CS4102 Algorithms

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Fall 2017

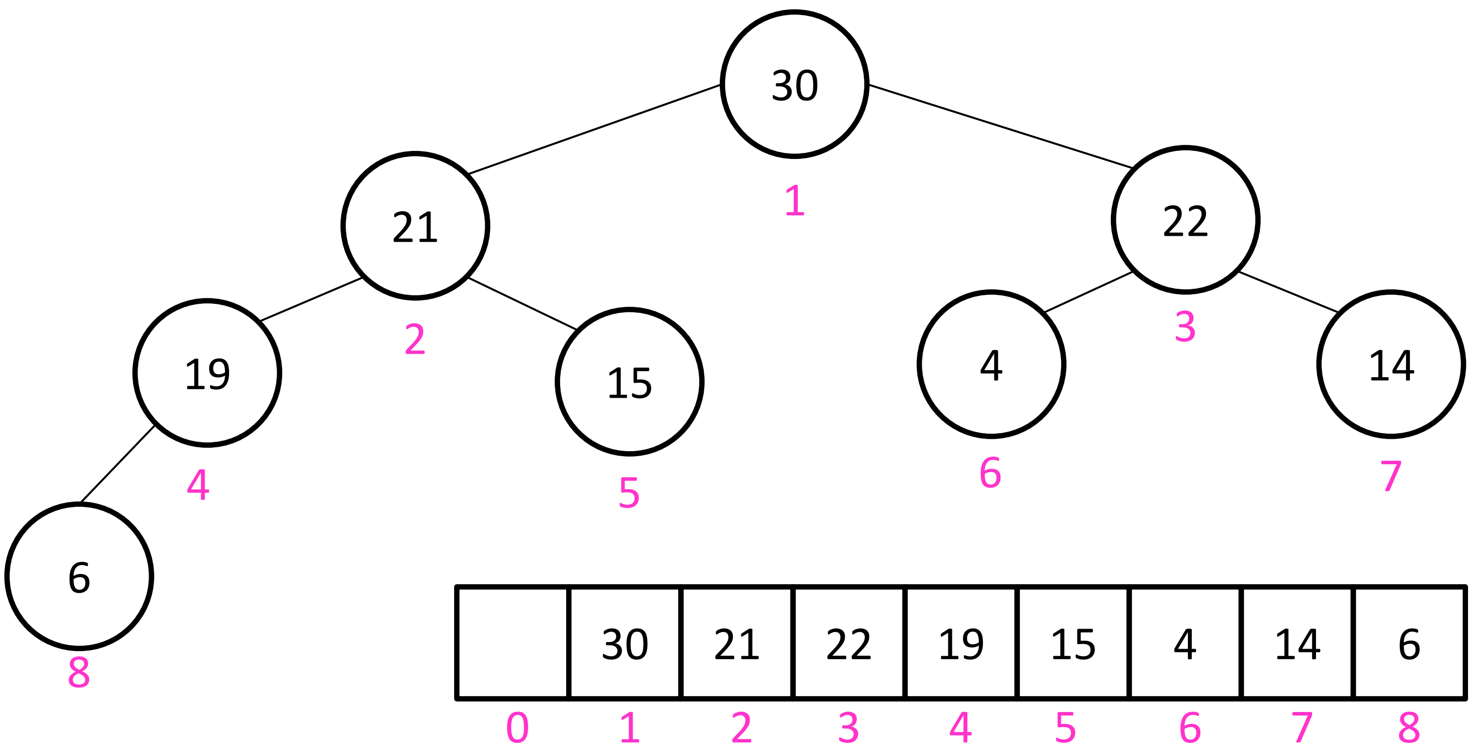
Warm up

Build a Max Heap from the following Elements:

4, 15, 22, 6, 18, 30, 14, 21

Heap

- Heap Property: Each node must be larger than its children



Today's Keywords

- Sorting
- Quicksort
- Sorting Algorithm Characteristics
- Insertion Sort
- Bubble Sort
- Heap Sort
- Linear time Sorting
- Counting Sort
- Radix Sort

CLRS Readings

- Chapter 6
- Chapter 8

Homeworks

- Hw3 Due 11pm Wednesday Feb. 28
  - Divide and conquer
  - Written (use LaTeX!)
- Hw4 released Wednesday Feb. 28
  - Sorting
  - Written
  - Due Wednesday March 14 (pi day!)

Sorting, so far

- Sorting algorithms we have discussed:
  - Mergesort $O(n \log n)$ Optimal!
  - Quicksort $O(n \log n)$ Optimal!
- Other sorting algorithms
  - Bubblesort $O(n^2)$
  - Insertionsort $O(n^2)$
  - Heapsort $O(n \log n)$ Optimal!

Speed Isn't Everything

- Important properties of sorting algorithms:
- Run Time
  - Asymptotic Complexity
  - Constants
- In Place (or In-Situ)
  - Done with only constant additional space
- Adaptive
  - Faster if list is nearly sorted
- Stable
  - Equal elements remain in original order
- Parallelizable
  - Runs faster with many computers

Mergesort

- **Divide**:
  - Break n-element list into two lists of ${}^{n}/_{2}$ elements
- **Conquer**:
  - If $n > 1$: Sort each sublist recursively
  - If $n = 1$: List is already sorted (base case)
- **Combine**:
  - Merge together sorted sublists into one sorted list

Run Time?

$\Theta(n \log n)$

Optimal!

In Place?

No

Adaptive?

No

Stable?

Yes!
(usually)

Merge

- **Combine**: Merge sorted sublists into one sorted list
- We have:
  - 2 sorted lists (L_1, L_2)
  - 1 output list (L_{out})

Stable:
If elements are equal, leftmost comes first

While (L_1 and L_2 not empty):

    If $L_1[0] \leq L_2[0]$:
        L_{out}.append(L_1.pop())
    Else:
        L_{out}.append(L_2.pop())

L_{out}.append(L_1)
L_{out}.append(L_2)

Mergesort

- **Divide**:
  - Break n-element list into two lists of $^n/_2$ elements
- **Conquer**:
  - If $n > 1$: Sort each sublist recursively
  - If $n = 1$: List is already sorted (base case)
- **Combine**:
  - Merge together sorted sublists into one sorted list

Run Time?

$\Theta(n \log n)$

Optimal!

In Place?	Adaptive?	Stable?	Parallelizable?
No	No	Yes! (usually)	Yes!

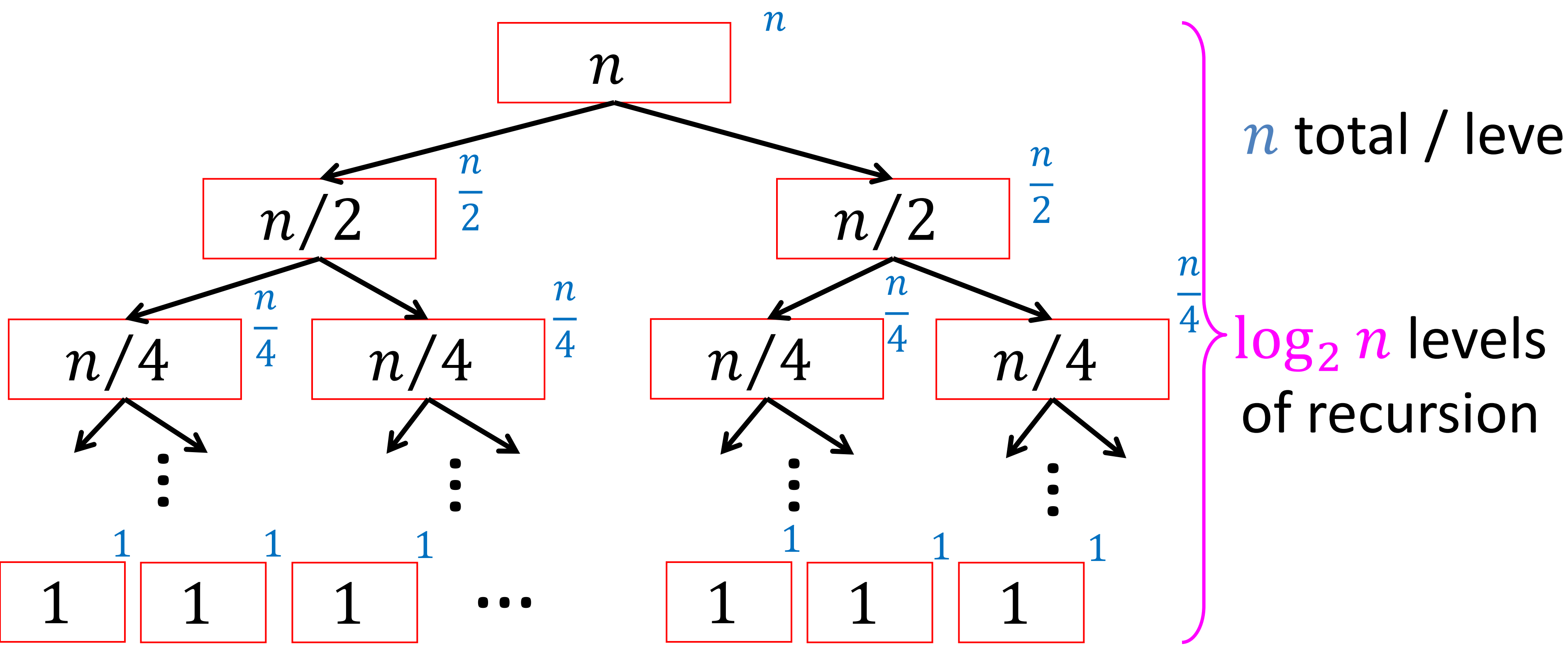
Parallelizable:
Allow different machines to work on each sublist

Mergesort

- **Divide**:
  - Break n-element list into two lists of $^n/_2$ elements
- **Conquer**:
  - If $n > 1$:
    - Sort each sublist recursively
  - If $n = 1$:
    - List is already sorted (base case)
- **Combine**:
  - Merge together sorted sublists into one sorted list

Mergesort (Sequential)

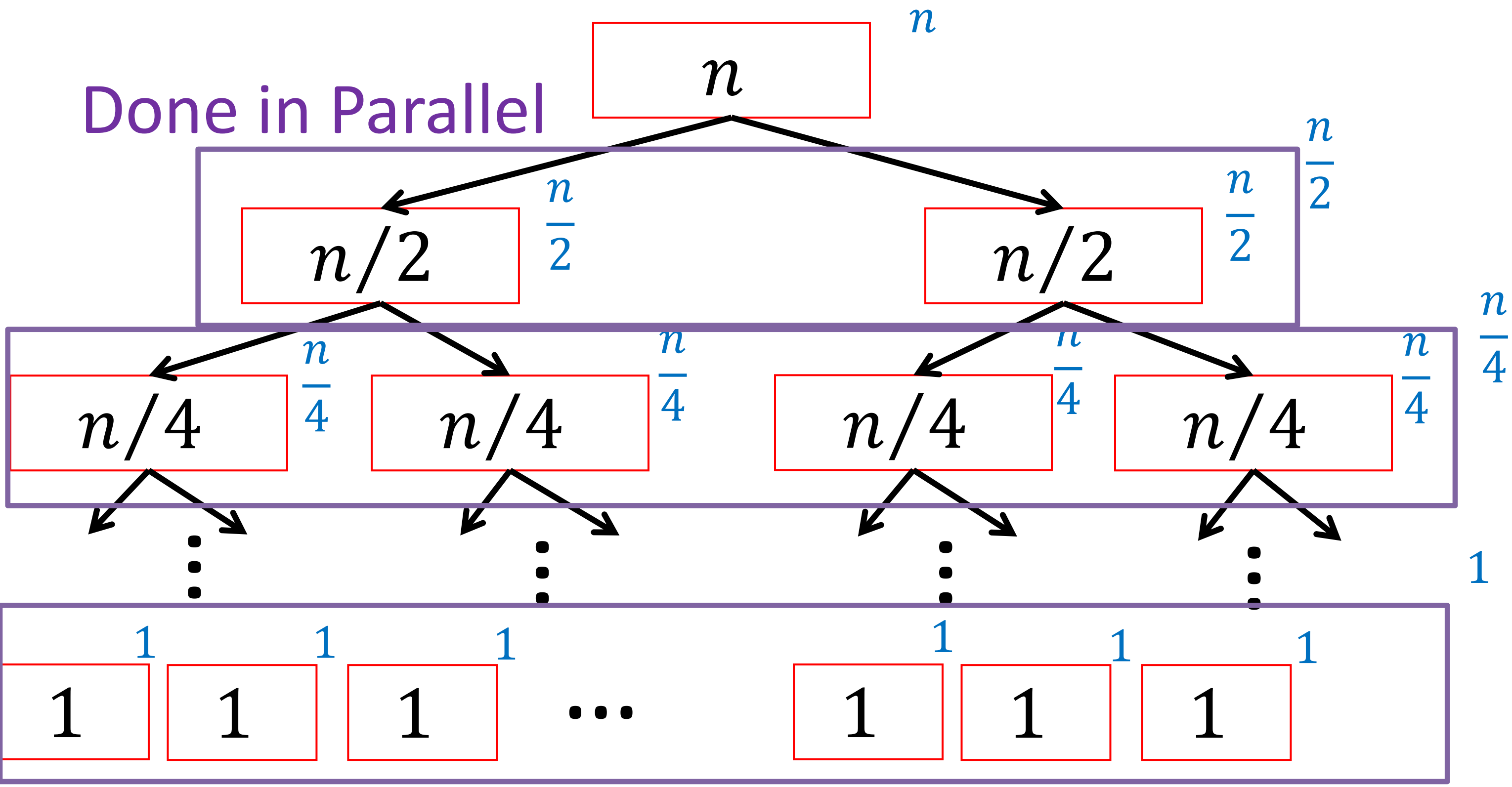
$$T(n) = 2T(\frac{n}{2}) + n$$



Run Time: $\Theta(n \log n)$

Mergesort (Parallel)

$$T(n) = T(\frac{n}{2}) + n$$



Run Time: $\Theta(n)$

Quicksort

- Idea: pick a partition element, recursively sort two sublists around that element
- Divide: select an element p, Partition(p)
- Conquer: recursively sort left and right sublists
- Combine: Nothing!

Run Time?

$\Theta(n \log n)$

(almost always)

Better constants than Mergesort

In Place?	Adaptive?	Stable?	Parallelizable?
kinda Uses stack for recursive calls	No!	No	Yes!

Bubble Sort

- Idea: March through list, swapping adjacent elements if out of order, repeat until sorted

8	5	7	9	12	10	1	2	4	3	6	11

5	8	7	9	12	10	1	2	4	3	6	11

5	7	8	9	12	10	1	2	4	3	6	11

5	7	8	9	12	10	1	2	4	3	6	11

Bubble Sort

- Idea: March through list, swapping adjacent elements if out of order, repeat until sorted

Run Time?

$\Theta(n^2)$

Constants worse than Insertion Sort

In Place?

Yes

Adaptive?

Kinda

"Compared to straight insertion [...], bubble sorting requires a more complicated program and takes about twice as long!" –Donald Knuth

Bubble Sort is "almost" Adaptive

- Idea: March through list, swapping adjacent elements if out of order

1	2	3	4	5	6	7	8	9	10	11	12

1	2	3	4	5	6	7	8	9	10	11	12

Only makes one "pass"

2	3	4	5	6	7	8	9	10	11	12	1

After one "pass"

2	3	4	5	6	7	8	9	10	11	1	12

Requires n passes, thus is $O(n^2)$

Bubble Sort

- Idea: March through list, swapping adjacent elements if out of order, repeat until sorted

Run Time?

$\Theta(n^2)$

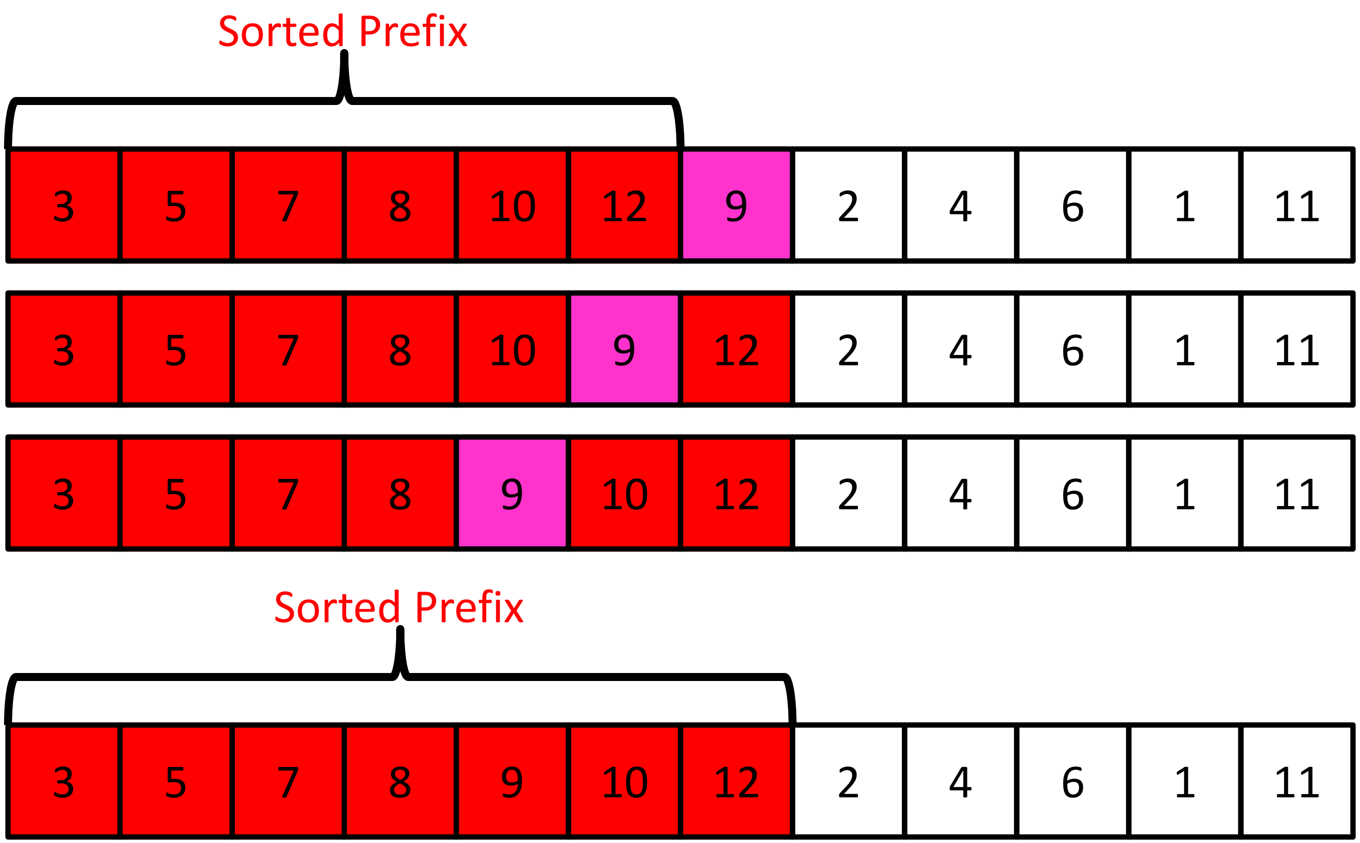
Constants worse than Insertion Sort

In Place?	Adaptive?	Stable?	Parallelizable?
Yes!	kinda	Yes	No

"the bubble sort seems to have nothing to recommend it, except a catchy name and the fact that it leads to some interesting theoretical problems" –Donald Knuth, The Art of Computer Programming

Insertion Sort

- Idea: Maintain a sorted list prefix, extend that prefix by “inserting” the next element



Insertion Sort

- Idea: Maintain a sorted list prefix, extend that prefix by "inserting" the next element

Run Time?

$\Theta(n^2)$

(but with very small constants)

Great for short lists!

In Place?

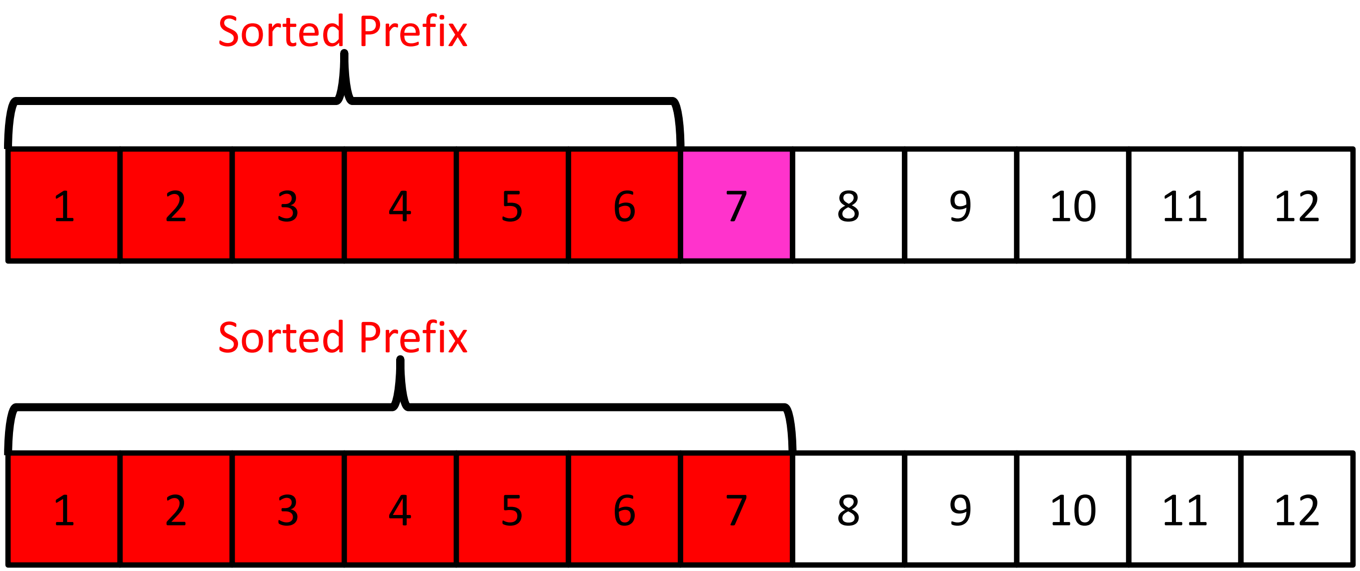
Yes!

Adaptive?

Yes

Insertion Sort is Adaptive

- Idea: Maintain a sorted list prefix, extend that prefix by “inserting” the next element



Only one comparison needed per element! Runtime: $O(n)$

Insertion Sort

- Idea: Maintain a sorted list prefix, extend that prefix by "inserting" the next element

Run Time?

$\Theta(n^2)$

(but with very small constants)

Great for short lists!

In Place?

Yes!

Adaptive?

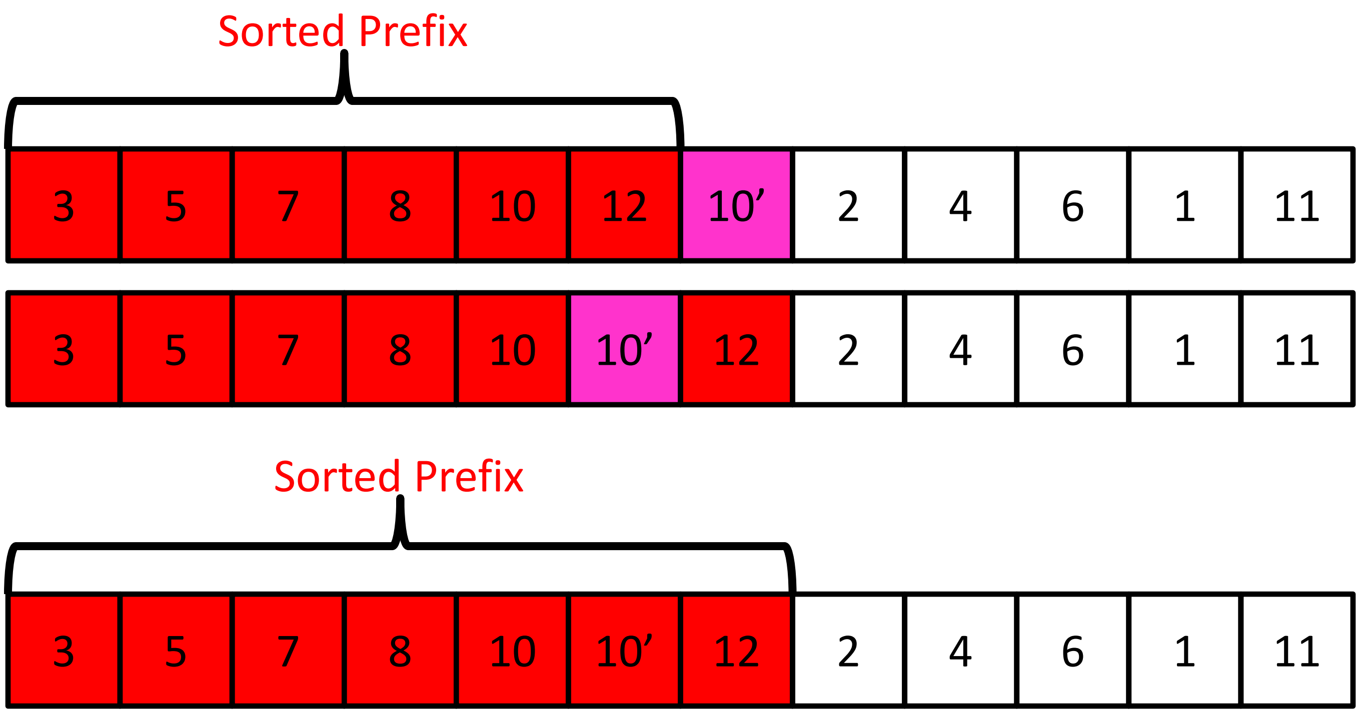
Yes

Stable?

Yes

Insertion Sort is Stable

- Idea: Maintain a sorted list prefix, extend that prefix by “inserting” the next element



The “second” 10 will stay to the right

Insertion Sort

- Idea: Maintain a sorted list prefix, extend that prefix by "inserting" the next element

Run Time?

$\Theta(n^2)$

(but with very small constants)

Great for short lists!

In Place?	Adaptive?	Stable?	Parallelizable?
Yes!	Yes	Yes	No

Can sort a list as it is received, i.e., don't need the entire list to begin sorting

Online?

Yes

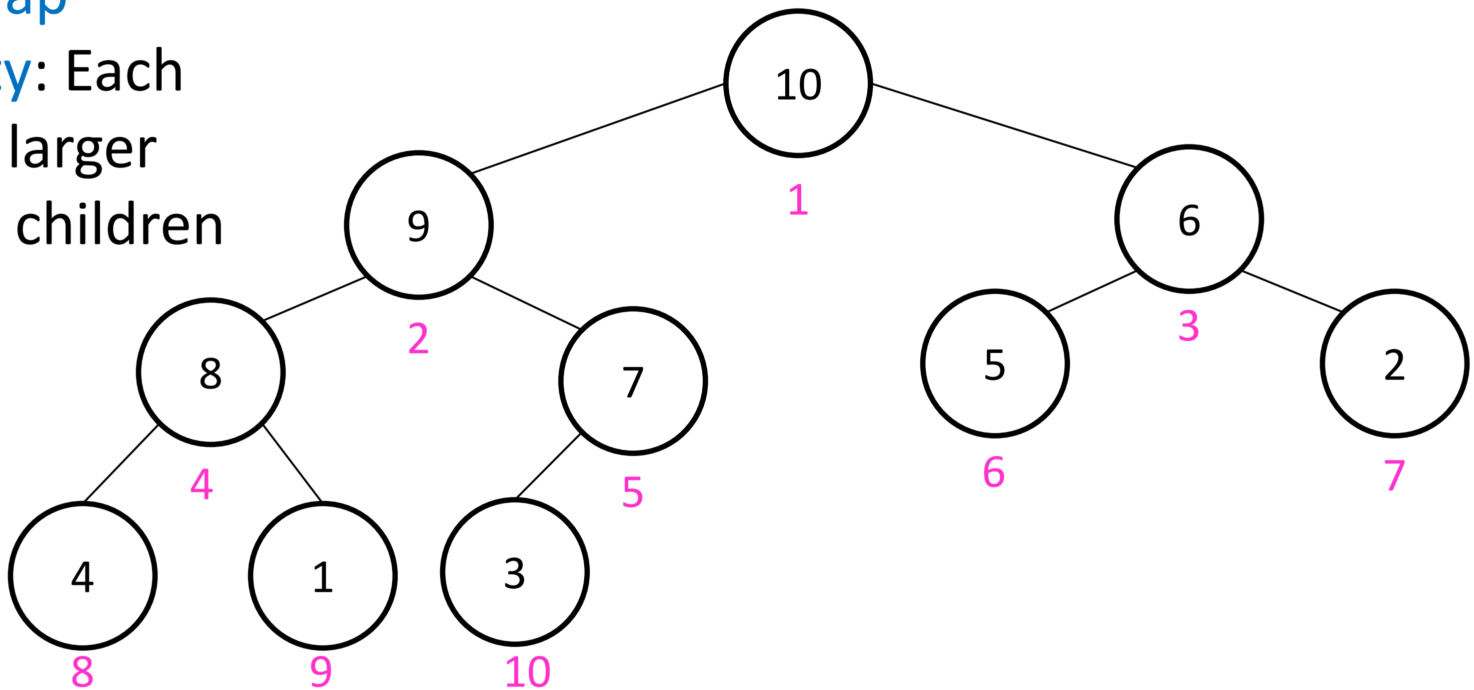
"All things considered, it's actually a pretty good sorting algorithm!" –Nate Brunelle

Heap Sort

- Idea: Build a Heap, repeatedly extract max element from the heap to build sorted list Right-to-Left

0	1	2	3	4	5	6	7	8	9	10
	10	9	6	8	7	5	2	4	1	3

Max Heap Property: Each node is larger than its children

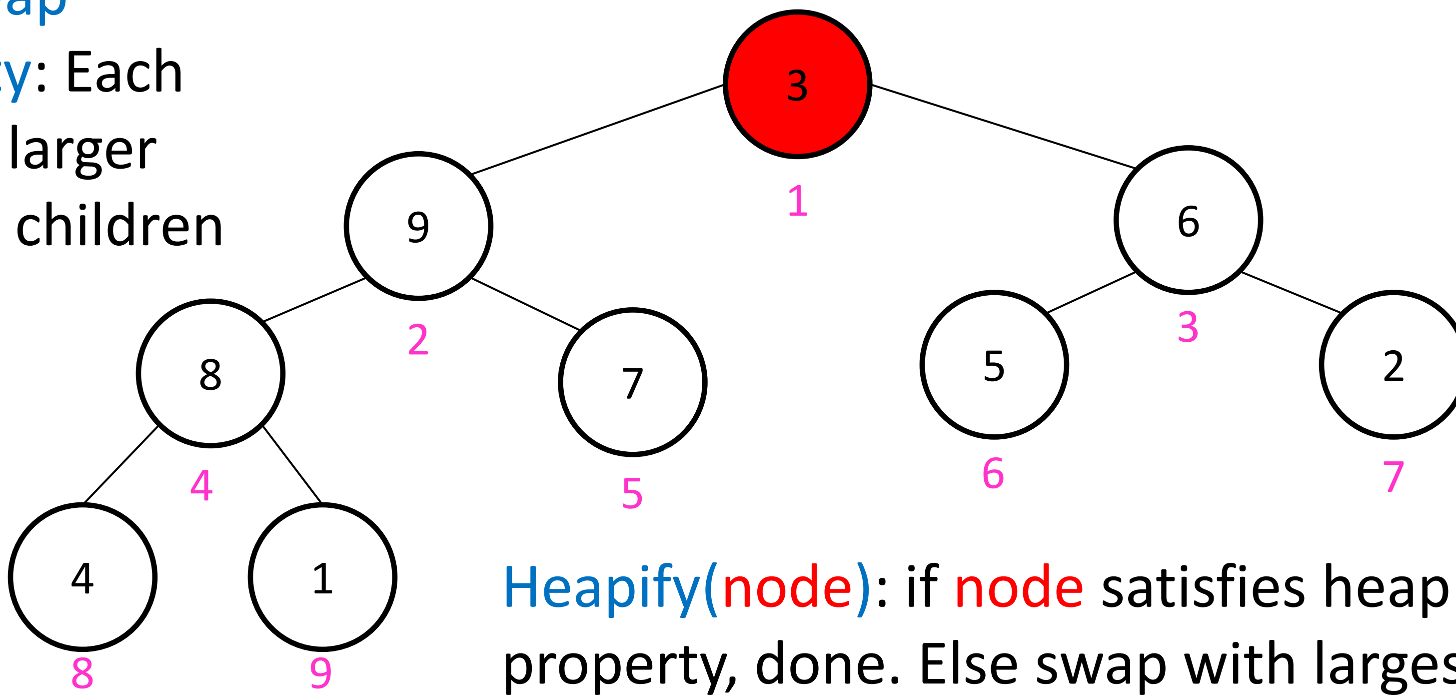


Heap Sort

- Remove the Max element (i.e. the root) from the Heap: replace with last element, call Heapify(root)

	3	9	6	8	7	5	2	4	1	
0	1	2	3	4	5	6	7	8	9	10

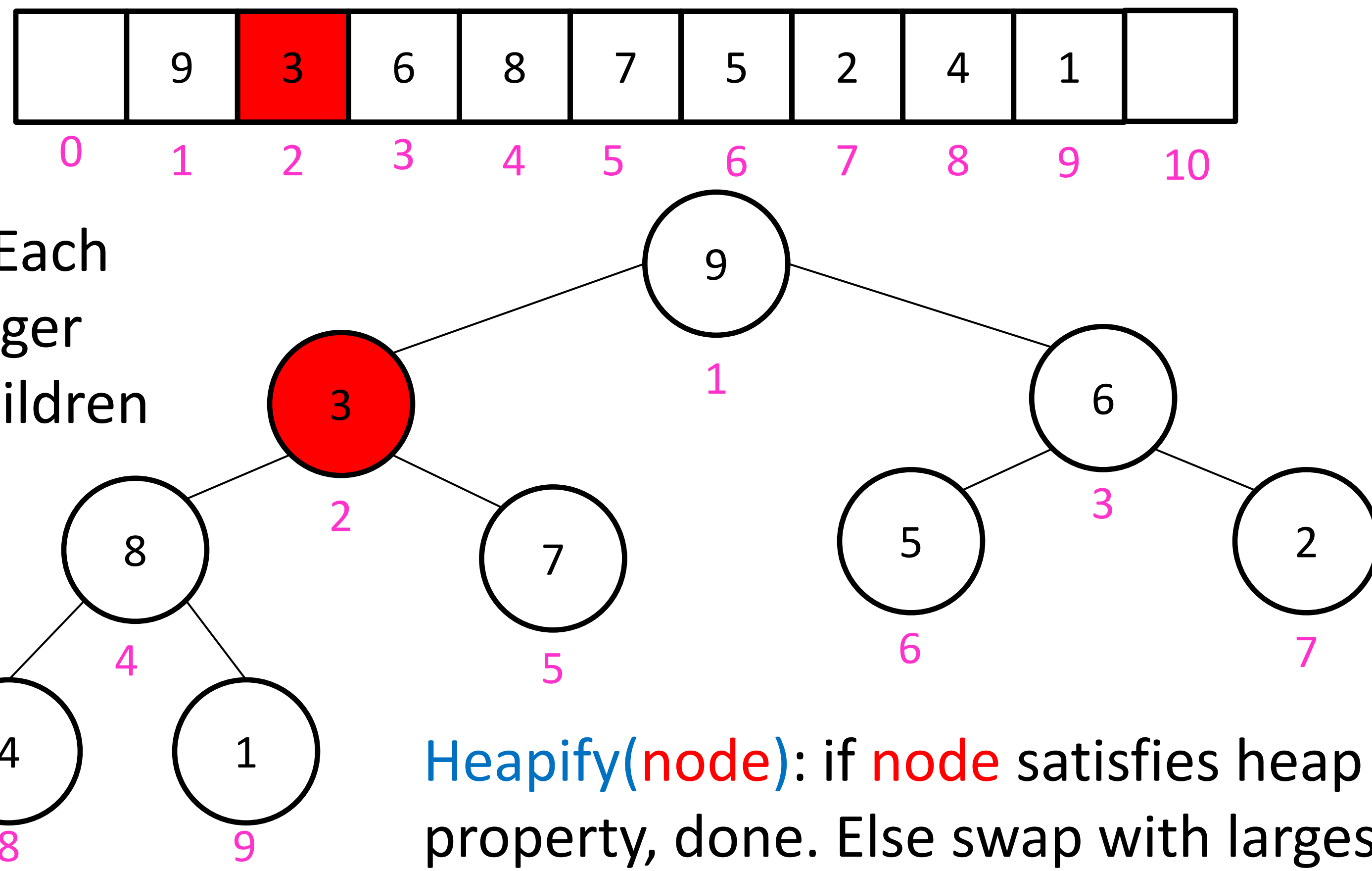
Max Heap Property: Each node is larger than its children



Heapify(node): if node satisfies heap property, done. Else swap with largest child and recurse on that subtree

Heap Sort

- Remove the Max element (i.e. the root) from the Heap: replace with last element, call Heapify(root)



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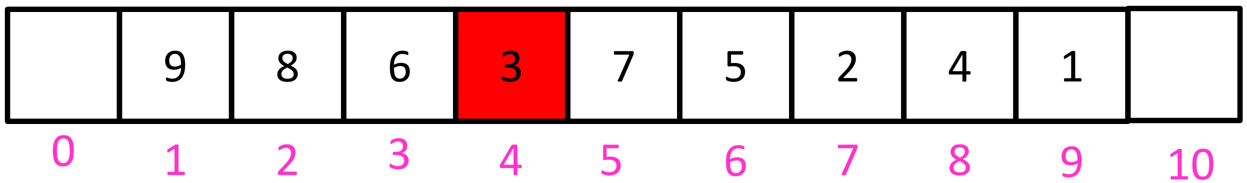

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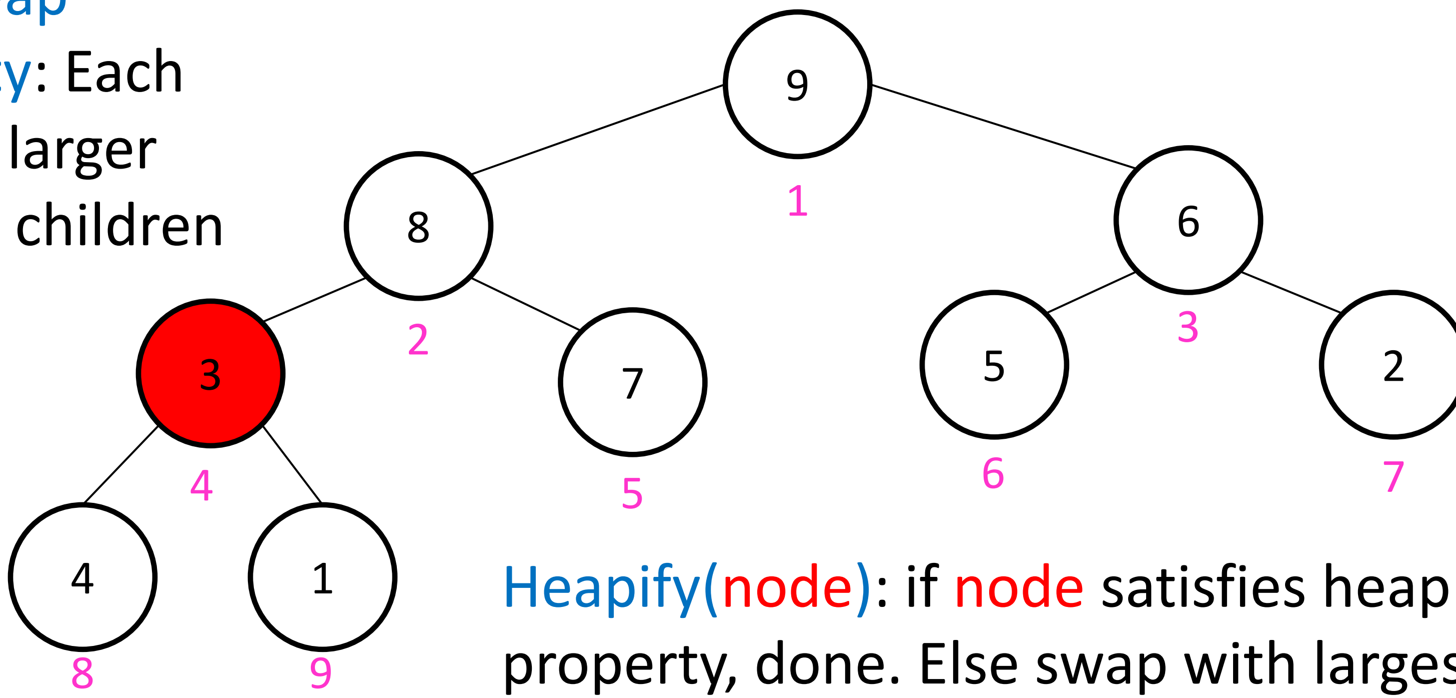

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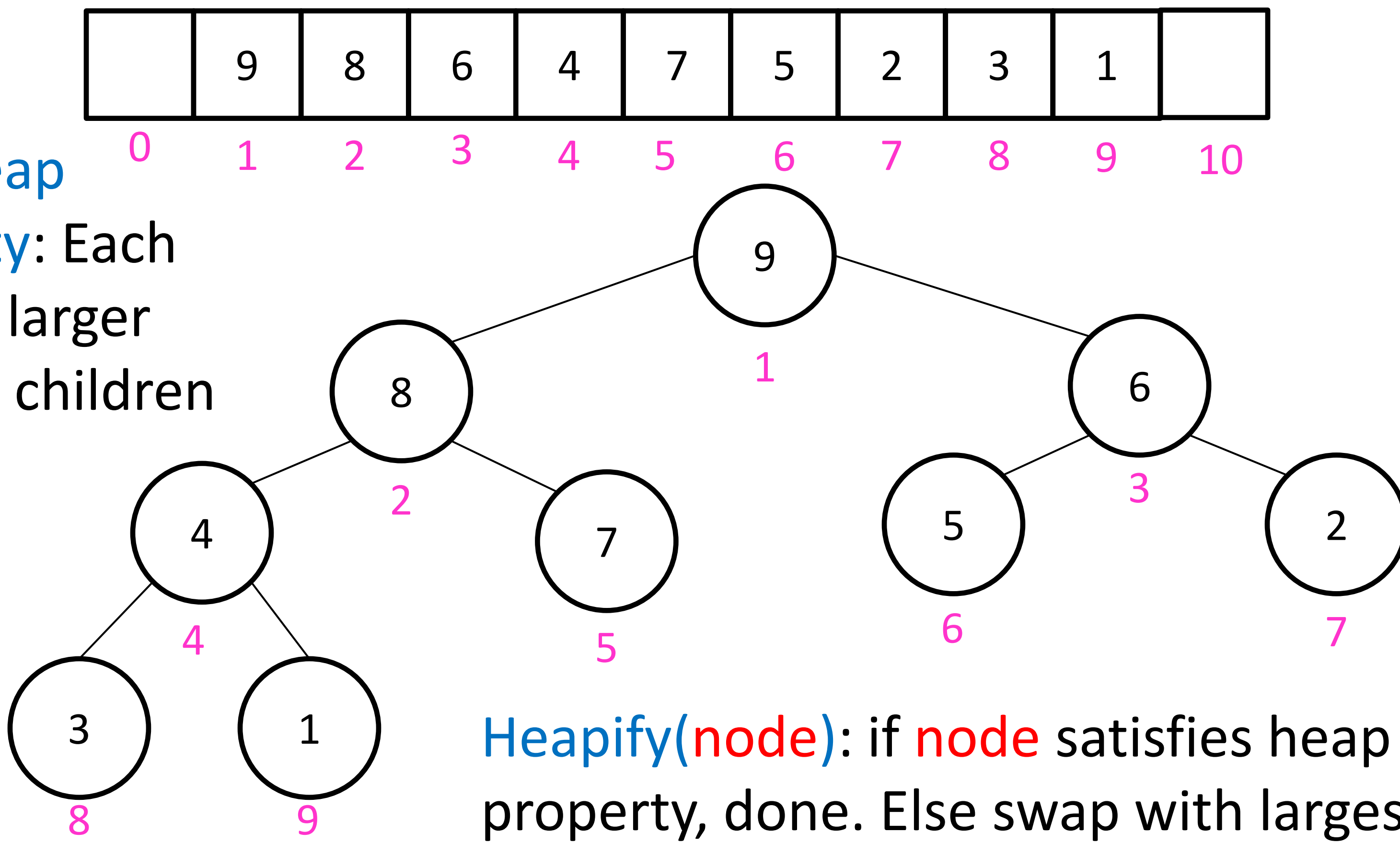

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Heap Sort

- Idea: Build a Heap, repeatedly extract max element from the heap to build sorted list Right-to-Left

Run Time?

$\Theta(n \log n)$

Constants worse than Quick Sort

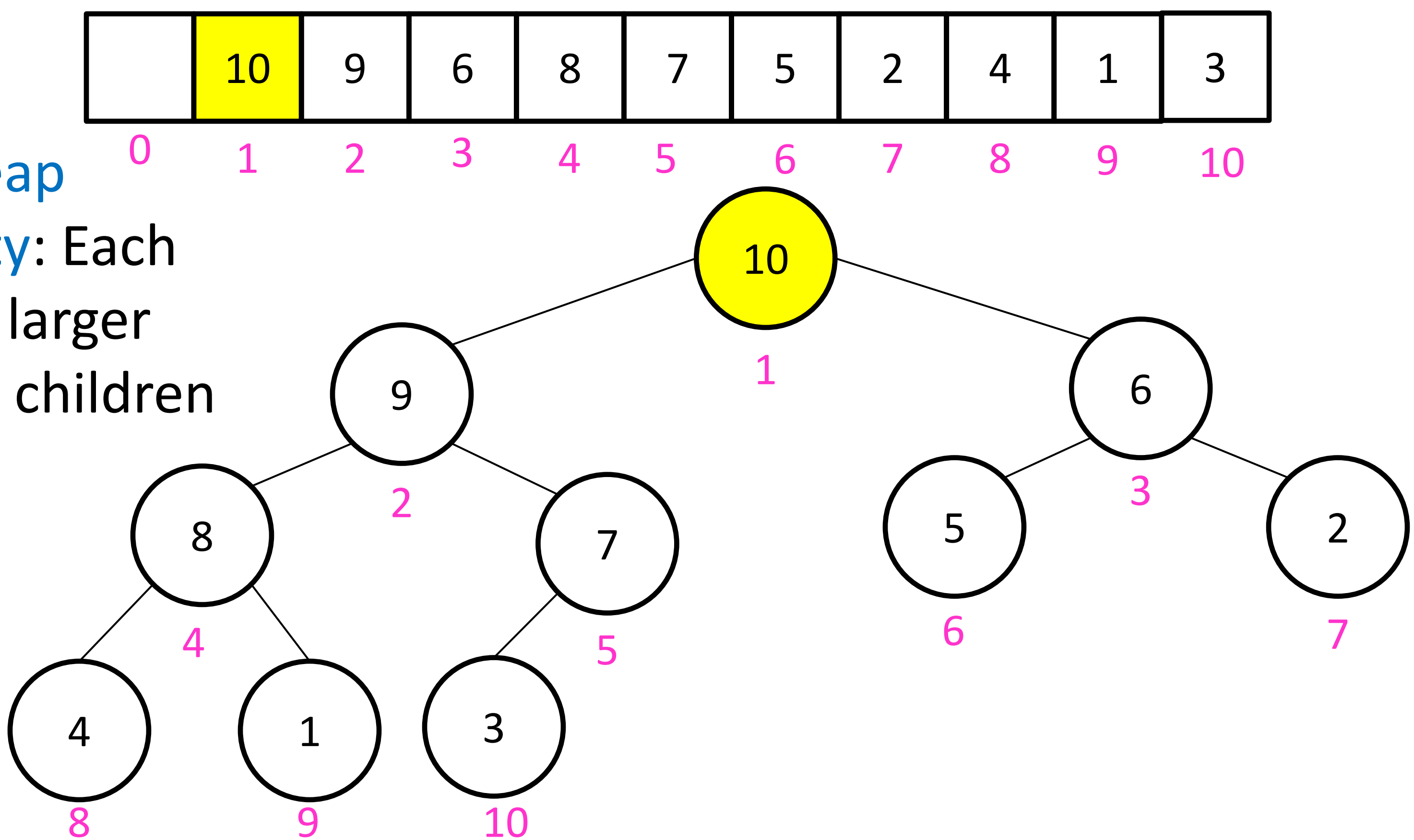
In Place?

Yes!

When removing an element from the heap, move it to the (now unoccupied) end of the list

In Place Heap Sort

- Idea: When removing an element from the heap, move it to the (now unoccupied) end of the list



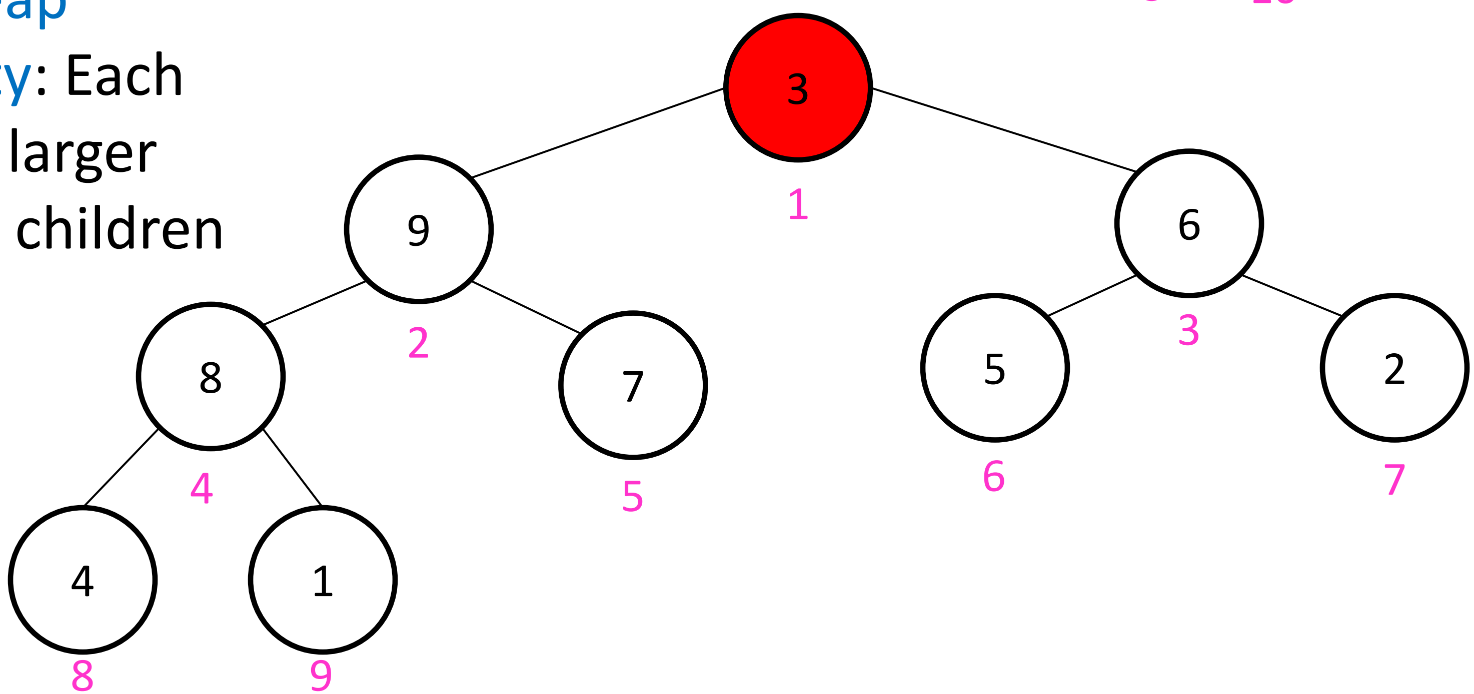
Max Heap Property: Each node is larger than its children

In Place Heap Sort

- Idea: When removing an element from the heap, move it to the (now unoccupied) end of the list

	3	9	6	8	7	5	2	4	1	10
0	1	2	3	4	5	6	7	8	9	10

Max Heap Property: Each node is larger than its children

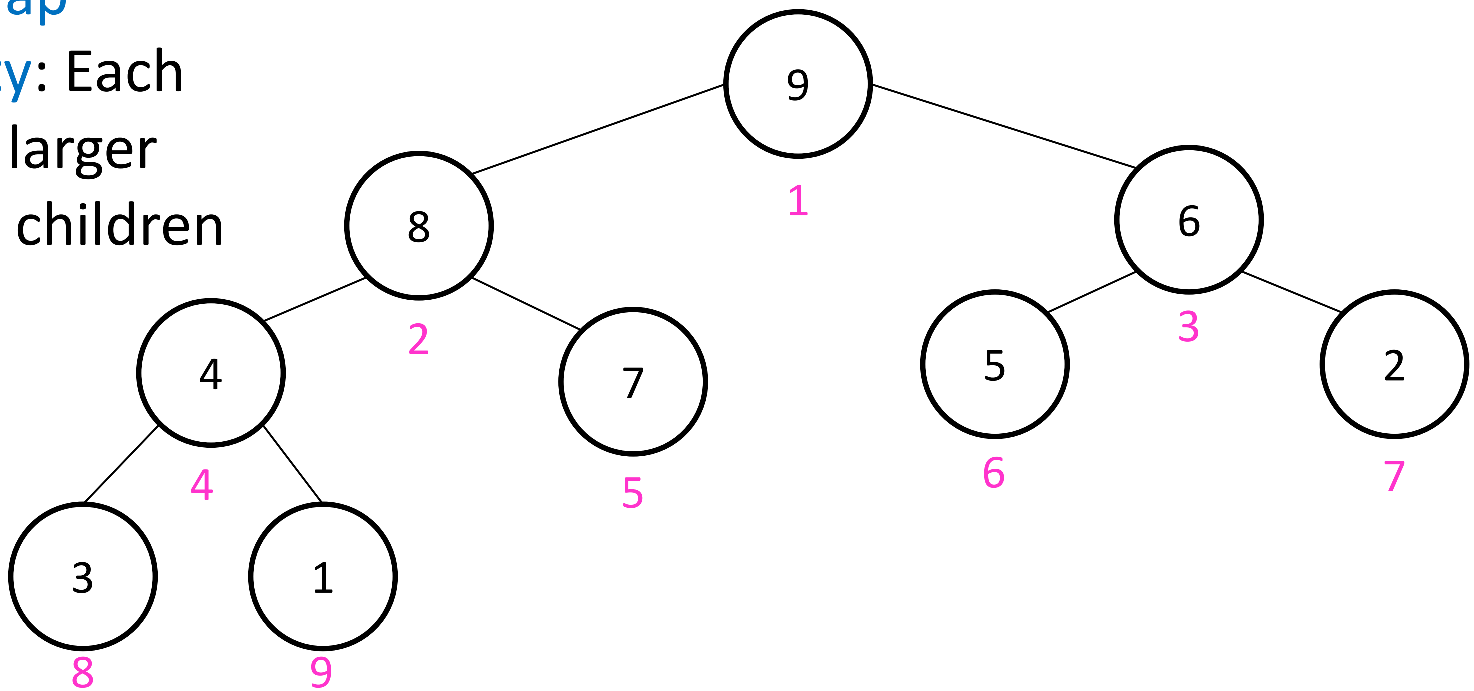


In Place Heap Sort

- Idea: When removing an element from the heap, move it to the (now unoccupied) end of the list

	9	8	6	4	7	5	2	3	1	10
0	1	2	3	4	5	6	7	8	9	10

Max Heap Property: Each node is larger than its children

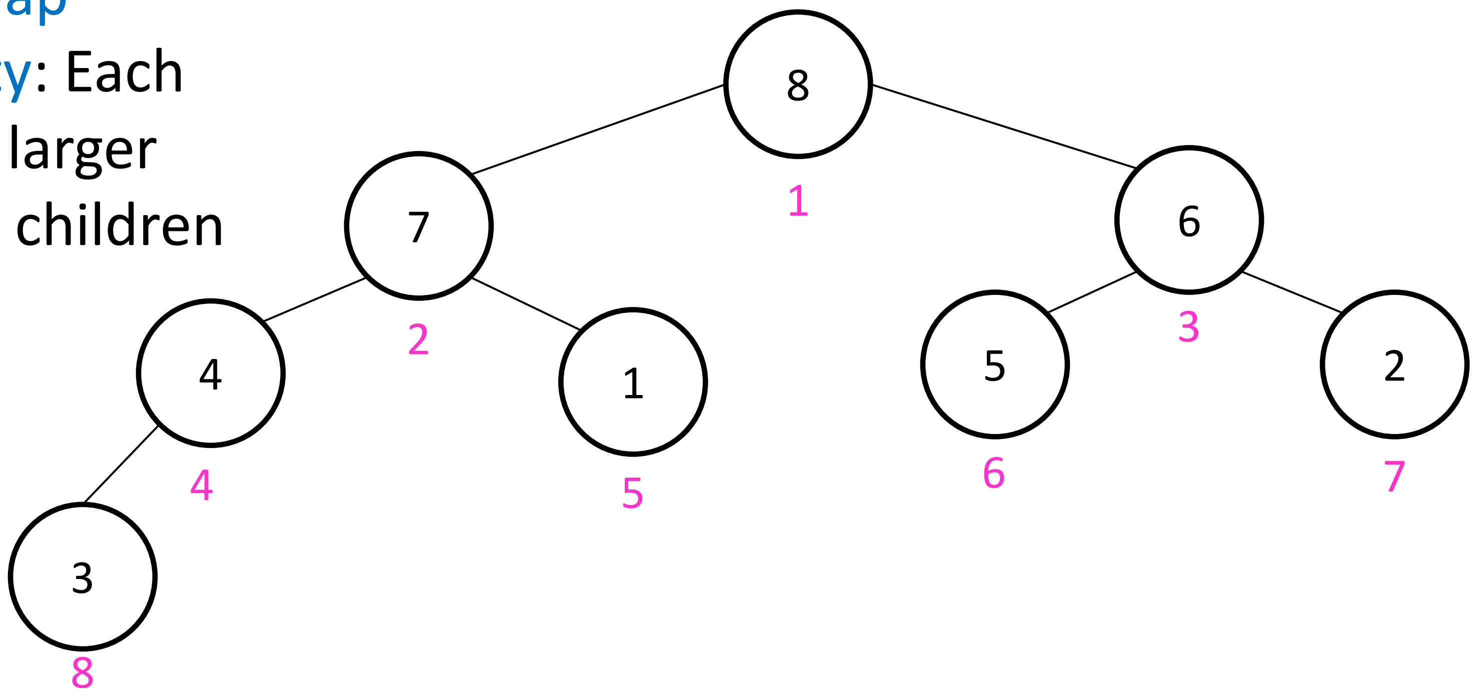


In Place Heap Sort

- Idea: When removing an element from the heap, move it to the (now unoccupied) end of the list

0	1	2	3	4	5	6	7	8	9	10
	8	7	6	4	1	5	2	3	9	10

Max Heap Property: Each node is larger than its children

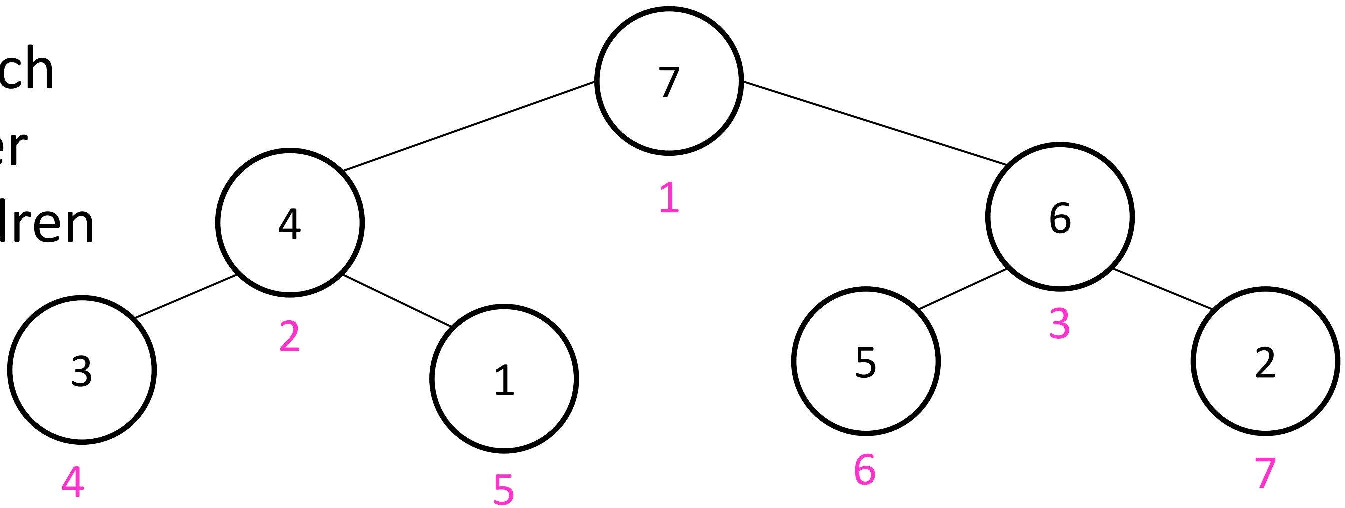


In Place Heap Sort

- Idea: When removing an element from the heap, move it to the (now unoccupied) end of the list

	7	4	6	3	1	5	2	8	9	10
0	1	2	3	4	5	6	7	8	9	10

Max Heap Property: Each node is larger than its children



Heap Sort

- Idea: Build a Heap, repeatedly extract max element from the heap to build sorted list Right-to-Left

Run Time?

$\Theta(n \log n)$

Constants worse than Quick Sort

In Place?	Adaptive?	Stable?	Parallelizable?
Yes!	No	No (HW4)	No

Sorting in Linear Time

- Cannot be comparison-based
- Need to make some sort of assumption about the contents of the list
  - Small number of unique values
  - Small range of values
  - Etc.

Counting Sort

- Idea: For each element, count how many times it appears

0	1	2	3	4	5	6	7
2	5	3	0	2	3	0	5

Range is $[0, k]$ (here $[0,5]$)
make an array C of size k
populate with counts of each value

$C =$

0	1	2	3	4	5
2	0	2	3	0	1

Print each element i, $C[i]$ times

0	1	2	3	4	5	6	7
0	0	2	2	3	3	3	5

Run Time: $O(n + k)$

Memory: $O(n + k)$

Counting Sort

- Why not always use counting sort?
- For 64-bit numbers, requires an array of length $2^{64} > 10^{19}$
  - 5 GHz CPU will require > 116 years to initialize the array
  - 18 Exabytes of data
    - Total amount of data that Google has

12 Exabytes

Radix Sort

- Idea: Stable sort on each digit, from least significant to most significant

103	801	401	323	255	823	999	101	113	901	555	512	245	800	018	121
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15

Place each element into a “bucket” according to its 1’s place

800	801 401 101 901 121	512	103 323 823 113		255 555 245			018	999
0	1	2	3	4	5	6	7	8	9

Radix Sort

- Idea: Stable sort on each digit, from least significant to most significant

Place each element into a "bucket" according to its 10's place

800	801 401 101 901 121	512	103 323 823 113		255 555 245			018	999
0	1	2	3	4	5	6	7	8	9

800 801 401 101 901 103	512 113 018	121 323 823		245	255 555				999
0	1	2	3	4	5	6	7	8	9

Radix Sort

- Idea: Stable sort on each digit, from least significant to most significant

Place each element into a "bucket" according to its 100's place

0	1	2	3	4	5	6	7	8	9
800 801 401 101 901 103	512 113 018	121 323 823		245	255 555				999

0	1	2	3	4	5	6	7	8	9
018	101 103 113 121	245 255	323	401	512 555			800 801 823	901 999

Run Time: $O\big(d(n+b)\big)$

d = digits in largest value

b = base of representation