





**Artificial Neural Network** 

#### **Learning Objectives**

By the end of this lesson, you will be able to:

- Explore neural networks
- Perform weight updation using different activation functions
- O Deduce and implement backpropagation algorithm in Python
- Optimize the performance of your neural network using L2 regularization and dropout layers

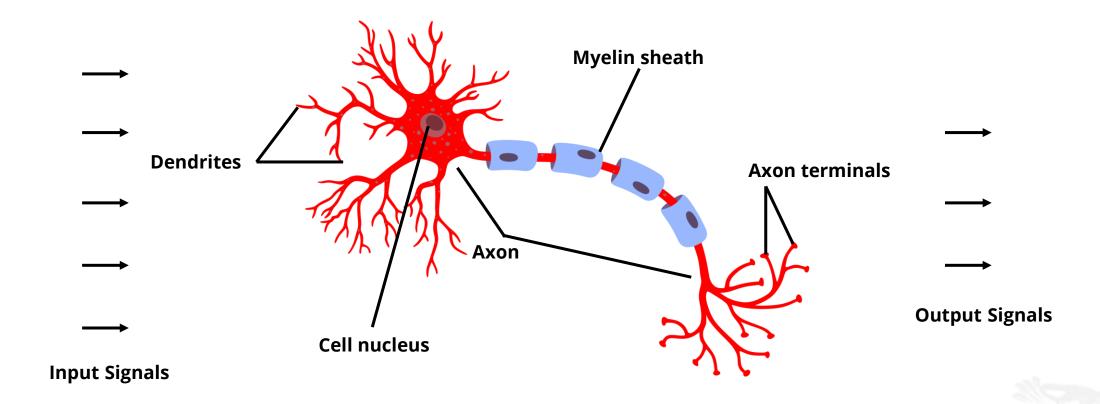




Biological Neuron vs. Artificial Neuron

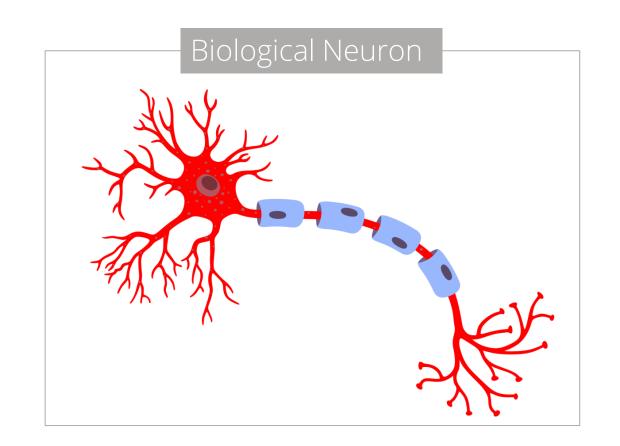


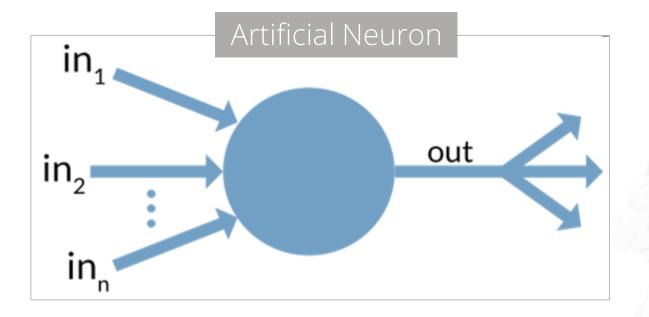
#### **Biological Neurons**



- Neurons are interconnected nerve cells that build the nervous system and transmit information throughout the body.
- Dendrites are extension of a nerve cell that receive impulses from other neurons.
- Cell nucleus stores cell's hereditary material and coordinates cell's activities.
- Axon is a nerve fiber that is used by neurons to transmit impulses.
- Synapse is the connection between two nerve cells.

#### **Rise of Artificial Neurons**





- Researchers Warren McCullock and Walter Pitts published their first concept of simplified brain cell in 1943.
- Nerve cell was considered similar to a simple logic gate with binary outputs.
- Dendrites can be assumed to process the input signal with a certain threshold such that if the signal exceeds the threshold, the output signal is generated.



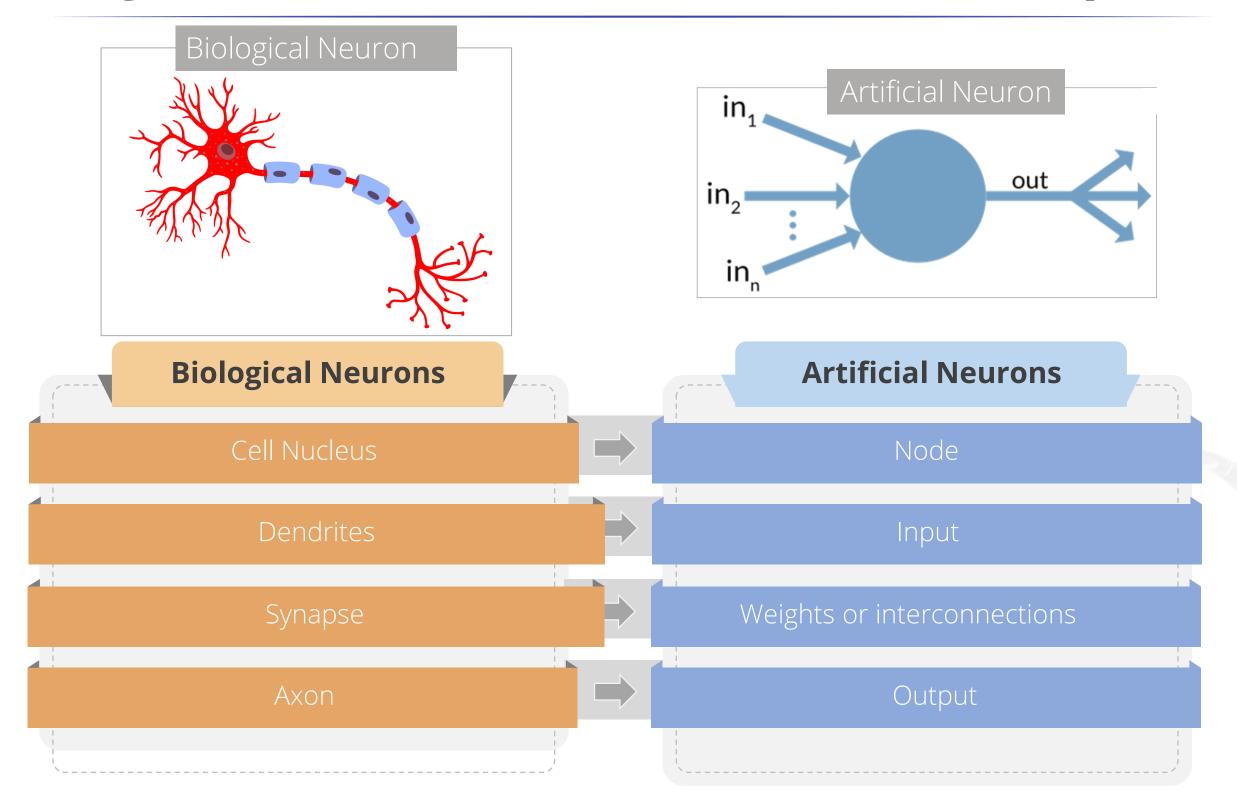
#### **Definition of Artificial Neuron**



An artificial neuron is analogous to biological neurons, where each neuron takes inputs, adds weights to them separately, sums them up, and passes this sum through a transfer function to produce a nonlinear output.



### **Biological Neurons and Artificial Neurons: A Comparison**



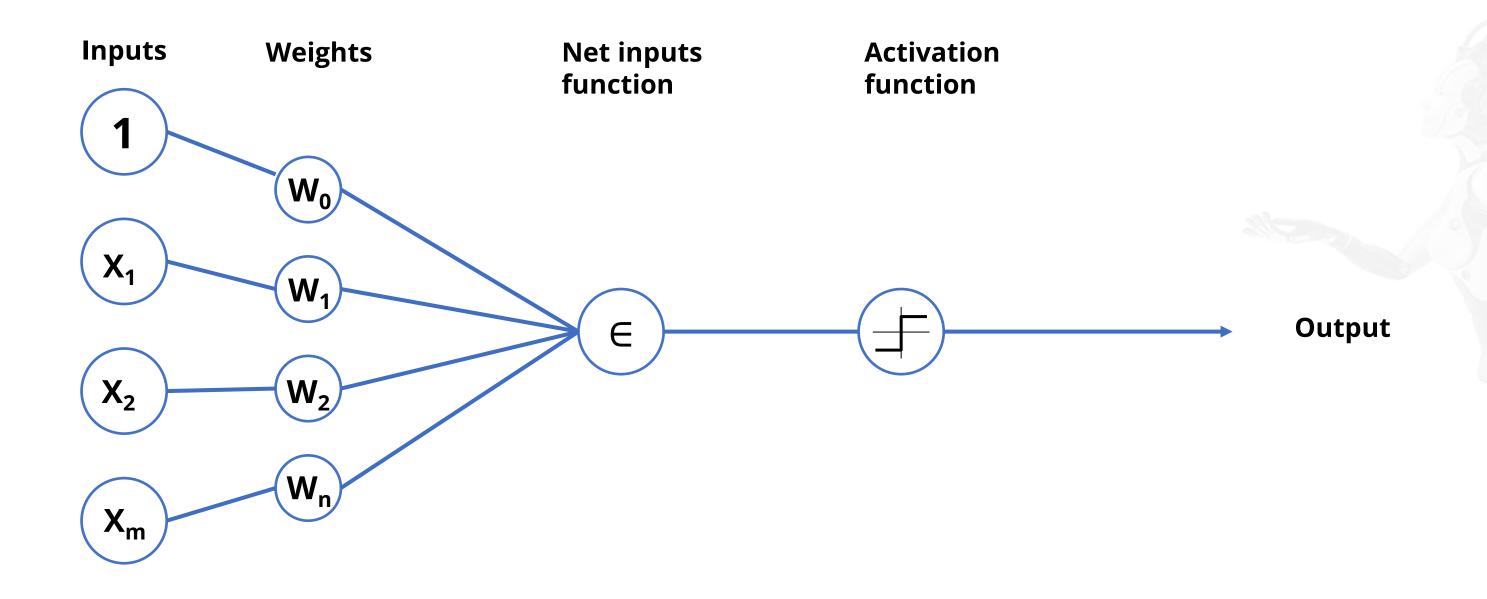


# Neural Networks

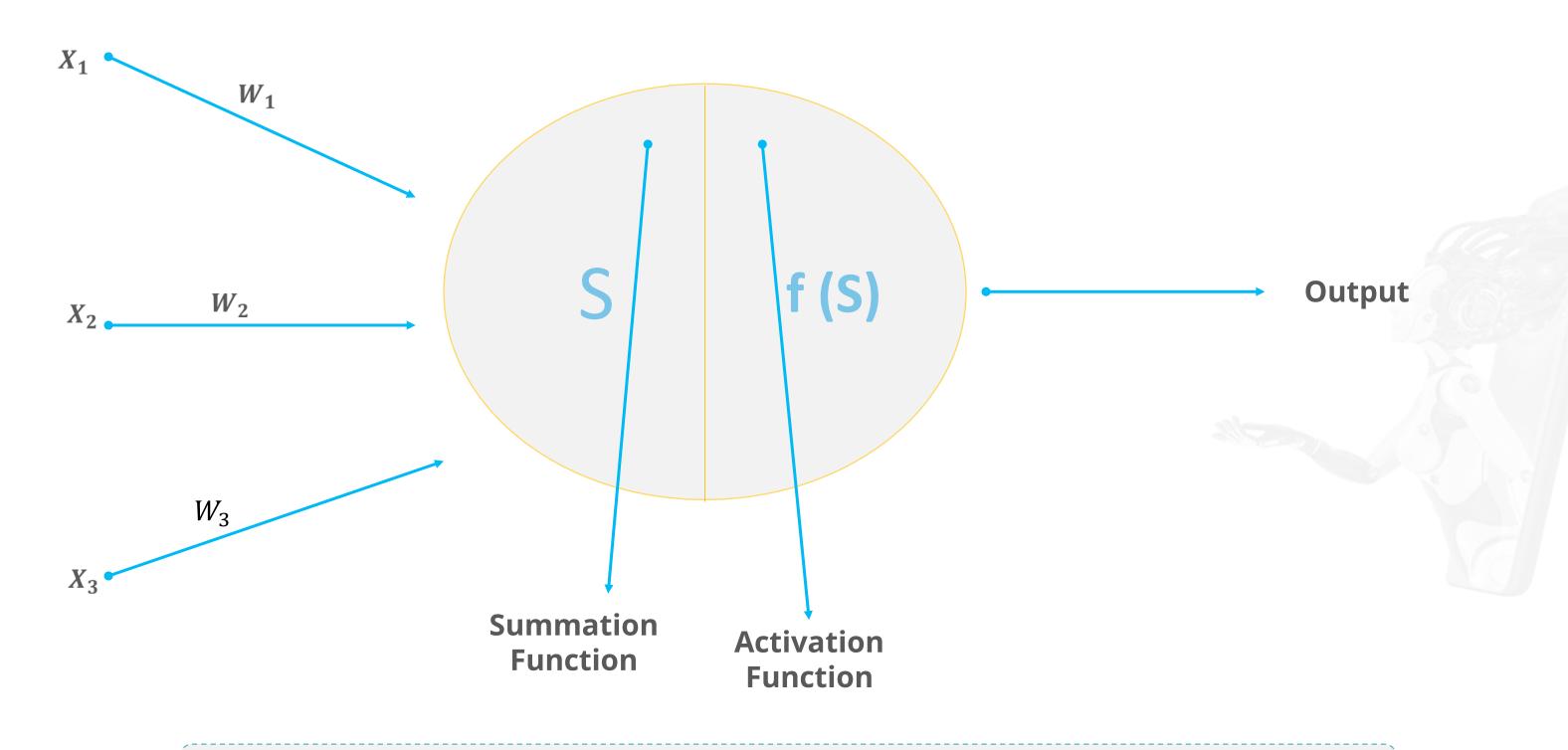


#### **Perceptron**

- Single layer neural network
- Consists of weights, the summation processor, and an activation function



### **Perceptron: The Main Processing Unit**



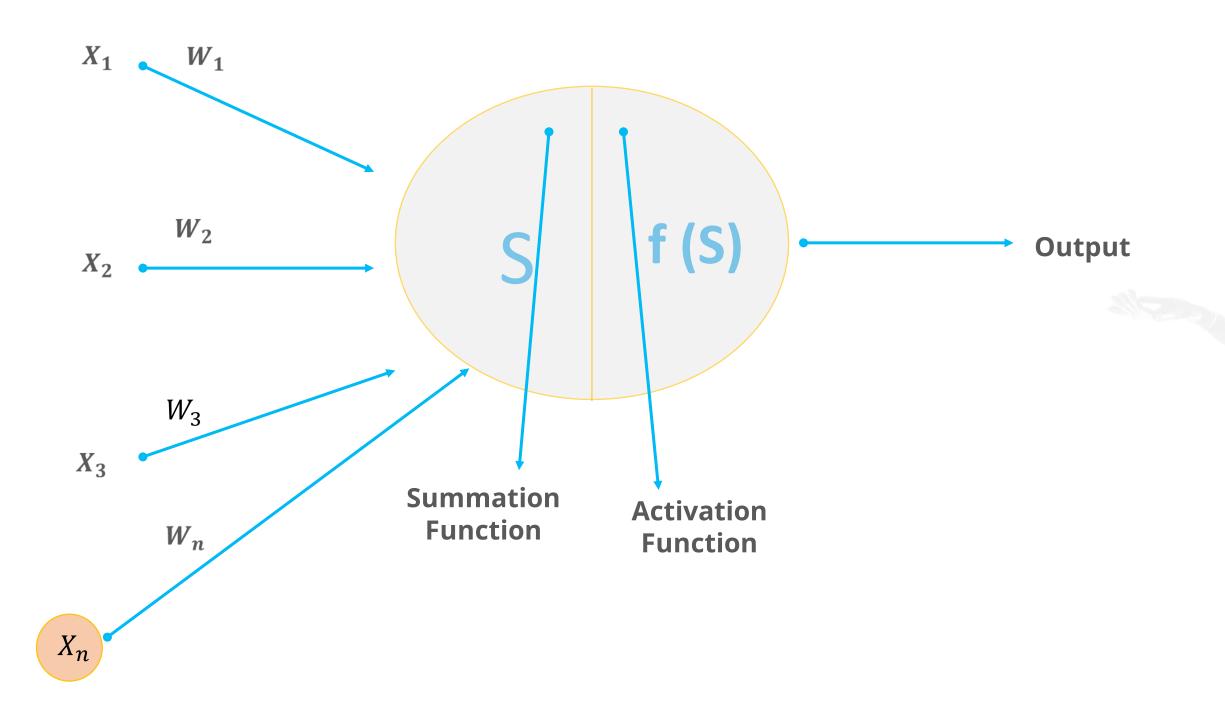


**Note:** Inputs X and weights W are real values.



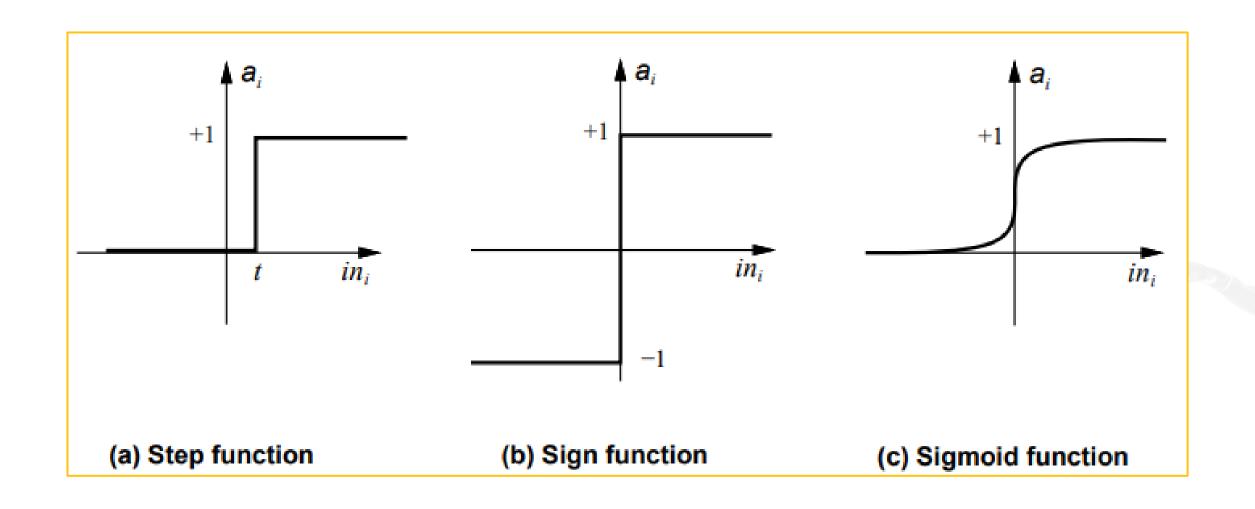
# **Weights and Biases in a Perceptron**

While the weights determine the slope of the equation, bias shifts the output line towards left or right.

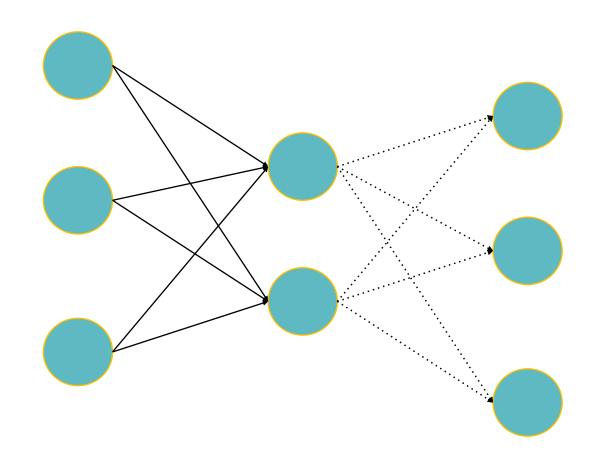


#### **Activation Functions**

Activation functions squash inputs to outputs by using a threshold value.



#### **Feedforward Nets**



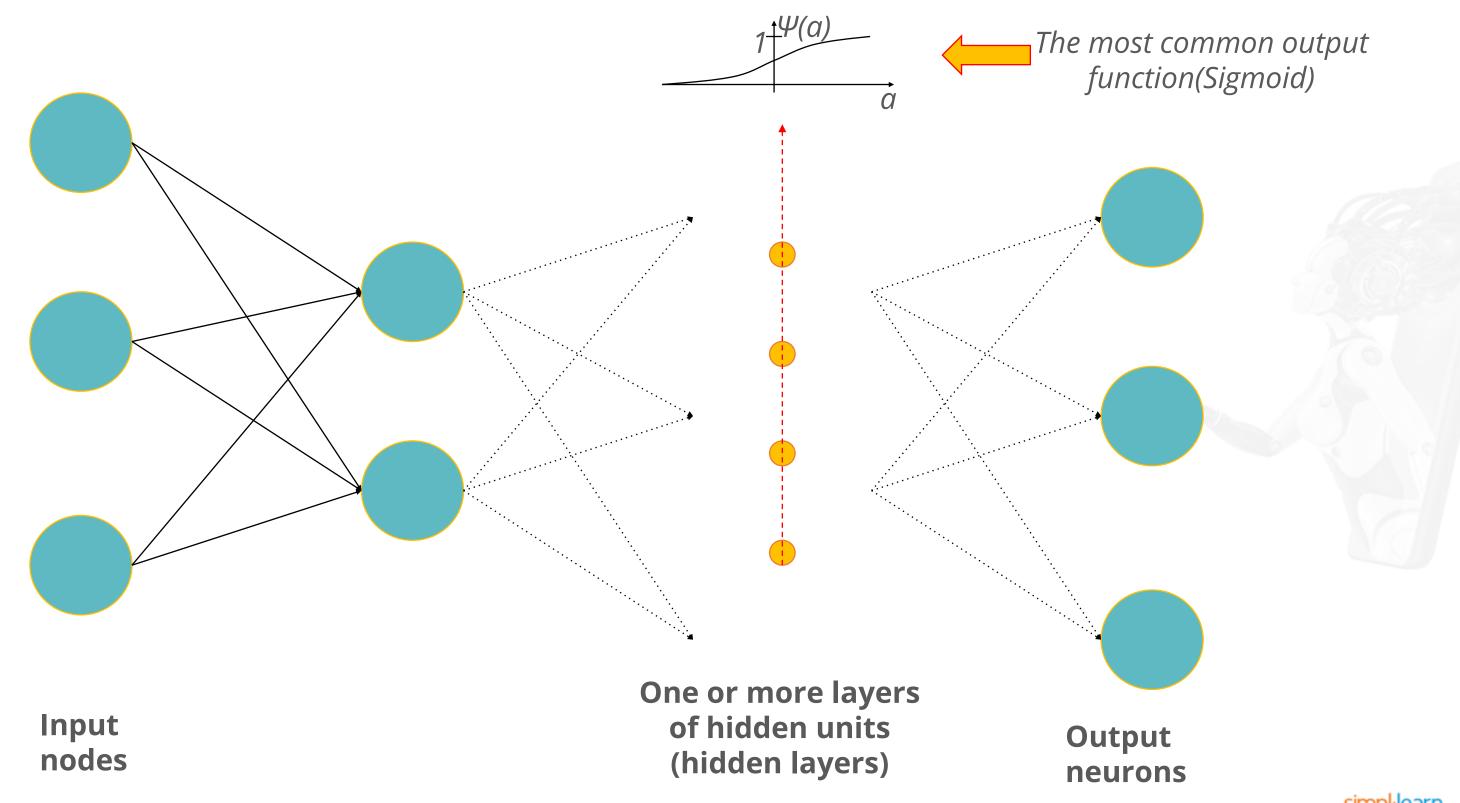
- Information flow is unidirectional
- Information is distributed
- Information processing is parallel

#### **The XOR Problem**

A perceptron can learn anything that it can represent, i.e., anything separable with a hyperplane. However, it cannot represent Exclusive OR since it is not linearly separable.

X <sub>1</sub>	X <sub>2</sub>	X <sub>1</sub> XOr X <sub>2</sub>	
-1	-1	-1	
-1	1	1	-1
1	-1	1	$X_2$
1	1	-1	

# **Multilayer Perceptrons**





**Problem Scenario:** You are hired by one of the major Al giants, planning to build the best image classifier model available till date. In the first phase of model development, the input is passed from the MNIST dataset. MNIST dataset is one of the most common datasets used for image classification and is accessible from many different sources. It is a subset of a larger set available from NIST and contains 60,000 training images and 10,000 testing images on handwritten digits taken from American Census Bureau employees and American high school students.

#### **Objective:**

Build a perceptron-based classification model to:

- Classify the handwritten digits properly
- Make predictions
- Evaluate model efficiency

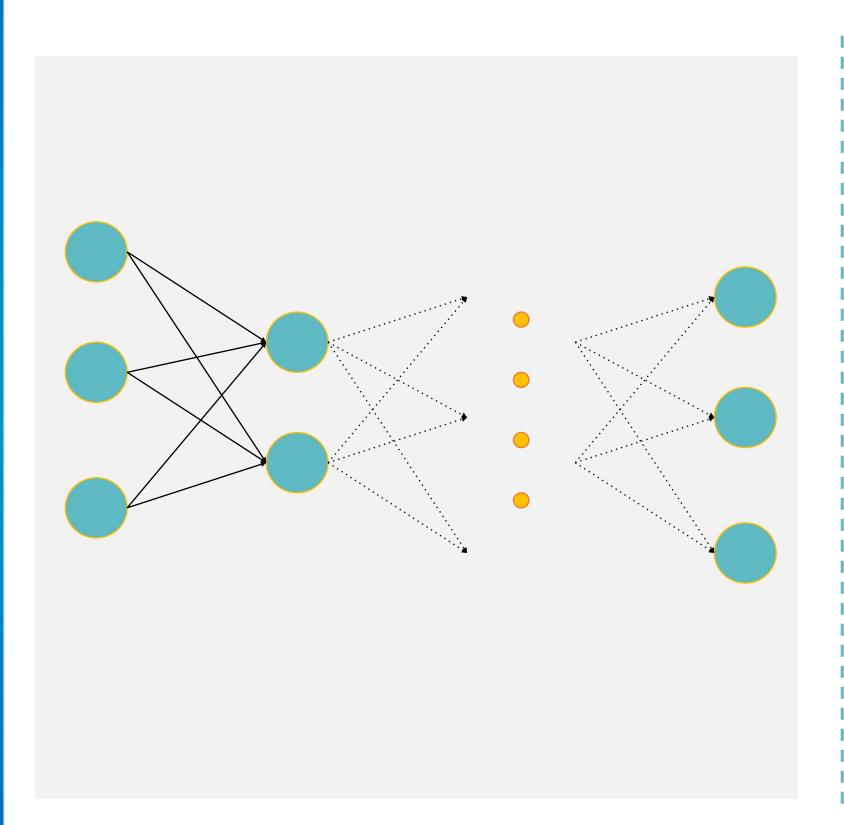
**Access:** Click the Practice Labs tab on the left panel. Now, click on the START LAB button and wait while the lab prepares itself. Then, click on the LAUNCH LAB button. A full-fledged jupyter lab opens, which you can use for your hands-on practice and projects.



Backpropagation



#### **Learning Networks**



- Learn from the input data or from training examples and generalize from learned data
- By training the network, it tries to find a line, plane or a hyperplane which can correctly separate two classes by adjusting weights and biases
- The network configures itself to solve a problem

### The Backpropagation Algorithm

Initialize the weights and the threshold

Provide the input and calculate the output

Update the weights

Repeat the initial steps

Let  $W_i$  be the initial weight

Let X be the input and Y be the output

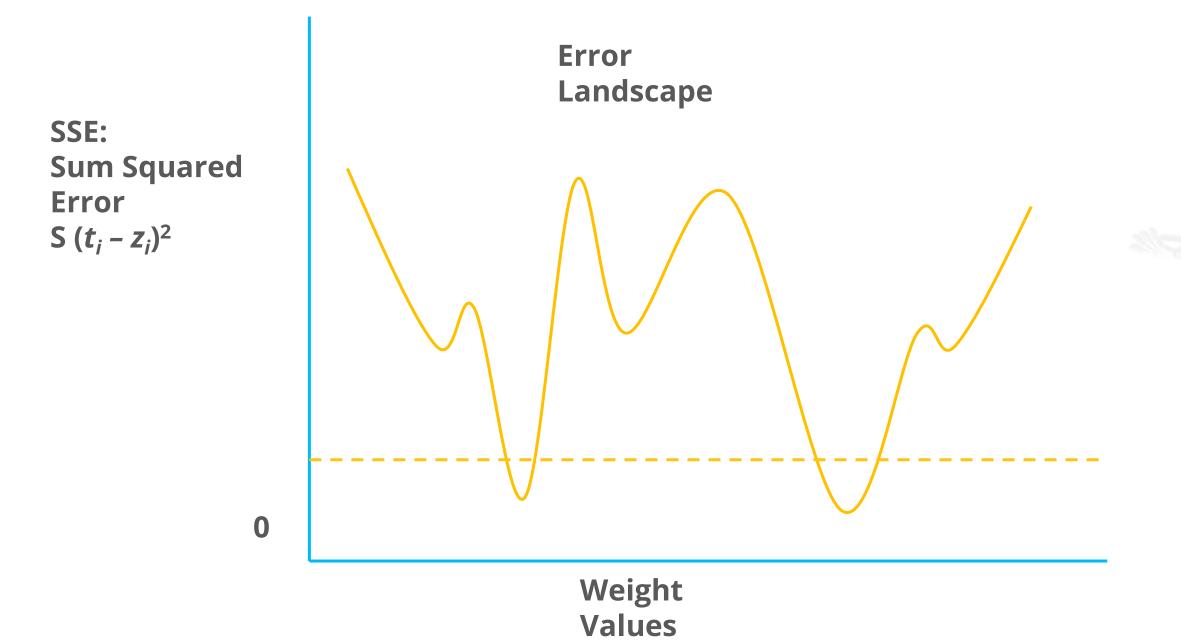
 $W_i$  (t+1) =  $W_i$  (t) +n(d-y)X, where d is the desired output and y is the actual output

The former steps are iterated continuously by changing the values of n till a considerable output is obtained



### **The Error Landscape**

The objective is to minimize the SSE (Sum Squared Error)



### **Deriving a Gradient Descent or Ascent Algorithm**

The idea of the algorithm is to decrease overall error (or other objective function) each time a weight is changed.

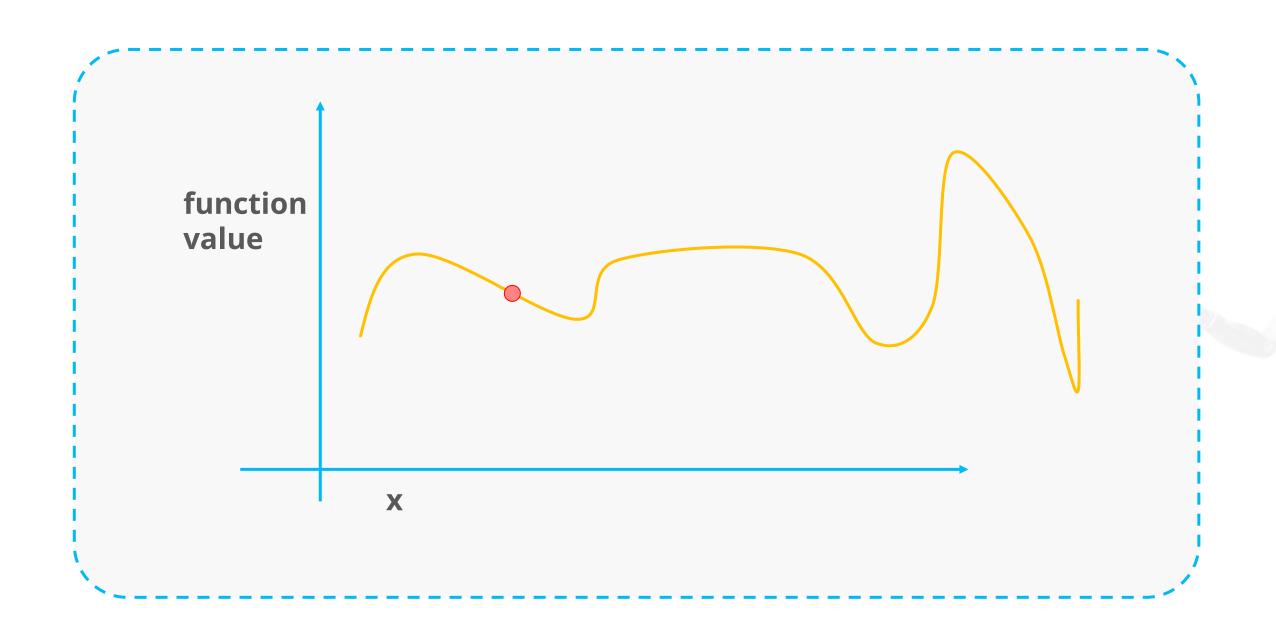
Look at local gradient: the direction of largest change.

Take a step in that direction such that the step size is proportional to gradient.

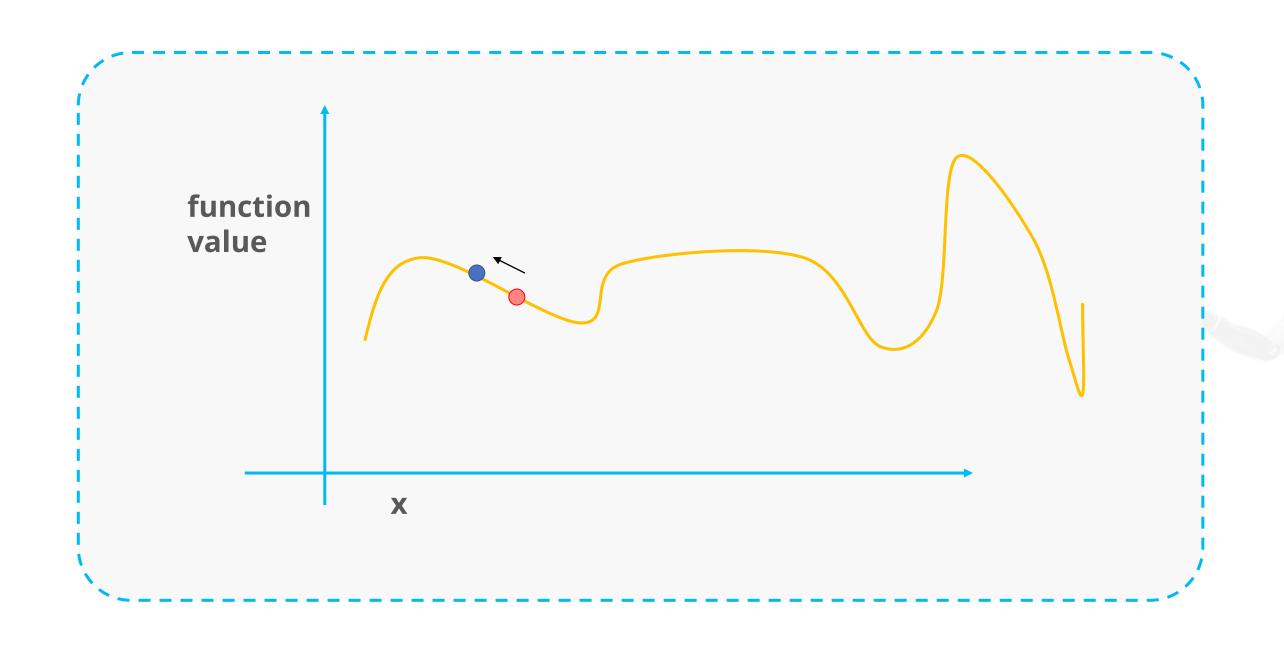
Gradient descent tends to yield much faster convergence to maximum.



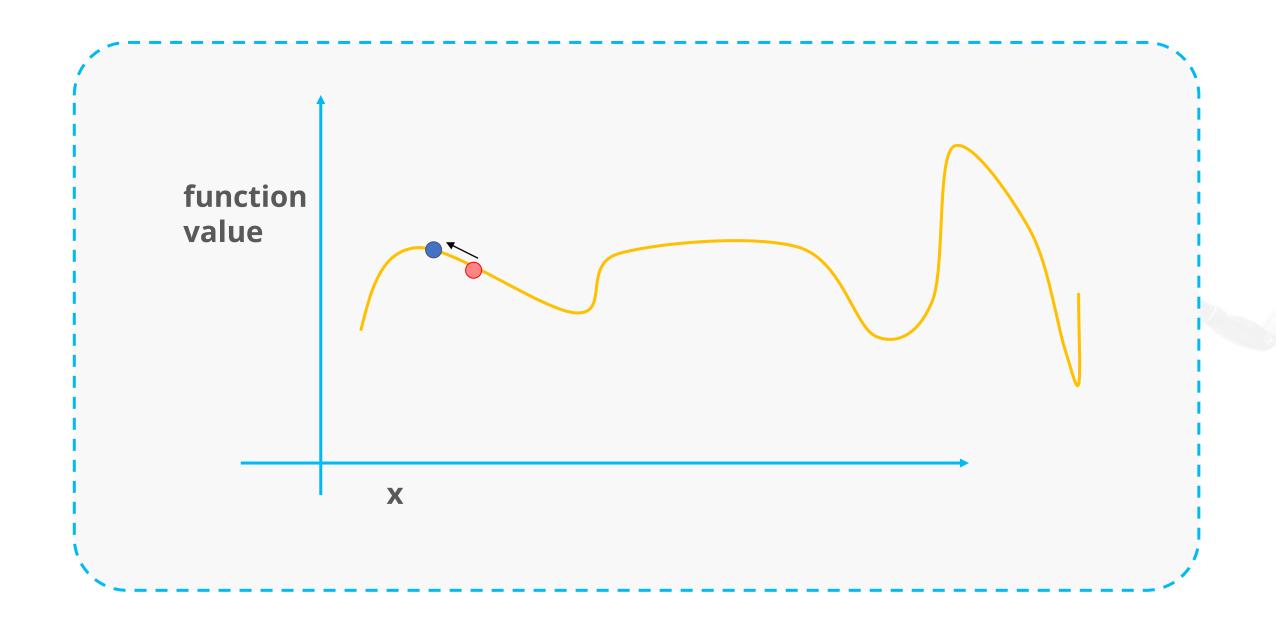
Initialize a random starting point



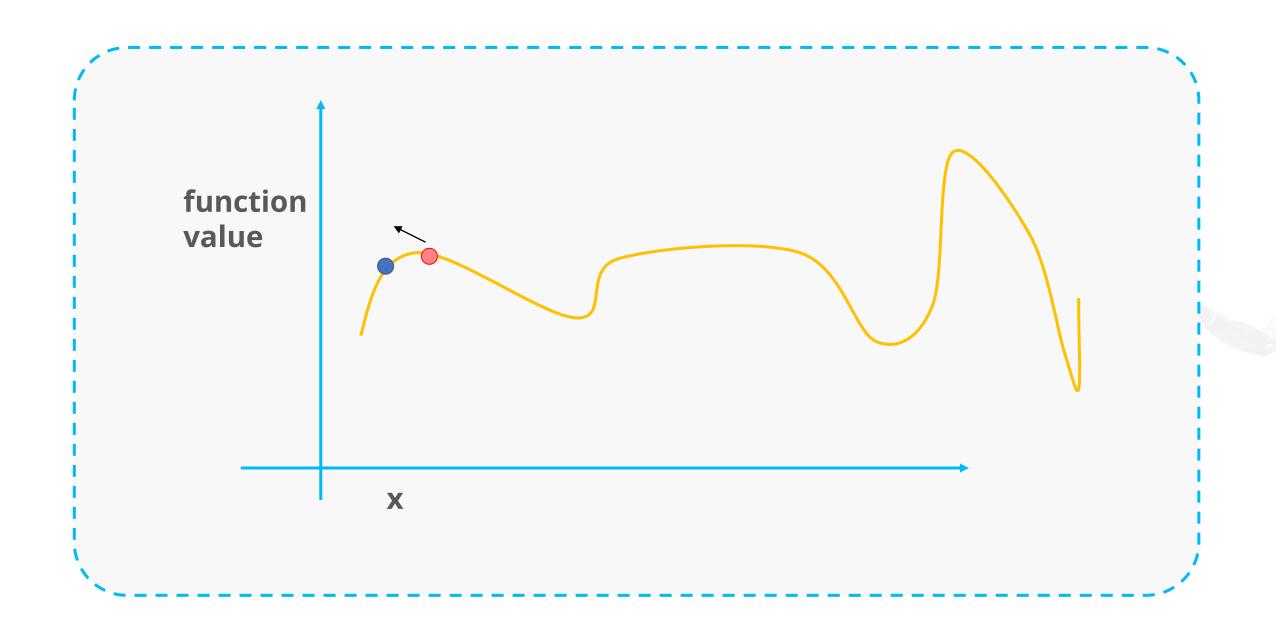
Take a step in the direction of the largest increase



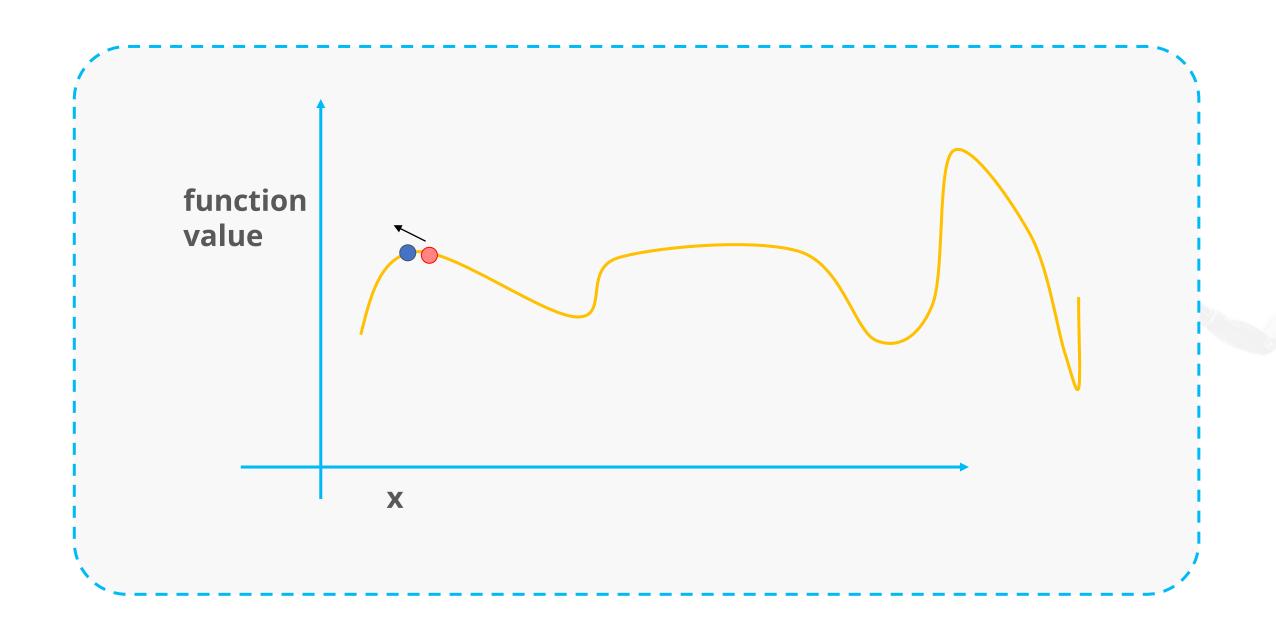




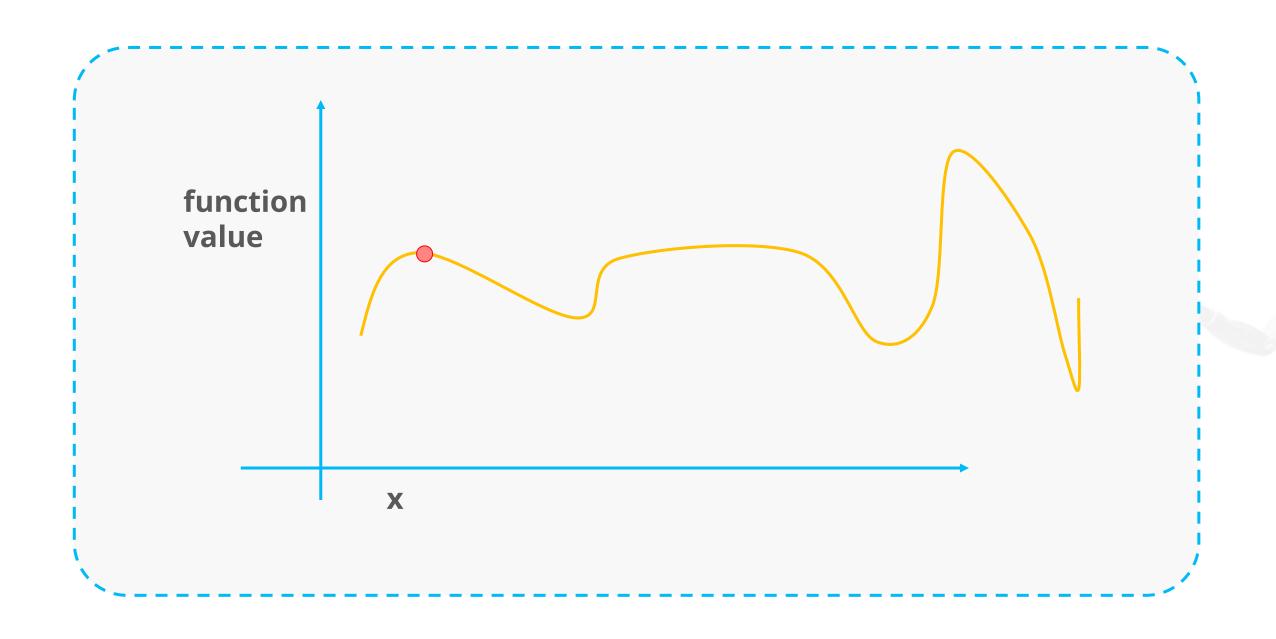
Next step is lower, so stop



Reduce step size to "hone in"

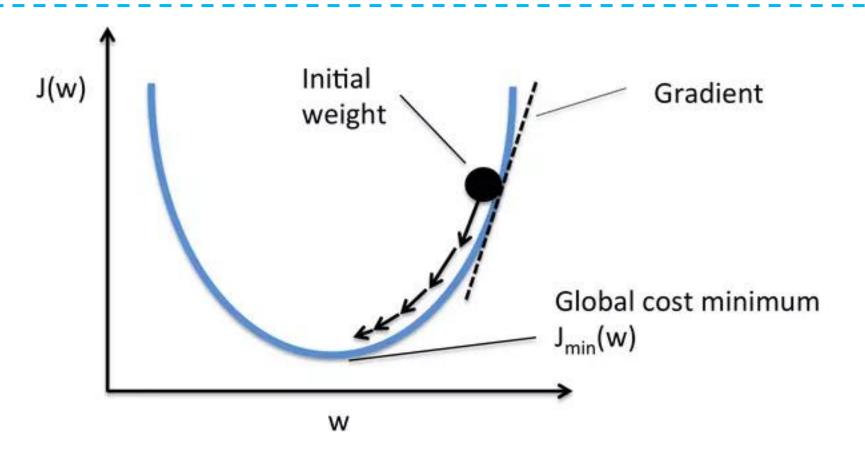


Converge to (local) maximum



#### **The Learning Rate**

- Learning rate is used to control the changes made to the weights and biases in order to reduce the error.
- It is used to analyze how an error will change when the values of weights and biases are changed by a unit.



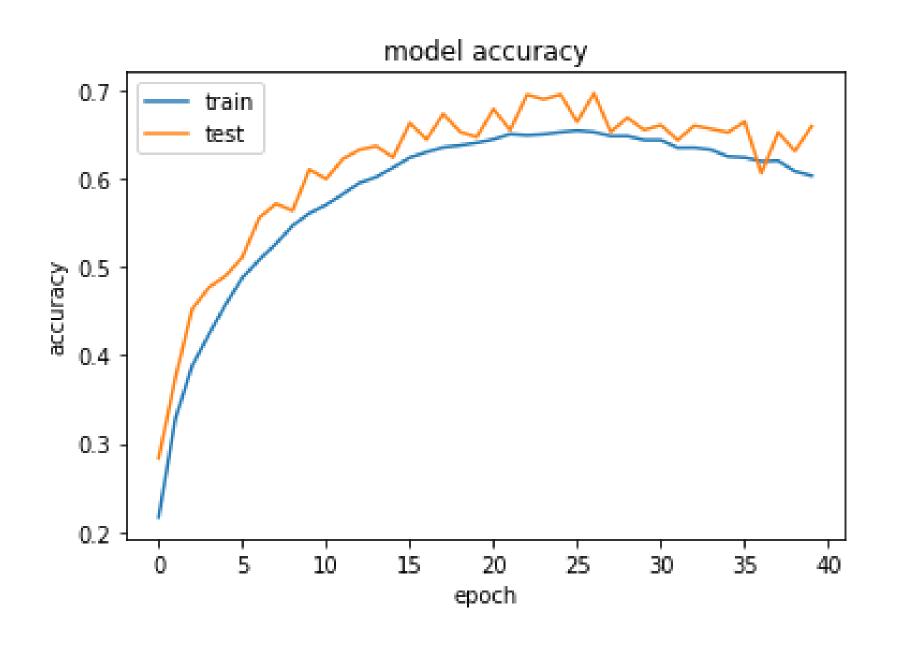


**Note:** Generally, a learning rate of 0.01 is a safe bet.



# **Epoch**

- One epoch consists of one full cycle of training data
- Preferred value of number of training epochs is set to 1000



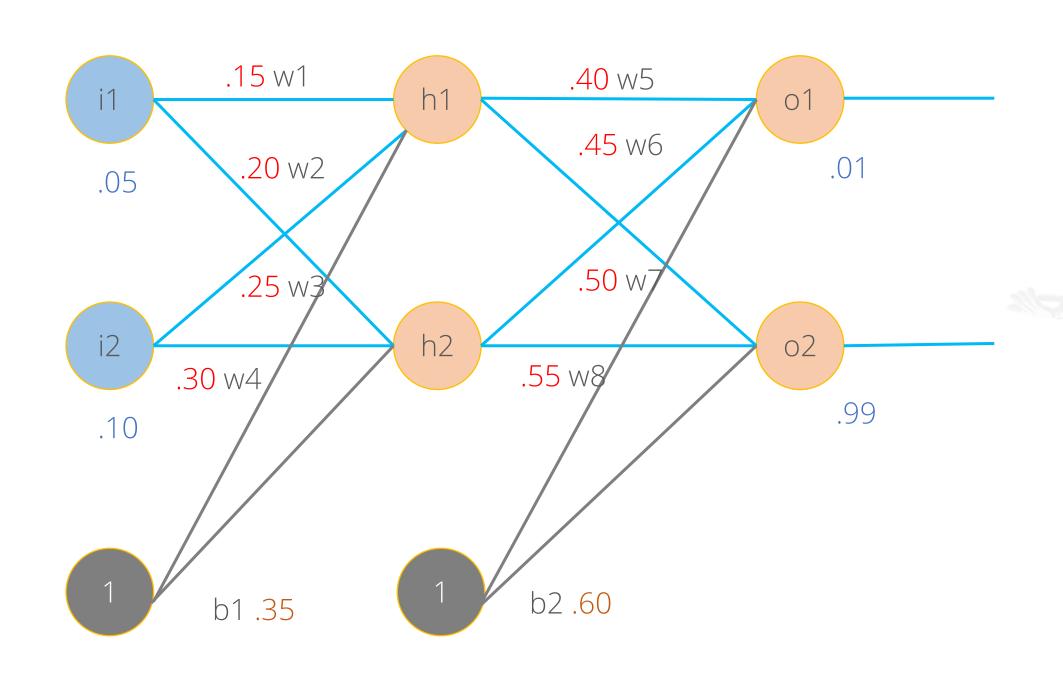


**Backpropagation: Example** 



#### **A Feed Forward Network**





#### **Forward Pass**

$$net_{h1}$$
 = w1 \* i1 + w2 \* i2 + b1\*1

$$net_{h1} = 0.15 *0.05 + 0.2*0.1 + 0.35*1 = 0.3775$$

Squash it using logistic function to get the output:

$$Out_{h1} = 1/1 + e^{-net_{h1}} = 0.593269992$$

$$Out_{h2} = 0.596884378$$



#### **Forward Pass**

$$net_{o1} = w5 * Out_{h1} + w6 * Out_{h2} + b2*1$$

$$net_{o1} = 0.4 * 0.593269992 + 0.45*0.596884378 + 0.6*1 = 1.105905967$$

$$Out_{o1} = 1/1 + e^{-net_{o1}} = 0.75136507$$

Carrying out the same process for o2, we get:

$$Out_{02} = 0.772928465$$

### **Calculating Total Error**

$$E_{Total} = \sum 1/2(target - output)^2$$

$$E_{o1} = 1/2(Target_{o1} - Out_{o1})^2 = \frac{1}{2}(0.01 - 0.753136507)^2 = 0.274811083$$

$$E_{o2} = 0.023560026$$

$$E_{Total} = E_{o1} + E_{o2} = 0.274811083 + 0.023560026 = 0.298371109$$

#### **The Backward Pass**

Let us consider  $W_5$ :

$$\frac{dE_{Total}}{dw_5} = \frac{dE_{Total}}{dout_{o1}} * \frac{dout_{o1}}{dnet_{o1}} * \frac{dnet_{o1}}{dw_5}$$

$$\delta_{01} = \frac{dE_{Total}}{dout_{o1}} * \frac{dout_{o1}}{dnet_{o1}} = \frac{dE_{Total}}{dnet_{o1}}$$

$$E_{Total} = E_{01} + E_{02}$$
  
 $E_{01} = \frac{1}{2} (target_{01} - out_{01})^{2}$   
 $out_{01} = \frac{1}{1 + e^{-net_{01}}}$   
 $net_{01} = w5 * out_{h1} + w6 * out_{h2} + b2 * 1$ 

#### **The Backward Pass**

$$\frac{dE_{Total}}{dw_5} = \frac{dE_{Total}}{dout_{01}} * \frac{dout_{01}}{dnet_{01}} * \frac{dnet_{01}}{dw_5}$$

$$E_{Total} = \frac{1}{2} (target_{01} - out_{01})^2 + \frac{1}{2} (target_{02} + out_{02})^2$$

$$\frac{dE_{Total}}{dout_{01}} = 2* \frac{1}{2} (target_{01} - out_{01})^2 - 1* - 1* - 0$$

$$\frac{dE_{Total}}{dout_{01}} = -(target_{01} - out_{01}) = -(0.01 - 0.75136507) = 0.74136507$$

#### **The Backward Pass**

$$\frac{dE_{Total}}{dw_5} = \frac{dE_{Total}}{dout_{o1}} * \frac{dout_{o1}}{dnet_{o1}} * \frac{dnet_{o1}}{dw_5}$$

$$out_{01} = 1/1 + e^{-net_{01}}$$

$$\frac{dout_{01}}{dnet_{01}} = out_{01} (1 - out_{01})$$

$$f(x) = 1/1 + e^{-x} = e^{x}/1 + e^{x}$$

$$\frac{df(x)}{dx} = f(x)(1 - (f(x)))$$

$$\frac{dout_{01}}{dnet_{01}} = 0.75136507(1 - 0.75136507) = 0.186815602$$

#### **The Backward Pass**

$$net_{01} = w5 * out_{h1} + w6 * out_{h2} + b2 *1$$

$$\frac{dnet_{01}}{dw_5} = 1 * out_{h1} * w_5 * (1-1) + 0 + 0 = out_{h1} = 0.593269992$$

$$\frac{dE_{Total}}{dw_5} = \frac{dE_{Total}}{dout_{o1}} * \frac{dout_{o1}}{dnet_{o1}} * \frac{dnet_{o1}}{dw_5}$$

$$\frac{dE_{Total}}{dw_5} = 0.74136507 * 0.186815602 * 0.593269992 = 0.082167041$$



## **Weight Updation**

Update the weight. To decrease the error, we then subtract this value from the current weight.

$$w_5^+ = w_5 - \eta * \frac{dE_{total}}{dw_5} = 0.4 - 0.5*0.082167041 = 0.35891648$$

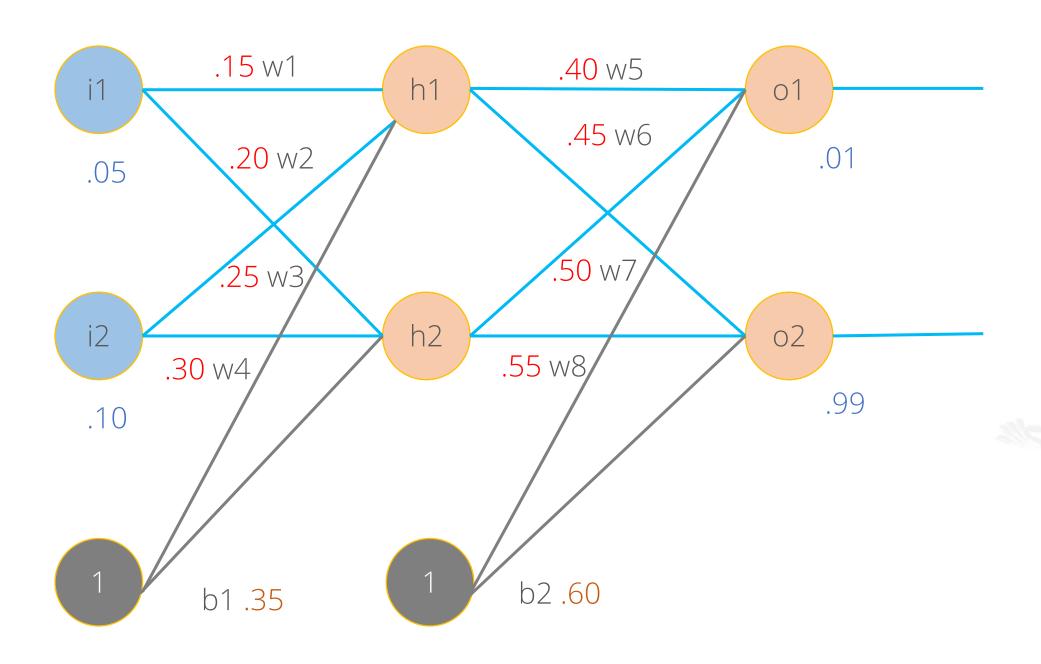
#### $\eta$ = Learning rate

$$w_6^+ = 0.408666186$$

$$w_7^+ = 0.511301270$$

$$w_8^+ = 0.561370121$$

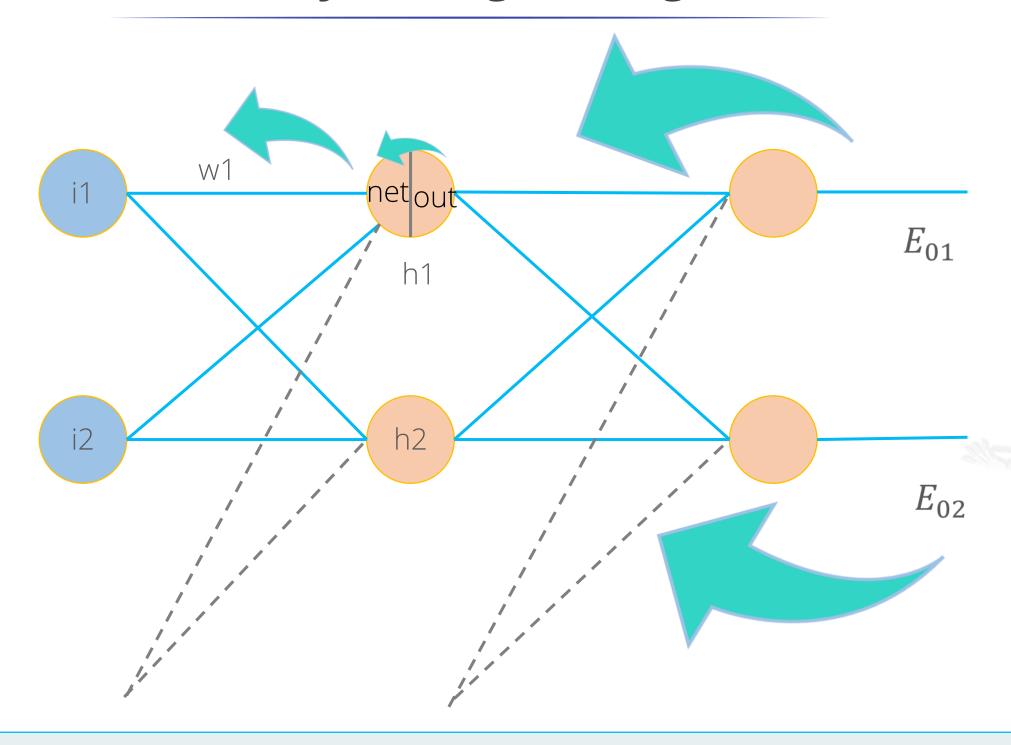
## **Weight Updation**





**Note:** While using the backpropagation mechanism, we use the original weights, not the updated weights.





$$\frac{dE_{Total}}{dw_1} = \frac{dE_{Total}}{dout_{h1}} * \frac{dout_{h1}}{dnet_{h1}} * \frac{dnet_{h1}}{dw_1}$$

$$\frac{dE_{Total}}{dout_{h1}} = \frac{dE_{o1}}{dout_{h1}} + \frac{dE_{o2}}{dout_{h1}}$$

$$E_{o1} = 1/2 (target_{o1} - out_{o1})^{2}$$

$$out_{o1} = 1/1 + e^{-net_{o1}}$$

$$net_{o1} = w_{5} * out_{h1} + w_{6} * out_{h2} + b_{2} * 1$$

$$\frac{dE_{Total}}{dout_{h1}} = \frac{dE_{o1}}{dnet_{o1}} * \frac{dnet_{o1}}{dout_{h1}}$$

$$\frac{dE_{o1}}{dnet_{o1}} = \frac{dE_{o1}}{dout_{o1}} * \frac{dout_{o1}}{dnet_{o1}} = \frac{dnet_{o1}}{dout_{h1}} = w_{5}$$

$$\frac{dE_{01}}{dout_{h1}} = \frac{dE_{o1}}{dnet_{o1}} * \frac{dnet_{o1}}{dout_{h1}} = 0.138498562*0.40 = 0.055399425$$

$$\frac{dE_{02}}{dout_{h1}} = -0.019049119$$

$$\frac{dE_{total}}{dout_{h1}} = \frac{dE_{01}}{dout_{h1}} + \frac{dE_{02}}{dout_{h1}} = 0.55399425 + -0.019049119 = 0.036350306$$



$$\frac{dE_{total}}{dw_1} = \frac{dE_{total}}{dout_{h_1}} * \frac{dout_{h_1}}{dnet_{h_1}} * \frac{dnet_{h_1}}{dw_1}$$

$$out_{h_1} = 1/1 + e^{-net_{h_1}}$$

$$\frac{dout_{h_1}}{dnet_{h_1}} = out_{h_1} (1 - out_{h_1}) = 0.59326999(1 - 0.59326999) = 0.241300709$$

$$net_{h_1} = w_1 * i_1 + w_3 * i_2 + b_1 * 1$$

$$\frac{dnet_{h_1}}{dw_1} = i_1 = 0.05$$

$$\frac{dE_{total}}{dw_1} = 0.036350306 * 0.241300709 * 0.05 = 0.000438568$$

 $W_1$  can be updated now:

$$(1-out_{h1}) = 0.59326999(1-0.59326999) = 0.241300709$$

$$net_{h1} = w_1 * i_1 w_3 * i_2 + b_1 * 1$$

$$w_1^+ = w_1 - \eta * \frac{dE_{total}}{dw_1} = 0.15 - 0.5 * 0.000438568 = 0.149780716$$

The same needs to be repeated for  $w_2$ ,  $w_3$ , and  $w_4$ .

The same needs to be repeated for  $W_2$ ,  $W_3$ , and  $W_4$ .



Initially the error was 0.298371109. However, post first iteration of the backpropagation algorithm the error value lowered down to 0.291027924.

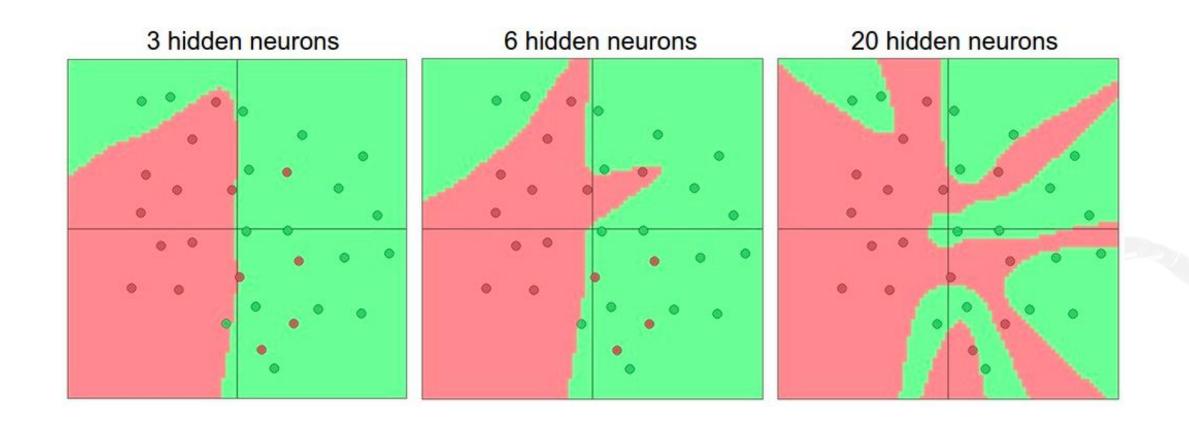
The above error can be reduced significantly by repeating this process 10,000 times or more.

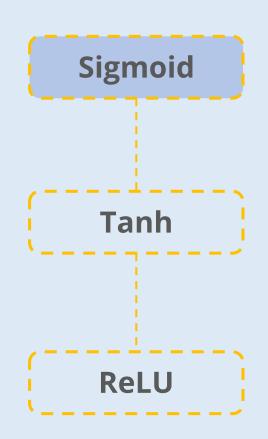


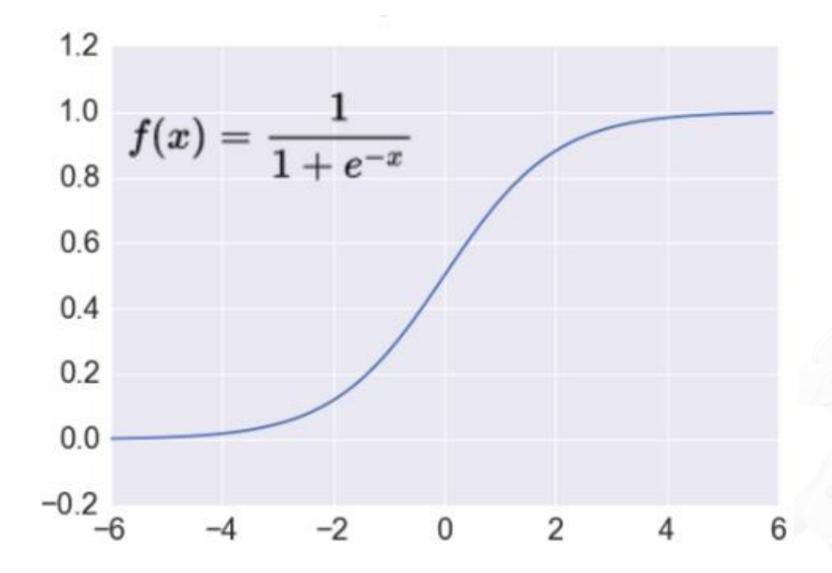




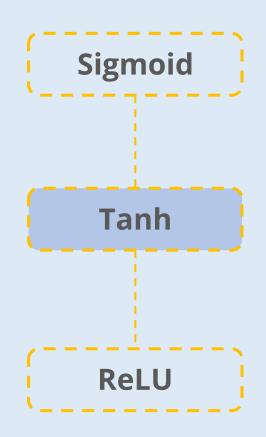
Nonlinearities are needed to learn complex (non-linear) representations of data, otherwise the neural networks would be just a linear function

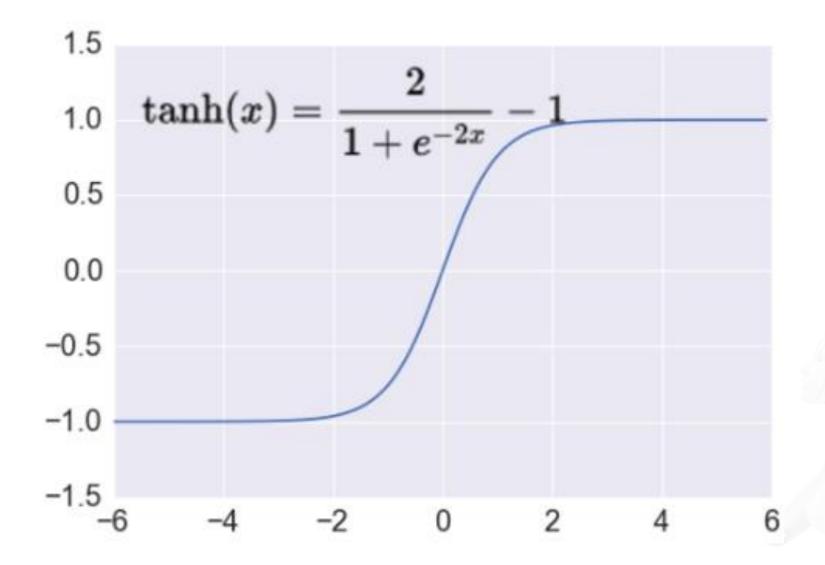




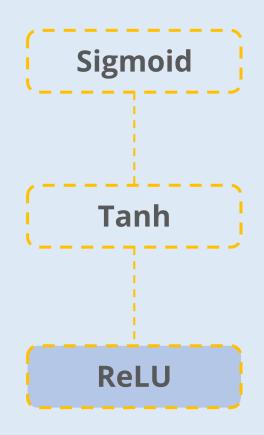


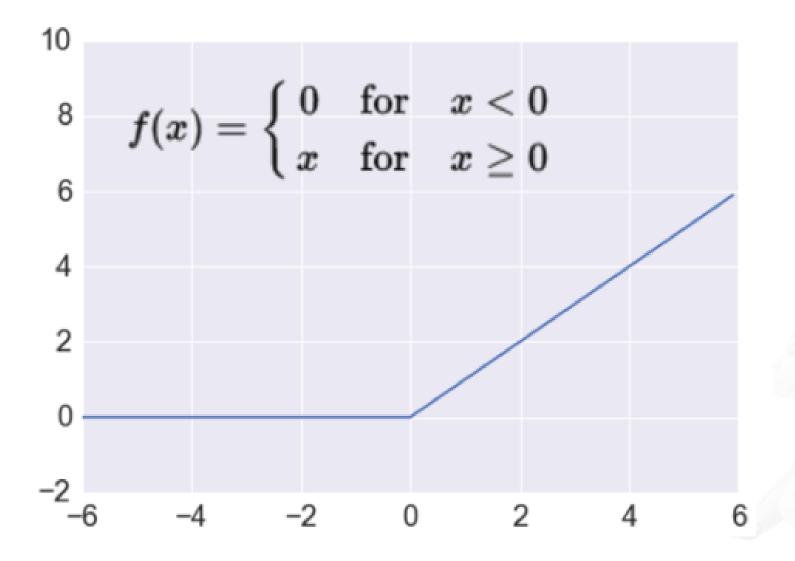
- Takes a real-valued number and **squashes** it into a range of 0 to 1
- Sigmoid neurons saturate and kill gradients, thus
   NN will barely learn





- Takes a real-valued number and squashes it into a range of -1 to 1
- Like sigmoid, tanh neurons saturate
- Unlike sigmoid, output is zero-centered





- Takes a real-valued number and thresholds it at zero
- Most deep networks use ReLU nowadays
- Trains much faster
- Prevents the vanishing gradient problem





**Problem Scenario:** The backpropagation algorithm plays a key role in training a feedforward artificial neural network. It models a given function by modifying internal weights of input neurons to produce an expected output neuron.

#### **Objective:**

Build a neural network which takes **tanh** as the activation function and updates weights with respect to the tanh gradients.

**Access:** Click the Practice Labs tab on the left panel. Now, click on the START LAB button and wait while the lab prepares itself. Then, click on the LAUNCH LAB button. A full-fledged jupyter lab opens, which you can use for your hands-on practice and projects.



**Problem Scenario:** Neural networks are the crux of deep learning, a field which has practical applications in many different areas. They become more accurate and effective with multiple layers. The creation of multilayered neural network is not feasible. However, developing the source code for a shallow neural network will help understand the functioning of deep neural networks much better.

**Objective:** Write a simple neural network in Python considering the activation function as sigmoid.

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## **Defining Elements**

Import the necessary libraries and define a class in the name of NeuralNetwork

```
In [23]: from numpy import exp, array, random, dot
   class NeuralNetwork():
```

## **Initialize Weights and Assign Activation Functions**

```
class NeuralNetwork():
   def init (self):
       # Seed the random number generator, so it generates the same numbers
       # every time the program runs.
       random.seed(2)
       # We model a single neuron, with 3 input connections and 1 output connection.
       # We assign random weights to a 3 \times 1 matrix, with values in the range -1 to 1
       # and mean 0.
        self.synaptic_weights = 2 * random.random((3, 1)) - 1
   # The Sigmoid function, which describes an S shaped curve.
   # We pass the weighted sum of the inputs through this function to
   # normalise them between 0 and 1.
   def sigmoid(self, x):
       return 1 / (1 + exp(-x))
   # The derivative of the Sigmoid function.
   # This is the gradient of the Sigmoid curve.
   # It indicates how confident we are about the existing weight.
   def __sigmoid_derivative(self, x):
       return x * (1 - x)
```

## Weight Adjustment

```
# We train the neural network through a process of trial and error.
# Adjusting the synaptic weights each time.
def train(self, training set inputs, training set outputs, number of training iterations):
    for iteration in range(number_of_training_iterations):
       # Pass the training set through our neural network (a single neuron).
        output = self.think(training set inputs)
       # Calculate the error (The difference between the desired output
       # and the predicted output).
        error = training set outputs - output
       # Multiply the error by the input and again by the gradient of the Sigmoid curve.
       # This means less confident weights are adjusted more.
       # This means inputs, which are zero, do not cause changes to the weights.
        adjustment = dot(training set inputs.T, error * self. sigmoid derivative(output))
       # Adjust the weights.
        self.synaptic_weights += adjustment
```



**Note:** The above functions are defined within the class named NeuralNetworks.

## Weight Adjustment

```
# We train the neural network through a process of trial and error.
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def train(self, training set inputs, training set outputs, number of training iterations):
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       # Adjust the weights.
        self.synaptic_weights += adjustment
```



**Note:** The above functions are defined within the class named NeuralNetworks.

#### **Initialize the think Function**

```
# The neural network thinks.

def think(self, inputs):

# Pass inputs through our neural network (our single neuron).

return self.__sigmoid(dot(inputs, self.synaptic_weights))
```

#### **Initialize the Neural Network**

```
if __name__ == "__main__":
    #Intialise a single neuron neural network.
    neural_network = NeuralNetwork()

print ("Random starting synaptic weights: ")
print (neural_network.synaptic_weights)

# The training set. We have 4 examples, each consisting of 3 input values
# and 1 output value.
    training_set_inputs = array([[0, 0, 1], [1, 1, 1], [1, 0, 1], [0, 1, 1]])
    training_set_outputs = array([[0, 1, 1, 0]]).T
```

#### **Train the Neural Network**

```
# Train the neural network using a training set.
# Do it 10,000 times and make small adjustments each time.
neural_network.train(training_set_inputs, training_set_outputs, 10000)

print ("New synaptic weights after training: ")
print (neural_network.synaptic_weights)

# Test the neural network with a new situation.
print ("Considering new situation [1, 0, 0] -> ?: ")
print (neural_network.think(array([1, 0, 0])))
```

```
Random starting synaptic weights:
[[-0.1280102 ]
    [-0.94814754]
    [ 0.09932496]]

New synaptic weights after training:
[[ 9.67282529]
    [-0.20892653]
    [-4.62890667]]

Considering new situation [1, 0, 0] -> ?:
[0.99993703]
```

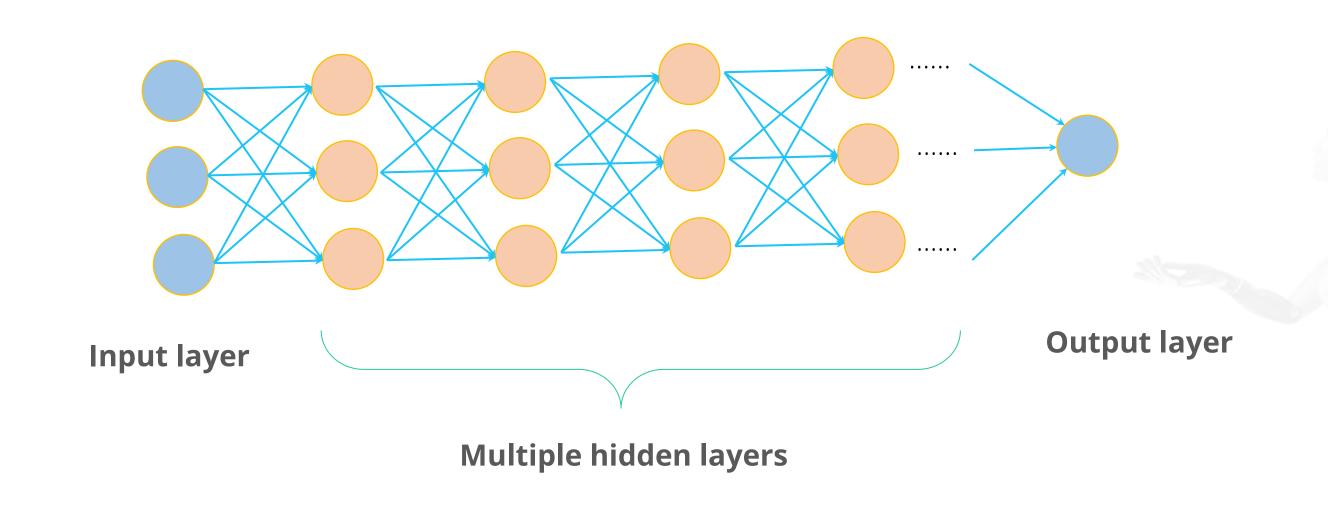


Regularization

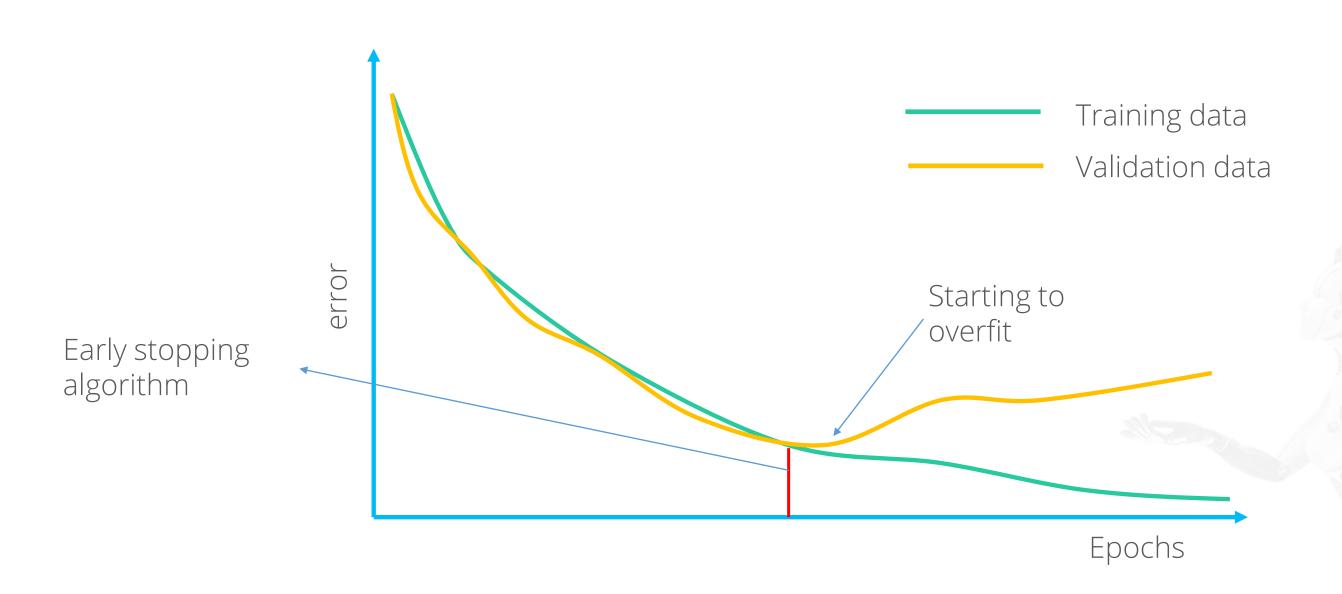


## **Deep Neural Networks**

When a neural network contains more than one hidden layer it becomes a Deep Neural Network.



## **The Overfitting Problem**



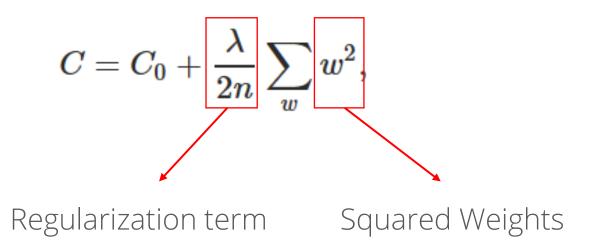
Learned hypothesis may **fit** the training data and the outliers (**noise**) very well but fail to **generalize** test data.



**L2** Regularization

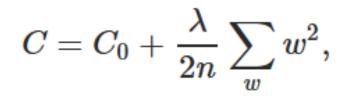
Dropout Regularization

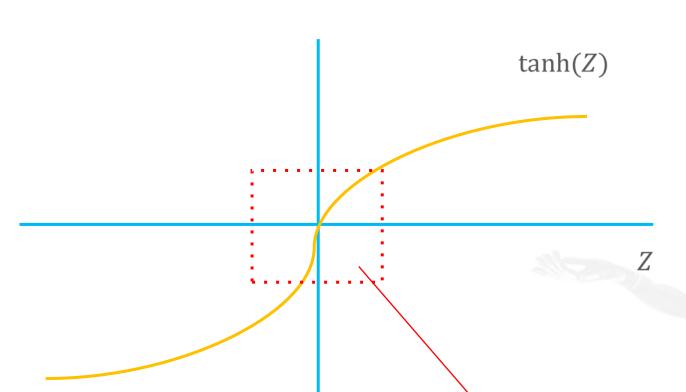
- Regularization penalizes big weights, in addition to the overall cost function
- Weight decay value determines how dominant regularization is during gradient computation
- Big weight decay coefficient implies big penalty for big weights



**L2** Regularization

Dropout Regularization

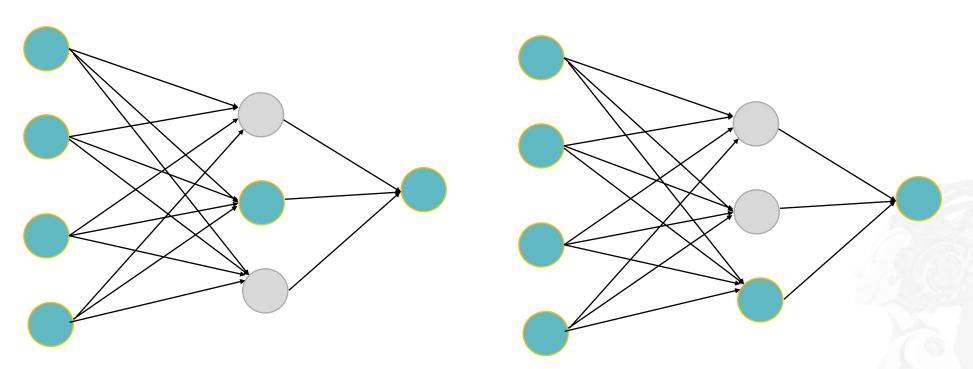




Complex nonlinearity reduced to linear function after application of L2 regularization, thus reducing the complexity due to hidden layers

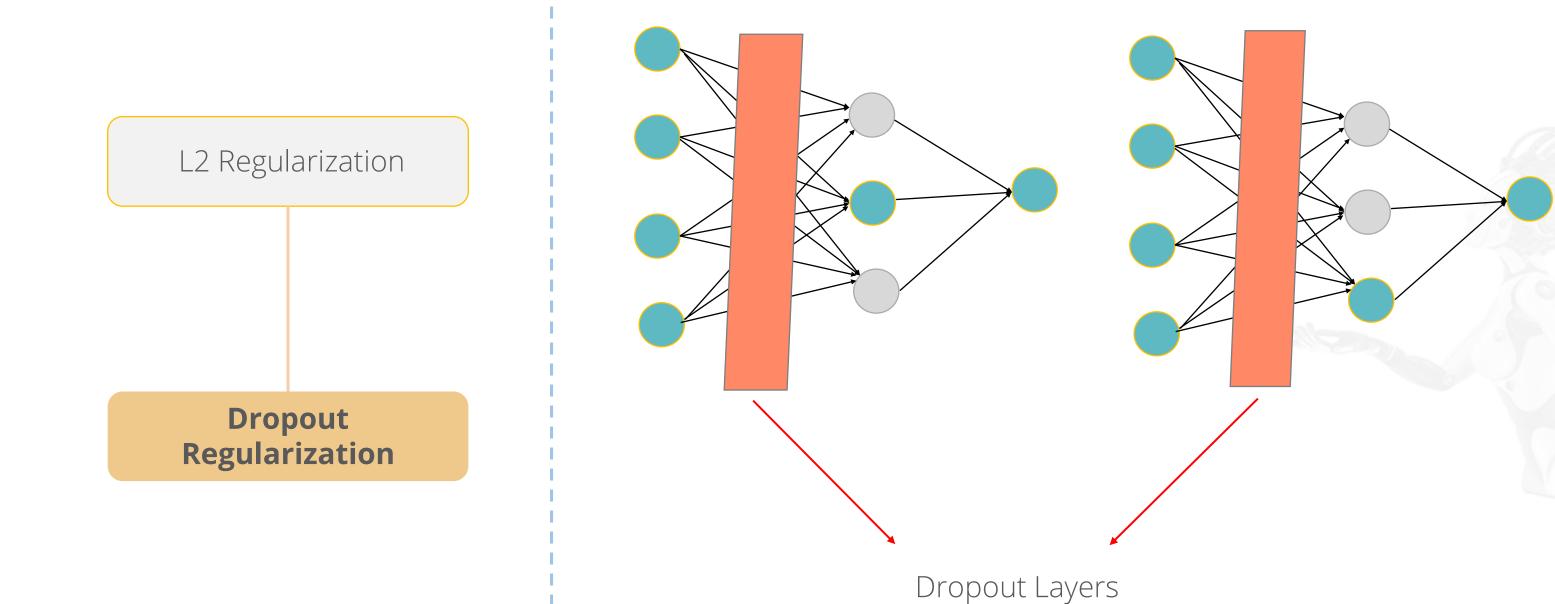
L2 Regularization

Dropout
Regularization



- Randomly drops units (along with their connections) during training
- Each unit is retained with fixed probability p, independent of other units
- 0
- Hyper-parameter p has to be chosen (tuned)
- While testing the entire network gets activated while the weights get scaled by a factor of p





#### **Dropout Experiment**

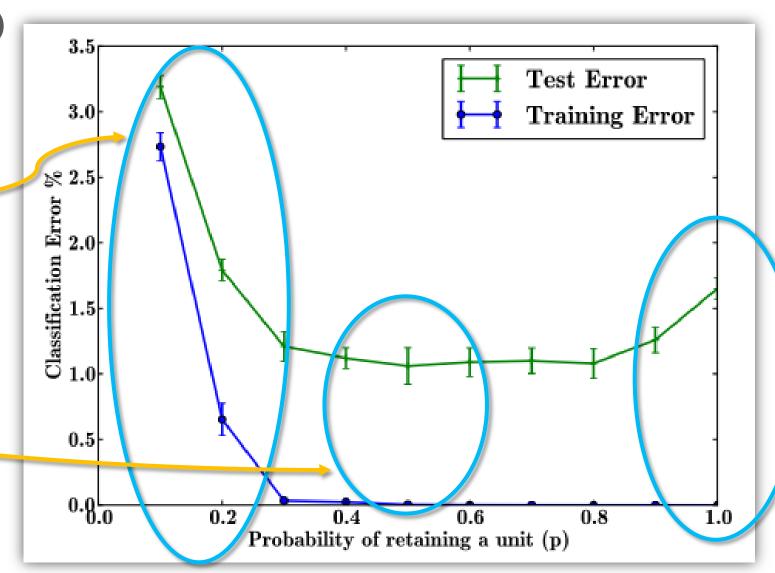
An architecture of 784-2048-2048-2048-10 is used on the MNIST dataset. The dropout rate p was changed from small numbers (most units are dropped out) to 1.0 (no dropout).

#### High rate of dropout (p < 0.3)

- Underfitting
- Very few units are turned on during training

#### Best dropout rate (p = 0.5)

- Training error is low
- Test error is low



#### No dropout (p = 1.0)

- Training error is low
- Test error is high

#### **Key Takeaways**

Now, you are able to:

- Explore neural networks
- Perform weight updation using different activation functions
- O Deduce and implement backpropagation algorithm in Python
- Optimize the performance of your neural network using L2 regularization and dropout layers



# DATA AND ARTIFICIAL INTELLIGENCE



**Knowledge Check** 



1

# After the perceptron algorithm finishes training, how can the learned weights be expressed in terms of the initial weight vector and the input vectors?

- a. It requires one bit per data point
- b. It requires one integer per data point
- c. It requires one real number per data point
- d. It is impossible





After the perceptron algorithm finishes training, how can the learned weights be expressed in terms of the initial weight vector and the input vectors?

- It requires one bit per data point a.
- It requires one integer per data point
- It requires one real number per data point
- It is impossible



The correct answer is **b** 



During the perceptron training algorithm, the weights are updated by adding or subtracting the input vector. Moreover, this might happen multiple times for the same input vector. Therefore, the weight vector = initial vector + c1 \* data point 1 + c2 \* data point 2 + ... cn \* data point n, where c\_i = number of times data point i was added - number of times data point i was subtracted



2

## Which of the following techniques performs similar operations as dropout in a neural network?

- a. Bagging
- b. Boosting
- c. Stacking
- d. None of these





Which of the following techniques performs similar operations as dropout in a neural network?

- a. Bagging
- Boosting
- Stacking
- None of these



The correct answer is

Dropout can be seen as an extreme form of bagging in which each model is trained on a single case and each parameter of the model is very strongly regularized by sharing it with the corresponding parameter in all the other models.

#### **MNIST Image Classification**



**Problem Scenario:** The MNIST dataset is widely used for image classification. However, while validating the same, researchers found out that the classification model was overfitting as it was not giving acceptable accuracy on the testing data.

Use the mnist\_test.csv and mnist\_train.csv for model optimization (using dropout layers). Also, you will have to use one hot encoding for training and testing labels.

#### **Objective:**

Optimize a neural network based classification model using dropout regularization such that the p value is 0.70 for input and hidden layers.

Access: Click the Practice Labs tab on the left panel. Now, click on the START LAB button and wait while the lab prepares itself. Then, click on the LAUNCH LAB button. A full-fledged jupyter lab opens, which you can use for your hands-on practice and projects.



Thank You