

DATA STRUCTURES

Binary Trees II. Traversals.

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- Trees
 - Terminology
- Binary trees (I)
 - Terminology
 - Properties
 - Possible representations

- Binary trees (II)
 - Traversals

Tree traversals



Traversing a tree means visiting all of its nodes.



A node is said to be *visited* when the program control arrives at the node, usually with the purpose of performing some operation on it.



For a binary tree there are 4 possible traversals:

- Preorder
- Inorder
- Postorder
- Level order (breadth first) - the same as in case of a (non-binary) tree

Binary tree - linked representation with dynamic allocation



Linked representation with dynamic allocation:

- There is one node for every element of the tree
- The structure representing a node contains:
 - the information
 - a pointer to the left child
 - a pointer to the right child
 - optionally, a pointer to the parent
- NIL denotes the absence of a node
 - \Rightarrow the root of an empty tree is NIL

Binary tree representation

- In the following, we are going to use the dynamically allocated linked representation for a binary tree:



Representation of a node in a Binary Tree:

BTNode:

info: TElem

left: ↑ BTNode

right: ↑ BTNode



Representation of a Binary Tree:

BinaryTree:

root: ↑ BTNode

Preorder traversal



In case of a preorder traversal:



Visit the *root* of the tree



Traverse the left subtree - if exists

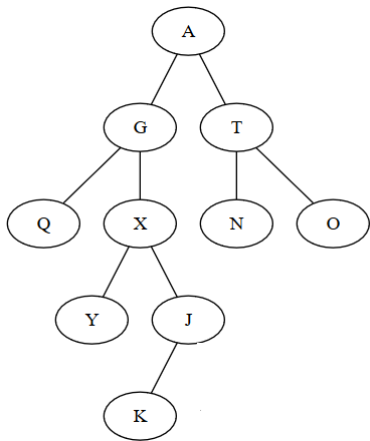


Traverse the right subtree - if exists



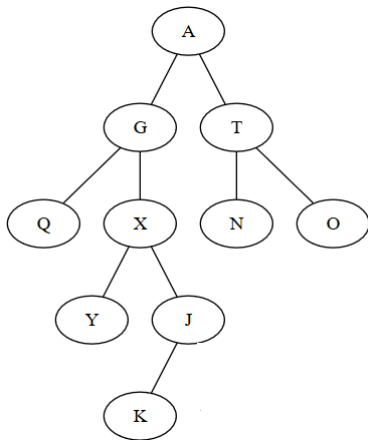
When traversing the subtrees (left or right) the same preorder traversal is applied.

Preorder traversal example



- Preorder traversal:

Preorder traversal example



- Preorder traversal: A, G, Q, X, Y, J, K, T, N, O

Preorder traversal - recursive implementation

- The simplest implementation for preorder traversal is with a recursive algorithm.



Recursive preorder traversal:

subalgorithm preorder_recursive(node) **is:**

//pre: node is a \uparrow BTreeNode

Preorder traversal - recursive implementation

- The simplest implementation for preorder traversal is with a recursive algorithm.



Recursive preorder traversal:

subalgorithm preorder_recursive(node) **is:**

//pre: node is a \uparrow BTreeNode

if node \neq NIL **then**

 @visit node

 preorder_recursive([node].left)

 preorder_recursive([node].right)

end-if

end-subalgorithm

Preorder traversal - recursive implementation



We need a wrapper subalgorithm that receives a *BinaryTree* and calls the function for the root of the tree.



Recursive preorder traversal - call

subalgorithm preorderRec(tree) **is:**

//pre: tree is a BinaryTree

 preorder_recursive(tree.root)

end-subalgorithm

Preorder traversal - recursive implementation



We need a wrapper subalgorithm that receives a *BinaryTree* and calls the function for the root of the tree.



Recursive preorder traversal - call

subalgorithm preorderRec(tree) **is:**

//pre: tree is a *BinaryTree*

 preorder_recursive(tree.root)

end-subalgorithm



Assuming that visiting a node takes constant time, the traversal takes $\Theta(n)$ time for a tree with n nodes.

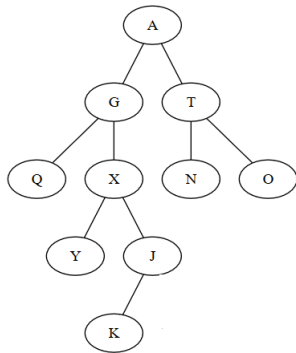
Preorder traversal - non-recursive implementation



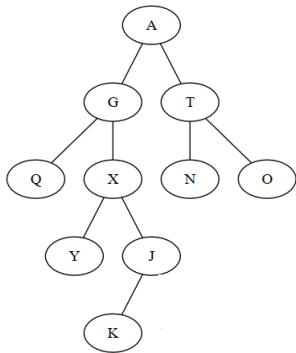
We can implement the preorder traversal algorithm without recursion, using an auxiliary *stack* to store the nodes.

- ▶ We start with an empty stack
- ▶ Push the root of the tree to the stack
- ▶ While the stack is not empty:
 - ▶ Pop a node and visit it
 - ▶ Push the node's right child to the stack
 - ▶ Push the node's left child to the stack

Preorder traversal - non-recursive implementation example



Preorder traversal - non-recursive implementation example



- ▶ Stack: A
- ▶ Visit A, push children (Stack: T G)
- ▶ Visit G, push children (Stack: T X Q)
- ▶ Visit Q, push nothing (Stack: T X)
- ▶ Visit X, push children (Stack: T J Y)
- ▶ Visit Y, push nothing (Stack: T J)
- ▶ Visit J, push child (Stack: T K)
- ▶ Visit K, push nothing (Stack: T)
- ▶ Visit T, push children (Stack: O N)
- ▶ Visit N, push nothing (Stack: O)
- ▶ Visit O, push nothing (Stack:)
- ▶ Stack is empty, traversal is complete

Preorder traversal - non-recursive implementation



Iterative preorder traversal:

subalgorithm preorder(tree) **is:**

//pre: tree is a binary tree

Preorder traversal - non-recursive implementation



Iterative preorder traversal:

subalgorithm preorder(tree) **is:**

//pre: tree is a binary tree

init(s) //s:Stack is an auxiliary stack

if tree.root \neq NIL **then**

 push(s, tree.root)

end-if

while not isEmpty(s) **execute**

 currentNode \leftarrow pop(s)

 @visit currentNode

if [currentNode].right \neq NIL **then**

 push(s, [currentNode].right)

end-if

if [currentNode].left \neq NIL **then**

 push(s, [currentNode].left)

end-if

end-while

end-subalgorithm

Preorder traversal - non - recursive implementation



The time complexity of the non-recursive traversal is:

Preorder traversal - non - recursive implementation



The time complexity of the non-recursive traversal is: $\Theta(n)$.



Extra-space complexity:

Preorder traversal - non - recursive implementation



The time complexity of the non-recursive traversal is: $\Theta(n)$.



Extra-space complexity: $O(n)$ (for the stack).



Obs: Preorder traversal is the same as *depth first traversal*.

Inorder traversal



In case of *inorder* traversal:



▶ Traverse the left subtree - if exists



▶ Visit the *root* of the tree

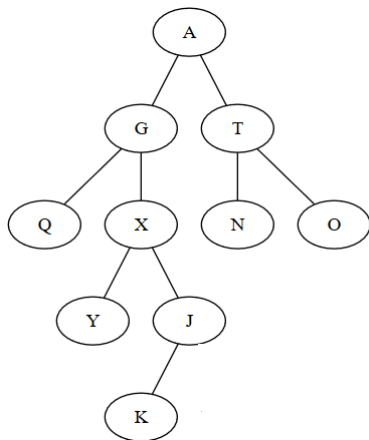


▶ Traverse the right subtree - if exists



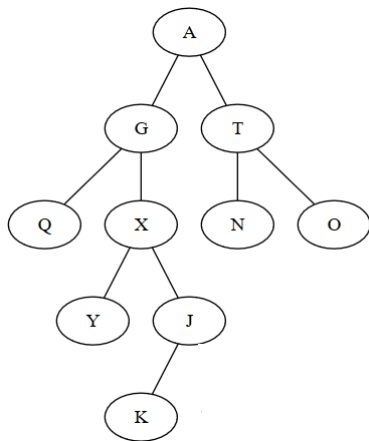
When traversing the subtrees (left or right) the same inorder traversal is applied.

Inorder traversal example



- Inorder traversal:

Inorder traversal example



- Inorder traversal: Q, G, Y, X, K, J, A, N, T, O

Inorder traversal - recursive implementation

- The simplest implementation for inorder traversal is with a recursive algorithm.



Recursive inorder traversal:

subalgorithm `inorder_recursive(node)` **is:**

//pre: node is a \uparrow BTreeNode

if `node \neq NIL` **then**

`inorder_recursive([node].left)`

 @visit node

`inorder_recursive([node].right)`

end-if

end-subalgorithm



We need again a wrapper subalgorithm to perform the first call to *inorder_recursive* with the root of the tree as parameter.



The traversal takes $\Theta(n)$ time for a tree with n nodes.

Inorder traversal - non-recursive implementation



We can implement the inorder traversal algorithm without recursion, using an auxiliary stack to store the nodes.



We start with an empty stack and an auxiliary current (pointer to) node (*currentNode*) is set to the root



While *currentNode* is not NIL, push it to the stack and set it to its left child



While stack not empty:



Pop a node and visit it

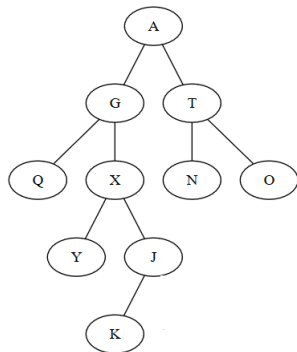


Set *currentNode* to the right child of the popped node

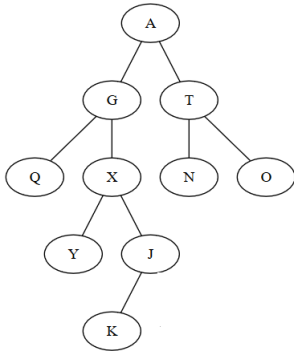


While *currentNode* is not NIL, push it to the stack and set it to its left child

Inorder traversal - non-recursive implementation example



Inorder traversal - non-recursive implementation example



- ▶ currentNode: A (Stack:)
- ▶ currentNode: NIL (Stack: A G Q)
- ▶ Visit Q, currentNode NIL (Stack: A G)
- ▶ Visit G, currentNode X (Stack: A)
- ▶ currentNode: NIL (Stack: A X Y)
- ▶ Visit Y, currentNode NIL (Stack: A X)
- ▶ Visit X, currentNode J (Stack: A)
- ▶ currentNode: NIL (Stack: A J K)
- ▶ Visit K, currentNode NIL (Stack: A J)
- ▶ Visit J, currentNode NIL (Stack: A)
- ▶ Visit A, currentNode T (Stack:)
- ▶ currentNode: NIL (Stack: T N)
- ▶ ...

Inorder traversal - non-recursive implementation



Iterative inorder traversal:

subalgorithm inorder(tree) **is:**

//pre: tree is a BinaryTree

Inorder traversal - non-recursive implementation



Iterative inorder traversal:

subalgorithm inorder(tree) **is:**

//pre: tree is a BinaryTree

init(s) *//s:Stack is an auxiliary stack*

currentNode \leftarrow tree.root

while currentNode \neq NIL **execute**

 push(s, currentNode)

 currentNode \leftarrow [currentNode].left

end-while

while not isEmpty(s) **execute**

 currentNode \leftarrow pop(s)

 @visit currentNode

 currentNode \leftarrow [currentNode].right

while currentNode \neq NIL **execute**

 push(s, currentNode)

 currentNode \leftarrow [currentNode].left

end-while

end-while

end-subalgorithm

Inorder traversal - non-recursive implementation



Time complexity:

Inorder traversal - non-recursive implementation



Time complexity: $\Theta(n)$



Extra space complexity:

Inorder traversal - non-recursive implementation



Time complexity: $\Theta(n)$



Extra space complexity: $O(n)$

Postorder traversal



In case of *postorder* traversal:



Traverse the left subtree - if exists



Traverse the right subtree - if exists

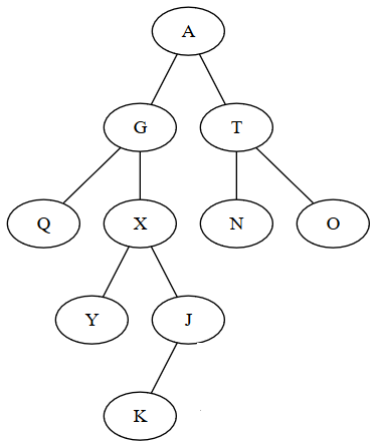


Visit the *root* of the tree



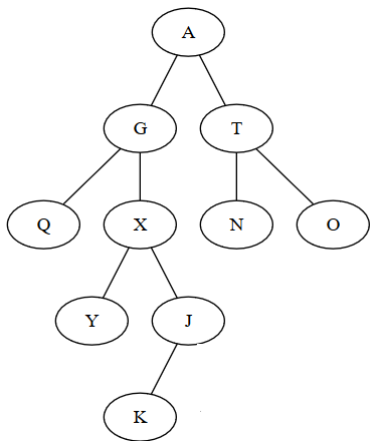
When traversing the subtrees (left or right) the same postorder traversal is applied.

Postorder traversal example



- Postorder traversal:

Postorder traversal example



- Postorder traversal: Q, Y, K, J, X, G, N, O, T, A

Postorder traversal - recursive implementation

- The simplest implementation for postorder traversal is with a recursive algorithm.



Recursive postorder traversal:

subalgorithm `postorder_recursive(node)` **is:**

//pre: node is a \uparrow BTreeNode

if `node \neq NIL` **then**

`postorder_recursive([node].left)`

`postorder_recursive([node].right)`

 @visit node

end-if

end-subalgorithm



We need again a wrapper subalgorithm to perform the first call to *postorder_recursive* with the root of the tree as parameter.



The traversal takes $\Theta(n)$ time for a tree with n nodes.

Postorder traversal - non-recursive implementation



We can implement the postorder traversal iteratively, but it is slightly more complicated than preorder and inorder traversals.



Postorder traversal - non-recursive traversal with one stack

- ▶ We start with an empty stack and a current node (*currentNode*) set to the root of the tree
- ▶ While *currentNode* is not NIL, push to the stack its right child, the *currentNode* and then set *currentNode* to its left child.
- ▶ While the stack is not empty:
 - ▶ Pop a node from the stack (*currentNode*)
 - ▶ If it has a right child, the stack is not empty and contains the right child on top of it, then pop the right child, push *currentNode* and set *currentNode* to its right child.
 - ▶ Otherwise, visit *currentNode* and set it to NIL
 - ▶ While *currentNode* is not NIL, push to the stack its right child, the *currentNode* and then set *currentNode* to its left child.

Postorder traversal - non-recursive implementation



Iterative postorder traversal:

subalgorithm postorder(tree) **is:**

//pre: tree is a BinaryTree

Postorder traversal - non-recursive implementation



Iterative postorder traversal:

subalgorithm postorder(tree) **is:**

//pre: tree is a BinaryTree

init(s) *//s: Stack is an auxiliary stack*

currentNode \leftarrow tree.root

while currentNode \neq NIL **execute**

if [currentNode].right \neq NIL **then**

 push(s, [currentNode].right)

end-if

 push(s, currentNode)

 currentNode \leftarrow [currentNode].left

end-while

while not isEmpty(s) **execute**

 currentNode \leftarrow pop(s)

if [currentNode].right \neq NIL and (not isEmpty(s)) and [currentNode].right = top(s) **then**

 pop(s)

 push(s, currentNode)

 currentNode \leftarrow [currentNode].right

//continued on the next slide

Postorder traversal - non-recursive implementation



Iterative postorder traversal:

```
else
    @visit currentNode
    currentNode ← NIL
end-if
while currentNode ≠ NIL execute
    if [currentNode].right ≠ NIL then
        push(s, [currentNode].right)
    end-if
    push(s, currentNode)
    currentNode ← [currentNode].left
end-while
end-while end-subalgorithm
```

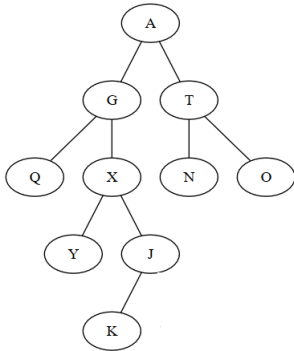


Time complexity: $\Theta(n)$



Extra space complexity: $O(n)$

Postorder traversal - non-recursive implementation example



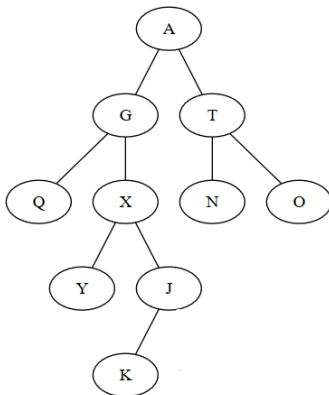
- ▶ currentNode: A (Stack:)
- ▶ currentNode: NIL (Stack: T A X G Q)
- ▶ Visit Q, currentNode NIL (Stack: T A X G)
- ▶ currentNode: X (Stack: T A G)
- ▶ currentNode: NIL (Stack: T A G J X Y)
- ▶ Visit Y, currentNode: NIL (Stack: T A G J X)
- ▶ currentNode: J (Stack: T A G X)
- ▶ currentNode: NIL (Stack: T A G X J K)
- ▶ Visit K, currentNode: NIL (Stack: T A G X J)
- ▶ Visit J, currentNode: NIL (Stack: T A G X)
- ▶ Visit X, currentNode: NIL (Stack: T A G)
- ▶ Visit G, currentNode: NIL (Stack: T A)
- ▶ currentNode: T (Stack: A)
- ▶ currentNode: NIL (Stack: A O T N)

...

Level order traversal



In case of level order traversal, we first visit the root, then the children of the root, then the children of the children, etc.

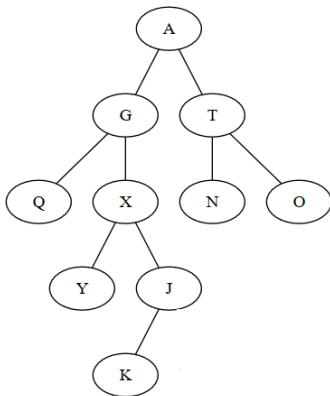


Level order traversal:

Level order traversal



In case of level order traversal, we first visit the root, then the children of the root, then the children of the children, etc.



Level order traversal: A, G, T, Q, X, N, O, Y, J, K

Preorder traversal - non-recursive implementation



Iterative level order traversal:

subalgorithm levelOrder(tree) **is:**

//pre: tree is a binary tree

Preorder traversal - non-recursive implementation



Iterative level order traversal:

subalgorithm levelOrder(tree) **is:**

//pre: tree is a binary tree

init(q) //q:Queue is an auxiliary queue

if tree.root \neq NIL **then**

 push(q, tree.root)

end-if

while not isEmpty(q) **execute**

 currentNode \leftarrow pop(q)

 @visit currentNode

if [currentNode].left \neq NIL **then**

 push(q, [currentNode].left)

end-if

if [currentNode].right \neq NIL **then**

 push(q, [currentNode].right)

end-if

end-while

end-subalgorithm

Binary tree iterator



The interface of the binary tree contains the *iterator* operation, which should return an iterator.

- This operation receives a parameter that specifies what kind of traversal we want to do with the iterator (*preorder*, *inorder*, *postorder*, *level order*).



The traversal algorithms discussed so far traverse all the elements of the binary tree at once, but an iterator has to do element-by-element traversal.



For defining an iterator, we have to divide the code into the functions of an iterator: *init*, *getCurrent*, *next*, *valid*.

Inorder binary tree iterator



Representation of an inorder iterator:

InorderIterator:

bt: BinaryTree

s: Stack

currentNode: \uparrow BTNode

Inorder binary tree iterator - init



The constructor of an inorder iterator over a binary tree:

subalgorithm init (it, bt) **is**:

//pre: it - is an InorderIterator, bt is a BinaryTree

it.bt \leftarrow bt

init(it.s)

node \leftarrow bt.root

while node \neq NIL **execute**

 push(it.s, node)

 node \leftarrow [node].left

end-while

if not isEmpty(it.s) **then**

 it.currentNode \leftarrow top(it.s)

else

 it.currentNode \leftarrow NIL

end-if

end-subalgorithm

Inorder binary tree iterator - getCurrent



The function for getting the current element of an inorder iterator over a binary tree:

```
function getCurrent(it) is:  
  if not valid(sit) then  
    @throw an exception  
  end-if  
  getCurrent  $\leftarrow$  [it.currentNode].info  
end-function
```

Inorder binary tree iterator - valid



The function for checking the validity of an inorder iterator over a binary tree:

```
function valid(it) is:  
  if it.currentNode = NIL then  
    valid  $\leftarrow$  false  
  else  
    valid  $\leftarrow$  true  
  end-if  
end-function
```

Inorder binary tree iterator - next



The operation for advancing to the next element of an inorder iterator over a binary tree:

subalgorithm next(it) **is:**

node \leftarrow pop(it.s)

if [node].right \neq NIL **then**

node \leftarrow [node].right

while node \neq NIL **execute**

push(it.s, node)

node \leftarrow [node].left

end-while

end-if

if not isEmpty(it.s) **then**

it.currentNode \leftarrow top(it.s)

else

it.currentNode \leftarrow NIL

end-if

end-subalgorithm



How to remember the difference between traversals?

- Left subtree is always traversed before the right subtree.
- The visiting of the root is what changes:
 - **PRE**order - visit the root **before** the left and right
 - **IN**order - visit the root **between** the left and right
 - **POST**order - visit the root **after** the left and right

Binary Trees- Applications



Real-world applications of binary tree data structure:



Machine Learning

- Representing Decision Trees



Working with Morse Code

- The organization of Morse code is done in the form of a binary tree



Binary Expression Trees

- For evaluating arithmetic expressions: operators are stored in the internal nodes, while the operands are stored in the leaves



Routing tables

- A routing table is used to link routers in a network. It is usually implemented with a variation of a binary tree.



Databases

- Indexing (using B-trees)



Bibliography

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Thank you

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