

**Homework 8**

## Sample Solutions

**RECURSIVE DEFINITIONS**

1. Give a recursive definition for the set  $X$  of all binary strings with an even number of 0's.

**Solution)**

The set  $X$  of all binary strings (strings with only 0's and 1's) having an even number of 0's is defined as follows.

**B<sub>1</sub>.**  $\lambda$  is in  $X$ .

**B<sub>2</sub>.** 1 is in  $X$ .

**R<sub>1</sub>.** If  $x$  is in  $X$ , so is  $0x0$ .

**R<sub>2</sub>.** If  $x$  and  $y$  are in  $X$ , so is  $xy$ .

(Answers may vary.)

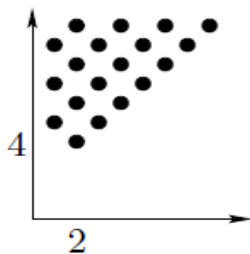
2. The following recursive definition defines a set  $\mathbb{Z}$  of ordered pairs.

**B.**  $(2, 4)$  is in  $\mathbb{Z}$ .

**R1.** If  $(x, y)$  is in  $\mathbb{Z}$  with  $x < 10$  and  $y < 10$ , then  $(x+1, y+1)$  is in  $\mathbb{Z}$ .

**R2.** If  $(x, y)$  is in  $\mathbb{Z}$  with  $x > 1$  and  $y < 10$ , then  $(x-1, y+1)$  is in  $\mathbb{Z}$ .

Plot these ordered pairs in the  $xy$ -plane.

**Solution)**

3. Give a recursive definition for the set  $X$  of even integers (including both positive and negative even integers).

Solution)

B.  $0 \in E$ .

R. If  $n \in E$  so are  $n + 2$  and  $n - 2$ .

4. Let  $S$  be a set of sets with the following recursive definition.

B.  $\emptyset \in S$ .

R. If  $X \subseteq S$ , then  $X \in S$ .

- (a) List three different elements of  $S$ .  
(b) Explain why  $S$  has infinitely many elements.

Solution)

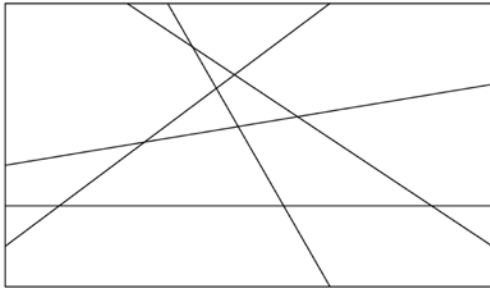
(a)  $\emptyset$ ,  $\{\emptyset\}$ ,  $\{\{\emptyset\}, \emptyset\}$

(b) There are infinitely many elements of the form  $\{\{\cdots \{\emptyset\} \cdots\}\}$ , for example.

## Structural Induction

1. A line map is defined as follows:
  - B. A blank rectangle is a line map.
  - R. A line map with a straight line drawn all the way across it is a new line map.

Here is an example of a line map.



- (a) Prove by induction that a line map with  $n$  distinct lines has at least  $n+1$  regions.
- (b) Prove by induction that a line map with  $n$  distinct lines has at most  $2^n$  regions.
- (c) Part (a) gives a lower bound on the number of regions in a line map. For example, a line map with five lines must have at least six regions. Give an example of a line map that achieves this lower bound, that is, draw a line map with five lines and six regions.
- (d) Part (b) says that a line map with three lines can have at most eight regions. Can you draw a line map with three lines that achieves this upper bound? Do so, or explain why you can't.

## Solution)

- (a) *Proof.* (Induction on the number of lines.) If a line map contains 0 lines, then it is just a blank rectangle containing 1 region, and  $1 \geq 0 + 1$ ; the number of regions is at least the number of lines plus 1. Assume as inductive hypothesis that any line map with  $k - 1$  lines has at least  $k$  regions, for some  $k > 0$ . Let  $M$  be a line map with  $k$  lines. Remove one line from  $M$ , call it  $l$ . By inductive hypothesis, the resulting map  $M'$  has  $k$  regions. Now put back  $l$ . Since  $l$  crosses the whole rectangle, it must pass through at least one region of  $M'$ , dividing this region into two regions. Hence  $M$  has at least one more region than  $M'$ , so  $M$  has at least  $k + 1$  regions, as required.  $\square$
- (b) *Proof.* (Induction on the number of lines.) If a line map contains 0 lines, then it is just a blank rectangle containing 1 region, and  $1 \leq 2^0 = 1$ . Assume as inductive hypothesis that any line map with  $k - 1$  lines has at most  $2^{k-1}$  regions, for some  $k > 0$ . Let  $M$  be a line map with  $k$  lines. Remove one line from  $M$ , call it  $l$ . By inductive hypothesis, the resulting map  $M'$  has at most  $2^{k-1}$  regions. Now put back  $l$ . Every region of  $M'$  that  $l$  passes through gets divided into two regions. At most,  $l$  passes through all  $2^{k-1}$  regions of  $M'$ , so the number of regions in  $M$  is at most  $2 \cdot 2^{k-1} = 2^k$  regions, as required.  $\square$
- (c) Five lines and six regions:



- (d) A line map  $M$  with two lines will form at most four regions. Suppose for contradiction that a third line  $l$  passes through all four regions. This third line must therefore cross over three borders between regions of  $M$ . But there are only two lines forming the regions of  $M$ , so  $l$  must intersect some line twice, a contradiction. Therefore a third line must pass through at most 3 regions of  $M$ , forming at most 7 regions total.

2. Define a Q-sequence recursively as follows.

Basis Case.  $\langle x, 4-x \rangle$  is a Q-sequence (of length 2) for any real number  $x$ .

Recursive Case. If  $\langle x_1, x_2, \dots, x_{j-1}, x_j \rangle$  and  $\langle y_1, y_2, \dots, y_{k-1}, y_k \rangle$  are Q-sequences, so is

$$\langle x_1 - 1, x_2, \dots, x_{j-1}, x_j, y_1, y_2, \dots, y_{k-1}, y_k - 3 \rangle$$

(, of which the length is  $j+k$ ).

Use structural induction to prove that the sum of the numbers in any Q-sequence is 4.

Solution)

Let  $S$  be a Q-sequence and  $P(S)$  be the statement that  $S$  satisfies the property “the sum of the numbers in  $S$  is 4”.

Basis Step)  $P(\langle x, 4-x \rangle)$  is true because  $x + (4-x) = 4$ .

Inductive Step)

For induction hypothesis, suppose that  $S1 = \langle x_1, x_2, \dots, x_m \rangle$  and  $S2 = \langle y_1, y_2, \dots, y_n \rangle$  are Q-sequences and  $P(S1)$  and  $P(S2)$  are true.

Consider the sequence  $S3 = \langle x_1 - 1, x_2, \dots, x_m, y_1, y_2, \dots, y_n - 3 \rangle$ .

By induction hypothesis,  $x_1 + x_2 + \dots + x_m = 4$  and  $y_1 + y_2 + \dots + y_n = 4$ .

So  $(x_1 + x_2 + \dots + x_m) + (y_1 + y_2 + \dots + y_n) = 8$  and thus

$$(x_1 - 1) + x_2 + \dots + x_m + y_1 + y_2 + \dots + (y_n - 3) = 4.$$

Since  $S3$  in the Inductive Step is the Q-sequence obtained from  $S1$  and  $S2$  by the recursive definition of Q-sequence, by the structural induction,  $P(S)$  is true for any Q-sequence  $S$ .

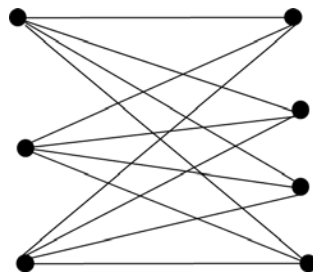
## RECURSIVE ALGORITHMS & RECURRENCE RELATIONS

1. Write an iterative algorithm to compute  $F(n)$ , the  $n$ -th Fibonacci number.

Solution)

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 $i \leftarrow 2$   
 $L \leftarrow 1$   
 $F \leftarrow 1$   
while  $i < n$  do  
     $F \leftarrow F + L$   
     $L \leftarrow F - L$   
     $i \leftarrow i + 1$ 
```

2. The complete bipartite graph  $K_{m,n}$  is the simple undirected graph with  $m+n$  vertices split into two sets  $V_1$  and  $V_2$  ( $|V_1| = m$ ,  $|V_2| = n$ ) such that vertices  $x, y$  share an edge if and only if  $x \in V_1$  and  $y \in V_2$ . For example  $K_{3,4}$  is the following graph.



- (a) Find a recurrence relation for the number of edges in  $K_{3,n}$ .
- (b) Find a recurrence relation for the number of edges in  $K_{n,n}$ .

Solution)

- (a) Let  $E(n)$  be the number of edges in  $K_{3,n}$ . Since each new vertex requires three new edges,

$$E(n) = \begin{cases} 3 & \text{if } n = 1 \\ 3 + E(n-1) & \text{if } n > 1 \end{cases}$$

- (b) Let  $F(n)$  be the number of edges in  $K_{n,n}$ .

$$F(n) = \begin{cases} 1 & \text{if } n = 1 \\ F(n-1) + 2n - 1 & \text{if } n > 1 \end{cases}$$

Grading guideline)

The answer must include the case of  $n=1$  (or  $n=0$ ) and  $n > 1$  (or  $n > 0$ ). If students missed it, then they get a half point.

3. Consider the following recurrence relation:

$$\begin{aligned} G(n) &= 1 && \text{if } n = 0 \\ &= G(n-1) + 2n - 1 && \text{if } n > 0. \end{aligned}$$

(a) Calculate  $G(0)$ ,  $G(1)$ ,  $G(2)$ ,  $G(3)$ ,  $G(4)$ , and  $G(5)$ .

(b) Guess at a closed-form solution for  $G(n)$  using sequence of differences.

(c) Prove that your guess is correct.

**Solution)**

(a) 1, 2, 5, 10, 17

(b) First differences: 1, 3, 5, 7. Second differences: 2, 2, 2. So a quadratic formula is suggested. Guess:  $f(n) = n^2 + 1$ .

(c) *Proof.* (Induction on  $n$ .) Let  $f(n) = n^2 + 1$ .

Base Case: If  $n = 0$ , the recurrence relation says that  $G(0) = 1$ , and the formula says that  $f(0) = 0^2 + 1 = 1$ , so they match.

Inductive Hypothesis: Suppose as inductive hypothesis that

$$G(k-1) = (k-1)^2 + 1$$

for some  $k > 0$ .

Inductive Step: Using the recurrence relation,

$$\begin{aligned} G(k) &= G(k-1) + 2k - 1, \text{ by the second part of the recurrence relation} \\ &= (k-1)^2 + 1 + 2k - 1, \text{ by inductive hypothesis} \\ &= k^2 - 2k + 1 + 1 + 2k - 1 \\ &= k^2 + 1 \end{aligned}$$

so, by induction,  $G(n) = f(n)$  for all  $n \geq 0$ . □

4. Consider the following recurrence relation:

$$\begin{aligned} L(n) &= 1 && \text{if } n = 1 \\ &= 3 && \text{if } n = 2 \\ &= L(n-1) + L(n-2) && \text{if } n > 2. \end{aligned}$$

Let  $\alpha$  and  $\beta$  be the constants that are used to compute the Fibonacci numbers as below:

$$\alpha = \frac{1+\sqrt{5}}{2} \text{ and } \beta = \frac{1-\sqrt{5}}{2}$$

Prove that  $L(n) = \alpha^n + \beta^n$  for all  $n \in \mathbb{N}$ . Use strong induction.

**Solution)**

Use the equalities  $\alpha^2 = 1 + \alpha$  and  $\beta^2 = 1 + \beta$ .

*Proof.* First, note that  $L(1) = 1 = \alpha + \beta$ , and  $\alpha^2 + \beta^2 = (\alpha + 1) + (\beta + 1) = \alpha + \beta + 2 = 3 = L(2)$ . Suppose as inductive hypothesis that  $L(i) = \alpha^i + \beta^i$  for all  $i < k$ , for some  $k > 2$ . Then  $L(k) = L(k-1) + L(k-2) = \alpha^{k-1} + \beta^{k-1} + \alpha^{k-2} + \beta^{k-2} = \alpha^{k-2}(\alpha + 1) + \beta^{k-2}(\beta + 1) = \alpha^{k-2}(\alpha^2) + \beta^{k-2}(\beta^2) = \alpha^k + \beta^k$ , as required. □