

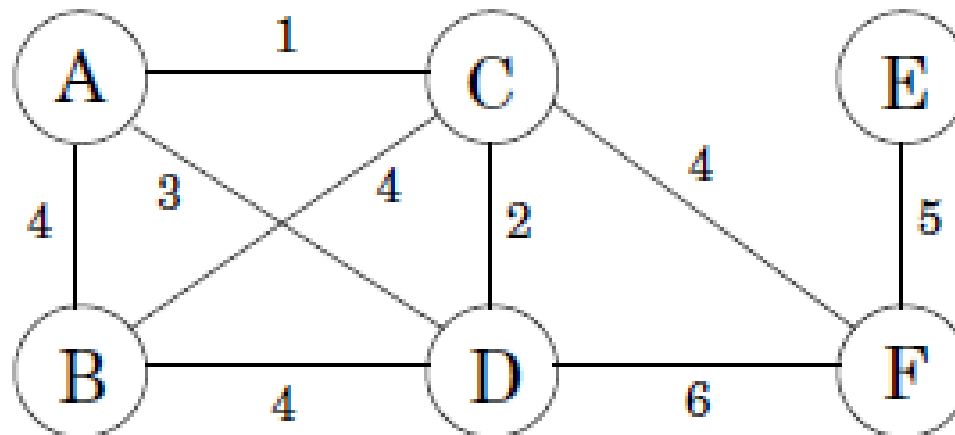
# Greedy Algorithms

# Greedy algorithms

- Algorithm design paradigm
- Idea : when we have a choice to make, make the one that looks best right now. Make a locally optimal choice in hope of getting a globally optimal solution.

# Problem

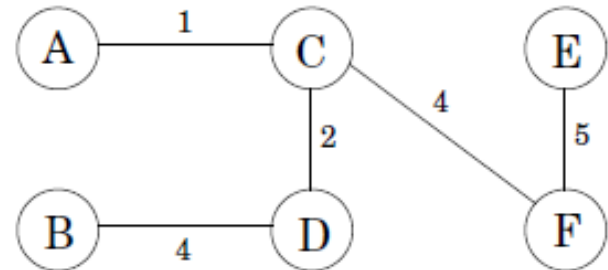
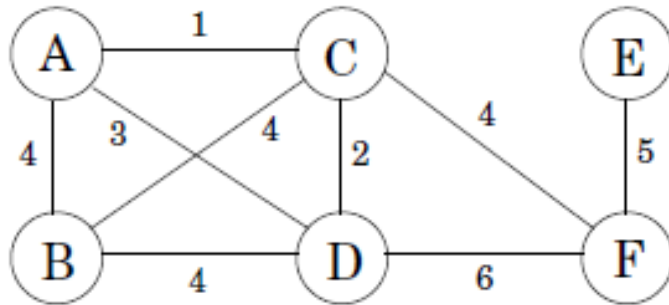
- Network a collection of computers by linking selected pairs of them.
- Each link has a maintenance cost.
- What is the cheapest network?



# Minimum spanning tree

- Removing a cycle edge cannot disconnect a graph.
- So the solution must be connected and *acyclic* : undirected connected acyclic graphs = *trees*.
- Input: An undirected graph  $G = (V, E)$ , edge weights  $w_e$
- Output: A tree  $T = (V, E')$ , with  $E' \subseteq E$ , that minimizes

$$\text{weight}(T) = \sum_{e \in E'} w_e$$

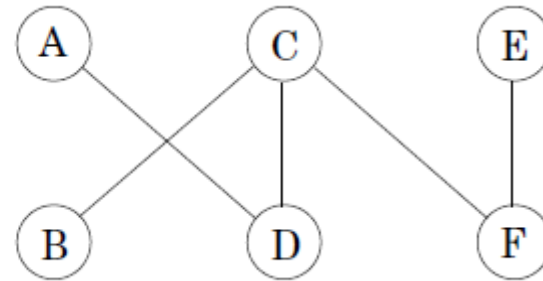
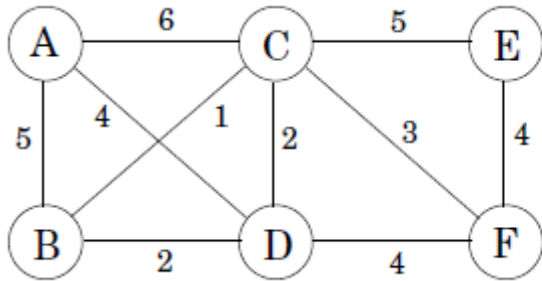


# Trees

- A tree on  $n$  nodes has  $n - 1$  edges.
- Any connected, undirected graph  $G = (V, E)$  with  $|E| = |V| - 1$  is a tree.
- An undirected graph is a tree if and only if there is a unique path between any pair of nodes.

# Kruskal's algorithm

- Kruskal's minimum spanning tree algorithm starts with the empty graph and then selects edges from  $E$  according to the following rule.
- Repeatedly add the next *lightest* edge that doesn't produce a cycle.
- This is a *greedy algorithm*.



## Cut property

- Suppose edges  $X$  are part of a minimum spanning tree of  $G = (V, E)$
- Pick any subset of nodes  $S$  for which  $X$  does not cross between  $S$  and  $V-S$ , and let  $e$  be the lightest edge across this partition.
- Then,  $X \cup \{e\}$  is part of some MST.
- Pf) Let  $T$  be an MST that includes  $X$ .

If  $e$  is in  $T$ , done.

Otherwise, add  $e$  to  $T$ . It creates a cycle.

This cycle must have another edge  $e'$  across the cut  $(S, V-S)$ .

Remove  $e'$ . Then, we have a new spanning tree  $T' = T \cup \{e\} - \{e'\}$ .

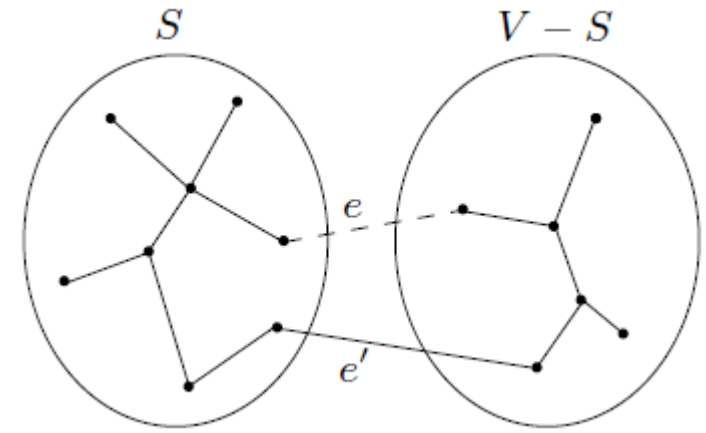
(why is  $T'$  a spanning tree?)

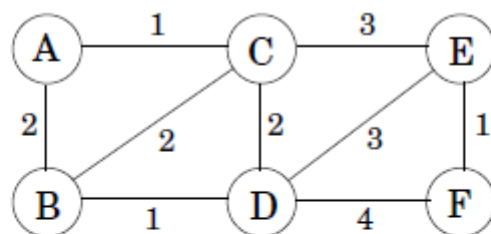
$$\text{weight}(T') = \text{weight}(T) + w(e) - w(e')$$

Since  $e$  is the lightest edge crossing the cut  $(S, V-S)$ ,  $w(e) \leq w(e')$

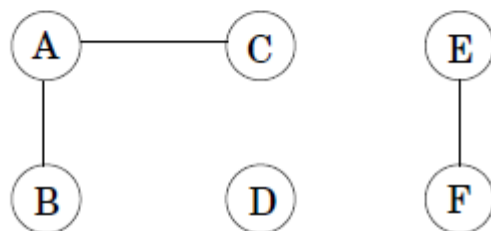
Thus,  $\text{weight}(T') \leq \text{weight}(T)$ .

Since  $T$  is a MST,  $T'$  is also a MST.

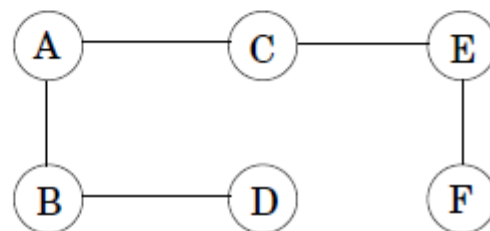




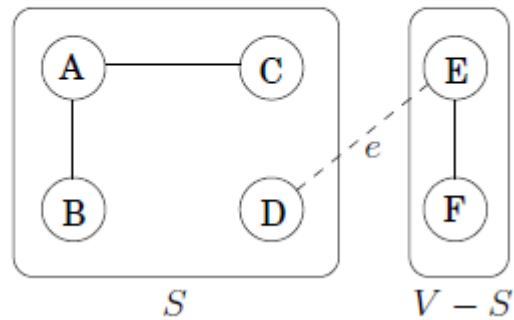
Edges  $X$ :



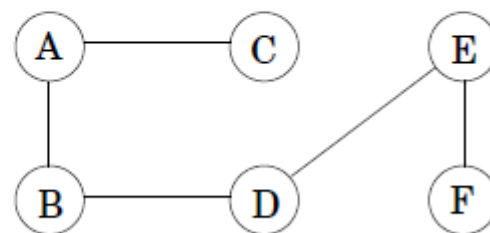
MST  $T$ :



The cut:



MST  $T'$ :





# Correctness of Kruskal's algorithm

- At any given moment, the edges already chosen form a partial solution, a collection of connected components (trees).
- The next edge  $e$  to be added connects two of these components; call them  $T_1$  and  $T_2$ .
- Since  $e$  is the lightest edge that doesn't produce a cycle, it is certain to be the lightest edge between  $T_1$  and  $V - T_1$ .
- Therefore, it satisfies the cut property.

# Implementation

- Need to test each candidate edge  $u - v$  to see whether the endpoints  $u$  and  $v$  lie in different components, not producing a cycle.
- Need a *disjoint-set data structure* supporting the following :
  - $\text{makeset}(x)$ : create a singleton set containing just  $x$ .
  - $\text{find}(x)$ : to which set does  $x$  belong?
  - $\text{union}(x, y)$ : merge the sets containing  $x$  and  $y$ .

# Kruskal's algorithm

procedure kruskal( $G, w$ )

Input: A connected undirected graph  $G = (V, E)$  with edge weights  $w_e$

Output: A minimum spanning tree defined by the edges  $X$

for all  $u \in V$ :  
    makeset( $u$ )

$X = \{\}$

Sort the edges  $E$  by weight

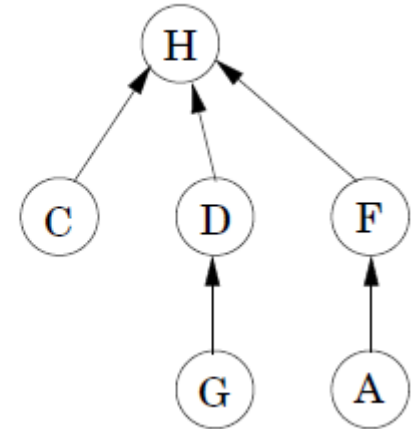
for all edges  $\{u, v\} \in E$ , in increasing order of weight:

    if find( $u$ )  $\neq$  find( $v$ ):  
        add edge  $\{u, v\}$  to  $X$   
        union( $u, v$ )

- Uses  $|V|$  makeset,  $2|E|$  find,  $|V|-1$  union operations.

# Disjoint-set data structure

- Store a set as a directed tree.
- Nodes of the tree are elements of the set, arranged in no particular order.
- Each has parent pointers  $\pi$  that eventually lead up to the root of the tree.
- The root is a *representative*, or *name*, for the set.
- The root has a parent pointer  $\pi$  pointing itself.
- Each node has *rank* representing the height of the subtree from the node.



# Makeset and find

- *makeset* is a constant-time operation
- *find* follows parent pointers to the root of the tree : takes  $O(\text{height of the tree})$ .

```
procedure makeset ( $x$ )  
 $\pi(x) = x$   
 $\text{rank}(x) = 0$ 
```

```
function find ( $x$ )  
while  $x \neq \pi(x)$  :  $x = \pi(x)$   
return  $x$ 
```

## Union by rank

- Make the root of the shorter tree point to the root of the taller tree.
- Then, the overall height increases only if the two trees being merged are equally tall.
- Instead of explicitly computing heights of trees, we will use the *rank* numbers of their root nodes - *union by rank*.

```
procedure union( $x, y$ )
```

```
 $r_x = \text{find}(x)$ 
```

```
 $r_y = \text{find}(y)$ 
```

```
if  $r_x = r_y$ : return
```

```
if  $\text{rank}(r_x) > \text{rank}(r_y)$ :
```

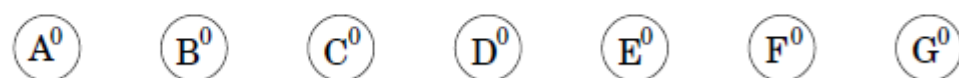
```
     $\pi(r_y) = r_x$ 
```

```
else:
```

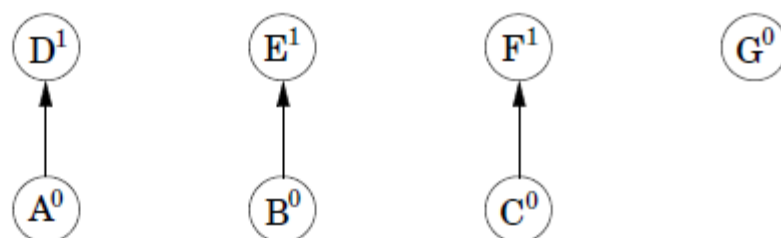
```
     $\pi(r_x) = r_y$ 
```

```
    if  $\text{rank}(r_x) = \text{rank}(r_y)$ :  $\text{rank}(r_y) = \text{rank}(r_y) + 1$ 
```

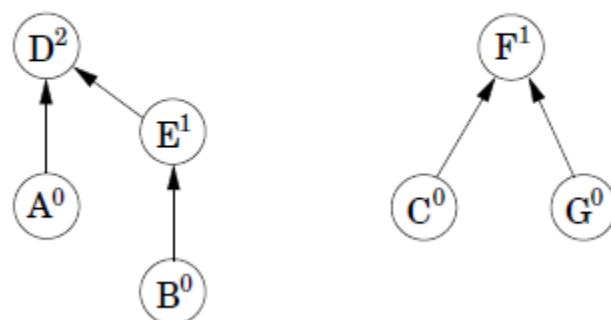
After  $\text{makeset}(A), \text{makeset}(B), \dots, \text{makeset}(G)$ :



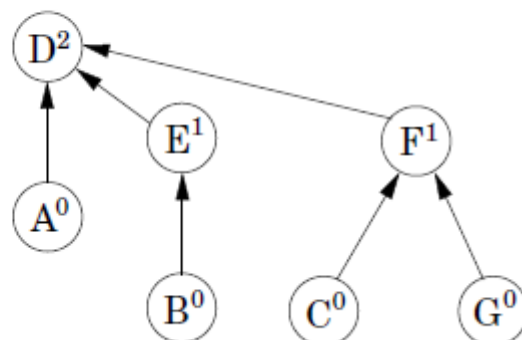
After  $\text{union}(A, D), \text{union}(B, E), \text{union}(C, F)$ :



After  $\text{union}(C, G), \text{union}(E, A)$ :



After  $\text{union}(B, G)$ :



# Analysis

- By design, the rank of a node is exactly the height of the subtree rooted at that node.
- As you move up a path toward a root node, the rank values are strictly increasing.
- **Property 1** For any  $x$ ,  $\text{rank}(x) < \text{rank}(\pi(x))$ .
- **Property 2** Any root node of rank  $k$  has at least  $2^k$  nodes in its tree.
  - Prove by induction
- **Property 3** If there are  $n$  elements overall, there can be at most  $n/2^k$  nodes of rank  $k$ .
- The maximum rank is  $\log n$ .
- Therefore, all the trees have height  $\leq \log n$ , and this is an upper bound on the running time of find and union.



## Analysis of Kruskal's algorithm

- Kruskal's algorithm uses  $|V|$  makeset,  $2|E|$  find,  $|V|-1$  union operations.
- We need  $O(|E| \lg |V|)$  to sort the edges. ( $\lg |E| = \Theta(\lg |V|)$ )
- $O(|E| \log |V|)$  for find and union operations.

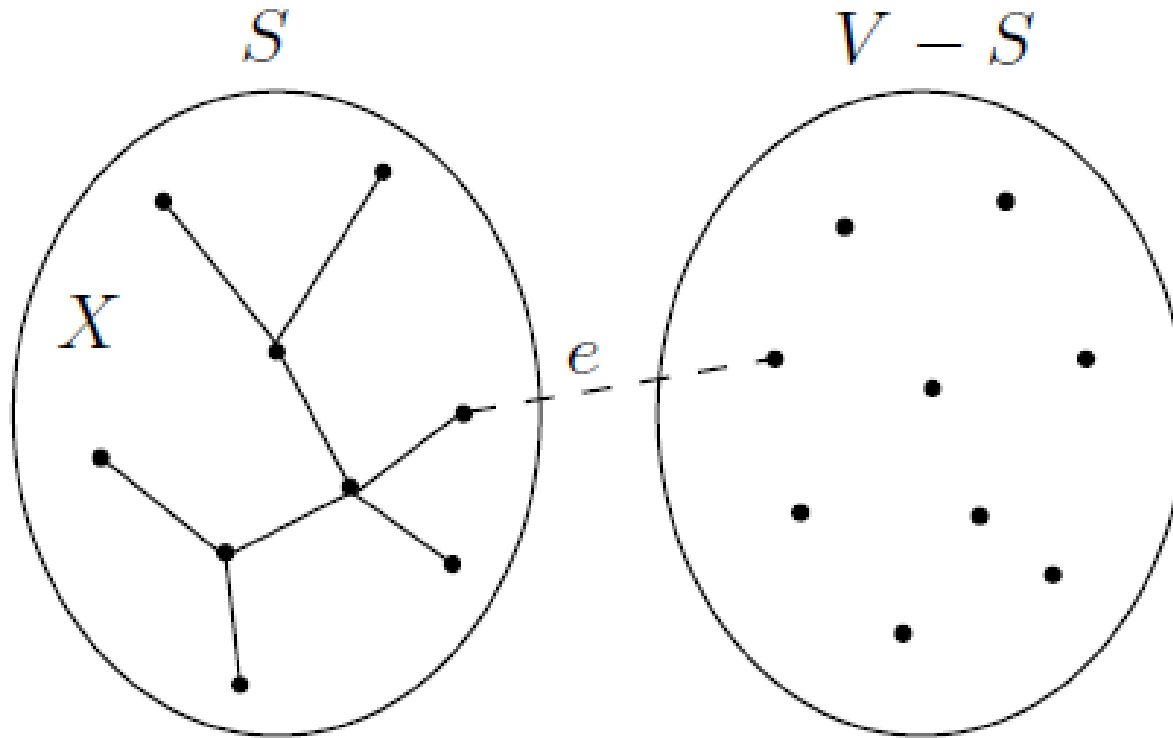
# Greedy algorithm

- The cut property suggests that the following greedy schema works to find MST.

```
X = { } (edges picked so far)
repeat until |X| = |V| - 1:
  pick a set  $S \subset V$  for which  $X$  has no edges between  $S$  and  $V - S$ 
  let  $e \in E$  be the minimum-weight edge between  $S$  and  $V - S$ 
   $X = X \cup \{e\}$ 
```

- Prim's algorithm
  - the intermediate set of edges  $X$  always forms a subtree, and  $S$  is the set of this tree's vertices.

## Prim's algorithm



- the intermediate set of edges  $X$  always forms a subtree, and  $S$  is the set of this tree's vertices.
- Use *priority queue* to find the lightest edge between a vertex in  $S$  and a vertex outside  $S$  - grow  $S$  to include the vertex  $v \notin S$  of smallest **cost** :

$$\mathbf{cost}(v) = \min_{u \in S} w(u, v)$$

# Prim's algorithm

procedure prim( $G, w$ )

Input: A connected undirected graph  $G = (V, E)$  with edge weights  $w_e$

Output: A minimum spanning tree defined by the array `prev`

for all  $u \in V$ :

$\text{cost}(u) = \infty$

$\text{prev}(u) = \text{nil}$

Pick any initial node  $u_0$

$\text{cost}(u_0) = 0$

$H = \text{makequeue}(V)$  (priority queue, using cost-values as keys)

while  $H$  is not empty:

$v = \text{deletemin}(H)$

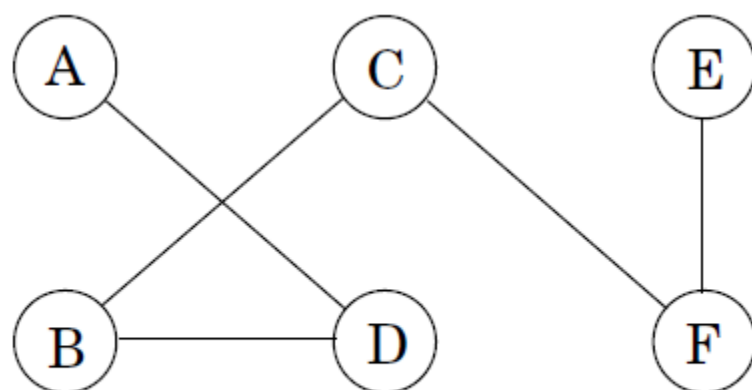
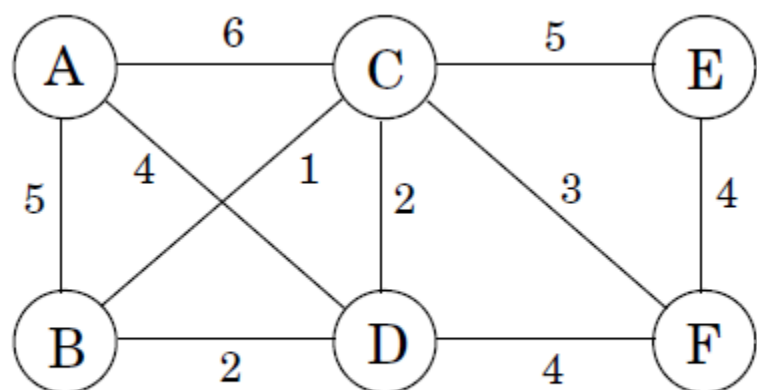
    for each  $\{v, z\} \in E$ :

        if  $\text{cost}(z) > w(v, z)$ :

$\text{cost}(z) = w(v, z)$

$\text{prev}(z) = v$

$\text{decreasekey}(H, z)$



Set $S$	$A$	$B$	$C$	$D$	$E$	$F$
$\{\}$	0/nil	$\infty$ /nil	$\infty$ /nil	$\infty$ /nil	$\infty$ /nil	$\infty$ /nil
$A$		5/ $A$	6/ $A$	4/ $A$	$\infty$ /nil	$\infty$ /nil
$A, D$		2/ $D$	2/ $D$		$\infty$ /nil	4/ $D$
$A, D, B$			1/ $B$		$\infty$ /nil	4/ $D$
$A, D, B, C$					5/ $C$	3/ $C$
$A, D, B, C, F$					4/ $F$	