

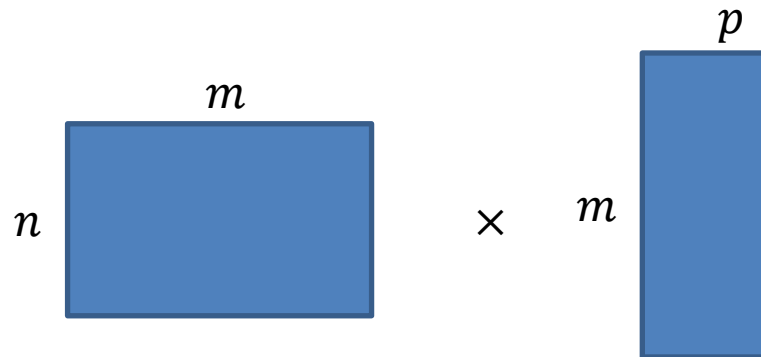
# CS4102 Algorithms

Nate Brunelle

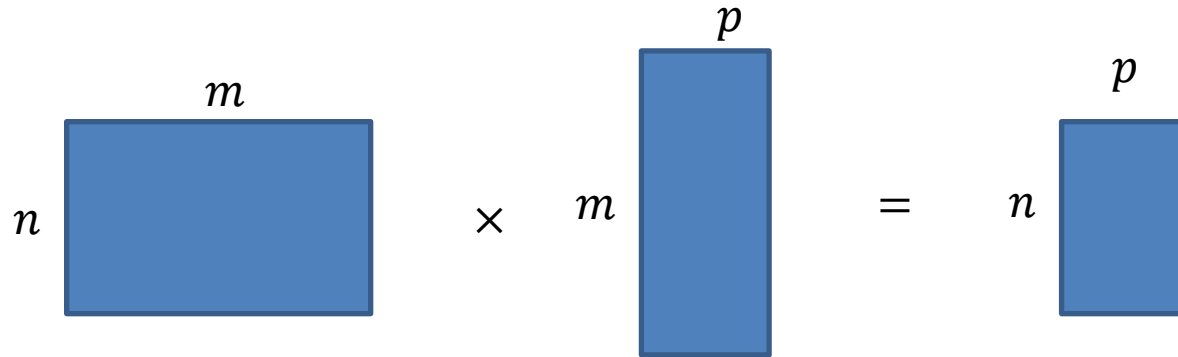
Spring 2018

## Warm up

How many arithmetic operations are required to multiply a  $n \times m$  Matrix with a  $m \times p$  Matrix?  
(don't overthink this)



How many arithmetic operations are required to multiply a  $n \times m$  Matrix with a  $m \times p$  Matrix?



- $m$  multiplications and additions per element
- $n \cdot p$  elements to compute
- Total cost:  $m \cdot n \cdot p$

# Today's Keywords

- Dynamic Programming
- Matrix Chaining
- Longest Common Subsequence

# CLRS Readings

- Chapter 15

# Homeworks

- Hw4 due 11pm Friday March 16
  - Sorting
  - Written

# Midterm

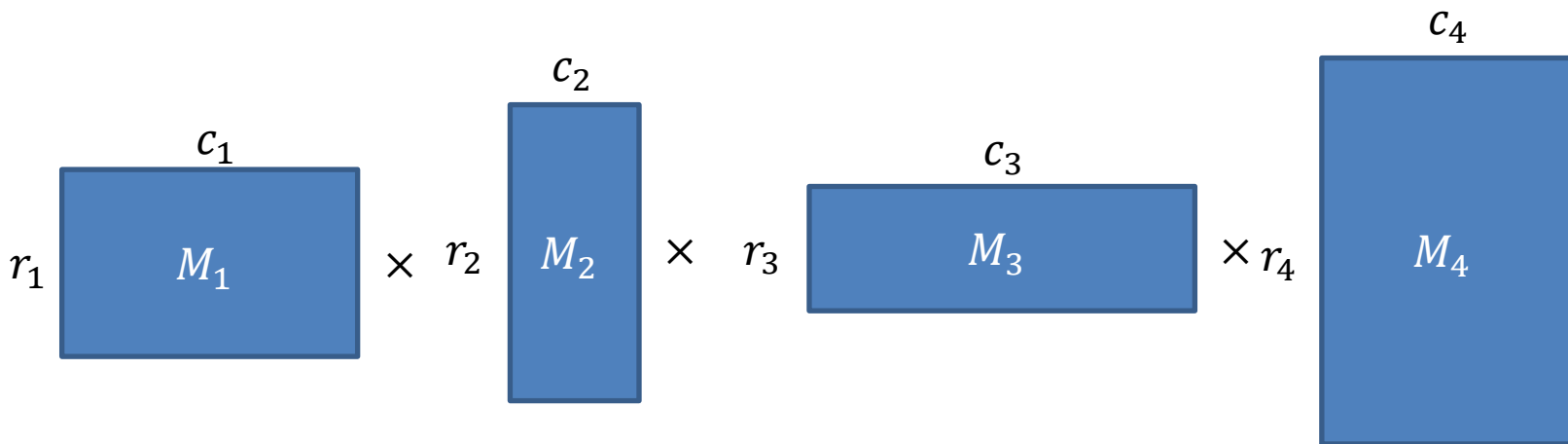
- Monday March 19 in class
  - Covers all content through sorting
  - We will have a review session the weekend before

# Dynamic Programming

- Requires **Optimal Substructure**
  - Solution to larger problem contains the solutions to smaller ones
- Idea:
  1. Identify recursive structure of the problem
    - What is the “last thing” done?
  2. Select a good order for solving subproblems
    - Usually smallest problem first

# Matrix Chaining

- Given a sequence of Matrices  $(M_1, \dots, M_n)$ , what is the most efficient way to multiply them?

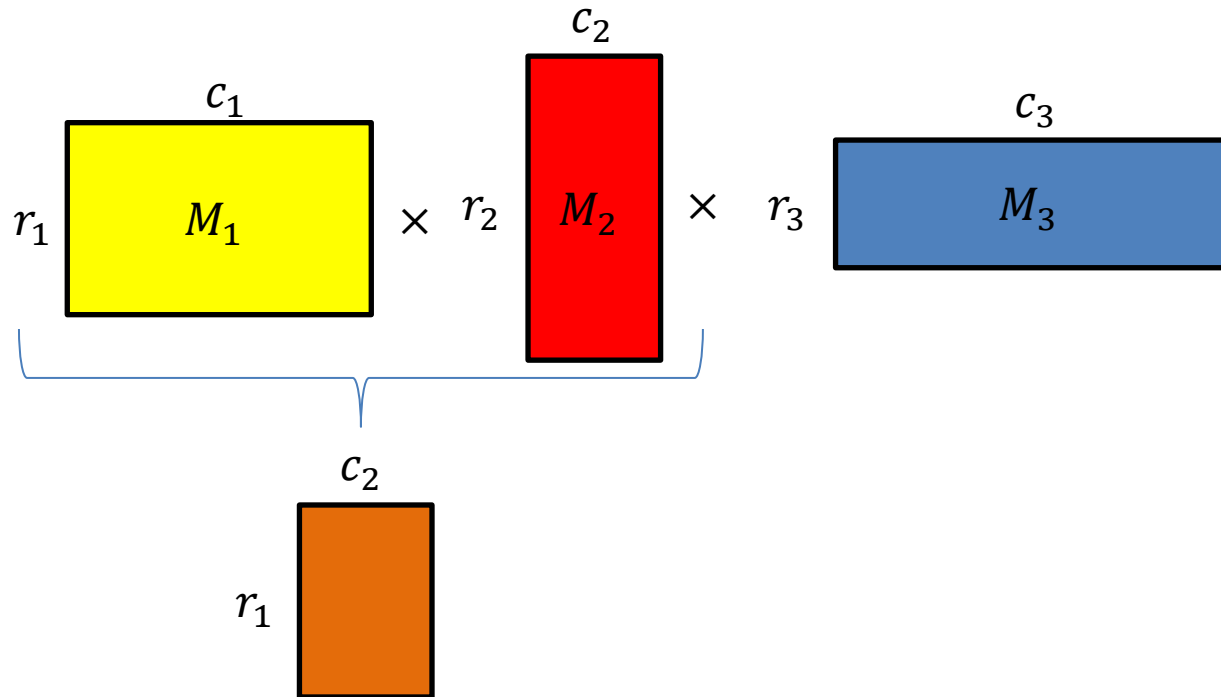




$$c_1 = r_2$$

$$c_2 = r_3$$

# Order Matters!

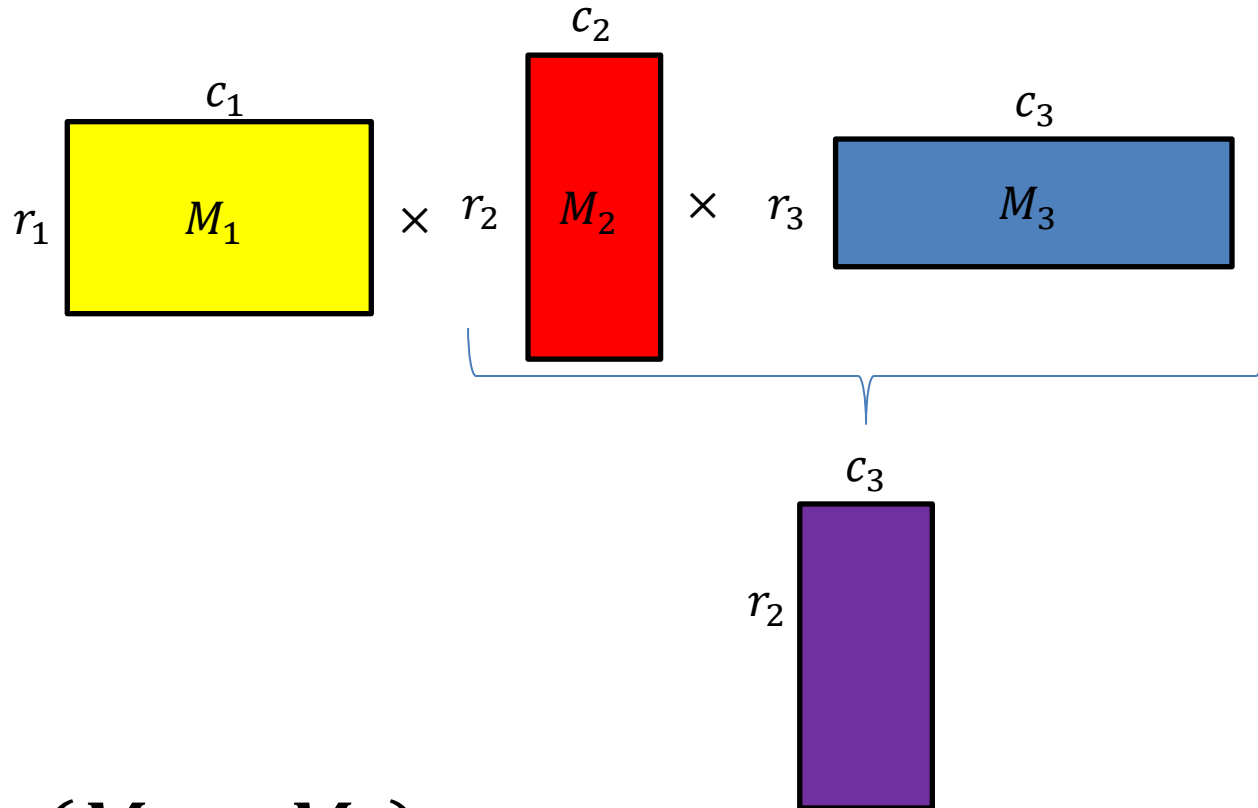


- $(M_1 \times M_2) \times M_3$   
 – uses  $(c_1 \cdot r_1 \cdot c_2) + c_2 \cdot r_1 \cdot c_3$  operations

$$c_1 = r_2$$

$$c_2 = r_3$$

# Order Matters!



- $M_1 \times (M_2 \times M_3)$ 
  - uses  $c_1 \cdot r_1 \cdot c_3 + (c_2 \cdot r_2 \cdot c_3)$  operations

$$c_1 = r_2$$

$$c_2 = r_3$$

$$c_1 = 10$$

$$c_2 = 20$$

$$c_3 = 8$$

$$r_1 = 7$$

$$r_2 = 10$$

$$r_3 = 20$$

# Order Matters!

- $(M_1 \times M_2) \times M_3$ 
  - uses  $(c_1 \cdot r_1 \cdot c_2) + c_2 \cdot r_1 \cdot c_3$  operations
  - $(10 \cdot 7 \cdot 20) + 20 \cdot 7 \cdot 8 = 2520$
- $M_1 \times (M_2 \times M_3)$ 
  - uses  $c_1 \cdot r_1 \cdot c_3 + (c_2 \cdot r_2 \cdot c_3)$  operations
  - $10 \cdot 7 \cdot 8 + (20 \cdot 10 \cdot 8) = 2160$

# Dynamic Programming

- Idea:

1. Identify recursive structure of the problem

- What is the “last thing” done?

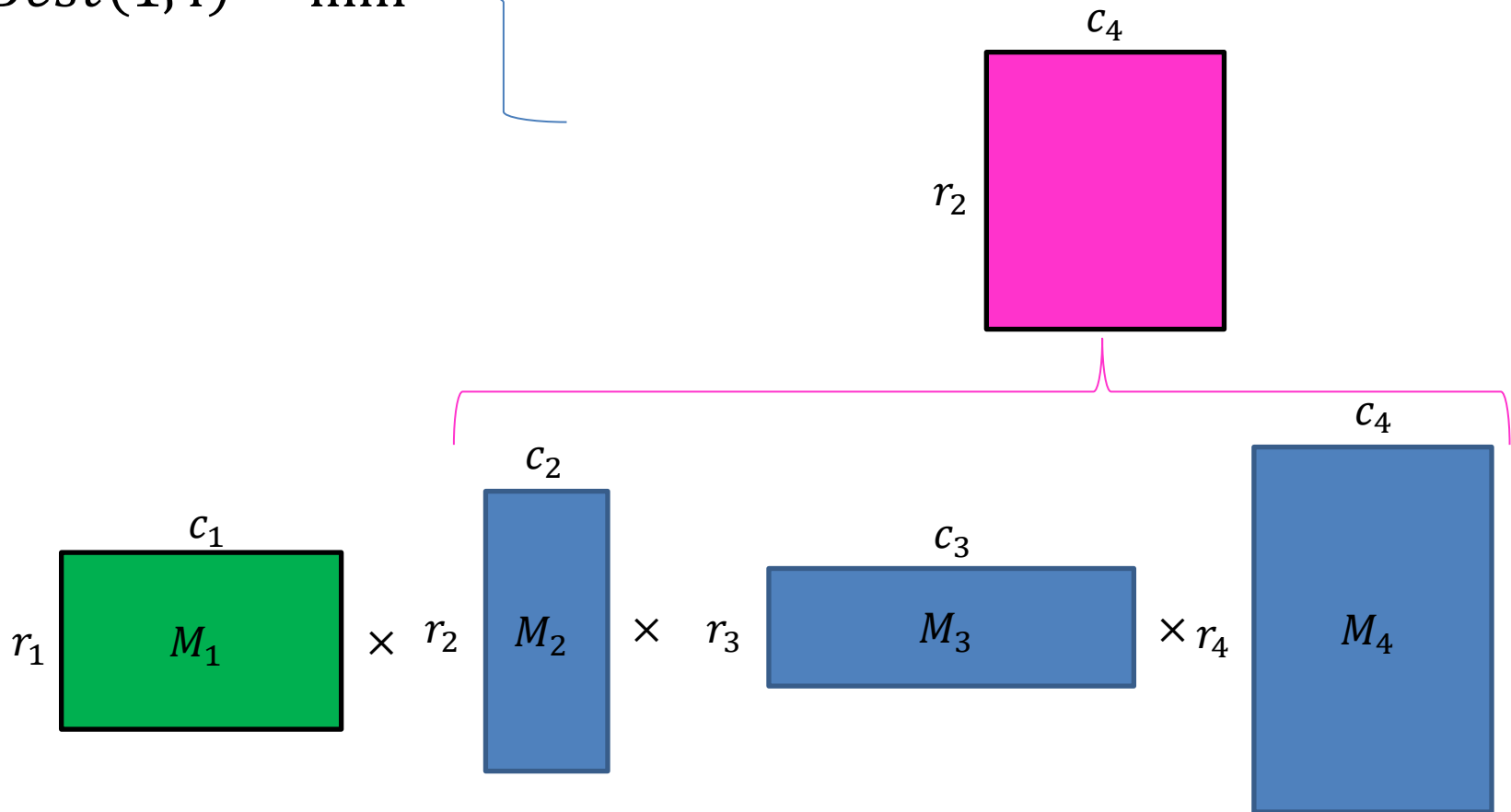
2. Select a good order for solving subproblems

- Usually smallest problem first
- “Bottom up”

# 1. Identify the Recursive Structure of the Problem

$Best(1, n)$  = cheapest way to multiply together  $M_1$  through  $M_n$

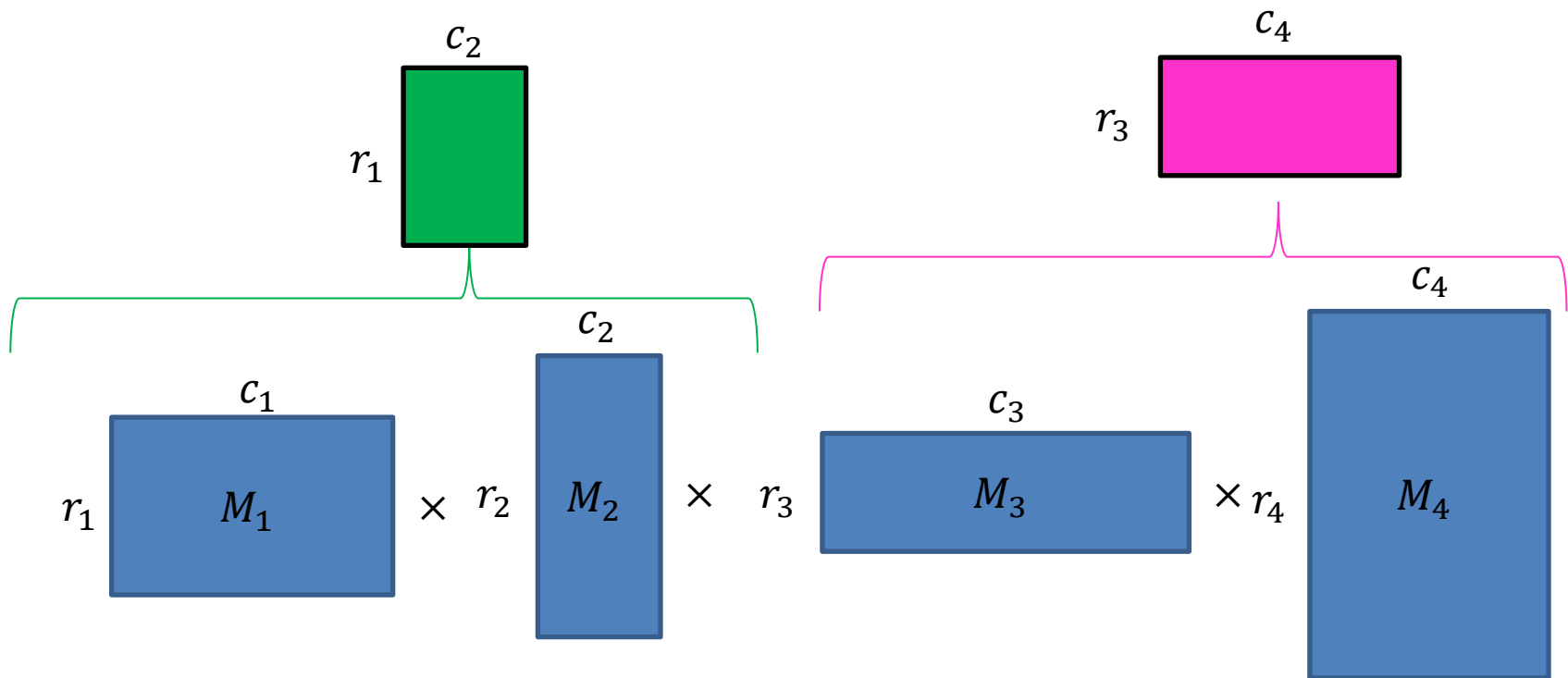
$$Best(1, 4) = \min \left\{ \begin{array}{l} Best(2, 4) + r_1 r_2 c_4 \\ \dots \end{array} \right.$$



# 1. Identify the Recursive Structure of the Problem

$Best(1, n)$  = cheapest way to multiply together  $M_1$  through  $M_n$

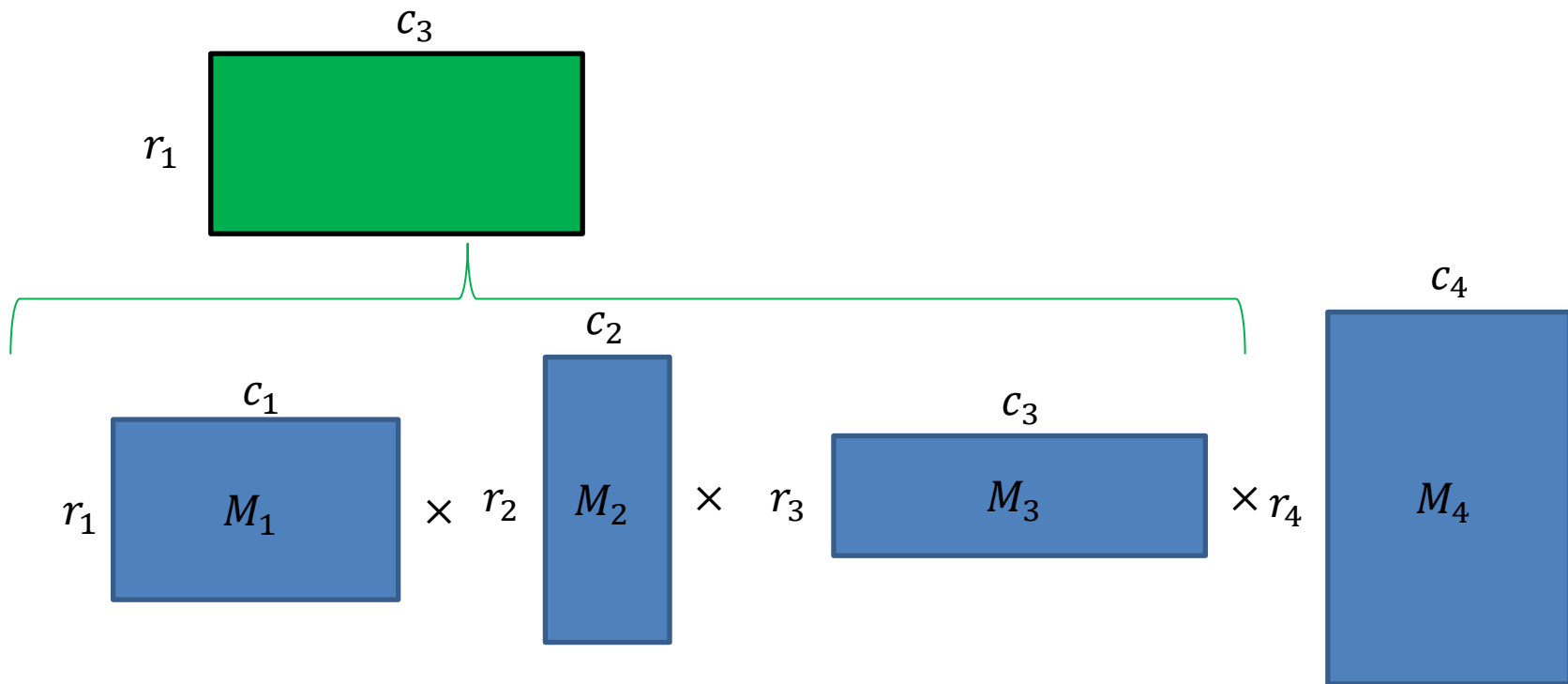
$$Best(1, 4) = \min \left\{ \begin{array}{l} Best(2, 4) + r_1 r_2 c_4 \\ Best(1, 2) + Best(3, 4) + r_1 r_3 c_4 \end{array} \right.$$



# 1. Identify the Recursive Structure of the Problem

$Best(1, n)$  = cheapest way to multiply together  $M_1$  through  $M_n$

$$Best(1, 4) = \min \left\{ \begin{array}{l} Best(2, 4) + r_1 r_2 c_4 \\ Best(1, 2) + Best(3, 4) + r_1 r_3 c_4 \\ Best(1, 3) + r_1 r_4 c_4 \end{array} \right.$$



# 1. Identify the Recursive Structure of the Problem

- In general:

$Best(1, n)$  = cheapest way to multiply together  $M_1$  through  $M_n$

$$Best(1, n) = \min \left\{ \begin{array}{l} Best(2, n) + r_1 r_2 c_n \\ Best(1, 2) + Best(3, n) + r_1 r_3 c_n \\ Best(1, 3) + Best(4, n) + r_1 r_4 c_n \\ Best(1, 4) + Best(5, n) + r_1 r_5 c_n \\ \dots \\ Best(1, n-1) + r_1 r_n c_n \end{array} \right.$$

$$Best(i, j) = \min_{k=i}^{j-1} (Best(i, k) + Best(k+1, j) + r_i r_{k+1} c_j)$$

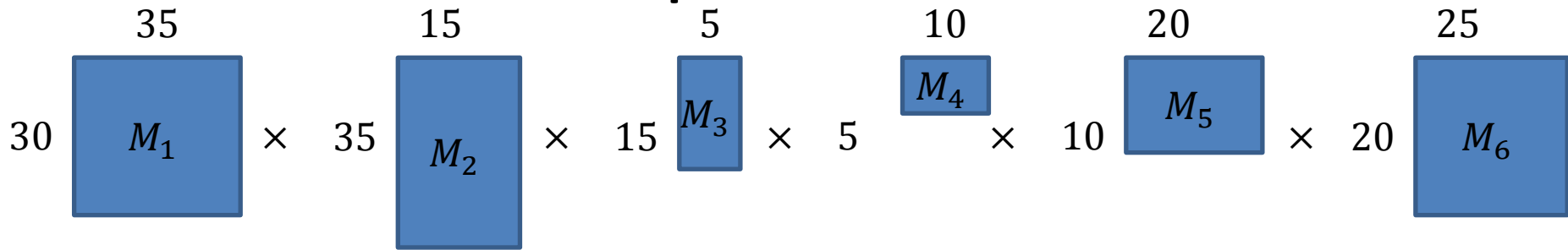
$$Best(i, i) = 0$$



# Dynamic Programming

- Idea:
  1. Identify recursive structure of the problem
    - What is the “last thing” done?
  2. Select a good order for solving subproblems
    - Usually smallest problem first
    - “Bottom up”

# subproblems



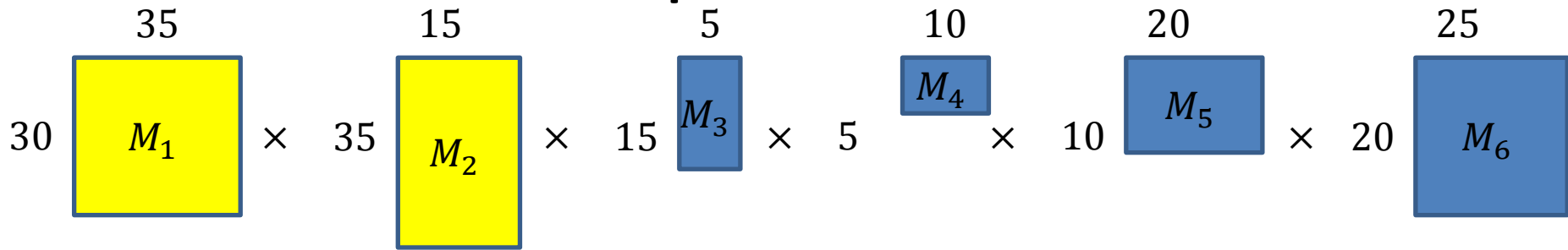
$$Best(i, j) = \min_{k=i}^{j-1} (Best(i, k) + Best(k+1, j) + r_i r_{k+1} c_j)$$

$$Best(i, i) = 0$$

Diagram illustrating a 2D array structure (matrix) with indices  $j$  (horizontal axis) and  $i$  (vertical axis). The array is represented by a grid of cells. The diagonal elements (where  $i = j$ ) are marked with 0, indicating a zero matrix or a specific property of the array.

$j =$	1	2	3	4	5	6	$i =$
	0						1
		0					2
			0				3
				0			4
					0		5
						0	6

## 2. Select a good order for solving subproblems



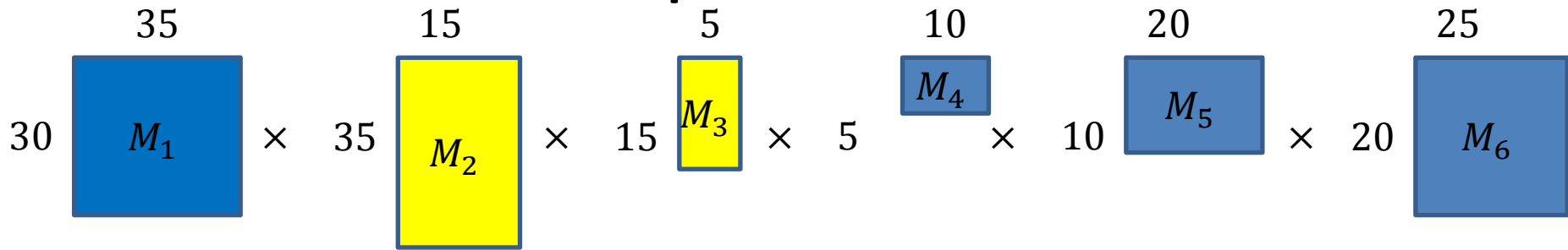
$$Best(i, j) = \min_{k=i}^{j-1} (Best(i, k) + Best(k+1, j) + r_i r_{k+1} c_j)$$

$$Best(i, i) = 0$$

$j =$	1	2	3	4	5	6	$i =$
	0	15750					1
		0					2
			0				3
				0			4
					0		5
						0	6

$$Best(1, 2) = \min \left\{ Best(1, 1) + Best(2, 2) + r_1 r_2 c_2 \right\}$$

## 2. Select a good order for solving subproblems



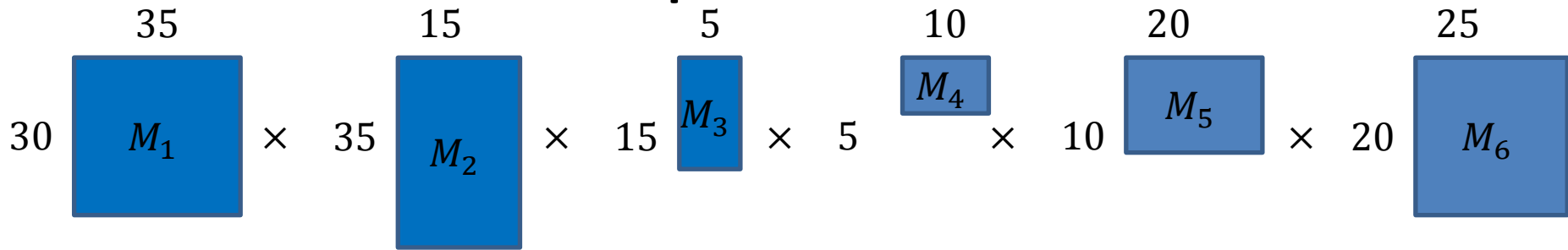
$$Best(i, j) = \min_{k=i}^{j-1} (Best(i, k) + Best(k+1, j) + r_i r_{k+1} c_j)$$

$$Best(i, i) = 0$$

$j =$	1	2	3	4	5	6	$i =$
	0	15750					1
		0	2625				2
			0				3
				0			4
					0		5
						0	6

$$Best(2, 3) = \min \left\{ Best(2, 2) + Best(3, 3) + r_2 r_3 c_3 \right\}$$

## 2. Select a good order for solving subproblems

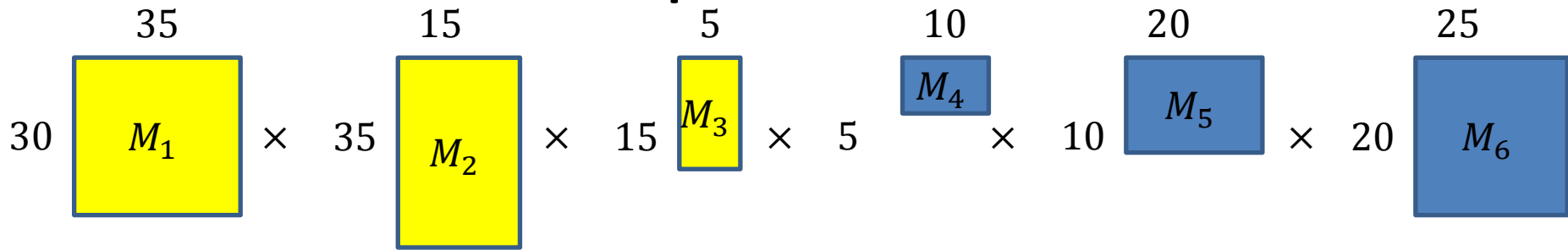


$$Best(i, j) = \min_{k=i}^{j-1} (Best(i, k) + Best(k + 1, j) + r_i r_{k+1} c_j)$$

$$Best(i, i) = 0$$

$j =$	1	2	3	4	5	6	
	0	15750					1
		0	2625				2
			0	750			3
				0	1000		4
					0	5000	5
						0	6
							21

## 2. Select a good order for solving subproblems



$$Best(i, j) = \min_{k=i}^{j-1} (Best(i, k) + Best(k+1, j) + r_i r_{k+1} c_j)$$

$$Best(i, i) = 0$$

$$r_1 r_2 c_3 = 30 \cdot 35 \cdot 5 = 5250$$

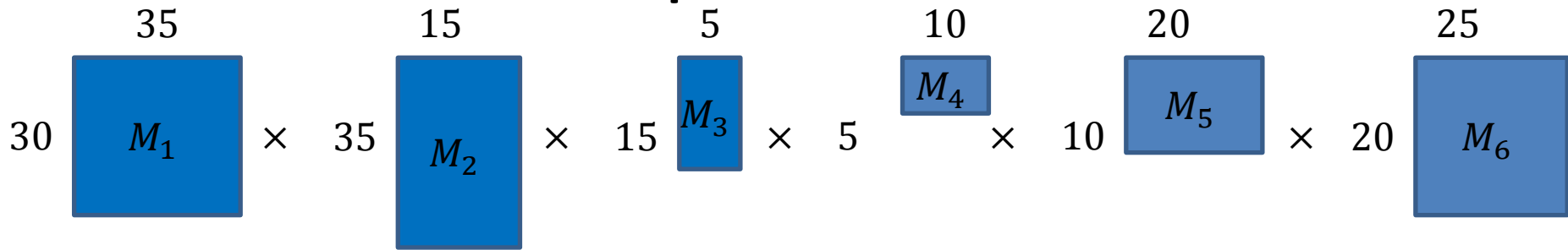
$$r_1 r_3 c_3 = 30 \cdot 15 \cdot 5 = 2250$$

	$j = 1$	$2$	$3$	$4$	$5$	$6$	$i =$
	0	15750	7875				1
		0	2625				2
			0	750			3
				0	1000		4
					0	5000	5
						0	6

$$Best(1, 3) = \min \begin{cases} 0 & 2625 \\ Best(1, 1) + Best(2, 3) + r_1 r_2 c_3 \\ Best(1, 2) + Best(3, 3) + r_1 r_3 c_3 \end{cases}$$

15750      0

## 2. Select a good order for solving subproblems



$$Best(i, j) = \min_{k=i}^{j-1} (Best(i, k) + Best(k + 1, j) + r_i r_{k+1} c_j)$$

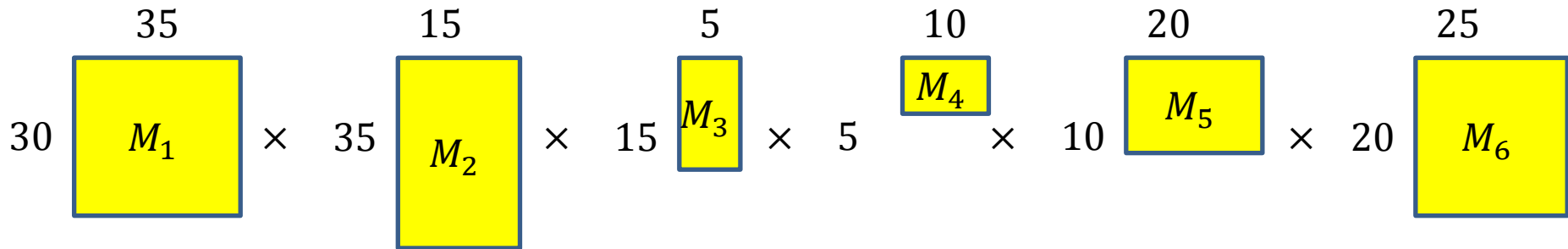
$$Best(i, i) = 0$$

$j =$	1	2	3	4	5	6	$i =$
	0	15750	7875				1
		0	2625				2
			0	750			3
				0	1000		4
					0	5000	5
						0	6

To find  $Best(i, j)$ : Need all preceding terms of row  $i$  and column  $j$

Conclusion: solve in order of diagonal

# Longest Common Subsequence



$$Best(i, j) = \min_{k=i}^{j-1} (Best(i, k) + Best(k + 1, j) + r_i r_{k+1} c_j)$$

$$Best(i, i) = 0$$

$j =$						
1	2	3	4	5	6	$i$
0	15750	7875	9375	11875	15125	1
	0	2625	4375	7125	10500	2
		0	750	2500	5375	3
			0	1000	3500	4
				0	5000	5
					0	6

$24$

$Best(1,6) = \min$ 

- $Best(1,1) + Best(2,6) + r_1 r_2 c_6$
- $Best(1,2) + Best(3,6) + r_1 r_3 c_6$
- $Best(1,3) + Best(4,6) + r_1 r_4 c_6$
- $Best(1,4) + Best(5,6) + r_1 r_5 c_6$
- $Best(1,5) + Best(6,6) + r_1 r_6 c_6$



# Run Time

1. Initialize  $Best[i, i]$  to be all 0s
2. Starting at the main diagonal, working to the upper-right, fill in each cell using:

$\Theta(n^2)$  cells in the Array

1.  $Best[i, i] = 0$

2.  $Best[i, j] = \min_{k=i}^{j-1} (Best(i, k) + Best(k + 1, j) + r_i r_{k+1} c_j)$

$\Theta(n)$  options for each cell

$\Theta(n^3)$  overall run time

# Backtrack to find the best order

“remember” which choice of  $k$  was the minimum at each cell

$$Best(i, j) = \min_{k=i}^{j-1} (Best(i, k) + Best(k+1, j) + r_i r_{k+1} c_j)$$

$$Best(i, i) = 0$$

	$j =$	1	2	3	4	5	6	
		0	15750	7875 <sub>1</sub>	9375	11875	15125 <sub>3</sub>	1
			0	2625	4375	7125	10500	2
				0	750	2500	5375	3
					0	1000	3500 <sub>5</sub>	4
						0	5000	5
							0	6
								26

$Best(1,6) = \min$ 

- $Best(1,1) + Best(2,6) + r_1 r_2 c_6$
- $Best(1,2) + Best(3,6) + r_1 r_3 c_6$
- $Best(1,3) + Best(4,6) + r_1 r_4 c_6$
- $Best(1,4) + Best(5,6) + r_1 r_5 c_6$
- $Best(1,5) + Best(6,6) + r_1 r_6 c_6$

# Longest Common Subsequence

Given two sequences  $X$  and  $Y$ ,  
find the length of their longest  
common subsequence

Example:

$X = ATCTGAT$

$Y = TGCATA$

$LCS = TCTA$

Brute force: Compare every  
subsequence of  $X$  with  $Y$   
 $\Omega(2^n)$



# Dynamic Programming

- Idea:

1. Identify recursive structure of the problem

- What is the “last thing” done?

2. Select a good order for solving subproblems

- Usually smallest problem first
- “Bottom up”

# 1. Identify Recursive Structure

Let  $LCS(i, j)$  = length of the LCS for the first  $i$  characters of  $X$ , first  $j$  character of  $Y$

Find  $LCS(i, j)$ :

Case 1:  $X[i] = Y[j]$

$X = ATCTGCGT$

$Y = TGCATAT$

$$LCS(i, j) = LCS(i - 1, j - 1) + 1$$

Case 2:  $X[i] \neq Y[j]$

$X = ATCTGCGA$

$Y = TGCATAT$

$$LCS(i, j) = LCS(i, j - 1)$$

$X = ATCTGCGG$

$Y = TGCATAC$

$$LCS(i, j) = LCS(i - 1, j)$$

---

$$LCS(i, j) = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ LCS(i - 1, j - 1) + 1 & \text{if } X[i] = Y[j] \\ \max(LCS(i, j - 1), LCS(i - 1, j)) & \text{otherwise} \end{cases}$$

# Dynamic Programming

- Idea:
  1. Identify recursive structure of the problem
    - What is the “last thing” done?
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## 2. Solve in a Good Order

$$LCS(i, j) = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ \textcolor{green}{LCS(i - 1, j - 1) + 1} & \text{if } X[i] = Y[j] \\ \max(\textcolor{blue}{LCS(i, j - 1)}, \textcolor{blue}{LCS(i - 1, j)}) & \text{otherwise} \end{cases}$$

$X =$									
$Y =$		0	A	T	C	T	G	A	T
		0	1	2	3	4	5	6	7
$T$ $G$ $C$ $A$ $T$ $A$	0	0	0	0	0	0	0	0	0
	1	0	0	1	1	1	1	1	1
	2	0	0	1	1	1	2	2	2
	3	0	0	1	2	2	2	2	2
	4	0	1	1	2	2	2	3	3
	5	0	1	2	2	3	3	3	4
	6	0	1	2	2	3	3	4	4

To fill in cell  $(i, j)$  we need cells  $(i - 1, j - 1)$ ,  $(i - 1, j)$ ,  $(i, j - 1)$   
 Fill from Top->Bottom, Left->Right (with any preference)

# Run Time?

$$LCS(i, j) = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ LCS(i - 1, j - 1) + 1 & \text{if } X[i] = Y[j] \\ \max(LCS(i, j - 1), LCS(i - 1, j)) & \text{otherwise} \end{cases}$$

$X =$									
$Y =$		0	A	T	C	T	G	A	T
	0	0	0	0	0	0	0	0	0
T	1	0	0	1	1	1	1	1	1
G	2	0	0	1	1	1	2	2	2
C	3	0	0	1	2	2	2	2	2
A	4	0	1	1	2	2	2	3	3
T	5	0	1	2	2	3	3	3	4
A	6	0	1	2	2	3	3	4	4

Run Time:  $\Theta(n \cdot m)$  (for  $|X| = n, |Y| = m$ )



# Reconstructing the LCS

$$LCS(i, j) = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ LCS(i - 1, j - 1) + 1 & \text{if } X[i] = Y[j] \\ \max(LCS(i, j - 1), LCS(i - 1, j)) & \text{otherwise} \end{cases}$$

$X =$ 
A
T
C
T
G
A
T

$Y =$ 
0
1
2
3
4
5
6
7

0	0	0	0	0	0	0	0	0
T 1	0	0	1	1	1	1	1	1
G 2	0	0	1	1	1	2	2	2
C 3	0	0	1	2	2	2	2	2
A 4	0	1	1	2	2	2	3	3
T 5	0	1	2	2	3	3	3	4
A 6	0	1	2	2	3	3	4	4

Start from bottom right,

if symbols matched, then go diagonally and print that symbol

else go to largest adjacent

# Reconstructing the LCS

$$LCS(i, j) = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ LCS(i - 1, j - 1) + 1 & \text{if } X[i] = Y[j] \\ \max(LCS(i, j - 1), LCS(i - 1, j)) & \text{otherwise} \end{cases}$$

$X =$

		A	T	C	T	G	A	T
	0	1	2	3	4	5	6	7
Y =	0	0	0	0	0	0	0	0
T	1	0	1	1	1	1	1	1
G	2	0	1	1	1	2	2	2
C	3	0	1	2	2	2	2	2
A	4	0	1	2	2	2	3	3
T	5	0	1	2	3	3	3	4
A	6	0	1	2	3	3	4	4

Start from bottom right,

if symbols matched, then go diagonally and print that symbol

else go to largest adjacent

# Reconstructing the LCS

$$LCS(i, j) = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ LCS(i - 1, j - 1) + 1 & \text{if } X[i] = Y[j] \\ \max(LCS(i, j - 1), LCS(i - 1, j)) & \text{otherwise} \end{cases}$$

$X =$ 
A
T
C
T
G
A
T

$Y =$ 
0
1
2
3
4
5
6
7

0	0	0	0	0	0	0	0	0
T 1	0	0	1	1	1	1	1	1
G 2	0	0	1	1	1	2	2	2
C 3	0	0	1	2	2	2	2	2
A 4	0	1	1	2	2	2	3	3
T 5	0	1	2	2	3	3	3	4
A 6	0	1	2	2	3	3	4	4

Start from bottom right,

if symbols matched, then go diagonally and print that symbol

else go to largest adjacent