

CS4102 Algorithms

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Warm up

Why is an algorithm's space complexity (how much memory it uses) important?

Why might a memory-intensive algorithm be a “bad” one?

Why lots of memory is “bad”

Today's Keywords

- Greedy Algorithms
- Choice Function
- Cache Replacement
- Hardware & Algorithms

CLRS Readings

- Chapter 16

Homeworks

- Hw5 Due Today
 - Dynamic Programming
 - Programming assignment (use Python!)
- HW6 Out Today
 - Dynamic Programming and Greedy
 - Written assignment (use Latex)

Caching Problem

- Why is using too much memory a bad thing?

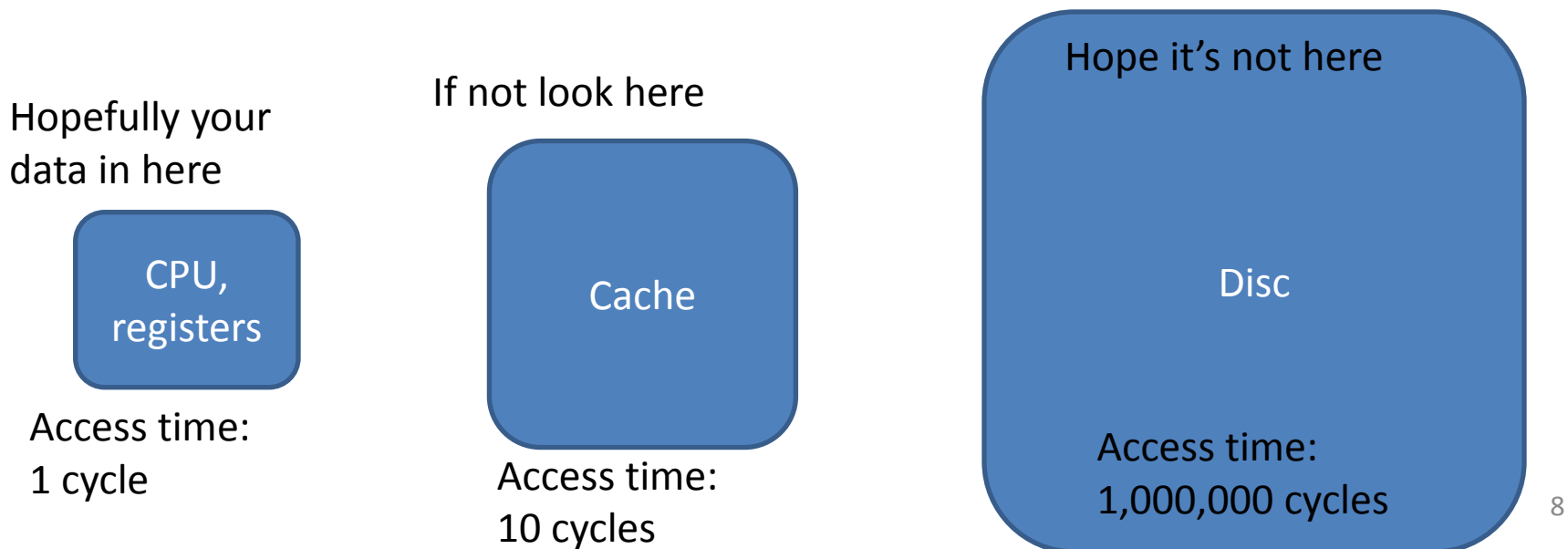
Von Neumann Bottleneck

- Named for John von Neumann
- Inventor of modern computer architecture
- Other notable influences include:
 - Mathematics
 - Physics
 - Economics
 - Computer Science



Von Neumann Bottleneck

- Reading from memory is VERY slow
- Big memory = slow memory
- Solution: hierarchical memory
- Takeaway for Algorithms: Memory is time, more memory is a lot more time



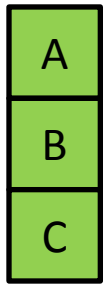
Caching Problem

- Cache misses are very expensive
- When we load something new into cache, we must eliminate something already there
- We want the best cache “schedule” to minimize the number of misses

Caching Problem Definition

- Input:
 - k = size of the cache
 - $M = [m_1, m_2, \dots, m_n]$ = memory access pattern
- Output:
 - “schedule” for the cache (list of items in the cache at each time) which minimizes cache fetches

Example



A B C D A D E A D B A E C E A

✓

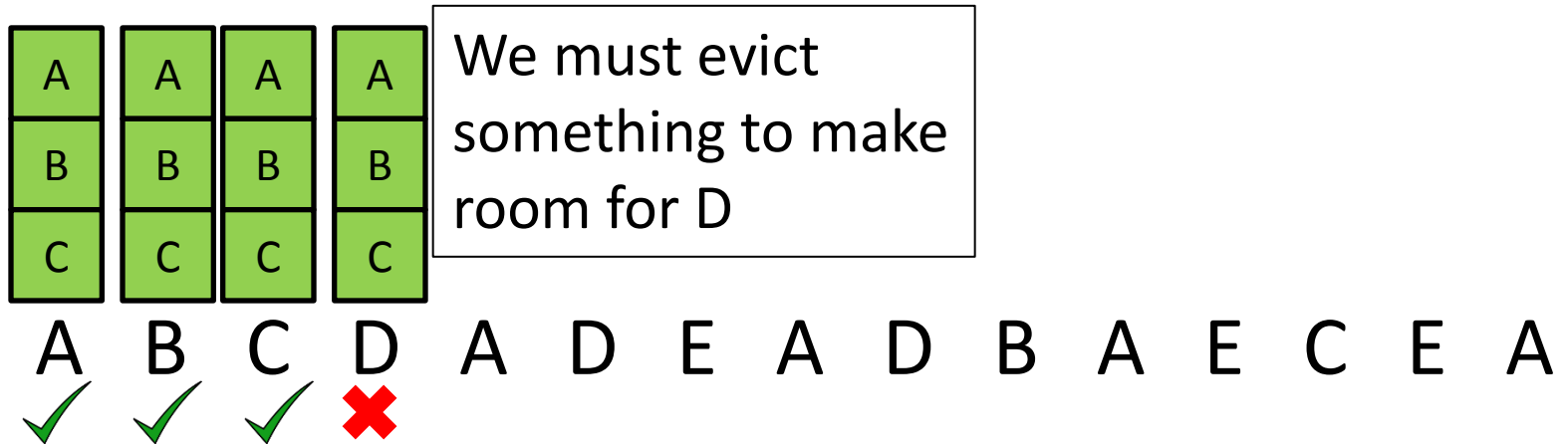
Example



Example

| | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| A | A | A | | | | | | | | | | | | |
| B | B | B | | | | | | | | | | | | |
| C | C | C | | | | | | | | | | | | |
| A | B | C | D | A | D | E | A | D | B | A | E | C | E | A |
| ✓ | ✓ | ✓ | | | | | | | | | | | | |

Example



Example

| | | | | |
|--------|--------|--------|--------------|--------|
| A | A | A | A | D |
| B | B | B | B | B |
| C | C | C | C | C |
| A ✓ | B ✓ | C ✓ | D ✗ | A ✗ |

If we evict A

D E A D B A E C E A

Example

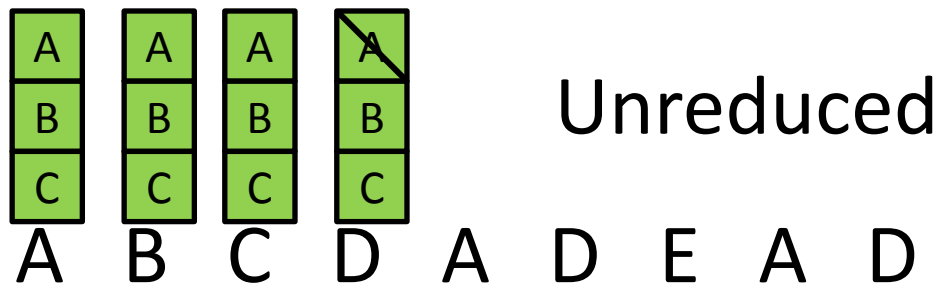
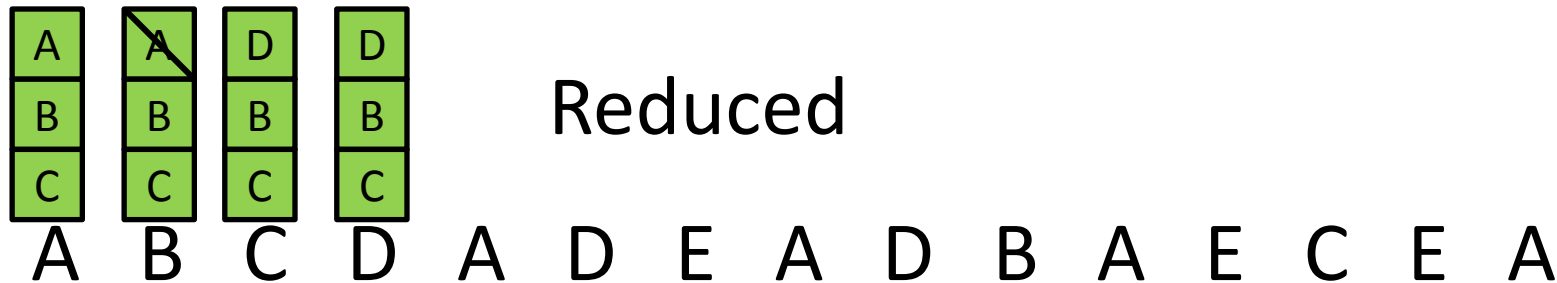
| | | | | |
|---|---|---|--------------|---|
| A | A | A | A | A |
| B | B | B | B | B |
| C | C | C | C | D |
| A | B | C | D | A |
| ✓ | ✓ | ✓ | ✗ | ✓ |

If we evict C

D E A D B A E C E A

Our Problem vs Reality

- Assuming we know the entire access pattern
- Cache is Fully Associative
- Counting # of fetches (not necessarily misses)
- “Reduced” Schedule: Address only loaded on the cycle it’s required
 - Reduced == Unreduced (by number of misses)



Leaving A in longer does not save fetches

Greedy Algorithms

- Require **Optimal Substructure**
 - Solution to larger problem contains the solution to a smaller one
 - Only one subproblem to consider!
- Idea:
 1. Identify a greedy **choice property**
 - How to make a choice guaranteed to be included in some optimal solution
 2. Repeatedly apply the choice property until no subproblems remain

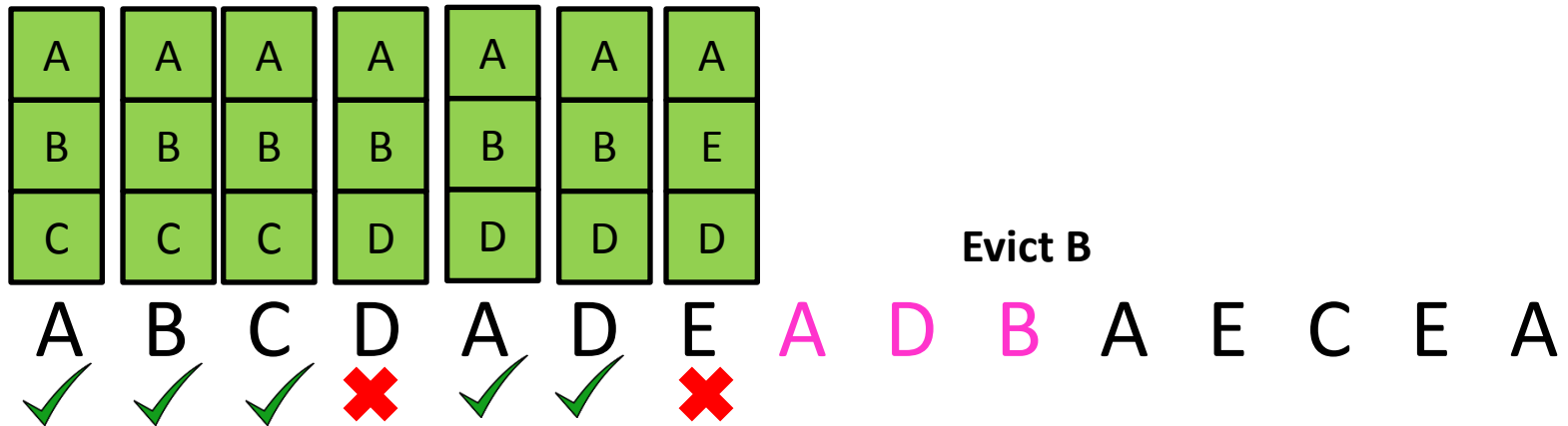
Greedy choice property

- Belady evict rule:
 - Evict the item accessed farthest in the future



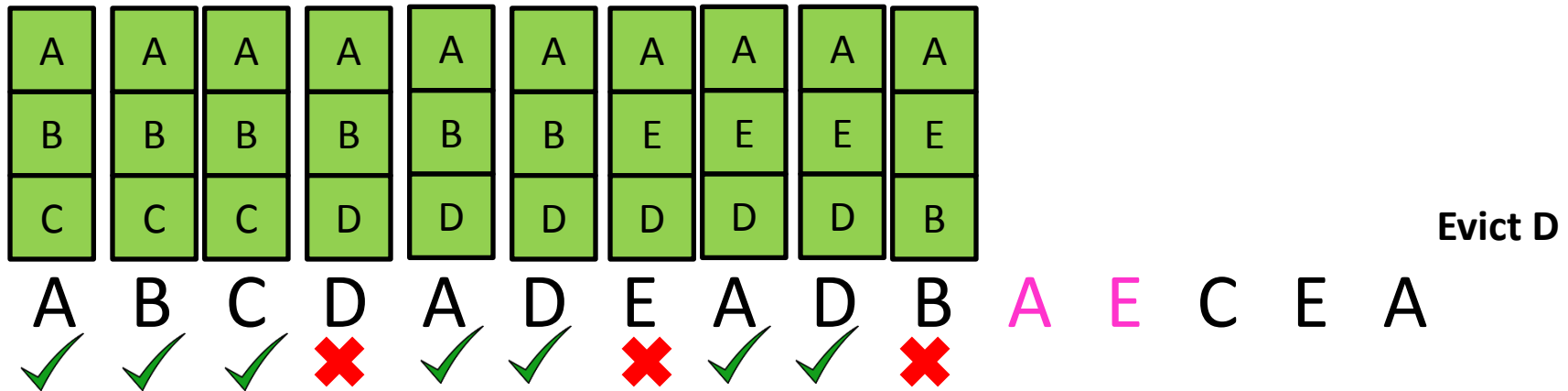
Greedy choice property

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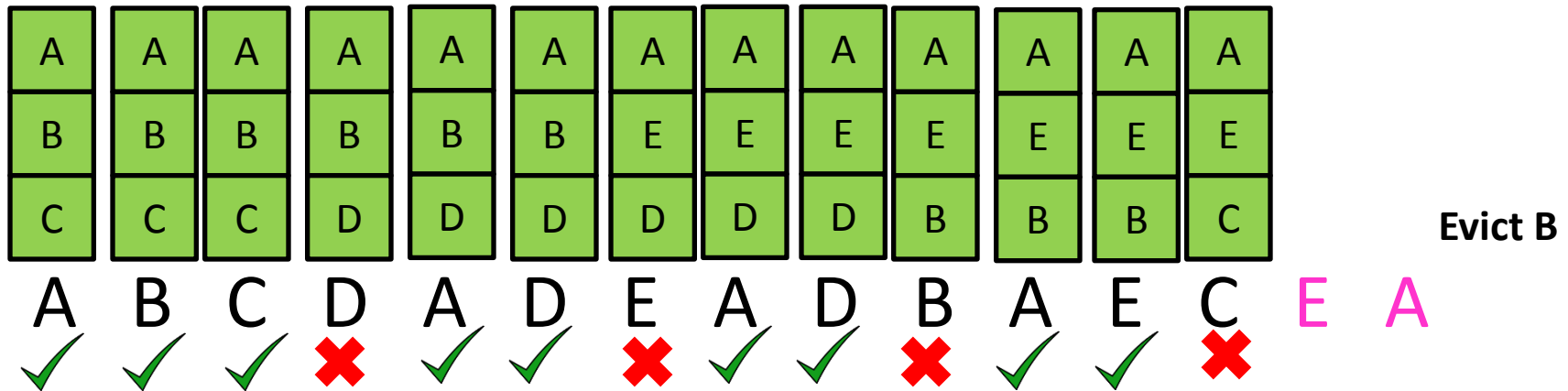
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Greedy choice property

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Greedy choice property

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| | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| A | A | A | A | A | A | A | A | A | A | A | A | A | A | A |
| B | B | B | B | B | B | E | E | E | E | E | E | E | E | E |
| C | C | C | D | D | D | D | D | D | B | B | B | C | C | C |
| A | B | C | D | A | D | E | A | D | B | A | E | C | E | A |
| ✓ | ✓ | ✓ | ✗ | ✓ | ✓ | ✗ | ✓ | ✓ | ✗ | ✓ | ✓ | ✗ | ✓ | ✓ |

4 Cache Misses

Greedy Algorithms

- Require **Optimal Substructure**
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 - Only one subproblem to consider!
- Idea:
 1. Identify a greedy **choice property**
 - How to make a choice guaranteed to be included in some optimal solution
 2. Repeatedly apply the choice property until no subproblems remain

Caching Greedy Algorithm

Initialize *cache* = first k accesses $O(n)$

For each $m_i \in M$: n times

if $m_i \in \text{cache}$: $O(k)$

print *cache* $O(k)$

else:

m = furthest-in-future from cache $O(kn)$

replace m_i with m $O(1)$

print *cache* $O(k)$

$O(kn^2)$

Exchange argument

- Shows correctness of a greedy algorithm
- Idea:
 - Show exchanging an item from an arbitrary optimal solution with your greedy choice makes the new solution no worse
 - How to show my sandwich is at least as good as yours:
 - Show: “I can remove any item from your sandwich, and it would be no worse by replacing it with the same item from my sandwich”



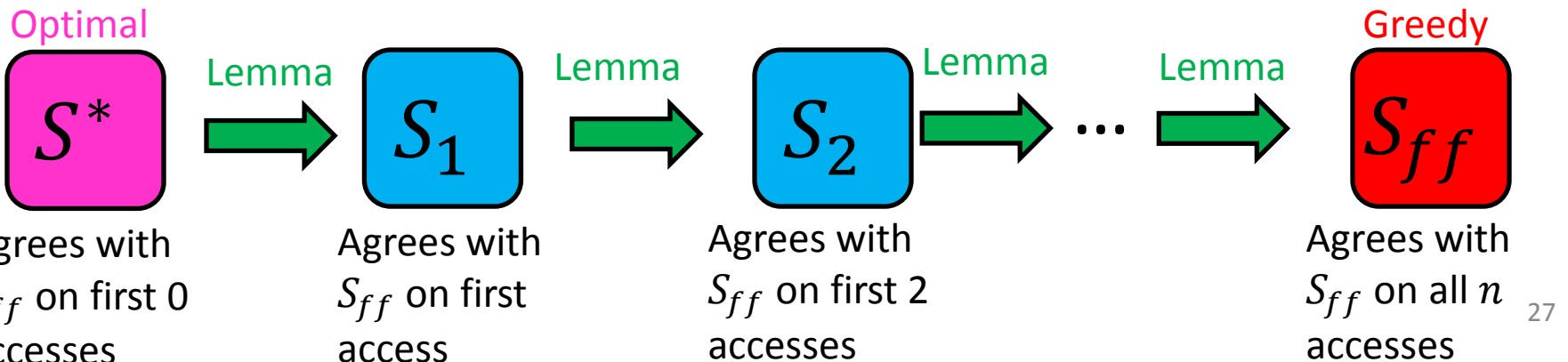
Belady Exchange Lemma

Let S_{ff} be the schedule chosen by our greedy algorithm

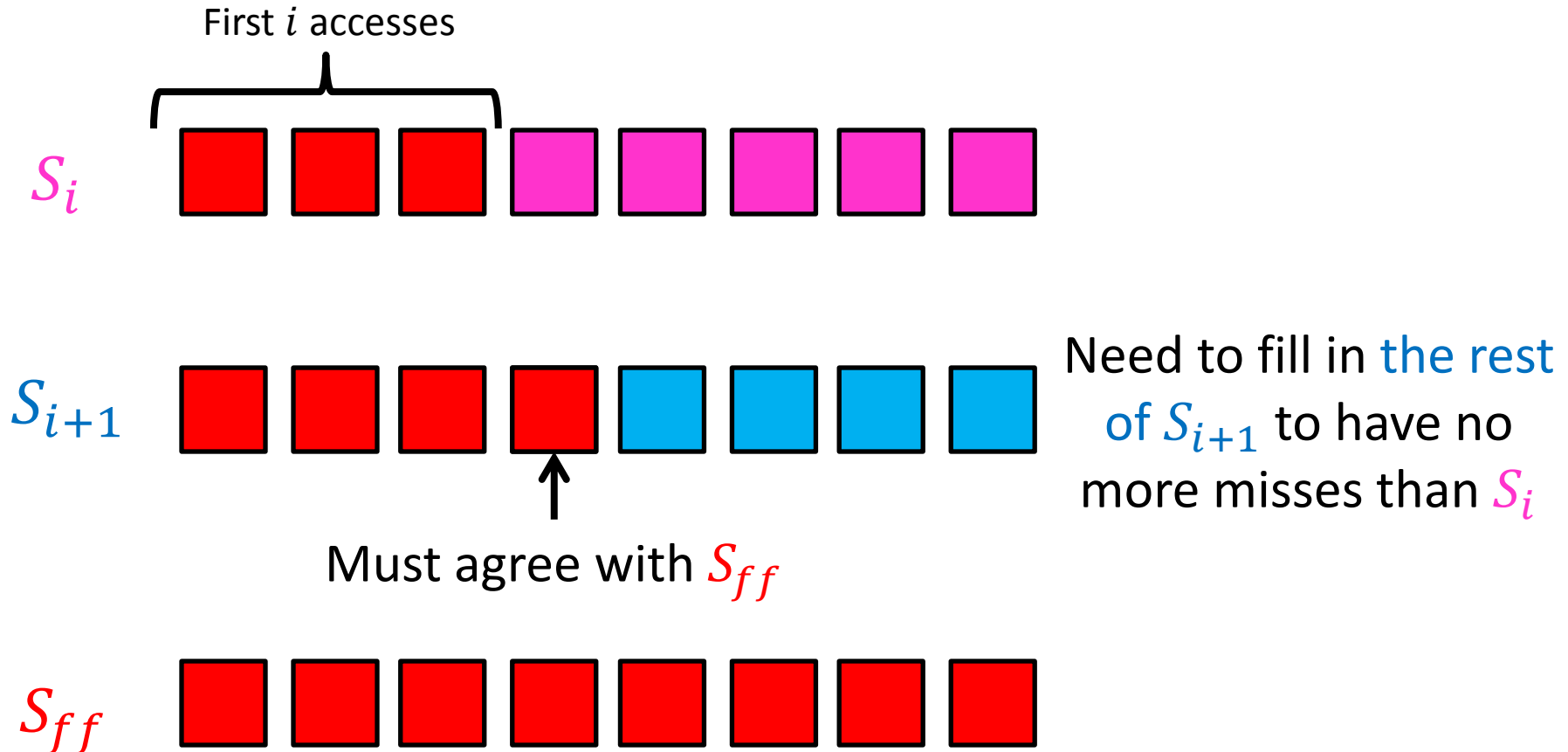
Let S_i be a schedule which agrees with S_{ff} for the first i memory accesses.

We will show: there is a schedule S_{i+1} which agrees with S_{ff} for the first $i + 1$ memory accesses, and has no more misses than S_i

(i.e. $misses(S_{i+1}) \leq misses(S_i)$)



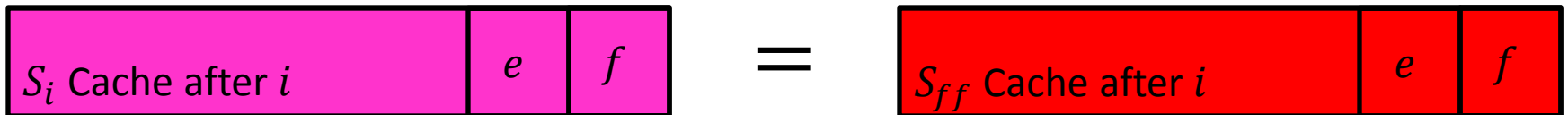
Belady Exchange Proof Idea



Proof of Lemma

Goal: find S_{i+1} s.t. $\text{misses}(S_{i+1}) \leq \text{misses}(S_i)$

Since S_i agrees with S_{ff} for the first i accesses, the state of the cache at access $i + 1$ will be the same



Consider access $m_{i+1} = d$

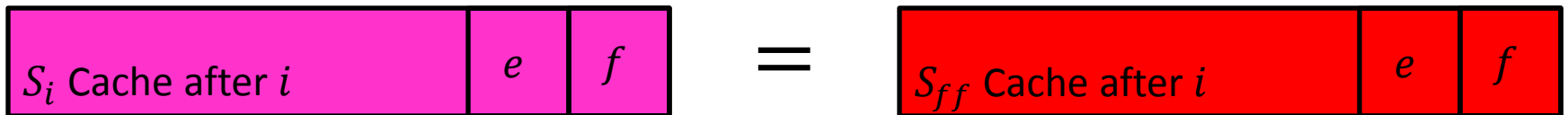
Case 1: if d is in the cache, then neither S_i nor S_{ff} evict from the cache, use the same cache for S_{i+1}



Proof of Lemma

Goal: find S_{i+1} s.t. $\text{misses}(S_{i+1}) \leq \text{misses}(S_i)$

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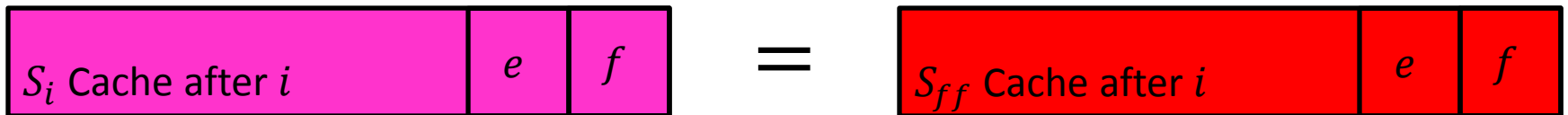
Case 2: if d isn't in the cache, and both S_i and S_{ff} evict f from the cache, evict f for d in S_{i+1}



Proof of Lemma

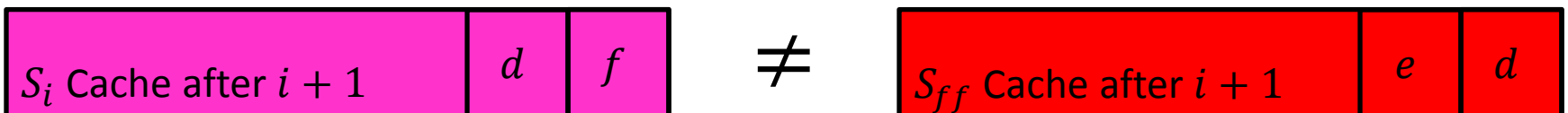
Goal: find S_{i+1} s.t. $\text{misses}(S_{i+1}) \leq \text{misses}(S_i)$

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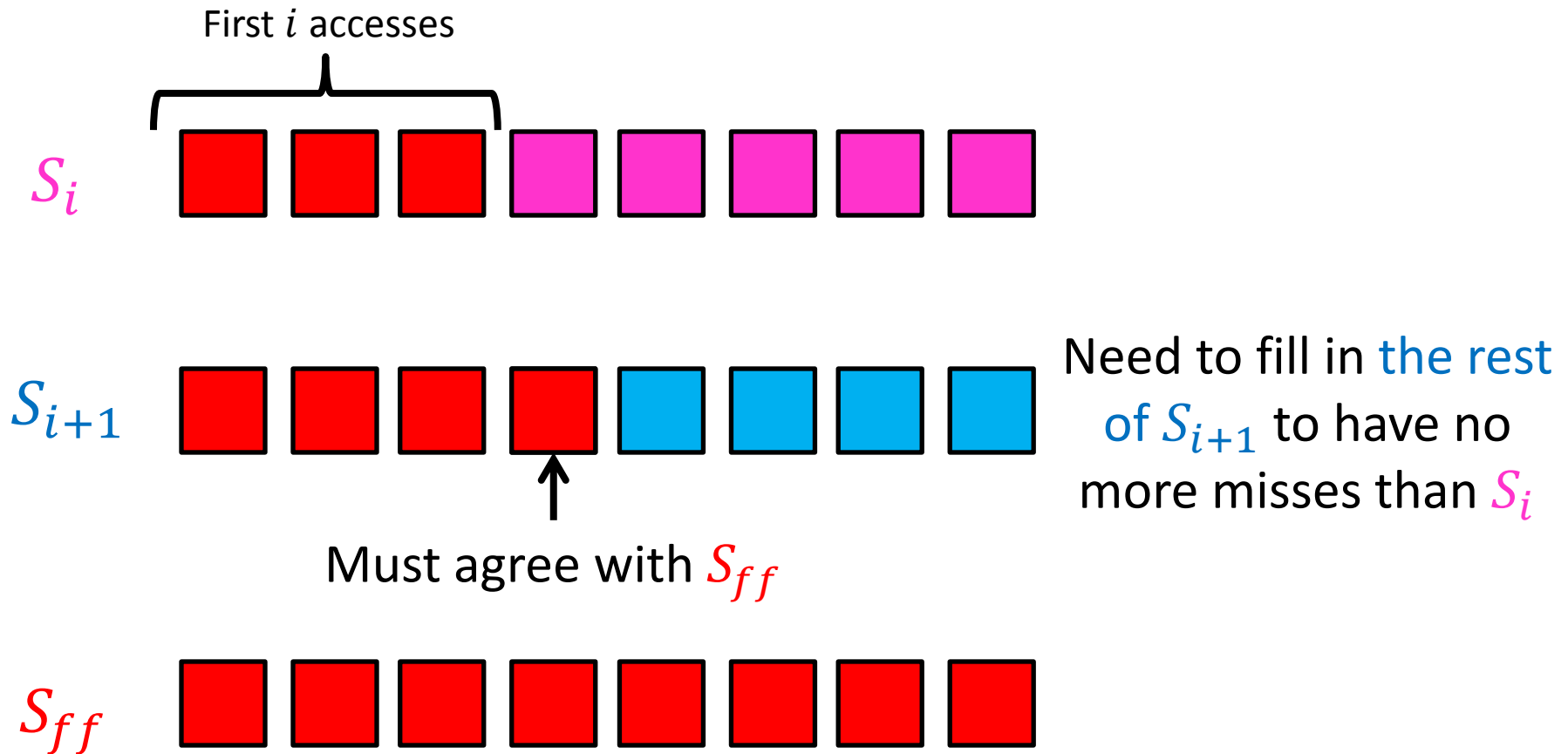


Consider access $m_{i+1} = d$

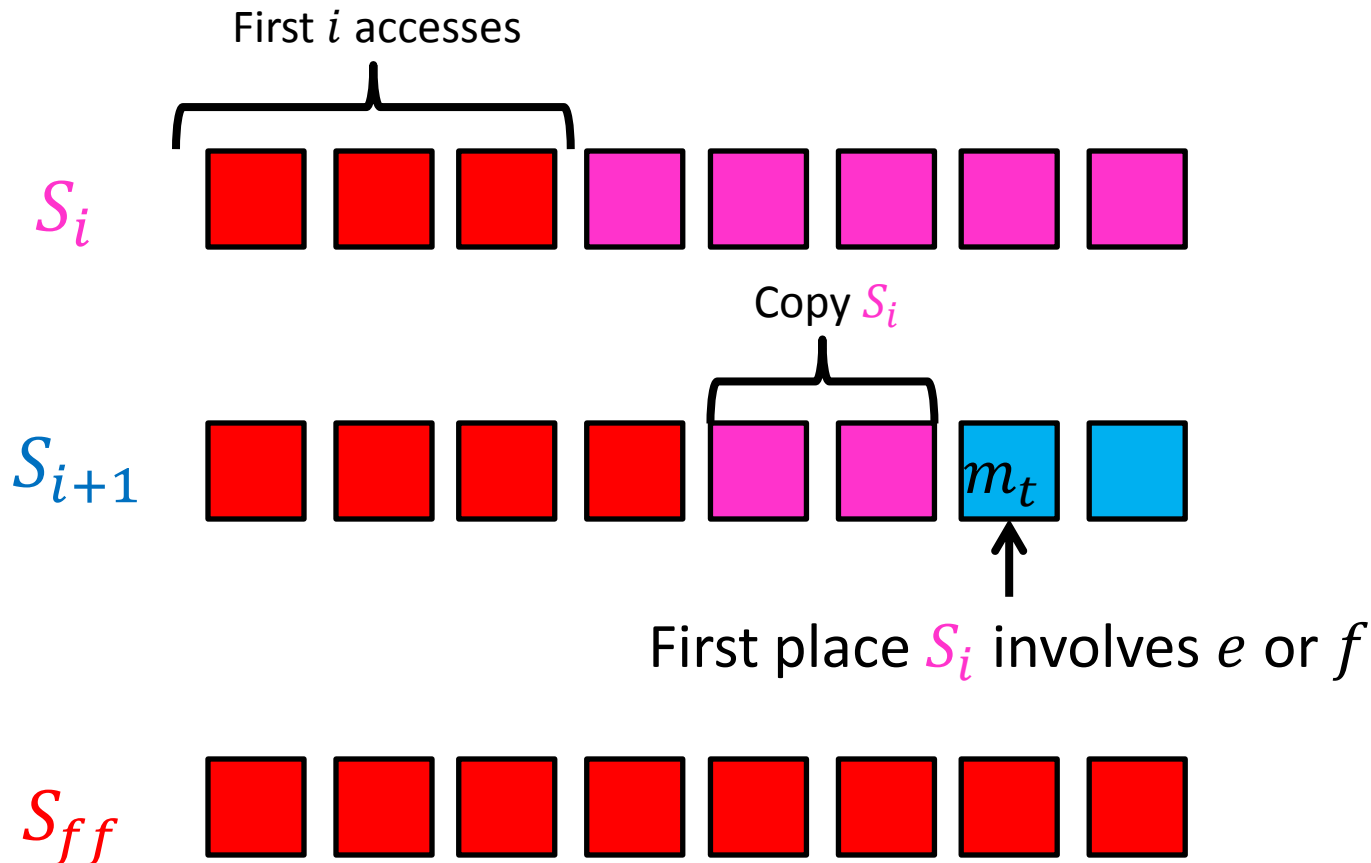
Case 3: if d isn't in the cache, S_i evicts e and S_{ff} evicts f from the cache



Case 3



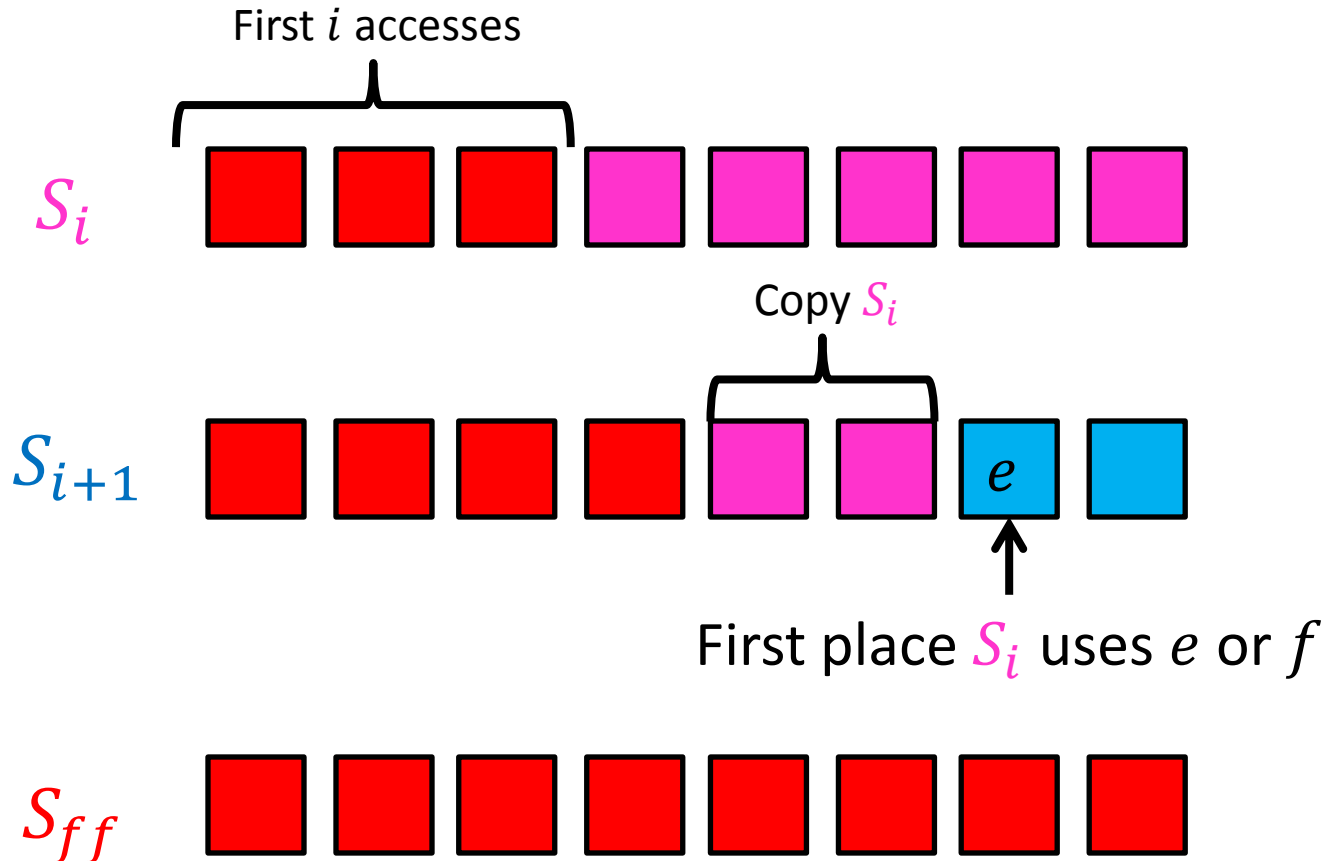
Case 3



m_t = the first access after $i + 1$ in which S_i involves with e or f

$m_t = e$ or $m_t = f$ or $m_t = x \neq e, f$

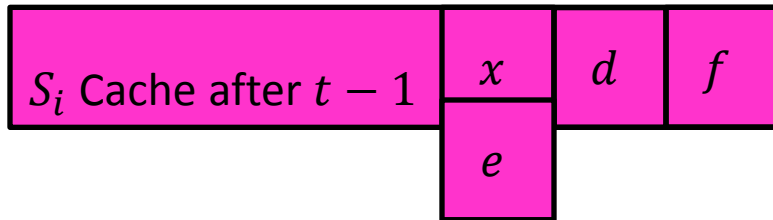
Case 3, $m_t = e$



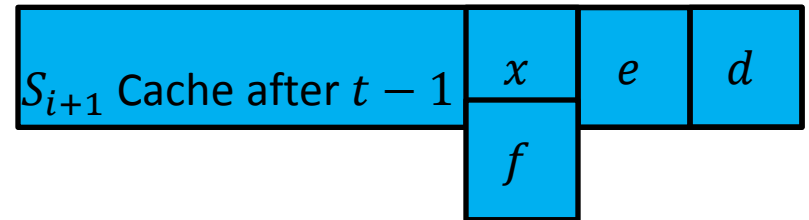
$m_t =$ the first access after $i + 1$ in which S_i deals with e or f

Case 3, $m_t = e$

Goal: find S_{i+1} s.t. $\text{misses}(S_{i+1}) \leq \text{misses}(S_i)$



\neq



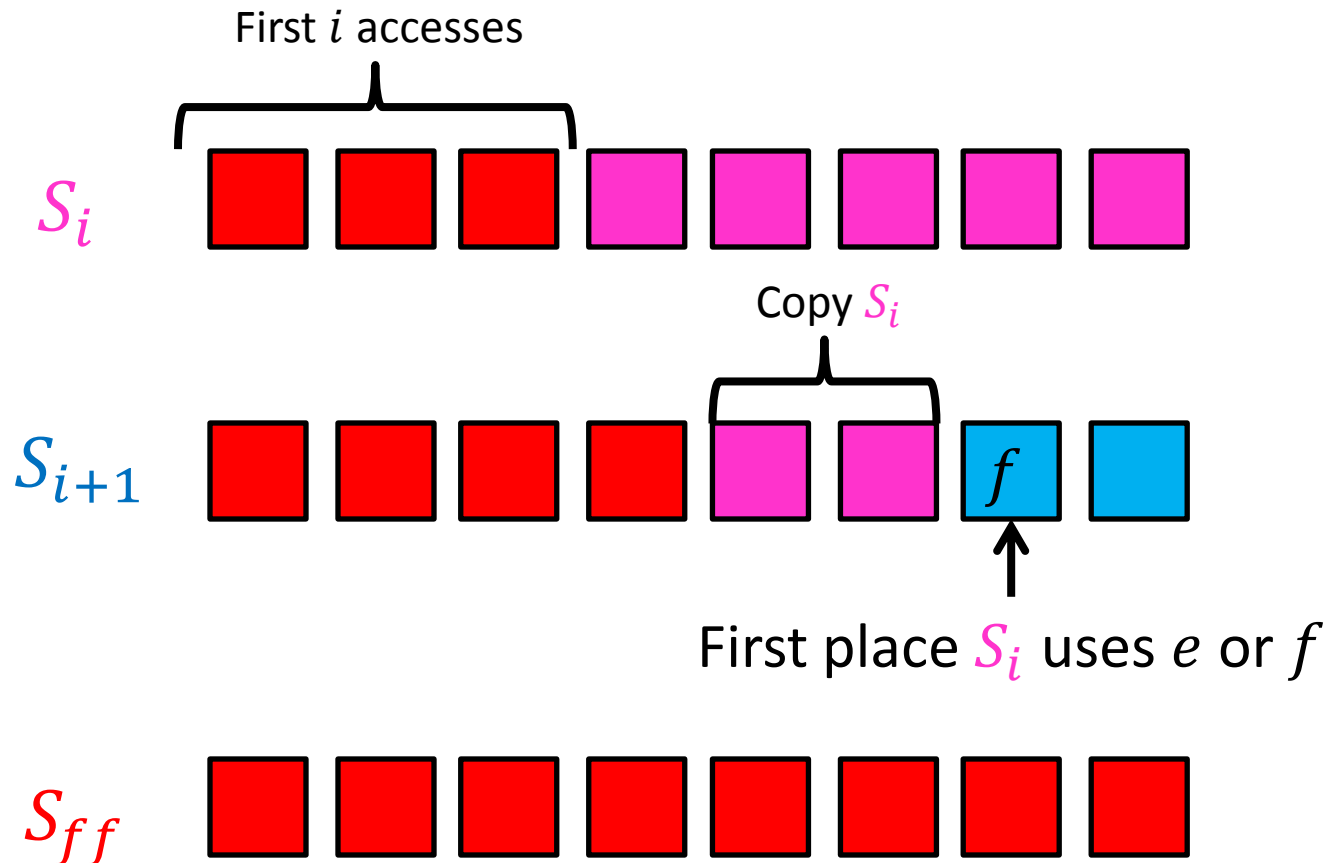
S_i must load e into the cache, assume it evicts x

S_{i+1} will load f into the cache, evicting x

The caches now match!

S_{i+1} behaved exactly the same as S_i between i and t , and has the same cache after t , therefore $\text{misses}(S_{i+1}) = \text{misses}(S_i)$

Case 3, $m_t = f$

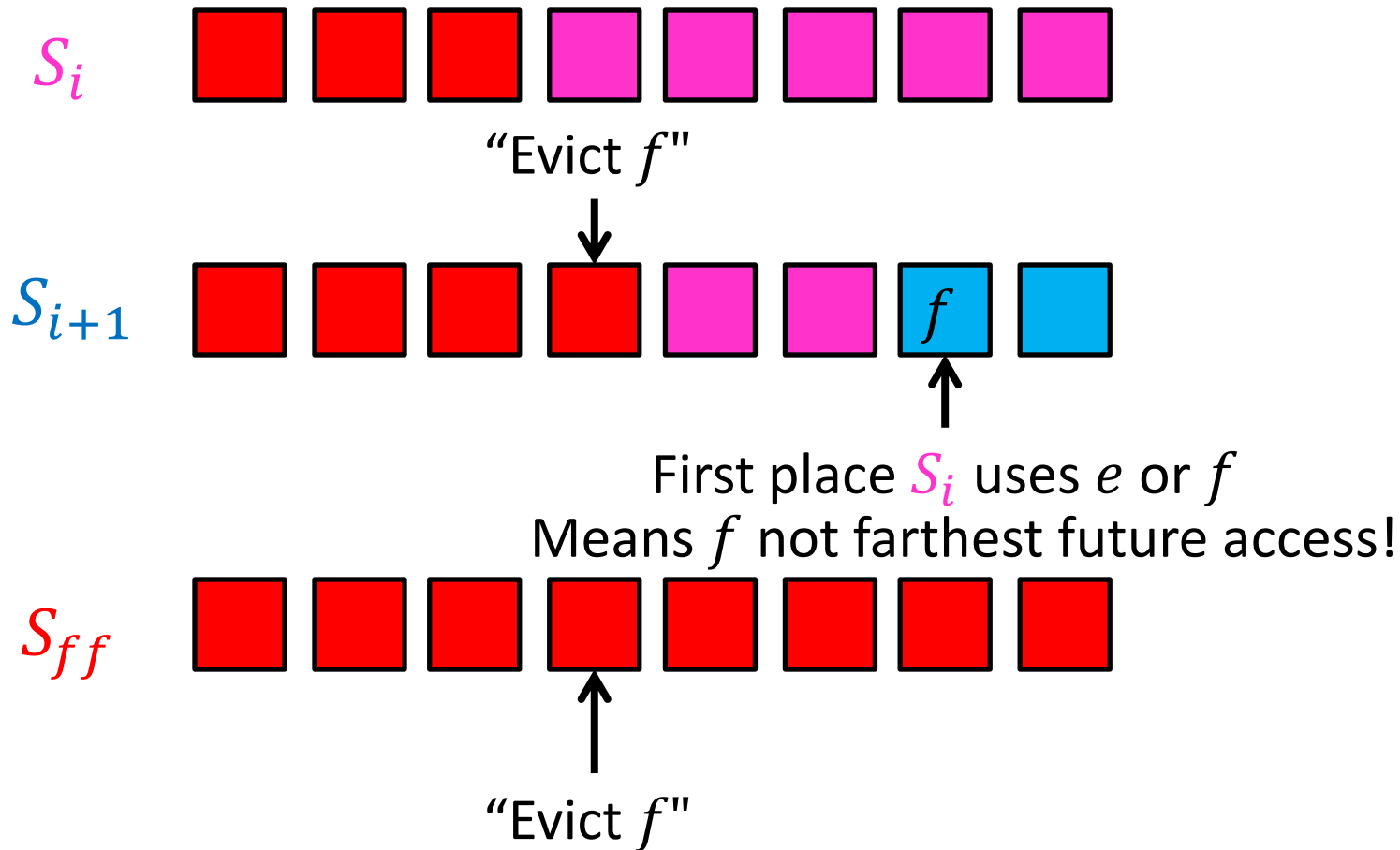


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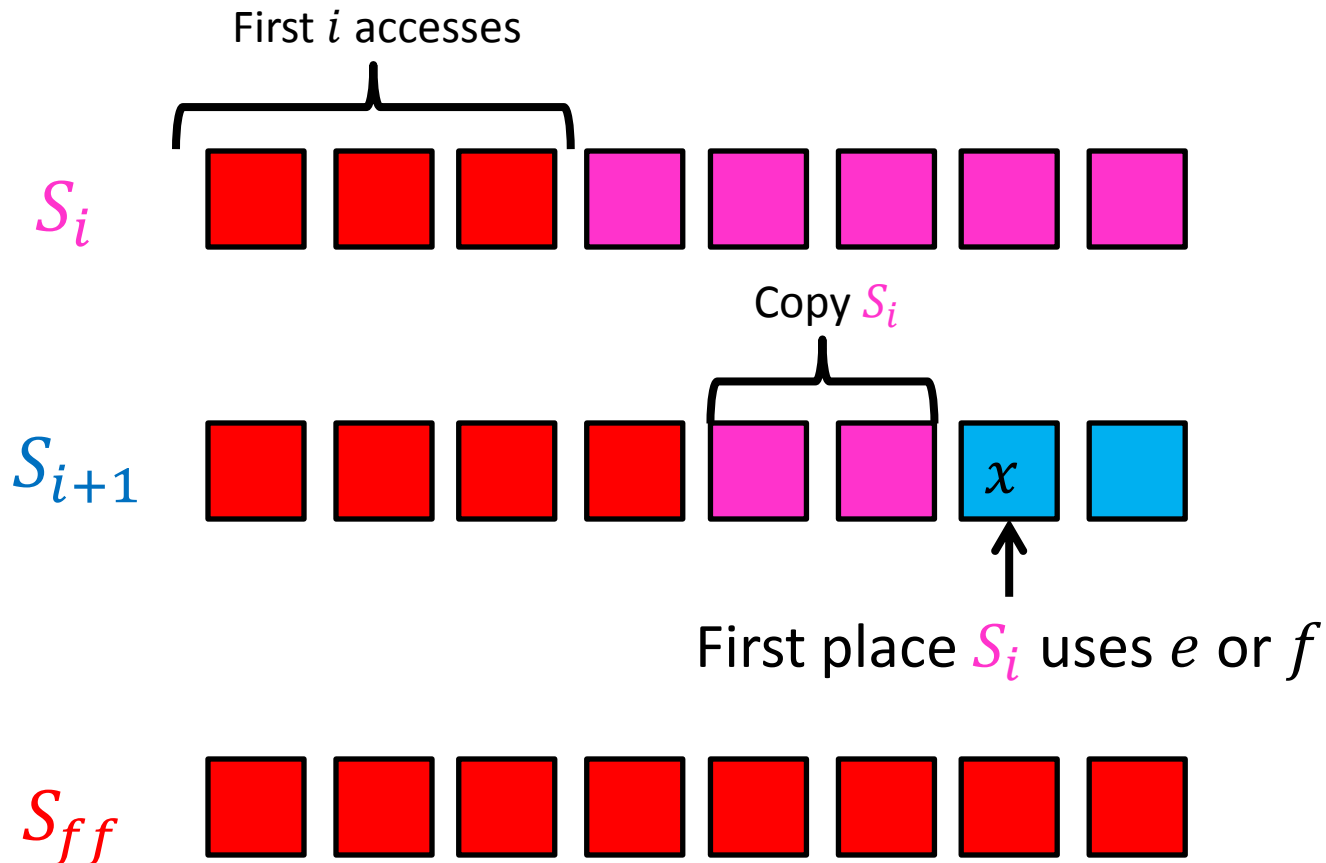
$m_t = e$ or $m_t = f$ or $m_t = x \neq e, f$

Case 3, $m_t = f$

Cannot Happen!



Case 3, $m_t = x \neq e, f$

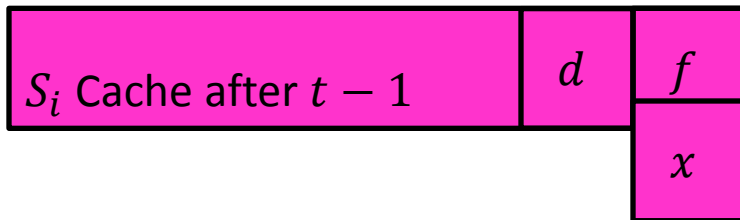


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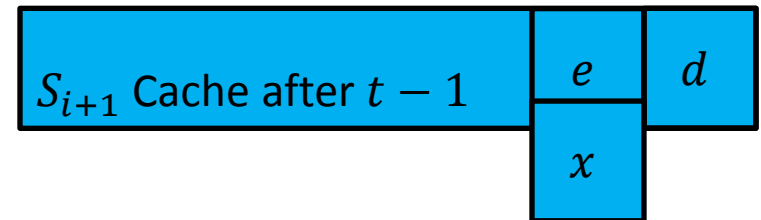
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Case 3, $m_t = x \neq e, f$

Goal: find S_{i+1} s.t. $\text{misses}(S_{i+1}) \leq \text{misses}(S_i)$



\neq



S_i loads x into the cache, it must be evicting f

S_{i+1} will load x into the cache, evicting e

The caches now match!

S_{i+1} behaved exactly the same as S_i between i and t , and has the same cache after t , therefore $\text{misses}(S_{i+1}) = \text{misses}(S_i)$