# Anas Barakat

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#### RESEARCH FOCUS

**Keywords:** Multi-Agent Learning, Reinforcement Learning, Optimization.

I focus on the design and analysis of learning algorithms for sequential decision-making in strategic, dynamic, and uncertain environments. Motivated by challenges in machine learning and multi-agent systems, my work combines tools from game theory, reinforcement learning, stochastic optimization, and dynamical systems to understand and shape how autonomous agents learn to act, adapt, and collaborate in strategic and dynamic multi-agent environments.

## ACADEMIC APPOINTMENTS

# Singapore University of Technology and Design

Research Fellow Fall 2024 -

Hosts: Prof. Georgios Piliouras and Prof. Antonios Varvitsiotis

## ETH Zurich, Department of Computer Science

Foundations of Data Science Postdoctoral Fellow Feb. 2022 - Aug. 2024

Host: Prof. Niao He

## **EDUCATION**

# Institut Polytechnique de Paris, Télécom Paris, Paris, France

Ph.D. in Applied Mathematics and Computer Science Fall 2018 - Fall 2021

Advisors: Prof. Pascal Bianchi and Prof. Walid Hachem.

Thesis: Contributions to non-convex stochastic optimization and reinforcement learning

Committee: Profs. Vivek S. Borkar, Sébastien Gadat, Robert M. Gower, Niao He, and Edouard Pauwels

## Université Paris Saclay, Paris, France

M.Sc. in Data Science (with highest honors) Fall 2017 - Summer 2018

**Télécom Paris**, Paris, France

M.Sc. in Applied Mathematics and Computer Science Fall 2015 - Summer 2018

Lycée Stanislas, Paris, France

Classes préparatoires Fall 2013 - Summer 2015

Post-secondary studies in Mathematics and Physics leading to the nationwide highly competitive exam for admission to a graduate-level engineering school ("Grande Ecole")

#### RESEARCH PUBLICATIONS & PREPRINTS

<u>Names of co-author students</u> who were under my supervision at the time of writing the paper are underlined.

## **Under Review**

- (C1) Anas Barakat, Wayne Lin, John Lazarsfeld, Antonios Varvitsiotis. Optimistic Online Learning in Symmetric Cone Games. Under review, 2025.
- (C2) Olivier Lepel, Anas Barakat. A Prospect-Theoretic Policy Gradient Algorithm for Behavioral Alignment in Reinforcement Learning. Under review, 2025.
- (C3) Anas Barakat, Souradip Chakraborty, Peihong Yu, Pratap Tokekar, Amrit Singh Bedi. Towards Scalable General Utility Reinforcement Learning: Occupancy Approximation, Sample Complexity and Global Optimality. Under review, 2025.

(C4) <u>Jiduan Wu</u>, **Anas Barakat**, Ilyas Fatkhullin, Niao He. *Learning Zero-Sum Linear Quadratic Games with Improved Sample Complexity and Last Iterate Convergence*. Revision submitted to SIAM Journal on Control and Optimization, 2024.

# **Journal Publications**

- (J1) Anas Barakat, Pascal Bianchi, Walid Hachem, Sholom Schechtman. Stochastic optimization with momentum: convergence, fluctuations, and traps avoidance. Electronic Journal of Statistics 15 (2), 3892-3947, 2021.
- (J2) Anas Barakat, Pascal Bianchi. Convergence and Dynamical Behavior of the Adam Algorithm for Non-Convex Stochastic Optimization. SIAM Journal on Optimization 31 (1), 244-274, 2021.

## Conference Publications

- (C1) <u>Kimon Protopapas</u>, **Anas Barakat**. *Policy Mirror Descent with Lookahead*. Advances in Neural Information Processing Systems (NeurIPS 2024).
- (C2) Pragnya Alatur, **Anas Barakat**\*, Niao He. Independent Policy Mirror Descent for Markov Potential Games: Scaling to Large Number of Players. IEEE Conference on Decision and Control (CDC 2024). \*Corresponding author.
- (C3) Philip Jordan, Anas Barakat, Niao He. Independent Learning in Constrained Markov Potential Games. International Conference on Artificial Intelligence and Statistics (AISTATS 2024).
- (C4) <u>Jiduan Wu</u>, **Anas Barakat**, Ilyas Fatkhullin, Niao He. *Learning Zero-Sum Linear Quadratic Games with Improved Sample Complexity*. IEEE Conference on Decision and Control (CDC 2023).
- (C5) Anas Barakat, Ilyas Fatkhullin, Niao He. Reinforcement Learning with General Utilities: Simpler Variance Reduction and Large State-Action Space. International Conference on Machine Learning (ICML 2023).
- (C6) Ilyas Fatkhullin, **Anas Barakat**, Anastasia Kireeva, Niao He. Stochastic Policy Gradient Methods: Improved Sample Complexity for Fisher-non-degenerate Policies. International Conference on Machine Learning (ICML 2023).
- (C7) Anas Barakat, Pascal Bianchi, Julien Lehmann. Analysis of a Target-Based Actor-Critic Algorithm with Linear Function Approximation. International Conference on Artificial Intelligence and Statistics (AISTATS 2022).
- (C8) Anas Barakat, Pascal Bianchi. Convergence Rates of a Momentum Algorithm with Bounded Adaptive Step Size for Nonconvex Optimization. Asian Conference on Machine Learning (ACML 2020).

#### AWARDS AND SCHOLARSHIPS

• ETH Zurich Foundations of Data Science Postdoctoral Fellowship 2022-2024

• DAAD Postdoctoral Networking Tour in AI (Postdoc-NeT-AI) fellow Nov. 2023

• AISTATS Top 10 % reviewer 2022

• Dodu Prize, French Society of Applied and Industrial Mathematics (SMAI) Sep. 9th 2021 Best communication of a young researcher at the Optimization and Decision annual days Jury: M. Akian, P. Bich, J. Bolte, J-B. Caillau, S. Gaubert, V. Leclere, P. Mertikopoulos, F. Santambrogio (president of the jury), H. Zidani

• Mines-Télécom Institute (IMT) Ph.D scholarship 2018-2021

• Moroccan Government Merit Scholarship 2015-2018

• Agency for French Education Abroad (AEFE) "Excellence-Major" scholarship 2013-2015

## RESEARCH TALKS

• Online invited talk, Finance and RL Talks, FinRL core team & Melwy open AI lab Host: Mostapha Benhenda.	21 April, 2025
• Online talk, Singapore University of Technology and Design research group Host: Georgios Piliouras.	3 May, 2024
• Invited talk, Fourth Symposium on Machine Learning and Dynamical Systems Fields Institute, Toronto, Canada.	11 July 2024
• Invited talk, ICCOPT 2022, Lehigh University, Bethlehem, USA	28 Jul 2022
• Invited talk (online), 14th CMStatistics International Conference Session: "Dynamical systems in machine learning" organized by Anna Korba King's College, London, United Kingdom	20 Dec 2021
• Invited Seminar, <i>Image, Optimization and Probabilities</i> research group Bordeaux Institute of Mathematics (IMB), Bordeaux, France	15 Oct 2020
• 2nd Symposium on Machine Learning and Dynamical Systems Fields Institute for Research in Mathematical Sciences, online	21 Sep 2020
• Mathematical Optimization and Decision (MODE) group days French Society of Applied and Industrial Mathematics (SMAI), online	7 Sep 2020
• Mathematics of Optimization and Applications (MOA) annual days National Institute of Applied Sciences (INSA), Rennes, France	17 Oct 2019
• 11th OPT Workshop on Optimization for Machine Learning Exchange Hotel Vancouver, Vancouver, Canada	14 Dec 2019
• Machine Learning in the Real World workshop Criteo, Paris, France	2 Oct 2019
• Junior Conference on Data Science and Engineering Centrale Supéléc, Gif-sur-Yvette, France	12 Sep 2019
• Francophone colloquium of Signal and Image Processing (GRETSI) Lille University, Lille, France	29 Aug 2019

#### ACADEMIC SERVICE

- Conference reviewing: NeurIPS, ICML, ICLR, COLT, AISTATS, L4DC, IEEE CDC.
- Journal reviewing: Mathematical Programming, SIAM Journal on Optimization (SIOPT), Journal of Machine Learning Research (JMLR), Journal of Optimization Theory and Applications (JOTA), IEEE Transactions on Automatic Control (TACON), Stochastic Systems, Systems & Control Letters, Mathematics of Control, Signals, and Systems (MCSS), Transactions on Machine Learning Research (TMLR), IEEE Control Systems Letters (IEEE L-CSS).
- Organization of workshops, summer schools and conferences:
  - Multi-Agent RL EPFL-ETHZ Summer School 2024 co-organizer (20 000 CHF funding, accepted proposal), supported by Prof. Niao He and Prof. Volkan Cevher.
  - ICCOPT 2022, session organizer and chair: Policy Gradient and Actor-Critic Methods: Theoretical Analysis and New Opportunities, in the Optimization for Data Science and Machine Learning cluster.

 Workshop organizer: RL workshop for industrial partners (Airbus Defence & Space, Engie, Idemia, Safran and Valeo) of the Data Science & Artificial Intelligence for Digitalized Industry & Services research and teaching chair.

## **TEACHING**

## • ETH Zurich, Zurich, Switzerland

- Teaching Assistant for 'Optimization for Data Science' and 'Foundations of RL' Spring 2024
- Instructor for a lecture about 'Value-based methods'

Spring 2024

- Head Teaching Assistant for 'Foundations of Reinforcement Learning' (Prof. He) Spring 2023
- Instructor for 2 lectures for 'Optimization for Data Science' (Prof. He)

Spring 2023

- Coordinator for the seminar course 'Advanced Topics in Machine Learning' Fall 2022, 2023
- Télécom Paris, Paris, France
  - Teaching Assistant for 'Optimization for Machine Learning'

Fall 2018, 2019, 2020

- Instructor for discrete Markov chains in 'Probabilities'

Fall 2018, 2019

- Teaching Assistant for 'Probabilities', 'Statistics', 'Machine Learning'

Fall 2018, 2019

- Ecole Polytechnique, Paris, France
  - Tutor for undergraduate students in Computer Science (about 20 hours) Spring 2017 Design and Analysis of Algorithms; Advanced Programming

## STUDENT MENTORING

- Olivier Lepel, Master thesis (03/2024-09/2024): Beyond Expected Returns: A Policy Gradient Algorithm for Cumulative Prospect Theoretic Reinforcement Learning.
- Kimon Protopapas, Master student semester project (09/2023-01/2024): Policy Mirror Descent with Lookahead. NeurIPS 2024.
- Philip Jordan, Master thesis (05/2023 12/2023): Independent Learning in Constrained Markov Potential Games. AISTATS 2024.
- Jiduan Wu, Master thesis (10/2022 03/2023): Learning Zero-Sum Linear Quadratic Games with Improved Sample Complexity and Last-Iterate Convergence. IEEE Conference on Decision and Control 2023.
- Harish Rajagopal, Master thesis (03/2022 09/2022): Multistage Step Size Scheduling for Minimax Problems.
- Julien Lehmann, Master thesis (05/2021 10/2021): Analysis of a Target-Based Actor-Critic Algorithm with Linear Function Approximation. AISTATS 2022.