

**Problem 23.** Consider a set  $X = \{x_1, \dots, x_n\}$  and a collection  $Y_1, Y_2, \dots, Y_m$  of subsets of  $X$  (i.e.  $Y_i \subseteq X$  for each  $i$ ). We say that a set  $S \subseteq X$  is a *Special Set* for the collection  $Y_1, Y_2, \dots, Y_m$  if  $S$  contains at least one element from each  $Y_i$  (in other words,  $S \cap Y_i \neq \emptyset$  for each  $i$ ).

We now define the *Special Set Problem* as follows. We are given a set  $X = \{x_1, \dots, x_n\}$ , a collection  $Y_1, Y_2, \dots, Y_m$  of subsets of  $X$ , and a positive integer  $k$ . We are asked: Is there a *special set*  $S \subseteq X$  for  $Y_1, Y_2, \dots, Y_m$  so that the size of  $S$  is at most  $k$ ?

We want to show that *Special Set Problem* is NP-complete.

- (a) (3 pts) Prove that *Special Set Problem* is NP.
- (b) (12 pts) Prove that *Special Set Problem* is NP-hard. (Hint: You may use *Vertex Cover* for reduction).

*sol.* This problem is originally known as *Hitting Set Problem*. We slightly change the name of problem to prevent the searching on online.

(a) **(No partial points)** For a given certificate  $S$ , we can verify in polynomial time whether the size of  $S$  is at most  $k$  and  $S$  intersects each of the sets  $Y_1, Y_2, \dots, Y_m$ , since checking the intersection of two sets takes at most  $O(n^2)$ .

(b) **(Solution 1)** Given an arbitrary graph  $G = (V, E)$ , we construct an instance of *Special Set* as follows: First, let  $X$  be a vertex set of  $G$ , i.e.  $X = V(G)$ . And for each edge  $e = (u, v) \in E$ , we construct a set  $Y_e = \{u, v\}$ , then we have  $|E(G)| = m$  sets. We now claim that  $G$  has a vertex cover of size  $k$  if and only if  $\{Y_e\}_{e \in E(G)}$  has a *Special Set*  $S \subseteq X$  of size at most  $k$ . **(9 points)**

( $\Rightarrow$ ) Suppose  $G$  has a vertex cover  $VC$  of size at most  $k$ . Then, for each edge  $e = (u, v)$ , either  $u \in VC$  or  $v \in VC$ , in other words,  $Y_e \cap VC \neq \emptyset$ . Therefore,  $VC = S$  is a *Special Set* of size at most  $k$ .

( $\Leftarrow$ ) Conversely, suppose  $S$  is a *Special Set* of size at most  $k$  for the collection of sets  $\{Y_e\}_{e \in E(G)}$ . Then, for each edge  $e \in E(G)$ , at least one endpoint will be covered by  $S$  which means  $S$  is a vertex cover of  $G$  with size at most  $k$ . **(3 points)**

**(Solution 2)** Given an arbitrary graph  $G = (V, E)$ , we construct an instance of *Special Set* as follows: First of all, let  $X$  be a vertex set of  $G$ , i.e.  $X = V(G)$ . And, for each vertex  $v \in V(G)$ , we construct a set  $Y_v$  which contains  $v$  and all neighbors of  $v$  in  $G$ . We now claim that  $G$  has a vertex cover of size  $k$  if and only if  $\{Y_v\}_{v \in V(G)}$  has a *Special Set*  $S \subseteq X$  of size at most  $k$ . **(9 points)**

( $\Rightarrow$ ) Suppose  $G$  has a vertex cover  $VC$  of size at most  $k$ . Then, for each  $Y_v$ , at least one element in  $VC$  must be contained in  $Y_v$  by the definition of vertex cover. In other words,  $Y_v \cap VC \neq \emptyset$ . Therefore,  $VC = S$  is a *Special Set* of size at most  $k$ .

( $\Leftarrow$ ) Conversely, suppose  $S$  is a *Special Set* of size at most  $k$  for the collection of sets  $\{Y_v\}_{v \in V(G)}$ . Then, for each vertex  $v \in V(G)$ , we can see either  $v \in S$  or one of neighbors

of  $v$  is in  $S$ . Therefore,  $S$  is also a vertex cover of  $G$  with size at most  $k$ . (3 points)

(**Wrong Solution 1**) (0 point) Many students tried to construct the graph based on the sets  $X$  and  $Y_1, \dots, Y_n$ . However, to show the reduction from vertex cover, we need to show the opposite way, which means given an arbitrary graph, we need to construct the sets  $X$  and  $Y_1, \dots, Y_n$ .

(**Wrong Solution 2**) (0 point) Some students tried to construct the sets  $X$  and  $Y_1, \dots, Y_n$  based on the result of vertex cover. However, to show the reduction from vertex cover, we need to construct the sets first.