HW 3 2/19/23, 5:59 PM



Homework 3: Language Models, Contextual Embedding and BERT

In this homework, we will explore implementations of various language models we saw in lecture. We will explore BERT and measure perplexity.

Set Up

If you're opening this Notebook on colab, you will probably need to install Transformers. Make sure your version of Transformers is at least 4.11.0

```
In []: ! pip3.7 install transformers
            Collecting transformers
               Using cached transformers-4.26.1-py3-none-any.whl (6.3 MB)
            Collecting huggingface-hub<1.0,>=0.11.0
            Using cached huggingface_hub-0.12.1-py3-none-any.whl (190 kB) Collecting tokenizers!=0.11.3,<0.14,>=0.11.1
               Downloading tokenizers-0.13.2-cp37-cp37m-macosx_10_11_x86_64.whl (3.8 MB)
                                                                                                              eta 0:00:0000:0100:01
             Requirement already satisfied: tqdm>=4.27 in /Library/Frameworks/Python.frawework/Versions/3.7/lib/python3.7/site-packages (from transformers) (4.64.1)
            Collecting regex!=2019.12.17

Downloading regex=2022.10.31-cp37-cp37m-macosx_10_9_x86_64.whl (294 kB)
            294.4/294.4 kB 10.0 MB/s eta 0:00:00

Requirement already satisfied: pyyaml>=5.1 in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from transformers) (6.0)
            Requirement already satisfied: importlib-metadata in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from transformers) (4.12.0) Requirement already satisfied: filelock in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from transformers) (3.0.12) Requirement already satisfied: numpy==1.17 in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from transformers) (1.21.6)
            Requirement already satisfied: packaging>=20.0 in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from transformers) (21.3) Requirement already satisfied: requests in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from transformers) (2.25.1)
            Requirement already satisfied: typing-extensions>=3.7.4.3 in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from huggingface-hub<1.0,>=0.11.0
             ->transformers) (3.7.4.3)
             Requirement already satisfied; pyparsing!=3.0.5.>=2.0.2 in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from packaging>=20.0->transformers)
             Requirement already satisfied: zipp>=0.5 in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from importlib-metadata->transformers) (2.1.0)
            Requirement already satisfied: idna<3,>=2.5 in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from requests->transformers) (2.10)
Requirement already satisfied: chardet<5,>=3.0.2 in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from requests->transformers) (4.0.0)
            Requirement already satisfied: urllib3-1.27,>=1.21.1 in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from requests->transformers) (1.26.3) Requirement already satisfied: certifi>=2017.4.17 in /Library/Frameworks/Python.framework/Versions/3.7/lib/python3.7/site-packages (from requests->transformers) (2020.12.5) Installing collected packages: tokenizers, regex, huggingface-hub, transformers
             Successfully installed huggingface-hub-0.12.1 regex-2022.10.31 tokenizers-0.13.2 transformers-4.26.1
             [notice] A new release of pip available: 22.3.1 -> 23.0.1
             [notice] To update, run: pip3.7 install --upgrade pip
In []: import transformers
            print(transformers.__version__)
```

IMPORTANT: For this assignment, GPU is not necessary. The following code block should show "Running on cpu". Go to Runtime > Change runtime type > Hardware accelerator > None if otherwise.

```
device = torch.device("cuda" if torch.cuda.is available() else "cpu")
print("Running on {}".format(device))
```

Running on cpu

Masking

One of the core ideas to wrap your head around with transformer-based language models (and PyTorch) is the concept of masking---preventing a model from seeing specific tokens in the input during training.

- BERT training relies on the concept of masked language modeling; masking a random set of input tokens in a sequence and attempting to predict them. Remember that BERT is bidirectional, so that it can use all of the other non-masked tokens in a sentence to make that prediction
- The GPT class of models acts as a traditional left-to-right language model (sometimes called a "causal" LM) . This family also uses self-attention based transformers----but, when making a prediction for the word w_i at position i_i , it can only use information about words w_1,\ldots,w_{i-1} to do so. All of the other tokens following position i-1 must be masked (hidden from view).

Think about a mask as a matrix that's applied to every input w when generating an output o that determines whether an given o; is allowed to access each token in w. For example, when passing a three-word input sequence through a transformer (to yield a three-word output sequence), a mask is a 3 imes3 matrix where the cells are essentially answering the following questions

```
o_1 hide w_1? o_1 hide w_2? o_1 hide w_3?
 o_2 hide w_1? o_2 hide w_2? o_2 hide w_3?
o_3 hide w_1? o_3 hide w_2? o_3 hide w_3?
```

In the masks we will consider below, 1 denotes that a position should be hidden; 0 denotes that it should be visible. Consider this mask:

$$\begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$$

And consider this sequence:

```
[John likes dogs]
```

When applying this mask to that sequence, we're saying that when we're generating the output for o_1 (John), we can only consider w_1 as an input (John). Likewise, when we generate the output for o_2 (likes), we can only consider w_2 as an input (likes), and so on. (This is a terrible mask! But illustrates what function a mask performs.)

The following code illustrates how this works for that particular mask.

```
In [ ]: import numpy as np
         def visualize_masking(sequences, mask):
           print(mask)
           for sequence in sequences:
   for i in range(len(sequence)):
               visible=[]
                for j in range(len(sequence)):
                 if mask[i][i]==0:
                    visible.append(sequence[j])
               print("for word %s, the following tokens are visible: %s" % (sequence[i], visible))
```

HW 3 2/19/23, 5:59 PM

```
In []: sequences=[["This", "is", "a", "sentence", "that", "has", "exactly", "ten", "tokens", "."], ["Here's", "another", "sequence", "with", "10", "words", "like", "the", "last", ".
               seq length=len(sequences[0])
                test_mask=np.ones((seq_length,seq_length))
               for i in range(seq_length):
    test_mask[i,i]=0
               visualize_masking(sequences, test_mask)
                 [1. 1. 0. 1. 1. 1. 1. 1. 1. 1. ]
[1. 1. 1. 0. 1. 1. 1. 1. 1. 1.]
              for word Here's, the following tokens are visible: ["Here's"]
               for word another, the following tokens are visible: ['another'] for word another, the following tokens are visible: ['another'] for word sequence, the following tokens are visible: ['sequence'] for word with, the following tokens are visible: ['with'] for word 10, the following tokens are visible: ['10']
               for word words, the following tokens are visible: ['words'] for word words, the following tokens are visible: ['like'] for word like, the following tokens are visible: ['the'] for word the, the following tokens are visible: ['the'] for word last, the following tokens are visible: ['ast'] for word ., the following tokens are visible: ['.']
```

Q1.

As we discussed in class, BERT masks a random set of words in the input and attempts to reconstruct those words as output. Create a mask that randomly masks token positions 2 and 7 (for an input sequence length of 10 tokens, with 0 being the position of the first token). For an input sequence of 10 tokens, you should generate output representations for all 10 tokens (i.e., $[o_1,\ldots,o_{10}]$ in the notation above, but each representation must ignore the same 2 input tokens.

```
In [ ]: def create bert mask(seg length):
                mask=np.zeroes((seq_length, seq_length))
# implement BERT mask here
                 # BEGIN SOLUTION
                indices_to_mask = [2, 7]
for r in range(len(mask)):
    for i in indices_to_mask:
                mask[r][i] = 1
# END SOLUTION
                return mask
```

Q2

A left-to-right language model (such as GPT) can only use information from input words $[w_1, \dots, w_t]$ when generating the representation for output o_t . Encode this as a mask as well.

```
In [ ]: def create causal mask(seq length):
             mask=np.ones((seq_length,seq_length))
             # implement causal mask here
             for r in range(len(mask)):
   for c in range(len(mask[r])):
     if c > r:
             mask[r][c] = 0
# END SOLUTION
```

Now let's go ahead and embed these masks within a model. First, we'll load some textual data (from Austen's Pride and Prejudice).

```
In [ ]: !wget https://www.gutenberg.org/files/1342/1342-0.txt
            -2023-02-19 16:40:02-- https://www.gutenberg.org/files/1342/1342-0.txt
          Resolving www.gutenberg.org (www.gutenberg.org)... 152.19.134.47, 2610:28:3090:3000:0:bad:cafe:47 Connecting to www.gutenberg.org (www.gutenberg.org)|152.19.134.47|:443... connected. HTTP request sent, awaiting response... 200 OK
          Length: 772145 (754K) [text/plain]
Saving to: '1342-0.txt.1'
                                   100%[======] 754.05K 434KB/s
           2023-02-19 16:40:04 (434 KB/s) - '1342-0.txt.1' saved [772145/772145]
In [ ]: import nltk
from nltk import word_tokenize
           from collections import Counter
In [ ]: nltk.download('punkt')
           [nltk_data] Downloading package punkt to
                              /Users/andersontsai/nltk data..
           Inltk datal
           [nltk_data] Package punkt is already up-to-date!
```

Let's read in the data and tokenize it; for this homework, we'll only work with the first 10,000 tokens of that book; we'll keep only the most frequent 1,000 word types (all other tokens will be mapped to an

2/19/23, 5:59 PM HW_3

Now let's specify our model in PyTorch.

```
In []: from torch import nn
             class MaskedLM(nn.Module):
                  ss maskedLM(nn.module):
def __init__(self, vocab, mask, d_model=512):
    super().__init__()
    self.vocab=vocab
    self.mask=mask
                        vocab_size=len(vocab)
self.embeddings=nn.Embedding(1002,512)
                        encoder_layer = nn.TransformerEncoderLayer(d_model, nhead=8, batch_first=True) self.transformer_encoder = nn.TransformerEncoder(encoder_layer, num_layers=6) self.linear=torch.nn.Linear(d_model, vocab_size)
                         self.rev_vocab={vocab[k]:k for k in vocab}
                  def forward(self, input):
    # first we pass the input word IDS through an embedding layer to get embeddings for them
input=self.embeddings(input)
                        # then we pass those embeddings through a transformer to get contextual representations, masking the input where appropriate out = self.transformer_encoder.forward(input, mask=self.mask)
# finally we pass those embeddings through a linear layer to transform it into the output space (the size of our vocabulary)
                         h=self.linear(out)
                        return h
In [ ]: def get_batches(xs, ys, batch_size=32):
                   batch x=[]
                   for i in range(0, len(xs), batch_size):
                        batch_x.append(torch.LongTensor(xs[i:i+batch_size]).to(device))
batch_y.append(torch.LongTensor(ys[i:i+batch_size]).to(device))
                  return batch_x, batch_y
In [ ]: tokids, vocab=read_data("1342-0.txt")
In [ ]: def train(mask, data_function, tokids, vocab):
                  mask=torch.BoolTensor(mask).to(device)
                  num labels=len(vocab)
                  model=MaskedLM(vocab, mask).to(device)
optimizer=torch.optim.Adam(model.parameters(), lr=0.001, weight_decay=1e-5)
                   cross_entropy=nn.CrossEntropyLoss()
                  xs, ys=data_function(tokids)
                  batch\_x, \ batch\_y = get\_batches(xs, \ ys)
                  for epoch in range(1):
                         model.train()
                         for x, y in list(zip(batch_x, batch_y)):
                               x, y = x.to(device), y.to(device)
y_pred=model.forward(x)
                               loss=cross_entropy(y_pred.view(-1, num_labels), y.view(-1))
                               losses.append(loss.item())
                              print(loss)
optimizer.zero_grad()
                               loss.backward(
```

Our model and training process are now all defined; all that remains is to pass our inputs and outputs through it to train. Your job here is to create the correct inputs (x) and outputs (y) to train a left-to-right (causal) language model.

Q3

Write a function that takes in a sequence of token ids $[w_1,\ldots,w_n]$ and segments it into 8-token chunks -- e.g., $x_1=[w_1,\ldots,w_8]$, $x_2=[w_9,\ldots,w_{16}]$, etc. For each x_i , also create its corresponding y_i . Given this language modeling specification, each y_i should also contain 8 values (for each token in x_i). Keep in mind this is a left-to-right causal language model; your job is to figure out the values of y that respects this design. At token position i, when a model has access to $[w_1,\ldots,w_i]$, which is the true y_i for that position? Each element in y should be a word ID (i.e., an integer).

```
In []: def get_causal_xy(data, max_len=8):
    xs=[]
    ys=[]

# BEGIN SOLUTION

xs = [ data[i::+max_len] for i in range(0, len(data), max_len) ]
    for row in xs:
    yr = [0] * max_len
    for i in range(0, max_len-1):
        yr[i] = row[i+1]
    ys.append(yr)
    # END SOLUTION

return xs, ys
```

2/19/23, 5:59 PM HW_3

```
In [ ]: seq_length=8
```

```
train(create causal mask(seq length=seq length), get causal xy, tokids, vocab)
```

```
tensor(nan, grad_fn=<nlllossBackward0>)
```

Q4 (Write-up)

In this model, as implemented, does the following equivalence hold?

$$P(y_4 \mid w_1 = \text{go}, w_2 = \text{ahead}, w_3 = \text{make}, w_4 = \text{my}) = P(y_4 \mid w_1 = \text{ahead}, w_2 = \text{my}, w_3 = \text{make}, w_4 = \text{go})$$

Why or why not?

No, since the model learns from the order of words from left to right, and generated probabilities for a word to occur based on this order. Since the words are in different order, the probability of the fifth token is different, which means the predicted fifth token can be different.

Perplexity

To evaluate how good our language model is, we use a metric called perplexity. The perplexity of a language model (PP) on a test set is the inverse probability of the test set, normalized by the number of words. Let $W = w_1 w_2 \dots w_N$. Then,

$$PP(W) = \sqrt[N]{\prod_{i=1}^N rac{1}{P(w_i|w_1\dots w_{i-1})}}$$

However, since these probabilities are often small, taking the inverse and multiplying can be numerically unstable, so we often first compute these values in the log domain and then convert back. So this equation looks like:

$$\ln PP(W) = rac{1}{N} \sum_{i=1}^{N} - \ln P(w_i | w_1 \dots w_{i-1})$$

 $\implies PP(W) = e^{rac{1}{N} \sum_{i=1}^{N} - \ln P(w_i | w_1 \dots w_{i-1})}$

Here we want to calculate the perplexity of pretrained BERT model on text from different sources. When calculating perplexity with BERT, we'll use a related measure of pseudo-perplexity, which allow us to condition on the bidirectional context (and not just the left context, as in standard perplexity):

$$PP(W) = e^{\frac{1}{N} \sum_{i=1}^{N} -\ln P(w_i|w_1...w_{i-1},w_{i+1},...,w_n)}$$

First, let's instantiate a BERT model, along with its WordPiece tokenizer.

Let's see how the BERT tokenizer tokenizes a sentence into a sequence of WordPiece ids. Note how BERT tokenization automatically wraps an input sentences with [CLS] and [SEP] tags.

Now let's see how we can calculate output probabilities using this model. The output of each token position i gives us $P(w_i \mid w_1, \dots, w_n)$ ---the probability of the word at that position over our vocabulary, given all of the words in the sentence.

```
In []: with torch.no_grad():
    output = model(tensor_input_ids)
    logits = output.logits
    # logits here are the unnormalized scores, so let's pass them through the softmax
    # to get a probability distribution
```

2/19/23, 5:59 PM HW 3

```
softmax = torch.nn.functional.softmax(logits, dim = -1)
  # for one input sequence, the shape of the resulting distribution is:
# 1 x [length of input, in WordPiece tokens] x (the size of the BERT vocabulary)
print(softmax.shape) # [1, 7, 30522]
  input_ints=tensor_input_ids.numpy()[0]
# Let's print the probability of the t
  wp tokens=tokenizer.convert ids to tokens(input ints)
  wp_tokens-tokenster.com/creaters/input_ints/)
for i in range(len(input_ints)):
    prob=softmax[0][i][input_ints[i]].numpy()
    print("%s\t%s\t%.5f" % (wp_tokens[i], input_ints[i], prob))
torch.Size([1, 7, 30522])
           101
1037
                         0.99281
dog
            3899
                          0.99052
landed 5565
                          0.99809
on
            2006
                         0.99874
[SEP] 102
                         0.00000
```

Note that w_i is in the range $[w_1, \dots, w_n]$ — clearly the probability of a word is going to be high when we can observe it in the input! Let's do some masking to calculate $P(w_i \mid w_1, \dots w_{i-1}, w_{i+1}, w_n)$. Now annoyingly, BERT's attention_mask function only works for padding tokens; to mask input tokens, we need to intervene in the input and replace a WordPiece token that we're predicting with a special [MASK] token (BERT tokenizer word id 103).

You can see the probability of "a" as the second token has gone down to 0.13965 when we mask it. This is the $P(w_1 = \mathbf{a} \mid w_0, w_2, \dots, w_n)$. At this point you should have everything you need to calculate the BERT pseudo-perplexity of an input sentence.

Q5

Implement the pseudo-perplexity measure described above, calculating the perplexity for a given model, tokenizer, and sentence.

The function calculates the average probability of each token in the sentence given all the other tokens. We need to predict the probability of each word in a sentence by masking the one word to predict. Note that you should not include the probabilities of the [CLS] and [SEP] tokens in your perplexity equation -- those tokens are not part of the original test sentence.

```
In ( ): # This function calculates the perplexity of a language model, given a sentence and its corresponding tokenizer
# Inputs:
# model: language model being used to calculate the perplexity
# tokenizer: tokenizer that is used to preprocess the input sentence
# sentence: input sentence string for which perplexity is to be calculated

# Outputs:
# returns perplexity of the input sentence

def perplexity(model, tokenizer, sentence):
# hints: you'll need to:
# encode the input sentence using the tokenizer
# for each WordPiece token in the sentence (except [CLS] and [SEP]), mask that single token and
# calculate the probability of that true word at the masked position
# don't calculate perplexity for the [CLS] and [SEP] tokens (which are not part of the original test sentence).

perplexity=0
# BECIN SOLUTION
token_ids = tokenids(.) logits
probs = torkn.n.functional.softmax(logits, dim=-1)

with torkn.no_grad():
    input_ints = token_ids(.numpy()[0]
    for imange(1, lentinput_ints)-1):
        masked_token_ids = token_ids(.numpy()[0]
        for imange(1, lentinput_ints)-1):
        masked_token_ids(.numpy()[0]
        perplexity = np. logitrue_word_prob)
        perplexity = np. logitrue_word_prob)
        perplexity = np. pog(reprexity / len(input_ints))
# END SOLUTION

return perplexity
```

In []: print(perplexity(sentence='London is the capital of the United Kingdom.', model=model, tokenizer=tokenizer))
 tensor(1.8598)

No credit.

We provide texts from 4 different sources (Wikipedia, Yelp, Fiction, Twitter) collected from open-source datasets. Each category has 125 entries.

In []: $!wget\ https://people.ischool.berkeley.edu/~dbamman/text_from_different_sources.txt$

2/19/23, 5:59 PM HW_3

Calculate perplexity on each genre over all of the words present within it; each line contains exactly one sentence for each genre.

The output perplexity_by_genre = {} is a dictionary mapping genre to a list of perplexities for each sentence in that genre. For computational purpose, we only take the first 25 sentences as an example (still this can take up to 10 minutes to run), feel free to change 25 to smaller numbers.

e.g. perplexity_by_genre['Wikipedia'] should be a list of 25 perplexities (one for each Wikipedia row in the input file).

Question:

What do you think are the reasons for the wide variation in perplexity of different categories of corpus? (hint: think about the training data of the pre-trained BERT model)

Which of these is a true language model, and why?

There is a wide variation in the perplexity between different corpus, since the pre-trained BERT model was trained on Wikipedia and BookCorpus, which means it should predict more accurately words from Wikipedia and relatively modern English texts, which we see from the results above. All of the sources above are true language models, since they are all variations in ways that English is written.