SOC 2 Type II Sample Report (For Testing Only)

Report Overview

This report demonstrates compliance with the AICPA Trust Services Criteria for Security, Availability, and Confidentiality for the period Jan 1, 2025 – Dec 31, 2025.

Scope and Objectives

The scope includes the organization's cloud-based application and supporting infrastructure hosted on AWS. Objectives: Ensure system security against unauthorized access, maintain availability as per SLA, and protect confidentiality of customer data.

System Description

The system consists of: Web application hosted on AWS EC2, data stored in encrypted RDS instances, and access controlled via IAM policies and MFA.

Trust Services Criteria

Security: Firewalls, IDS/IPS, MFA implemented. Availability: 99.9% uptime SLA monitored via CloudWatch. Confidentiality: Data encrypted at rest (AES-256) and in transit (TLS 1.2).

Control Activities

Access Control: Role-based access enforced. Change Management: Changes tracked via Jira and approved before deployment. Incident Response: Documented IR plan with 24/7 monitoring.

Testing Procedures and Results

Access Control: Sampled 25 user accounts; all had MFA enabled. Change Management: Reviewed 10 change tickets; all followed approval workflow. Incident Response: Verified IR drills conducted quarterly.

Auditor's Opinion

Based on the procedures performed, controls were suitably designed and operated effectively throughout the review period.

Summary of Testing Results

Control	Tested Items	Result
Access Control	25 user accounts	All MFA enabled
Change Management	10 change tickets	All approved
Incident Response	Quarterly drills	Verified