimulus (US). Repeated
862 pairing allows animals to make implicit associations between the CS
863 and US, and essentially anticipate the occurrence of the US, once the
864 CS is observed. Animals report this forecasting feat by producing the
865 same response that they would to a US, albeit often a milder version.
866 Typical protocols for Classical Conditioning, follow the regime of
867 Forward pairing, *viz.*, - the CS is presented before the US, and this

868 temporal structure will be followed unanimously across all behaviour
869 experiments described in this thesis.
870
871 The standardization of the behavioural task, physiological recording
872 (imaging) preparation, as well as the custom analysis routines to look
873 for various physiological features are described in this thesis.
874 Combining these multi-disciplinary approaches allowed us to develop a
875 toolkit to study time cells in the hippocampus, under strict behavioural
876 contexts. It is important to note, however, that spatiotemporal
877 sequences of activity as measured by calcium imaging based
878 simultaneous recordings of a large number of cells, are not limited to
879 the hippocampus, being studied even in the visual cortex (Pachitariu et
880 al., 2017; Poort et al., 2015), somatosensory cortex (Petersen, 2019),
881 entorhinal cortex (Heys et al., 2014), and even in the cerebellum
882 (Giovannucci et al., 2017). Essentially, the analytical methods
883 developed can easily be adapted to other neuronal network recordings
884 where time-tuning may be applicable.
885

886 **Short Summaries of the 3 projects**

887 **Project I - How do sensory representations transform**

888 **with learning?**

889 Sensory Systems Neuroscience is a very popular field spanning
890 studies looking at numerous brain regions and sub-regions in the
891 cortex and hippocampus, *in vivo* (R. A. Andersen et al., 1993; Fassihi
892 et al., 2017; Khan et al., 2010; Meeks et al., 2010; Petersen, 2019;
893 Poort et al., 2015; Voelcker et al., 2022), among several others. Many

894 if not most of these studies describe neural activity in animals with
895 expert levels of behavioural learning and performance to the presented
896 stimuli. Lacunae still remain as to mechanisms deployed during active
897 or online learning especially in the early stages of behavioural training.
898

899 We deployed our experiments with the intention to study how Calcium
900 Imaging by 2-Photon could reveal finer population level details of
901 network activity as the animals were tested on the learning of an
902 operant conditioning or lick behaviour task. We were able to,

- 903 1. Prototype OGB-1 based calcium imaging *in vivo*, from head-
904 fixed mice in a manner suited to combined behaviour and
905 recording experiments, and
- 906 2. Study preliminary data from animals that correlation based
907 functional activity clusters of recorded CA1 cells have spatial
908 organization during bouts of spontaneous activity.

909

910 However, we were not satisfied with the level and rate of learning in
911 our test animals eventually leading to a search for more stable
912 behaviour paradigms in mice. Additionally, the use of OGB-1 as the
913 Calcium Indicator also had to be abandoned since this fundamentally
914 disallowed multi-day tracking of the same cells. We discuss our
915 prototyping efforts and preliminary data for this project in detail, in the
916 first few sections each of Chapters 2 (“Behaviour”) and 3 (“Imaging”).

917

918 **Project II - How does the timing of cellular activity adjust
919 to behavioural task variables?**

920

921 Research on the cerebellum has made substantial progress in the
922 elucidation of network mechanisms correlating well with external
923 stimulus timing based variables, as animals learn Trace Eye-Blink
924 Conditioning and Delay Conditioning (Kalmbach, Davis, et al., 2010;
925 Kalmbach, Ohyama, et al., 2010; Medina et al., 2000; Ohyama et al.,
926 2003; Siegel & Mauk, 2013). The predominant studies on time cells in
927 the hippocampus have focused on the context of appetitive reinforcing
928 stimuli (Eichenbaum, 2004, 2017; B. Kraus et al., 2013; B. J. Kraus et
929 al., 2015; MacDonald et al., 2011, 2013; Mau et al., 2018; Pastalkova
930 et al., 2008). Time cells in the behavioural context of Trace Eye-Blink
931 conditioning, an aversive learning paradigm, have been explored (Modi
932 et al., 2014), but details such as correlations with rates of behavioural
933 learning, tuning adaptability, and long-term stability (~weeks) of the
934 time sequences are yet to be studied.

935

936 We prototyped a GCaMP6f based *in vivo* Hippocampal preparation
937 that allowed for chronic, longitudinal recordings of hippocampal CA1,
938 by 2-Photon Calcium Imaging (Dombeck et al., 2010) that could be
939 combined with a stable and adaptable learning protocols of Trace Eye-
940 Blink Conditioning (Siegel et al., 2015).

941 From our preliminary set of recordings we were able to,

- 942 1. Detect time cells in our population recordings,
- 943 2. Observe signs of expansion of the time cell sub-population over
944 early stages of learning, and
- 945 3. Observe shifts in the timing of peak for known, chronically
946 tracked time cells, typically moving away from the US and
947 towards the CS.

948

949 Technical difficulties prevented us from expanding our experimental
950 recording datasets to the point where these results could be looked at
951 more critically and the results may be sufficient for publication. We
952 discuss our prototyping efforts and preliminary data for this project in
953 detail, in the last few sections each of Chapters 2 (“Behaviour”) and 3
954 (“Imaging”).

955

956 **Project III - What is the best way to detect and score
957 time-tuned cellular activity?**

958

959 Given that we had collected a reasonable sample of multi-day tracked
960 cells while head-fixed mice were being trained to a Trace Eye-Blink
961 Conditioning (TEC) task, we wished to move forward to identifying time
962 cells in the most reliable way, with the aim to drawing quality
963 conclusions from the physiology recordings.

964

965 The paper entitled “Synthetic Data Resource and Benchmarks for Time
966 Cell Analysis and Detection Algorithms” (Ananthamurthy & Bhalla,
967 2023) was a consolidation of our progress to analyse physiology data
968 from real and synthetic cells expressed as calcium activity trials and
969 sessions.

970

971 Here, we used a computational approach and developed categorically
972 labelled, user definable, large scale synthetic datasets, as a test bed to
973 compare and benchmark the predictions made by popular time cell
974 detection algorithms. We were able to test the sensitivity of these
975 computational algorithms across a wide array of experimental

976 recording parameters, and could ultimately conclude the best
977 operational regimes for each of them. All of the code base for this
978 project is freely available online
979 (<https://github.com/bhallalab/TimeCellAnalysis>) and is intended to be a
980 resource to researchers.

981

982 The paper is attached as Chapter 4 (“Analysis”).

983 **Code Availability**

984

985 All our code for Synthetic Data generation and time cell Analysis is
986 available at <https://www.github.com/bhallalab/TimeCellAnalysis>.

987

988 All our code for conducting Trace Eye-Blink Conditioning (TEC)
989 behaviour is available at
990 <https://www.github.com/ananthamurthy/eyeBlinkBehaviour>.

991

992 Analysis scripts for evaluating TEC performance are available at
993 <https://github.com/ananthamurthy/MATLAB/tree/master/TECAAnalysis>.

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1462 **Chapter 2 – Behaviour**

1463

1464 **Towards understanding brain activity in a
1465 reproducible context**

1466

1467 Our understanding of memory and learning depends upon the type of
1468 learning that is studied (Schreurs, 1989). Two important categories of
1469 memory and learning experiments are,

- 1470 1. Non-associative (Habituation and Sensitization), and
- 1471 2. Associative learning (Classical and Operant Conditioning).

1472

1473 Non-associative learning paradigms provide information about how an
1474 organism responds to repeated presentations of a single stimulus
1475 (Brown, 1998). However, it was of interest to us to study how animals
1476 responded to a number of events and stimuli being associated, and
1477 how the activity of the brain relates to this. Hence, we chose to design
1478 our experiments to incorporate associative learning, which is a
1479 relatively permanent change in behaviour that results from the
1480 temporal conjunction of two or more events or stimuli.

1481

1482 Empirically, reproducible behaviour depends on strong associations
1483 between the events or stimuli being paired, and may often require
1484 many repeated pairings or trials. Additionally, having the animal
1485 engage in the behavioural task and pay attention to the stimuli being

1486 presented, is crucial to look for important correlations between the
1487 experiment conditions (external) and brain activity (internal).

1488

1489 Anaesthetized animals have been previously used to study brain
1490 activity and led to important discoveries, e.g. - visual representation of
1491 moving bars in the visual cortex (Hubel & Wiesel, 1962), habituation in
1492 the Hippocampus (Deshmukh & Bhalla, 2003). However, it was clear
1493 that similar experiments repeated in awake animals did not result in the
1494 same observations. Indeed, animals needed to navigate a known
1495 environment before the discovery of place cells (O'Keefe &
1496 Dostrovsky, 1971), grid cells (Fyhn et al., 2004; Hafting et al., 2005),
1497 and head-direction cells (Ranck, 1973; Taube et al., 1990), among
1498 others, could be made.

1499

1500 The reliability of the overt behavioural responses of the experiment
1501 animals then sets the conditions and parameter list to study physiology
1502 within the confines of reproducible behavioural contexts, and was
1503 considered an important mandate for the standardization of any of the
1504 behavioural tasks described in this chapter. Under the umbrella of
1505 associative learning, we began our experiments with various protocols
1506 related to operant conditioning wherein the reinforcing signal for
1507 learning was a water reward to correctly timed licks. As will be
1508 discussed in the next few sections, we later switched to aversive
1509 conditioning with Trace Eye-Blink Conditioning.

1510 **Operant conditioning [Project I]**

1511

1512 Operant conditioning is both the procedure and a type of associative
1513 learning process through which the strength of a voluntarily performed
1514 behaviour is modified positively (appetitively) by reward (water,
1515 sucrose, food, etc.), or negatively (aversively) by punishment (air-puff
1516 to the eye, electrical shocks, etc.). For example, if the animal responds
1517 to a presented stimulus by performing a lick onto a water spout, then a
1518 water reward would strengthen the behaviour while Lithium Chloride
1519 solution (which is aversive) would weaken it.

1520

1521 We now describe our experiments and results with regard to operant
1522 conditioning, in more detail.

1523

1524 **Required features**

1525

1526 For Project I, the goal was to study how the association of a neutral
1527 stimulus with a water reward modified the neurophysiological activity of
1528 the hippocampal CA1. For this, we required the following.

1529 1. An assortment of different stimuli and modalities (light, tone, etc.)
1530 to be presented to the animal.

1531 2. The animal must withhold any motor movement during the
1532 presentation of the stimuli, to study pure stimulus responses.

1533 3. The animal must perform a lick for a water reward after the end of
1534 the stimulus presentation.

1535 4. The animal must be able to make the association between stimuli
1536 and water reward within 7 days of training (at the time we did not have
1537 the ability to record for multiple days).

1538

1539 The behavioural state of the animal, in terms of anxiety, motivation,
1540 attention, etc., may be variable when a naïve animal is presented with
1541 different stimuli. This may cause a large variability in the activity of
1542 cells, since the animal may not be paying attention to it. Also, if the
1543 animal were rewarded for performing the task it is expected that there
1544 would be motivation to pay attention to the stimuli presented. Finally,
1545 such a task would involve the animal associating the stimuli that it is
1546 trained to with a behavioural task and this would provide an apt context
1547 to study association related changes in stimulus responses.

1548

1549 In this section, we discuss some important protocols that we tried and
1550 tested and a list of the various kinds of behavioural tasks we employed
1551 for head-fixed mice.

1552

1553 For Project I, we tried several variations of operant conditioning
1554 including Stimulus Detection tasks, Delayed Non-Match to Sample
1555 (DNMS), as well as Go/No-Go tasks. Each of these tasks requires
1556 animals to perform licks to the Conditioned Stimuli and for them to be
1557 rewarded (2-3 µL water) or punished based on the task demands and
1558 protocol design.

1559 **Water delivery and calibration**

1560

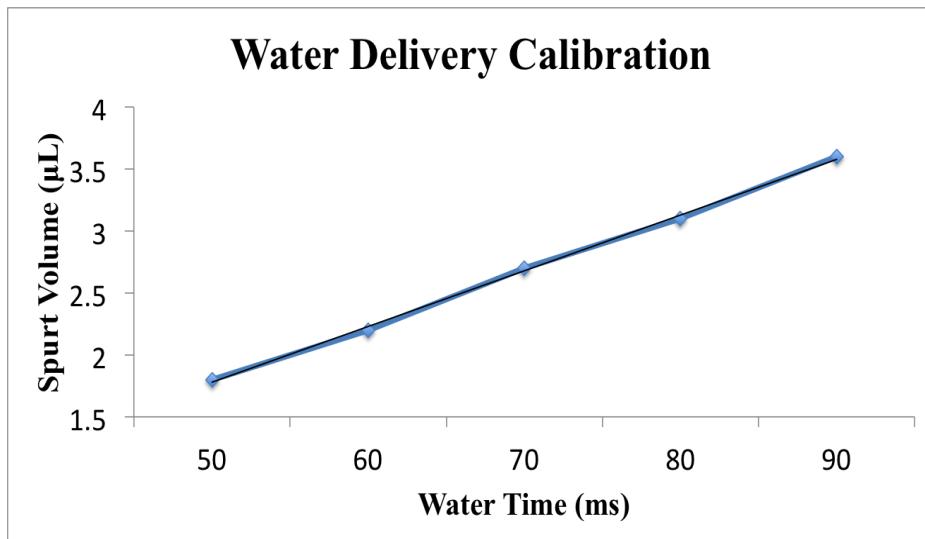


Figure 2: Water delivery calibration to reward the animal consistently with the same volume of water.

1561 The lick port was made from a trimmed and smoothed 16 gauge
 1562 syringe, connected to a water reservoir with small diameter tubing. A
 1563 solenoid valve clamped onto this tubing, gated by a 12V DC signal.
 1564 When this gate was opened, the volume of water could be regulated by
 1565 the duration of the 12V DC signal. We calibrated the duration of gate
 1566 opening to achieve ~2 μ L per pulse or spurt (Jaramillo & Zador, 2014).
 1567 The weight of 100 spouts was measured and then divided by 100 to get
 1568 the weight of 1 spout. 65 ms was found corresponding to 2.5 μ L (this
 1569 value is going to be used for behaviour). In the figure below (Figure 2),
 1570 the measured volumes/weights are plotted as blue filled diamonds,
 1571 error bars are presented as Standard Error and the linear trendline is
 1572 shown in black.

1573 Opto-isolator circuit for solenoid control

1574
 1575 To be able to programmatically control the 12V DC line to the solenoid
 1576 valve, we used the following circuit (Figure 3), which accepted a 5V

1577 digital input from the DAQ (NI USB-6001) interfacing the lab computer
1578 to the behaviour rig.

1579

1580 **Parts list**

1581 1. 470 ohm resistor

1582 2. 15 kohm resistor

1583 3. MCT2e

1584 4. ULN2003

1585 5. Bases (adaptors for MCT2e and ULN2003)

1586 6. +5V and +12V DC inputs from a Power Supply)

1587 7. Source of +5V DC input (DAQ, etc.)

1588 8. Connecting wires

1589 9. Load Resistance (Solenoid, etc.)

1590

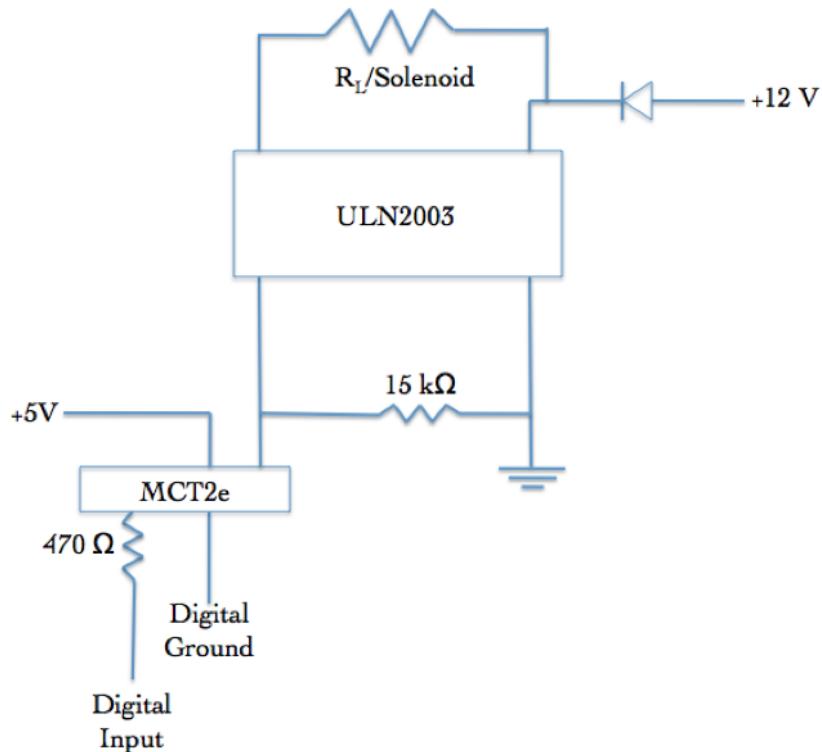


Figure 3: Optoisolator circuit to amplify +5V DC input to +12V DC output.

1592

1593 Lick detection circuit

1594

1595 To be able to monitor the presence or absence of licks to the port, the
 1596 conductive part (metal) of the lick port syringe was connected to a
 1597 MOSFET such that a 5V DC voltage could be read out, whenever the
 1598 animal would make contact with the port. This was designed as a
 1599 readout to Stimulus Detection by the animal. The circuit diagram is
 1600 shown below (Figure 4):

1601

1602 **Parts list**

- 1603 1. +5V Power Supply
- 1604 2. 4.7 kohm resistor
- 1605 3. 22 Mohm resistor
- 1606 4. IN4007 Diode
- 1607 5. NPN Transistor IRF540N (MOSFET)
- 1608

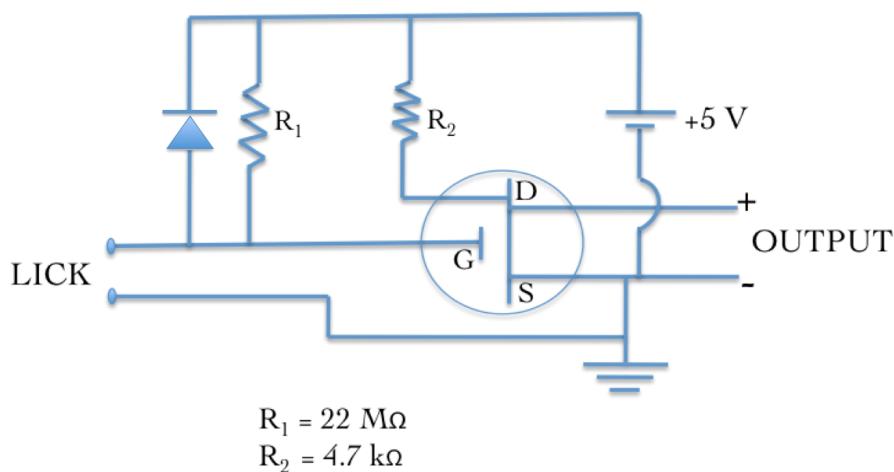


Figure 4: Lick detector circuit based on a MOSFET design. Whenever the animal performed a lick, a +5V DC Output would be read out.

1610 **Controlling task details and protocol information**

1611

- 1612 All protocols were controlled using custom scripts written in NI LabVIEW 8. These scripts were run on a lab desktop which interfaced with the DAQ (NI USB-6001) via USB. The DAQ,
 - 1. Sent the 5V digital input to switch on the solenoid valve
 - 1616 regulating water delivery, and

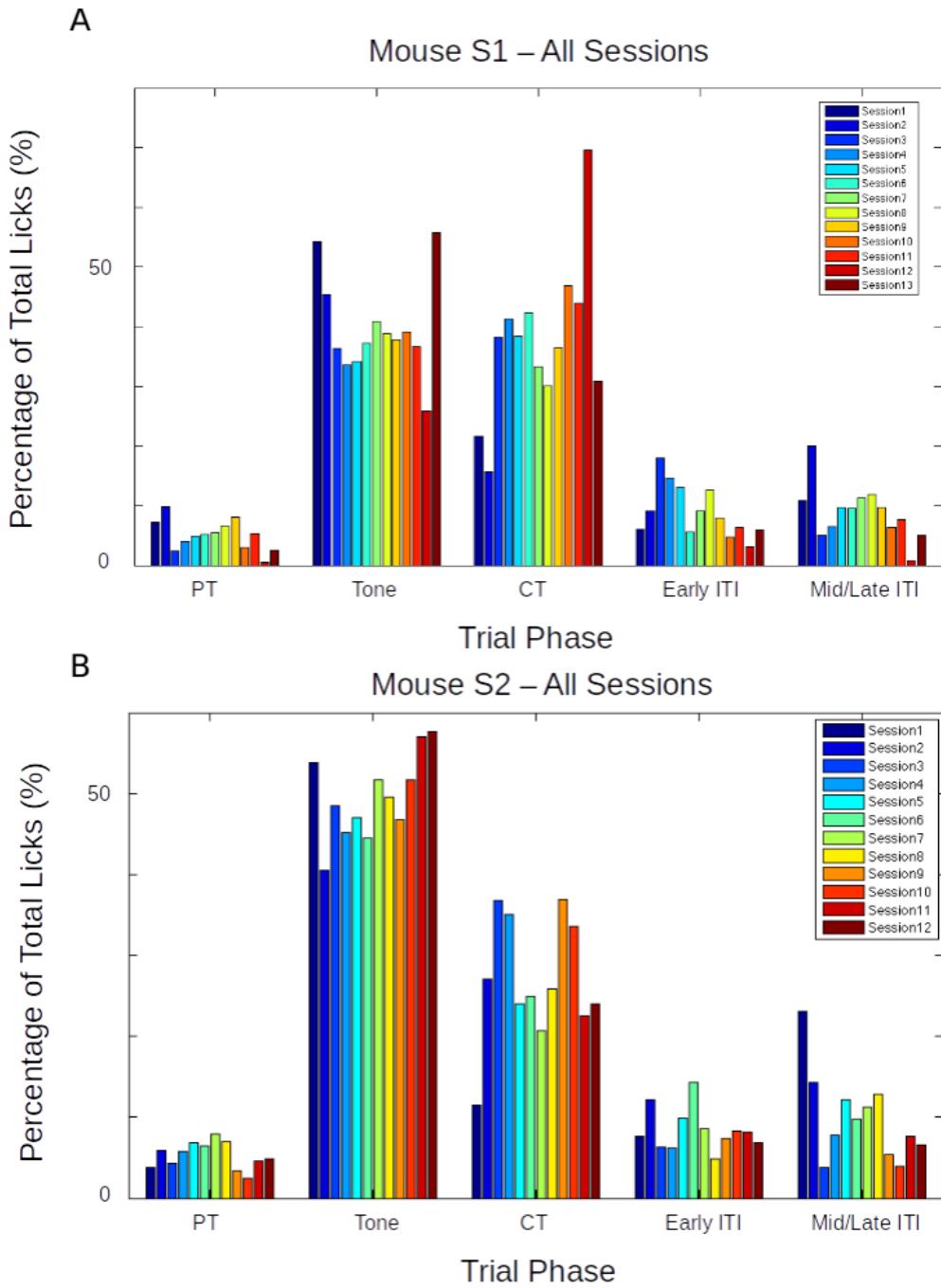


Figure 7: Performance evaluation for two example mice trained to Protocol 2. Percentage of total licks in the various trial phases (Pre-Tone, Tone, CT, and ITI), across all sessions of training (coloured bar plots), for (A) Mouse S1, and (B) Mouse S2.

1618 2. Received the 5V digital output of the lick detection circuit
1619 whenever a lick was produced by the animal.

1620

1621 **Head-bar implant, Animal Handling, and Water
1622 deprivation**

1623

1624 All experiments were planned to be conducted on head-fixed C57Bl/6
1625 mice, with the eventual intention to perform *in vivo* imaging on these
1626 animals. For this, we surgically implanted metal head-bars on the skull
1627 of the animals while they were maintained on 1-2% Isoflurane, above a
1628 heating pad (35°C). Surgeries would last no longer than 30 mins per
1629 animal.

1630

1631 After 1-7 days of recovery after surgery, we handled the animals gently
1632 for 2 days till the animals would appear comfortable with lifting and
1633 gentle collar grabbing. Next, for 3-4 days, we kept the animals head-
1634 clamped. We restricted our animals to ~1ml of water per day, keeping
1635 check that their body weight did not fall to below 80% of the weight on
1636 day 1.

1637

1638 **PROTOCOL 1.1: Stimulus Detection Task**

1639

1640 We first tried the simplest version of the lick task wherein an auditory
1641 tone was followed by a water reward. The animal would have to
1642 withhold licking till the end of the stimulus presentation, and then
1643 perform the lick for the reward (Figure 5).

1644

1645 **Total number of trials:** 600/session; 1 session/day

1646 **Trial phases:**

- 1647 1. Stimulus free pre-tone (PT): 1 s
- 1648 2. Tone: 5 kHz for 1 s
- 1649 3. Critical timeout (CT): 100 ms
- 1650 4. Inter-trial Interval (ITI): randomized between 2 s to 5

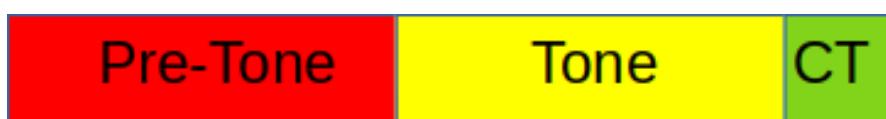


Figure 5: Typical trial structure with the various phases. Each trial was followed by a randomized Inter-Trial Interval (ITI).

1652 Only licks during the critical timeout (CT) phase immediately after the
1653 Tone phase were rewarded while licks in other phases resulted in a
1654 phase restart.

1655

1656 **PROTOCOL 1.2: Stimulus Detection Task with aversive
1657 punishment**

1658

1659 **Total number of trials:** 600/session; 1 session/day

1660

1661 Only licks during the critical timeout (CT) phase immediately after the
1662 Tone phase were rewarded while licks in other phases resulted in an
1663 aversive punishment, *viz.*, 100 ms air-puff to the body of the animal,
1664 before a phase restart. For Mouse 3 we started Protocol 1.2 from
1665 Session 3 while for Mouse 4 we started Protocol 1.2 from Session 2.

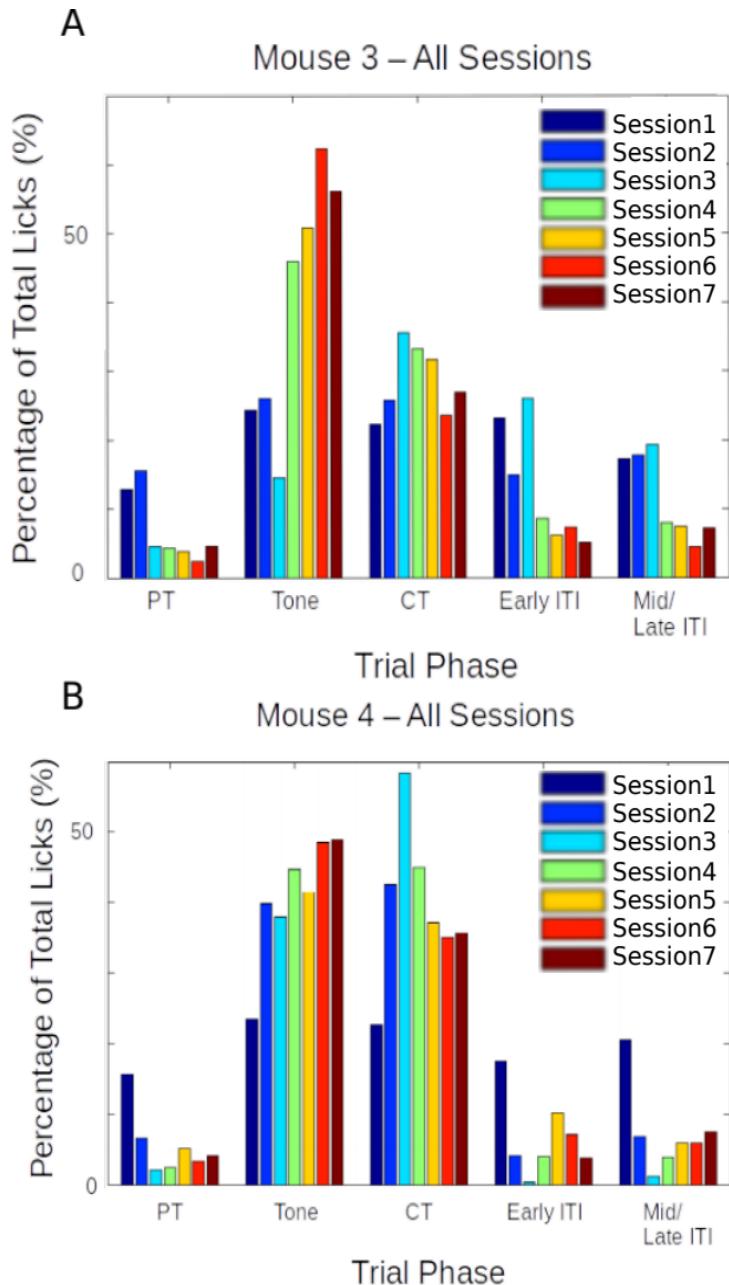


Figure 6: Performance evaluation for two example mice trained to Protocol 1.1 and 1.2. Percentage of total licks in the various trial phases (Pre-Tone, Tone, CT, and ITI), across all sessions of training (coloured bar plots) for A) Mouse 3, and B) Mouse 4

1667 **Results – Protocol 1.1 and 1.2**

1668

1669 The behavioural performance for each of the experiment animals was
1670 evaluated using custom analysis scripts written in MATLAB 2011. Here
1671 are the results from two mice trained based on Protocols 1.1 and 1.2
1672 (Figure 6).

1673 In both the examples shown, animals would typically produce a great
1674 percentage of total licks even during the Tone period. This failure to
1675 withhold licks carried on for 7-14 sessions, and the task was ultimately
1676 unsuccessful.

1677

1678 **Total animals trained:** 2

1679 **Conclusion:** Fail

1680

1681 **Protocol 2: Stimulus Detection task with timeout box**

1682

1683 We also tried the same Stimulus Detection protocol, without an air-puff
1684 punishment, but with incorrect licks punished by a trial abort and a
1685 stimulus-free timeout phase, which the animal could escape from if it
1686 withheld licking. We decided to train the animals in blocks, each with a
1687 specific goal that the animal had to achieve.

1688

1689 **Trial phases:**

1690 1 Stimulus-free pre-tone (PT): 1 s

1691 2 Tone: 5 kHz for a variable duration (based on Block)

1692 3 Critical timeout (CT): 1000 ms

1693 4 Inter-trial Interval (ITI): randomized between 2 s to 5 s

1694

1695 Only licks during the critical timeout (CT) phase immediately after the
1696 Tone phase were rewarded while licks in other phases resulted in a
1697 phase restart.
1698
1699 **Block 1:** Unconditional Water to get the animal to associate the tone
1700 - ~20 trials
1701 - 100 or 200 ms Tone duration
1702 - Unconditional water provided at the end of the tone, irrespective of
1703 lick
1704
1705 **Block 2:** Conditional Water to get the animal to learn that licking
1706 with/after tone is going to be rewarded
1707 - 100 or 200 ms Tone duration
1708 - 1000 ms Reward phase
1709 - Lick during/after tone (Reward phase) = reward
1710 - No lick = no reward
1711 - Lick during pre-tone = no reward/abortion of trial
1712 - Lick during ITI = no reward/abortion of trial
1713 - Animals graduate to the next Block of training only after achieving at
1714 least 70-80% success rates
1715
1716 **Block 3:** Training the animal to learn "when" to lick
1717 - 1000 ms pre-tone, 100 or 200 ms Tone, 1000 ms Reward phase, 3-5
1718 s randomized ITI
1719 - Lick during Reward phase = reward
1720 - Any lick during the pre-tone or the tone, aborts the trial and sends the
1721 program to a Timeout phase (lasting, 2-3 s)
1722 - The timeout phase ends only when there is a 2-3 s (specified) interval
1723 of no licking

1724 - If the timeout phase ends, a new trial begins
1725 - Licks during ITI are also "punished" accordingly
1726 - Animals graduate to the next Block of training only after achieving 70-
1727 80% success rates

1728

1729 **Block 4:** Same as Block 3, but with a gradually increasing tone
1730 duration in steps of 50/100 ms
1731 - The tone duration is gradually increased, the increase being tailored
1732 to the performance of the animal
1733 - It will be attempted to get the animals to learn to wait for 500-700 ms
1734 - Animals graduate to the next Block of the experiment only after
1735 achieving 70-80% success rates

1736

1737 **Results – Protocol 2**

1738

1739 The behavioural performance for each of the experiment animals was
1740 evaluated using custom analysis scripts written in MATLAB. Here are
1741 two representative examples of mice trained based on Protocol 2 –
1742 Block 3 (Figure 7).

1743

1744

1745 Again, as is clear from the examples above, that while the mice
1746 eventually produced a decent percentage of total licks in the critical
1747 timeout (CT) phase to get a water reward, they did not learn to
1748 withhold licks during the Tone phase, even after >10 sessions. The
1749 task was ultimately unsuccessful.

1750

1751 **Total animals trained:** 4

1752 **Conclusion:** Fail

1753

1754 **Protocol 3: Delayed Non-Match to Sample (DNMS)**

1755

1756 Delayed Non-Match to Sample (DNMS) is a task that is ideally suited
1757 to study working memory and recognition (Binder et al., 2009), but we
1758 decided to try it. This task involves trial-by-trial presentation of two
1759 stimuli separated by a stimulus-free delay interval. For any given trial,
1760 If the two pseudorandomly chosen pairs of stimuli were identical, then
1761 licks would not be rewarded. However, if the pair of stimuli were
1762 different, then licks would be rewarded with 2 μ L water.

1763

1764 We tried to incorporate more tones, in the hope that this may improve
1765 the chances of the animals focusing on the task specifics, instead of
1766 producing licks to just any particular stimulus.

1767

1768 **Tones used:** 6000 Hz, 8500 Hz, 10000 Hz, and 11500 Hz

1769 **Trial phases:**

1770 1. Pre-Tone duration (ms): 1000 ms

1771 2. CS 1 duration (ms): 350 ms

1772 3. Delay Interval duration (ms): 250 ms

1773 4. CS 2 duration (ms): 350 ms (unless a correct lick is
1774 elicited)

1775 5. ITI duration (s): randomized from 1 s to 3 s

1776 **Punishment:** Timeout Box (minimum of 3s of no licks to escape)

1777 **Reward:** 2 μ L of water

1778

1779 **Results – Protocol 3**

1780

1781 >70-80% of the trials had to be aborted because the animals would not
1782 withhold licking after the 1st of the pair of tones was presented. This
1783 did not change even after 7 days (sessions) of training.

1784

1785 **Total animals trained:** 6

1786 **Conclusion:** Fail

1787

1788 **Protocol 4: Go/No-Go Task**

1789

1790 In an attempt to simplify the behavioural task, we decided to
1791 reconfigure the DNMS task to a simpler Go/No-Go task. Here, we
1792 would again present the animal with two stimuli, but with the only
1793 condition being that the animal would have to lick after the second
1794 stimulus, and not before. This simplifies the behaviour to a certain
1795 extent, because the animals need only use the first stimulus as a cue
1796 for the second. Failure to perform this task could more easily then be
1797 attributed to a lack of attention in that trial. Only the data from the trials
1798 where the animal succeeds to do the task would be considered for
1799 analysis. Training related changes in actual stimulus representations
1800 would be carefully dissected out. Furthermore, such a task would
1801 control for the behavioural state of the animal and help provide
1802 important datasets.

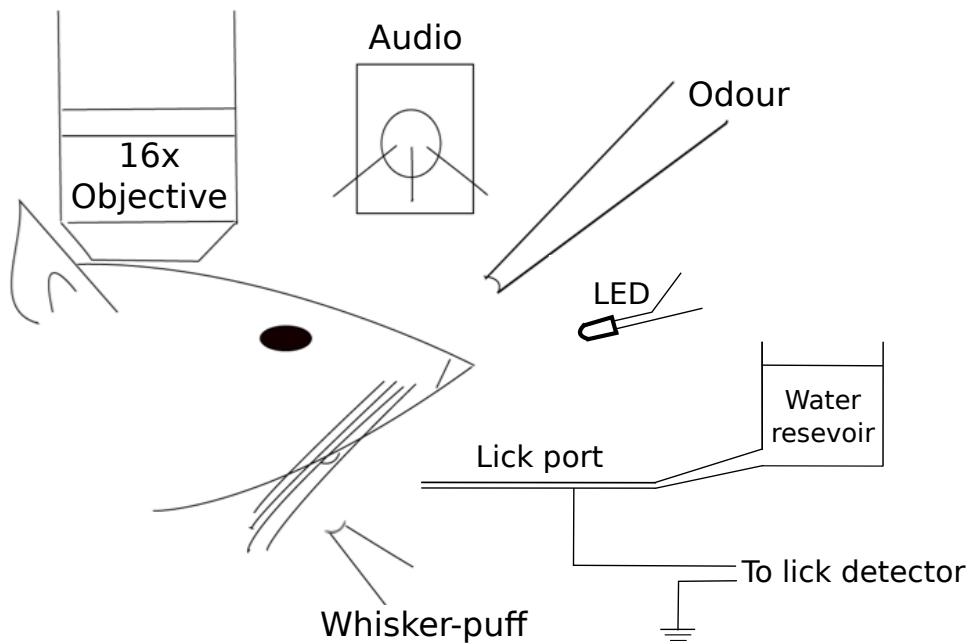


Figure 8: Schematic representation of the experimental setup for a simple detection task where the animal must perform a lick upon correctly detecting the presence of the conditioned stimulus (CS; from a range of modalities).

- 1804 In terms of imaging, we hoped to use the no-go stimulus to record a
 1805 clean stimulus response without the possible contamination of
 1806 movement (licking behaviour), and the go stimulus to verify attention
 1807 (Figure 8).
- 1808 Trials were designed to go through the following phases and have the
 1809 animal graduate to subsequent phases, only after correctly performing
 1810 the behaviour:
- 1811 1. Pre-tone: Stimulus-free period; no lick
 1812 2. No-go tone: 7kHz tone period; no lick
 1813 3. Go tone: 10kHz tone period; lick for reward

1814 If the animal would perform an incorrect lick, the particular phase
1815 currently occurring was restarted. Only licks to the Go tone were
1816 rewarded (Figure 9).

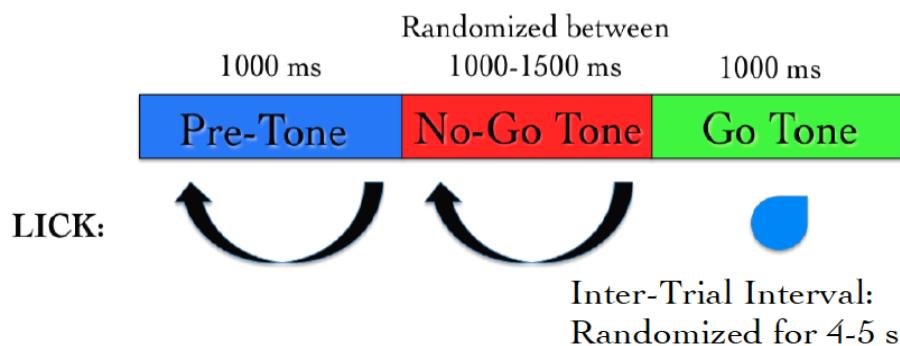


Figure 9: Typical trial structure with the various phases and lick dependent relationships.

1818 Results – Protocol 4

1819
1820 The behavioural performance improves only after ~3-4 sessions of
1821 training (Figure 10A). This is primarily due to an increase in the
1822 percentage of trials with a correct Go tone lick, as shown (Figure 10B).
1823

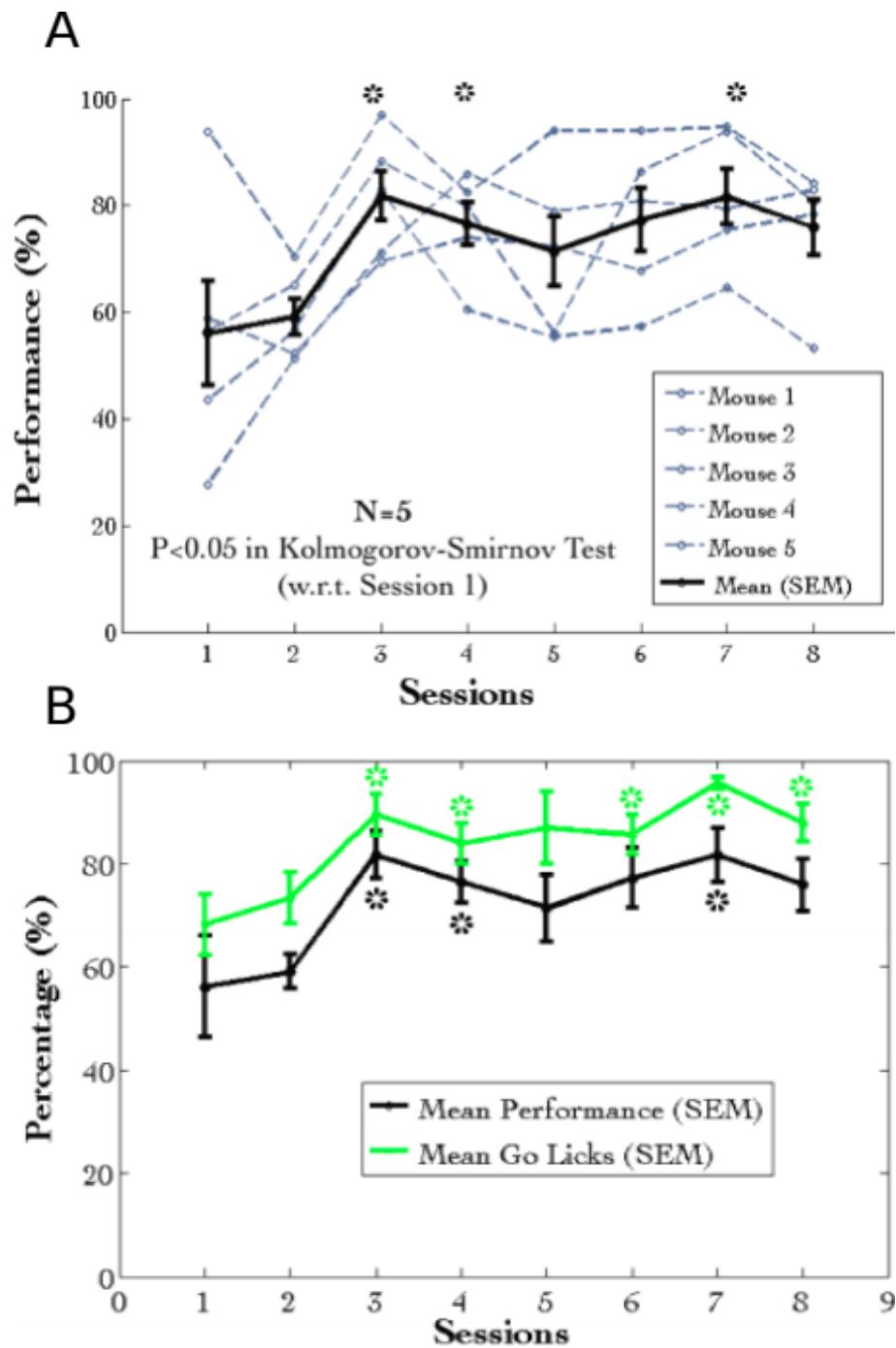


Figure 10: (A) Performance traces for all the animals individually shown in dashed grey lines. Mean + SEM in black. (B) Mean Performance (%) and Mean Go Tone Lick Percentage (%) with Session for the Go/No-Go Task, plotted in black and green, respectively.

1825 A plot of the lick histogram for the various trial phases revealed that
1826 despite reaching the maximum success rate, the animals continued to
1827 lick during the no-go tone phase (incorrect lick) for a long duration of
1828 time (Figure 11). There was no difference in the amounts of time spent
1829 in the pre-tone or no-go tone phases. This suggested that the animals
1830 did not discriminate between the Go and no-go tones. Accordingly, the
1831 current protocol was not being learnt as expected.

1832

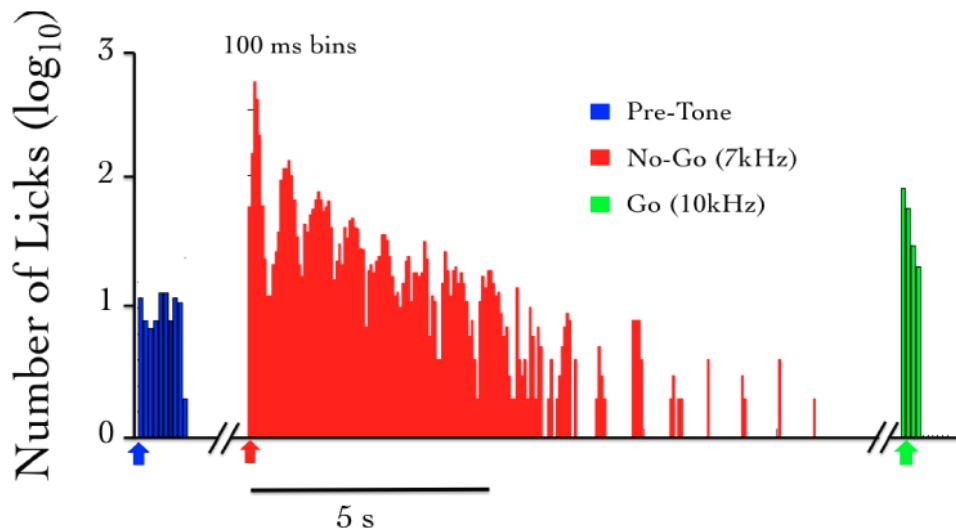


Figure 11: The number of licks in the pre-tone (blue), no-go tone (red), and go tone (green) phases, in a representative animal, in a session with maximum Performance (%).

1834 We were not able to get discriminatory detection. Animals would resort
1835 to performing licks continuously and agnostically to the go and no-go
1836 stimulus. In a study published many years later, it was determined that
1837 discriminatory tasks such as the one described above, could often
1838 require 3-4 weeks of training (Guo et al., 2014), since the animal was
1839 not punished with anything more than a delay or phase restart.

1840

1841 **Total animals trained:** 5

1842 **Conclusion:** Fail

1843

1844 Eventually, we had to abandon these experiments, to switch to an
1845 aversive conditioning task, *viz.*, Trace Eye-Blink Conditioning (TEC).

1846 With the change in the main behavioural task we also changed the
1847 project goals. The TEC task was standardized with the intention to
1848 work on Project II which is to study how animals make complex
1849 associations between different types of stimuli and how they adapt to
1850 changes to the inter-stimulus interval (ISI).

1851

1852 **Trace Eye-Blink Conditioning [Project II]**

1853

1854 Eye-blink Conditioning is a class of Classical Conditioning and requires
1855 the presentation of a neutral stimulus (Conditioned Stimulus, CS) along
1856 with an eye-blink eliciting, mildly aversive stimulus (Unconditioned
1857 Stimulus, US). Depending on whether the CS presentation overlaps
1858 with the US presentation or if the two stimuli are separated by a
1859 stimulus free interval in between (Trace interval), the concomitant
1860 procedure is called Delay Conditioning or Trace Conditioning,
1861 respectively (Figure 12). In either case, precise timing of the CS and
1862 US is mandated.

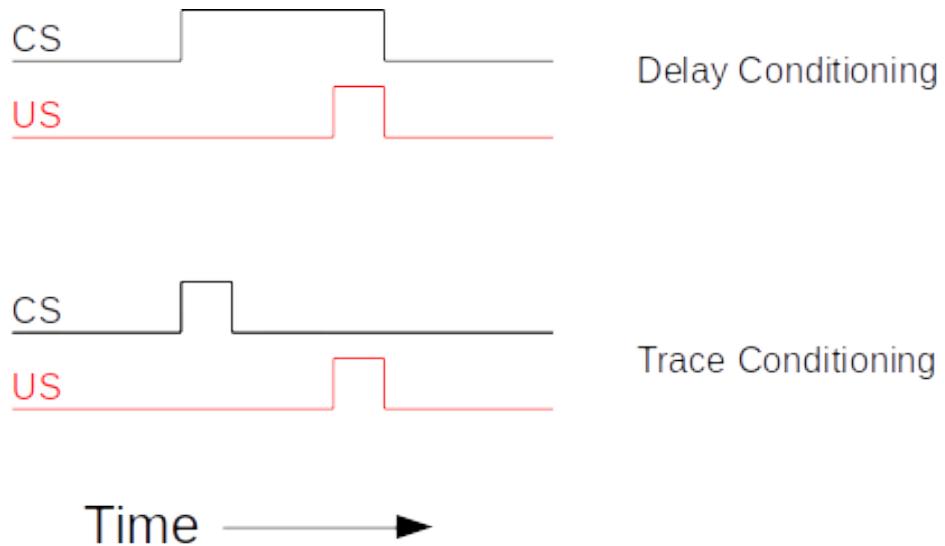


Figure 12: Schematic representation to showcase the two main subtypes of Classical Conditioning (Eye-Blink Conditioning, for our experiments) based on the relative timing and presentation of the CS and US.

1864 The CS is usually an auditory tone or a visual stimulus (e.g.- LED
 1865 Flash), while the US is typically a mild air-puff to the cornea, or a
 1866 gentle electric shock to the eye-lid. Naive animals (rabbits, rodents,
 1867 monkeys, etc.) produce a robust, reflexive eye-blink to the US
 1868 (Unconditioned Response, UR) and ignore the CS, in early trials.
 1869 However, with repeated pairing of CS and US, the animals are able to
 1870 associate the two, and use the CS as a cue to predict the US,
 1871 producing a partial, preemptive eye-blink just before the expected time
 1872 of the US (Conditioned Response, CR). The CR develops in amplitude
 1873 over multiple pairings or training sessions. In well trained animals, the
 1874 CR begins at a time point closer and closer to the CS onset, and
 1875 usually merges with the UR. The animals produce this CR in an
 1876 attempt to avoid the US.
 1877

1878 Traditionally, Trace Eye-Blink Conditioning has been an important
1879 hippocampus-dependent behavioural task, and has been adapted to a
1880 variety of different species, spanning rabbits, rats, and mice.
1881
1882 Damage or inhibition of the hippocampus has been shown to limit task
1883 acquisition without affecting other non-hippocampus dependent tasks
1884 such as Delay Conditioning. In an experiment, Ibotenic Acid was used
1885 in a session dependent fashion, to observe both limitations in first
1886 acquiring the Trace Conditioning task, as well as detriments to
1887 behavioural recall, even after animals learn the task to a high degree of
1888 proficiency, suggesting the pivotal role that the hippocampus plays in
1889 temporal tasks of this nature (Tseng et al., 2004).
1890
1891 A single session of Trace Eye-Blink Conditioning, with strong stimuli
1892 (CS and US), has been previously employed (Modi et al., 2014), but
1893 with only upto 50% of the animals learning the task. Typically animals
1894 require around 3-7 sessions (~200-600 trials) to robustly learn the task.
1895 Accordingly, we designed and standardized a multi-session version of
1896 TEC, to allow more animals to learn and acquire the task, based on
1897 previously published work (Siegel et al., 2015).
1898

1899 **Tracking eye-blink responses**

1900
1901 The most foolproof way to track eye-blink responses (especially with
1902 head-fixed animals) chronically (for multiple sessions across days), is
1903 to use a video camera. We used a Point Grey Chameleon3 1.3 MP
1904 Monochrome USB3.0 camera) for this purpose. It is cost effective and
1905 with proper scaling of the resolution and field of view, can achieve

1906 recording rates of >200 frames per second (FPS). An important criteria
1907 for getting faster frame rates is to have better illumination, so that the
1908 camera may be set to lower exposure settings. We used a set of 5-10
1909 Red colour LEDs as the light source, and these are run using a 12V
1910 DC line, with current limited resistors in series. Additionally, we used
1911 an IR-blocking filter to avoid capturing the 2-Photon excitation light
1912 (910-920 nm) when conduction behaviour and imaging experiments
1913 simultaneously. Finally, to focus the light from the eye of the animal
1914 onto the camera sensor, we used a Tamron M118FM16 lens (1/1.8,
1915 16mm F/1.4).

1916 **Treadmill and tracking running speed**

1917
1918 Allowing the head-fixed animals to run on a treadmill was an important
1919 behaviour rig consideration, as this allows the animals to be more
1920 comfortable and less stressed. We used a 6 inch cylindrical massage
1921 roller with a stainless steel axle running along the length. This axle had
1922 ball bearings on the two ends, to allow for free rotation against clamps.
1923 Additionally, we used linear actuators to be able to adjust the height of
1924 the treadmill relative to the head-fixing clamps.

1925
1926 On one side of the treadmill, we used a printed pattern of black
1927 squares (side length: 1cm) along the circumference. This allowed an
1928 IR LED - Photodetector pair to catch the edges of the black printed
1929 squares. The number of edges detected per unit time, then gave us the
1930 run speed of the animals being trained. We followed previously
1931 published routines and protocols (Siegel et al., 2015) for setting up the
1932 treadmill and run speed tracking (Figure 13).

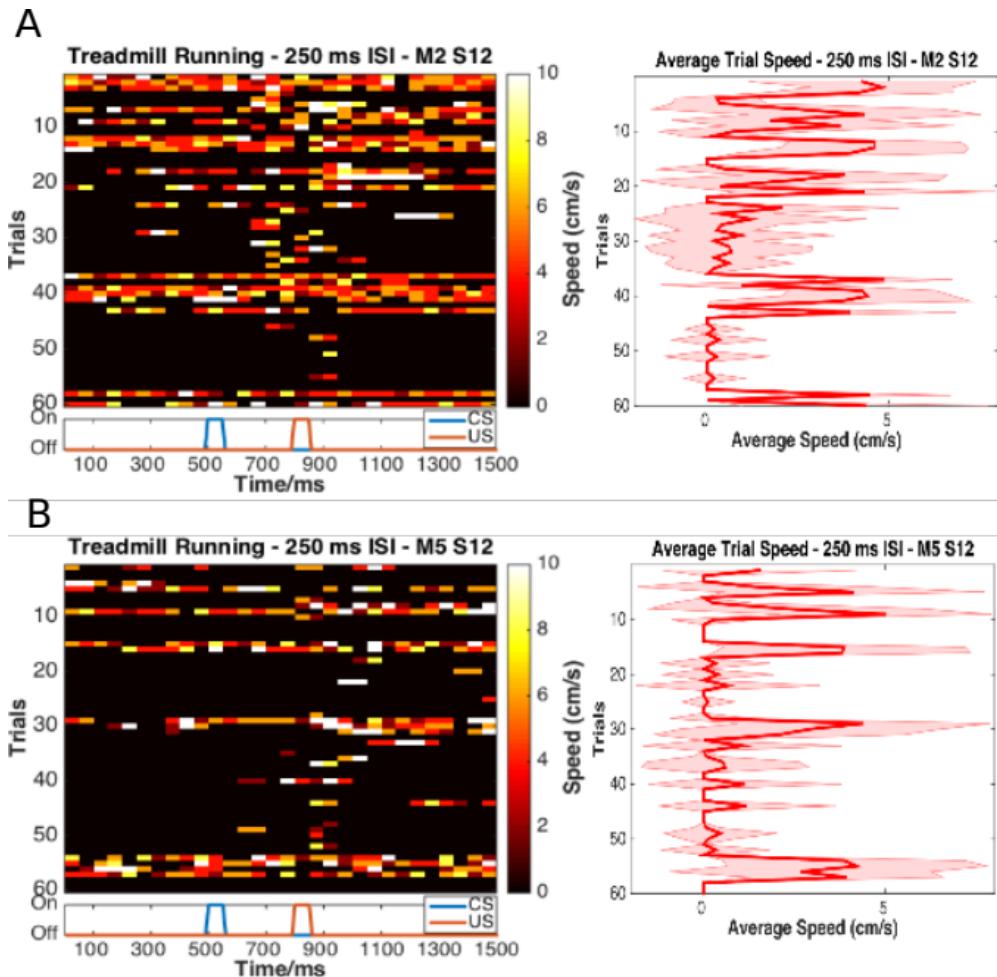


Figure 13: Trial by trial (left), and trial-averaged running speed (right) for two example animals, (A) M2 Session 12, and (B) M5 Session 12.

1934 Behaviour rig and protocol control - Software

1935

1936 For our initial experiments we used the open-source behaviour
 1937 controlling software suite Bonsai (Windows version). Later on, we were
 1938 able to implement our own custom codes that allowed integration of
 1939 the video camera, Arduino for stimulus delivery and treadmill tracking,

1940 and the software side of the protocols. Dilawar S. Rajput was
1941 instrumental in setting up the camera pipeline and integrating it into the
1942 Arduino code. The Camera server was implemented in C++ with
1943 Spinnaker API (Point Grey) and this fetched frames from the camera.
1944 The camera client was written in Python, and this read the frames to
1945 produce a copy to monitor the video feed live, as well as write the
1946 video frames to disk as .tif files.
1947 With this setup, the maximum memory usage was ~1.3 GB RAM, and
1948 the code (available at <https://github.com/BhallaLab/PointGreyCamera>)
1949 had the following dependencies:
1950 • libopencv-dev, python-opencv
1951 • cmake, g++, gnu-make
1952 • libtiff-dev, python-tifffile, python-numpy
1953 • python-gnuplotlib, gnuplot-x11
1954
1955 An important requirement for our behaviour experiment design was to
1956 be able to train the animals systematically under reproducible
1957 conditions, with the aim to have stable behavioural training and animal
1958 performance. We used a blue LED as the Conditioned Stimulus (CS,
1959 50 ms flash) with an air-puff to the eye serving as the Unconditioned
1960 Stimulus (US, 50 ms pulse). We used an Arduino to orchestrate
1961 stimulus delivery and protocol design. All experiments were performed
1962 on head-fixed C57Bl6 mice, since we planned to use a stationary,
1963 custom-built two-microscope to image hippocampal CA1 activity during
1964 task acquisition and recall (Figure 14; Figure 15).

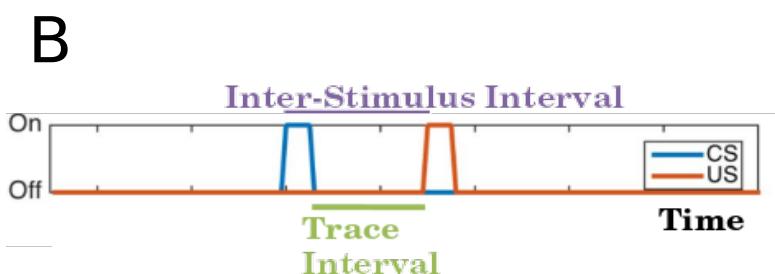
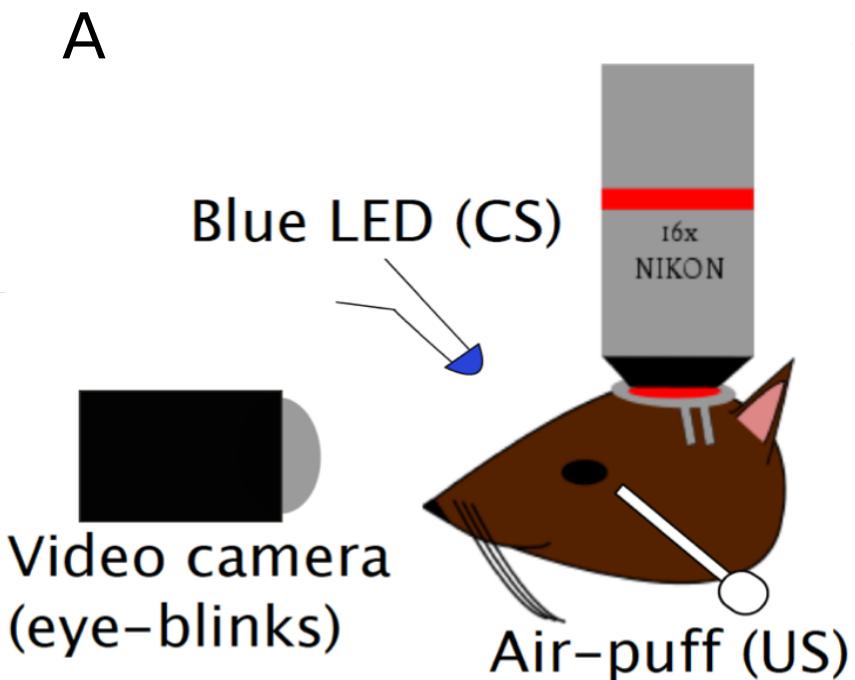


Figure 14: Schematic representation of (A) the behaviour rig with 2-Photon imaging, to train head-fixed mice on a Trace Eye-Blink Conditioning (TEC) task, and (B) relative time intervals between the CS and US.

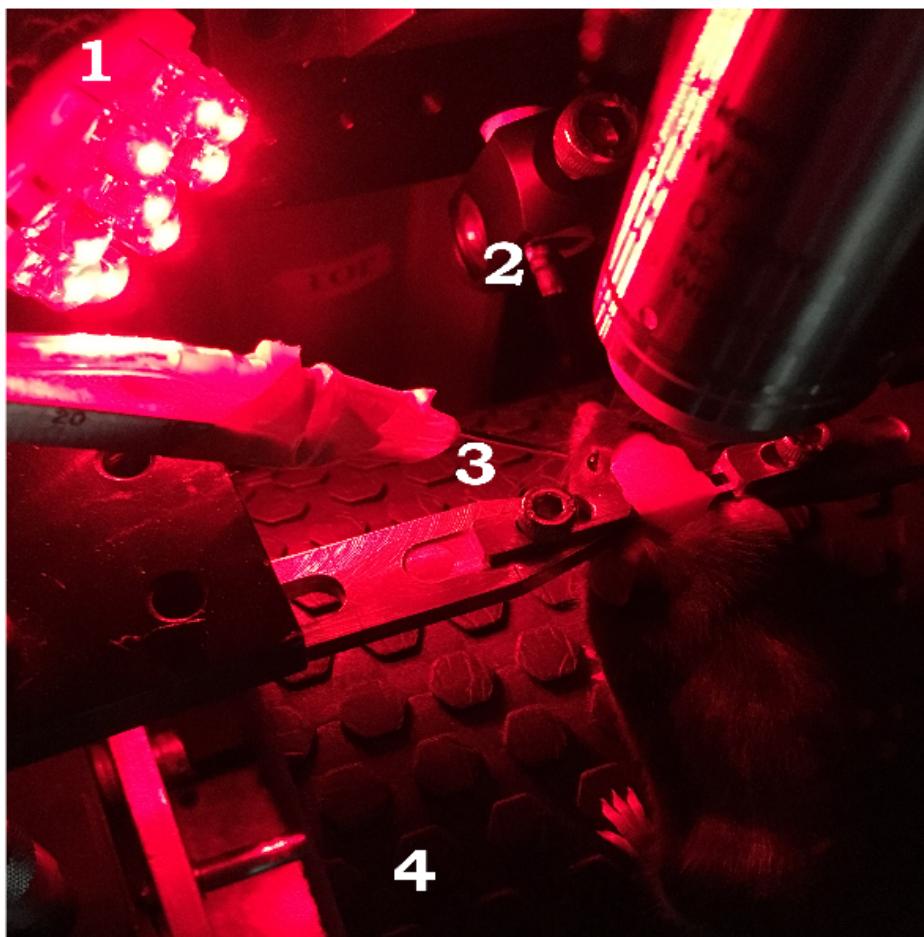


Figure 15: A picture of a head-fixed mouse clamped on the behaviour rig, with key components: 1) Red LED Illumination, 2) Blue LED CS, 3) Air-puff port directed to the left eye, and 4) Height-adjustable Treadmill

1967 Analysis - TEC

1968

1969 Once the .tif movies of the eye of the animal being trained were saved,
1970 they were analyzed by a custom script written in MATLAB, wherein for
1971 every frame we (Figure 16),

- 1972 1. Adjust contrast (optional)
- 1973 2. Apply a median filter (optional)
- 1974 3. Crop out the pixels defining the eye and surrounding
- 1975 (identical number of pixels for all trials and animals)
- 1976 4. Binarize the image of the eye to get black pixels defining the
- 1977 visible (opened) portion of the eye
- 1978 5. Count the relative proportion of open vs closed eye pixels in
- 1979 the cropped image, and
- 1980 6. Assign each frame with a Fraction of Eye Closure (FEC)
- 1981 score.
- 1982

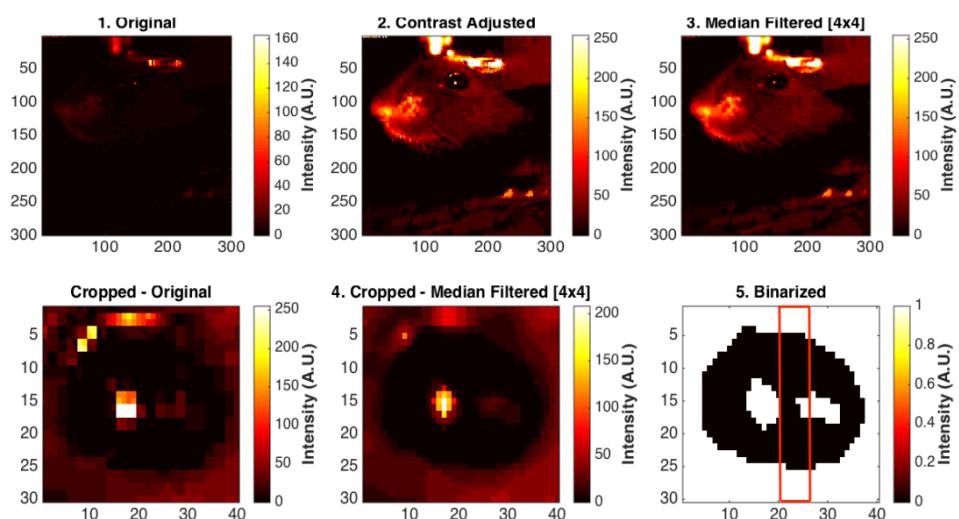


Figure 16: Steps from behaviour video frame to Fraction of Eye-Closed (FEC) analysis

- 1984 The FEC score then allowed us to analyse each trial's worth of frames
- 1985 for eye-blanks. There are many features of the eye-blink that could be
- 1986 used to gauge the overall performance of the animal in terms of both
- 1987 the Conditioned Response (CR) as well as the Unconditioned
- 1988 Response (UR), but for our experiments, we chose to use Eye-Blink

1989 Amplitude (Siegel et al., 2015). Additionally, we studied whether the
1990 animals could produce CRs in the absence of the US, by
1991 pseudorandomly selecting 10% trials to skip the US (Probe Trials).

1992

1993 **Results - TEC**

1994

- 1995 1. Animals showcase task acquisition by performing Conditioned
1996 Responses (CRs), observed as pre-emptive blinks timed to
1997 avoid the aversive US. The kinetics of the CR (timing,
1998 amplitude, etc.) are dependent on the amount of training, but
1999 are identical across paired and probe trials (Figure 17).

2000

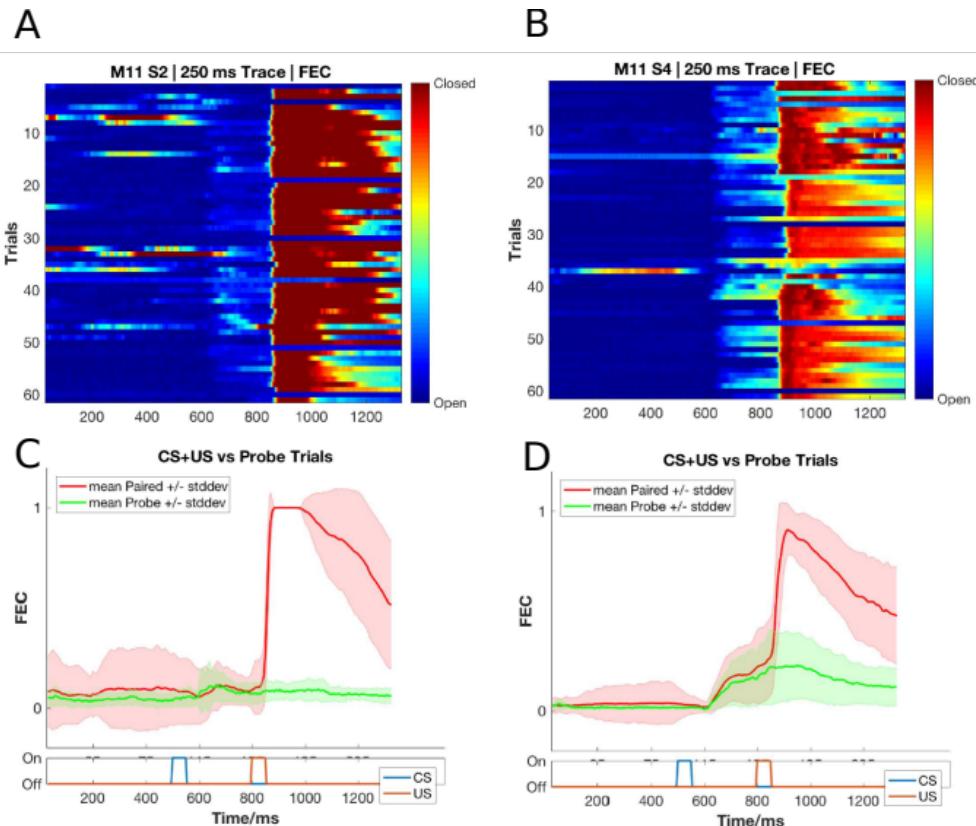


Figure 17: Conditioned Responses (CRs) are small amplitude eye-blinks triggered by the CS, and develop with multiple training sessions, while Unconditioned Responses are large eye-blinks to the US, typically consistent across sessions. (A,B) Trial-by-trial FEC traces for (A) M11 Session 2, and (B) Session 4. (C,D) Trial-averaged FEC traces for (C) Session 2 and (D) Session 4, with paired (red) and probe (green) trials.

- 2002 2. Most animals can pick up the task within 4-7 sessions (1 session/day, 60 trials/session), even if on water deprivation.
2003
2004
2005
2006 Animals can also be subsequently trained to different inter-stimulus intervals. Using the Conditioned Response (CR) amplitude, each trial can be binarized to whether a CR was

2007 elicited (Hit Trial) or not (Miss Trial), by thresholding at mean trial FEC + 2*Std. Dev.. Performance for the session is then estimated as the ratio of Hit Trials to Total Trials (Figure 18).
 2008
 2009
 2010

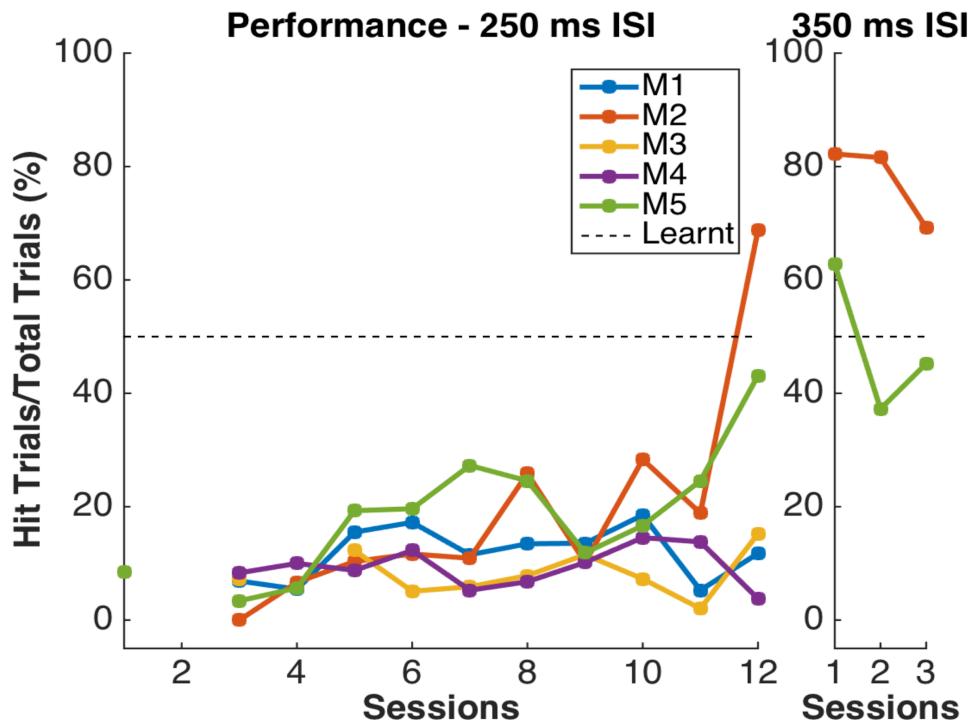


Figure 18: Performance in terms of the percentage of Hit Trials to total trials across sessions, including an ISI switch from 250 ms to 350 ms.

2012 3. Animals that learn multiple ISIs, especially when the second ISI
 2013 is $\geq 2x$ the first ISI, showcase complex eye-blinks without
 2014 extinction of the previously learnt CRs. Once an animal
 2015 showcases the ability to produce Conditioned Responses (CRs)
 2016 to one inter-stimulus interval (ISI), this interval can be
 2017 elongated. In the example shown below we first trained the
 2018 animal to a 250 ms ISI, and then switched to a 500 ms ISI
 2019 (Figure 19).

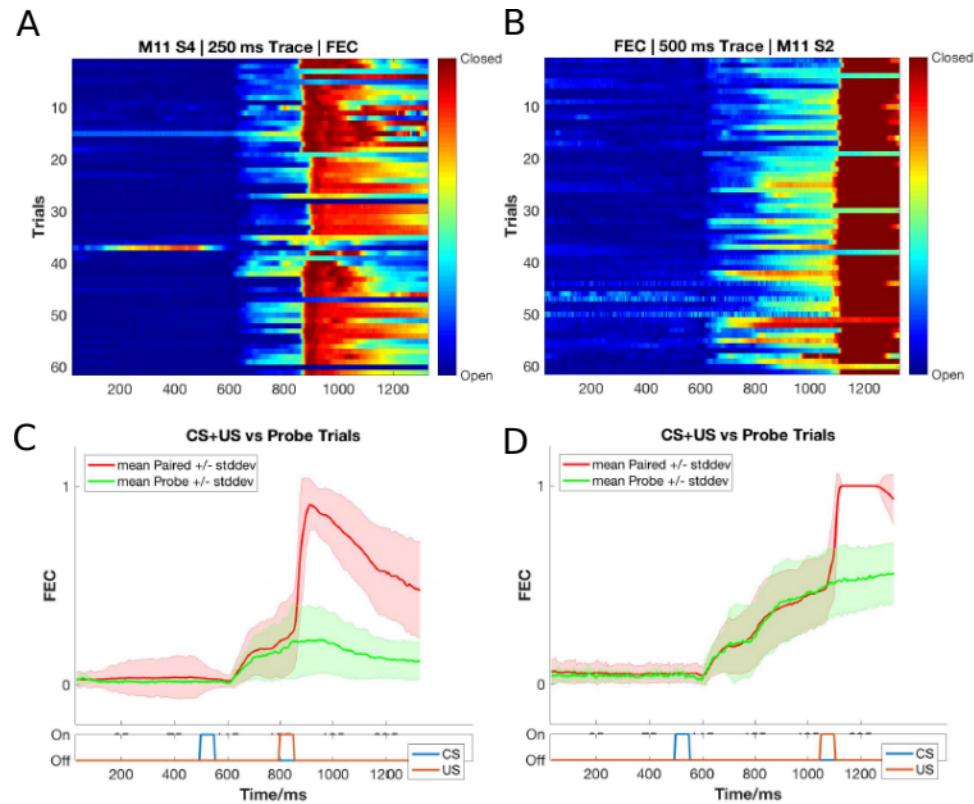


Figure 19: Conditioned Responses (CRs) are preserved for previously learnt ISIs, and switching the ISI to longer intervals results in Complex (multi-CR) eye-blink responses. (A,B) Trial-by-trial FEC scores for (A) 250 ms ISI (left) and (B) 500 ms ISI. (C,D) Trial-averaged FEC traces for (C) 250 ms ISI (left) and (D) 500 ms ISI (right), with paired (red) and probe (green) trials.

- 2021 4. The onset of the Conditioned Response (CR) is not affected by
 2022 the ISI switch, irrespective of how strongly the animals learn the
 2023 task. CRs during paired and probe trials were near identical,
 2024 showcasing that the animal (Figure 20; Figure 21).
 2025
 2026
 2027

2028

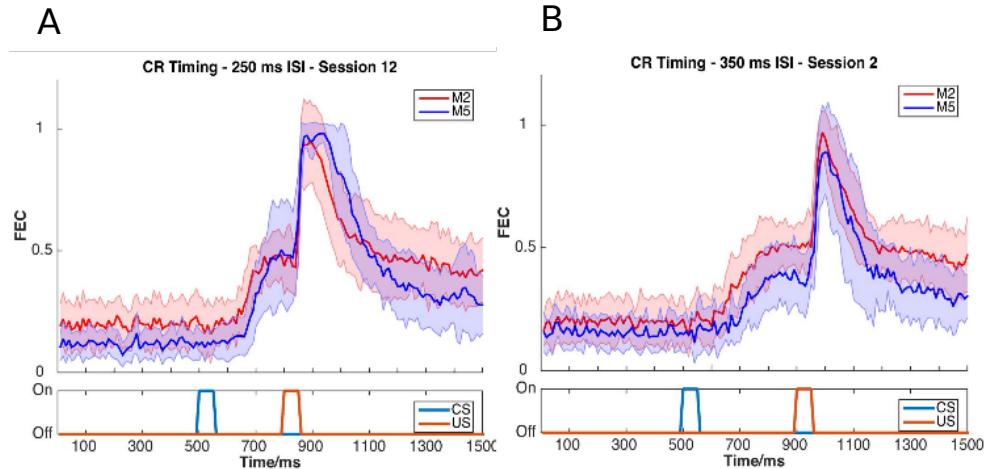


Figure 20: Conditioned Response (CR) onset timing is maintained across ISI switches. Representative examples from a short ISI switch from (A) 250 ms to (B) 350 ms (right).

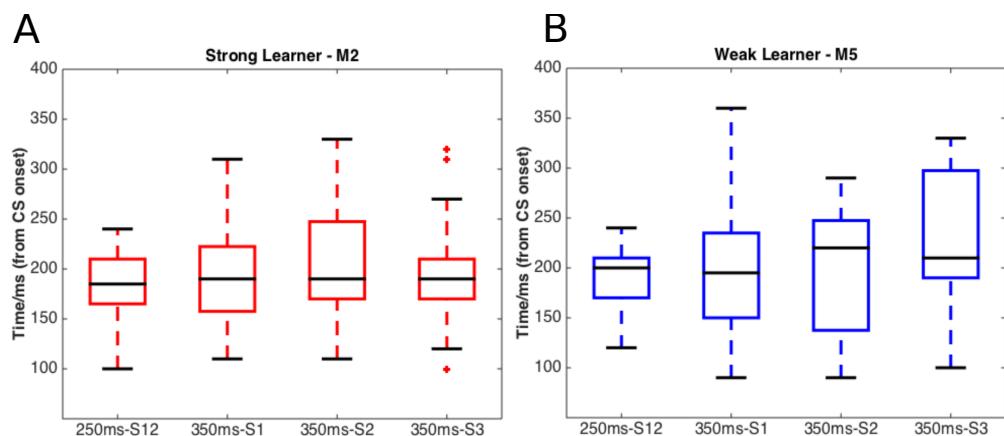


Figure 21: Box plots for Conditioned Response (CR) onset across multiple sessions of training after ISI switch from 250 ms to 350 ms, irrespective of how strongly the animals learnt the task. (A) red, strong learner, and (B) blue, weak learner.

2031 5. Animals can also be trained to very long ISIs from Session 1,
2032 with acquisition taking <10-14 days. Here we tried to train
2033 animals to either a 550 ms ISI or a 750 ms ISI. Note, however,

2034 that unless multiple ISIs are taught to the same animal, the CR
 2035 eye-blink is singular (Figure 22).
 2036

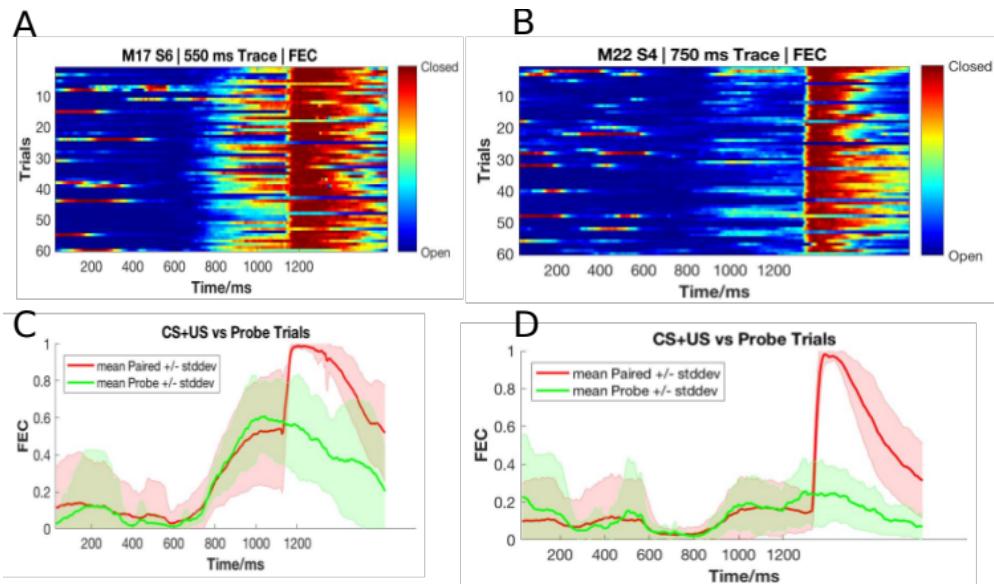


Figure 22: Representative example of mice trained to 550 ms ISI (Session 6) and 750 ms (Session 4). (A,B), Trial-by-trial FEC responses for (A) 550ms ISI, and (B) 750ms ISI. (C,D) Trial-averaged FEC responses for (C) 550 ms ISI, and (D) 750 ms ISI, with paired (red) and probe trials (green).

2038 **Total animals trained:** 18 [Conditioned Responses visible]
 2039 **Conclusion:** Success
 2040
 2041 Ultimately, we were satisfied with the Trace Eye-Blink Conditioning
 2042 paradigm since we could observe stable conditioned responses that
 2043 developed over a reasonably short period of training time (<1 week),
 2044 and adaptable conditioned responses to behaviour parameter
 2045 modulations, in head-fixed mice that could be subjected to
 2046 simultaneous 2-Photon calcium imaging.
 2047

Table 1: Summary table of behaviour protocols attempted and essential results

NAME	PUNISHMENT TYPE	REMARKS
Operant Protocol 1.1 (Stimulus Detection)	No water reward for incorrect licks.	Lack of water reward for incorrect licks not enough for behavioural discrimination at <1 week of training.
Operant Protocol 1.2 (Stimulus Detection)	Air-puff punishment for incorrect licks.	Strong punishment for incorrect licks not enough for behavioural discrimination at <1-2 weeks of training.
Operant Protocol 2 (Stimulus Detection)	Timeout (3s) punishment for incorrect licks.	Alternate or weaker punishment attempted but not enough for behavioural discrimination at <1-2 weeks of training, even with behavioural shaping in blocks of training.
Operant Protocol 3 (DNMS)	Timeout (3s) punishment for incorrect licks.	Alternate punishment attempted but not enough for behavioural discrimination at <1 week of training. No obvious effect of adding delay intervals between stimulus presentations.
Operant Protocol 4 (Go/No-Go) Aversive Protocol (Trace Eye-Blink Conditioning)	Trial phase repeat punishment for incorrect licks. No punishment.	Alternate punishment attempted but not enough for behavioural discrimination at <1 week of training Animals learn the task and produce stable, adaptable conditioned responses (CRs) within 1 week of training.

2048

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2105 **Chapter 3 – Imaging**

2106

2107 The mammalian hippocampus is considered important in the formation
2108 of new memories about experienced events (episodic or
2109 autobiographical memory), general declarative memory (memories that
2110 can be explicitly verbalized), spatial memory and navigation, and
2111 associations between stimuli that are distinct in time, among other
2112 functions. To achieve this, the Hippocampus must integrate information
2113 from different areas of the cortex.

2114

2115 Much of the cortical information that enters the Hippocampus (at the
2116 Dentate Gyrus), comes through the Entorhinal Cortex, along the
2117 Perforant Path (Hjorth-Simonsen & Jeune, 1972). The Dentate Gyrus
2118 cell network then relays this information to the CA3 cell network
2119 through Mossy Fibers, which in turn relays the information to CA1
2120 cells, through the Schaffer Collateral Fibers. This is popularly known as
2121 the Trisynaptic Circuit or Pathway (Figure 1 from Chapter 1 -
2122 "Introduction") and there is scope and evidence for computation and
2123 information processing at every step (MacDonald et al., 2011;
2124 McHugh et al., 2007; Modi et al., 2014; Nakashiba et al., 2008; Suh et
2125 al., 2011). Finally, the CA1 cells have their outputs to other brain
2126 regions. It is important to note, however, that regions like the CA1 are
2127 known to have access to information directly from other brain regions,
2128 as well (P. Andersen et al., 2006).

2129

2130 Literature in the field suggests that naïve animals may have some
2131 sensory gating of "Neutral" stimuli at the level of the CA1 (Abe et al.,

2132 2014; Bellistri et al., 2013; Kamondi, 1998). The source of this
2133 inhibition (at least the step before the local interneurons) seems to be
2134 the Medial Septum, through Fimbria-Fornix (Abe et al., 2014). Also,
2135 behavioural relevance allows the CA1 to elicit depolarizations that can
2136 be mapped to brain external stimuli (Dombeck et al., 2010; Harvey et
2137 al., 2009; P. M. Itskov et al., 2011; MacDonald et al., 2011, 2013; Modi
2138 et al., 2014; Pastalkova et al., 2008).

2139

2140 The Hippocampus consists of ventral and dorsal portions both of which
2141 are of similar composition but are parts of different neural circuits
2142 (Moser & Moser, 1998). The dorsal hippocampus performs primarily
2143 cognitive functions and in memory function, while the ventral
2144 hippocampus modulates emotional and affective processes (Fanselow
2145 & Dong, 2010).

2146

2147 **Physiology in the hippocampus**

2148

2149 The Hippocampus is located deep in the medial temporal lobe of
2150 mammals and is defined by several sub-structures, including the
2151 Dentate Gyrus (one site for information input to the hippocampus) and
2152 the Hippocampus Proper constituted by the CA1, CA2, CA3 and CA4
2153 cellular levels.

2154

2155 Using extracellular tungsten microelectrodes in naïve unanesthetized
2156 rabbits serially exposed to multimodal stimuli (Vinogradova, 2001), it
2157 was reported that in the CA1,

2158 1. A major fraction the reactive neurons have unimodal responses
2159 (41-44%)

2160 2. Multimodal neurons are modality-unspecific but have
2161 differentiated responses to stimuli of different modalities and even to
2162 various stimuli within a single modality

2163 3. Many neurons respond by Phasic (evoked responses last for the
2164 duration and as long as the stimulus) and Specific (stimulus-specific
2165 pattern) responses

2166 4. Neurons with inhibitory responses are encountered less
2167 frequently than those with various types of excitatory

2168 5. Habituation (non-responsiveness to repeatedly presented stimuli) is
2169 present though not among all the responsive cells (71-75%) and is
2170 often gradual

2171

2172 Imaging based activity studies have the advantage of being able to
2173 capture many more cells (>100 from the same animal) during
2174 experiments (Dombeck et al., 2010; Pachitariu et al., 2017; Peron et
2175 al., 2015; Poort et al., 2015; Sofroniew et al., 2016) as compared to
2176 typical electrophysiological measurements. Imaging provides an
2177 unambiguous method to identify cells that are not active during a
2178 period of interest. Another advantage is that it provides anatomical
2179 confirmation to help track the same cell over multiple days of
2180 recording, without ambiguity, for longitudinal studies. Finally, imaging
2181 techniques have gained momentum in the study of the hippocampal
2182 CA1 various spatial scales, from cellular resolution somatic studies
2183 (Dombeck et al., 2010; Modi et al., 2014), to dendritic (Mizrahi, 2004;
2184 Sheffield & Dombeck, 2014), axonic boutons terminating on the CA1
2185 interneuron populations (Kaifosh et al., 2013; Lovett-Barron et al.,
2186 2014), as well as spines (Attardo et al., 2015), *in vivo*.

2187
2188 We designed our imaging studies (for this thesis), with the aim to
2189 understand the network and cellular mechanisms of the hippocampal
2190 CA1 that corresponded with behavioral learning induced changes. We
2191 started by looking for CA1 responses to neutral stimuli in naive
2192 animals, *in vivo*. Subsequently, we planned to subject these animals to
2193 behavioural training and study if and how the same cells would
2194 respond.

2195
2196 Depending on the intended duration of the imaging experiments, *viz.*, a
2197 few hours (single session) or a few days and weeks (multiple
2198 sessions), we were able to standardize both an Acute as well as a
2199 Chronic *in vivo* hippocampal preparation. We now discuss the *in vivo*
2200 hippocampal preparation, physiology recordings, and a brief summary
2201 of the results. An important perspective for our experiments was to
2202 study how sensory stimulus responses of hippocampal CA1 develop
2203 with associative learning.

2204

2205 **Methodology – Acute and chronic imaging** 2206 **[Projects I & II]**

2207
2208 The overall experiment deals with optically measuring the activity of
2209 the dorsal CA1 hippocampal neurons when different stimulus
2210 modalities are presented to a male C57BL/6 mouse. The thesis covers
2211 experiments conducted acutely (lasting <10 hours) using OGB-1 as a
2212 calcium sensor), as well as chronically (~7-21 days) using a genetically
2213 encoded calcium indicator, GCaMP6f).

2214

2215 The 2-Photon excitation wavelength for OGB-1 experiments was set to
2216 810 nm (scattering coefficient: $\sim 3 \text{ rad}^2/\text{mm}$) and the same for
2217 GCaMP6f was set to 910 nm (scattering coefficient: $\sim 2 \text{ rad}^2/\text{mm}$) to
2218 image cell bodies (Min et al., 2017) in the CA1, *in vivo*. However,
2219 despite the relatively low scattering of longer wavelengths, the
2220 hippocampus cannot be imaged directly, through the cortex since the
2221 layer of cortex is too thick ($\sim 1\text{-}1.5 \text{ mm}$) to allow proper excitation of the
2222 sample. These infra-red (IR) photons are expected to be scattered
2223 almost completely, well before the imaging depth of the CA1 layer.
2224 These layers of cortex have to accordingly be carefully suctioned out to
2225 allow the microscope objective to have optical access to the exposed
2226 tissue (Figure 23).

2227

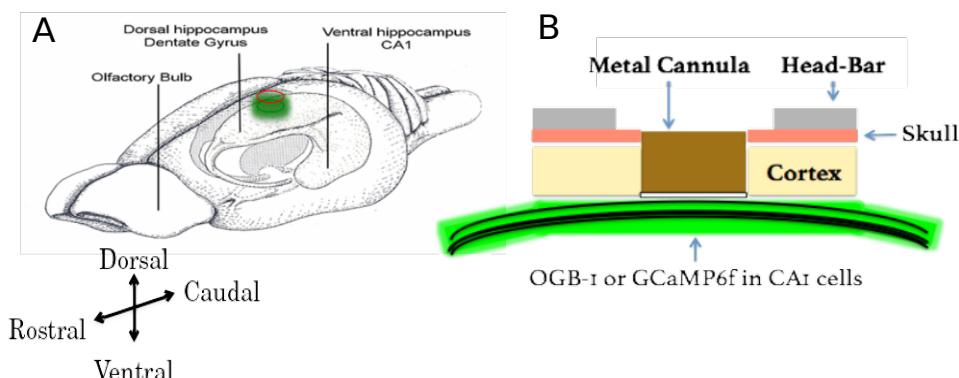


Figure 23: (A) A schematic of the rodent brain, showcasing the two hippocampi, one in each hemisphere. The hippocampi lie $\sim 1 \text{ mm}$ (mice) below the cortical surface. (B) The hippocampal preparation allows optical access to the deep lying hippocampal CA1 cells, relative to the skull/cortex. The CA1 cell bodies arrange in a laminar fashion, as a tight cell body layer that can be visualized by fluorescence (using either OGB-1 or GCaMP).

2229 We first put the animal under anesthesia using a vapor chamber
2230 saturated with 3% isoflurane. Next, the animal was cheek-clamped and
2231 a light state of anesthesia was maintained using 1-2% isoflurane,
2232 provided directly to the nozzle of the animal, keeping track of ~1 Hz
2233 breathing rate and a body temperature of 35-37 °C (with heating pad).
2234 The animal was given a haircut and a circular incision of ~5 cm
2235 circumference was made on the scalp, revealing the skull below. We
2236 then affixed head-bars and skull screws with the help of dental cement,
2237 to be able to clamp the animal post surgery on the 2-Photon
2238 Microscope.

2239

2240 The left, dorsal hippocampus was targeted with a 3-5 mm circular
2241 craniotomy aimed at 1.5 mm left and 2.0 mm behind bregma, tearing
2242 and peeling out the Dura to reveal the cortex. We then carefully
2243 aspirated out the cortex (part of the somatosensory cortex) under
2244 repeated washes of Cortex Buffer (see table 1 for recipe), until the
2245 horizontal CC fibre layer was visible. Finally, we added a drop of low
2246 gelling agarose and a 5 mm coverslip (for acute preps); Kwik-Sil and
2247 inserted a 3 mm metal cannula with a coverslip attached at the bottom
2248 (for chronic preps). We used different sensors depending on the
2249 requirement for the preparation, *viz.*, acute (OGB-1) or chronic imaging
2250 (GCaMP6f). We refer to this series of steps as the hippocampal
2251 preparation.

2252 **Preparation of Cortex Buffer**

2253

2254 We prepared cortex buffer by weighing out the required amount of the
2255 salts, NaCl, KCl, Glucose and HEPES (see table 1 for recipe) and

2256 making up the volume of the solution with Milli Q Water to ~1000 ml.
2257 We then set the pH of the buffer using a calibrated pH meter to 7.35,
2258 using 1M NaOH_(aq).
2259 Then, fill up the volume to 1000 ml and verify the pH (should not have
2260 changed). Filter the contents through a 0.22 um membrane using a
2261 vacuum filtration, and store at 6 °C.
2262

Table 2: Recipe for ready-to-use cortex buffer. The buffer is typically prepared and stored in aliquots to be used each week. The correct pH range of the buffer was often a crucial factor ensuring the success of the hippocampal preparation.

Ingredient	Concentration (mM)	Amount (g or ml) for 1000 ml
NaCl (s)	125	7.31 g
KCl (s)	5	0.373 g
Glucose (s)	10	1.8 g
HEPES (s)	10	2.38 g
CaCl ₂ (aq)	2	1.6 ml of 1.25 M stock solution
MgCl ₂ (aq)	2	1.5 ml of 1.3 M stock

		solution0
2263		

2264 **Oregon Green Bapta-1 injections for acute**

2265 **imaging**

2266

2267 To prepare Oregon Green Bapta-1 (OGB-1) dye for microinjections, we
2268 first dissolve a 50 µg tube of OGB-1 in 5 µl of Pluronic Acid, and vortex
2269 the mix for 5 minutes. Separately, we dilute 20 µl of Phenol Red into
2270 500 µl of cortex buffer, and transfer 45 µl of this solution to the OGB-1
2271 mix. Next, we sonicate the 50 µl solution for 20 mins., followed by
2272 centrifugation at 10000 RPM for 5 seconds. The remaining supernatant
2273 is split into 7 aliquots (7 µl), and stored at -20 C for a maximum of one
2274 week (7 days).

2275

2276 For acute/single-day experiments, we injected OGB-1 using pulled,
2277 dye loaded micropipettes (~2 MΩ resistance, ~2 µm diameter) at a
2278 depth of 100-150 µm (Figure 23) from the topmost layer of exposed
2279 tissue, till a slow but detectable pulse of dye (visualized as a red/pink
2280 solution) may be visible just below the tissue surface. This allows the
2281 dye to be soaked up by the basal dendrites of the CA1 and takes 30-
2282 60 mins for incorporation into the cytoplasm. We typically allow the
2283 animal 1-2 hours of respite before the subsequent imaging session.
2284 High pressure ejection of the dye into the tissue may damage the
2285 neuropil, while very low pressures or clogs in the pipette affect the
2286 spread of the dye across the tissue. We aimed to image ~100 x 100
2287 µm² of the tissue in any particular ROI, and achieved this with 5

2288 minute injections with each micropipette aiming to load the dye at 2-3,
2289 well separated positions spread across the entire exposed dorsal
2290 surface. We estimated that the dye volume was <1000 nl/injection.
2291 After the injection cycle with any micropipette, we left the tissue
2292 undisturbed for at least 5-10 mins before pulling the micropipette out of
2293 the tissue.

2294

2295 Once all the injections were complete, the exposure was sealed using
2296 5% low gelling agarose making sure the temperature was cool enough
2297 to avoid heat-related tissue damage.

2298

2299 OGB-1 is eventually cleared from the cytoplasm but allows for a limited
2300 window for imaging studies (Stosiek et al., 2003). Reopening the
2301 agarose seal and re-injections were never attempted to prevent
2302 unnecessary damage to the underlying tissue. Additionally, the
2303 agarose plug itself was found to be unstable beyond 1-3 days. This
2304 resulted in the imaging possibility being limited to the same day as the
2305 surgery (acute imaging).

2306

2307 **GCaMP and chronic imaging**

2308

2309 For chronic/multi-day experiments, we standardized a stereotaxic viral
2310 injection step, where we inject the gene for GCaMP5 or GCaMP6f into
2311 the dorsal hippocampus at -1.5 mm lateral, 2 mm posterior, and 1.3
2312 mm dorsal from bregma on the skull surface (Figure 24).

2313

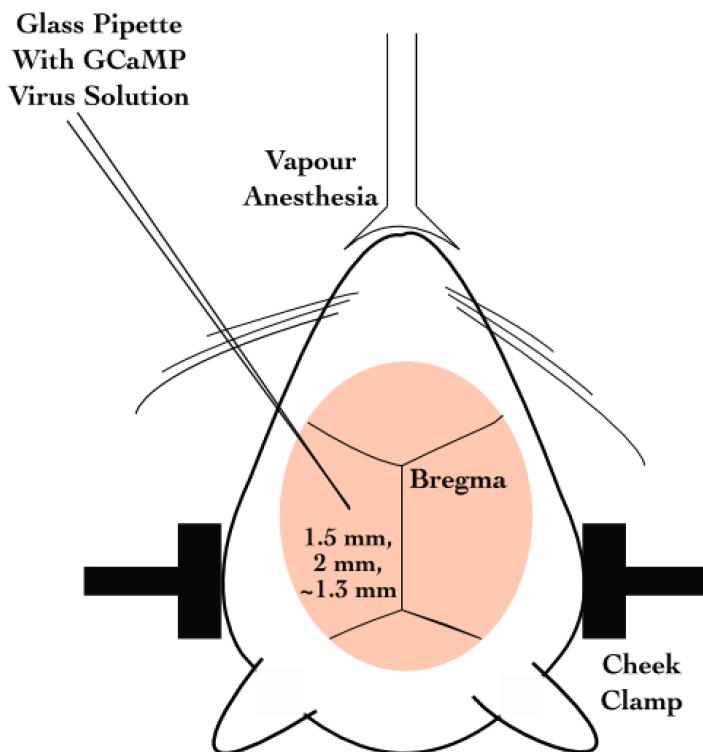


Figure 24: Schematic representation for

2314 stereotaxic viral injection.

2315

2316 Later on, we switched to directly using GCaMP6f transgenic mice
2317 (background: C56BL/6) which express GCaMP6f in the Hippocampus

2318 [Tg(Thy1-

2319 GCaMP6f)GP5.17Dkim JAX stock #025393]. This helped us
2320 circumvent the dye loading or viral injection steps, aiding in the
2321 potential success of the preparations, by way of tissue health and
2322 recording quality.

2323

2324 **Results - Imaging**

2325

2326 **2-Photon calcium imaging of hippocampal CA1, *in vivo***

2327

2328 The CA1 cell body layer is ~200 µm deep, in through the hippocampal
2329 surface. At these depths, scattering of excitation as well as emission
2330 light is significant. However, we are able to image at these depths with
2331 Two-Photon Imaging LASER Scanning Imaging (810 nm for OGB-1
2332 and 910 nm for GCaMP5/GCaMP6f), where a high intensity pulsed
2333 LASER allows for two photons to near instantaneously excite
2334 fluorophores in a thin z-slice plane which is the focal plane of the
2335 Objective. Our LASER, the Coherent Chameleon Ultra II emits ~3 W at
2336 810 nm, and ~2 W at 910 nm. At these depths, there is scattering of
2337 emitted photons. However, since only the focal plane is excited any
2338 and all emitted photons that we capture are part of the signal. We use
2339 a Nikon 16x water immersion, 0.8 NA, 3 mm working distance
2340 Objective (N16XLWD-PF), to get a large field of view.

2341

2342 **Acute Imaging of OGB-1 loaded hippocampal CA1, *in*
2343 *vivo***

2344

2345 We injected OGB-1 dye into brain tissue for our acute imaging
2346 experiments (see Methodology for details). OGB-1 spreads throughout
2347 the cytoplasm and neuropil, and infiltrates the cell nucleus, giving the
2348 cells the appearance of solid circles (cells). The cell body (soma)

2349 ranges from 10-15 μm depending on the orientation of the imaging
2350 layer in 3D tissue space (Figure 25A).

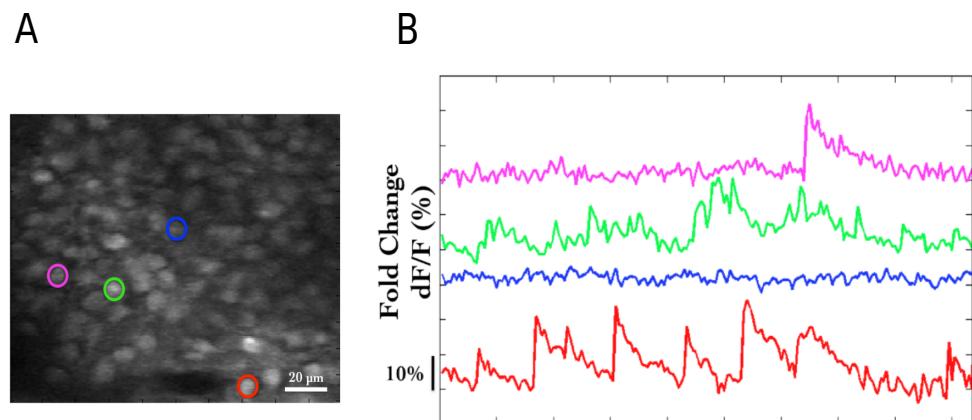


Figure 25: (A) Representative Two-Photon Calcium Image of a plane of Hippocampal CA1 cells, bolus loaded with Oregon Green Bapta-1 (OGB-1), at an instant in time. Example cells marked out post-hoc as pink, green, blue and red. Scale bar 20 μm . (B) Representative dF/F (%) traces for the calcium activity recorded in a single 10s video for example cells pink, green, blue, and red. Scale bar (1 sec; 10% dF/F).

2351 Each cell in the recorded region of interest (ROI), is identified, marked
2352 out (in pixel identity), based on local activity of correlated pixels in the
2353 time series movies. The average intensity of the pixels corresponding
2354 to each cell for each frame in each recording video, is saved as the
2355 raw calcium fluorescence trace. Next, these raw calcium traces are
2356 baseline normalized to equate the baselines for each cell at 0, and
2357 describe the dynamic range of the intensity values as 0 to 1, or 1 to
2358 100%. The corresponding time series of baseline normalized dF/F for
2359 the representative example cells are shown (Figure 25B; Figure 28B).
2360

2361 **Chronic imaging of hippocampal CA1 using GCaMP**

2362

2363 For chronic imaging, tissue health was of paramount concern since it
2364 could easily degrade in time (Figure 26). With practice and
2365 standardization, we were able to get the preparations to survive for 2-4
2366 weeks at very good signal-to-noise. Preparations that resulted in very
2367 poor signal-to-noise were often recorded but have been filtered out of
2368 the data showcased in this thesis. While preparations can sometimes
2369 last even months, typically it is crucial to consider if the ROI for
2370 recording could provide >20 cells, to continue the experiment.

2371 GCaMP is typically designed to be cytosolic and does not typically
2372 cross into the cell nucleus. GCaMP labeled cell bodies appear as
2373 doughnuts in the imaging slice (Figure 26A; Figure 27).

2374

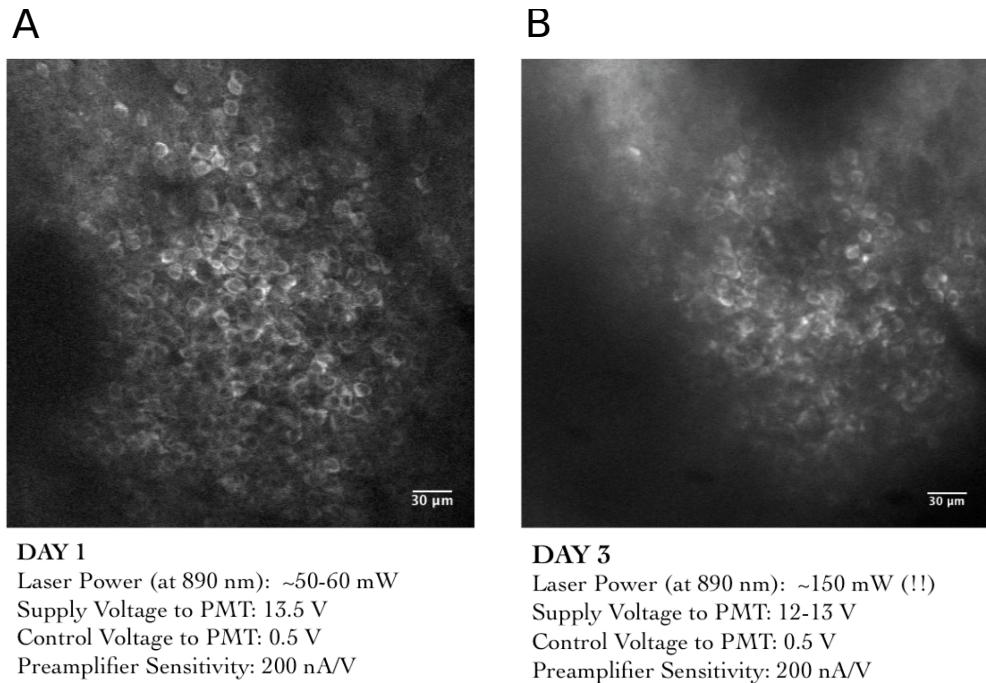


Figure 26: Tissue Degradation over time. Representative Two-Photon Calcium images of a snapshot in time of the Hippocampal CA1 cell body layer on (A) Day 1 after the surgery and (B) Day 3, after the surgery. Scale bar 30 μ m.

2376 Recordings with very good signal-to-noise, where the same chronically
 2377 labeled CA1 cells could be anatomically identified on subsequent days
 2378 even >2-3 weeks post surgery (Figure 27) were eventually acquired,
 2379 and are featured in the data presented in Chapter 4.
 2380

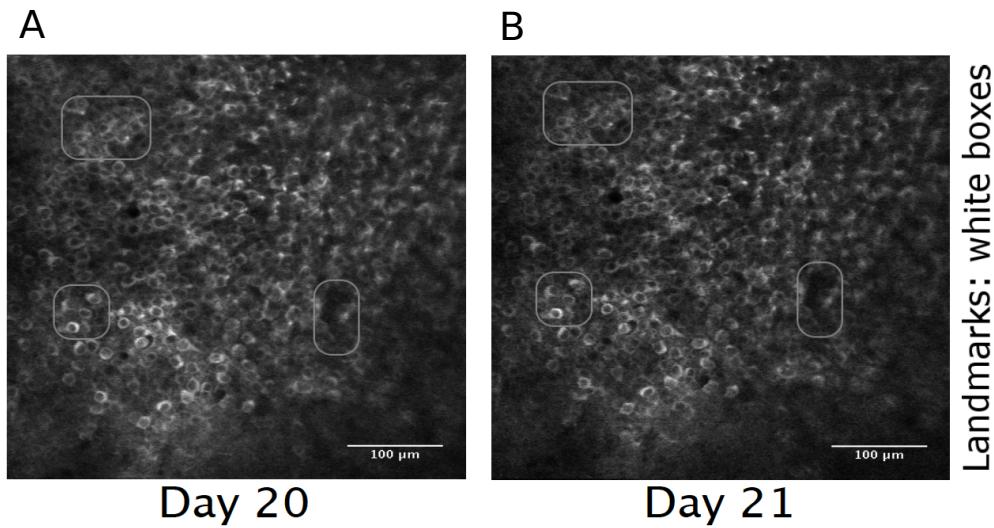


Figure 27: Chronic calcium imaging of the same Hippocampal CA1 cells over weeks. Representative 2-Photon images from (A) Day 20, and (B) Day 21, after surgery. Landmarks have been marked and were used to confirm frame registration and identify cells. Scale bar 100 μm .

2382 The magnification and resolution of the field of view are important
 2383 parameters to consider when balancing magnification for the resolution
 2384 and the maximization of the number of cells being simultaneously
 2385 recorded from (Figure 25A; Figure 28A).

2386

2387 While recording at high frame rates for live imaging, we captured a
 2388 relatively large number of cells (~100) in time-series imaging frames, at
 2389 frame rates of around 10-15 frames per second (FPS). Subsequently,
 2390 we subjected the animal to various stimuli across trials and saved
 2391 these images for analysis.

2392

2393

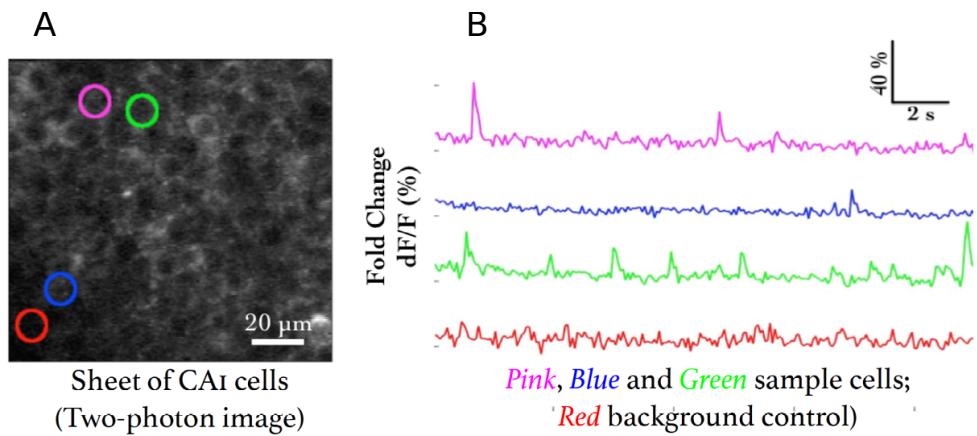


Figure 28: (A) Representative Two-Photon Calcium Image of a plane of Hippocampal CA1 cells expressing GCaMP6f at an instant in time. Example cells marked out post-hoc in pink, blue, and green, with no-cell background in red. Scale bar 20 μ m. (B) Representative $dF/F (\%)$ traces for the calcium activity recorded in a single 10s video for example cells in pink, blue, and green, with no-cell background in red. Scale bar (2 sec; 10% dF/F).

2395 Spontaneous activity during non-stimulus periods

2396

2397 We recorded the calcium activity from a large number of hippocampal
 2398 CA1 cell bodies, while presenting various neutral and conditioning
 2399 stimuli, including fairly large periods of time before and after stimulus
 2400 presentation. Activity of cells, typically observed *in vivo*, in these
 2401 periods is termed spontaneous activity. Cells may showcase variable
 2402 rate (number of calcium events per sec) and timing. Given proper
 2403 signal isolation for identified cells in an ROI, each source or “cell” may
 2404 be considered independent, *i.e.* - there is minimized cross talk between
 2405 the fluorescence emitted by each cell body.

2406

2407 **Spatial organization of activity correlated cells during**
2408 **spontaneous activity**

2409

2410 As part of our Acute Imaging experiments using OGB-1, we studied the
2411 Pearson's Correlation Coefficient for the activity traces across all cell
2412 pairs, during bouts of spontaneous activity, viz., all frames from the
2413 beginning of the trial till the presentation of the stimulus, across all
2414 trials. Cell pairs showcased a range of correlation coefficients (Figure
2415 29) and we were able to cluster cells based on activity using Meta-K
2416 Means (Modi et al., 2014; unpublished data from Dhawale, 2013).

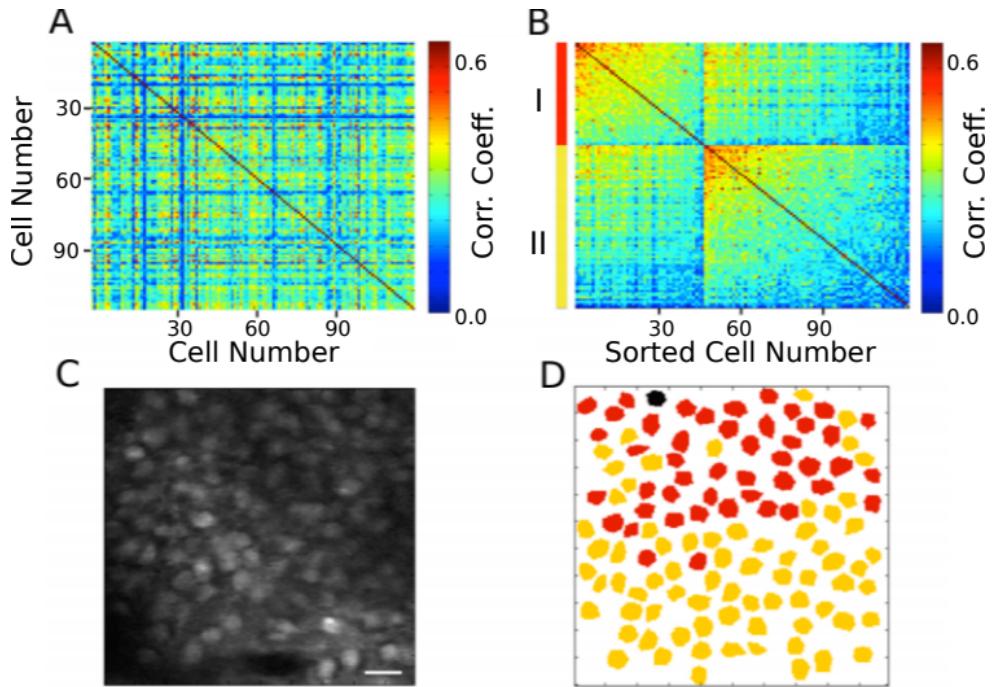


Figure 29: (A) Correlation Coefficients for cell pairs from an example two-photon recording. (B) Meta-K Means clustering with cell pairs using the heuristic that within group pairs would be more similar than between group pairs. In this example, the cells were clustered into two groups, I (red) and II (yellow). (C) Two-Photon image of the field of view of cells; same as Figure 25A. (D) Spatial organization of correlated cell pair groups during spontaneous activity (Modi et al., 2014).

2418 **Stimulus evoked responses**

2419

2420 We also recorded calcium activity from the same cells during
 2421 presentation of various neutral stimuli to the animals. Here are the
 2422 results of the auditory (tone) and somatosensory (whisker) stimulus
 2423 experiments.

2424

2425 A neutral auditory tone at 5000 Hz (for 1 sec) was presented to the
2426 animals N= 6 animals; 25 trials). We observed no clear signs of cell
2427 activity modulation by neutral tones. Below, we show an example
2428 animal with trial-averaged calcium traces as dF/F (%), across all
2429 recorded cells with a 1 sec tone presentation (Figure 30A). We also
2430 presented animals to whisker stimulation by playing a 1s long air-puff
2431 (5 LPM) to the ipsilateral (left) whisker (N = 5 animals; 25 trials). In this
2432 case, we observed whisker-stimulation based cell activity modulation.
2433 Below, we show the trial-averaged calcium traces as dF/F (%) of the
2434 same example animal as above, presented with a 1 sec whisker-puff
2435 (Figure 30B).

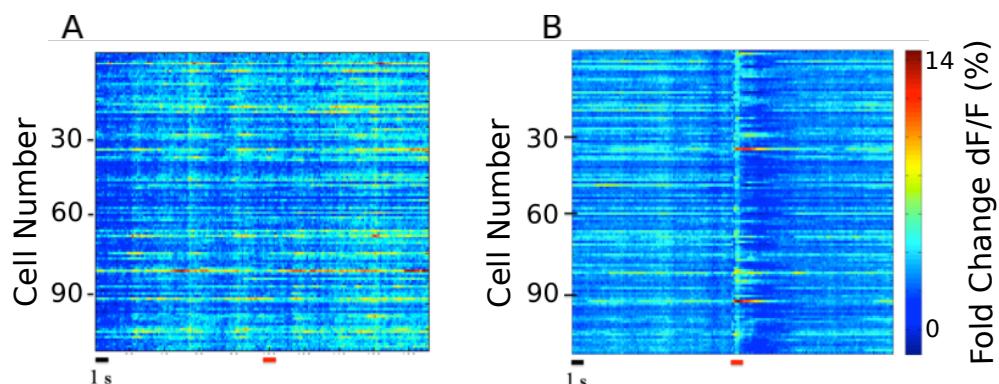


Figure 30: (A) Trial-averaged (25 trials) calcium activity from ~100 CA1 cells in trials where a 5000 Hz tone was presented (red bar). (B) Trial-averaged (18 trials) calcium activity from the same cells in trials where a 5 LPM air-puff to the ipsilateral whiskers was presented (red bar).

2437 **Spatial organization of activity correlated cells post whisker-puff**
2438 **stimulation**
2439
2440 We attempted the same clustering analysis using Meta-K-Means on
2441 the activity profiles of all the cells post presentation of the whisker-puff

2442 till the end of the trial, across all trials. These functionally correlated
2443 cell pair groups were found distributed across the imaging plane with
2444 no clear sign of spatial clustering (Figure 31).
2445 Comparing Figure 29D and Figure 31C, we observe that the whisker-
2446 puff stimulation results in a change in the spatial map of correlated
2447 activity, in the same network of cells.

2448

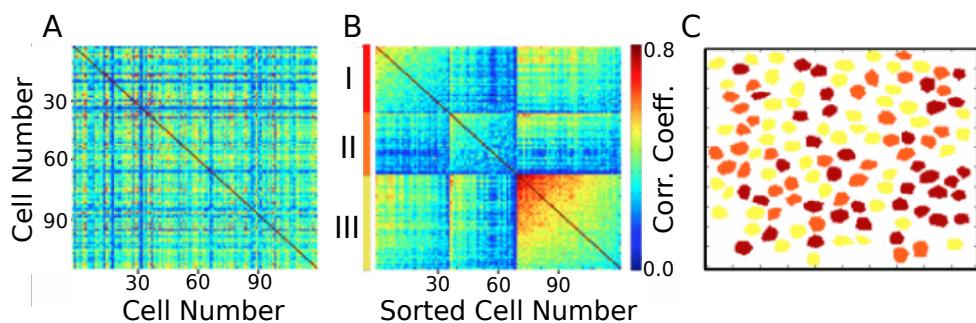


Figure 31: (A) Correlation Coefficients for cell pairs from post whisker-puff stimulation periods. (B) Meta-K Means clustering with cell pairs using the heuristic that within group pairs would be more similar than between group pairs. In this example, the cells were clustered into three groups I (red), II (orange), and III (yellow). (D) No clear spatial organization of correlated cell pair groups post whisker stimulation

2450 As a control, we shuffled the trial time points for each cell
2451 pseudorandomly, to artificially break activity correlations.
2452 When we attempted the Meta-K-Means clustering on this
2453 surrogate dataset, we did not observe functional clustering
2454 (Figure 32).

2455

2456

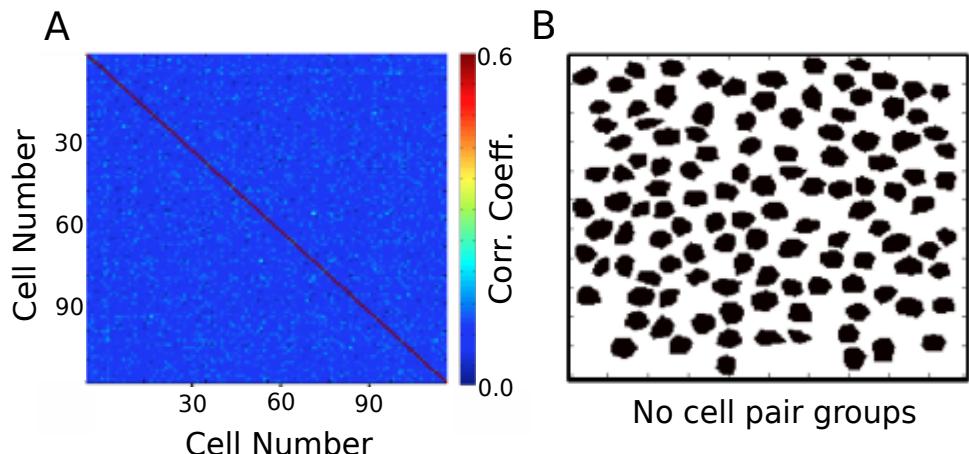


Figure 32: (A) Correlation Coefficients for cell pairs from a trial-shuffle control dataset (B) Meta-K Means clustering revealed that there were no cell pair groups identified.

2458 **Chronic imaging now possible for weeks with the same**
 2459 **mouse**
 2460
 2461 The need for multi-day tracking was mandated for recordings through
 2462 behavioural training, since the animals typically only learn Trace Eye-
 2463 Blink Conditioning (TEC; Chapter 2) over the course of 3-7 days
 2464 (Siegel et al., 2015). A different experiment design would have been to
 2465 train animals and then perform the hippocampal preparation to record
 2466 CA1 neural activity while the animal(s) exhibit learnt Conditioned
 2467 Responses (CRs). However, we argued against this experimental
 2468 design, on account of the following.
 2469 1. The actual cellular and network mechanisms that allow for the
 2470 animal to learn the behavioural task would be very difficult to study
 2471 given that the learning period would have passed.

2472 2. The success rate of the hippocampal preparation is typically very
2473 low (estimated at ~33-50% based on the last 200 attempts), given
2474 potential sources of failures such as tissue decay, bleeding into the
2475 imaging window from damaged parts of the hippocampus, implant
2476 instability especially with stressed or unsettled experiment animals,
2477 and photobleaching from the 2-P excitation LASER over multiple
2478 imaging sessions. TEC is typically learnt by >50% animals (Modi et al.,
2479 2014; Siegel et al., 2015). We had argued for exposing the
2480 hippocampus for imaging before behavioural training since any
2481 successful preparations could then be subjected to the relatively more
2482 consistent behavioural training.

2483 3. Having the preparation performed before training minimizes the
2484 number of times the animal would be subjected to surgery (to just the
2485 once), improving chances of animal health through the experiment.

2486
2487 Next, we discuss some preliminary results from the chronic imaging
2488 datasets. A non-overlapping set of results that feature in “Chapter 4 -
2489 Analysis” of this thesis, have been skipped here for brevity.
2490

2491 **Preliminary analysis to identify time cells**

2492
2493 The analysis algorithm pertaining only to the results presented here in
2494 “Chapter 3 - Imaging”, was adapted from William Mau’s Temporal
2495 Information method (Mau et al., 2018; Chapter 4 - “Analysis”). This
2496 version of the algorithm is expected to be subject to some degree of
2497 Type I (false positives) and Type II (false negative) errors.
2498 Subsequently, the algorithm was developed to the extent of the

2499 Python/C++ implementation featured in “Chapter 4 – Analysis”, with
2500 much superior prediction performance.
2501

2502 1. We applied a filter to select for cells that had activity in >25% of
2503 trials (irrespective of tuning)

2504 2. We then develop Peri-stimulus Time Histograms (PSTH), using
2505 Area Under the Curve for a binsize of 3 frames, centering the “0
2506 ms” to the onset of the Conditioned Stimulus for visualization.

2507 3. Next, we estimate Temporal Information (TI), using

2508
$$TI = 1/\lambda * \sum_j \lambda_j \log_2 (\lambda_j / \lambda) P_j$$

2509 where,

2510 λ : Average Transient rate for each cell

2511 λ_j : Average transient rate for each cell in bin “j”

2512 P_j : Probability that the mouse was in time bin “j”

2513 For every trial, we also random shuffled the frame points to develop a
2514 random activity model (1000 times) and ensure that $\lambda > \lambda_{rand}$ in more
2515 than 99% of the models. Filtering for cells active in >25% trials with a λ
2516 $> \lambda_{rand}$ in >99% shuffles along with the estimation of TI, provided us a
2517 handle on reliability.

2518

2519 **Time Cells**

2520

2521 During the experience of temporally organized events or stimuli, in this
2522 case post training to Trace Eye-Blink Conditioning, a rough contingent
2523 of ~20% of the total cells recorded, were observed to showcase time-
2524 locked calcium activity mapping the Blue LED or Conditioned Stimulus
2525 (CS) to the air-puff or Unconditioned Stimulus (US). These cells were
2526 classified as time cells. Here are some example time cells (Figure 33).

2527

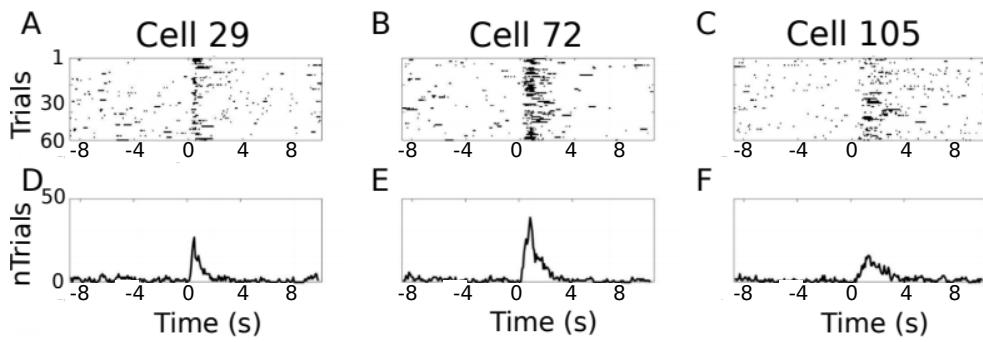


Figure 33: Example Time Cells visualized as event raster plots (A, B, C), along with the trial-summed activity profiles (D, E, F) suggesting ~50% hit trials, for cells 29 and 72 from Session 1 (session type 5) with mouse M26, and slightly lower reliability (~25% hit trials) from cell 105.

2529 **Other Cells**

2530

2531 On the other hand, most cells did not clear our analysis algorithm
 2532 checkpoints and were classified as other cells. Here are some example
 2533 Other Cells (Figure 34) from the same session with mouse M26
 2534 (Session 1; session type 5).

2535

2536

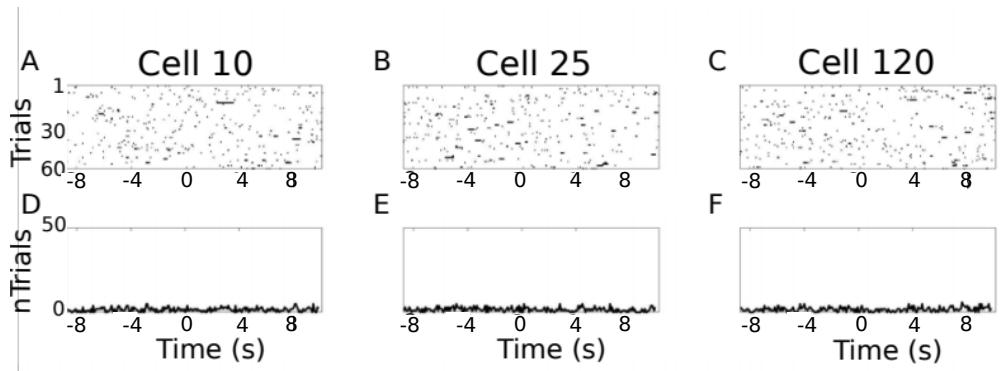


Figure 34: Example Other Cells visualized as event raster plots (A, B, C), along with the trial-summed activity profiles (D, E, F) for cells 10, 25, and 120 from Session 1 (session type 5) with mouse M26.

2538 Considering only the classified time cells, we sorted cells based on the
2539 time of the peak of the trial-average activity and a spatiotemporal
2540 sequence was visualized (Figure 35; also see “Chapter 4 - Analysis
2541 Figure 7H”).

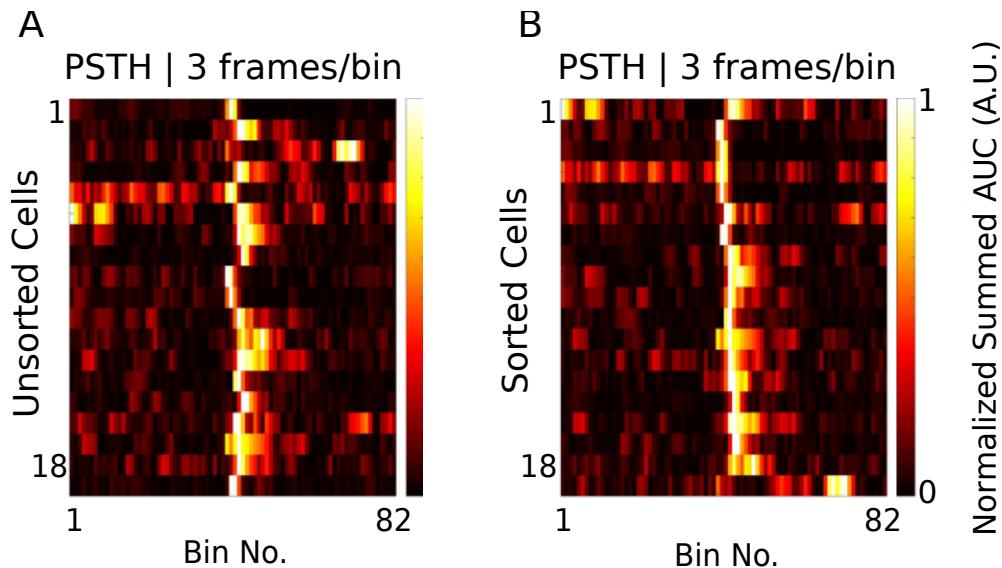


Figure 35: Event Time Histograms or tuning curves for Identified Time-Locked Cells with trial-summed peaks in session 4 of training (session type 5) with mouse M26. (A) Unsorted list of Time cells. (B) Peak-sorted list of the same cells. Here, 1 bin = 3 frames = ~210 ms (sampling rate 14.5 Hz without binning; ~5 Hz with binning). As per the (legacy) session type 5 protocol, the CS (50 ms Blue LED flash) was presented in frame bin 39, followed by a stimulus-free trace interval during frame bins 40 and 41, and then the US (50 ms airpuff to ipsilateral eye) was presented in frame bin 42.

2543 We did not observe any obvious trend in the temporal information of
 2544 time cells with peak times. For the same cells (as in Figure 35), we
 2545 now look at the actual Temporal Information estimates plotted against
 2546 sorted time cells (Figure 36) .

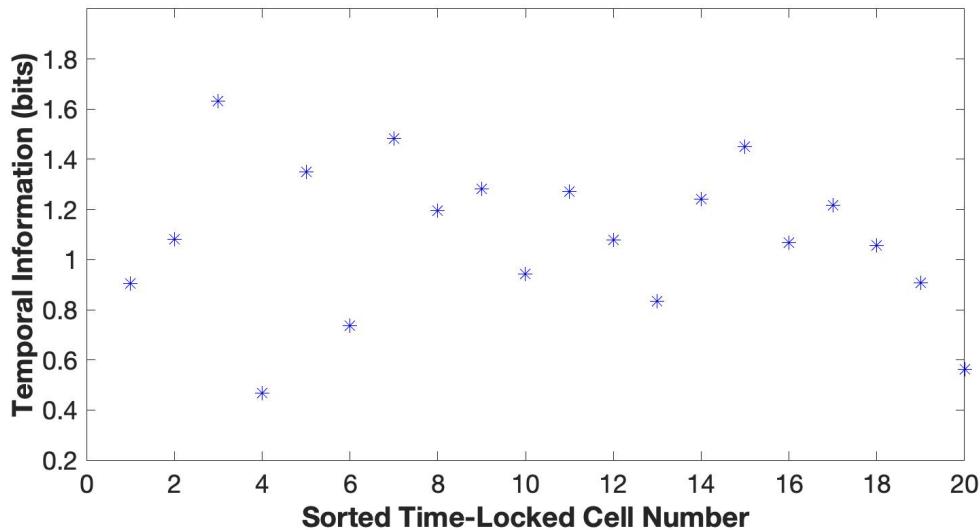


Figure 36: Temporal Information (bits) vs Sorted time cell number from the analytically identified Time Cells in Session 4 (session type 5) with mouse M26. No clear trend was observed.

2548 **Tuning, re-tuning, and de-tuning of time cells across
2549 sessions**

2550

2551 A crucial advantage of the chronic preparation was that many
2552 anatomically aligned and classified cells (as cell ROIs), could be
2553 recorded from over several days and sessions, to look for possible
2554 changes in calcium activity profiles across sessions in the same set of
2555 cells.

2556

2557 We noticed some evidence for an expansion of the set of identified
2558 time cells with sessions, up to a reliable pool of ~20% time cells.

2559 Altogether, from the pool of chronically aligned cells (across sessions),

2560 there was an increase from 7.7% to 23.1% of time cells. Considering
2561 the full cohort cells (irrespective of tracking across multiple training
2562 sessions) the increase was from 7.2% to 21.1% time cells. Here are
2563 the classified time cells between two independent recording sessions,
2564 early in training (Figure 37).
2565

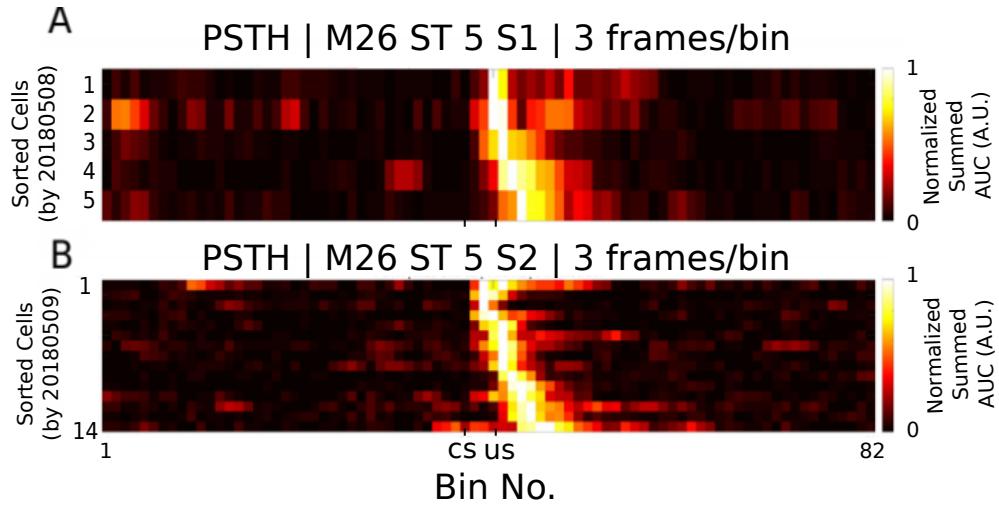


Figure 37: More time cells observed to attain tuning as training sessions. Event Time Histograms of the identified set of time cells between A) Sessions 1 and (B) Session 2, with mouse M26 (session type 5).

2567 The same may also be visualized as trial-averaged calcium activity
2568 profiles for all recorded cells across independent recording sessions
2569 (Figure 38).
2570

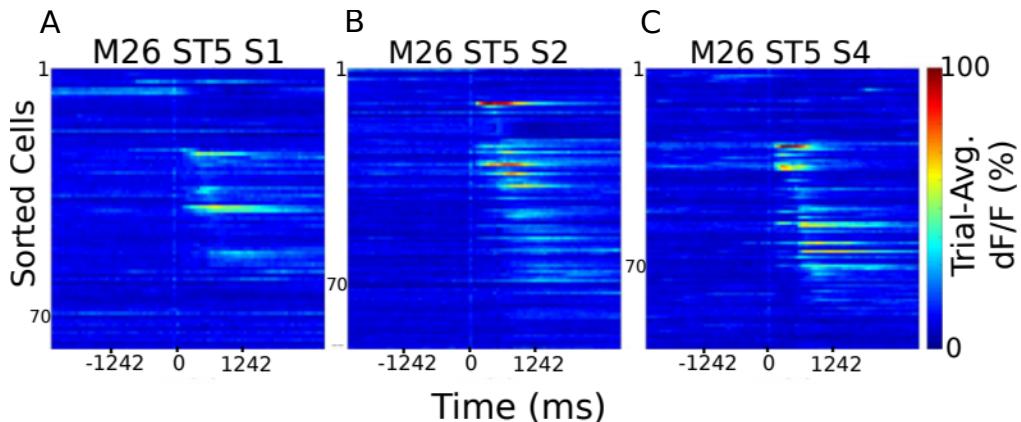


Figure 38: Sorted Time Cells form Sequences that develop with learning. Trial-Averaged calcium activity traces for all recorded cells across A) Session 1, B) Session 2, and C) Session 4, with mouse M26 (sessiontype 5). Time 0 ms was aligned to the CS. The CS + Trace + US interval lasted from 0-600 ms, here.

2572 Chronically tracked time cells that showed reliable tuning across
 2573 sessions were then compared to look for any shifts in the peak tuning
 2574 bin. We observed examples of cells that maintained their tuning across
 2575 pairs of sessions (Figure 39).

2576

2577

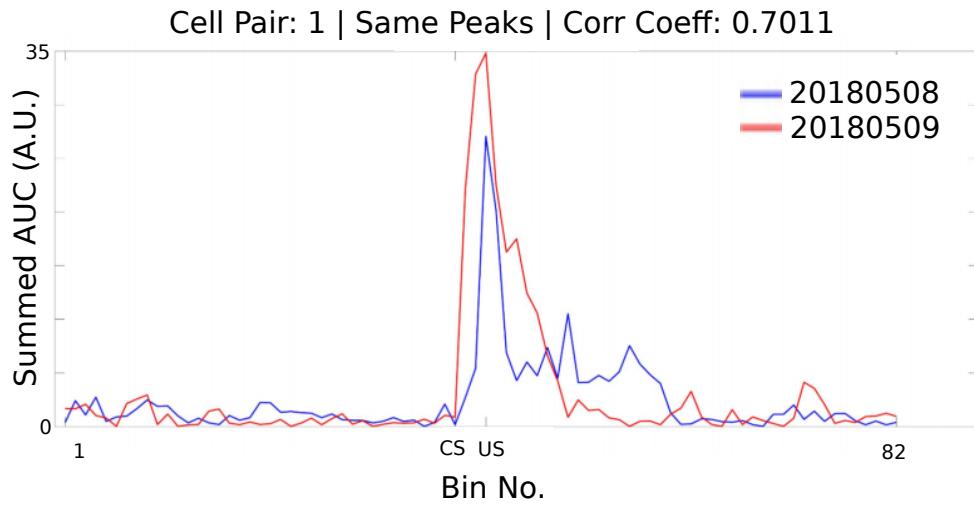


Figure 39: Same/similar tuning across session 1 and session 2 for this example time cell. Blue Event Time Histograms represent the tuning curve for the cell for session 1 (date: 20180508) and Red represent the same but for session 2 (date: 20180509). Pearson's correlation coefficient between the two session pairs was 0.7011.

2579 Here are examples wherein the tuning curve peaks shift to earlier time
 2580 points, across sessions (Figure 40) for Mouse M26, session type 5,
 2581 session 1 vs 2.
 2582

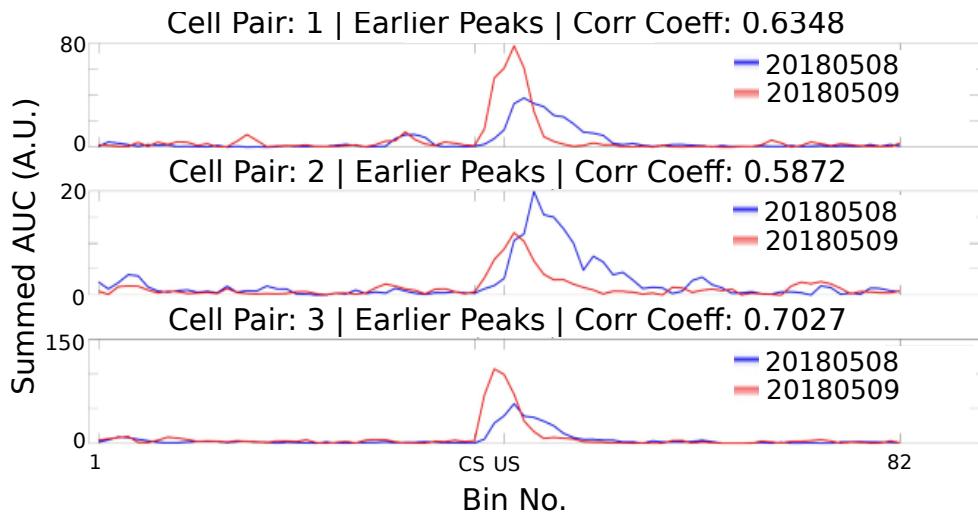


Figure 40: Three example chronically tracked cell pairs with tuning peaks arriving earlier with training sessions (rows). Blue Event Time Histograms represent the tuning curve for any cell for session 1 (date: 20180508) and Red represent the same but for session 2 (date: 20180509). Pearson's correlation coefficient between the session pairs was 0.6.348, 0.5872 and 0.7027 for A) cell pair 1, B) cell pair 2, and C) cell pair3, respectively.

2584 Here is an example of a cell showcasing de-tuning for the CS-US
 2585 interval, across sessions (Figure 41), potentially with a new, delayed
 2586 peak almost 100 frames later.
 2587

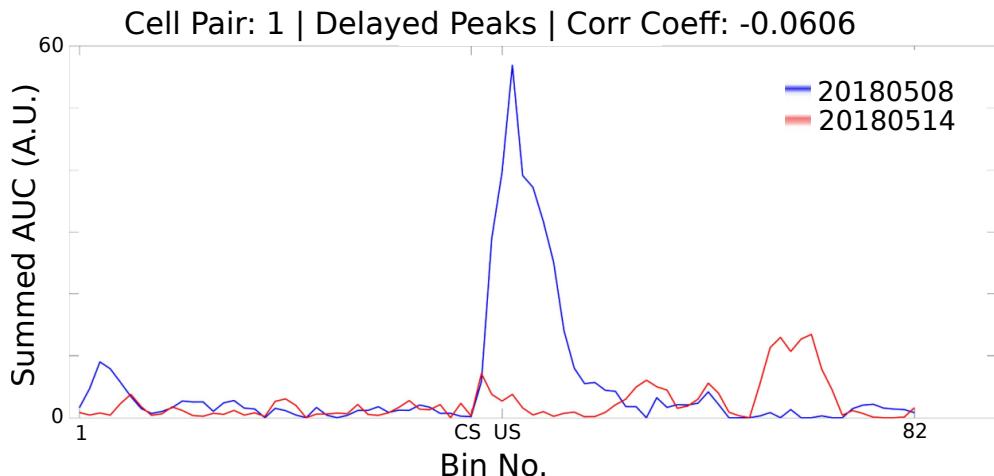


Figure 41: Example chronically tracked cell pair with de-tuning between session 1 and 4. Blue Event Time Histograms represent the tuning curve for the example cell for session 1 (date: 20180508) and Red represent the same but for session 4 (date: 20180514). Pearson's correlation coefficient between the two curves was -0.0606.

2589 A full summary of the correlation based peak timing analysis for
 2590 chronically identified time cells with mouse M26 is shown (Figure 42).
 2591 Across all same cell pairs, there was positive correlation (>0.2) in 71%
 2592 of Time Cells. Also a comparison of the tuning curve peaks between
 2593 the same time cell pairs revealed that a majority of the re-tuned peaks
 2594 occurred earlier in time, going across sessions (71%), with an equal
 2595 proportion of cells without much re-tuning (14%) or de-tuning to later
 2596 time points (14%).

2597

2598

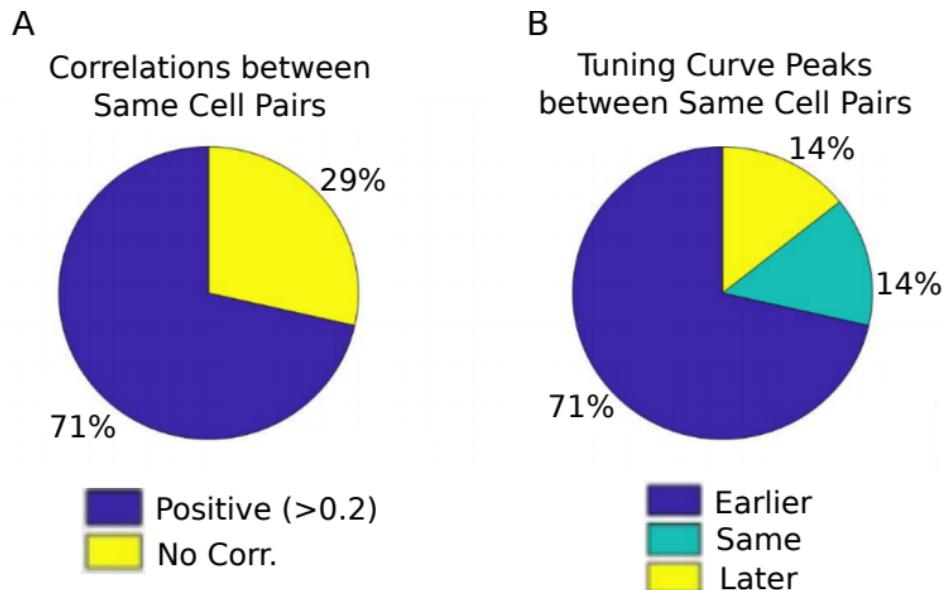


Figure 42: Comparing chronically tracked time cells across sessions for all matched cell pairs by (A) Correlation Analysis, and (B) Timing of peaks.

2600 A summary of the key preliminary results observed using real
 2601 physiology data is as follows.
 2602 1. Time cell tuning curve peaks typically began only after the
 2603 presentation of the CS.
 2604 2. The width of the tuning curve peaks for time cells increased with
 2605 tuning to later frame bins. This was consistent with the recordings
 2606 presented in literature under physiological conditions (B. Kraus et al.,
 2607 2013; MacDonald et al., 2011, 2013; Mau et al., 2018; Modi et al.,
 2608 2014; Pastalkova et al., 2008).
 2609 3. Pairwise time cell tuning curves for different time cells may have
 2610 some overlap in timing, but peaks were observed in all frame bins
 2611 between the CS and the US. This particular observation is confounded
 2612 by the short number of Trace period frames recorded and the
 2613 requirement to consider 3 recording frames to every bin (Mau et al.,

2614 2018), decreasing the effective sampling rate even further (14.5 Hz
2615 without binning, to ~5 Hz with binning). However, the observation is still
2616 consistent with previous literature (B. Kraus et al., 2013; B. J. Kraus et
2617 al., 2015; MacDonald et al., 2011, 2013; Mau et al., 2018; Modi et al.,
2618 2014; Pastalkova et al., 2008).

2619 4. A surprisingly large number of time cells could be identified with
2620 tuning peaks for frame bins occurring after the termination of the US.

2621 5. Considering all chronically tracked cells, the classified and sorted
2622 time cells formed sequences that were dynamic across learning
2623 sessions. Many time cells developed tuning curves with sessions while
2624 some time cells lost their tuning.

2625 6. For the majority of time cells, re-tuning occurred with initial tuning
2626 to the timing of the US in earlier sessions, followed by a shift to earlier
2627 time points for the tuning peak, as training progressed through
2628 sessions.

2629

2630 Future directions to be explored in the lab include studying the
2631 reliability of a larger pool of chronically tracked cells with switches in
2632 the inter-stimulus interval (ISI) between the CS and the US as well as
2633 with a larger palette of different stimuli testing out a battery of
2634 Conditioned Stimuli (CS1, CS2, etc.) and Unconditioned Stimuli (US1,
2635 US2, etc.). The goal is to understand how well the internal neural
2636 spatiotemporal CA1 sequence maps to the external behavioural
2637 protocol parameters, *in vivo*.

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2781 **Chapter 4 – Analysis**

2782

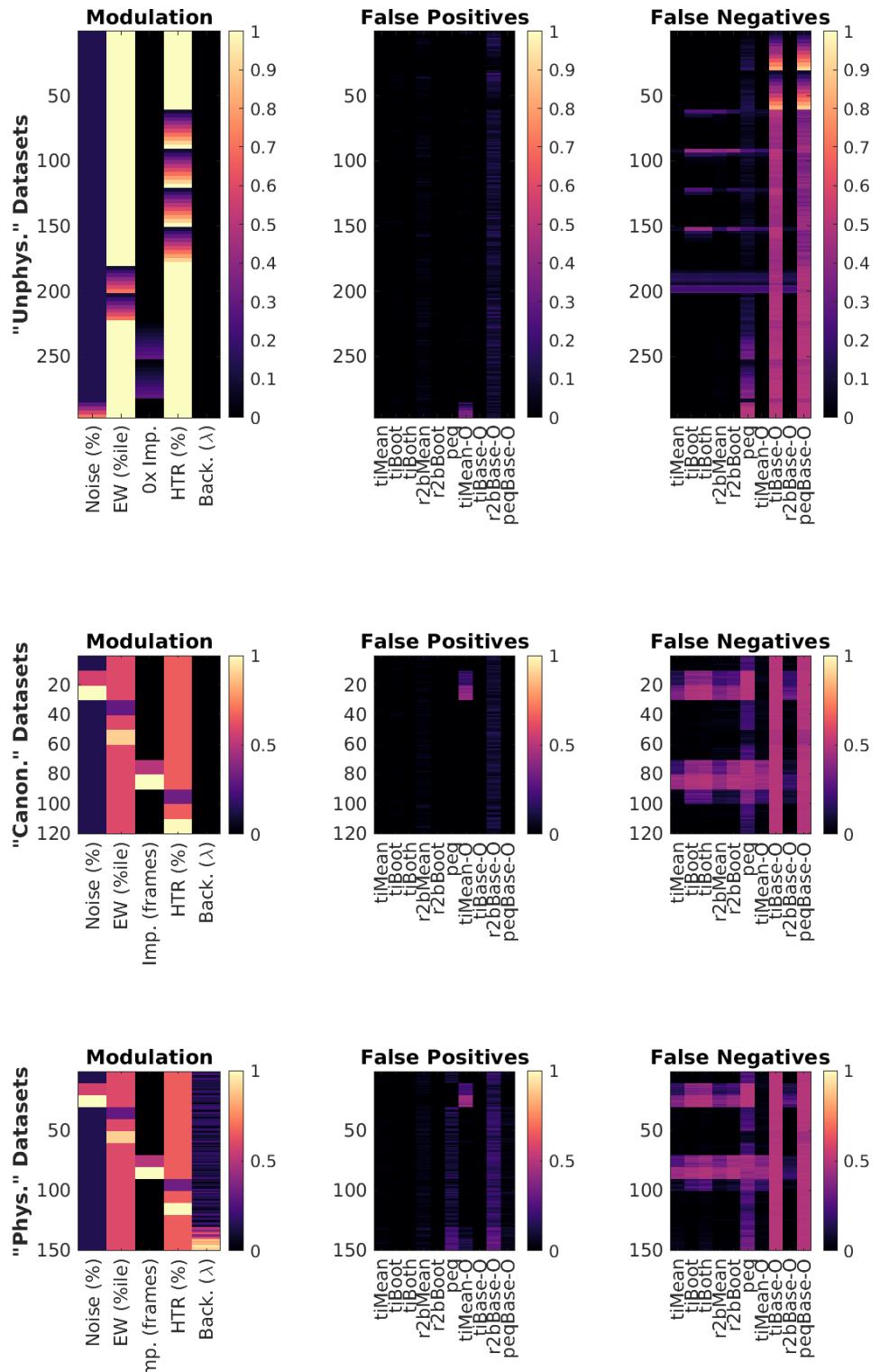
2783

2784 Our efforts to identify the best use cases for the various implemented
2785 time cell analysis algorithms on the basis of a testbed of user-defined,
2786 categorically labeled synthetic data with known ground truth (Project
2787 III), have been consolidated into a publication. The early access
2788 version of our paper (along with supplementary figures) has been
2789 attached.

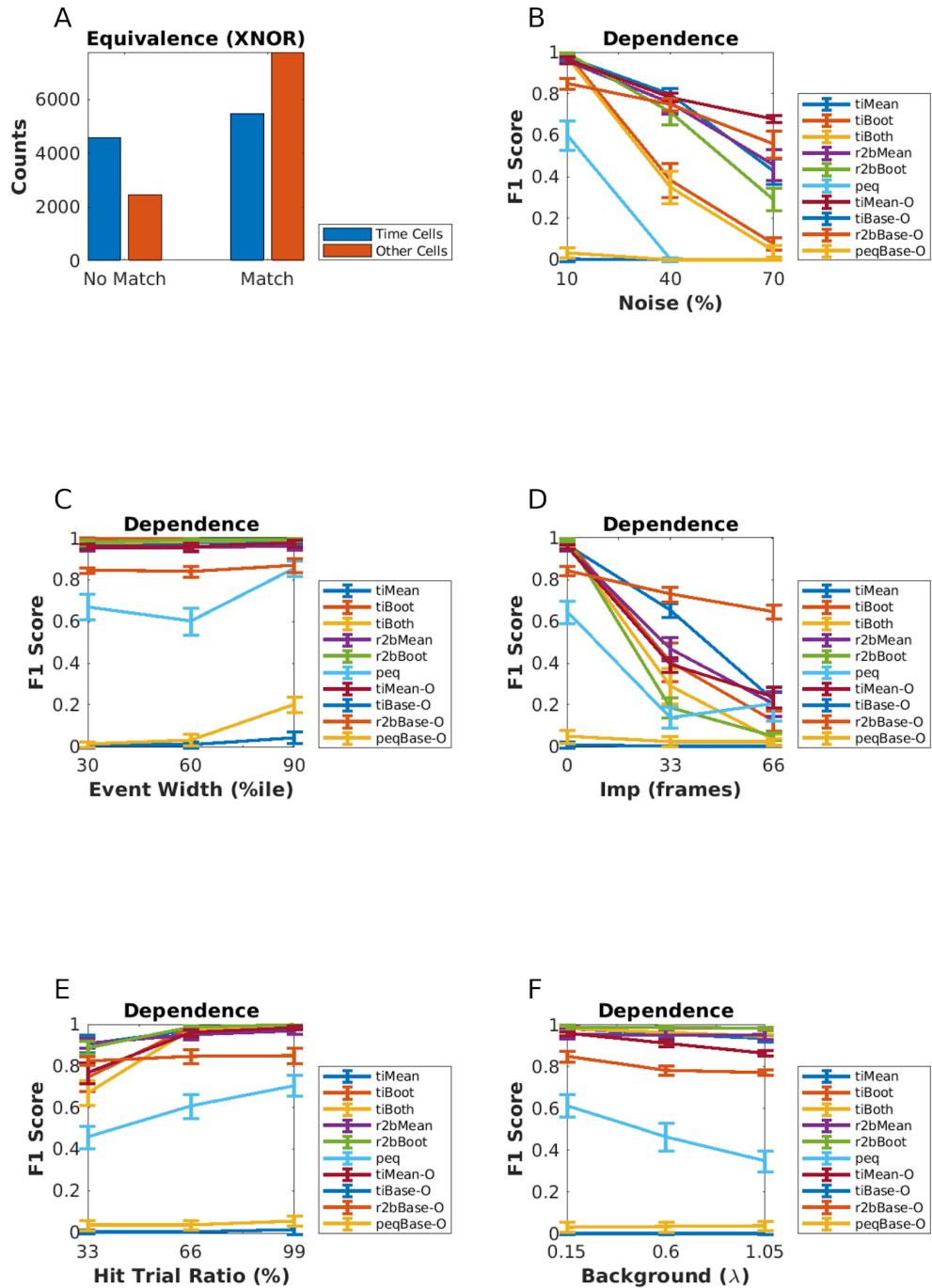
2790 **Extended Data Figures (Supplementary)**

2791

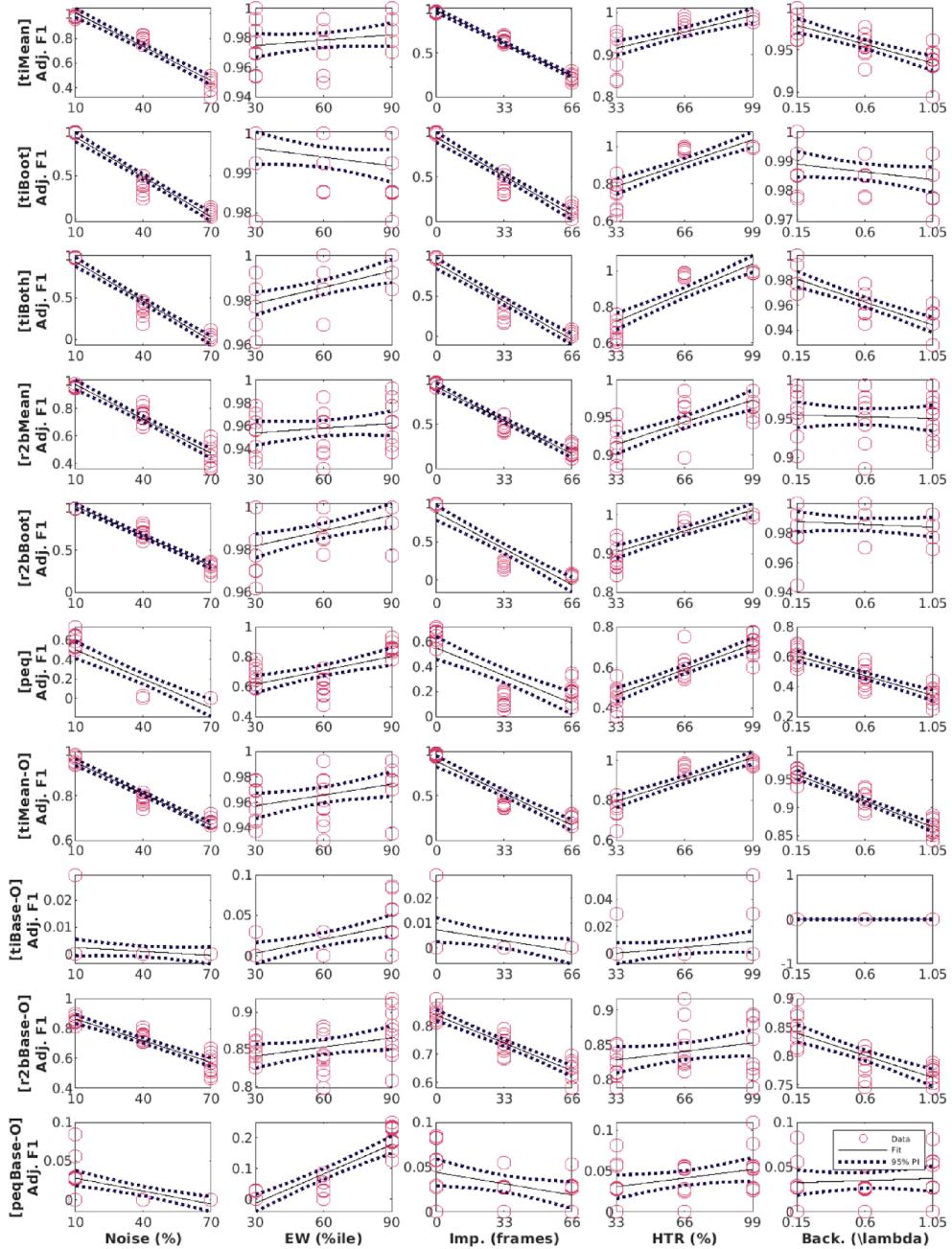
2792 **Figure 1-1.** Modulation profile along with the False Positive and False
2793 Negative rates per dataset, for important parameters configured in each of
2794 the 567 synthetic datasets generated. A-C: “Unphysiological Regime”, D-F:
2795 “Canonical Regime”, G-I: “Physiological Regime”.



2797 **Figure 6-1.** A: Equivalence by XNOR matching the prediction lists from the
2798 top six detection algorithms (Blue: Time Cells; Red: Other Cells). B-F:
2799 Dependence of the predictive performance (F1 Score) on the various
2800 important synthetic dataset configuration parameters, B: Noise (%), C: Event
2801 Width (%ile), D: Imprecision (frames), E: Hit Trial Ratio (%), and F:
2802 Background Activity (λ).



2804 Figure 6-2: Linear Regression fits for all algorithm parameter dependence
2805 curves with data points (red circles), best fit line (black), and the 95%
2806 prediction interval (PI; dotted black lines). The columns represent the
2807 physiology regime modulation parameter (out of the 5 main parameters
2808 tested), and the rows represent the various implemented algorithms for time
2809 cell detection.



2811 **Chapter 5 - Discussion**

2812 **The study of hippocampal CA1 sequences**

2813

2814 The standardized protocols described in the thesis are expected to aid
2815 in future experiments studying hippocampal CA1 sequences. Our
2816 simultaneous 2-photon calcium imaging recordings and behavioural
2817 training provided us the platform to study neural activity from ~100-150
2818 cells/animal at behaviourally relevant timescales (~70 ms per frame).

2819

2820 We standardized a multi-day Trace Eye-Blink Conditioning ("Chapter 2
2821 - Behaviour") training system for mice based on previous literature
2822 (Modi et al., 2014; Siegel et al., 2015) and could demonstrate several
2823 types of behavioural adaptations that experimental animals could learn
2824 under a variety of experiment conditions and modulations. Notably,

2825 1. The animals typically learnt the tasks quickly, within 1-2 weeks of
2826 training.

2827 2. Modulating the inter-stimulus interval (ISI) between the CS and
2828 US results affected the expression of the conditioned response (CR).

2829 3. A wide palette of stimuli may now be incorporated into existing
2830 protocols as either of the presented stimuli

2831

2832 Simultaneous large-scale recordings have been fundamental to the
2833 discovery of long spatiotemporal activity patterns with several
2834 participant CA1 neurons (Davidson et al., 2009; Foster, 2017).

2835 Electrical recordings provide many orders of magnitude better temporal
2836 resolution, not to mention being a direct readout of action potentials.

2837 However at the time of the design of the thesis, imaging based
2838 approaches could yield more recorded neurons per experiment animal.
2839 We standardized 2-photon fluorescence based chronic imaging of
2840 hippocampal CA1 neurons to allow calcium imaging based recording of
2841 the spatiotemporal sequences across multiple days ("Chapter 3 -
2842 Imaging"). This gave us the ability to
2843 1. Record neurophysiology over a large population of neurons
2844 (~100), in conjunction with temporally relevant behavioural contexts
2845 and modulations, albeit at ~100 ms temporal resolution.
2846 2. Chronically track cells across various behaviour sessions without
2847 ambiguity.
2848 3. Allow for scalability in the per animal yield of recording neurons with
2849 the use of faster and modern 2-photon microscope hardware utilizing
2850 Resonant Scanning instead of Galvo-Scanning, as well as multi-
2851 channel imaging.
2852
2853 We could identify time cells with the ability to retain, de-tune, or even
2854 re-tune, over the course of multiple sessions. Given no change in the
2855 behaviour protocol variables, it is unlikely we would have found such
2856 adaptations without scaling up the yield of cells or improving our
2857 temporal resolution while recording each individual session. Since the
2858 behaviour task is typically learnt to ~70-80% performance levels over
2859 the course of multiple sessions, our methodology gives us the ability to
2860 look into learning mechanisms utilized by the CA1 in the interim.
2861 Production quality datasets were quickly obtained by colleagues in the
2862 lab, following the protocols standardized and described here.
2863

2866 37), with subsequent sessions. Early in training, the timing of tuning
2867 peaks would typically occur near the time of the Unconditioned
2868 Stimulus (US; air-puff to eye). Our experiments presenting stimuli to
2869 naive animals (in accordance with Dhawale, 2013) suggested that
2870 somatosensory stimuli may be able to modulate CA1 responses, while
2871 many neutral stimuli may not (Chapter 3 – “Imaging”, Figure 29),
2872 without training. These results do allow for speculation on how initially
2873 neutral Conditioned Stimuli (CS; Light LED pulse) could develop
2874 behavioural valence for the animal, viz., the selective suppression of
2875 Response Inhibition to the previously neutral CS. An as yet unknown
2876 fraction of time cells may initially be triggered by the Unconditioned
2877 Stimulus (US; air-puff), but over the course of multiple training
2878 sessions, shift tuning fields to respond to the CS at the level of the CA1
2879 network. However, many more datasets would be required to firmly
2880 establish any mechanistic insight into the phenomenon.
2881

2882 **Mapping sequences to abstract
2883 variables**

2884
2885 Visual cues are typically considered important to place cell activity and
2886 tuning. The specific requirement of vision, however, was tested in a
2887 study published in 2015. Experimenters switched off the lights as their
2888 animals navigated a maze. The animals were provided only olfactory
2889 cues at specific locations in the maze, yet place cell activity and tuning
2890 could be recorded. This suggested that the hippocampus could use
2891 non-visuospatial resources to generate spatial representations, when
2892 vision was compromised (Zhang & Manahan-Vaughan, 2015).

2893
2894 In a sound manipulation task (SMT) rats changed the frequency of
2895 auditory tones in their environment, by self-initiated joystick control,
2896 ramping logarithmic sweeps of frequency space. The rate of change in
2897 frequency could be manipulated either by the animal or
2898 pseudorandomly by the experimenter. This study describes neural
2899 activity recorded from the medial entorhinal cortex (MEC) as well as
2900 the hippocampal CA1 with sub-populations that were found tuned to
2901 specific frequency “landmarks” during the auditory sequence (Aronov
2902 et al., 2017). The CA1 were, thus, argued to be capable of tuning to
2903 abstract variables and were designed to map out sequences of
2904 events/stimuli in their own spatiotemporal patterns of activity.
2905
2906 The ubiquity of neural sequences in a wide variety of systems has
2907 been discussed previously (Bhalla, 2019; Conen & Desrochers, 2022;
2908 S. Zhou et al., 2020) and over a century of research has discovered
2909 remarkable physiological features that may be used to identify neurons
2910 that participate in these sequences. However, research is still required
2911 to carefully dissect out the contribution that each participant neuron
2912 has to behaviour, an important goal in neuroscience (Ranck, 1973,
2913 1975).
2914
2915 The use of user-configurable, categorically labeled synthetic calcium
2916 activity profiles allowed us to probe and compare a range of different
2917 time cell detection algorithms, identifying strategies to best classify
2918 time cells. We were able to identify Temporal Information as a strong
2919 contender for the choice of algorithm for such classification (“Chapter 4
2920 - Analysis”; Ananthamurthy & Bhalla, 2023). The algorithms developed
2921 along the way were tested within the time scales of ~100 ms, that

2922 correspond to Replay Sequences or other behaviour timescale
2923 sequences. We expect the analysis routines to be useful in a variety of
2924 different experiments that could potentially help describe the neural
2925 code in more detail.

2926 **Does the brain create or predict?**

2927
2928 Predictive coding has been considered as a way for the brain to
2929 ultimately use external sensory information to minimize prediction
2930 errors during tasks (Doya et al., 2007; Rao & Ballard, 1999). One of
2931 the core ideas of Bayesian approaches to neurophysiology and
2932 behaviour is that the brain could be modeled as a prediction machine
2933 that is constantly modeling the change of variables. These variables
2934 may be external or internal yet salient concepts to any experimental
2935 animal, arguably expressed in neurophysiology as the dynamics of
2936 engrams. The ability of the mammalian hippocampus to bind both
2937 information streams to create new, more elaborate engrams, is likely
2938 crucial to the learning of new concepts behaviourally (N. J. Cohen &
2939 Eichenbaum, 1993; Eichenbaum, 2017).

2940
2941 Attentional states have been shown to have a bidirectional relationship
2942 with the expression of memory and learning (Chun & Johnson, 2011;
2943 Hutchinson & Turk-Browne, 2012; Uncapher et al., 2011). Specifically,
2944 Trace Eye-Blink Conditioning (TEC) performance has been suggested
2945 to be positively correlated with attention (Manns et al., 2000). The
2946 question of the effect of attentional states on the dynamics of the
2947 associated engram motivated an important milestone for the Thesis,

2948 *viz.*, to combine stable, adaptable behaviour studies with large-scale
2949 neurophysiology.
2950
2951 We were able to train head-fixed mice to TEC and confirm adaptable
2952 conditioned responses to task variables. We were also able to
2953 simultaneous record from ~100 hippocampal CA1 cell bodies as the
2954 animals acquired top behavioural performance. We observed in our
2955 preliminary results that many identified time cells showcased the ability
2956 to tune to different time points across sessions or days, as has been
2957 previously reported (Mau et al., 2018). This standardization of
2958 simultaneous behaviour and imaging ensured that colleagues from our
2959 lab were able to generate production quality data, quickly.
2960
2961 Several more high quality recordings and behaviour modulations would
2962 be required to conclusively describe time cells physiology and engram
2963 dynamics, at least at the level of a sub-population of hippocampal CA1.
2964 However, progress has been made to suggest the best time cell
2965 detection algorithm(s) based on their sensitivity to different recording
2966 parameters (Ananthamurthy & Bhalla, 2023). We hope that the Thesis
2967 is of aid to future research on the neural mechanisms of Learning and
2968 Memory by the nervous system.
2969

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