

# ANALYSIS OF ALGORITHMS

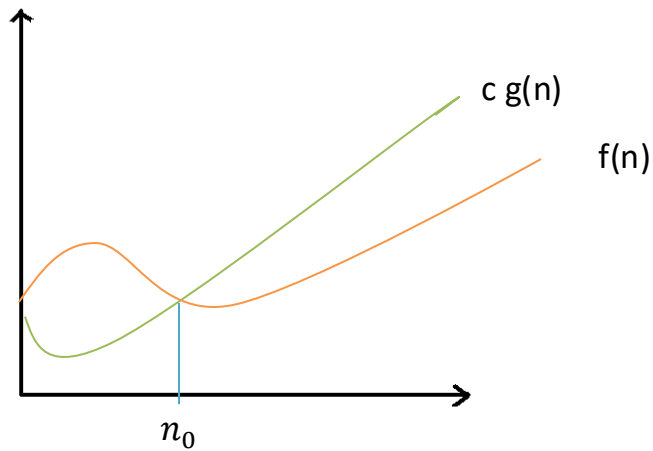
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CMSC 142, LEC1

# Outline of Today's Lecture

- $O$  notation
- $\Omega$  notation
- $\Theta$  notation

# O notation



$$f(n) \leq c g(n)$$

for  $n \geq n_0$

$$c > 0, \quad n_0 \geq 1$$

$$f(n) \in O(g(n))$$

# Example

Given:  $f(n) = 3n+2$  and  $g(n) = n$

Prove that  $f(n) \in O(g(n))$

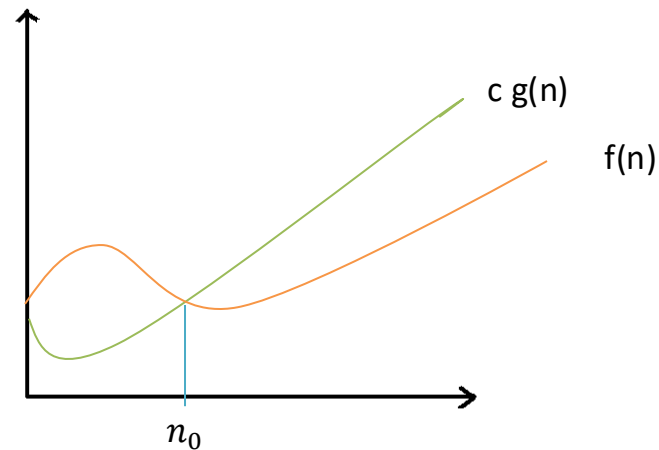
$$f(n) \leq cg(n)$$

$$3n + 2 \leq cg(n),$$

$$c = 5$$

$$3n+2 \leq 5n$$

$$n_0 \geq 1$$



# O notation

- $f, g$  : nonnegative functions of nonnegative arguments

$$O(g) : \left\{ \begin{array}{l} f \mid f \text{ is a non negative function s.t. } \exists \text{ constants } c, n_0 > 0 \\ \text{s.t. } f(n) \leq cg(n) \text{ for } n \geq n_0 \end{array} \right\}$$

# O notation

- $g(n)$  is an asymptotic upper bound for  $f(n)$
- Using O-notation, we can often describe the RT of algorithm merely by inspecting the algorithm's ?
- Example: double for-loop:  $n^2$

# O notation

- $g(n)$  is an asymptotic upper bound for  $f(n)$
- Using O-notation, we can often describe the RT of algorithm merely by inspecting the algorithm's overall structure
- Example: double for-loop:  $n^2$

# O notation

- Since O-notation describes **upper-bound**, when we use it as bound of the \_\_\_\_\_ RT of an algorithm, we have a bound on the RT of the algorithm on every input



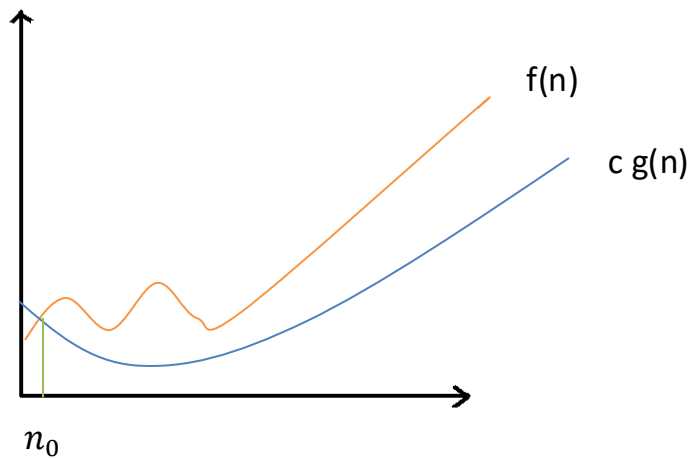
# O notation

- Since O-notation describes **upper-bound**, when we use it as bound of the **worst case** RT of an algorithm, we have a bound on the RT of the algorithm on every input

# Outline of Today's Lecture

- $O$  notation
- $\Omega$  notation
- $\Theta$  notation

# $\Omega$ notation



$$f(n) \geq c g(n)$$

for  $n \geq n_0$

$$c > 0, \quad n_0 \geq 1$$

# Example 1

Given:  $f(n) = 3n+2$  and  $g(n) = n$

Prove that  $f(n) \in \Omega(g(n))$

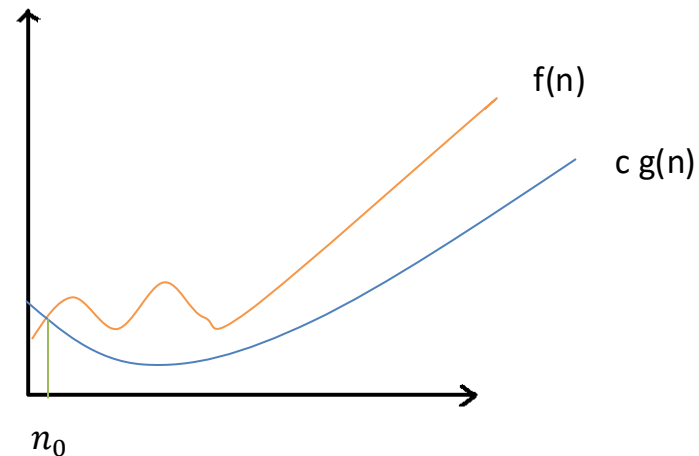
$$f(n) \geq cg(n)$$

$$3n + 2 \geq cg(n),$$

$$3n+2 \geq n$$

$$n_0 \geq 1$$

$$c = 1$$



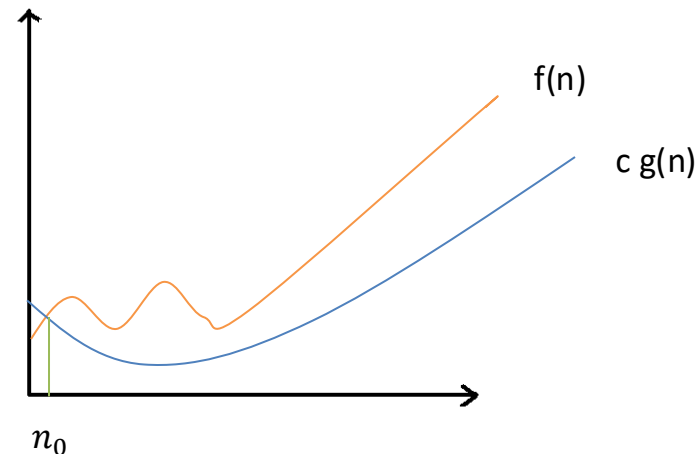
## Example 2

Given:  $f(n) = 3n+2$  and  $g(n) = n^2$

Is  $f(n) \in \Omega(g(n))$ ?

$$f(n) \geq cg(n)$$

$$3n+2 \geq cn^2, n_0$$



**It's not possible!**  $f(n) \in \Omega(n^2)$

$\downarrow$   
 $O(\log n)$

# $\Omega$ notation

- $f, g$  : nonnegative functions of nonnegative arguments

$$\Omega(g) : \left\{ \begin{array}{l} f \mid f \text{ is a non negative function s.t. } \exists \text{ constants } c, n_0 > 0 \\ \text{s.t. } cg(n) \leq f(n) \text{ for } n \geq n_0 \end{array} \right\}$$

# $\Omega$ notation

- If  $O$ -notation provides asymptotic upper bound,  $\Omega$ -notation (Big Omega) provides asymptotic \_\_\_\_\_

# $\Omega$ notation

- If O-notation provides asymptotic upper bound,  $\Omega$ -notation (Big Omega) provides asymptotic **lower bound**



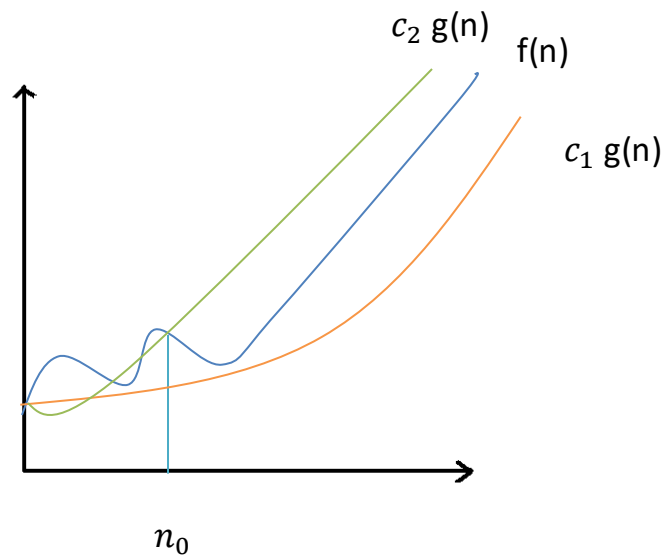
# $\Omega$ notation

- We will not deal with  $\Omega$ -notation as often as  $O$ -notation.  
[Why?]
- $\Omega$ -notation describes **best case**, but we want to always consider the **worst-case**

# Outline of Today's Lecture

- $O$  notation
- $\Omega$  notation
- $\Theta$  notation

# $\Theta$ notation



$$c_1 g(n) \leq f(n) \leq c_2 g(n)$$

$$c_1, c_2 > 0 \text{ for } n \geq n_0$$

# Example 1

Given:  $f(n) = 3n+2$  and  $g(n) = n$

Prove that  $f(n) \in O(g(n))$

$$f(n) \leq cg(n)$$

$$\begin{array}{l} 3n + 2 \leq cg(n) \\ 3n+2 \leq 5n \end{array} \quad ,$$

$$f(n) \geq cg(n)$$

$$\begin{array}{l} c = 5 \quad 3n + 2 \geq cg(n) \\ n_0 \geq 1 \quad 3n+2 \geq n \end{array} \quad , \quad \begin{array}{l} c = 1 \\ n_0 \geq 1 \end{array}$$

$$n \leq 3n+2 \leq 5n$$

## Example 2

- $f_1(n) = 10n^3 + 5n^2 + 17 \quad \in \quad \Theta(n^3)$
- $f_2(n) = 2n^3 + 3n + 79 \quad \in \quad \Theta(n^3)$

## Example 2

$$f_1(n) = 10n^3 + 5n^2 + 17 \quad \in \quad \Theta(n^3)$$

Proof:

$$10n^3 \leq f_1(n) \leq (10 + 5 + 17)n^3 = 32n^3$$

$$c_1 = 10, \quad c_2 = 32$$

$$c_1 n^3 \leq f_1(n) \leq c_2 n^3$$

For all  $n \geq 1$

# $\Theta$ notation

- $f, g$  : nonnegative functions of nonnegative arguments

$$\Theta(g) : \left\{ \begin{array}{l} f \mid f \text{ is a non negative function s.t. } \exists \text{ constants } c_1, c_2, n_0 > 0 \\ \text{s.t. } c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for } n \geq n_0 \end{array} \right\}$$

# $\Theta$ notation

- Theta Notation =  $f(n)$  can be sandwiched between  $c_1g(n)$  and  $c_2g(n)$ , for sufficiently large  $n$
- $\Theta$ -notation is usually used to describe average cases.



# Note!

Suppose  $f \in \Theta(g)$

More common style:  $f = \Theta(g)$

# Outline of Today's Lecture

- $\Theta$  notation
- $O$  notation
- $\Omega$  notation

$O(g)$

vs

$\Omega(g)$

vs

$\Theta(g)$

# $O(g)$ vs $\Omega(g)$ vs $\Theta(g)$

- $f, g$  : nonnegative functions of nonnegative arguments

$$O(g) : \left\{ \begin{array}{l} f \mid f \text{ is a non negative function s.t. } \exists \text{ constants } c_2, n_0 > 0 \\ \text{s.t. } f(n) \leq c_2 g(n) \text{ for } n \geq n_0 \end{array} \right\}$$

$$\Omega(g) : \left\{ \begin{array}{l} f \mid f \text{ is a non negative function s.t. } \exists \text{ constants } c_1, n_0 > 0 \\ \text{s.t. } c_1 g(n) \leq f(n) \text{ for } n \geq n_0 \end{array} \right\}$$

$$\Theta(g) : \left\{ \begin{array}{l} f \mid f \text{ is a non negative function s.t. } \exists \text{ constants } c_1, c_2, n_0 > 0 \\ \text{s.t. } c_1 g(n) \leq f(n) \leq c_2 g(n) \end{array} \right\}$$

# $O(g)$ vs $\Omega(g)$ vs $\Theta(g)$

- $O(g)$  : \_\_\_\_\_ bound
- $\Omega(g)$  : \_\_\_\_\_ bound
- $\Theta(g)$  : \_\_\_\_\_ bound

# $O(g)$ vs $\Omega(g)$ vs $\Theta(g)$

- $O(g)$  : upper bound, worst case
- $\Omega(g)$  : lower bound, best case
- $\Theta(g)$  : average average case

# $O(g)$ vs $\Omega(g)$ vs $\Theta(g)$

- $f \in O(g)$  :  $f$  no larger than  $g$
- $f \in \Omega(g)$  :  $f$  is greater than or equal to  $g$ , ignoring constants
- $f \in \Theta(g)$  :  $f$  nearly similar to  $g$

$O(g)$       vs       $\Omega(g)$       vs       $\Theta(g)$

- $f \in O(g) : \leq$
- $f \in \Omega(g) : \geq$
- $f \in \Theta(g) : =$



# What notation should be used

- $f \in O(g)$ , in practice
- $f \in \Omega(g)$
- $f \in \Theta(g)$

# What notation should be used

- $f \in O(g)$ , in practice
- $f \in \Omega(g)$
- $f \in \Theta(g)$ , in general cases

# Comparing growth rates

Algorithm A

Running Time:

$$f(n) = 3n^2 - n + 10$$

=

If  $n = 5$

$$A = 80$$

$$B = 38$$

Algorithm B

Running Time:

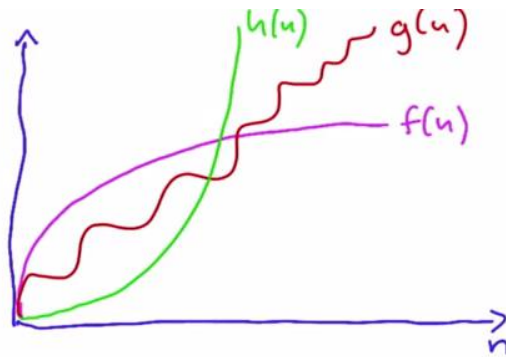
$$g(n) = 2^n - 50n + 256$$

If  $n = 100$

$$A = 29910$$

$$B = 1267650600228229401496703200632$$

# Comparing growth rates



- Which of the following is true?

\_\_\_  $f(n) \in O(g(n))$

\_\_\_  $f(n) \in O(h(n))$

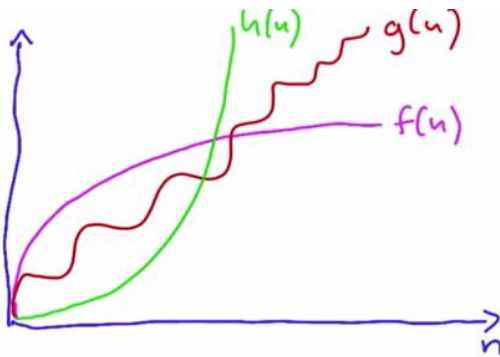
\_\_\_  $g(n) \in O(f(n))$

\_\_\_  $g(n) \in O(h(n))$

\_\_\_  $h(n) \in O(f(n))$

\_\_\_  $h(n) \in O(g(n))$

# Comparing growth rates



- Which of the following is true?

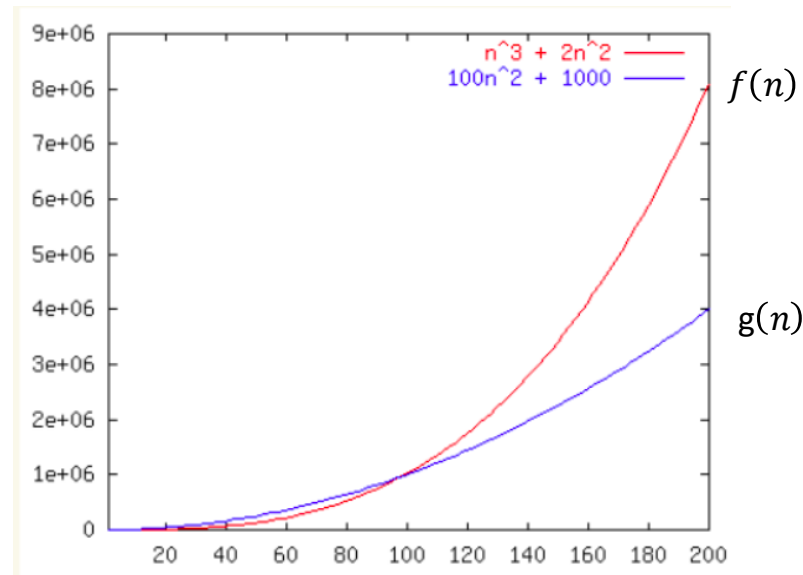
- ☒  $f(n) \in O(g(n))$
- ☒  $f(n) \in O(h(n))$
- ☐  $g(n) \in O(f(n))$
- ☒  $g(n) \in O(h(n))$
- ☐  $h(n) \in O(f(n))$
- ☐  $h(n) \in O(g(n))$

# Which one is faster?

Is  $f(n) \in O(g(n))$ ?

$$f(n) = n^3 + 2n^2$$

$$g(n) = 100n^2 + 1000$$

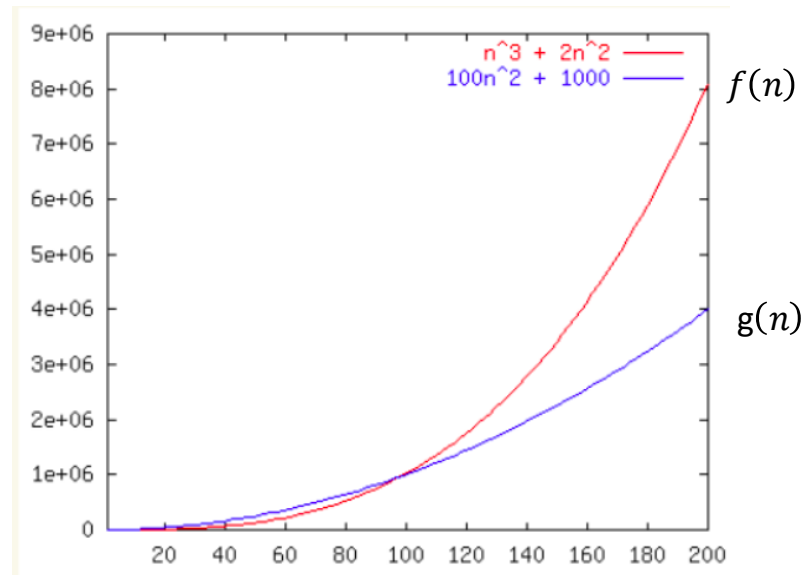


# Which one is faster?

Is  $f(n) \in O(g(n))$ ? **NO!**

$$f(n) = n^3 + 2n^2$$

$$g(n) = 100n^2 + 1000$$

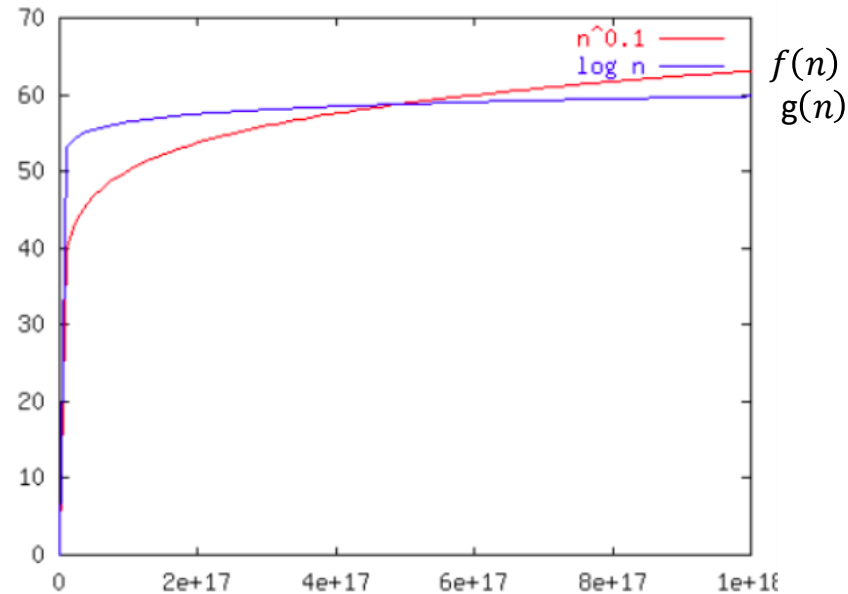


# Which one is faster?

Is  $f(n) \in O(g(n))$ ?

$$f(n) = n^{0.1}$$

$$g(n) = \log n$$



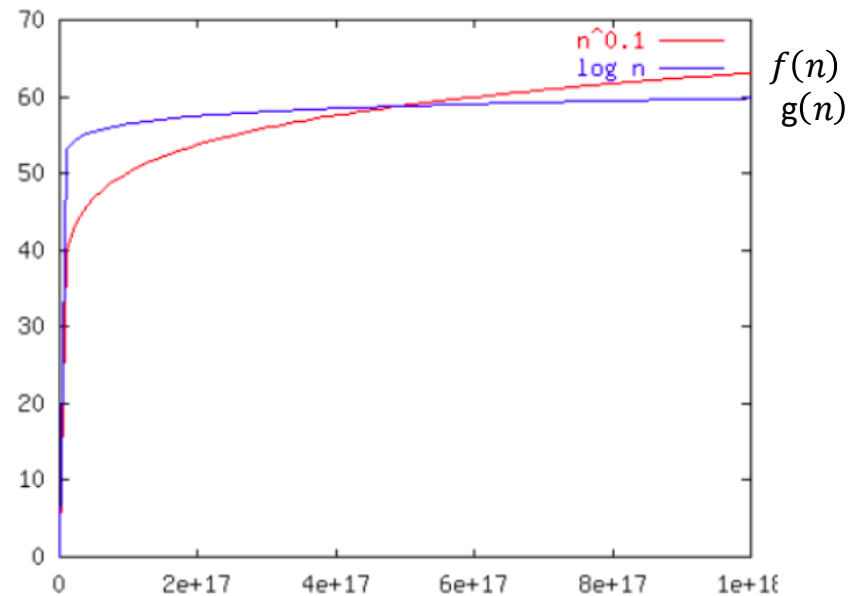


# Which one is faster?

Is  $f(n) \in O(g(n))$ ? **NO!**

$$f(n) = n^{0.1}$$

$$g(n) = \log n$$

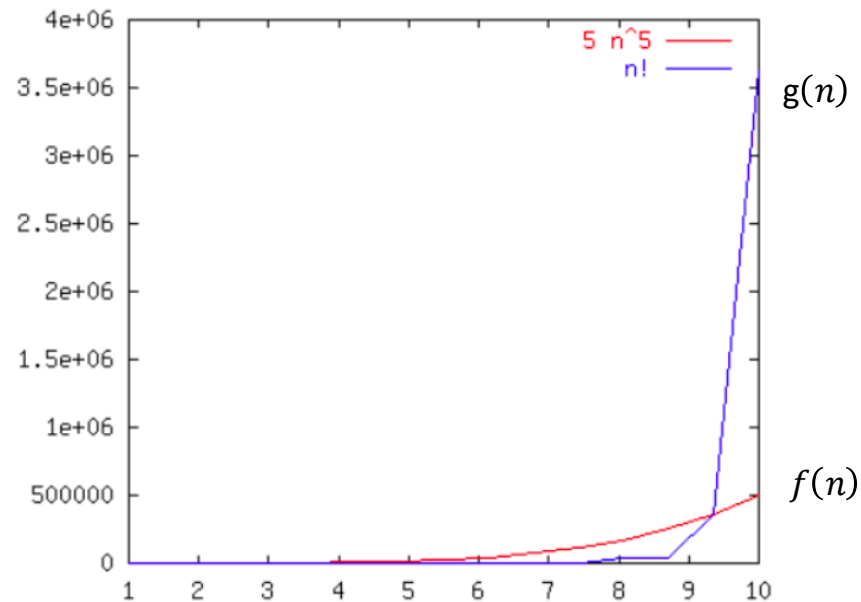


# Which one is faster?

Is  $f(n) \in O(g(n))$ ?

$$f(n) = 5n^5$$

$$g(n) = n!$$

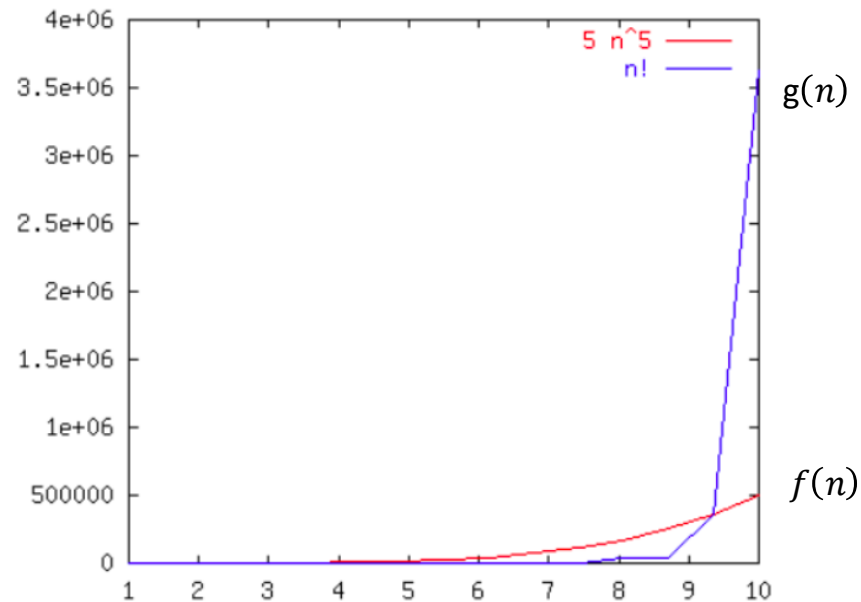


# Which one is faster?

Is  $f(n) \in O(g(n))$ ? **YES!**

$$f(n) = 5n^5$$

$$g(n) = n!$$



# Comparing growth rates

- $3n + 1 \in O(n)$
- $18n^2 - 50 \in O(n^2)$
- $2^n + 30n^6 + 123 \in O(2^n)$

- $3n + 1 \in O(n^2)$  , correct but not tightly bound
- $18n^2 - 50 \in O(n^3)$  , correct but not tightly bound

# Question

			Correct?	Tightly bound?
• $4n^2 - 300n + 12$	$\in$	$O(n^2)$	_____	_____
• $4n^2 - 30n + 12$	$\in$	$O(n^3)$	_____	_____
• $3^n + 5n^2 - 3n$	$\in$	$O(n^2)$	_____	_____
• $3^n + 5n^2 - 3n$	$\in$	$O(3^n)$	_____	_____
• $3^n + 5n^2 - 3n$	$\in$	$O(4^n)$	_____	_____
• $50 \cdot 2^n \cdot n^2 + 5n - \log(n)$	$\in$	$O(2^n)$	_____	_____

# Question

			Correct?	Tightly bound?
• $4n^2 - 300n + 12$	∈	$O(n^2)$	✓	✓
• $4n^2 - 30n + 12$	∈	$O(n^3)$	✓	___
• $3^n + 5n^2 - 3n$	∈	$O(n^2)$	___	___
• $3^n + 5n^2 - 3n$	∈	$O(3^n)$	✓	✓
• $3^n + 5n^2 - 3n$	∈	$O(4^n)$	✓	___
• $50 \cdot 2^n \cdot n^2 + 5n - \log(n)$	∈	$O(2^n)$	___	___

Expression	Dominant term(s)	$O(\dots)$
$5 + 0.001n^3 + 0.025n$		
$500n + 100n^{1.5} + 50n \log_{10} n$		
$0.3n + 5n^{1.5} + 2.5 \cdot n^{1.75}$		
$n^2 \log_2 n + n(\log_2 n)^2$		
$n \log_3 n + n \log_2 n$		
$3 \log_8 n + \log_2 \log_2 \log_2 n$		
$100n + 0.01n^2$		
$0.01n + 100n^2$		
$2n + n^{0.5} + 0.5n^{1.25}$		
$0.01n \log_2 n + n(\log_2 n)^2$		
$100n \log_3 n + n^3 + 100n$		
$0.003 \log_4 n + \log_2 \log_2 n$		

Expression	Dominant term(s)	$O(\dots)$
$5 + 0.001n^3 + 0.025n$	$0.001n^3$	$O(n^3)$
$500n + 100n^{1.5} + 50n \log_{10} n$	$100n^{1.5}$	$O(n^{1.5})$
$0.3n + 5n^{1.5} + 2.5 \cdot n^{1.75}$	$2.5n^{1.75}$	$O(n^{1.75})$
$n^2 \log_2 n + n(\log_2 n)^2$	$n^2 \log_2 n$	$O(n^2 \log n)$
$n \log_3 n + n \log_2 n$	$n \log_3 n, n \log_2 n$	$O(n \log n)$
$3 \log_8 n + \log_2 \log_2 \log_2 n$	$3 \log_8 n$	$O(\log n)$
$100n + 0.01n^2$	$0.01n^2$	$O(n^2)$
$0.01n + 100n^2$	$100n^2$	$O(n^2)$
$2n + n^{0.5} + 0.5n^{1.25}$	$0.5n^{1.25}$	$O(n^{1.25})$
$0.01n \log_2 n + n(\log_2 n)^2$	$n(\log_2 n)^2$	$O(n(\log n)^2)$
$100n \log_3 n + n^3 + 100n$	$n^3$	$O(n^3)$
$0.003 \log_4 n + \log_2 \log_2 n$	$0.003 \log_4 n$	$O(\log n)$



Cost

`count = count + 1;`

c1

`sum = sum + count;`

c2

- Each operation in an algorithm (or a program) has a cost.  
    ➔ Each operation takes a certain of time.

`count = count + 1;` ➔ take a certain amount of time, but it is constant

***A sequence of operations:***

`count = count + 1;`

Cost:  $c_1$

`sum = sum + count;`

Cost:  $c_2$

➔ Total Cost =  $c_1 + c_2$

### *Example: Simple If-Statement*

	<u>Cost</u>	<u>Times</u>
if (n < 0)	c1	1
absval = -n	c2	1
else		
absval = n;	c3	1

Total Cost  $\leq c1 + \max(c2, c3)$

### Example: Simple Loop

	<u>Cost</u>	<u>Times</u>
<code>i = 1;</code>	c1	1
<code>sum = 0;</code>	c2	1
<code>while (i &lt;= n) {</code>	c3	n+1
<code>i = i + 1;</code>	c4	n
<code>sum = sum + i;</code>	c5	n
<code>}</code>		

$$\begin{aligned}\text{Total Cost} &= c1 + c2 + (n+1)*c3 + n*c4 + n*c5 \\ &= (c3+c4+c5)*n + (c1+c2+c3) \\ &= a*n + b\end{aligned}$$

➔ So, the growth-rate function for this algorithm is **O(n)**

## Example: Nested Loop

	<u>Cost</u>	<u>Times</u>
i=1;	c1	1
sum = 0;	c2	1
while (i <= n) {	c3	n+1
j=1;	c4	n
while (j <= n) {	c5	n* (n+1)
sum = sum + i;	c6	n*n
j = j + 1;	c7	n*n
}		
i = i +1;	c8	n
}		

$$\begin{aligned}T(n) &= c1 + c2 + (n+1)*c3 + n*c4 + n*(n+1)*c5 + n*n*c6 + n*n*c7 + n*c8 \\&= (c5+c6+c7)*n^2 + (c3+c4+c5+c8)*n + (c1+c2+c3) \\&= a*n^2 + b*n + c\end{aligned}$$

➔ So, the growth-rate function for this algorithm is  **$O(n^2)$**


```
i = 0;
while (i<N) {
    X=X+Y;           // O(1)
    result = mystery(X); // O(N), just an example...
    i++;           // O(1)
}
```

The body of the while loop:  $O(N)$

Loop is executed: N times

$$N \times O(N) = O(N^2)$$

```
if (i<j)
    for ( i=0; i<N; i++ )
        X = X+i;
```



$O(N)$

```
else
    X=0;
```



$O(1)$

$\text{Max} ( O(N), O(1) ) = O(N)$

# More Examples



```
for (i = 10; i < n + 5; i += 2)
    op();
```

$$O(n) = (n + 5 - 10)/2$$

```
for (i = 1; i < n; i *= 2)
    op();
```

```
for (i = n; i > 1; i /= 2)
    op();
```

$O(\log n)$

```
for (i = 1; i < n; i *= 2)
    op();
```

$O(\log n)$

	2	2*2	2*2..*2
$i_0$	$i_1$	$i_2$	$i_k$
$2^0$	$2^1$	$2^2$	... $2^k$

**Loop stops when**

$$2^k \geq n$$

$$\log_2 2^k \geq \log_2 n$$

$$k \leq \log_2 n$$

$$O(\log n)$$

```
for (i = n; i > 1; i /= 2)
    op();
```

$O(\log n)$

$$n^{i_0} \cdot \frac{1}{2^0} \quad n^{i_1} \cdot \frac{1}{2^1} \quad n^{i_2} \cdot \frac{1}{2^2} \quad \cdots \quad n^{i_k} \cdot \frac{1}{2^k}$$

$$n \cdot \frac{1}{2^k} \leq 1$$

$$n \leq 2^k$$

$$\log_2 n \leq \log_2 2^k$$

$$\log_2 n \leq k$$

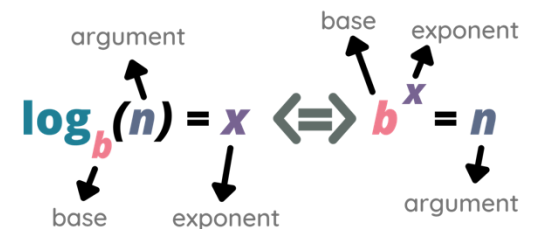
$$O(\log n)$$

```
for (i = 10; i < n + 5; i *= 3)
    op();
```

$10 * 3 = 30$   
 $30 * 3 = 90$   
 $90 * 3 = 270$   
 ...

The loop will multiply  $i = 10$  by 3 until  
 $10 \times 3^i \geq n + 5$ . Solving for  $i$ , we get  $i = \log_3 \frac{n + 5}{10}$ .

$10 * 3^0 = 10$   
 $10 * 3^1 = 30$   
 $10 * 3^2 = 90$   
 $10 * 3^3 = 270$   
 ....



```
for (i = 10; i < n + 5; i *= 3)
    op();
```

**Loop stops when  $i_k \geq n + 5$**

$$i_0 = 10 * 3^0$$

$$10 * 3^k \geq n + 5$$

$$i_1 = 10 * 3^1$$

$$3^k \geq \frac{(n + 5)}{10}$$

$$i_2 = 10 * 3^2$$

$$\log_3 3^k \geq \log_3 \frac{n + 5}{10}$$

$$i_k = 10 * 3^k$$

$$k \geq \log_3 \frac{n + 5}{10}$$

```
for (i = 1; i < n * n * n; i *= 2)
    op();
```

$i_0$	$i_1$	$i_2$		$i_k$
$2^0$	$2^1$	$2^2$	...	$2^k$

$O(\log n)$

$$\log_2 n^3 = 3 \log_2 n.$$

$$2^k \geq n^3$$

$$\log_2 2^k \geq \log_2 n^3$$

$$k \geq 3 \log_2 n$$

$$O(\log n)$$

$$\log m^n = n \log m$$

```
for (i = 10; i < n; i++)  
    for (j = 0; j < n; j += 2)  
        op();
```

$O(n^2)$

op( ) is called exactly  $(n - 10) \times \frac{n}{2} = \frac{1}{2}n^2 - 5n$   
times.



```
for (i = 0; i < n; i++)  
    for (j = 0; j < 100; j++)  
        op();
```

$O(n)$

`op()` is called  $100n$  times, but we ignore the coefficient.

```
for (i = 0; i < n; i++) {  
    for (j = 0; j < n; j++)  
        op();  
  
    for (j = 1; j < n; j *= 2);  
        op();  
}
```

$O(n^2)$

At each iteration of the outer loop, the first inner loop runs and then the second inner loop runs. Therefore, **op()** is called  $n \times (n + \log n)$   $= n^2 + n \log n$  times, which is in the order of  $n^2$ .

```
for (i = 1; i <= n; i++)  
    for (j = 1; j <= i; j++)  
        op();
```

$O(n^2)$

The inner loop performs 1 iteration when  $i = 1$ , and 2 iterations when  $i = 2$ , etc.

Therefore, **op()** is called  $1 + 2 + \dots + n$  times. This can be represented as a summation:

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

Eq. 1

# Quiz

Find the running time complexity of the following snippet of codes. Provide an explanation or solution.

1

```
1: function FUN(int n)
2:   int m = n*n;
3:   for (int i = n/2; i > 1; i/=3) do
4:     for (int j = 0; j < m; j++) do
5:       Console.print(i+j)
6:     end for
7:   end for
8:   return 0
9: end function
```

2

```
1: function FUN(int n)
2:   while n > 0 do
3:     for (int i = n; i > 0; i/=3) do
4:       Console.print(n)
5:     end for
6:     n = n / 2
7:   end while
8:   return 0
9: end function
```

```

1: function FUN(int n)
2:   int m = n*n;
3:   for (int i = n/2; i > 1; i/=3) do
4:     for (int j = 0; j < m; j++) do
5:       Console.print(i+j)
6:     end for
7:   end for
8:   return 0
9: end function

```

$$\begin{array}{ccccccc}
i_0 & i_1 & i_2 & & i_k \\
\frac{n}{2} \times \frac{1}{3^0} & \frac{n}{2} \times \frac{1}{3^1} & \frac{n}{2} \times \frac{1}{3^2} & \dots & \frac{n}{2} \times \frac{1}{3^k}
\end{array}$$

Loop stops when  $i_k < 1$

$$\frac{n}{2} \times \frac{1}{3^k} \leq 1$$

$$\frac{n}{2} \leq 3^k$$

$$\log_3 \frac{n}{2} \leq \log_3 3^k$$

$$\log_3 \frac{n}{2} \leq k \log_3 3$$

$$\log_3 \frac{n}{2} \leq k$$

$$O(\log n)$$

$$O(n^2)$$

$$O(n^2 \log n)$$

```
1: function FUN(int n)
```

```
2:   while n > 0 do
```

```
3:     for (int i = n; i > 0; i/=3) do
```

```
4:       Console.print(n)
```

```
5:     end for
```

```
6:     n = n / 2
```

```
7:   end while
```

```
8:   return 0
```

```
9: end function
```

$$\begin{matrix} i_0 & i_1 & i_2 & & i_k \\ n * \frac{1}{3^0} & n * \frac{1}{3^1} & n * \frac{1}{3^2} & \dots & n * \frac{1}{3^k} \end{matrix}$$

Loop stops when  $i_k \leq 0$

$$n * \frac{1}{3^k} \leq 1$$

$$n \leq 3^k$$

$$\log_3 n \leq \log_3 3^k$$

$$\log_3 n \leq k \log_3 3$$

$$\log_3 n \leq k$$

$$O(\log n)$$

$$\begin{matrix} i_0 & i_1 & i_2 & & i_k \\ n * \frac{1}{2^0} & n * \frac{1}{2^1} & n * \frac{1}{2^2} & \dots & n * \frac{1}{2^k} \end{matrix}$$

$$n * \frac{1}{2^k} \leq 1$$

$$n \leq 2^k$$

$$\log_2 n \leq \log_2 2^k$$

$$\log_2 n \leq k$$

$$O(\log n)$$

