

§3.8. Assignment 2, Fall 2020

Exercise 3.39. THE AMOUNT OF REGULARITY IN A RANDOM PERMUTATION. Let $\sigma = (\sigma_1, \dots, \sigma_n)$ be a uniform random permutation of $(1, \dots, n)$. The amount of regularity is defined by

$$A_n = \sum_{i < j} 1_{[\sigma_i < \sigma_j]}.$$

Determine exact expressions for $E\{A_n\}$ and $E\{A_n^2\}$. Furthermore, show that $V\{A_n\} \sim cn^3$ for some constant c , and that $A_n/E\{A_n\} \rightarrow 1$ in probability.

Exercise 3.40. A STRATIFIED RANDOM BINARY SEARCH TREE. We are given data U_1, \dots, U_n that are i.i.d. uniform $[0, 1]$. Let $n = 2^h$ for some integer h . We artificially add the data points $i/2^h$, $1 \leq i \leq 2^h - 1$, and construct a complete binary tree with these artificial data. The keys U_1, \dots, U_n are then added, one by one, by standard insertion. Call the resulting tree with $2n - 1$ nodes the stratified random binary search tree. Let H_n be its height. Show that this tree is nearly perfectly balanced by proving that $E\{H_n\} \sim \log_2 n$.

Exercise 3.41. THE EXACT DISTRIBUTION OF THE DEPTH D_n . Let D_n be the depth of the node with the largest time stamp in a random binary search tree on n nodes. Convince yourself that $Q_{n,k} \stackrel{\text{def}}{=} n!P\{D_n = k\}$ is integer-valued. Using integer-valued computations only, derive a recursion for $Q_{n,k}$ that will permit you to compute all values $Q_{n,k}$, $0 \leq k \leq n - 1$, and thus the entire distribution, in time $O(n^2)$.

Exercise 3.42. THE LARGEST HIDDEN COMPLETE BINARY TREE. A complete binary tree of (C_h) height h contains $2^{h+1} - 1$ nodes. A random binary search tree on n nodes can contain none or several terminal occurrences of C_h , where “terminal” refers to the fact that all leaves of C_h are also leaves in the random binary search tree. For example, every leaf represents a terminal occurrence of C_0 . Let H_n be the largest height h such that there is a terminal instance of C_h in the random binary search tree. It is known that $H_n/\log_2 \log n \rightarrow 1$ in probability. We are asking you to “almost” show this. Let N_h be the number of occurrences of C_h . Then show the following: for every $\epsilon > 0$,

$$\lim_{n \rightarrow \infty} E\{N_h\} = \begin{cases} 0 & \text{if } h \geq (1 + \epsilon) \log_2 \log n ; \\ \infty & \text{if } h \leq (1 - \epsilon) \log_2 \log n . \end{cases}$$