

Solutions to Problem 1 of Homework 10 (5 Points)

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Due: 5PM on Monday, April 18

Collaborators:

1. [5 pts.] Suppose that a classifier computes a numeric score to an item based on the classifier's "confidence" that the item is a member of the target category. In using the classifier, you set a threshold, accept the items whose score is higher than the threshold, and reject items whose score is lower. For instance, suppose you have the following training set and outputs for a binary classifier:

Name	a	b	c	d	e	f	g	h	i	j
Label	T	T	F	T	F	T	T	F	F	F
Score	.95	.92	.85	.84	.81	.75	.71	.69	.62	.56

Name	k	l	m	n	o	p	q	r	s	t
Label	F	F	T	F	T	F	F	F	T	F
Score	.51	.48	.43	.42	.32	.25	.21	.15	.08	.01

If you then set the threshold at 0.50, the classifier will accept items a-k and reject items l-t. However only the 'T' items should have been accepted.

Compute precision, recall, and F-score for the following thresholds: 0.9, 0.8, 0.7, 0.4, 0.2.

Solution:

For each threshold:

- **threshold = 0.9:**

True Positives (TP) = 2

False Positives (FP) = 0

True Negatives (TN) = 12

False Negatives (FN) = 6

Therefore,

$$\begin{aligned} \text{Precision} &= \frac{TP}{TP + FP} \\ &= \frac{2}{2 + 0} \end{aligned}$$

\therefore Precision = 1.0

$$\begin{aligned}
 \text{Recall} &= \frac{TP}{TP + FN} \\
 &= \frac{2}{2 + 6} \\
 \therefore \text{Recall} &= \frac{1}{4} = \mathbf{0.25}
 \end{aligned}$$

Thus,

$$\begin{aligned}
 \text{F-Score} &= \frac{2 \cdot \text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}} \\
 &= \frac{2 \cdot 1.0 \cdot (1/4)}{1.0 + (1/4)} \\
 &= \frac{1/2}{5/4} \\
 \therefore \text{F-Score} &= \frac{2}{5} = \mathbf{0.4}
 \end{aligned}$$

- threshold = 0.8:

$$\text{True Positives (TP)} = 3$$

$$\text{False Positives (FP)} = 2$$

$$\text{True Negatives (TN)} = 10$$

$$\text{False Negatives (FN)} = 5$$

Therefore,

$$\begin{aligned}
 \text{Precision} &= \frac{TP}{TP + FP} \\
 &= \frac{3}{3 + 2} \\
 \therefore \text{Precision} &= \frac{3}{5} = \mathbf{0.6} \\
 \text{Recall} &= \frac{TP}{TP + FN} \\
 &= \frac{3}{3 + 5} \\
 \therefore \text{Recall} &= \frac{3}{8} = \mathbf{0.375}
 \end{aligned}$$

Thus,

$$\begin{aligned}
 \text{F-Score} &= \frac{2 \cdot \text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}} \\
 &= \frac{2 \cdot (3/5) \cdot (3/8)}{3/5 + 3/8} \\
 &= \frac{9/20}{39/40} \\
 \therefore \text{F-Score} &= \frac{6}{13} \approx \mathbf{0.462}
 \end{aligned}$$

- **threshold = 0.7:**

True Positives (TP) = 5

False Positives (FP) = 2

True Negatives (TN) = 10

False Negatives (FN) = 3

Therefore,

$$\begin{aligned}\text{Precision} &= \frac{TP}{TP + FP} \\ &= \frac{5}{5 + 2} \\ \therefore \text{Precision} &= \frac{5}{7} \approx \mathbf{0.714} \\ \text{Recall} &= \frac{TP}{TP + FN} \\ &= \frac{5}{5 + 3} \\ \therefore \text{Recall} &= \frac{5}{8} = \mathbf{0.625}\end{aligned}$$

Thus,

$$\begin{aligned}\text{F-Score} &= \frac{2 \cdot \text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}} \\ &= \frac{2 \cdot (5/7) \cdot (5/8)}{5/7 + 5/8} \\ &= \frac{25/28}{75/56} \\ \therefore \text{F-Score} &= \frac{2}{3} \approx \mathbf{0.667}\end{aligned}$$

- **threshold = 0.4:**

True Positives (TP) = 6

False Positives (FP) = 8

True Negatives (TN) = 4

False Negatives (FN) = 2

Therefore,

$$\begin{aligned}\text{Precision} &= \frac{TP}{TP + FP} \\ &= \frac{6}{6 + 8} \\ \therefore \text{Precision} &= \frac{3}{7} \approx \mathbf{0.429}\end{aligned}$$

$$\begin{aligned}
\text{Recall} &= \frac{TP}{TP + FN} \\
&= \frac{6}{6 + 2} \\
\therefore \text{Recall} &= \frac{3}{4} = \mathbf{0.75}
\end{aligned}$$

Thus,

$$\begin{aligned}
\text{F-Score} &= \frac{2 \cdot \text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}} \\
&= \frac{2 \cdot (3/7) \cdot (3/4)}{3/7 + 3/4} \\
&= \frac{9/14}{33/28} \\
\therefore \text{F-Score} &= \frac{6}{11} \approx \mathbf{0.545}
\end{aligned}$$

- **threshold = 0.2:**

True Positives (TP) = 7

False Positives (FP) = 10

True Negatives (TN) = 2

False Negatives (FN) = 1

Therefore,

$$\begin{aligned}
\text{Precision} &= \frac{TP}{TP + FP} \\
&= \frac{7}{7 + 10} \\
\therefore \text{Precision} &= \frac{7}{17} \approx \mathbf{0.412} \\
\text{Recall} &= \frac{TP}{TP + FN} \\
&= \frac{7}{7 + 1} \\
\therefore \text{Recall} &= \frac{7}{8} = \mathbf{0.875} \\
\text{F-Score} &= \frac{2 \cdot \text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}} \\
&= \frac{2 \cdot (7/17) \cdot (7/8)}{7/17 + 7/8} \\
&= \frac{49/68}{175/136} \\
\therefore \text{F-Score} &= \frac{14}{25} = \mathbf{0.56}
\end{aligned}$$

□

Solutions to Problem 2 of Homework 10 (5 Points)

Name: Anav Prasad (ap7152)

Due: 5PM on Monday, April 18

Collaborators:

2. [5 pts.] Using the following training set with k-nearest neighbors

A1	A2	C
3	3	A
4	5	B
2	2	A
10	9	A
14	12	B
17	19	B
8	13	B

Indicate the predicted label for each element of the following test set, also provide precision and recall.

A1	A2	C
3	6	A
20	5	B
6	6	A
19	9	A
17	19	B

Use Euclidean distance squared, unit votes, and $K = 3$.

Solution:

For precision and recall measurement, let A represent the "positive" classification and B represent the "negative" classification.

Classification of the test set elements:

- | A1 | A2 | C |
|----|----|---|
| 3 | 6 | A |

Computing the euclidean distance squared from this test element to every other element:

A1	A2	Distance	Vote
3	3	9	A
4	5	2	B
2	2	17	A
10	9	58	A
14	12	157	B
17	19	365	B
8	13	74	B

Counting the votes of the K nearest neighbors:

Class	Vote
A	2
B	1

Thus, the kNN classification for this element is A.

- | A1 | A2 | C |
|----|----|---|
| 20 | 5 | B |

Computing the euclidean distance squared from this test element to every other element:

A1	A2	Distance	Vote
3	3	293	A
4	5	256	B
2	2	333	A
10	9	116	A
14	12	85	B
17	19	205	B
8	13	208	B

Counting the votes of the K nearest neighbors:

Class	Vote
A	1
B	2

Thus, the kNN classification for this element is B.

- | A1 | A2 | C |
|----|----|---|
| 6 | 6 | A |

Computing the euclidean distance squared from this test element to every other element:

A1	A2	Distance	Vote
3	3	18	A
4	5	5	B
2	2	32	A
10	9	25	A
14	12	100	B
17	19	290	B
8	13	53	B

Counting the votes of the K nearest neighbors:

Class	Vote
A	2
B	1

Thus, the kNN classification for this element is A.

- | A1 | A2 | C |
|----|----|---|
| 19 | 9 | A |

Computing the euclidean distance squared from this test element to every other element:

A1	A2	Distance	Vote
3	3	292	A
4	5	241	B
2	2	338	A
10	9	81	A
14	12	34	B
17	19	104	B
8	13	137	B

Counting the votes of the K nearest neighbors:

Class	Vote
A	1
B	2

Thus, the kNN classification for this element is B.

- | A1 | A2 | C |
|----|----|---|
| 17 | 19 | B |

Computing the euclidean distance squared from this test element to every other element:

A1	A2	Distance	Vote
3	3	452	A
4	5	365	B
2	2	514	A
10	9	149	A
14	12	58	B
17	19	0	B
8	13	117	B

Counting the votes of the K nearest neighbors:

Class	Vote
A	0
B	3

Thus, the kNN classification for this element is B.

Therefore, the compiled results of kNN on the test set are as follows:

A1	A2	Given Class	Predicted Class
3	6	A	A
20	5	B	B
6	6	A	A
19	9	A	B
17	19	B	B

Therefore,

$$\text{True Positives (TP)} = 2$$

$$\text{False Positives (FP)} = 0$$

$$\text{True Negatives (TN)} = 2$$

$$\text{False Negatives (FN)} = 1$$

Thus,

$$\begin{aligned} \text{Precision} &= \frac{TP}{TP + FP} \\ \therefore \text{Precision} &= \frac{2}{2 + 0} = 1.0 \\ \text{Recall} &= \frac{TP}{TP + FN} \\ &= \frac{2}{2 + 1} \\ \therefore \text{Recall} &= \frac{2}{3} \approx 0.667 \end{aligned}$$

□