

Application No.	U 2604771
UCC	
DP Client No.	1206420014165151
Reference No.	2604771
Partner	67895-OM PRAKASH VERMA
Partner Center	GWALIOR
First Holder Name	HIMANSHU GUPTA
Second Holder Name	
Third Holder Name	



TRADING & DEMAT ACCOUNT OPENING
FORM AND POWER OF ATTORNEY

INDIVIDUAL

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DETAILS OF THE DEPOSITORY PARTICIPANT AND STOCK BROKER

NJ INDIA INVEST PVT. LTD.

Registered & Correspondence Office Address : Block No.901 & 902, 6th Floor, B Tower, Udhna Udyognagar Sangh Commercial Complex, Central Road No.10, Udhna, Surat - 394 210, Gujarat Phone: 0261 402 5500 Fax: 0261 402 5880

SEBI Reg No - BSE & NSE: INZ000213137 CDSL & NSDL: IN-DP-14-2015

Email id: dpsservices@njgroup.in Website: www.njgroup.in

Compliance officer name : Mr. Rakesh P Tokarkar
Phone no. : 0261- 4025901
E-mail id. : compliance@njgroup.in

CEO name : Mr. Niraj R. Choksi
Phone no. : 0261- 4025901
E-mail id. : ceo@njgroup.in

For any grievance/dispute please contact NJ IndiaInvest Private Limited at Block No.901 & 902, 6th Floor, B Tower, Udhna Udyognagar Sangh Commercial Complex, Central Road No.10, Udhna, Surat - 394 210, Gujarat or email id - dpsservices@njgroup.in and Phone no.: 0261-4025500.

In case not satisfied with the response, please contact the concerned exchange(s)

BSE at is@bseindia.com and Phone no. : 022- 22728097

NSE at ignse@nse.co.in and Phone no.: 022-26598190.



ADDITIONAL DETAILS FOR OPENING DEMAT ACCOUNT

Application No.

U2604771

NJ INDIA INVEST PVT. LTD.

Registered & Correspondence Office Address : Block No.901 & 902, 6th Floor, B Tower, Udhna Udyognagar Sangh
Commercial Complex, Central Road No.10, Udhna, Surat - 394 210, Gujarat Phone: 0261 402 5500 Fax: 0261 402 5880

This information is the sole property of the trading member/DP/brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of clients.

(To be filled by DP)

Date: 19/11/2020 M M Y Y Y Y

DP Internal Reference No. _____

DP ID 12064200

Client ID 14165151

☒ CDSL☐ NSDL

(To be filled by the applicant in BLOCK LETTERS & with BLACK BALL POINT PEN in English)

I/We request you to open a Demat Account in my/our name as per the following details:

Type of Account (Please tick whichever is applicable)

Status	Sub-Status
Individual	<input checked="" type="checkbox"/> Individual Resident <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual AOP <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Minor <input type="checkbox"/> Individual Margin Trading A/C (MANTRA) <input type="checkbox"/> Others(specify) _____
NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI - Non Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts <input type="checkbox"/> Others (specify) _____
Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Qualified Foreign Investor <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify) _____

Holders Details

Sole / First Holder's Name HIMANSHU GUPTA

PAN CAJPG2098H

UID _____

Second Holder's Name _____

PAN _____

UID _____

Third Holder's Name _____

PAN _____

UID _____

Name: HIMANSHU GUPTA

Name: _____

Name: _____

Sole/First Holder OR Guardian (In case of Minor)

Second Holder

Third Holder



ADDITIONAL DETAILS FOR OPENING DEMAT ACCOUNT

Details of Guardian (in case the account holder is minor)

Name of the Guardian: _____

Relationship with the applicant: _____ PAN: _____

Name* _____

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Associations of Persons (AOP), Partnership Firm, Unregistered Trusts, etc., should be mentioned above.

Additional Details

I/We instruct the DP to receive each and every credit in my/our account [Automatic Credit] (If not marked, the default option would be 'Yes')	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Account Statement Requirement	<input checked="" type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I/We request you to send Electronic Transaction - cum - Holding Statement at the Email ID <u>GUPTA18JULY@GMAIL.COM</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
I/ We would like to share the email ID with the RTA	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical <input checked="" type="checkbox"/> Electronic <input type="checkbox"/> Physical & Electronic
I/We wish to receive dividend/ interest directly into my bank account as given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Name: HIMANSHU GUPTA Name: _____ Name: _____

Sole/First Holder OR Guardian (In case of Minor)

Second Holder

Third Holder



ADDITIONAL DETAILS FOR OPENING DEMAT ACCOUNT

SMS Alert Facility <small>(Refer terms & conditions in the booklet provided)</small>	Mobile No. + 9 1 8 1 0 9 5 7 4 7 8 7 [(Mandatory , if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
SMS Alert Facility(NSDL): [Mandatory if you are giving Power of Attorney(PoA).]				
Sr. No.	Holder	Yes	No	Mobile No.
1	Sole/First Holder	<input type="checkbox"/>	<input type="checkbox"/>	+91_____
2	Second Holder	<input type="checkbox"/>	<input type="checkbox"/>	+91_____
3	Third Holder	<input type="checkbox"/>	<input type="checkbox"/>	+91_____
Transactions Using Secured Texting Facility (TRUST). <small>(Refer terms & conditions in the booklet provided)</small>				I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST.
Stock Exchange Name/ID _____ Clearing Member Name _____ Clearing Member ID (Optional) _____				<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
easi If yes, please contact your DP for details [Facility through CDSL's website: www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]				<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
IdeAS If yes, please contact your DP for details [Facility through NSDL's website: https://eservices.nsdl.com/ wherein a BO can view his ISIN balances and value of their demat account online.]				<input type="checkbox"/> Yes <input type="checkbox"/> No

Name: HIMANSHU GUPTA Name: _____ Name: _____

Sole/First Holder OR Guardian (In case of Minor)

Second Holder

Third Holder



ADDITIONAL DETAILS FOR OPENING TRADING ACCOUNT

I/We request you to open a Trading Account in my/our name as per the following details:

Depository Account Details

Beneficiary Name HIMANSHU GUPTA

DP Name N J India Invest Private Limited

DP Address B l o c k N o 9 0 1 & 9 0 2 6 t h F l o o r B T o w e r U d h n a
U d y o g n a g a r S a n g h C o m m e r c i a l C o m p l e x
C e n t r a l R o a d N o 1 0 U d h n a S u r a t - 3 9 4 2 1 0

DP ID 12064200

Client ID 14165151

Depository Name CDSL

Trading Preferences

(Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the Client)

BSE Segment	Signature	NSE Segment	Signature	ICEX Segment	Signature
Cash	✓	Cash	✓	Cash	N/A
F&O	N/A	F&O	N/A	F&O	N/A
STAR MF	✓	MFSS	✓	ICEX MF	✓
Currency Derivative	N/A	Currency Derivative	N/A	Currency Derivative	N/A

(*If, in future, the Client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.)

Past Actions

Please give the details of any action taken by SEBI/Stock Exchange/ any other authority for violation of Securities Laws/ other economic offences specifically indicating any action taken during the previous 3 years.

ADDITIONAL DETAILS FOR OPENING TRADING ACCOUNT

Dealings through Sub-brokers and other Stock Brokers

Whether dealing through the sub-broker, provide the following details:

Sub-broker's Name: _____

SEBI Registration number: _____

Registered office address: _____

Telephone: _____ Fax: _____

Website: _____

Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, provide details of all)

If Yes, Stock Broker's Name: _____

Client Code: _____ Name of the Exchange: _____

Details of disputes/dues pending from/to such stock broker/sub- broker: _____

Additional Details

I wish to receive ☐ Physical Contract note ☒ Electronic Contract Note (ECN) (please specify):

Specify your Email id, if applicable: GUPTA18JULY@GMAIL.COM

Whether you wish to avail the facility of ☒ Internet trading ☐ Wireless technology (please specify):

Investment/ Trading Experience

☒ No Prior Experience

☐☐ Years in Equity

☐☐ Years in Derivatives

☐☐ Years in Other Investment Products

Name: HIMANSHU GUPTA

Sole/First Holder OR Guardian (In case of Minor)



COMMON DETAILS FOR OPENING TRADING & DEMAT ACCOUNT

Bank Details (For Demat purpose and will be used as default bank)

Name of the Bank State Bank of India

Branch RAJENDRA NAGAR, INDORE IFSC Code: SBIN0030457

Bank Address 119 B, ABHIMAN PLAZA, RAJENDRA NAGAR

City: Indore State: Madhya Pradesh Country: India Pin/Zip Code: 452012

Account No 20446989531

Bank Code 452002074 Account Type: ☒ Savings ☐ Current ☐ Other

(9 digit MICR Code)

1. Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 2. Photocopy of the Bank Statement having name and address of the BO.
 3. Photocopy of the Passbook having name and address of the BO, (or)
 4. Letter from the Bank.
- (In case of options ii, iii, and iv above, MICR code of the branch should be present/ mentioned on the document and should be self-certified by the BO.)

Other Details

Gross Annual Income Details	Income Range per annum:		
	<input type="checkbox"/> Up to ₹1,00,000 <input checked="" type="checkbox"/> ₹1,00,000 to ₹5,00,000 <input type="checkbox"/> ₹ 5,00,000 to ₹10,00,000 <input type="checkbox"/> ₹10,00,000 to ₹ 25,00,000 <input type="checkbox"/> More than ₹25,00,000		
Net worth as on (Date) <u>19/11/2020</u> <u>M Y Y Y Y</u> ₹ <u>Upto Rs. 5,00,000</u> [Net worth should not be older than 1 year]			
Occupation	Sole/First Holder	Second Holder	Third Holder
	<input checked="" type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) _____	<input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) _____	<input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) _____
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)		
Any other information			

Name: HIMANSHU GUPTA

Name: _____

Name: _____

Sole/First Holder OR Guardian (In case of Minor)

Second Holder

Third Holder



COMMON DETAILS FOR OPENING TRADING & DEMAT ACCOUNT

NOMINATION FORM

To
NJ INDIAINVEST PVT. LTD.,
Block No.901 & 902, 6th Floor, 'B' Tower, Udhna Udyog Nagar Sangh Commercial Complex, Central Road No. 10, Udhna,
Surat - 394210, Gujarat.

Dear Sir/ Madam,

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

☐ I/We do not wish to nominate any one for this trading & demat account.

[Strike out whatever is not applicable.] [Signatures of all the account holders should be obtained on this form].

☒ I/We nominate the following person, who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of the death of the Sole Holder or the death of all the Joint Holders.

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name :			
*First Name:	SUSHMA		
Middle Name:			
*Last Name	GUPTA		
*Address:	467 datt nagar Indore Indore, Rajendra Nagar Madhya Pradesh, 452012 India		
*City:	Indore		
*State:	Madhya Pradesh		
*Pin:	452012		
*Country:	India		
Telephone/Mobile No.:			
Fax No:			
*ID Type:	PAN		
*ID Number:	AOGPG5430C		
Email ID:			
*Relationship with the BO:	Mother		
Date of birth (mandatory if Nominee is a minor):	28/06/1969		
Guardian Name:			
*First Name:			
Middle Name:			
*Last Name:			
*Address of the Guardian of nominee:			
*City:			
*State:			
*Country:			
*Pin:			
Age			
Telephone/Mobile No.:			

COMMON DETAILS FOR OPENING TRADING & DEMAT ACCOUNT

*ID Type:			
*ID Number:			
Fax No:			
Email ID:			
*Relationship of the Guardian with the Nominee:			
*Percentage of allocation of securities:	100		
*Residual Securities [please tick any one nominee. If tick not marked default will be first nominee]:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Note: Residual securities: incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

This nomination shall supersede any prior nomination made by me/us & also any testamentary document executed by me/us.

Place: Indore

Date: 19/11/2020

	Sole/First Holder OR Guardian (In case of Minor)	Second Holder	Third Holder
Name	HIMANSHU GUPTA		
Specimen Signature	x 04/14	x 01/04	x 01/04

(Signatures should be preferably in black ink).

Details of the Witness	
Name of Witness	SANJAY GUPTA
Address of Witness	73-RAMBAGH COLONEY, SHINDE KI CHHAWANI, LASHKAR, GWALIOR MADHYA PRADESH 474001
Contact No.	9425756512
Signature of Witness	

Note: Witness shall attest signature / Thumb impression

To be filled by DP

Nomination form accepted and registered vide

Registration No. _____ Dated: DDMMYYYY

x

For, **NJ India Invest Pvt. Ltd.**



MANDATORY & NON-MANDATORY DOCUMENT BOOKLET AND DECLARATION FOR OPENING TRADING AND DEMAT ACCOUNT

Date: 19/11/2020 M Y Y Y Y

From

First Holder Name HIMANSHU GUPTA

Second Holder Name

Third Holder Name

DP ID 1 2 0 6 4 2 0 0

Client ID 1 4 1 6 5 1 5 1

To
NJ INDIAINVEST PVT. LTD.,
Block No.901 & 902, 6th Floor, 'B' Tower, Udhna Udyog Nagar Sangh Commercial Complex,
Central Road No. 10, Udhna, Surat - 394210, Gujarat.

Dear Sir,

Subject: Mandatory and Non-Mandatory Document Booklet & Declaration for opening Trading and Demat Account

1. I/We am/are desirous of opening the trading and demat account with NJ India Invest Private Limited in the process of executing client registration documents relating to the opening of trading and demat account.
2. I/We have furnished all the details required in the Account opening form as per SEBI/Exchange/DP requirements. I/We confirm having read/been explained and understood the contents of the Mandatory and Non-Mandatory documents which are provided to me/us in separate booklet. I/We agree that the Mandatory and Non-Mandatory document booklet includes the following:

a)	Instructions for Demat Account	(Page No. 1)
b)	Instructions for Trading Account	(Page No. 2)
c)	Details & Checklist for Trading and Demat Account	(Page No. 3)
d)	Rights and Obligations of the parties (including additional rights and obligations in case of internet and wireless technology based trading) prescribed by SEBI and Stock exchanges.	(Page No. 7)
e)	Internet & Wireless Technology based Trading facility provided by Stock Brokers to Clients	(Page No. 11)
f)	Uniform Risk Disclosure Documents (RDD) prescribed by SEBI and Stock exchanges detailing risk associated with dealing in the securities market	(Page No. 12)
g)	Guidance note detailing Do's and Don'ts for trading on Stock exchanges, for the education of the investors	(Page No. 16)
h)	Policies and Procedures - Document describing significant policies and procedures of the Stock Broker	(Page No. 18)
i)	Information on Anti Money Laundering for educating Clients	(Page No. 20)
j)	Voluntary Clauses – Enabling clauses to provide services customized to suit Client's needs and improve service delivery	(Page No. 22)
k)	Terms and Conditions for receiving SMS Alert from CDSL	(Page No. 27)
l)	Terms and Conditions for receiving SMS Alert from NSDL	(Page No. 30)
m)	Terms and Conditions for availing Transaction using Secured Texting (TRUST) service offered by CDSL	(Page No. 33)
n)	Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories	(Page No. 35)
n)	Mandatory and Non-Mandatory Document Booklet and Declaration	(Page No. 38)

**MANDATORY & NON-MANDATORY DOCUMENT BOOKLET AND
DECLARATION FOR OPENING TRADING AND DEMAT ACCOUNT**

3. I/We understand and agree that any amendment/modifications as required by the exchanges/DP and/or regulators will be applicable to me/us at all point of time and I/We understand that these changes will be intimated to me/us.
4. I/We understand that the Mandatory and Non-Mandatory document booklet is in accordance of the exchanges and/or SEBI/DP requirements applicable for opening Trading and Demat Account.
5. I/We have received the booklet with above mentioned contents.
6. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We agree and undertake to inform you of any change(s) therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
7. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and tariff sheet.
8. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on the stock broker's designated website, if any.
9. I / We have received and read the copy of Rights and Obligations of the Beneficial Owner and Depository Participant and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We agree and undertake to inform you of any change(s) in the details/particulars mentioned by me/us in this form.
- 10 In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations.

Place: Indore

Date: 19/11/2020 M Y Y Y Y

Name: HIMANSHU GUPTA

Name: _____

Name: _____

Sole/First Holder OR Guardian (In case of Minor)

Second Holder

Third Holder

TARIFF SHEET

Mutual Fund

Transaction type / Scheme type	Purchase/ Switch	Redemption	SIP
Equity/Balance	0	0	0
Debt	0	0	0
Cash	0	0	0
Others	0	0	0

Note:

1. The above rates would be charged/collected from the client at the time of transaction.
2. Brokerage rate mentioned would be considered in percentage terms.
3. The rates would be inclusive of Service Tax.
4. NJ reserves the right to deduct the brokerage from future transactions, in case of any pending dues.
5. Brokerage structure for "Others" include all schemes not covered under Equity, Debt & Cash.

Name of the Client: HIMANSHU GUPTA

TARIFF SHEET

Capital Market

Market Segment	Brokerage Rate Structure
Direct Equity	<input checked="" type="checkbox"/> 0.50% or Rs 20/- on executed order whichever is lower OR <input type="checkbox"/> _____% (MIN. 0.10% - MAX. 2.5%)
Debt	<input checked="" type="checkbox"/> 0.50% or Rs 20/- on executed order whichever is lower OR <input type="checkbox"/> _____% (MIN. 0.10% - MAX. 2.5%)
Liquid	0.05% or Rs 20/- on executed order whichever is lower

Note:

1. In case of customized rate, Max Rate applicable is 2.5% and Minimum Rate applicable is 0.10%
2. GST on Brokerage will be charged as applicable.
3. Securities Transaction Tax (STT) applicable as per delivery & non delivery on turnover.
4. Stamp Duty Applicable State wise as per delivery and non-delivery will be levied on turnover.
5. SEBI Turnover Tax may be applicable in future.
6. Exchange Transaction Charges will be applicable in future as defined by respective exchange.

Name of the Client: HIMANSHU GUPTA

ANNEXURE A

BSE STAR MF
(Letter to be provided by the investor to the MFI)

Date: 19/11/2020 M M Y Y Y Y

To

NJ India Invest Pvt. Ltd.

Sir,

Sub: BSE STAR MF

I/We, HIMANSHU GUPTA

am/are registered as your client with Client Code No. _____ for the purpose of trading in the Capital Market segment of Bombay Stock Exchange Ltd. (Exchange).

I/We am/are interested in availing the trading facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE STAR MF.

For the purpose of availing this facility, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of BSE STAR MF and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the circular dated December 2, 2009 and as may be specified by the exchange from time to time in this regard.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/we therefore request you to register me/us as your client for participating in BSE STAR MF.

Thanking you,

Yours faithfully,

Sole/First Holder OR Guardian (In case of Minor)

ANNEXURE A

Details of terms & conditions for the investor / client for using BSE STAR MF platform

1. Pre-requisites for becoming an investor / a client for the BSE STAR MF platform

1.1 The client, who is desirous of investing in units of mutual fund schemes through the BSE STAR MF.

1.2 The client intends to execute his instruction for the subscription/redemption of units of Mutual Fund Schemes through the broker who is a Mutual fund Intermediary (MFI) of the BSE STAR MF platform.

1.3 The client has satisfied itself of the capacity of the MFI to deal in Mutual Fund units and wishes to execute its instruction through the MFI and the client shall from time to time continue to satisfy itself of such capability of the MFI before executing transaction through the MFI.

1.4 The client has approached the MFI with the application for availing the BSE STAR MF platform.

1.5 The client has submitted relevant KYC (Know Your Client) details to the MFIs

2. Terms and Conditions

2.1 The client shall be bound by circulars issued by BSE's rules, regulations and notices/circulars issued there under by SEBI and relevant notifications of government authorities as may be in force from time to time.

2.2 The client shall notify the MFI in writing if there is any change in the information in the 'client registration form' provided by the client to the MFI at the time of registering as a client for participating in the BSE STAR MF platform or at any time thereafter.

2.3 The client shall submit to the MFI a completed application form in the manner prescribed format for the purpose of placing a subscription order with the MFI.

2.4 The client has read and understood the risks involved in investing in Mutual Fund Schemes.

2.5 The client shall be wholly responsible for all his investment decisions and instruction.

2.6 The client shall ensure continuous compliance with the requirements of the BSE, SEBI and AMFI.

2.7 The client shall pay to the MFI fees and statutory levies as are prevailing from time to time and as they apply to the client's account, transactions and to the services that MFI renders to the client.

2.8 The client will furnish information to the MFI in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.

2.9 In the event of non-performance of the obligation by the MFI, the client is not entitled to claim any compensation either from the Investor Protection Fund or from any fund of BSE or its Clearing Corporation - Indian Clearing Corporation Ltd. (ICCL).

2.10 In case of any dispute between the MFIs and the investors arising out of the BSE STAR MF platform, BSE and / or ICCL agrees to extend the necessary support for the speedy redressal of the disputes.

Thanking you,

Yours faithfully,

Sole/First Holder OR Guardian (In case of Minor)

ANNEXURE B

MUTUAL FUND SERVICE SYSTEM FACILITY (Letter to be provided by the investor to the participant)

Date: 19/11/2020 M M Y Y Y Y

To

NJ India Invest Pvt. Ltd.

Sir,

Sub: Mutual Fund Service System (MFSS) facility

I/We, HIMANSHU GUPTA

are desirous of being registered as your client for the purpose of participating in the MFSS.

I/We am/are interested in availing the MFSS facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with, on the MFSS of the Exchange.

I/We am/are willing to abide by the operating guidelines and terms and conditions as may be specified by the Exchange from time to time in this regard including the terms and conditions specified by the Exchange with respect to MFSS since 2009. I/We shall also comply with all the Know Your Client (KYC) and Anti Money Laundering (AML) requirements, as may be specified by the Exchange.

I/We shall also ensure compliance with the requirements, as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in the MFSS.

Thanking you,

Yours faithfully,

Sole/First Holder OR Guardian (In case of Minor)

ANNEXURE B

Details of terms & conditions for the investor / client for using new MFSS facility

1. Pre-requisites for becoming investor / client for the new MFSS facility

1.1. The client, who is desirous of investing in units of mutual fund schemes through the new MFSS.

1.2. The client intends to execute his instruction for the subscription/redemption of units of Mutual Fund Schemes through the participant of the new MFSS.

1.3. The client has satisfied itself of the capacity of the participant to deal in Mutual Fund units and wishes to execute its instruction through the participant and the client shall from time to time continue to satisfy itself of such capability of the participant before executing transaction through the participant.

1.4. The client has approached the participant with the application for availing the new MFSS facility.

1.5. The client has submitted relevant KYC (Know Your Client) details to the participants

2. Terms and Conditions

2.1. The client shall be bound by circulars issued by NSEIL from time to time including the circulars issued by NSEIL 2009 onwards and circulars issued thereafter and circulars issued there under by SEBI, and relevant notifications of government authorities as may be in force from time to time.

2.2. The client shall notify the participant in writing if there is any change in the information in the 'client registration form' provided by the client to the participant at the time registering as a client for participating in the new MFSS or at any time thereafter.

2.3. The client shall submit to the participant a completed application form in the manner prescribed format for the purpose of placing an order with the participant.

2.4. The client has read and understood the risks involved in investing in Mutual Fund Schemes.

2.5. The client shall be wholly responsible for all his investment decisions and instruction.

2.6. The client shall ensure continuous compliance with the requirements of NSEIL, SEBI and AMFI.

2.7. The client shall pay to the participant, fees and statutory levies as are prevailing from time to time and as they apply to the client's account, transactions and to the services that participant renders to the client.

2.8. The client will furnish information to the participant in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation, which may have material bearing on his capacity has been filed against him.

2.9. In the event of non-performance of the obligation by the participant, the client is not entitled to claim any compensation either from the investor protection fund or from any fund of NSEIL or NSCCL.

2.10. In case of any dispute between the participants and the investors arising out of the MFSS facility, NSEIL and / or NSCCL agrees to extend the necessary support for the speedy redressal of the disputes.

Thanking you,

Yours faithfully,

Sole/First Holder OR Guardian (In case of Minor)

APPENDIX-I

ICEX-MF (Letter to be provided by the Investor to the MFI)

Date: 19/11/2020 M Y Y Y Y

To

NJ India Invest Pvt. Ltd.

Sir,

Sub: ICEX MF

I/We, HIMANSHU GUPTA

am/are registered as your client with Client Code No. . I/We am/are interested in availing the facility of the Exchange for the purpose of transacting in the units of Mutual Funds Schemes permitted to be dealt with on the ICEX-MF.

For the purpose of availing this facility, I/we state that Know Your Client details, as already submitted by me/us for my/our registration as a client may be considered for the purpose of ICEX-MF and I/we further confirm that the details contained in same remain unchanged as on date.

I/We undertake to abide by the terms and conditions as mentioned in the Circular dated 24/12/2019 and as may be specified by the Exchange from time to time in this regard.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI) or by any other regulatory authority as may be applicable to transaction in Mutual Fund units.

I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We also undertake to abide by Bye-Laws/Rules/Regulations of ICEX as applicable to registered clients under the member of ICEX.

I/we therefore request you to register me/us as your client and allow me/us for participating in ICEX MF.

Thanking you,

Yours faithfully,

Sole/First Holder OR Guardian (In case of Minor)

VOLUNTARY DECLARATION

AUTHORIZATION FOR MAINTENANCE OF RUNNING ACCOUNT (NSE/BSE)

Date: 19/11/2020 M M Y Y Y Y

I/We have been/shall be dealing through you as my/our broker on the Capital Market. As my/our broker i.e. agent I/we direct and authorize you to carry out trading/dealings on my/our behalf as per instructions given below.

I am/we are aware that you and I/we have the option to deliver securities/make payments of funds to each other for settlement of dealings as per the schedule in force, at the relevant time pursuant to directives/regulations/circulars, issued by exchange/regulatory authorities. However, I/we find it difficult to carry out repeated pay-in of funds and securities. Further, I/we also desire to use my/our securities and monies as margin/collateral without which we cannot deal/trade.

Therefore, I/we hereby direct and authorize you to maintain running account(s) for me/us and from time to time debit these securities and funds from running accounts and make pay-in of securities and funds to exchanges/clearing corporations/other receiving party(ies) to settle my/our trades/ dealings. Similarly, where I/we have to receive securities/funds in settlement of trades/dealings, please keep the securities and monies with you and make credit entries for the same in running accounts of securities and fund maintained by you. Further, subject to your discretion and valuation please treat my/our securities and funds lying to my/our credit in running accounts as margin/collateral for my/our dealings/trading.

In the event I/we have outstanding obligations on the settlement date, you may retain the requisite securities/ funds towards such obligations and may also retain the funds expected to be required to meet

margin obligations for next 5 trading days, calculated in the manner specified by the exchanges. While settling the account, please send a 'statement of accounts' containing an extract from ledger for funds and an extract from the register of securities displaying all receipts/deliveries of funds/securities. Please explain in statement(s) being sent, the retention of funds/ securities and the details of the pledge, if any. I agree that if I/we fail to bring any dispute arising from the statement of accounts or settlement so made to your notice within 7 working days from the date of receipt of funds/ securities or statement, as the case may be in writing by delivery at your registered office then in that event the statement of accounts or settlement so made shall attain finality and I/we shall have no right to dispute any/either of these ever.

Please do not carry out above stated settlement of running account in the event I/we avail margin trading facility. Further, do not carry out settlement of running account referred to above for funds given by me/us towards collaterals/ margin in the form of bank guarantee (BG)/ Fixed Deposit receipts (FDR).

Please further note that I am/We are entitled to revoke this authorization at any time. I/we shall be liable for all losses, damages and actions, which may arise as a consequence of your adhering to and carrying out my/our directions given above and further agree that you shall not be liable for any claim for loss or profit, or for any consequential, incidental, special or exemplary damages, caused by retention of securities/ monies under this agreement.

My/Our preference for actual settlement of funds and securities is at least:

- ☒ Once in a calendar quarter
☐ Once in a calendar month

Thanking you,

Yours faithfully,

Sole/First Holder OR Guardian (In case of Minor)

Name: HIMANSHU GUPTA

Unique Client Code (UCC): _____



OPTION FORM FOR ISSUE OF DIS BOOKLET

DP ID: 1 2 0 6 4 2 0 0

Client ID 1 4 1 6 5 1 5 1

Date: 19/11/2020 M Y Y Y Y

Sole/First Holder	HIMANSHU GUPTA
Second Holder	
Third Holder	

To,
NJ India Invest Private Limited
Block No. 901 & 902, 6th Floor, B Tower, Udhna Udyog Nagar Sangh Commercial Complex,
Central Road No.10, Udhna, Surat - 394210, Gujarat.

Dear Sir / Madam,

I / We hereby state that: [Select one of the options given below]

☐ **OPTION 1:**

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL / NSDL account though I / we have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager.

Yours faithfully

	First/Sole Holder	Second Holder	Third Holder
Name			
Signatures			

OR

☒ **OPTION 2:**

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully

	First/Sole Holder	Second Holder	Third Holder
Name	HIMANSHU GUPTA		
Signatures			

SMS ALERT & TRUST FACILITY(CDSL)

Registration Form for availing SMS Alert and /or TRUST facility and for registering Clearing Members on whose behalf the securities can be transferred from the account of BO on the basis of SMS under TRUST facility

To,
NJ India Invest Private Limited
Block No. 901 & 902, 6th Floor, B Tower, Udhna Udyog Nagar Sangh Commercial Complex,
Central Road No.10, Udhna, Surat - 394210, Gujarat.

Dear Sir/Madam,

I/We wish to avail the following facility/ies provided by the depository on my/our mobile number as provided below subject to the terms and conditions as specified by CDSL

☒ SMART-SMS alert facility ☐ TRUST- TRansaction using Secured Texting facility

(please note that SMS alert facility is mandatory if TRUST facility is opted for)

BOID: 1 2 0 6 4 2 0 0 14165151

Sole / First Holder's Name: HIMANSHU GUPTA

Second Holder's Name:

Third Holder's Name:

I/We wish to register the following clearing members / IDs under my/our above mentioned BO ID registered for TRUST

Sr. No.	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)

Mobile Number on which messages are to be sent: + 9 1 8109574787

(Please write only the mobile number without prefixing country code or zero).

(Existing users registered for SMS alerts:- Please note that if the mobile number for TRUST is different than the registered mobile number for SMS alert, the new mobile number will be updated for SMS alert also.)

The mobile number is registered in the name of HIMANSHU GUPTA

Email ID: GUPTA18JULY@GMAIL.COM

(Please write only ONE valid email ID on which communication; if any, is to be sent)

I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purpose of availing the said facility.

I/we acknowledge that transactions entered by the above clearing members will be executed on the basis of SMS sent through our registered mobile number under TRUST and I/we shall be wholly responsible for execution / non-execution of the said transactions based on receipt/non-receipt of such SMS.

I/We have read and understood the terms and conditions prescribed by CDSL for the said facility/ies and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

Sole/First Holder OR Guardian (In case of Minor)

Second Holder

Third Holder

Date: 19/11/2020 M M Y Y Y Y

Place: Indore



E-STATEMENT & SMS ALERT FACILITY FORM - NSDL

To,
NJ India Invest Private Limited
Block No. 901 & 902, 6th Floor, B Tower, Udhna Udyog Nagar Sangh Commercial Complex,
Central Road No.10, Udhna, Surat - 394210, Gujarat.

Dear Sir/Madam,

I/We wish to avail the below mentioned facility(ies) for my/our Demat Account with NJ India Invest Private Limited (NJII) and I/We acknowledge and grant my/our consent to NJII for providing the said services as per the given Terms & Conditions:

☐ **Statement on E-mail**

I/We confirm having opted to receive the statement(s) pertaining to the below mentioned BO account in electronic mode in lieu of the physical copy of the statement(s).

I/We also reserve my/our right to receive the physical copy of the statement(s) despite receiving the same in electronic mode, if such a demand is made in writing to NJII.

I/We confirm that any change in the E-mail address or any other instructions with regard to dispatch/service of my/our e-statements on me/us shall not be binding upon NJII unless NJII is intimated in writing by me/us by acknowledged delivery. NJII shall not take cognizance of out-of-office/out-of-station auto replies and I/We shall be deemed to have received such statements.

I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the E-mail account.

I/We am/are aware that the statement(s) may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.

I/We have understood that NJII & myself/ourselves holds the authority to terminate Statement on mail facility provided a written notice is given in advance to the other party.

I/We affirm that NJII shall not be responsible for the non-receipt of the Statement due to any change in my/our E-mail address and if the same is not intimated by me/us to NJII and that NJII shall not take cognizance of out-of-office/out-of-station auto replies and I/We shall be deemed to have received such Statements.

I/We undertake that it shall be my/our responsibility to verify all the details of my Statement(s). In case there is any discrepancy in the Statement(s); I/we shall inform NJII of the same by writing to dpservices@njgroup.in or to Customer Care at the above mentioned address. In case I/we do not inform and get the discrepancies corrected the same shall be deemed to have been accepted and will be binding upon me/us. However NJII in its absolute discretion, reserves the right to determine the validity of any objection to the transaction, even though the objection is received after the expiry of stipulated time.

☐ **Statement on Web**

I/We confirm having opted to receive the statement(s) pertaining to the below mentioned BO account in electronic mode in lieu of the physical copy of the statement(s).

I/We understood that Statement on Web facility would only be provided to me only when I have Online Trading Account with NJII.

I/We also reserve my/our right to receive the physical copy of the statement(s) despite receiving the same in electronic mode, if such a demand is made in writing on NJII.

I/We am/are aware that I/we will not receive the statement(s) in paper form and I/we would be required to login to NJII's Online account to view my statements.

I/We am/are aware that the statement(s) may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.

I/We have understood that NJII & myself/ourselves holds the authority to terminate Statement on web facility provided a written notice is given in advance to the other party.

E-STATEMENT & SMS ALERT FACILITY FORM - NSDL

I/We undertake that it shall be my/our responsibility to verify all the details of my Statement(s). In case there is any discrepancy in the Statement(s), I/we shall inform NJI of the same by writing to dpsservices@njgroup.in or to Customer Care at the above mentioned address. In case I/we do not inform and get the discrepancies corrected the same shall be deemed to have been accepted and will be binding upon me/us. However NJI in its absolute discretion, reserves the right to determine the validity of any objection to the transaction, even though the objection is received after the expiry of stipulated time.

☐ **SMS Alert Facility**

I/We understand that the SMS Alerts would be sent for all types of debits and credit's into the below mentioned Demat Account Number.

I/We provide the following information for the purpose of REGISTRATION/MODIFICATION (Please cancel out whichever is not applicable, as per the option(s) selected above).

DP ID IN304262

Client ID _____

(Please write your 8 digit Client ID)

Mobile Number on which messages are to be sent: **+91** _____

(Please write only the mobile number without prefixing country code or zero).

The mobile number is registered in the name of _____

Email ID: _____

(Please write only ONE valid email ID on which E-Statement/Communications; if any, is to be sent)

The Depository Participant shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the Depository Participant providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the Depository Participant and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a Depository Participant may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO. The Depository Participant may amend the Terms and Conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Name: _____ Name: _____ Name: _____

Sole/First Holder OR Guardian (In case of Minor)

Second Holder

Third Holder

Date: DDMMYYYY

Place: _____

SCHEDULE OF CHARGES

CDSL DP ID : 12064200 / NSDL DP ID: IN304262

NJ India Invest Private Limited

Regd. Office : Block No. 901 & 902, 6th Floor, B Tower, Udhna Udyognagar Sangh Commercial Complex,
Central Road No.10, Udhna, Surat - 394210, Gujarat.

Particulars	Charges
Agreement charges	NIL
Annual Maintenance Charges -Normal	Individual : ₹ 300/- Corporates : ₹ 600/-
Demat / Remat charges	₹ 3/- per certificate + ₹ 50/- for courier handling per ISIN
Custody / Holding charges	Nil
Transfer security	-
Buy	Nil
Market / Off Market (Only Debit Transaction)	₹ 25/- (₹ 18/- for in house market transaction) per ISIN
Pledge (Creation/ Closure/ Invocation)	₹ 40/- per ISIN

CONDITIONS :

1. Annual maintenance charges have to be paid at the end of 1 year from the date of account opening.
2. In case the client opts closure of the demat account within 1 year from the date of account opening, then Annual Maintenance Charges shall be charged proportionately as per SEBI circular.
3. All the charges mentioned above are inclusive of CDSL/NSDL charges.
4. GST as applicable would be levied.
5. The above charges are subject to change with 30 days prior notice. However, in case of revision of charges by CDSL/NSDL. A shorter notice may be given.
6. Charges once paid will not be refunded.
7. All instructions for transfer must be received at least one day before the execution/pay-in date.
8. Same day execution/late instructions will be accepted on "A best efforts basis" at the sole risk and responsibility of the depository account holder(s).
9. The depository services may be temporarily discontinued if the cheque given for availing DP services is returned unpaid. Intimation of discontinuation of the DP services shall be in accordance with the rules specified by CDSL/NSDL. The DP services shall be resumed after recovery of the returned cheque plus ₹100/- as additional charges.
10. All pledging services (creation, closure and invocation) shall be separately charged at the time of each transaction.
11. Value of securities will be in accordance with the rates provided by CDSL/NSDL.
12. Charges quoted above are for the services listed. Any service not quoted above will be charged separately.

We have read and understood the charges and conditions as above and agree to abide by the same

BOLD: 1206420014165151

Name: HIMANSHU GUPTA Name: Name:

Sole/First Holder OR Guardian (In case of Minor)

Second Holder

Third Holder



FATCA-CRS Declaration - *Individuals*

(Please consult your professional tax advisor for further guidance on your tax residency, FATCA / CRS Guidance)

SOLE/FIRST HOLDER DETAILS

PAN CAJPG2098H

Name HIMANSHU GUPTA

Type of address given at KYC KRA

Residential ☒

Residential or Business ☐

Business ☐

Registered Office ☐

State Madhya Pradesh

Phone No (with ISD Code) 91 8109574787

Place of Birth INDORE

Country of Birth INDIA

Nationality INDIA

Are you a tax resident of any country other than India?

Yes ☐

No ☒

If yes, Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN, GIIN, CIN, EIN, others, etc.

Country #	Tax Identification Number	Identification Type (TIN or Other, please specify)

SECOND HOLDER DETAILS

PAN

Name

Type of address given at KYC KRA

Residential ☐

Residential or Business ☐

Business ☐

Registered Office ☐

State

Phone No (with ISD Code)

Place of Birth

Country of Birth

Nationality

Are you a tax resident of any country other than India?

Yes ☐

No ☐

If yes, Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN, GIIN, CIN, EIN, others, etc.

Country #	Tax Identification Number	Identification Type (TIN or Other, please specify)

Sole/First Holder

Second Holder

Third Holder

Place: Indore

Date: 19/11/2020 M Y Y Y Y



FATCA-CRS Declaration - *Individuals*

(Please consult your professional tax advisor for further guidance on your tax residency, FATCA / CRS Guidance)

THIRD HOLDER DETAILS

PAN

Name

Type of address given at KYC KRA

Residential

Residential or Business

Business

Registered Office

State

Phone No (with ISD Code)

Place of Birth

Country of Birth

Nationality

Are you a tax resident of any country other than India?

Yes

No

If yes, Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN, GIIN, CIN, EIN, others, etc.

Country [#]	Tax Identification Number	Identification Type (TIN or Other, please specify)

FATCA & CRS Terms & Conditions

Details under FATCA & CRS : The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of Income tax Rules, 1962 which Rules require Indian financial institutions such as the Investment Entity/Custodial Institution to seek additional personal ,tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any Information provided by you, please ensure you advise us promptly, i.e within 30 days Please note that you may receive more than one request for information if you have multiple relationships with NJ India Invest Private Limited or its group entities. Therefore , it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

DECLARATION& SIGNATURES

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief and provided after necessary consultation with tax professionals. I/We have read and understood the information provided by me/us in this Form is true, correct and complete. I/We also confirm that I/We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

Sole/First Holder

Second Holder

Third Holder

Place: Indore

Date: 19/11/2020 M M Y Y Y Y



www.njwealth.in



WEALTH

**FINANCIAL
PRODUCTS
DISTRIBUTORS
NETWORK**

Head Office: NJ India Invest Pvt. Ltd., Block No. 901 & 902, 6th Floor, 'B' Tower, Udhna Udyog Nagar Sangh Commercial Complex, Central Road No. 10, Udhna, Surat - 394 210, Gujarat.
Phone: 91 261 4025500. Website: www.njwealth.in CIN: U67120GJ2000PTC037674
SEBI Reg No - BSE & NSE: INZ000213137, SEBI Reg No - CDSL & NSDL: IN-DP-14-2015
Processing Centre: Shop No. 7 & 8 Yogi Complex, Amli, Vapi Silvassa Main Road, Silvassa - 396 230. Email: dpervices@njgroup.in

Himanshu ° °

HIMANSHU GUPTA

आयकर विभाग
INCOME TAX DEPARTMENT



भारत सरकार
GOVT. OF INDIA



स्थायी लेखा संख्या कार्ड
Permanent Account Number Card

CAJPG2098H



नाम / Name

HIMANSHU GUPTA

पिता का नाम / Father's Name

RAKESH KUMAR GUPTA

जन्म की तारीख / Date of Birth

18/07/1995

Himanshu

हस्ताक्षर Signature



14022017

Declaration for option for DIS Dispatch

I/We am/are desirous of opening an "Online Trading and Demat account" with NJ India Invest Private Limited. Further, I/we agree to use AADHAR based E Signature in the process of executing client registration forms and related documents for opening demat accounts.

I/We hereby for the Operational ease are desirous of executing the Power of Attorney (POA) in favour of NJ India Invest Private Limited for executing delivery instructions on my/our behalf. I/We shall not require the DIS for the time being if I/We execute POA as mentioned above. However, DIS booklet should be issued to me/us immediately on my/our request as later date.

I/We hereby confirm that I/We would execute and submit Power of Attorney within 15 calendar days from the date of demat account opening. So I/We hereby request you not to send the Delivery Instruction Slip in the interim period. In case if the Power of Attorney executed by me/us is not received by NJ India Invest Private Limited within the period as mentioned above, then please dispatch the Delivery Instruction Slip to my/our correspondence address.

1. _____
SIGNATURE (1ST HOLDER)

2. _____
SIGNATURE (2ND HOLDER)

3. _____
SIGNATURE (3RD HOLDER)





Declaration for Online Trading & Demat Account Opening through ESIGN

I/We hereby declare that the details provided through the online portal are true and correct to the best of my/our knowledge and belief. I/We also agree and undertake to inform you of any change(s) therein, immediately.

I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document' & set of documents has been displayed for information on the stock broker's designated website.

I/We confirm having read & understood copy of Rights and Obligations of the Beneficial Owner and Depository Participant and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We agree and undertake to inform you of any change(s) in the details/particulars mentioned by me/us in this form.

I/We also request you to consider the uploaded Specimen Signature for any physical correspondence from my/our side.

I/We hereby confirm and agree to open Trading & Demat Account with NJ INDIA INVEST PRIVATE LIMITED. through AADHAAR based E Signature mode.

1. HIMANSHU GUPTA
NAME (1ST HOLDER)

2. _____
NAME (2ND HOLDER)

3. _____
NAME (3RD HOLDER)

4. SANJAY GUPTA
NAME OF NOMINEE WITNESS

SIGNATURE (1ST HOLDER)

SIGNATURE (2ND HOLDER)

SIGNATURE (3RD HOLDER)

NOMINEE WITNESS SIGNATURE

