

# Properties of Knots from Polynomials

Ana Wright

April 13, 2023

# What is a knot?

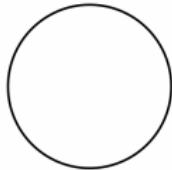
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A **knot** is a closed loop in three-dimensional space, considered the same up to continuous deformations where the loop may not break or pass through itself.

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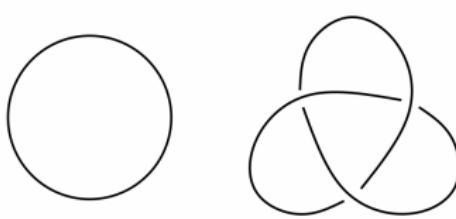
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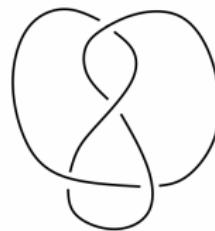
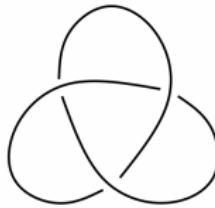
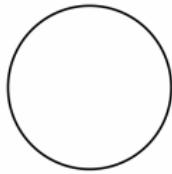
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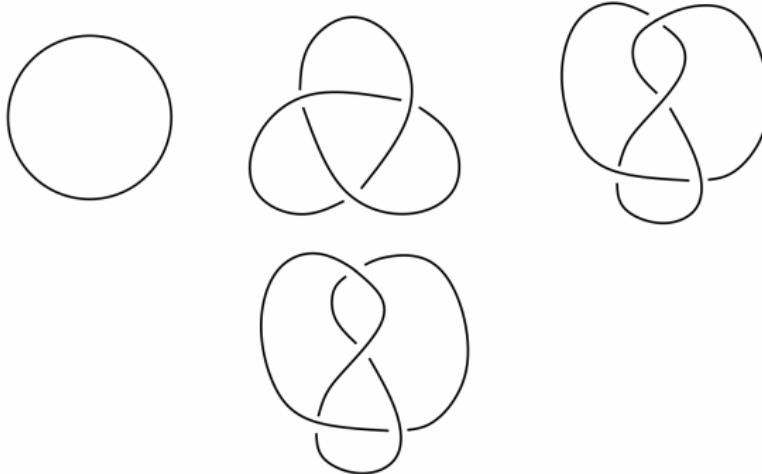
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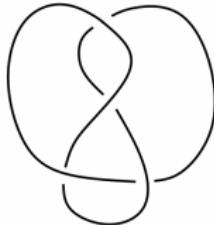
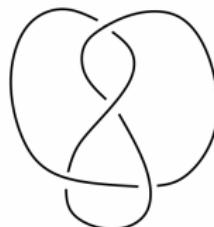
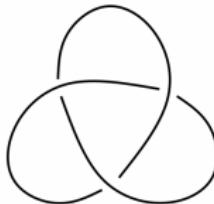
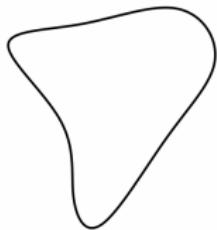
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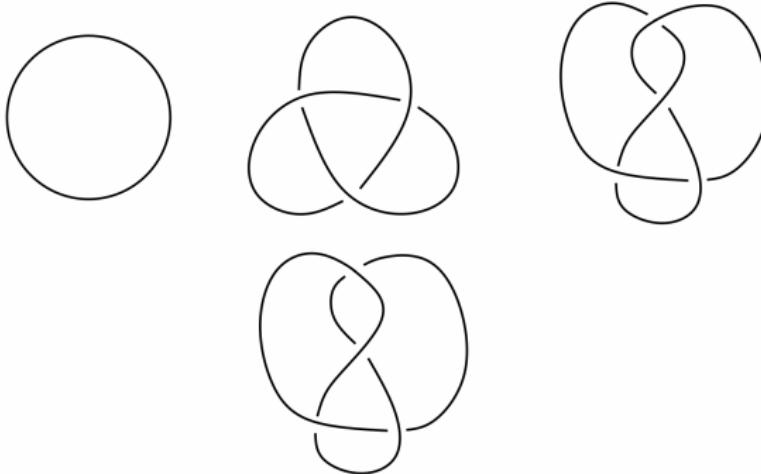
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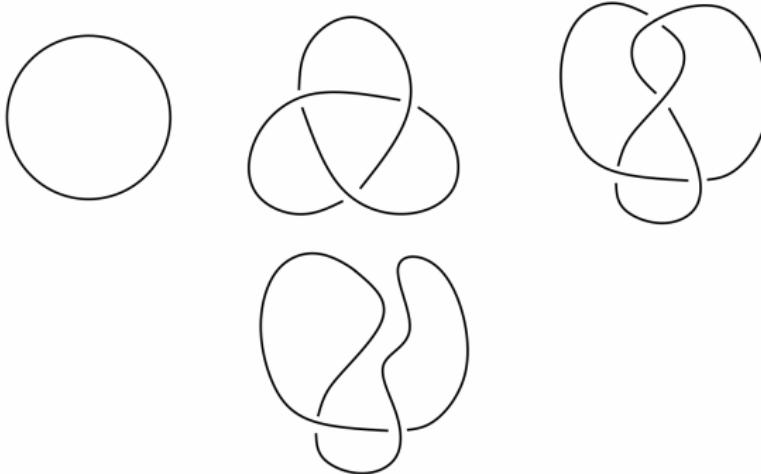
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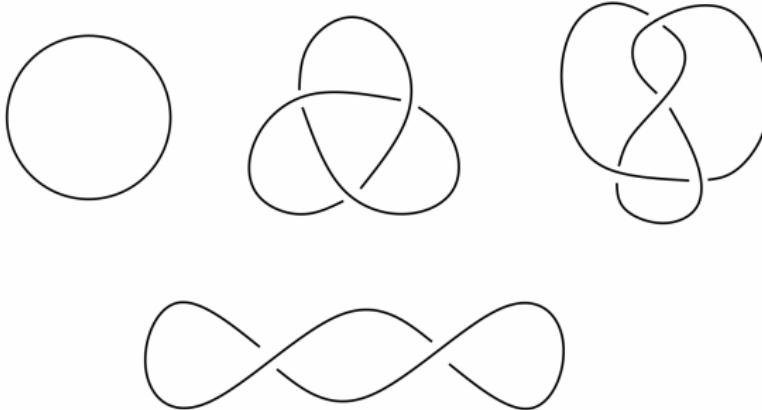
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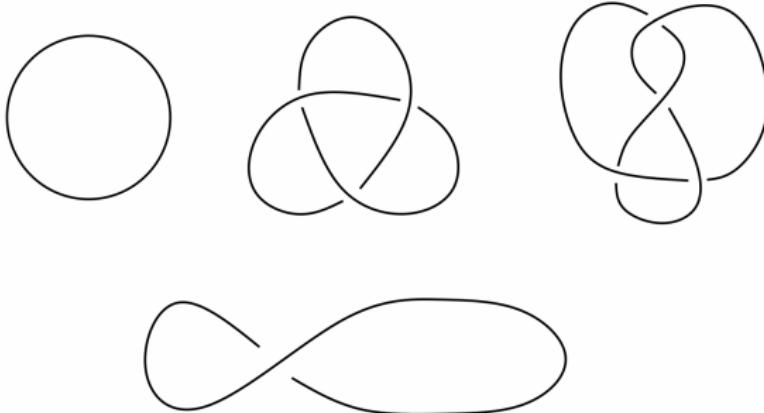
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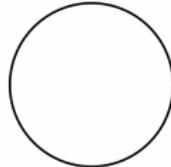
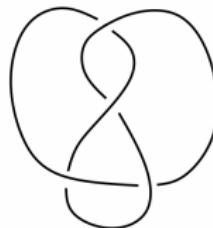
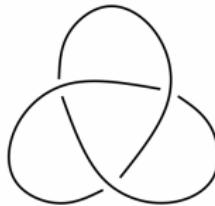
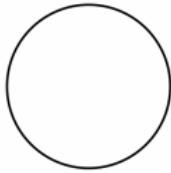
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# Reidemeister Moves (*R*-moves)

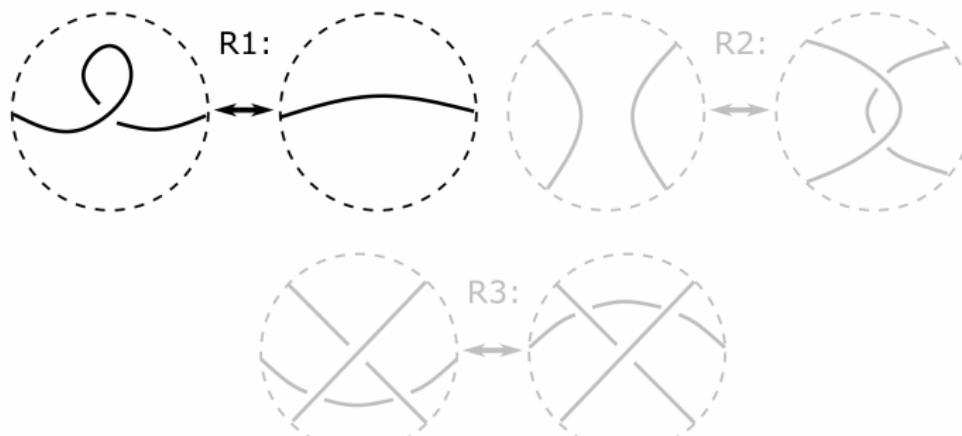
**Theorem (Reidemeister, 1927. Independently, Alexander and Briggs, 1926)**

*Two knot diagrams are of the same knot if and only if one diagram can be transformed into the other through a series of the following Reidemeister moves and planar isotopies.*

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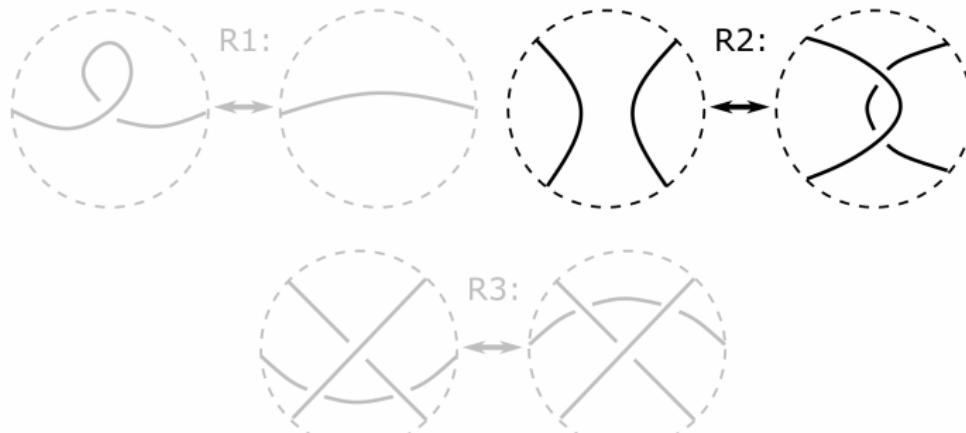
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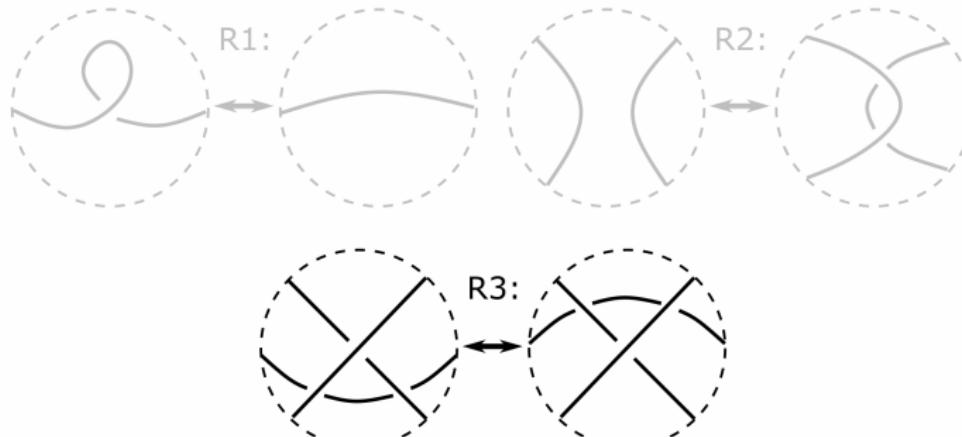
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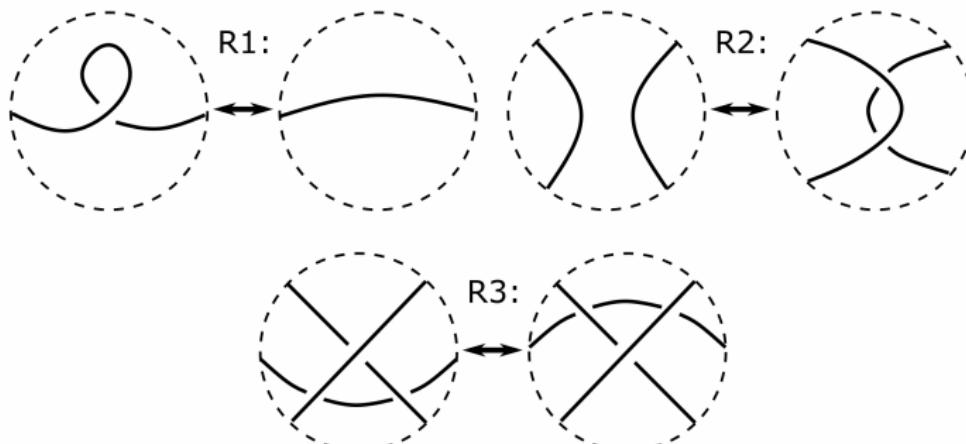
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# Knot Invariant

## Definition

A **knot invariant** is a function  $f$  from the set of all knots  $\mathcal{K}$  to a set  $S$  such that if two knots  $K$  and  $K'$  are equivalent, then  $f(K) = f(K')$ .

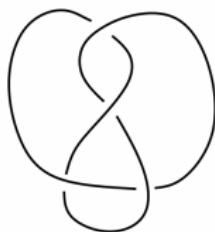
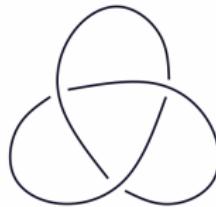
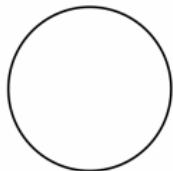
**Type I:** A property of knot diagrams which is invariant over continuous deformations.

**Type II:** A “measurement” which is minimized over all diagrams of a knot.

# Type I Invariant Example

## Definition

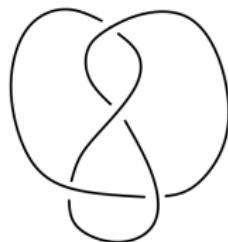
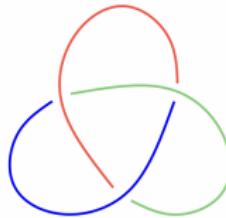
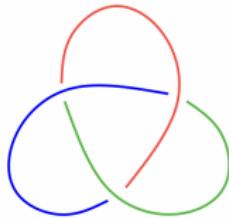
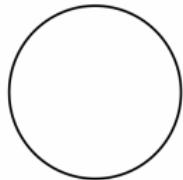
A knot diagram is **tricolorable** if the strands can be colored using exactly three colors such that every crossing uses either the same color for all three strands or all different colors.



# Type I Invariant Example

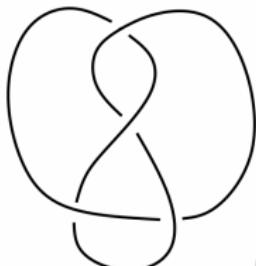
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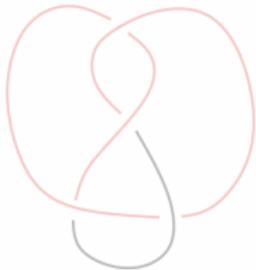


# Tricolorability

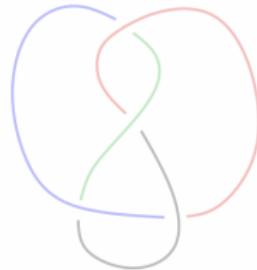
The figure-eight knot is not tricolorable.



Case 1:

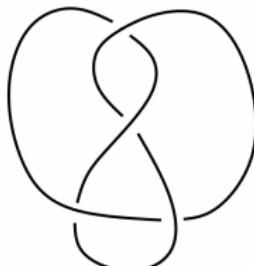


Case 2:

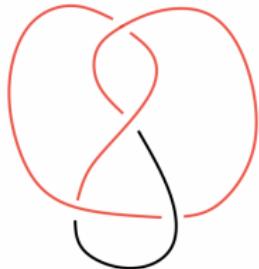


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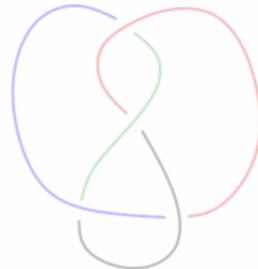
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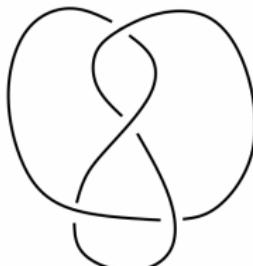


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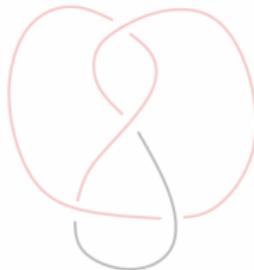


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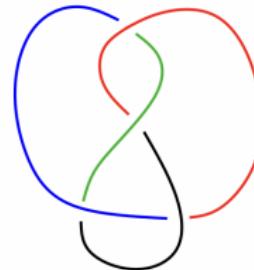
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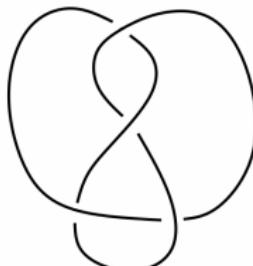


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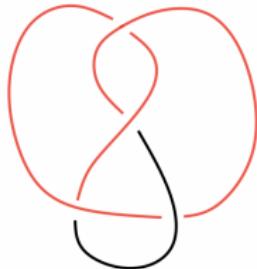


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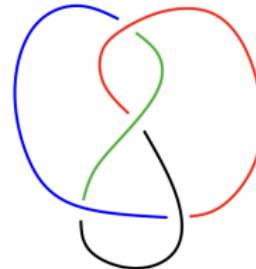
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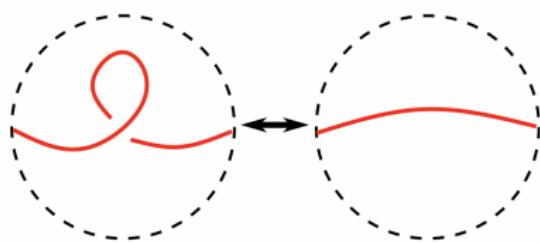


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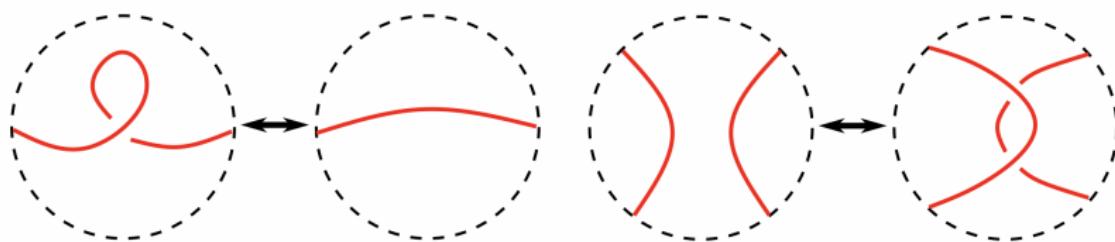
# Tricolorability: Knot Invariant

Tricolorability is preserved by R-moves.



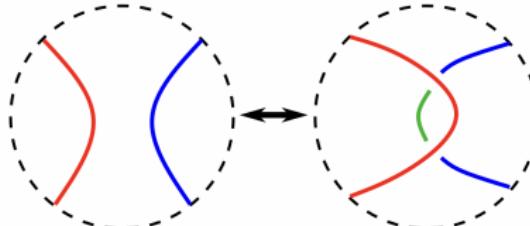
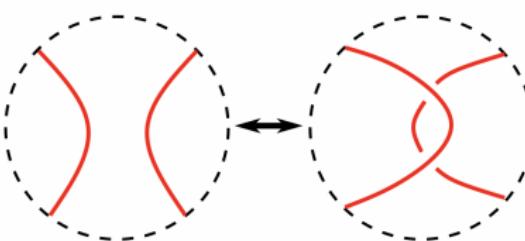
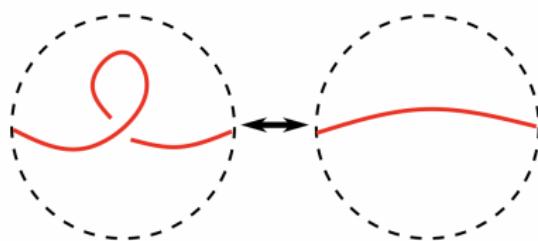
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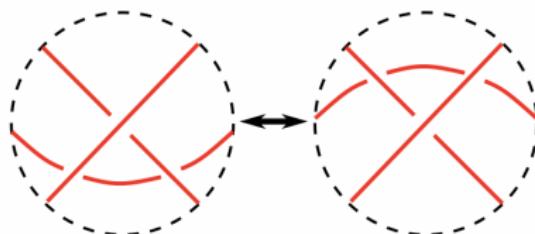


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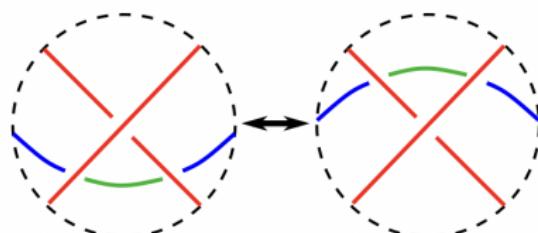
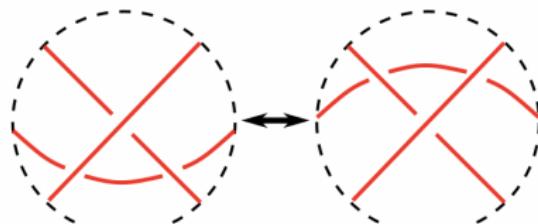
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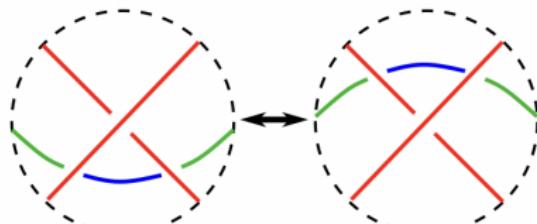
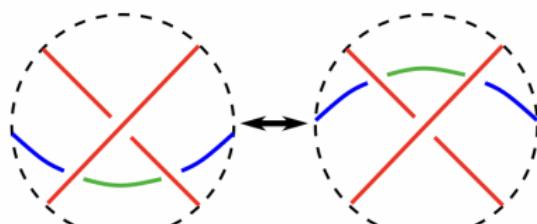
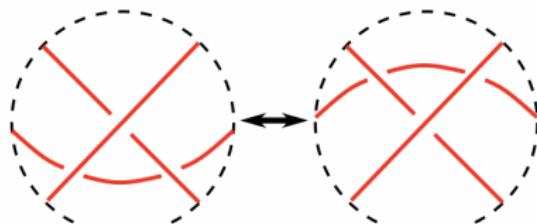
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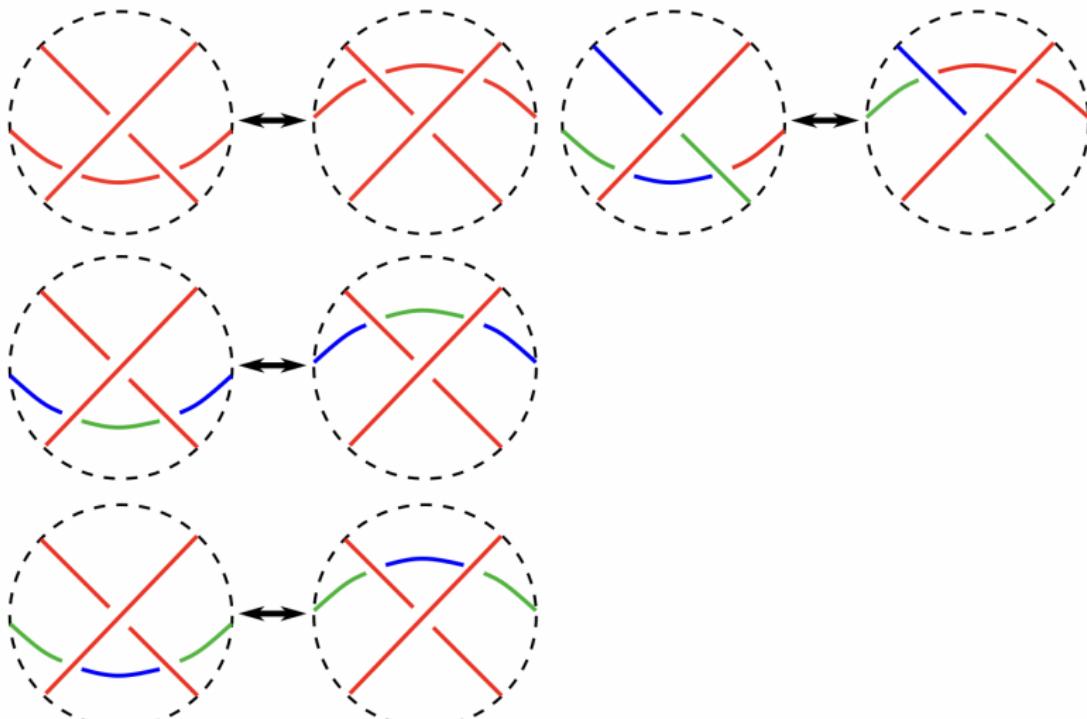
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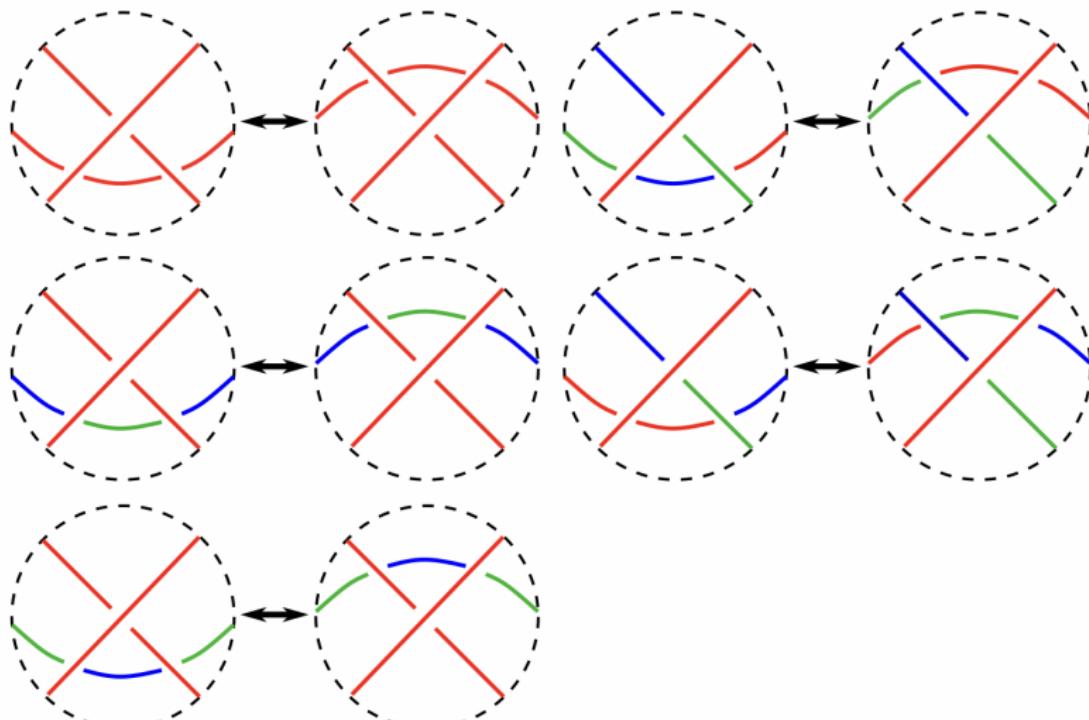
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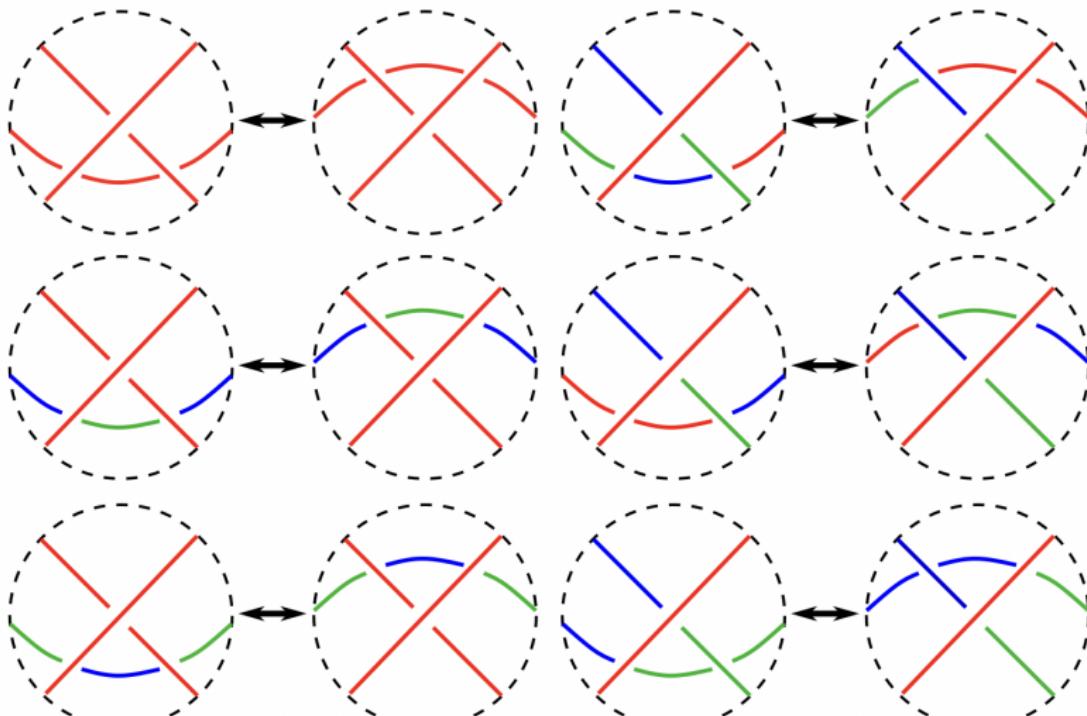
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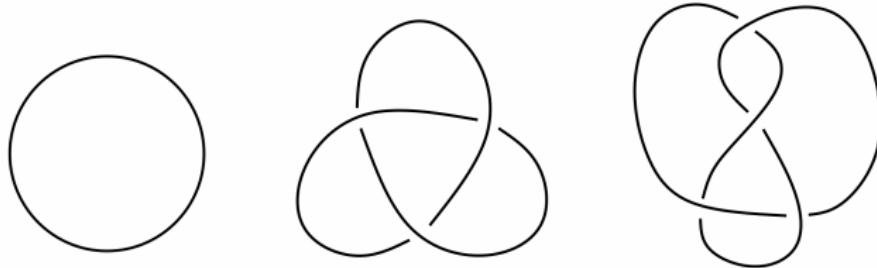
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# Type II Invariant Example

## Definition

The **crossing number** of a knot  $K$  is the minimum number of crossings in any diagram of  $K$ .



# Crossing Number

Every knot diagram with exactly one crossing is a diagram of the unknot.



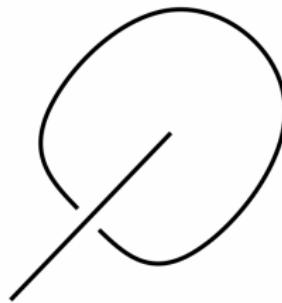
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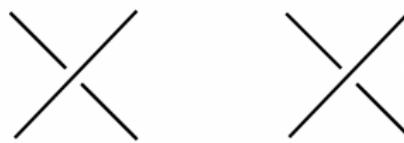
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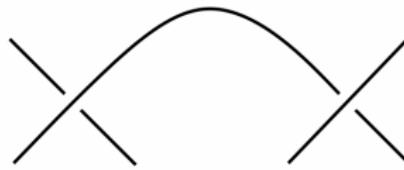
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Every knot diagram with exactly two crossings is a diagram of the unknot.



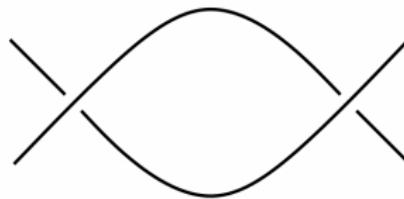
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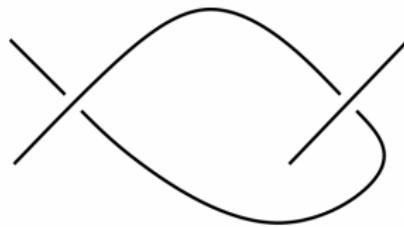
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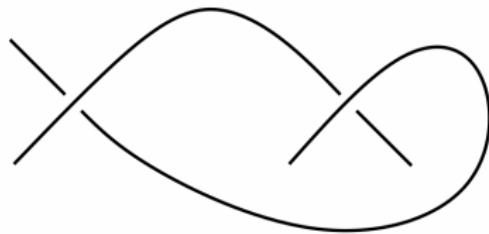
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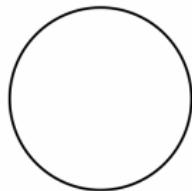
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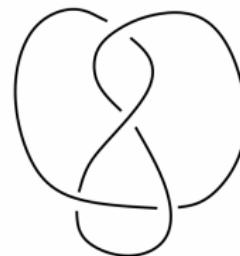
The **crossing number** of a knot  $K$  is the minimum number of crossings in any diagram of  $K$ .



unknot



3\_1

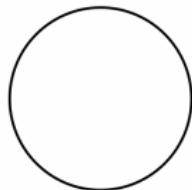


4\_1

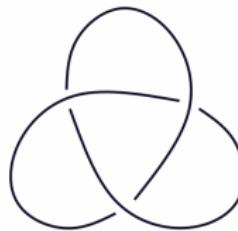
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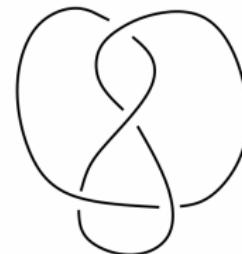
The **unknotting number** of a knot  $K$  is the minimum number of crossing changes required to transform  $K$  into the unknot.



unknot

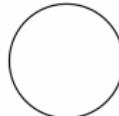


3\_1



4\_1

# Our Invariants So Far

			
Tricolorable?	No	Yes	No
Crossing Number	0	3	4
Unknotting Number	0	1	1

## Type I Invariant: Alexander Polynomial

Each knot  $K$  has an assigned Alexander polynomial  $\Delta_K(t)$ .

$K$	$\Delta_K(t)$
	1
	$t - 1 + t^{-1}$
	$t - 3 + t^{-1}$
	$t^2 - t + 1 - t^{-1} + t^{-2}$
	$2t - 3 + 2t^{-1}$

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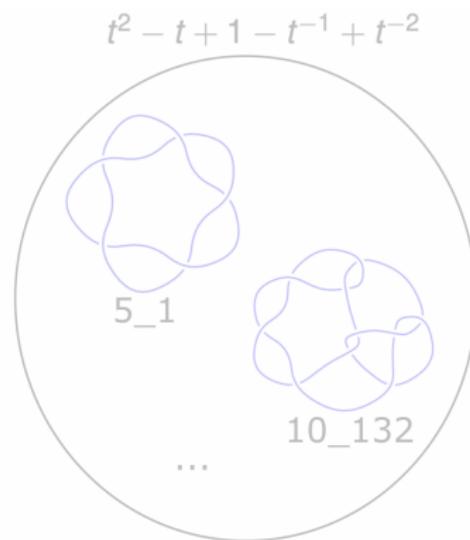
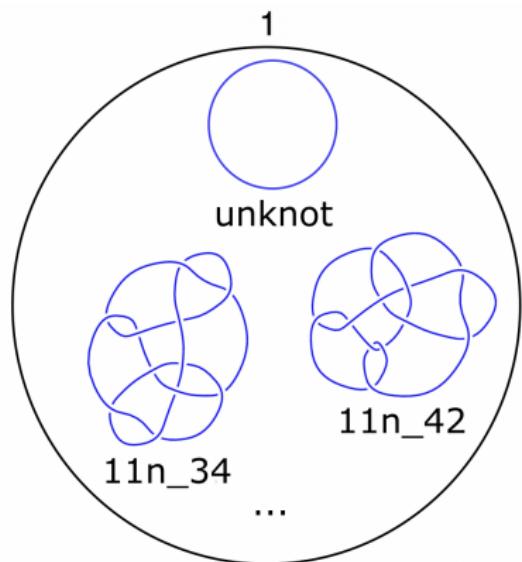
The set of Alexander polynomials are the Laurent polynomials (polynomials where powers of  $t$  can be negative) with integer coefficients where

- $\Delta_K(1) = \pm 1$  and
- $\Delta_K(t^{-1}) = \Delta_K(t)$

$K$	$\Delta_K(t)$
	1
	$t - 1 + t^{-1}$
	$t - 3 + t^{-1}$
	$t^2 - t + 1 - t^{-1} + t^{-2}$
	$2t - 3 + 2t^{-1}$

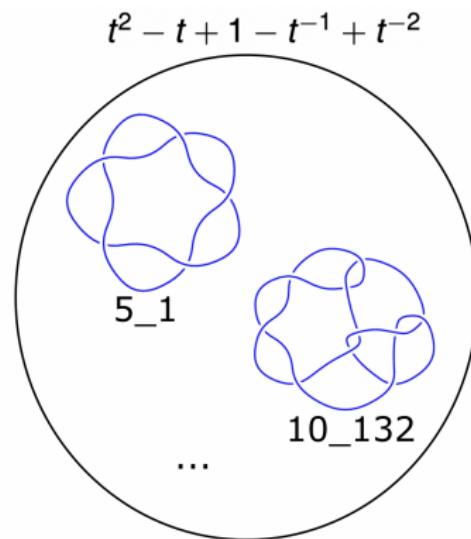
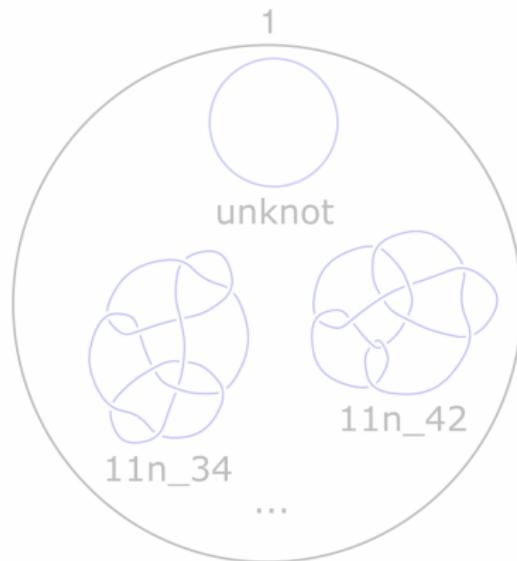
# Alexander Polynomial

There are infinitely many knots realizing each Alexander polynomial.



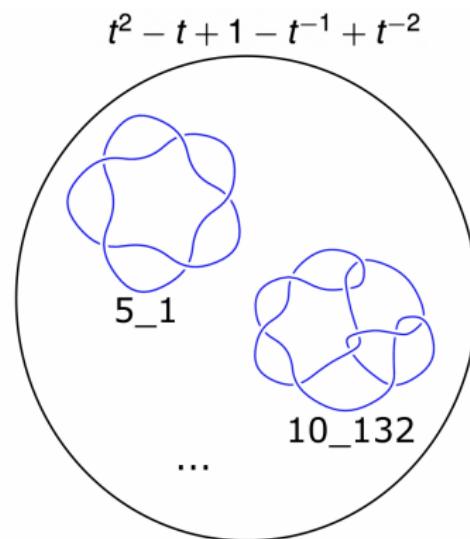
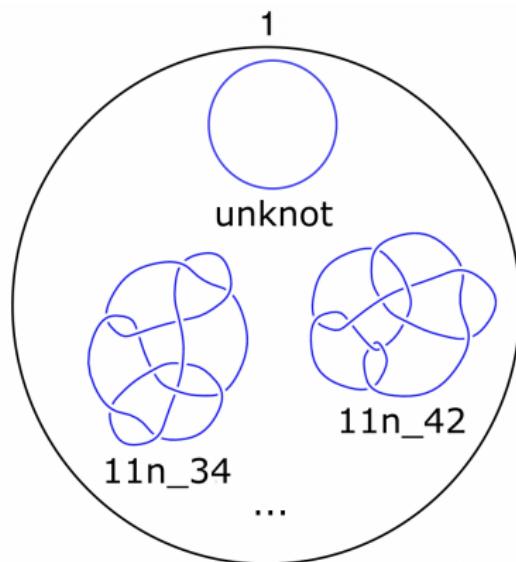
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# Alexander Polynomial and Crossing Changes

## Theorem (Kondo, 1978)

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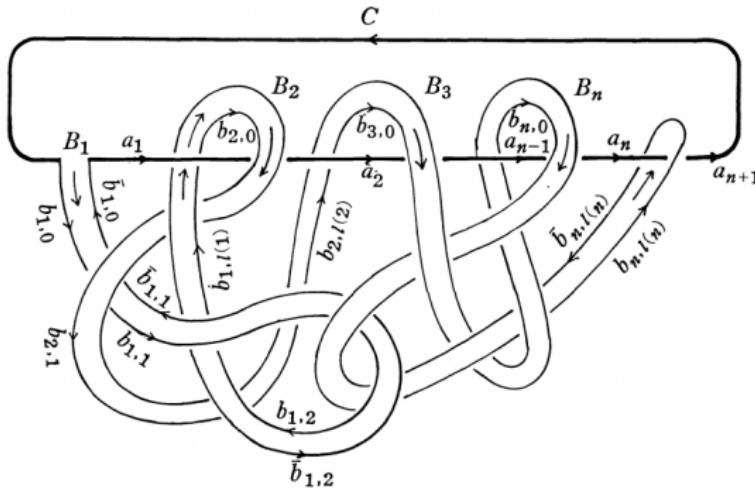


Fig. 4

# Alexander polynomials and crossing changes

## Definition

A **complete Alexander neighbor** is a knot  $K$  such that every possible Alexander polynomial is realized by a knot  $K'$  one crossing change away from  $K$ .

**Question:** Does there exist a complete Alexander neighbor with nontrivial Alexander polynomial?

**Answer:** I don't know yet! However, there are ways to narrow down the list of possible knots.

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# Complete Alexander Neighbor

First, if a knot  $K$  has algebraic unknotting number greater than one,  $K$  is not a complete Alexander neighbor.

## Theorem (A. W.)

*Let  $K$  be a knot with unknotting number 1, where  $|\Delta_K(-1)| \geq 3$  and where  $|\Delta_K(-1)|$  is composite or  $|\Delta_K(-1)| \equiv 1 \pmod{4}$ . Then  $K$  is not a complete Alexander neighbor.*

## Corollary (A. W.)

*Let  $K$  be a knot with a breadth 2 Alexander polynomial  $\Delta_K(t) = n(t + t^{-1}) + 1 - 2n$ . If  $K$  has unknotting number one or  $1 - 4n$  is not a square, then  $K$  is not a complete Alexander neighbor.*

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# Unknotting Number

## Theorem (Nakanishi & Okada, 2012)

Let  $K$  and  $K'$  be knots one crossing change apart. If  $K$  has unknotting number 1, then  $|\Delta_{K'}(-1)| \equiv \pm n^2 \pmod{|\Delta_K(-1)|}$  for some integer  $n$ .

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The five knots below have unknotting number greater than one.



11n\_162



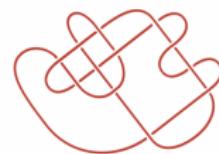
12n\_805



12n\_814



12n\_844



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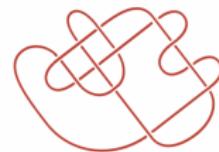
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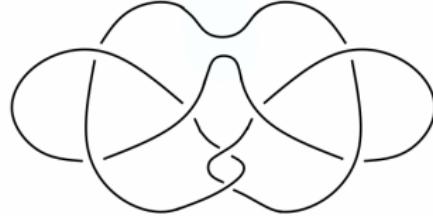
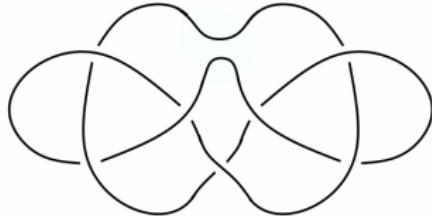
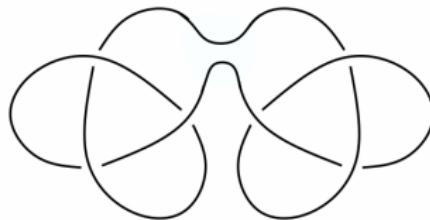
12n\_844



12n\_856

# Polymath Jr. Project

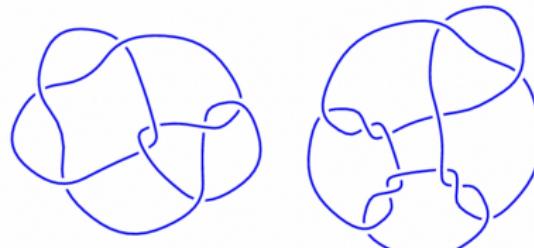
A **symmetric union presentation** of a knot  $K$  is a diagram of  $K$  built from a smaller knot (called a **partial knot** of  $K$ ) joined with its mirror image.



# Polymath Jr. Project

**Theorem (Ben Clingenpeel, Zongzheng (Jason) Dai, Gabriel Diraviam, Kareem Jaber, Ziyun Liu, Teo Miklethun, Haritha N, Michael Perry, Moses Samuelson-Lynn, Eli Seamans, Krishnendu Kar, Nicole Xie, Ruiqi Zou, A. W., Alex Zupan)**

*There exist knots  $K_1$  and  $K_2$  such that  $|\Delta_{K_1}(-1)| = |\Delta_{K_2}(-1)|$ , but they are not both partial knots of any knot  $K$ .*



8\_10

12n\_642