# On EEG-Based Emotion Recognition

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## 1 Summary

- As an initial approach, the goal was to validate **where** and **how** to approach emotion recognition using EEG.
- Deep brain regions require complementary techniques beyond EEG. Classifications based on EEG are typically correlational, not causal.
- The publicly available Open Affective Standardized Image Set (OASIS) [1] can be used to elicit emotional responses. The images are grouped by emotional valence (positive, negative, neutral), allowing for consistent brain signal interpretation while incorporating essential resting intervals. A typical pipeline is summarized in Table 1.

| Stage                    | Techniques   |
|--------------------------|--|
| 1. Preprocessing         | ICA (Independent Component Analysis), filtering, REST (Reference Electrode Standardization Technique), normalization (z-score, min-max), Kalman filtering                      |
| 2. Feature<br>Extraction | Bandpower / Spectral analysis, FAA (Frontal Alpha Asymmetry), ERPs (Event-Related Potentials), Wavelet / STFT (Short-Time Fourier Transform), Connectivity (PLV, DCM, Granger) |
| 3. Feature<br>Selection  | PCA (Principal Component Analysis), RFE (Recursive Feature Elimination), mutual information  |
| 4. Classification        | SVM (Support Vector Machine), CNN (Convolutional Neural Network), RNN (Recurrent Neural Network), DNN (Deep Neural Network), KNN (K-Nearest Neighbors)                         |
| 5. Validation            | Cross-validation, AUC (Area Under the Curve), Sensitivity (True Positive Rate), Specificity (True Negative Rate), Kappa (Cohen's Kappa)  |

Table 1: EEG-based emotion recognition pipeline

### 2 Neuroanatomical Relevance

To identify brain regions relevant to EEG-based emotion recognition, we reference the works of [2] and [3]. The goal is to map these areas by anatomical depth and determine whether they can be assessed non-invasively via BCI (Brain-Computer Interface).

• **Prefrontal Cortex (PFC):** Includes several subregions, as shown in Fig. 1. The location is **superficial**.



Figure 1: Prefrontal Cortex. Taken from [4].

- Anterior Cingulate Cortex (ACC): The most accessible part is the dorsal ACC (dACC), shown in Fig. 2. The location is **not superficial**.
- Subcortical Regions (SR): Includes the amygdala, thalamus, hippocampus, hypothalamus, and ventral striatum. These are near the areas in Fig. 3. Location is **not superficial**.
- Insula (I): Located deep within the cortex. It is **not superficial** (see Fig. 4).



Figure 2: Anterior Cingulate Cortex. Taken from [5].

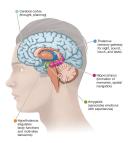


Figure 3: Subcortical Regions. Taken from [6].

• Orbital Frontal Cortex (OFC): Also a deep structure and not superficial (see Fig. 5).

The proposed EEG pipeline (Table 1) serves as a guideline for capturing emotion-related neural signatures.

## 3 Inner Speech Paradigm

The work by [3] introduces a publicly available EEG dataset focused on the "inner speech" paradigm. EEG recordings from ten participants are included across three mental tasks: inner speech, pronounced speech, and visualization. The brain regions primarily activated in this dataset are the **frontal**, **parietal**, **and occipital lobes**—all of which are **superficial** and can be studied non-invasively with EEG. These areas are shown in Fig. 6.

### References

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- [6] W. W. Norton & Company, Figure 2.16 The Forebrain: This drawing shows the location and functions of the forebrain regions (the cerebral cortex and the four subcortical structures), https://nerd.wwnorton.com/ebooks/epub/psychlife4/EPUB/content/2.2.3-chapter02.xhtml, Accessed April 23, 2025, 2024.



Figure 4: Insula. Taken from [6].

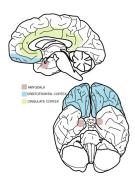


Figure 5: Orbital Frontal Cortex. Taken from [7].

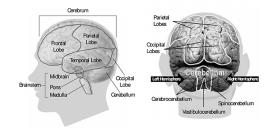


Figure 6: Frontal, Parietal, Temporal, and Occipital lobes. Taken from [8].

- [7] M. L. Kringelbach and E. T. Rolls, "The functional neuroanatomy of the human orbitofrontal cortex: Evidence from neuroimaging and neuropsychology," *Progress in Neurobiology*, vol. 72, no. 5, pp. 341–372, 2004, ISSN: 0301-0082. DOI: https://doi.org/10.1016/j.pneurobio.2004.03.006.
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