#### CS4102 Algorithms

Nate Brunelle

Spring 2018

#### Warm up:

Show that the sum of degrees of all nodes in any undirected graph is even

Show that for any graph G = (V, E),  $\sum_{v \in V} \deg(v)$  is even

# $\sum_{v \in V} \deg(v)$ is always even

- deg(v) counts the number of edges incident v
- Consider any edge  $e \in E$
- This edge is incident 2 vertices (on each end)
- This means  $2 \cdot |E| = \sum_{v \in V} \deg(v)$
- Therefore  $\sum_{v \in V} \deg(v)$  is even

## Today's Keywords

- Greedy Algorithms
- Choice Function
- Graphs
- Minimum Spanning Tree
- Kruskal's Algorithm
- Prim's Algorithm
- Cut Theorem

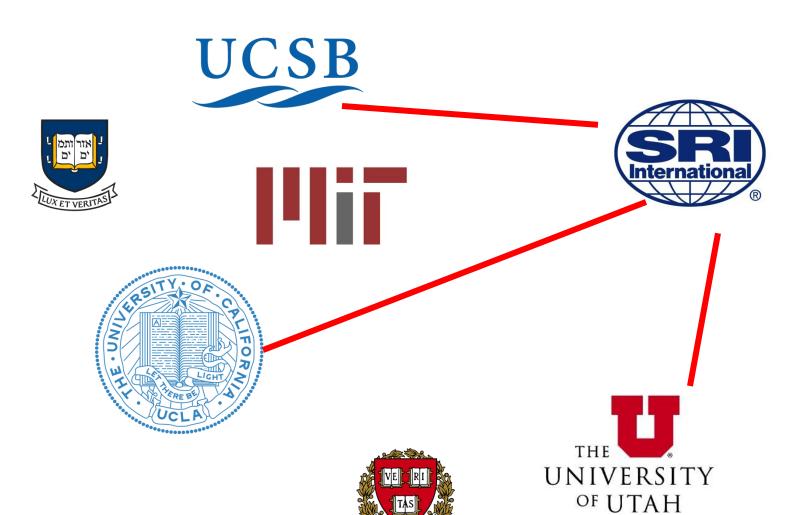
## **CLRS** Readings

- Chapter 22
- Chapter 23

#### Homeworks

- HW6 Released
  - Due Friday 4/13 at 11pm
  - Written (use latex)
  - DP and Greedy

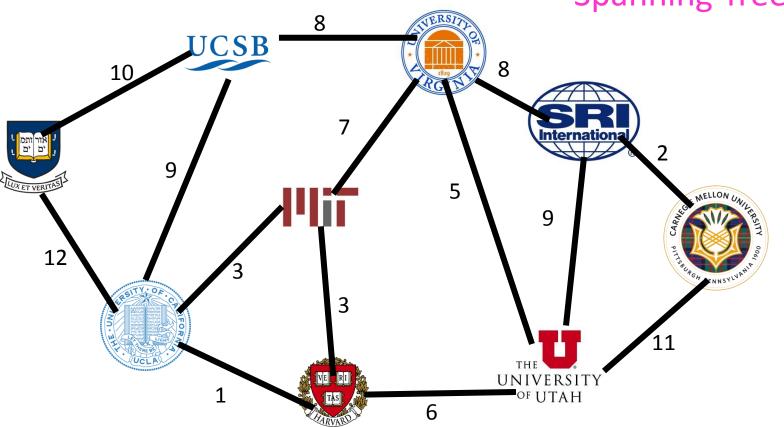
#### **ARPANET**





#### Problem

Find a
Minimum
Spanning Tree



We need to connect together all these places into a network We have feasible wires to run, plus the cost of each wire Find the cheapest set of wires to run to connect all places

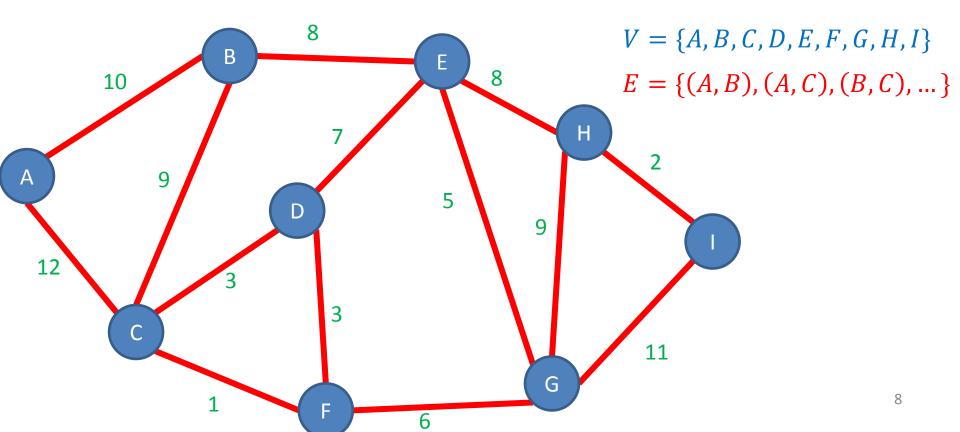
## Graphs

Vertices/Nodes

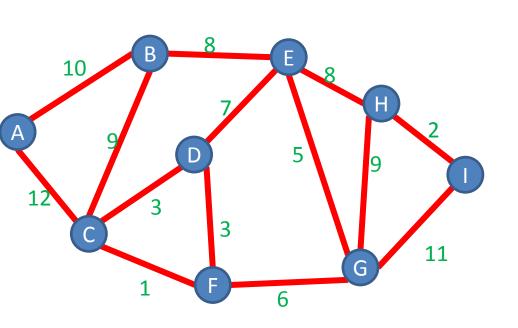
Definition: 
$$G = (V, E)$$

weight of edge  $e$ 

w(e) = weight of edge e



#### Adjacency List Representation



#### **Tradeoffs**

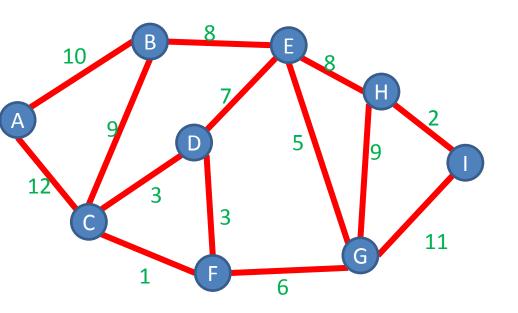
Space: V + E

Time to list neighbors: Degree(A)

Time to check edge (A, B): Degree(A)

А	В	С		
В	А	С	E	
С	А	В	D	Ε
D	С	Е	F	
Е	В	D	G	Н
F	С	D	G	
G	Е	F	Н	-1
Н	Е	G	1	
1	G	Н		0

## Adjacency Matrix Representation



	А	В	С	D	Ε	F	G	Н	T
Α		1	1						
В	1		1		1				
С	1	1		1					
D			1		1	1			
Ε		1		1			1	1	
F			1	1			1		
G					1	1		1	1
Н					1		1		1
1							1	1	

#### **Tradeoffs**

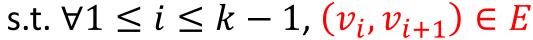
Space: V<sup>2</sup>

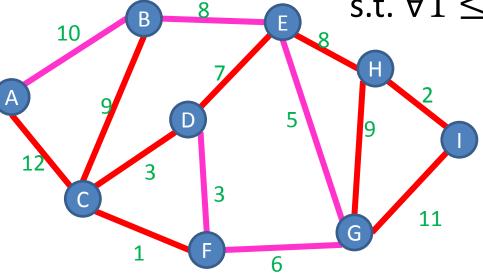
Time to list neighbors: V

Time to check edge (A, B):O(1)

#### **Definition: Path**

A sequence of nodes  $(v_1, v_2, ..., v_k)$ 





#### Simple Path:

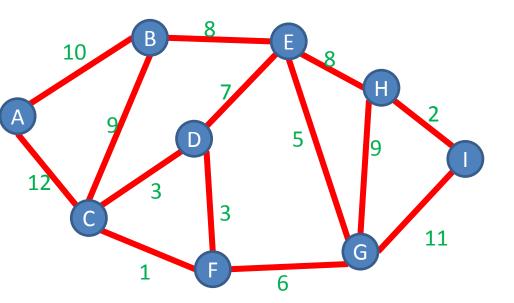
A path in which each node appears at most once

#### Cycle:

A path of > 2 nodes in which  $v_1 = v_k$ 

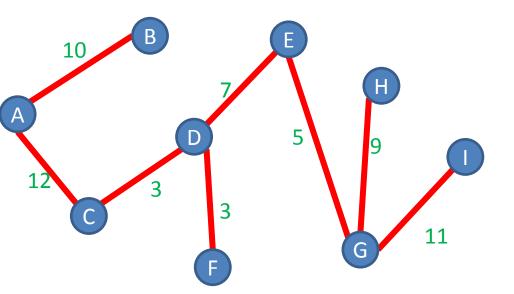
## Definition: Connected Graph

A Graph G = (V, E) s.t. for any pair of nodes  $v_1, v_2 \in V$  there is a path from  $v_1$  to  $v_2$ 



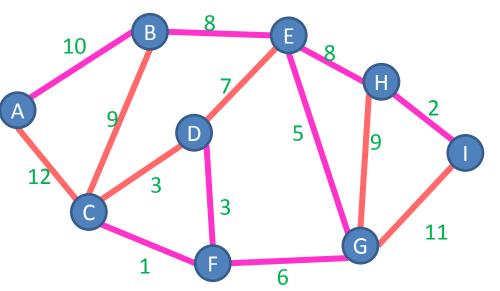
#### Definition: Tree

#### A connected graph with no cycles



#### Definition: Minimum Spanning Tree

A Tree  $T = (V_T, E_T)$  which connects ("spans") all the nodes in a graph G = (V, E), that has minimal cost

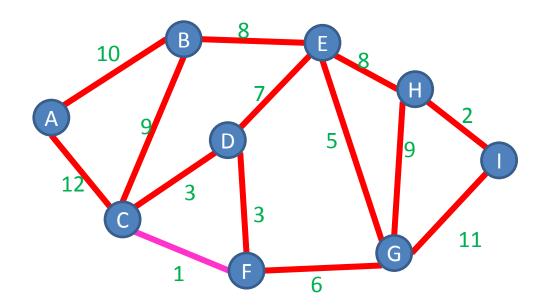


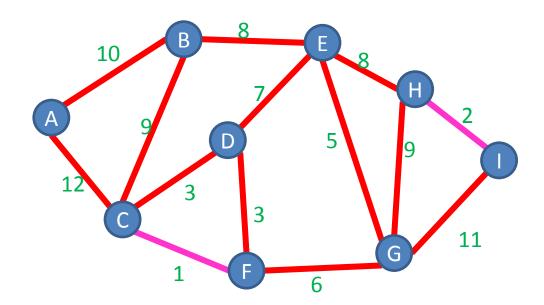
$$Cost(T) = \sum_{e \in E_T} w(e)$$

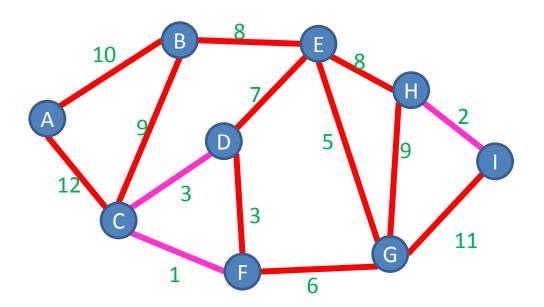
How many edges does T have? V-1

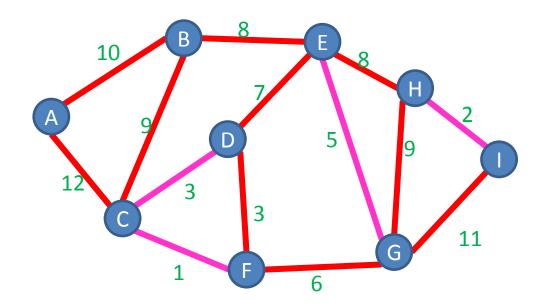
#### **Greedy Algorithms**

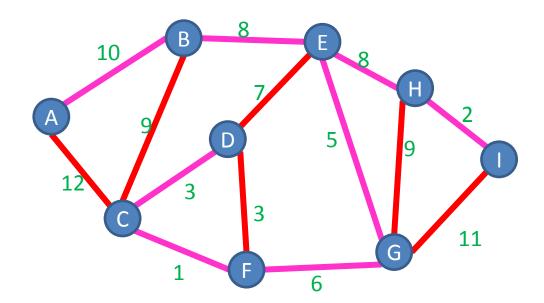
- Require Optimal Substructure
  - Solution to larger problem contains the solution to a smaller one
  - Only one subproblem to consider!
- Idea:
  - 1. Identify a greedy choice property
    - How to make a choice guaranteed to be included in some optimal solution
  - 2. Repeatedly apply the choice property until no subproblems remain





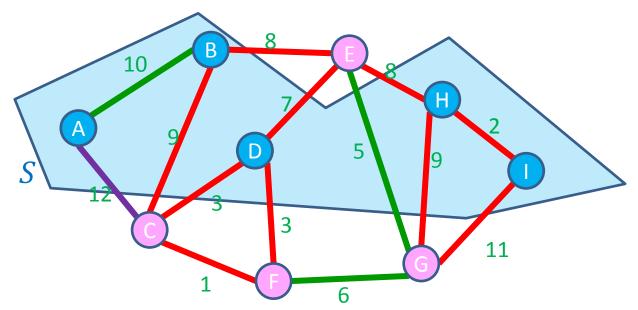






#### **Definition:** Cut

A Cut of graph G = (V, E) is a partition of the nodes into two sets, S and V - S



Edge  $(v_1, v_2) \in E$  crosses a cut if  $v_1 \in S$  and  $v_2 \in V - S$  (or opposite), e.g. (A, C)

A set of edges R Respects a cut if no edges cross the cut e.g.  $R = \{(A, B), (E, G), (F, G)\}$ 

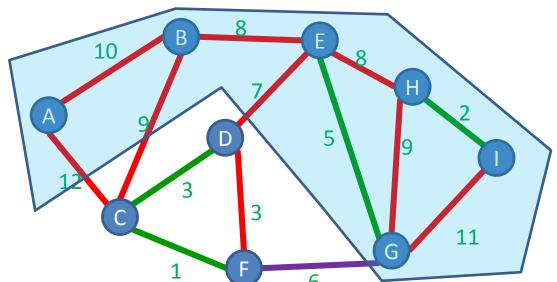
### Exchange argument

- Shows correctness of a greedy algorithm
- Idea:
  - Show exchanging an item from an arbitrary optimal solution with your greedy choice makes the new solution no worse
  - How to show my sandwich is at least as good as yours:
    - Show: "I can remove any item from your sandwich, and it would be no worse by replacing it with the same item

from my sandwich"

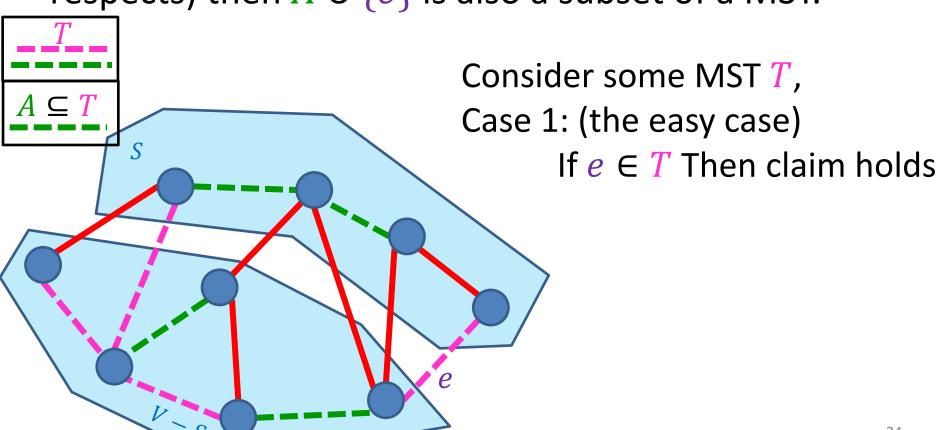
#### **Cut Theorem**

If a set of edges A is a subset of a minimum spanning tree T, let (S, V - S) be any cut which A respects. Let e be the least-weight edge which crosses (S, V - S).  $A \cup \{e\}$  is also a subset of a minimum spanning tree.



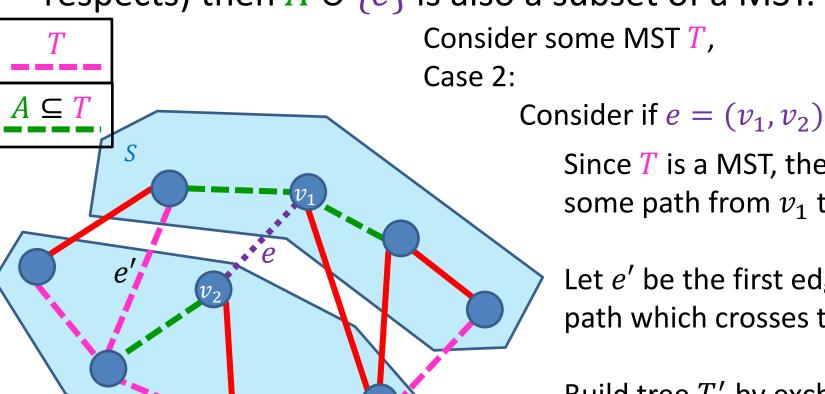
#### **Proof of Cut Theorem**

Claim: If A is a subset of a MST T, and e is the leastweight edge which crosses cut (S, V - S) (which A respects) then  $A \cup \{e\}$  is also a subset of a MST.



#### **Proof of Cut Theorem**

Claim: If A is a subset of a MST T, and e is the leastweight edge which crosses cut (S, V - S) (which A respects) then  $A \cup \{e\}$  is also a subset of a MST.



Consider if  $e = (v_1, v_2) \notin T$ 

Since *T* is a MST, there is some path from  $v_1$  to  $v_2$ .

Let e' be the first edge on this path which crosses the cut

Build tree T' by exchanging e' for e 25

#### **Proof of Cut Theorem**

Claim: If A is a subset of a MST T, and e is the leastweight edge which crosses cut (S, V - S) (which A respects) then  $A \cup \{e\}$  is also a subset of a MST.

Consider some MST T,

Case 2:

if 
$$e = (v_1, v_2) \notin T$$

T' = T with edge e instead of e'

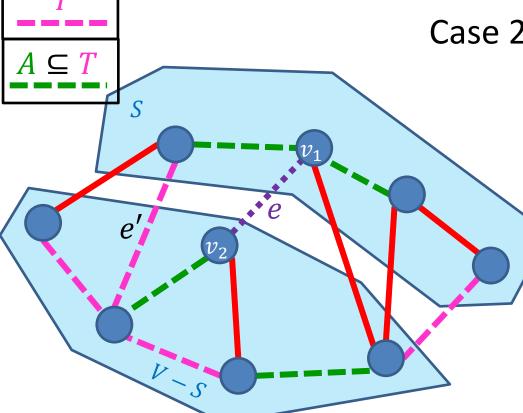
We assumed  $w(e) \le w(e')$ 

$$w(T') = w(T) - w(e') + w(e)$$

$$w(T') \le w(T)$$

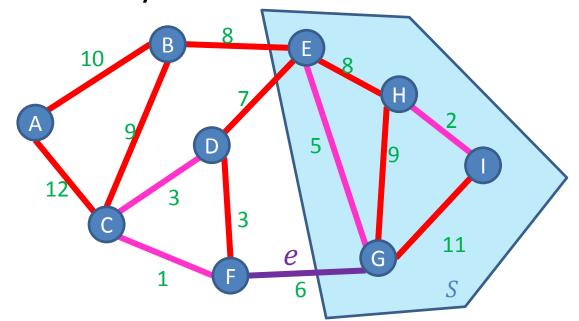
So T' is also a MST!

Thus the claim holds



Start with an empty tree ARepeat V-1 times: Keep edges in a Disjoint-set data structure (very fancy)  $O(E \log V)$ 

Add the min-weight edge that doesn't cause a cycle

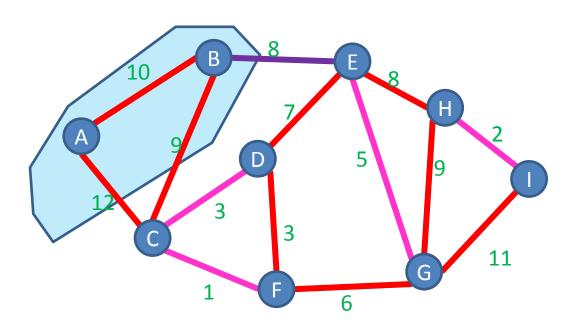


#### General MST Algorithm

Start with an empty tree ARepeat V-1 times:

Pick a cut (S, V - S) which A respects

Add the min-weight edge which crosses (S, V - S)



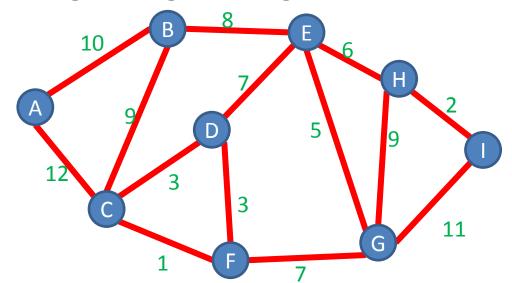
Start with an empty tree A

Repeat V-1 times:

Pick a cut (S, V - S) which A respects

Add the min-weight edge which crosses (S, V - S)

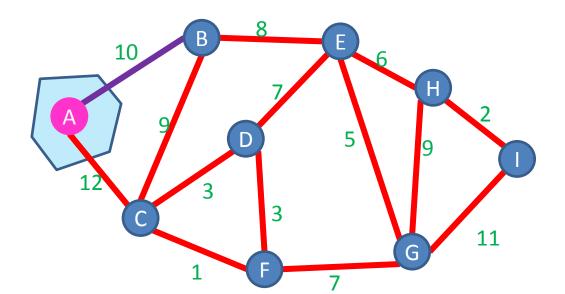
- S is all endpoint of edges in A
- e is the min-weight edge that grows the tree



Start with an empty tree A

Pick a start node

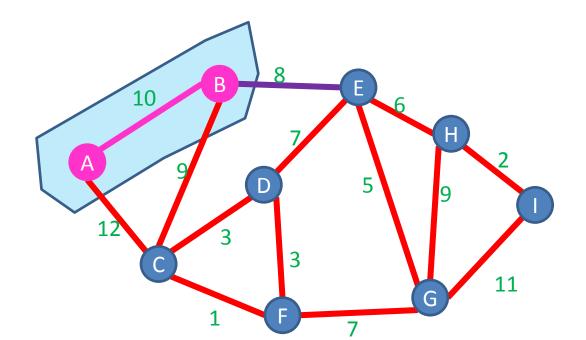
Repeat V-1 times:



Start with an empty tree A

Pick a start node

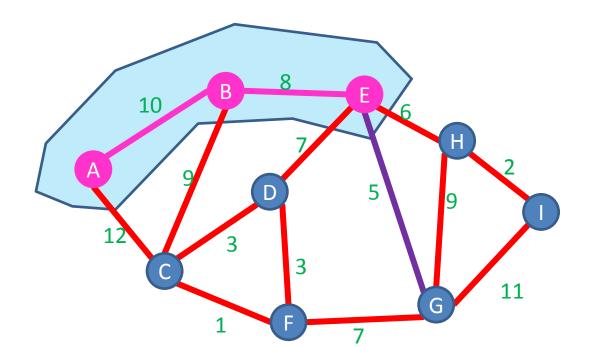
Repeat V-1 times:



Start with an empty tree A

Pick a start node

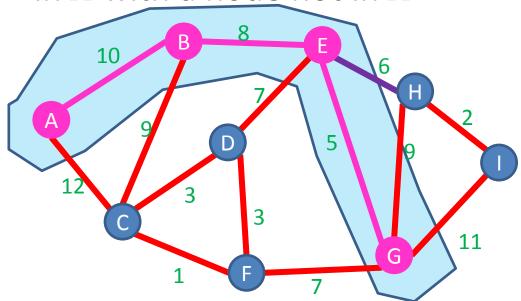
Repeat V-1 times:



Start with an empty tree A

Pick a start node

Repeat V-1 times:

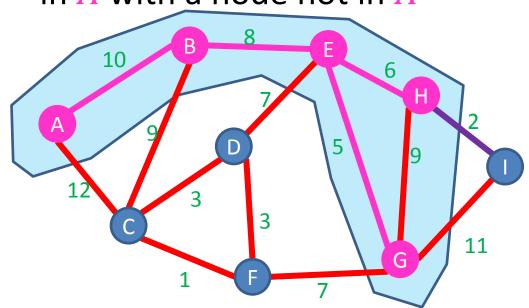


Start with an empty tree A

Pick a start node

Keep edges in a Heap  $O(E \log V)$ 

Repeat V-1 times:

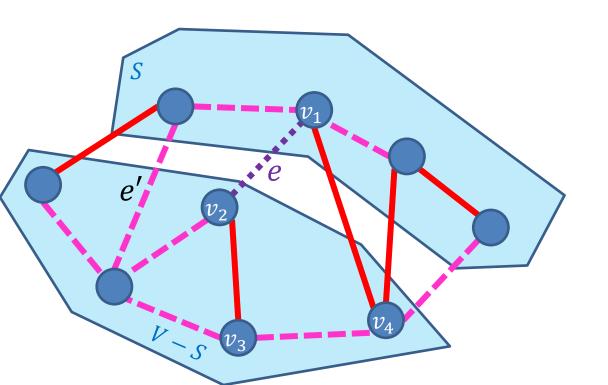


### Summary of MST results

- Fredman-Tarjan '84:  $\Theta(E + V \log V)$
- Gabow et al '86:  $\Theta(E \log \log^* V)$
- Chazelle '00:  $\Theta(E\alpha(V))$
- Pettie-Ramachandran '02:Θ(?)(optimal)
  - Karger-Klein-Tarjan '95:  $\Theta(E)$  (randomized)

[read and summarize any/all for EC]

Consider any cycle in a graph G = (V, E), the maximum weight edge on that cycle is *not* in *some* MST of G

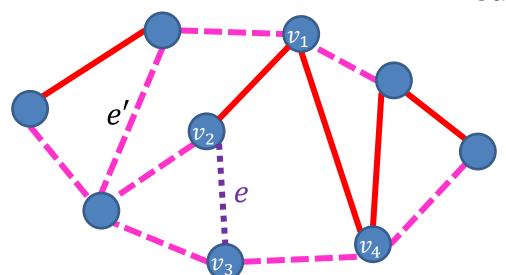


Consider any cycle  $v_1, v_2, ... v_k, v_1$  in a graph G = (V, E), the maximum weight edge e on that cycle is *not* in *some* MST of G

Consider some MST T,

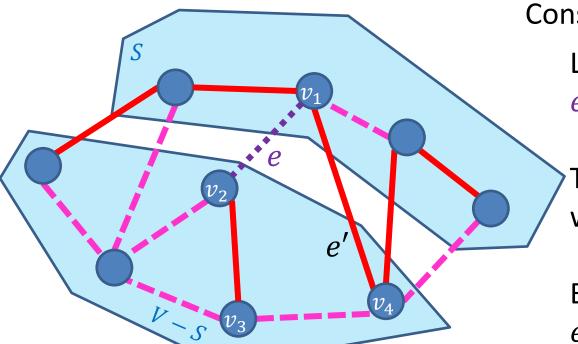
Case 1: (the easy case)

If  $e \notin T$  Then claim holds



Consider any cycle  $c=(v_1,v_2,...v_k,v_1)$  in a graph G=(V,E), the maximum weight edge e on that cycle is *not* in *some* MST of G

Consider some MST T, Case 2:



Consider if  $e = (v_1, v_2) \in T$ 

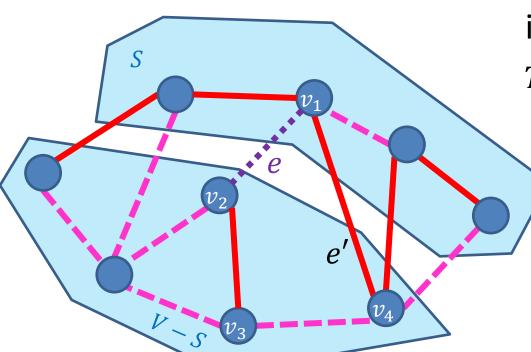
Let (S, V - S) be a cut which e crosses

There is some other edge e' which crosses (S, V - S)

Build tree T' by exchanging e' for e

Consider any cycle  $c=(v_1,v_2,...v_k,v_1)$  in a graph G=(V,E), the maximum weight edge e on that cycle is *not* in *some* MST of G

Consider some MST *T*, Case 2:



if 
$$e = (v_1, v_2) \in T$$

T' = T with edge e' instead of e

We assumed  $w(e) \ge w(e')$ 

$$w(T') = w(T) - w(e) + w(e')$$

 $w(T') \le w(T)$ 

So T' is also a MST!

Thus the claim holds