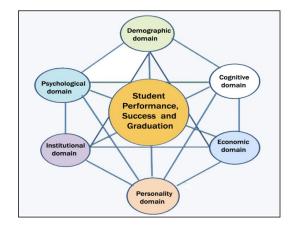
# Prediction of Student's Performance and Academic Success

**A Comparison of Machine Learning Models** 

Ananya Mantravadi, Nainisha Bhallamudi, Pavithra Velumani

## **Introduction & Problem Statement**

- Academic success is essential for both individuals and society
- Academic success fosters social justice by providing equitable access to education
- Predict student academic performance in higher education institutions.
- Identify students at risk of failure or underperformance early on.
- Use machine learning techniques to build predictive models.
- Provide early identification to enable timely interventions and support for at-risk students.
- We focus on 3 main categories: Enrolled, Graduate and Dropout



## **Related Work**

 "Early Prediction of student's Performance in Higher Education: A Case Study" - Mónica V. Martins, Daniel Tolledo, Jorge Machado, Luís M. T. Baptista, and Valentim Realinho Used techniques of Boosting and compared various models

	Logistic Regression	Support Vector Machine	Decision Tree	Random Forest
F1-score Failure	0.63	0.53	0.63	0.66
F1-score Rel.Success	0.41	0.31	0.39	0.37
F1-score Success	0.69	0.71	0.75	0.82
Average F1-score	0.58	0.52	0.59	0.62
Accuracy	0.61	0.60	0.65	0.72

	Gradient Boosting	Extreme Gradient Boosting
F1-score Failure	0.68	0.68
F1-score Rel.Success	0.44	0.44
F1-score Sucess	0.81	0.83
Average F1-score	0.65	0.65
Accuracy	0.72	0.73

## **Disadvantages of previous methods**

**Class Imbalance:** The dataset shows a significant imbalance between the target classes, with "Success" being the majority. Despite attempts to mitigate this using techniques like SMOTE and ADASYN, challenges persist due to highly imbalanced data.

Solution: Using Repeated Stratified K-Fold cross-validation

**Feature Importance:** The paper lacks insight into the importance of pre-enrollment features for predicting student success, hindering the development of effective interventions.

Solution: Use of Feature Engineering and Data Preprocessing

### **Our Method - Dataset**



#### **Predict Students' Dropout and Academic Success**

Donated on 12/12/2021

A dataset created from a higher education institution (acquired from several disjoint databases) related to students enrolled in different undergraduate degrees, such as agronomy, design, education, nursing, journalism, management, social service...

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Dataset Characteristics Subject Area Associated Tasks

Tabular Social Science Classification

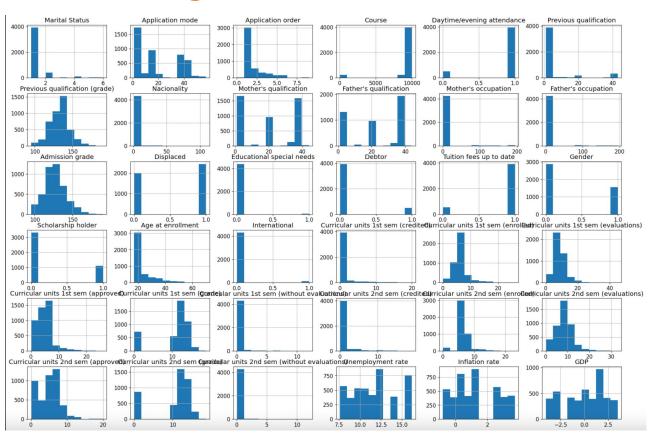
Feature Type # Instances # Features

Real, Categorical, Integer 4424

**Target - Enrolled, Graduate, and Dropout** 

#### Column Marital Status Application mode Application order Course Daytime/evening attendance Previous qualification Previous qualification (grade) Nacionality Mother's qualification Father's qualification Mother's occupation Father's occupation Admission grade Displaced 14 Educational special needs Debtor Tuition fees up to date Gender Scholarship holder Age at enrollment International Curricular units 1st sem (credited) 22 Curricular units 1st sem (enrolled) 23 Curricular units 1st sem (evaluations) 24 Curricular units 1st sem (approved) 25 Curricular units 1st sem (grade) 26 Curricular units 1st sem (without evaluations) 27 Curricular units 2nd sem (credited) 28 Curricular units 2nd sem (enrolled) 29 Curricular units 2nd sem (evaluations) 30 Curricular units 2nd sem (approved) 31 Curricular units 2nd sem (grade) 32 Curricular units 2nd sem (without evaluations) Unemployment rate Inflation rate 35 GDP Target

# Raw data histogram



# **Our Method - Feature Engineering**

- Importance of Occupation-Based
  Feature Engineering: Each occupation
  is mapped to predefined categories like
  'Management,' 'Technical Workers,' and
  'Service Workers' to balance complexity
  reduction and essential information
  retention.
- Feature Engineering for Qualification:
   Feature engineering was performed to categorize parental qualifications into broader categories, facilitating a more concise yet informative representation of the data, which is crucial for subsequent analysis and modeling.

#### **Before**

0 - Student 1 - Representatives of the Legislative Power and Executive Bodies, Directors, Directors and Executive Managers 2 - Specialists in Intellectual and Scientific Activities 3 - Intermediate Level Technicians and Professions 4 -Administrative staff 5 - Personal Services, Security and Safety Workers and Sellers 6 - Farmers and Skilled Workers in Agriculture, Fisheries and Forestry 7 - Skilled Workers in Industry, Construction and Craftsmen 8 -Installation and Machine Operators and Assembly Workers 9 - Unskilled Workers 10 - Armed Forces Professions 90 -Other Situation 99 - (blank) 101 - Armed Forces Officers 102 - Armed Forces Sergeants 103 - Other Armed Forces personnel 112 - Directors of administrative and commercial services 114 - Hotel, catering, trade and other services directors 121 - Specialists in the physical sciences, mathematics,

#### After

```
occupation_categories = {
    'Student': [0],
    'Management': [1, 112, 114],
    'Intellectual_Workers': [2, 121, 122, 123, 124, 125],
    'Technical_Workers': [3, 131, 132, 134, 135],
    'Administrative_Workers': [4, 141, 143, 144],
    'Service_Workers': [5, 151, 152, 153, 154],
    'Agriculture_Fisheries': [6, 161, 163],
    'Skilled_Workers': [7, 171, 172, 173, 174, 175],
    'Machine_Operators': [8, 181, 182],
    'Unskilled_Workers': [9, 191, 192, 193, 194, 195],
    'Military': [10, 101, 102, 103],
    'Other_Situations': [90, 99]
}
```

## **Experimental Settings**

#### **Train Test Split:**

- The dataset is divided into Training and Test sets with an 80% and 20% split.
- Purpose: Evaluate model performance on unseen data.

#### **Repeated Stratified K-Fold Cross-Validation:**

- Used due to class imbalance.
- 10 Folds: Each fold maintains class proportions.
- Repeated thrice and took the mean of them

#### **Hyperparameter Tuning:**

#### Technique: RandomizedSearchCV

- Initially attempted GridSearchCV, but due to its exhaustive nature it is time consuming.
- Randomized parameter search over specified hyperparameters.
- Balances thoroughness with computational efficiency.

## **Experimental Settings**

#### **Evaluation Metric Metric:**

- Accuracy and F1-Macro (F1 score provides a balance between precision and recall).
- Macro averaging for class imbalance handling. It takes the F1 scores of each class and averages them, treating all classes equally.

#### **Process Optimization:**

#### **Runtime Optimization:**

- Changed runtime type from CPU to TPU in Google Colab.
- Significant reduction in processing time.

#### **Parallel Processing:**

- Utilized to expedite the hyperparameter tuning process.
- All available cores used for efficiency.

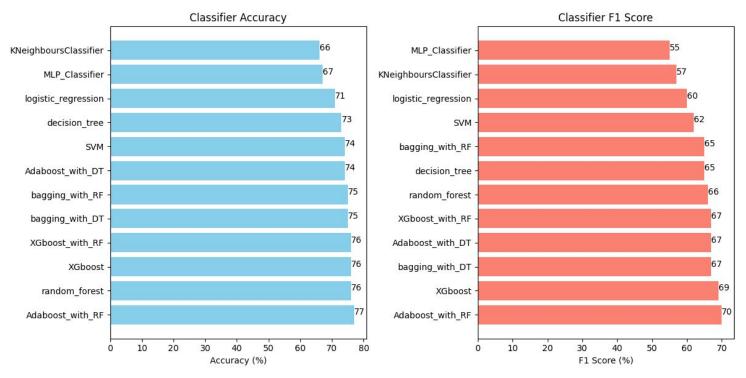
## **Models Explored**

Experimented with a wide range of models as a robust method to establish baseline performance.

- Logistic Regression
- Random Forest
- Decision Tree
- Bagging with DT
- Bagging with RF
- Adaboost with DT
- Adaboost with RF
- K Nearest Neighbours
- SVM
- XGboost
- XGboost with RF
- MLP Classifier
- Voting

## **Baseline Model Results**

Adaboost with RF (77% accuracy, 70% F1 score) and Random Forest (76% accuracy, 66% F1 score).



## Final Results - Voting Classifier

#### **Voting Ensemble:**

In our experimentation, the voting ensemble outperformed stacking. Used a soft voting ensemble with the following models:

- Adaboost with Random Forest
- XGBoost

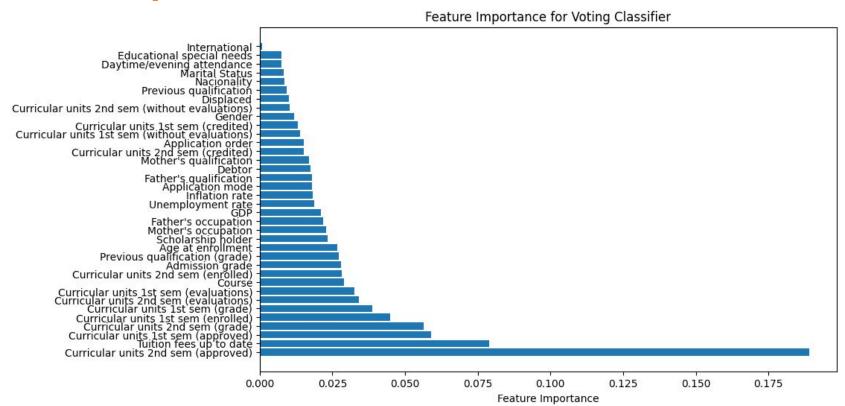
#### **Overall Performance:**

Accuracy: 77.8%

• F1-Score: 71%

	Precision	Recall	F1-Score	Support
Dropout	0.85	0.76	0.80	316
Enrolled	0.56	0.40	0.47	151
Graduate	0.79	0.93	0.85	418
Accuracy			0.78	885
Macro avg	0.73	0.70	0.71	885
Weighted avg	0.77	0.78	0.77	885

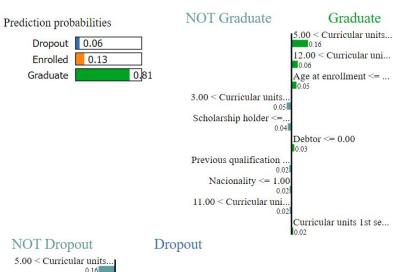
## **Feature Importance**



# LIME (Local Interpretable Model-agnostic Explanations)

- Trade-off between accuracy and interpretability exists; complex algorithms offer higher accuracy but are black-box methods.
- Business settings often favor simpler, interpretable models despite lower accuracy.
- LIME can explain individual predictions of any classifier or regressor in a faithful way by computing a local surrogate model

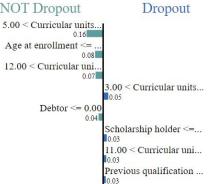
## **Example 1: Correct Prediction**



Predicted: Graduate

Graduate

True Label:



NOT Enrolled	Enrolled
	Age at enrollment <=
-1.00 < GDP <= 0.00 0.02	
	Debtor <= 0.00 0.02
Unemployment rate > 0.01	
	Previous qualification 0.01
Father's occupation <=	
11.00 < Curricular uni	
	Displaced <= 0.00
	12.00 < Curricular uni
0.00 < Inflation rate <=	

Curricular units 2nd sem (grade) 12.1 Age at enrollment 18.0 Curricular units 1st sem (approved) 5.00 Scholarship holder 0.00 Debtor 0.00 Previous qualification (grade) 125 Nacionality 1.00	Feature	Value
Age at enrollment 18.0 Curricular units 1st sem (approved) 5.00 Scholarship holder 0.00 Debtor 0.00 Previous qualification (grade) 125 Nacionality 1.00 Curricular units 1st sem (grade) 11.5	Curricular units 2nd sem (approved)	6.00
Curricular units 1st sem (approved) 5.00 Scholarship holder 0.00 Debtor 0.00 Previous qualification (grade) 125 Nacionality 1.00 Curricular units 1st sem (grade) 11.5	Curricular units 2nd sem (grade)	12.14
Scholarship holder 0.00 Debtor 0.00 Previous qualification (grade) 125 Nacionality 1.00 Curricular units 1st sem (grade) 11.5	Age at enrollment	18.00
Debtor0.00Previous qualification (grade)125Nacionality1.00Curricular units 1st sem (grade)11.5	Curricular units 1st sem (approved)	5.00
Previous qualification (grade) 125 Nacionality 1.00 Curricular units 1st sem (grade) 11.5	Scholarship holder	0.00
Nacionality 1.00 Curricular units 1st sem (grade) 11.5	Debtor	0.00
Curricular units 1st sem (grade) 11.5	Previous qualification (grade)	125.00
	Nacionality	1.00
Curricular units 1st sem (without evaluations) 0.00	Curricular units 1st sem (grade)	11.57
	Curricular units 1st sem (without evaluation	ons) 0.00

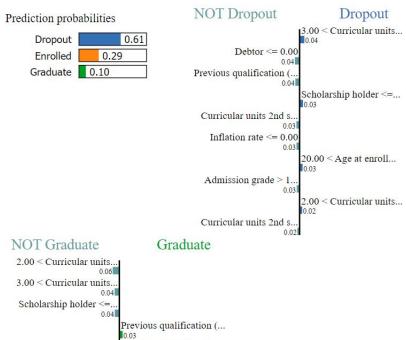
## **Example 2: Incorrect Prediction**

True Label:

**Enrolled** 

Predicted:

**Dropout** 



Admission grade > 1... 0.03 Debtor <= 0.00

Curricular units 2nd s...

Marital Status <= 1.00

OT Enrolled	Enrolled
	2.00 < Curricular units
	8.00 < Curricular units
	Curricular units 1st se
GDP <= -1.00 0.02	and the same and t
	Debtor <= 0.00 0.02
	Scholarship holder <= 0.01
	Previous qualification 0.01
	Nacionality <= 1.00 0.01
	10.00 < Curricular uni 0.01
$20.00 \le$ Age at enroll 0.01	

Feature	Value
Curricular units 1st sem (approved)	4.00
Debtor	0.00
Previous qualification (grade)	150.00
Scholarship holder	0.00
Curricular units 2nd sem (enrolled)	5.00
Inflation rate	-0.80
Age at enrollment	24.00
Admission grade	160.00
Curricular units 2nd sem (approved)	3.00
Curricular units 2nd sem (credited)	0.00

## **Conclusions**

- Previous method
  - Accuracy: **73**, Avg F1: **65**
- Our method
  - Accuracy: 77.8, Avg F1: 70.8, Weighted F1: 77
- Voting Classifier (Adaptive Boosting with Random Forests and eXtreme Gradient Boosting) combines the complementary benefits of bagging and boosting.
- Manual handcrafted feature engineering
- Interpretation efforts towards transparency and explainability
- Future Scope: Experiment with deep learning architectures

# **Appendix - LIME**

