#### Universitat Politècnica de València

Máster en Inteligencia Artificial, Reconocimiento de Formas e Imagen Digital

### MACHINE TRANSLATION

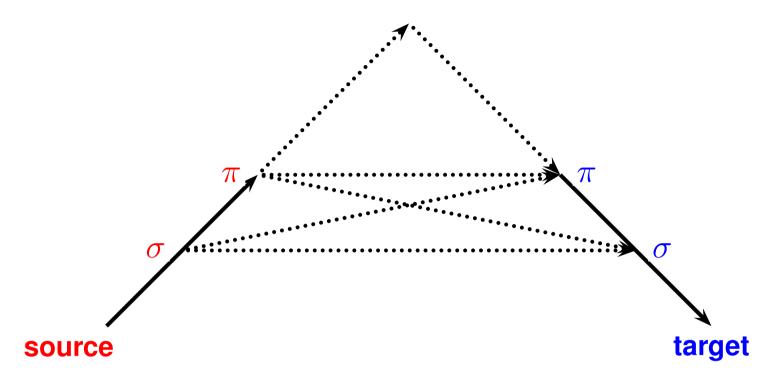
Syntax-based models

Introduction

Joan Andreu Sánchez

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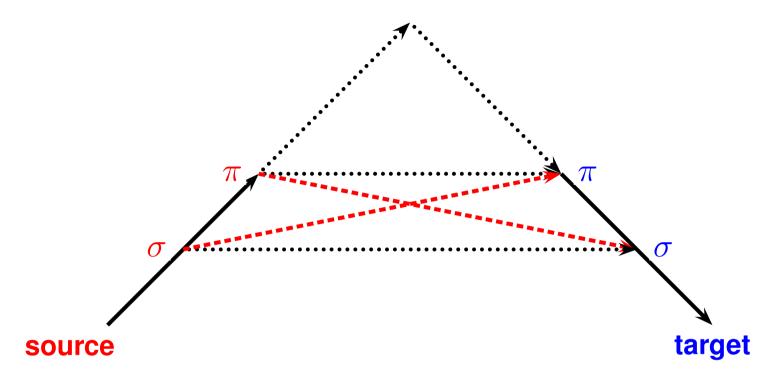
### Levels of representation in Machine Translation



- $\pi \to \sigma$ : tree-to-string
- $\sigma \rightarrow \sigma$ : string-to-string
- $\sigma \rightarrow \pi$ : string-to-tree

Which is the appropriate level of representation? Research field

### Levels of representation in Machine Translation

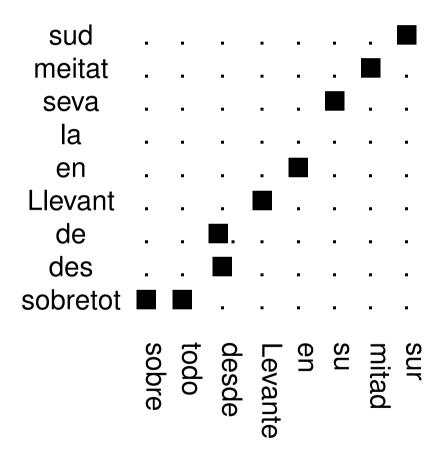


- $\pi \to \sigma$ : tree-to-string
- $\sigma \rightarrow \sigma$ : string-to-string
- $\sigma \rightarrow \pi$ : string-to-tree

This part will focus on approaches in boldface

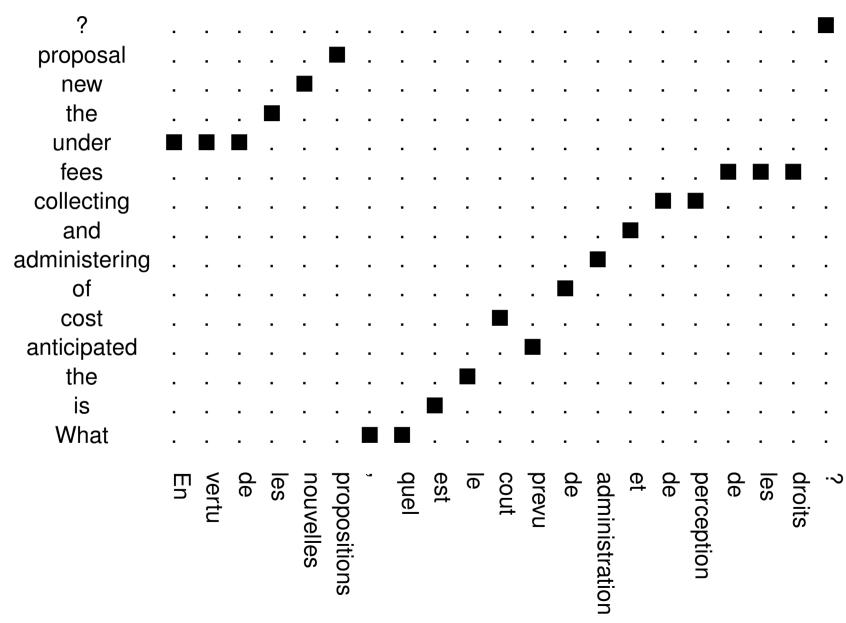
### **Exemple of word alignments: monotone translation**

#### METEO corpus

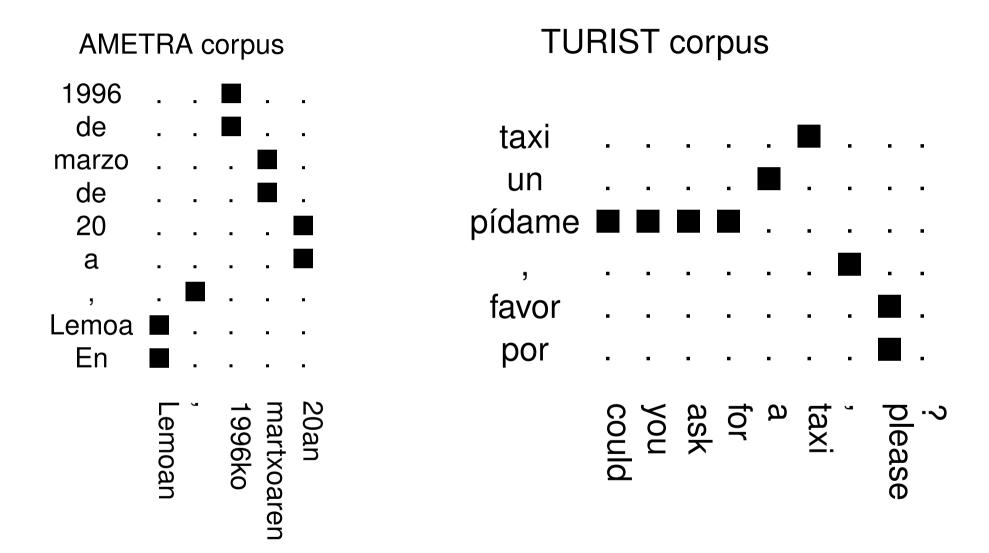


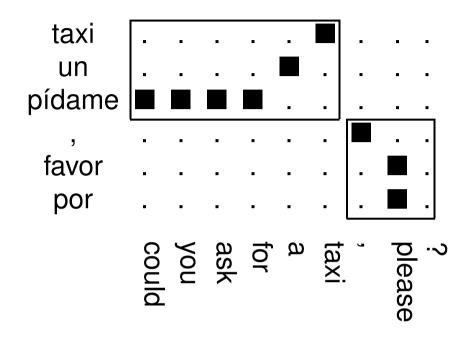
### **Exemple of word alignments: non-monotone translation parts**

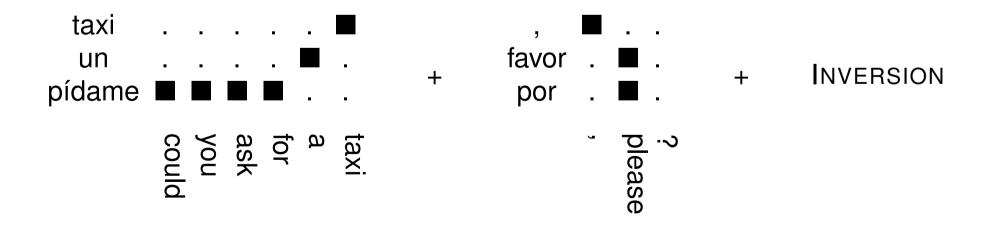
H. Ney, Statistical Natural Language Processing, 2003: Canadian Hansards

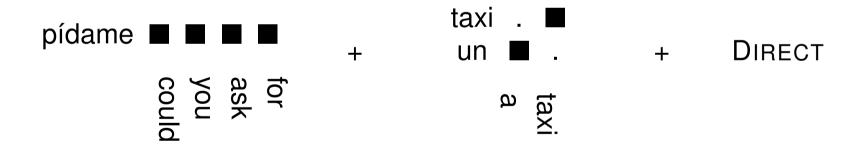


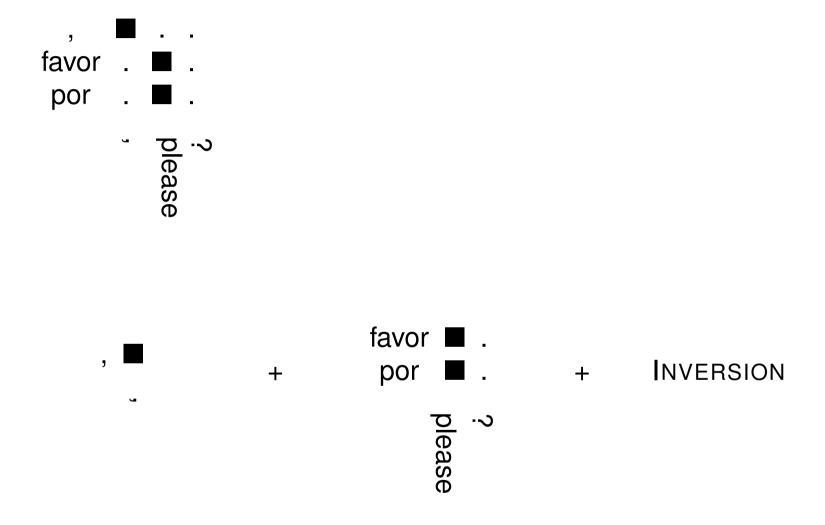
### **Exemple of word alignments: non-monotone translation parts**

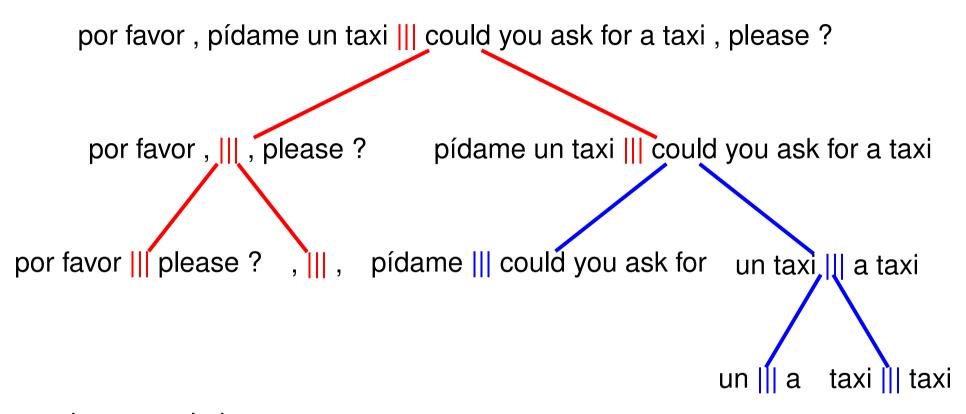












—- inverse relation

--- direct relation



# Example SCFG\*

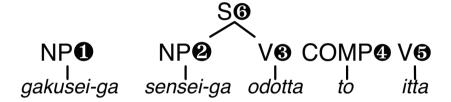
	Japanese	English
S →	NP1 VP2	NP1) VP2)
S'→	S1 COMP2	COMP② S①
$VP \rightarrow$	NP1) V2	<b>V</b> ② <b>NP</b> ①
$NP \rightarrow$	gakusei-ga	student
$NP \rightarrow$	sensei-ga	teacher
$\vee \rightarrow$	odotta	danced
$\vee \rightarrow$	itta	said
COMP →	to	that

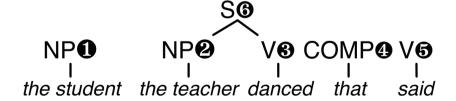
<sup>\*</sup> Source: http://www.mt-archive.info/MTMarathon-2009-Li-ppt.pdf



#### Example SCFG

	Japanese	English
S →	NP1 VP2	NP1 VP2
5'→	S1 COMP2	COMP② S①
$VP \rightarrow$	NP(1) V(2)	V2 NP1
$NP \rightarrow$	gakusei-ga	student
$NP \rightarrow$	sensei-ga	teacher
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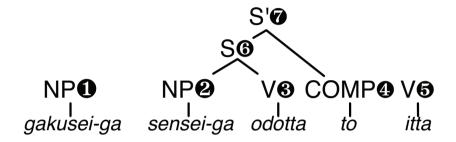


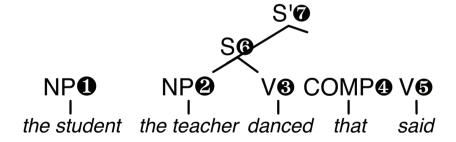




#### Example SCFG

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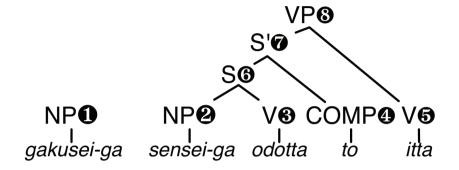


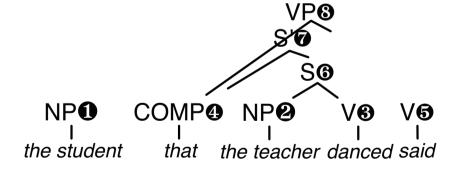




#### Example SCFG

	Japanese	English
S →	NP1 VP2	NP① VP②
S'→	S1 COMP2	COMP <sup>2</sup> S <sup>1</sup>
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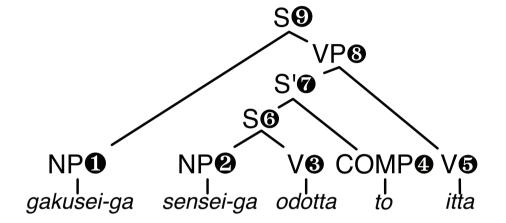


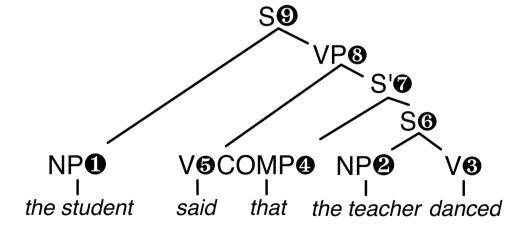




#### Example SCFG

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S →	NP1) VP2	NP1 VP2
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$NP \rightarrow$	sensei-ga	teacher
$\vee \rightarrow$	odotta	danced
$\vee \rightarrow$	itta	said
COMP →	to	that





#### Some relevant concepts:

- rooted ordered trees
- internal nodels labeled with syntactic categories
- leaf nodes labeled with words
- linear and hierchical relations between tree nodes
- internal nodes can represent direct order or reverse order at different levels

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#### MACHINE TRANSLATION

Stochastic inversion transduction grammars

Joan Andreu Sánchez

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#### Index

- SITG Definitions
- Problems with SITG for MT
- Inside algorithm with SITG
- Stochastic learning of SITG
- Practical use of SITG
- Experiments with SITG
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### Stochastic inversion transduction grammars [Wu 97]

A context-free based approach to bilingual segmentation

For a non-terminal symbol A,B and C and for any source word s and any target word t,

A	$\rightarrow$	BC/BC	A	$\rightarrow$	[BC]
A	$\rightarrow$	BC/CB	A	$\rightarrow$	$\langle BC$
A	$\rightarrow$	x/y	A	$\rightarrow$	x/y
A	$\rightarrow$	$x/\epsilon$	A	$\rightarrow$	$x/\epsilon$
A	$\rightarrow$	$\epsilon/y$	A	$\rightarrow$	$\epsilon/y$

- Syntactical rules: to model long-term relations
- Lexical rules: to model word-level translations

### An example

#### Generative process:

- 1. Write down the source and the target start symbols
- 2. Choose a synchronous rule whose left-hand side is the leftmost written down source non-terminal symbol
- 3. Simultaneously rewrite the source symbols and its corresponding target symbol with source and the target side of the rule, respectively
- 4. Repeat step 2 while there are written down source and target non-terminal symbols

### An example

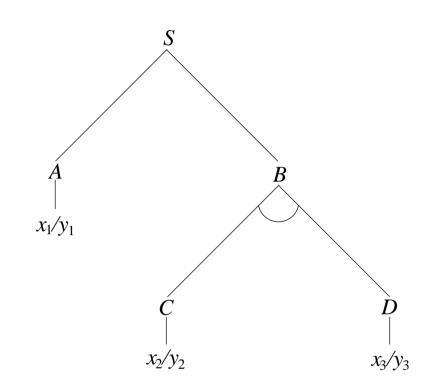
$$S \rightarrow [AB]$$

$$A \rightarrow x_1 / y_1$$

$$B \rightarrow \langle CD \rangle$$

$$C \rightarrow x_2 / y_2$$

$$D \rightarrow x_3 / y_3$$



$$(S,S) \Rightarrow (AB,AB) \Rightarrow (x_1B,y_1B) \Rightarrow (x_1CD,y_1DC) \Rightarrow (x_1x_2D,y_1Dy_2) \Rightarrow (x_1x_2x_3,y_1y_3y_2)$$

For getting the source and target strings:

- Direct Rule ⇒ Preorder
- Inverse Rule ⇒ Postorder

#### **Formal definition**

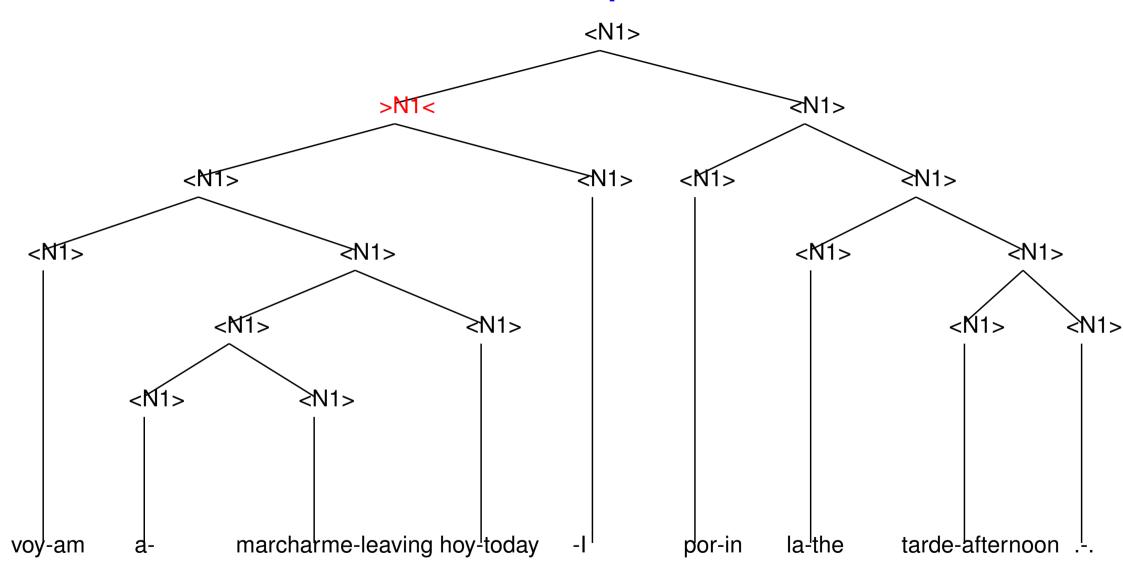
An ITG is denoted by  $G = (N, W_1, W_2, R, S)$  where:

- N is a finite set of nonterminals
- $W_1$  is a finite set of words of language 1
- $W_2$  is a finite set of words of language 2
- $S \in N$  is the start symbol
- R is a finite set of straight orientation rules  $A \to [a_1 a_2 \dots a_r]$  and inverted orientation rules  $A \to \langle a_1 a_2 \dots a_r \rangle$ ,  $a_i \in N \cup X$  and  $X = (W_1 \cup \{\epsilon\}) \times (W_2 \cup \{\epsilon\})$

**Theorem.** For any ITG G, there exists an equivalent ITG G' in which every production takes one of the following forms:

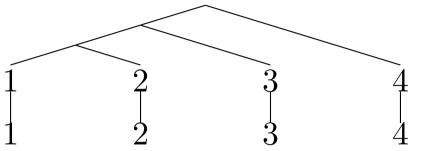
$$S \to \epsilon/\epsilon$$
  $A \to x/\epsilon$   $A \to [BC]$   $S \to x/y$   $A \to \epsilon/y$   $A \to \langle BC \rangle$ 

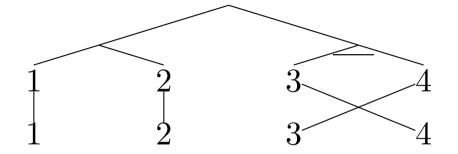
### An example



# **Expressiveness of ITGs**











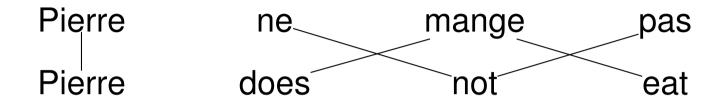
# NO (inside-out alignment)

r	ITG	all matchings	ratio
1	1	1	1.000
2	2	2	1.000
3	6	6	1.000
4	22	24	0.917
5	90	120	0.750

r	ITG	all matchings	ratio
6	394	720	0.547
7	1,806	5,040	0.358
8	8,558	40,320	0.212
9	41,586	362,880	0.115
10	206,098	3,628,800	0.057

### **Expressiveness of ITGs**

Bonbon alignment example from [Simard 05, Simard 11]:



0.25-1% of translation units are part of bonbons in practice. Bonbon alignment can not be represented by ITG

- Other translation units exist that are not representable by ITG: 38% according to [Søgaard 11]
- Local reordering models are not able to represent 61% of reorderings

### Stochastic inversion transduction grammars

A SITG is denoted by  $G_s = (G, p)$  where:

- G is an ITG
- p is a function that attaches a probability to each rule:

$$p: R \to ]0,1] \qquad \sum_{1 \le j \le n_i} p(A_i \to \alpha_j) = 1, \qquad \forall A_i \in N$$

#### Stochastic derivation

$$(S,S) = (\alpha_0, \beta_0) \stackrel{r_1}{\Rightarrow} (\alpha_1, \beta_1) \stackrel{r_2}{\Rightarrow} (\alpha_2, \beta_2) \cdots (\alpha_{m-1}, \beta_{m-1}) \stackrel{r_m}{\Rightarrow} (\alpha_m, \beta_m) = (x, y)$$

Probability of (x,y) being generated by  $G_s=(G,p)$  from the rule sequence  $d_x=(r_1,\ldots,r_m)$ :

$$P_{G_s}((x,y),d_x) = \prod_{j=1\cdots m} p(r_j)$$

#### Probability of a string pair

$$P_{G_s}(x,y) = \sum_{d_x \in D_x} P_{G_s}((x,y), d_x)$$

#### Probability of the best derivation

$$\widehat{P}_{G_s}(x,y) = \max_{d_x \in D_x} P_{G_s}((x,y), d_x)$$

#### Language generated by a SITG

$$L(G_s) = \{(x, y) \mid P_{G_s}(x, y) > 0\}$$

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### Stochastic inversion transduction grammars

- Parsing:
  - Inside algorithm
  - Viterbi algorithm
- Learning:
  - Structure learning: rule learning
  - Probabilistic estimation: Inside-outside estimation
     Viterbi-based estimation
- Translation:
  - Adapted Cooker-Younger-Kasami parser algorithm

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#### Stochastic parsing with a SITG

Let

$$\delta_{i,j,k,l}(A) = \widehat{P}(A \stackrel{*}{\Rightarrow} x_{i+1} \cdots x_j / y_{k+1} \cdots y_l)$$

Then

$$\delta_{0,|x|,0,|y|}(S) = \widehat{P}(x,y)$$

#### 1. Initialization

$$\delta_{i-1,i,k-1,k}(A) = p(A \to x_i/y_k) \qquad 1 \le i \le |x|, 1 \le k \le |y|$$

$$\delta_{i-1,i,k,k}(A) = p(A \to x_i/\epsilon) \qquad 1 \le i \le |x|, 0 \le k \le |y|$$

$$\delta_{i,i,k-1,k}(A) = p(A \to \epsilon/y_k) \qquad 0 \le i \le |x|, 1 \le k \le |y|$$

2. Recursion. For all  $A \in N$ , and i, j, k, l such that  $0 \le i < j \le |x|$ ,  $0 \le k < l \le |y|$  and j - i + l - k > 2:

$$\delta_{ijkl}(A) = \max(\delta_{ijkl}^{[]}(A), \delta_{ijkl}^{\langle \rangle}(A))$$

$$\delta_{ijkl}^{[]}(A) = \max_{B,C \in N} p(A \to [BC]) \delta_{iIkK}(B) \delta_{IjKl}(C)$$
$$i \le I \le j, k \le K \le l$$
$$(I-i)(j-I) + (K-k)(l-K) \ne 0$$

$$\delta_{ijkl}^{\langle\rangle}(A) = \max_{B,C \in N} p(A \to \langle BC \rangle) \delta_{iIKl}(B) \delta_{IjkK}(C)$$
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V1.0

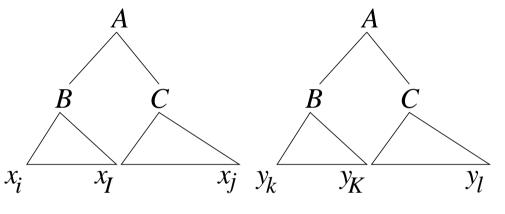
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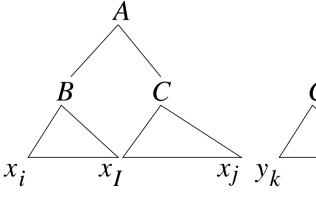
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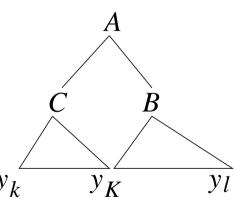


$$\delta_{ijkl}^{\langle\rangle}(A) = \max_{B,C \in N} p(A \to \langle BC \rangle) \delta_{iIKl}(B) \delta_{IjkK}(C)$$

$$i \le I \le j, k \le K \le l$$

$$(I-i)(j-I) + (K-k)(l-K) \ne 0$$





V1.0

#### **Additional considerations**

- Time complexity:  $O(|x|^3|y|^3|R|)$
- Space complexity:  $O(|x|^2|y|^2|N|)$
- Inside probability: replace maximization (max) by addition ( $\sum$ )

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### Stochastic learning of SITG

#### SITG are closely related to SCFG

- Open problem: initial rules of the SITG
- Merit functions to be used for stochastic learning of SITG
  - Likelihood function
    - \* Estimation similar to inside-outside algorithm
    - \* Viterbi-based estimation: useful when the amount of training data is large
  - Discriminative function: similar ideas to [Gopalakrishnan 91].

#### To be researched!

- \* Numerator based on exisiting parser
- Denominator based on a relaxed SITG
- \* Problems: difficult to formalize and to implement

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#### **Use of SITG**

- Translation-driven segmentation: useful for languages with hight ambiguity degree in segmentation tasks (Chinese).
- Bracketing: useful for constraining subsequent training processes

$$a: A \rightarrow [AA]$$

$$a: A \rightarrow \langle AA \rangle$$

$$b_{xy}: A \rightarrow x/y$$

$$b_{x\epsilon}: A \rightarrow x/\epsilon$$

$$b_{\epsilon y}: A \rightarrow \epsilon/y$$

- Alignment:
  - word segments
  - sentence splitting
- Bilingual constraint transfer

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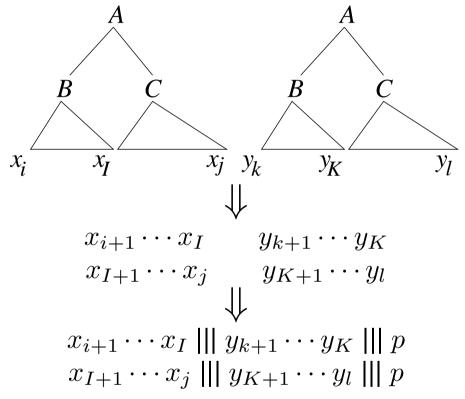
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# Use of SITG (I) [Sánchez 06]

**Problem:** Obtaining bilingual translations phrases for phrase-based translation

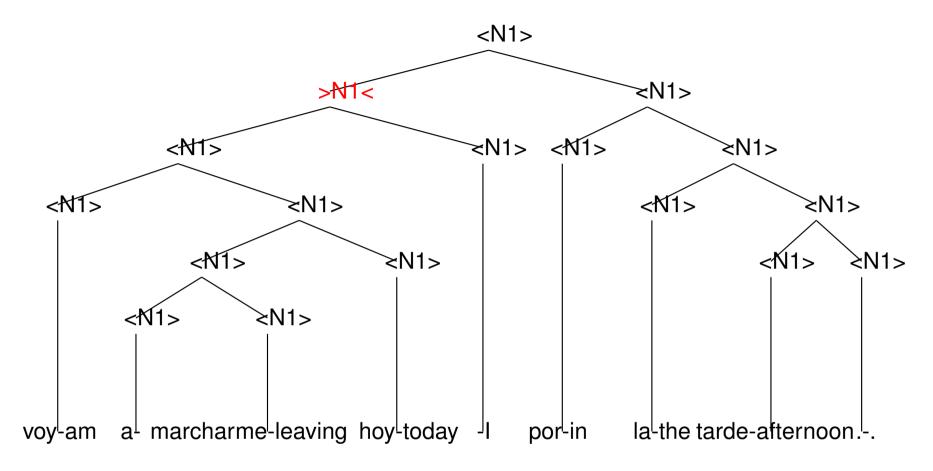
Solution: Learning bilingual word phrases by using Stochastic Inversion

**Transduction Grammars** 



Each span defines a bilingual phrase

## **Example: bilingual phrases from bilingual trees**



```
      voy ||| am ||| . . .
      por ||| in ||| . . .

      marcharme || leaving || . . .
      la ||| the ||| . . .

      a marcharme || leaving || . . .
      tarde ||| afternoon ||| . . .

      hoy ||| today ||| . . .
      la tarde ||| the afternoon ||| . . .

      a marcharme hoy ||| leaving today ||| . . .
      por la tarde ||| in the afternoon ||| . . .
```

## **Problems**

- Parsing time  $O(n^6)$
- Obtaining initial SITG
- Probabilities associated to phrases

## Parsing bracketed text with SITG

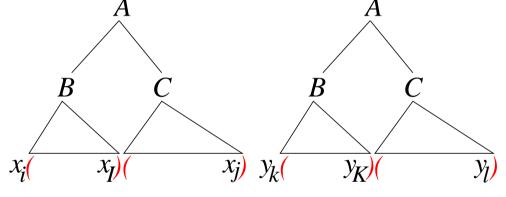
2. Recursion. For all  $A \in N$ , and i, j, k, l such that  $0 \le i < j \le |x|, 0 \le k < l \le |y|$  and j - i + l - k > 2:

$$\delta_{ijkl}(A) = \max(\delta_{ijkl}^{[]}(A), \delta_{ijkl}^{\langle \rangle}(A)) \ c(i+1, j, k+1, l)$$

$$\delta_{ijkl}^{[]}(A) = \max_{B,C \in N} p(A \to [BC]) \delta_{iIkK}(B) \delta_{IjKl}(C)$$

$$i \leq I \leq j, k \leq K \leq l$$

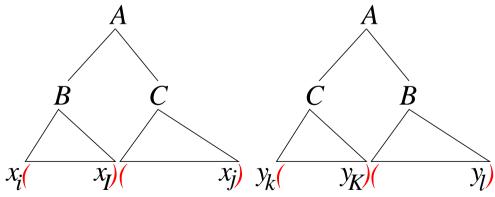
$$(I-i)(j-I) + (K-k)(l-K) \neq 0$$



$$\delta_{ijkl}^{\langle\rangle}(A) = \max_{B,C \in N} p(A \to \langle BC \rangle) \delta_{iIKl}(B) \delta_{IjkK}(C)$$

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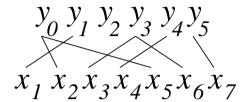
$$(I-i)(j-I) + (K-k)(l-K) \neq 0$$



Time complexity: linear if full bracketing !!

# **Experiments: Obtaining a SITG from an aligned corpus**

1. Aligning words



2. Obtaining lexical rules

$$p:A \to x/y$$

$$p:A\to x/\epsilon$$

$$p:A\to\epsilon/y$$

3. Syntactic rules

$$p:A\to \langle AA\rangle$$

$$p:A \to [AA]$$

4. Additional rules

$$p:A\to x/\epsilon$$

$$p:A\to\epsilon/y$$

# **Experiments**

## **Experiment setup:**

- Europarl corpus
- 5-gram LM
- Moses system (2 models): p(e|f), p(f|e)
- MERT training
- Baseline: 31.0 (5 mod.)
   29.6 (2 mod.)

# **Experiments**

#### **Experiment setup:**

- Europarl corpus
- 5-gram LM
- Moses system (2 models): p(e|f), p(f|e)
- MERT training
- Baseline: 31.0 (5 mod.)
   29.6 (2 mod.)

Results are shown in BLEU/WER. 0 iterations means the SITG was obtained by the heuristic technique.

N	It. O	lt. 1
1	26.8/62.5	26.9/62.6
2	27.0/62.6	27.5/62.1
3	26.9/62.7	27.0/62.7
4	26.6/63.2	27.9/61.5

# **Syntax-based probabilities**

#### A syntax-based probability

- Let  $\Omega$  be the multiset of spans
- Let  $\Omega_{s,t} \subseteq \Omega$  the multiset of (s,t) spans.

Expected value of  $\widehat{p}(\mathbf{s}, \mathbf{t})$  according to the empirical distribution

$$E_{\Omega}(\widehat{p}(\mathbf{s}, \mathbf{t})) = \frac{\sum_{(\mathbf{a}, \mathbf{b}) \in \Omega_{\mathbf{s}, \mathbf{t}}} \widehat{p}(\mathbf{a}, \mathbf{b})}{|\Omega|}.$$

Marginalise for the input side and for the output side

$$E_{\Omega}(\widehat{p}(\mathbf{s})) = \sum_{\mathbf{t}} E_{\Omega}(\widehat{p}(\mathbf{s}, \mathbf{t})) \qquad E_{\Omega}(\widehat{p}(\mathbf{t})) = \sum_{s} E_{\Omega}(\widehat{p}(\mathbf{s}, \mathbf{t})).$$

# **Syntax-based probabilities**

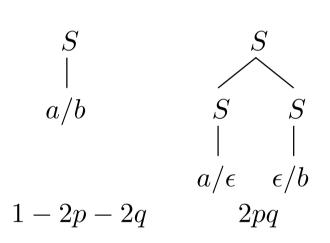
## Syntax-based models

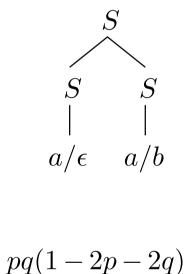
$$p(\mathbf{s}|\mathbf{t}) = \frac{E_{\Omega}(\widehat{p}(\mathbf{s}, \mathbf{t}))}{E_{\Omega}(\widehat{p}(\mathbf{t}))} \qquad p(\mathbf{t}|\mathbf{s}) = \frac{E_{\Omega}(\widehat{p}(\mathbf{s}, \mathbf{t}))}{E_{\Omega}(\widehat{p}(\mathbf{s}))}.$$

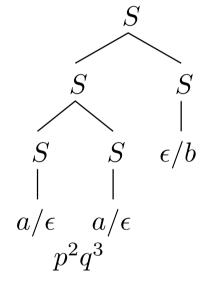
Results are shown in BLEU/WER.

	lt. 1	+ syntactic
1	26.9/62.6	27.7/61.6
2	27.5/62.1	28.3/61.1
3	27.0/62.7	28.2/61.3
4	27.9/61.5	28.9/60.0

# Correction on stochastic parsing with a SITG [Gascó 10a]







V1.0

# Correction on stochastic parsing with a SITG

1. Initialization

$$\delta_{i-1,i,k-1,k}(A) = p(A \to x_i/y_k) \qquad 1 \le i \le |x|, 1 \le k \le |y|$$

$$\delta_{i-1,i,k,k}(A) = p(A \to x_i/\epsilon) \qquad 1 \le i \le |x|, 0 \le k \le |y|$$

$$\delta_{i,i,k-1,k}(A) = p(A \to \epsilon/y_k) \qquad 0 \le i \le |x|, 1 \le k \le |y|$$

2. Recursion. For all  $A \in N$ , and i, j, k, l such that  $0 \le i < j \le |x|$ ,  $0 \le k < l \le |y|$  and  $j - i + l - k \ge 2$ :

$$\delta_{ijkl}(A) = \max(\delta_{ijkl}^{[]}(A), \delta_{ijkl}^{\langle\rangle}(A))$$

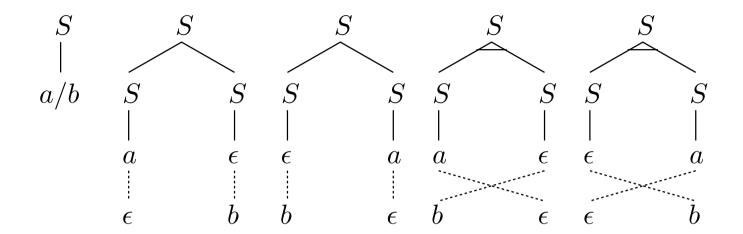
$$\delta_{ijkl}^{[]}(A) = \max_{\substack{B,C \in N \\ i \le I \le j,k \le K \le l}} p(A \to [BC])\delta_{iIkK}(B)\delta_{IjKl}(C)$$

$$i(j-I)+(l-K))\times((I-i)+(K-k))\neq 0$$

$$\delta_{ijkl}^{\langle\rangle}(A) = \max_{\substack{B,C \in N \\ i \le I \le j,k \le K \le l}} p(A \to \langle BC \rangle)\delta_{iIKl}(B)\delta_{IjkK}(C)$$

$$i(j-I)+(K-k))\times((I-i)+(I-K))\neq 0$$

# Correction on stochastic parsing with a SITG



n	Wu's alg.	Modified alg.	ratio
1	1	5	0.200
2	34	290	0.117
3	1,928	34,088	0.057
4	131,880	5,152,040	0.026
5	10,071,264	890,510,432	0.011
6	827,969,856	167,399,588,160	0.005

<sup>\*</sup> See exercice

# Stochastic parsing with a SITG: Inside probability

*Inside* probability of  $(x_{i+1} \dots x_{i+j}, y_{k+1} \dots y_{k+l})$  from non-terminal A:

$$\mathcal{E}_{i,i+j,k,k+l}[A] = p(A \stackrel{*}{\Rightarrow} x_{i+1} \cdots x_{i+j}/y_{k+1} \cdots y_{k+l})$$

**Theorem**. If the *inside* algorithm is applied to the substring pair  $(x_{i+1} \dots x_{i+j}, y_{k+1} \dots y_{k+j})$  with a SITG  $\mathcal{G}$ , then the probabilistic parse matrix  $\mathcal{E}$  collects correctly the probability of this substring pair.

**Corollary**. The probability of the pair string  $(x_1 \dots x_{|x|}, y_1 \dots y_{|y|})$  can be computed by means of the probabilistic parse matrix  $\mathcal{E}$  as:

$$p(S \stackrel{+}{\Rightarrow} x_1 \dots x_{|x|}/y_1 \dots y_{|y|}) = \mathcal{E}_{0,|x|,0,|y|}[S]$$

# **Experiments**

⇒ To test the differences of both Viterbi parsing algorithms with two languages with a **very distinct** syntax structure.

Statistics for IWSLT 2009 Chinese-English BTEC corpus.

Corpus Set	Statistic	Chinese	English			
	Sentences	42	,655			
Training	Words	330,163	380,431			
	Vocabulary Size	8,773	8,387			
	Sentences		511			
Test	Words	3,352	3,821			
	Vocabulary Size	888	813			

Experiment	% of sentences with a	% of sentences not parsed		
	different parse tree	with the original algorithm		
Ch - En	36.3%	0.2%		
[Ch] - En	37.2%	1.4%		
Ch - [En]	37.0%	1.0%		
[Ch] - [En]	40.9%	3.9%		

# **Experiments**

⇒ To test the differences of both Viterbi parsing algorithms with two languages with a **similar** syntax structure.

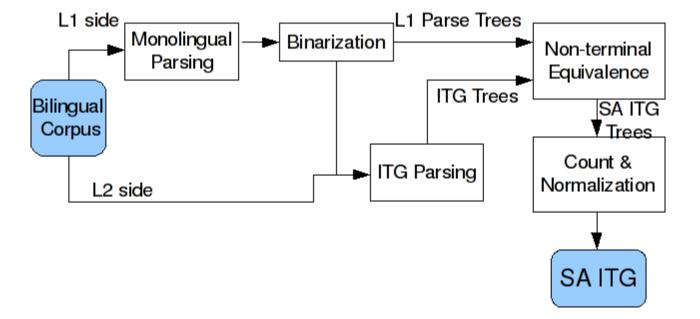
Statistics for Hansard French-English corpus (less than 40 words).

Corpus Set	Statistic	French	English	
	Sentences	997,823		
Training	Words	16,547,387	14,266,620	
	Vocabulary Size 68,4		49,892	
	Sentences		511	
Test	Test Words		3,821	
	Vocabulary Size	888	813	

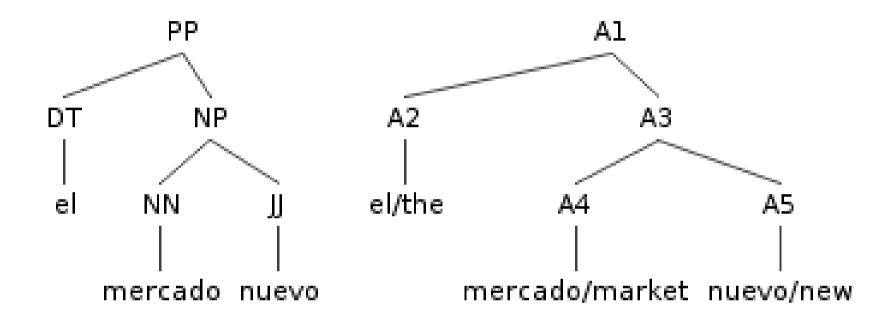
Experiment	% of sentences with a		
	different parse tree		
Fr - En	27.7%		
[Fr] - En	28.0%		
Fr - [En]	28.5%		
[Fr] - [En]	30.6%		

# Syntax-Augmented SITGs [Gascó 10b]

- To create an initial SITG
- 2. To estimate the probabilities
- 3. To attach linguistic information to the non-terminal symbols



# **Syntax-Augmented SITGs: Example**



# **Syntax-Augmented SITGs: Experiments**

• IWSLT 2008 (Chinese-English BTEC)

Standard tools: GIZA++, ZMERT

• Stanford parser for Chinese

• Baseline: Moses, 5-gram

Corpus Set	Statistic	Chinese	English	
	Sentences	42,655		
Training	Words	330,163	380,431	
	Voc. Size	8,773	8,387	
	Sentences	489		
DevSet	DevSet Words		3,861	
	OOV Words	111	115	
	Sentences	5	507	
Test	Words	3,357	-	
	OOV Words	97	-	

System	%BLEU
Baseline PBT	41.1
Initial ITG	41.2
Re-estimated ITG	41.8
Source SAITG	42.9
Target SAITG	43.0

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## **Exercises (I)**

- 1. (\*) Write a SITG and a pair of source and target strings that can not be parsed with the written SITG. Show why the SITG is not able to parse the pair of source and target strings.
- 2. (\*) Write a SITG and a pair of source and target strings and apply the Wu parsing algorithm described in these slides.
- 3. (\*) Write a SITG and and a pair of source and target strings and apply the Viterbi version (max) of Wu parsing algorithm mentioned in these slides.
- 4. (\*\*) Write the *outside* algorithm for parsing with SITGs. Write a SITG and a pair of source and target strings and shows the analysis table.
- 5. (\*\*) Look for references with the last results and advances obtained with SITGs and prepare a summary report.
- 6. (\*\*\*) Write the *outside* algorithm for parsing with SITGs. Write a SITG and a pair of source and target strings and computes the analysis table. Compute the analysis table with the Wu's algoritms with the same source and target strings and the same SITG.
- 7. (\*\*\*) Implement the *outside* algorithm for parsing with SITGs.
- 8. (\*\*\*) Write a SITG and a two pairs of different source and target strings and use the idea described in [Sánchez 06] to obtain the set of possible word phrases.
- 9. (\*\*\*) Perform experiments similar to the experiments described in [Søgaard 10]. The software will be provided by the professor.

## **Exercises (II)**

- 10. (\*\*\*) Perform experiments similar to the experiments described in [Søgaard 10]. The software will be provided by the professor.
- 11. (\*\*\*\*) Implement an A\* algorithm for parsing with SITG.
- 12. (\*\*\*\*) Study the relation between results in references [Søgaard 09] and [Gascó 10a]. Validate your discussion with an experimental evaluation.
- 13. (\*\*\*\*\*) Implement a system for obtaining word phrases in which a weight based on confidence measures is used in phrase-based MT system.
- 14. (\*\*\*\*\*) Implement an Early vesion of the parsing algorithm for SITGs.
- 15. (\*\*\*\*\*) Read paper [Sánchez 97] and obtain similar results.

#### Universitat Politècnica de València

Máster en Inteligencia Artificial, Reconocimiento de Formas e Imagen Digital

## MACHINE TRANSLATION

Tree to string translation

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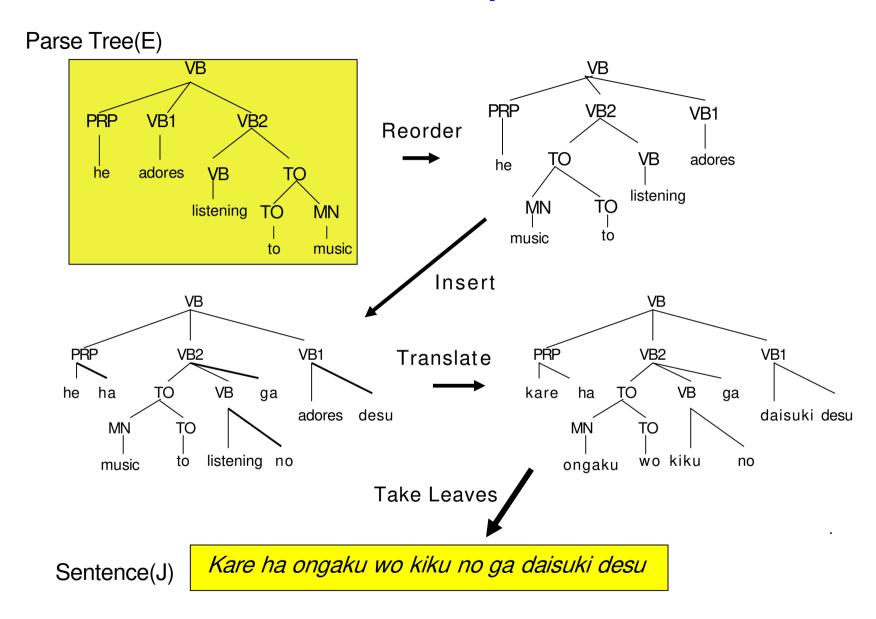
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## A Tree to String Transducer [Yamada 01a]

#### Main components:

- The input (source) sentence is pre-processed by a syntactic parser
- The input to the MT system is a parse tree
- A statistical channel performs operations on each node of the parse tree:
  - reordering child nodes
  - inserting extra words at each node
  - translating leaf words
- The translation process is performed as a parsing process
- The output of the model is a string associated to the leaf nodes

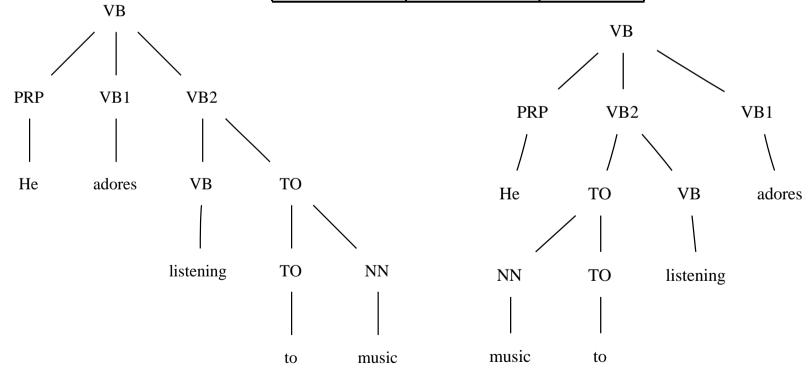
# **An Example**\*



<sup>\*</sup>Source: http://www.isi.edu/natural-language/people/cs562-8-22-06.pdf

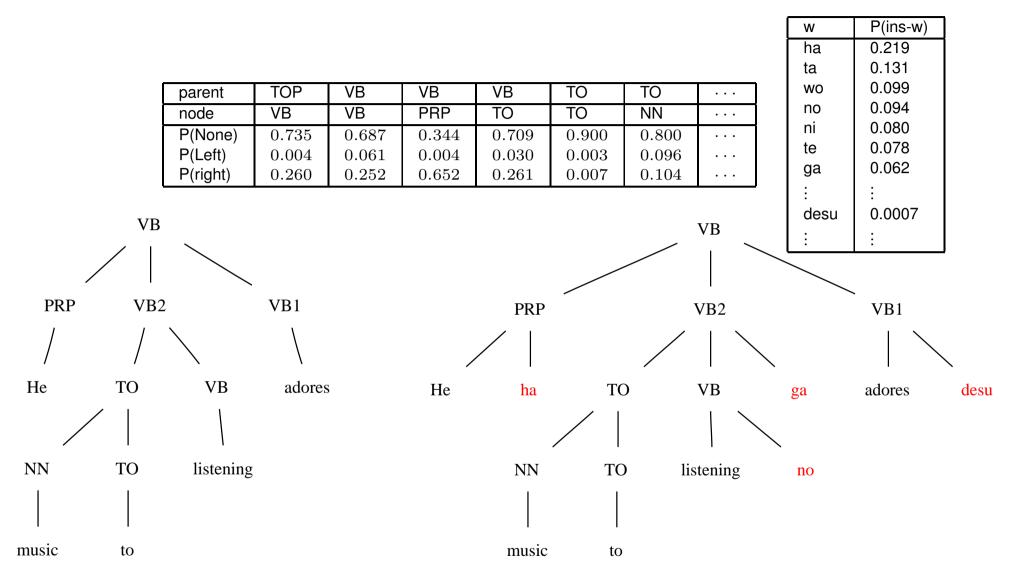
# Re-ordering table: *r-table*

original order	reordering	P(reorder)
	PRP VB1 VB2	0.074
	PRP VB2 VB1	0.723
PRP VB1 VB2	VB1 PRP VB2	0.061
VB TO	VB TO	0.252
	TO VB	0.749
TO NN	TO NN	0.107
	NN TO	0.893
	•••	



**Reordering probability**:  $0.723 \cdot 0.749 \cdot 0.893 = 0.484$ 

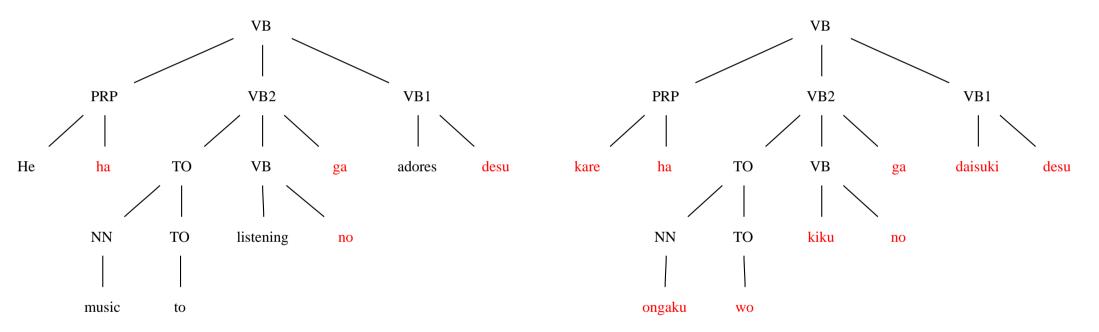
#### Insertion table: *n-table*



Insertion probability:  $(0.652 \cdot 0.219) \cdot (0.252 \cdot 0.094) \cdot (0.252 \cdot 0.062) \cdot (0.252 \cdot 0.0007) \cdot 0.735 \cdot 0.709 \cdot 0.900 \cdot 0.800 = 3.498 \exp{-9}$ 

## Insertion table: t-table

adoi	adores		he		ening	mus	sic	t	0	
daisuki	1.000	kare	0.952	kiku	0.333	ongaku	0.900	ni	0.216	
		NULL	0.016	kii	0.333	naru	0.100	NULL	0.204	
		nani	0.005	mi	0.333			to	0.133	
		:	÷	÷	÷			÷	:	



**Translation probability**:  $0.952 \cdot 0.900 \cdot 0.038 \cdot 1.000 = 0.0108$ 

#### Similar ideas

- Tree-to-String Alignment Template for Statistical Machine Translation [Liu 06]
- A Forest-to-String Machine Translation Engine based on Tree Transducers [Neubig 13]\*

\*http://saffron.insight-centre.org/acl/topic/tree\_to\_string\_ translation/publications/ http://www.phontron.com/travatar/

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### **Formal definitions**

• Goal: Transform an English parse tree  $\mathcal{E}$  into a French sentence f

#### Definitions

- $\mathcal{E}$  consists of nodes  $\varepsilon_1, \varepsilon_2, \ldots, \varepsilon_n$  (parent nodes, and sons or leafs)
- f consists of words  $f_1, f_2, \ldots, f_m$
- $\theta_i = (\nu_i, \rho_i, \tau_i)$  is a set of values of random variables associated to  $\varepsilon_i$ 
  - $\nu_i$ : variable representing an insertion (in the parent node)
  - $\rho_i$ : variable representing a re-ordering (of the sons/siblings)
  - $\tau_i$ : variable representing a translation (in the leaf nodes)
- $\theta = \theta_1, \theta_2, \dots, \theta_n$  is the set of all random variables associated with a parse tree  $\mathcal{E} = \varepsilon_1, \varepsilon_2, \dots, \varepsilon_n$

#### Model

 $P(\mathbf{f}, \mathbf{e}) = P(\mathbf{e})P(\mathbf{f}|\mathbf{e}) = \sum_{\mathcal{E}: L(\mathcal{E}) = \mathbf{e}} P(\mathbf{e}, \mathcal{E})P(\mathbf{f}|\mathbf{e}) \approx \max_{\mathcal{E}: L(\mathcal{E}) = \mathbf{e}} P(\mathbf{e}, \mathcal{E})P(\mathbf{f}|\mathbf{e}) \triangleq P(\mathbf{f}|\mathcal{E})P(\mathbf{e})$ 

### **Formal definitions**

### • Decoding problem:

$$\widehat{\mathbf{f}} = \arg \max P(\mathbf{f}|\mathcal{E})P(\mathbf{e})$$

where  $e, \mathcal{E}$  is a sentence/tree pair in English

#### Translation model

$$P(\mathbf{f}|\mathcal{E}) = \sum_{\boldsymbol{\theta}: L(\boldsymbol{\theta}(\mathcal{E})) = \mathbf{f}} P(\boldsymbol{\theta}|\mathcal{E})$$

where

$$P(\boldsymbol{\theta}|\mathcal{E}) = P(\theta_1, \theta_2, \dots, \theta_n | \varepsilon_1, \varepsilon_2, \dots, \varepsilon_n)$$

$$= \prod_{i=1}^n P(\theta_i | \theta_1, \theta_2, \dots, \theta_{i-1}, \varepsilon_1, \varepsilon_2, \dots, \varepsilon_n)$$

$$\approx \prod_{i=1}^n P(\theta_i | \varepsilon_i)$$

## **Formal description**

$$P(\theta_{i}|\varepsilon_{i}) = P(\nu_{i}, \rho_{i}, \tau_{i}|\varepsilon_{i}) \approx P(\nu_{i}|\varepsilon_{i})P(\rho_{i}|\varepsilon_{i})P(\tau_{i}|\varepsilon_{i})$$

$$= P(\nu_{i}|\mathcal{N}(\varepsilon_{i}))P(\rho_{i}|\mathcal{R}(\varepsilon_{i}))P(\tau_{i}|\mathcal{T}(\varepsilon_{i}))$$

$$= n(\nu_{i}|\mathcal{N}(\varepsilon_{i}))r(\rho_{i}|\mathcal{R}(\varepsilon_{i}))t(\tau_{i}|\mathcal{T}(\varepsilon_{i}))$$

where

$$n(\nu|\mathcal{N}(\varepsilon)) \equiv n(\nu|\mathcal{N}), \quad r(\rho|\mathcal{R}(\varepsilon)) \equiv r(\rho|\mathcal{R}), \quad t(\tau|\mathcal{T}(\varepsilon)) \equiv t(\tau|\tau)$$

are the parameters of the model

For example:

- $n(\nu|N) = P(\text{right}, ha|VB PRP)$
- $r(\rho|\mathcal{R}) = P(PRP VB2 VB1|PRP VB1 VB2)$

$$P(\mathbf{f}|\mathcal{E}) = \sum_{\boldsymbol{\theta}: L(\boldsymbol{\theta}(\mathcal{E})) = \mathbf{f}} \prod_{i=1}^{n} n(\nu_i | \mathcal{N}(\varepsilon_i)) r(\rho_i | \mathcal{R}(\varepsilon_i)) t(\tau_i | \mathcal{T}(\varepsilon_i))$$

Intuition: f can be computed from all possible trees  $\mathcal{E}$  that are able to generate f. Each possible tree can be obtained by applying the transformation operations previously defined

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#### Stochastic estimation of the model

**Theorem [Baum 72]** Let  $P(\Theta)$  be a homogeneous polynomial with non-negative coefficients. Let  $\theta = \{\theta_{ij}\}$  be a point in the domain  $D = \{\theta_{ij} \mid \theta_{ij} \geq 0; \sum_{j=1}^{q_i} \theta_{ij} = 1, i = 1, \dots, p; \quad j = 1, \dots, q_i\}$ , and let  $Q(\theta)$  be a close transformation in D, that is defined as:

$$Q(\theta)_{ij} = \frac{\theta_{ij}(\partial P/\partial \Theta_{ij})_{\theta}}{\sum_{k=1}^{q_i} \theta_{ik}(\partial P/\partial \Theta_{ik})_{\theta}}$$

with the denominator different from zero. Then,  $P(Q(\theta)) > P(\theta)$  except if  $Q(\theta) = \theta$ .

```
\begin{array}{l} \text{input } P(\Theta) \\ \theta = \text{initial values} \\ \text{repeat} \\ \text{compute } Q(\theta) \text{ using } P(\Theta) \\ \theta = Q(\theta) \\ \text{until convergence} \\ \text{output } \theta \end{array}
```

### Stochastic estimation of the model

In the previous theorem, the homogeneous polynomial is defined according to  $P(\mathbf{f}, \mathcal{E})$  and the set of parameters of the model is a point according to the theorem. The log-likelihood for a training sample is defined as:

$$\ln \prod_{\mathbf{f}, \mathcal{E}: L(\mathcal{E}) = \mathbf{f}} P(\mathbf{f}, \mathcal{E})$$

Applying previous theorem to one of the parameters, e.g.,  $\nu_i = n_i = n(\nu|N)$ , such that  $\theta = \theta_1, \theta_2, \dots, \theta_n$ , and  $\theta_i = (\nu_i, \rho_i, \tau_i)$ :

$$\overline{n}_{i} = \frac{n_{i} \left(\frac{\partial \ln \prod_{\mathbf{f},\mathcal{E}:L(\mathcal{E})=\mathbf{f}} P(\mathbf{f},\mathcal{E})}{\partial n_{i}}\right)_{\theta}}{\sum_{i} n_{i} \left(\frac{\partial \ln \prod_{\mathbf{f},\mathcal{E}:L(\mathcal{E})=\mathbf{f}} P(\mathbf{f},\mathcal{E})}{\partial n_{i}}\right)_{\theta}} = \frac{\sum_{\mathbf{f},\mathcal{E}:L(\mathcal{E})=\mathbf{f}} \frac{1}{P(\mathbf{f},\mathcal{E})} n_{i} \left(\frac{\partial P(\mathbf{f},\mathcal{E})}{\partial n_{i}}\right)_{\theta}}{\sum_{\mathbf{f},\mathcal{E}:L(\mathcal{E})=\mathbf{f}} \frac{1}{P(\mathbf{f},\mathcal{E})} \sum_{i} n_{i} \left(\frac{\partial P(\mathbf{f},\mathcal{E})}{\partial n_{i}}\right)_{\theta}} \\
= \frac{\sum_{\mathbf{f},\mathcal{E}:L(\mathcal{E})=\mathbf{f}} \frac{1}{P(\mathbf{f},\mathcal{E})} \sum_{\theta:L(\theta(\mathcal{E})=\mathbf{f})} N(n_{i},\theta) \prod_{i=1}^{n} n_{i} r_{i} t_{i}}{\sum_{\mathbf{f},\mathcal{E}:L(\mathcal{E})=\mathbf{f}} \frac{1}{P(\mathbf{f},\mathcal{E})} \sum_{\theta:L(\theta(\mathcal{E})=\mathbf{f})} \sum_{i} N(n_{i},\theta) \prod_{j=1}^{n} n_{i} r_{j} t_{j}}$$

#### Stochastic estimation of the model

- 1. Initialize all probability tables:  $n(\nu|N)$ ,  $r(\rho|R)$  and  $t(\tau|T)$
- 2. Reset all counters:  $c(\nu, N)$ ,  $c(\rho, R)$  and  $c(\tau, \tau)$
- 3. For each pair  $\langle \mathcal{E}, \mathbf{f} \rangle$  in the training corpus

For all 
$$\theta$$
, such that  $\mathbf{f} = L(\boldsymbol{\theta}(\mathcal{E}))$ 

- Let 
$$\operatorname{cnt} = P(\boldsymbol{\theta}|\mathcal{E}) / \sum_{\boldsymbol{\theta}: L(\boldsymbol{\theta}(\mathcal{E})) = \mathbf{f}} P(\boldsymbol{\theta}|\mathcal{E})$$
  
- For  $i = 1 \dots n$ , 
$$c(\nu_i, \mathcal{N}(\varepsilon_i)) + = \operatorname{cnt}$$
 
$$c(\rho_i, \mathcal{R}(\varepsilon_i)) + = \operatorname{cnt}$$
 
$$c(\tau_i, \mathcal{T}(\varepsilon_i)) + = \operatorname{cnt}$$

4. For each  $\langle \nu, \mathcal{N} \rangle$ ,  $\langle \rho, \mathcal{R} \rangle$ , and  $\langle \tau, \tau \rangle$ 

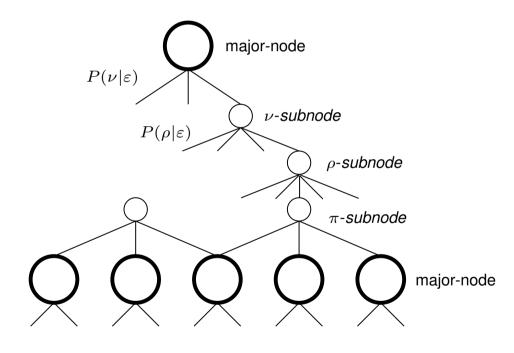
$$n(\nu|\mathcal{N}) = c(\nu, \mathcal{N}) / \sum_{\nu} c(\nu, \mathcal{N})$$
$$r(\rho|\mathcal{R}) = c(\rho, \mathcal{R}) / \sum_{\rho} c(\rho, \mathcal{R})$$
$$t(\tau|\tau) = c(\tau, \tau) / \sum_{\tau} c(\tau, \tau)$$

5. Repeat steps 2-4 for several iterations

## **Efficient EM training**

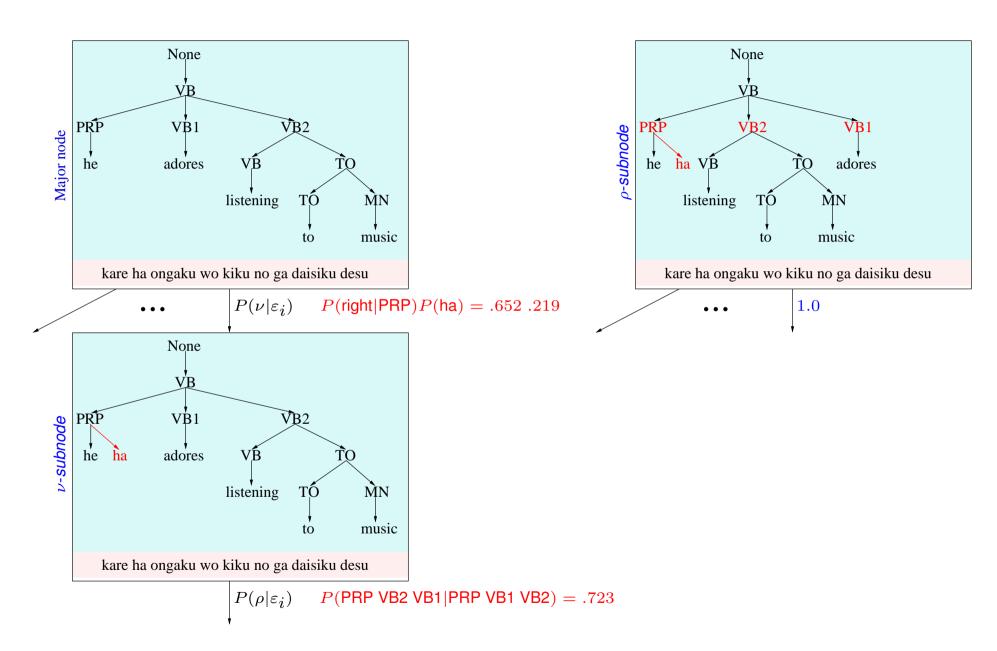
The EM algorithm uses a graph structure for a pair  $\langle \mathcal{E}, \mathbf{f} \rangle$ 

- A major-node  $v(\varepsilon_i, \mathbf{f}_k^l)$  shows a pairing of a subtree of  $\mathcal E$  and a substring of  $\mathbf{f}$
- Each major node connects to several  $\nu$ -subnode  $v(\nu; \varepsilon_i, \mathbf{f}_k^l)$ , showing which value of  $\nu$  is selected. The arc has weight  $P(\nu|\varepsilon_i)$
- A  $\nu$ -subnode  $v(\nu; \varepsilon_i, \mathbf{f}_k^l)$  connects to a final-node with weight  $P(\tau|\varepsilon_i)$  if  $\varepsilon_i$  is a terminal node
- A  $\nu$ -subnode connects to several  $\rho$ -subnodes  $v(\rho; \nu, \varepsilon_i, \mathbf{f}_k^l)$  with weight  $P(\rho|\varepsilon_i)$
- A  $\rho$ -subnode is connected to  $\pi$ -subnodes  $v(\pi; \rho, \nu, \varepsilon_i, \mathbf{f}_k^l)$  with weight 1.0. The variable  $\pi$  shows a particular way of partitioning  $\mathbf{f}_k^l$

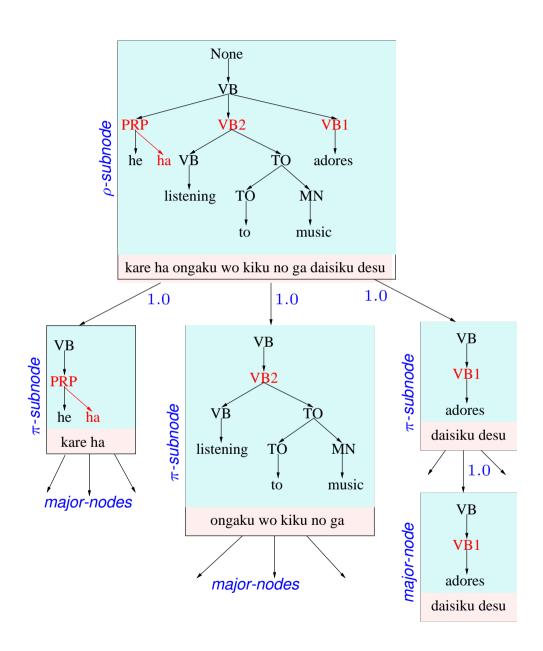


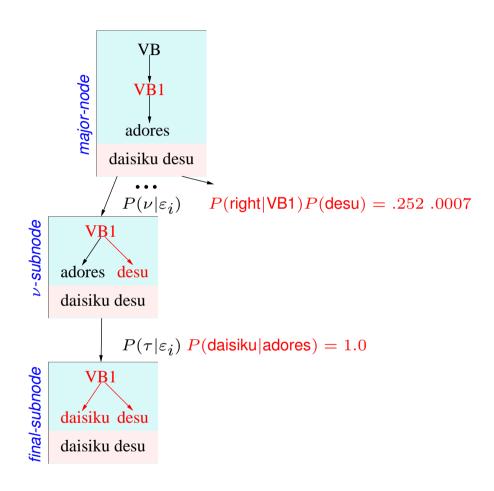
• A  $\pi$ -subnode is connected to major-nodes corresponding to children of  $\varepsilon_i$  with weight 1.0. A major-node can be connected from different  $\pi$ -subnodes

# **Efficient EM training: example (I)**



# **Efficient EM training: example (II)**





## **Efficient EM training**

- The structure generated in the previous algorithm resembles a trellis generated when analizing a string with a final-state machine
- A trace starting from the graph root, selecting one of the arcs from major-nodes,  $\nu$ -subnodes and  $\rho$ -subnodes and all the arcs from  $\pi$ -subnodes corresponds to a particular to a particular  $\theta$
- The addition of the weight of all possible  $\theta$  correspond to  $P(\theta|\mathcal{E})$
- An estimation algorithm similar to the forward-backward algorithm can be defined
- The time complexity is  $O(n^3|\nu||\rho||\pi|)$

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### **Decoder description [Yamada 01b]**

Modifications to the original MT for phrasal translations:

• Fertility  $\mu$  is used to allow 1-to-N mapping:

$$t(\tau|\tau) = t(f_1 f_2 \dots f_l|e) = \mu(l|e) \prod_{i=1}^{l} t(f_i|e)$$

• Direct translation  $\phi$  of an English phrase  $e_1e_2\dots e_m$  to a foreign phrase  $f_1f_2\dots f_l$  at non-terminal tree nodes:

$$ph(\phi|\Phi) = t(f_1 f_2 \dots f_l | e_1 e_2 \dots e_m) = \mu(l|e_1 e_2 \dots e_m) \prod_{i=1}^l t(f_i | e_1 e_2 \dots e_m)$$

• Linear combination (if  $\varepsilon_i$  is non-terminal):

$$P(\theta_i|\varepsilon_i) = \lambda_{\Phi_i} ph(\phi_i|\Phi_i) + (1 - \lambda_{\Phi_i}) r(\rho_i|\mathcal{R}_i) n(\nu_i|\mathcal{N}_i)$$

## **Decoder description**

- Given a French sentence, the decoder will find the most plausible English parse tree
- Idea: a mechanism similar to normal parsing is used
- Steps:
  - 1. Start from an English context-free grammar and incorporate to it the channel operations
  - 2. For each non-lexical rule (such as "VP  $\rightarrow$  VB NP PP"), supplement the grammar with reordered rules and probabilities are taken from the r-table
  - 3. Rules such as "VP  $\rightarrow$  VP X" and "X  $\rightarrow$  word" are added and probabilities are taken from the n-table
  - For each lexical rule in the English grammar, we add rules such as "englishWord → foreingWord"
  - 5. Parse a string of foreign words
  - 6. Undo reordering operations and remove leaf nodes with foreign words
  - 7. Among all possible tree, choose pick the best in which the product of the LM and the TM probability is the highest

## **Index**

- Introduction
- Formal definitions
- Stochastic estimation of the model
- Decoding
- References
- Exercises

### References

- [Baum 72] L.E. Baum: An inequality and associated maximization technique in statistical estimation for probabilistic functions of markov processes. Inequalities, 3:1-8, 1972.
- [Yamada 01a] K. Yamada, K. Knight: *A Syntax-Based Statistical Translation Model.* ACL 2001.
- [Yamada 01b] K. Yamada, K. Knight: *A decoder for Syntax-based Statistical MT.* ACL 2001.
- Y. Liu, Q. Liu, S. Lin: Tree-to-String Alignment Template for Statistical Machine Translation.
   ACL 2006.
- J. Graehl, K. Knight, J May: *Training Tree Transducers*. Computational Linguistics 34 (3), 391-427, 2008.
- [Neubig 13] G. Neubig: *Travatar: A Forest-to-String Machine Translation Engine based on Tree Transducers*. ACL 2013.

## **Index**

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### **Exercises**

- 1. (\*) Write an example similar to the slides in the pages 4–7 with the pair of languages that your prefer. Define the probabilities as you like.
- 2. (\*) Compute the derivative of expression that you can see at the end of slide 11 with respect to any variable that you choose. Use the example that you can see in slides 14–16. Explain the obtained expression.
- 3. (\*\*\*) Define a small model: a parsing tree, a r-table, an n-table and a t-table. Then, apply the estimation algorithm described in slide 15. Keep in mind that the models should be small enough to make the computations easy. Therefore the number of posible transformation should keep very small.
- 4. (\*\*\*) Repeat the previus exercise but applying the algorithm described in slide 17, taking into acount the example shown in class.
- 5. (\*\*\*\*) Reproduce the experiment with travatar system and aply the toolkit to another dataset different from the dataset provided with the toolkit.
- 6. (\*\*\*\*\*) Implement the estimation of the model described in slides 16–17.
- 7. (\*\*\*\*\*) Implement and test the translation algorithm described in slides 20-21.

#### Universitat Politècnica de València

Máster en Inteligencia Artificial, Reconocimiento de Formas e Imagen Digital

## MACHINE TRANSLATION

Hierarchical machine translation

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# Main ideas [Chiang 07]

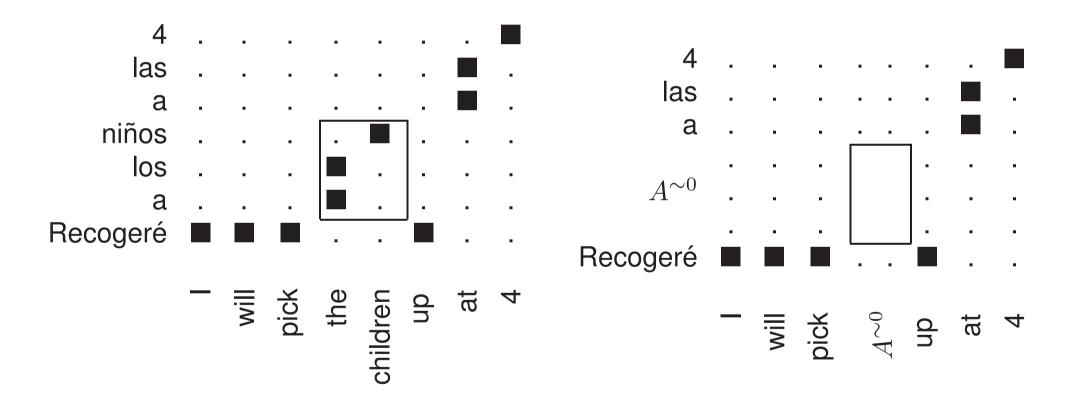
- It allows to capture difficult reordering
- Hierarchical phrases: phrases that can contain other phrases
- Related to Synchronous CFG: useful for specifying relations between languages
- Rules are as follows:

$$X \to \langle \gamma, \alpha, \sim \rangle$$

#### where

- X is a non-terminal symbol
- $\gamma, \alpha$  are strings of terminal and non-terminal symbols
- $\sim$  is one-to-one correspondence between non-terminal ocurrences in  $\gamma$  and  $\alpha$

## **Motivation**



### Rule extraction

- Rules are extracted from word-alignments sentences
  - Extract a rule for each phrase pair
  - Replace phra se pairs in each rule by a non-terminal symbol if another rule produces that phrase pair.
- The set of rules of two word-aligned sentences  $\langle f, e, \sim \rangle$  is the smallest set satisfying the following:
  - If  $\langle f_i^j, e_{i'}^{j'} \rangle$  is an initial phrase pair, then add the following rule:

$$X \to \langle f_i^j, e_{i'}^{j'} \rangle$$

- If  $(X \to \langle \gamma, \alpha \rangle)$  is a rule and  $\langle f_i^j, e_{i'}^{j'} \rangle$  is an initial phrase pair such that  $\gamma = \gamma_1 f_i^j \gamma_2$  and  $\alpha = \alpha_1 e_{i'}^{j'} \alpha_2$ , then add the following rule:

$$X \to \langle \gamma_1 X_k \gamma_2, \alpha_1 X_k \alpha_2 \rangle$$

• Glue rules:

$$S \to \langle S_1 X_2, S_1 X_2 \rangle S \to \langle X_1, X_1 \rangle$$

# Some restrictions to alleviate computational complexity:

- at most two non-terminal symbols
- at least one but at most five words per language
- span at most 15 words (counting gaps)

#### Translation model

Log-linear model over derivations:

$$P(D) \propto \prod_{i} \Phi_{i}(D)^{\lambda_{i}}$$

where  $\Phi_i$  are features defined on derivations and  $\lambda_i$  are feature weights

Features: functions on the rules and an additional LM function:

$$P(D) \propto P_{LM}(e)^{\lambda_{LM}} \prod_{i \neq LM} \prod_{(X \to \langle \gamma, \alpha \rangle) \in D} \Phi_i(X \to \langle \gamma, \alpha \rangle)^{\lambda_i}$$

- Features on rules:
  - $P(\gamma \mid \alpha)$  and  $P(\alpha \mid \gamma)$
  - Lexical weights:  $P_w(\gamma \mid \alpha)$  and  $P_w(\alpha \mid \gamma)$
  - A penalty  $\exp(-1)$  to learn a preference for longer or shorter derivations

- Word penalty:  $\exp(-\#T(\alpha))$ 

# **Training**

- Rules probabilities obtained from frequencies
- $\lambda_i$ : minimum-error-rate training [Och 02]
- CKY-based algorithm

# The search problem: example

 $A \rightarrow AB$  $S \to [AS]$  $S \to [AB]$  $S \to \mathsf{grande}|\mathsf{big}$  $S \rightarrow \mathsf{grande}|\mathsf{large}|$  $A \rightarrow [AB]$  $A \rightarrow < BB >$ .05  $A \rightarrow \mathsf{una}|\mathsf{a}$ .03  $A \rightarrow \text{el}|\text{the}$ .02  $A \rightarrow \mathsf{la} | \mathsf{the}$  $B \rightarrow \langle BS \rangle$ .07  $B \rightarrow \mathsf{casa}|\mathsf{house}|$ .03  $B \to \mathsf{casa}|\mathsf{home}|$ 

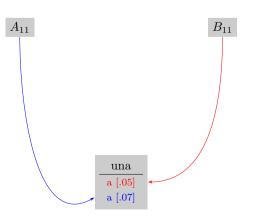
 $B \to \mathsf{coche}|\mathsf{car}$ 

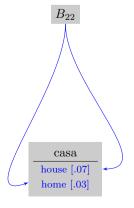
 $B \to \mathsf{un}|\mathsf{a}$ 

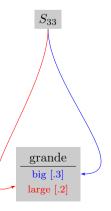
 $B \rightarrow \mathsf{una}|\mathsf{a}$ 

.03

.07

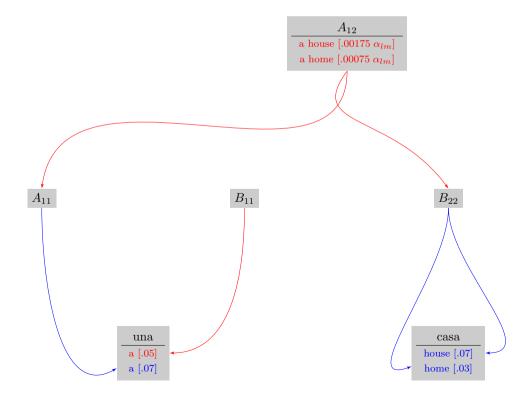


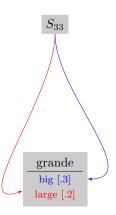




# The search problem: example

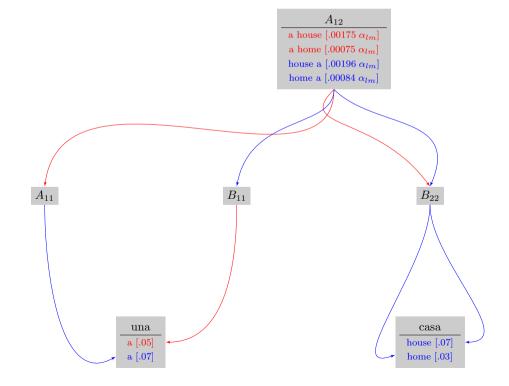


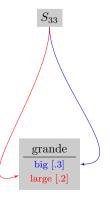




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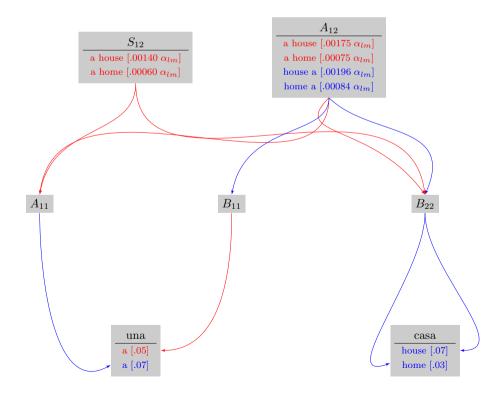


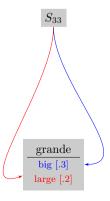




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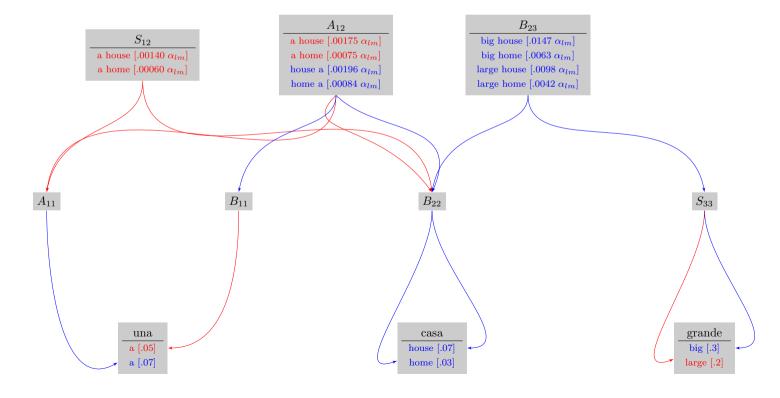
```
A \to AB
           S \to [AS]
            S \to [AB]
           S \to \mathsf{grande}|\mathsf{big}
            S \rightarrow \mathsf{grande}|\mathsf{large}|
            A \to [AB]
            A \rightarrow < BB >
.05
            A \rightarrow \mathsf{una}|\mathsf{a}
.03
            A \rightarrow \mathsf{el}|\mathsf{the}
.02
            A \rightarrow la|the
.7
            B \rightarrow < BS >
.07
           B \to \mathsf{casa}|\mathsf{house}|
.03
            B \to \mathsf{casa}|\mathsf{home}|
.1
            B \to \mathsf{coche}|\mathsf{car}
.03
            B \to \mathsf{un}|\mathsf{a}
.07
            B \to \mathsf{una}|\mathsf{a}
```





# The search problem: example





 $A \to AB$  $S \to [AS]$  $S \to [AB]$ 

 $S \rightarrow \mathsf{grande}|\mathsf{big}$ 

 $A \rightarrow [AB]$ 

 $A \rightarrow \mathsf{una}|\mathsf{a}$ 

 $A \rightarrow \mathsf{el}|\mathsf{the}$ 

 $A \rightarrow la|the$ 

 $B \to \mathsf{un}|\mathsf{a}$ 

 $B \to \mathsf{una}|\mathsf{a}$ 

 $B \rightarrow \langle BS \rangle$ 

 $B \to \mathsf{casa}|\mathsf{house}|$ 

 $B \to \mathsf{casa}|\mathsf{home}|$  $B \to \mathsf{coche}|\mathsf{car}$ 

.05

.03

.02

.7

.07

.03

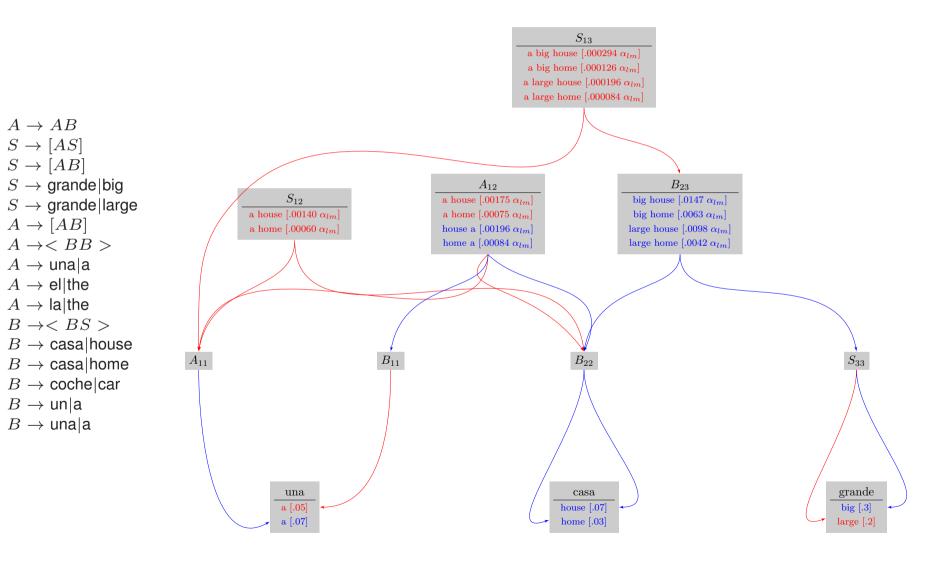
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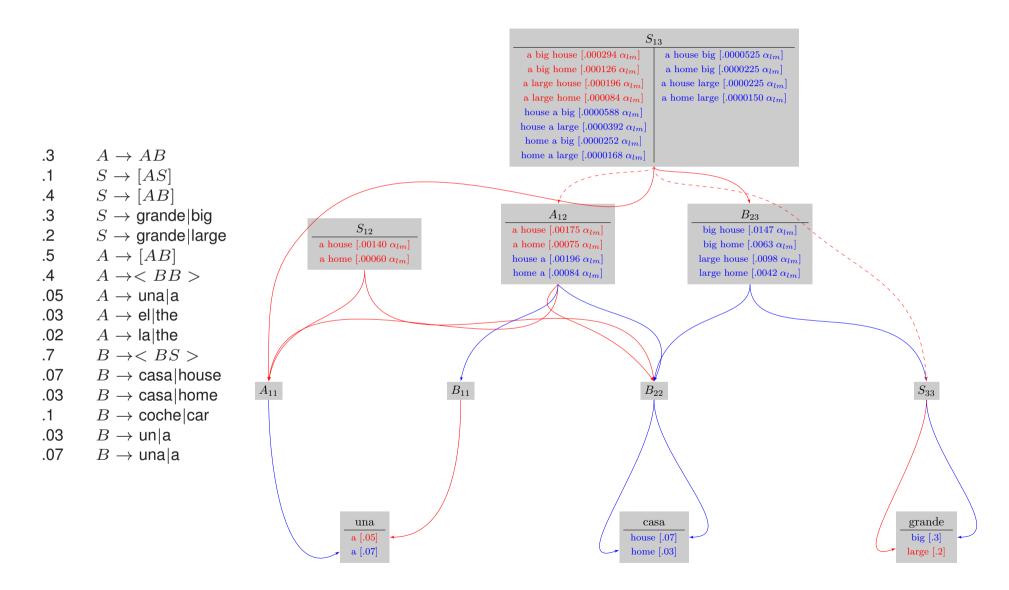
 $A \rightarrow < BB >$ 

### **Hierchical MT**

# The search problem: example



## The search problem: example



### **Exercises**

- 1. (\*) Write an example of an alignment between two sentences and the rules that can be obtained with that alignment.
- 2. (\*) Look for a reference related to hierarchical models published in that last two years a write a summary with the main contributions of that paper.

### References

- [Chiang 07] D. Chiang: *Hierarchical phrase-based translation*. Computational Linguistics, 33(2), 2007.
- [Koehn 10] P. Koehn: Statistical Machine Translation Cambridge, University Press, 2010.