



# Technological implications of the Rosenthal solution for a moving point heat source in steady state on a semi-infinite solid

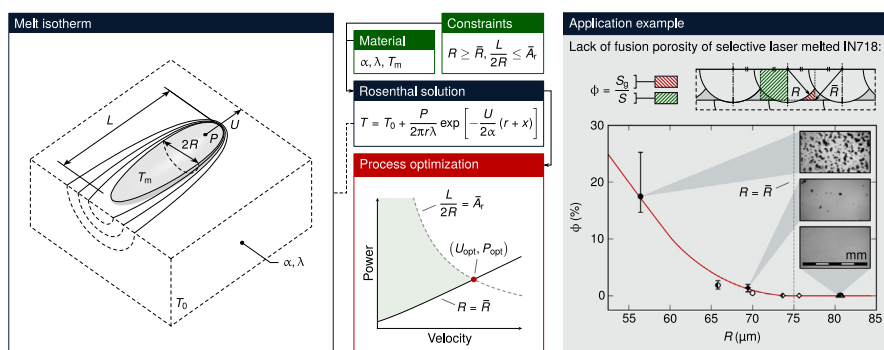
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## HIGHLIGHTS

- The feasibility of melting processes modeled by the Rosenthal solution is assessed.
- Closed-form expressions for the maximum velocity and optimal power are determined.
- Lack of fusion porosity of selective laser melted Inconel 718 is predicted.
- A basic procedure for narrowing the design space of powder bed fusion is proposed.

## GRAPHICAL ABSTRACT



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## ABSTRACT

This paper introduces a theoretical framework for the analysis and optimization of melting processes that use focused moving heat sources. Specifically, we consider the Rosenthal solution for a moving point heat source in steady state on a semi-infinite solid. Firstly, we analyze the feasibility of the thermal problem while constraining the melt pool size and aspect ratio. We then express the maximum allowable velocity and the corresponding power as explicit functions of the constraints and material properties. Finally, we examine a wide range of melting processes within a dimensionless framework derived from the above solution. The paper concludes with an application example concerning lack of fusion porosity in powder bed fusion additive manufacturing, which shows the reliability of analytical estimates despite the complexity of the underlying physics. This makes it possible to outline a direct procedure for optimizing the main process parameters given a few basic requirements. Ultimately, the proposed methods are not intended to replace other modeling and experimental approaches, but rather to complement their capabilities and encourage more efficient use of available resources. In addition, reframing seemingly different problems within a common perspective can improve understanding, reveal new levels of similarity, and sometimes even allow for global solutions.

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## 1. Introduction

Given their industrial relevance, welding and Additive Manufacturing (AM) have been the subject of extensive research. However, the question arises as to whether the overwhelming

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## Nomenclature

–	Constrained quantity	$T_0$	Initial or preheating temperature
~	Dimensionless quantity	$T_m$	Melting point
≈	Approximate equality	$U$	Velocity magnitude
∇	Vector differential operator	$U_{opt}$	Optimal velocity magnitude
$\ \cdot\ $	Euclidean norm	$W_0(\cdot)$	Principal branch of the Lambert $W$ function
$A_r$	Melt pool aspect ratio	$X$	$x$ -coordinate of melt pool half-width $R$
$d$	Distance between adjacent scan lines	$X^+$	Maximum $x$ -coordinate of the melt pool
$L$	Melt pool length	$X^-$	Minimum $x$ -coordinate of the melt pool
$\ell$	Characteristic length	$x, y, z$	Cartesian coordinates along $\hat{x}, \hat{y}, \hat{z}$
$P$	Power	$\alpha$	Thermal diffusivity
$P_{opt}$	Optimal power	$\beta$	Blending coefficient for $R$
$R$	Melt pool half-width	$\delta R_e$	Necking of the melt isotherm
$r$	Distance from the heat source	$\delta T_e$	End temperature perturbation
$R_e$	End curvature radius of the melt isotherm	$\Delta T_m$	Temperature difference $T_m - T_0$
$R_y$	Rykalin number	$\eta$	Blending coefficient for $A_r$
$s$	Nominal layer thickness	$\theta$	Scanning direction angle
$S_g$	Tile gap cross-sectional area	$\lambda$	Thermal conductivity
$T$	Temperature	$\phi$	Surface fraction of inter-bead gaps

amount of publications on the topic reflects its actual complexity or rather the lack of an overall perspective. After all, each material and technology has indeed its own peculiarities not to be overlooked, but assessing every case on an individual basis in a frantic search for novelty and specific solutions can be highly inefficient.

In response, analytical models are expressions of the will to understand, predict, and generalize experimental evidence within the limits of reason. This implies a propensity to simplification in apparent contrast to the continued development towards greater accuracy driven by the increase in data volume and computational resources. Nonetheless, the two approaches are not mutually exclusive. Closed-form solutions provide a clear overview of the main process parameters and outline their correlation with quality attributes. All this helps practitioners to narrow the design space while making it possible for researchers to minimize development efforts by generalizing the outcome of experimental and more elaborate modeling approaches.

The Rosenthal solution (Section 2) defines the thermal field produced by a moving point heat source in steady state on a semi-infinite solid. Despite its singularity, constant thermophysical properties, infinite substrate, and adiabatic boundary, it has repeatedly proven effective in modeling numerous melting processes [1–4]. Significant efforts have been made to improve the solution accuracy by introducing higher-order effects without sacrificing computational efficiency. In particular, the infinite substrate, adiabatic boundary, and axisymmetry assumptions can be worked around by combining heat sinks and multiple heat sources devised to model finite domains, surface heat loss, and keyhole mode melting [5–9]. Thermal transients and material nonlinearities are typically addressed via numerical integration or transform functions, while nonsingular solutions require distributed heat sources with finite heat flux densities [5,10,11]. In this case, however, we chose to prioritize simplicity over accuracy and analyzed the technological implications of the Rosenthal core solution with the aim of providing a basic theoretical framework for process engineering. It follows that our findings are instrumental for quantitative understanding and first-order assessments but should be subject to critical interpretation in view of the general research scope.

In summary, Section 3 describes a closed-form procedure to determine the optimal operating condition given two geometric constraints on the melt isotherm. Section 4 examines a broad range of welding and AM processes from a dimensionless perspective by factoring out scale and material dependencies. Lastly, Section 5 pre-

sents a practical application of the above solution for estimating lack of fusion porosity in powder bed fusion additive manufacturing.

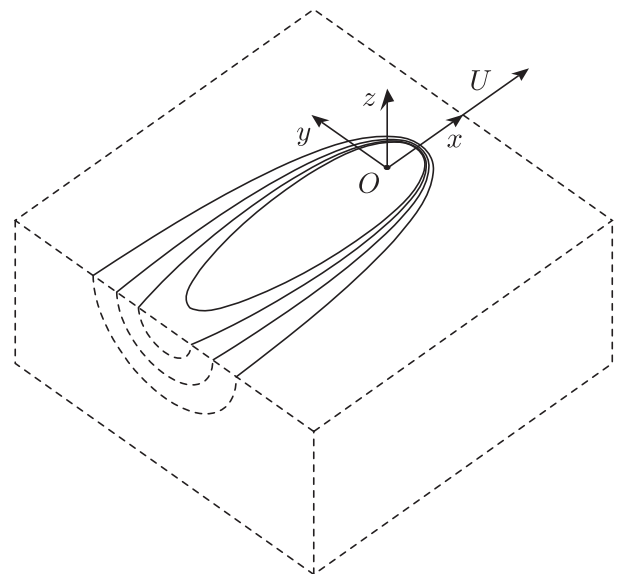
## 2. Rosenthal solution

In the Eulerian reference frame of Fig. 1, the steady state thermal field produced by a point heat source moving on the surface of a semi-infinite solid has the following expression:

$$T = T_0 + \frac{P}{2\pi r \lambda} \exp \left[ -\frac{U}{2\alpha} (r + x) \right] \quad (1)$$

where:

- $T_0$  is the initial temperature, which remains unchanged at an infinite distance from the heat source
- $P$  is the thermal power transferred to the solid
- $U$  is the velocity magnitude of the heat source relative to the solid



**Fig. 1.** Eulerian reference frame used to express the thermal field produced by a point heat source located at its origin  $O$  and moving along its  $x$ -axis with constant velocity of magnitude  $U$  relative to the semi-infinite solid  $z \geq 0$ .

- $r = \sqrt{x^2 + y^2 + z^2}$  is the distance from the heat source
- $\lambda$  is the thermal conductivity
- $\alpha$  is the thermal diffusivity.

It should be noted that, given its axisymmetry about the axis of motion, Eq. (1) can represent the steady state thermal field produced by a point heat source moving on the apex of an infinite wedge of angle  $\varphi \in (0, 2\pi]$ , provided that  $P$  is multiplied by  $\frac{\pi}{\varphi}$  [2]. Thus, the analysis and results presented in this section are applicable with minor adjustments to any thermal problem derived from or related to the above scenario.

The melt isotherm (Fig. 2), i.e., the isotherm of temperature  $T = T_m > T_0$ , can be expressed as follows:

$$\frac{\ell}{r} \exp\left(-\frac{r+x}{\ell}\right) = \frac{1}{Ry} \quad (2)$$

where  $\ell$  is the characteristic length:

$$\ell = \frac{2\alpha}{U} \quad (3)$$

and  $Ry$  is the Rykalin number [1,2]:

$$Ry = \frac{PU}{4\pi\lambda\alpha(T_m - T_0)} \quad (4)$$

Of the two independent degrees of freedom,  $\ell$  scales the melt isotherm, whereas  $Ry$  also affects its shape. However, neither is typically of practical use since the requirements and specifications for manufacturing processes involving localized melting are often expressed in terms of the size (e.g., width and penetration) and quality (e.g., limited distortions, inclusions, and porosity) of the melted zone. Conversely, the maximum half-width of the melt isotherm  $R$  and its aspect ratio  $A_r$  are two geometric quantities that are more meaningful in practice, as the former defines the transverse size of the melted zone, while the latter is inversely correlated with the weld quality (Section 3).

The thermal field defined by Eq. (1) is axisymmetric about the  $x$ -axis; hence,  $R$  represents both the half-width and penetration of the melted zone. It solves simultaneously Eq. (2) with  $r = \sqrt{X^2 + R^2}$ , where  $X$  is the  $x$ -coordinate of maximum width for the melt isotherm, and the derived constraint:

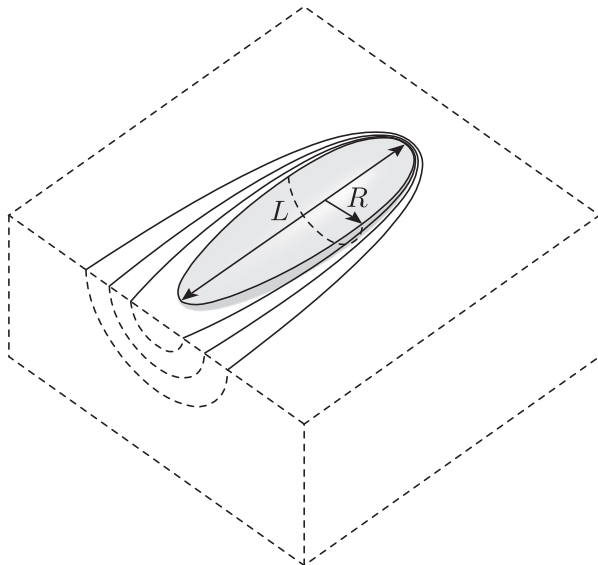


Fig. 2. Schematic representation of the melt isotherm  $T = T_m$  produced by a moving point heat source in steady state on a semi-infinite solid, where  $L$  and  $R$  are its maximum length and half-width, respectively.

$$\frac{\partial}{\partial x} \left[ \frac{\ell}{\sqrt{x^2 + R^2}} \exp\left(-\frac{\sqrt{x^2 + R^2} + x}{\ell}\right) \right] \Big|_{x=X} = 0 \quad (5)$$

Although the system is not explicitly solvable for  $R$  and  $X$ , Wang et al. [1,2] retrieved the following approximated expressions:

$$R \approx \ell Ry \left[ 1 + \left( \frac{2}{e Ry} \right)^{\beta/2} \right]^{1/\beta} \quad \text{with } \beta = -1.7312 \quad (6)$$

$$X \approx -\ell Ry^2 \left[ 1 + (e Ry)^{0.999} \right]^{-1/0.999} \quad (7)$$

whose relative errors compared to the exact solutions are less than 0.7236% and 1.9051% for any value of  $Ry$  and tend to zero for either  $Ry \rightarrow 0$  or  $Ry \rightarrow \infty$ .

The aspect ratio  $A_r$  is defined as the maximum length of the melt isotherm  $L$  divided by its maximum width  $2R$ . In particular,  $L$  is the difference between the two roots of Eq. (2) with  $r = |x|$ :

$$L = X^+ - X^- \quad (8)$$

where  $X^+$  is related to  $Ry$  through the principal branch  $W_0$  of the Lambert  $W$  function:

$$X^+ = \frac{\ell}{2} W_0(2 Ry) \quad (9)$$

while:

$$X^- = -\ell Ry \quad (10)$$

It follows that:

$$A_r = \frac{L}{2R} = \frac{\ell}{2R} \left[ \frac{1}{2} W_0(2 Ry) + Ry \right] \quad (11)$$

but given that  $R$  cannot be formulated explicitly, Wang et al. [2] retrieved an approximated expression also for  $A_r$ :

$$A_r \approx \left[ 1 + \left( \frac{e Ry}{8} \right)^{\eta/2} \right]^{1/\eta} \quad \text{with } \eta = 1.904 \quad (12)$$

whose relative deviation from the exact solution is less than 1.994% for any value of  $Ry$  and again tends to zero for either  $Ry \rightarrow 0$  or  $Ry \rightarrow \infty$ .

### 3. Power-velocity optimization

We can now consider the problem of identifying the optimal operating condition for a generic melting process compliant with the Rosenthal solution. Our goal is to maximize the heat source velocity (and thus productivity) while satisfying the following constraints:

- the half-width or penetration of the melted zone must be greater than or equal to  $\bar{R}$ :

$$\ell Ry \left[ 1 + \left( \frac{2}{e Ry} \right)^{\beta/2} \right]^{1/\beta} \geq \bar{R} \quad (13)$$

- the melt pool aspect ratio must be lower than or equal to  $\bar{A}_r$ :

$$\left[ 1 + \left( \frac{e Ry}{8} \right)^{\eta/2} \right]^{1/\eta} \leq \bar{A}_r \quad (14)$$

While  $\bar{R}$  is generally known a priori as a direct or indirect process requirement, identifying  $\bar{A}_r$  is often nontrivial and requires a trade-off between quality and productivity. After all,  $A_r$  is a property of the thermal field closely related to the melt pool and solidification dynamics, which in turn affect the resulting

microstructure, porosity, and residual stresses. In the absence of stricter case-specific constraints, the Rayleigh-Plateau instability [12] limits  $A_r$  whenever bead uniformity is required. In fact, surface perturbations grow as the melt pool aspect ratio increases, eventually causing periodic beadlike protuberances known as BCM<sup>1</sup> humps [13]. This limits the productivity of highly automated manufacturing processes involving material melting via focused moving heat sources (including low-current GMAW<sup>2</sup>, energy beam welding, and several AM technologies). Furthermore, surface perturbations negatively affect the bead quality, thus remaining a serious concern in semi-automatic and manual processes as well.

Another issue indirectly related to the aspect ratio is hot cracking, i.e., the genesis of shrinkage defects during the solidification of the weld bead. This can happen when local temperature variations cause the necking of the melt pool in its trailing portion. If such necking fragments the melt pool, the material solidifies in isolated hot spots with no liquid available to compensate for its shrinkage. This phenomenon is known to cause local concentrations of low-melting-point compounds (often containing impurities), which reduce the intergranular cohesion and may initiate cracking. The ensuing hot cracks are mostly longitudinal and grow under the tensile stresses arising throughout the cooling phase.

We can model the above phenomenon at the first order by considering a positive temperature drop  $\delta T_e$  occurring near the end of the melt pool. Such perturbation would cause a local necking  $\delta R_e$  of approximately:

$$\delta R_e \approx \frac{\delta T_e}{\|\nabla T\|_{T=T_m}} \quad (15)$$

where  $\|\nabla T\|_{T=T_m}$  is the norm of the thermal gradient at solidification. As easily verifiable by deriving Eq. (1), the gradient norm at the end of the melt pool has the following expression:

$$\|\nabla T(-\ell Ry, 0, 0)\| = \frac{\Delta T_m}{\ell Ry} \quad (16)$$

where  $\Delta T_m = T_m - T_0$  will be used hereinafter to improve readability. If we approximate by default the tail gradient norm with its end value, Eq. (15) becomes:

$$\delta R_e \approx \frac{\ell Ry \delta T_e}{\Delta T_m} \quad (17)$$

In addition, the trailing portion of the melt isotherm is locally approximated by a hemispherical surface of radius equal to the end curvature radius  $R_e$ :

$$R_e = \frac{\ell Ry}{1 + Ry} \quad (18)$$

obtainable from two derivations of Eq. (2). To prevent the local fragmentation of the melt pool, we must then simply impose  $\delta R_e < R_e$ , which by combining Eqs. (17,18) results in the following condition:

$$Ry < \frac{\Delta T_m}{\delta T_e} - 1 \quad (19)$$

Knowing the expected (process- and material-dependent) temperature drop  $\delta T_e$ , Eq. (12) allows to transfer the above constraint from  $Ry$  to  $A_r$  and thus identify the corresponding  $\bar{A}_r$ . Condition (19) suggests that, given a fixed  $T_0$ , the higher the melting point and process stability, the lower the susceptibility to hot cracking. Preheating (i.e., increasing  $T_0$ ) reduces  $\Delta T_m$  along with the thermal gradients and residual stresses [14], but it has an opposite effect on the risk of hot cracking.

Additional technological constraints may introduce lower and upper bounds on the control variables [15], and either direct or indirect requirements on the transverse aspect ratio<sup>3</sup> of the melt pool may limit the energy density. Although these constraints were either not deemed applicable under the assumptions made or considered too case-specific to be generalized in accordance with the scope of our research, we provide some indications on how to include them later in this section.

Before solving the optimization problem, we define the dimensionless velocity:

$$\tilde{U} = \frac{\bar{R}}{\ell} = \frac{U\bar{R}}{2\alpha} \quad (20)$$

and the dimensionless power:

$$\tilde{P} = \frac{Ry}{\tilde{U}} = \frac{P}{2\pi\lambda\bar{R}\Delta T_m} \quad (21)$$

to remove the problem's dependence on the selected  $\bar{R}$ . We then reformulate the inequality constraints (13,14) by replacing the control variables with their dimensionless counterparts:

$$\tilde{P} \left[ 1 + \left( \frac{2}{e\tilde{P}\tilde{U}} \right)^{\beta/2} \right]^{1/\beta} \geq 1 \quad (22)$$

$$\left[ 1 + \left( \frac{e\tilde{P}\tilde{U}}{8} \right)^{\eta/2} \right]^{1/\eta} \leq \bar{A}_r \quad (23)$$

and combine them by making  $\tilde{U}$  explicit:

$$\tilde{U} \leq \frac{2}{e\tilde{P}} \min \left[ \left( \tilde{P}^{-\beta} - 1 \right)^{-2/\beta}, 4 \left( \bar{A}_r^\eta - 1 \right)^{2/\eta} \right] \quad (24)$$

The resulting inequality defines the unbounded and non-convex feasible region exemplified in Fig. 3.

Considering the first quadrant of the  $\tilde{U}\tilde{P}$ -plane, which is the only physically meaningful region, the monotonically increasing bound (22) intersects the monotonically decreasing hyperbolic bound (23) at a single point of coordinates:

$$\tilde{U}_{\text{opt}} \approx \frac{8}{e} \left( \bar{A}_r^\eta - 1 \right)^{2/\eta} \left[ 1 + 2^{-\beta} \left( \bar{A}_r^\eta - 1 \right)^{-\beta/\eta} \right]^{1/\beta} \quad (25)$$

$$\tilde{P}_{\text{opt}} \approx \left[ 1 + 2^{-\beta} \left( \bar{A}_r^\eta - 1 \right)^{-\beta/\eta} \right]^{-1/\beta} \quad (26)$$

where  $\tilde{U}_{\text{opt}}$  is the maximum dimensionless velocity achievable for the given  $\bar{A}_r$ . This implies that the above intersection point, whose dimensional coordinates are obtainable by inverting Eqs. (20,21):

$$U_{\text{opt}} \approx \frac{2\alpha}{\bar{R}} \tilde{U}_{\text{opt}} \quad (27)$$

$$P_{\text{opt}} \approx 2\pi\lambda\bar{R}\Delta T_m \tilde{P}_{\text{opt}} \quad (28)$$

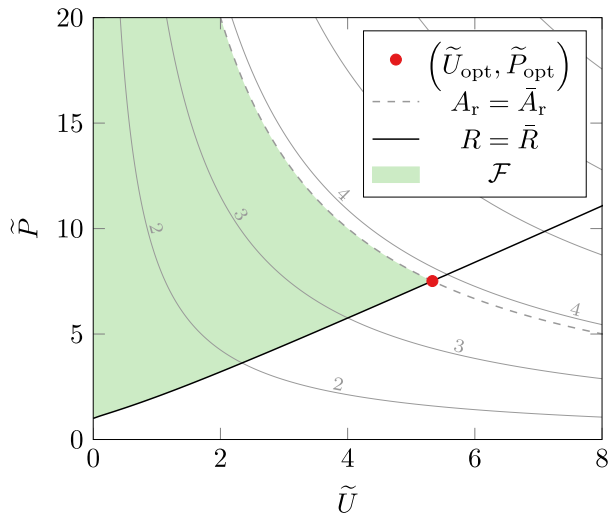
constitutes the optimal operating condition for maximizing productivity while satisfying the specified constraints. As it is located at a vertex of the feasible region, the calculated condition is unreliable since even slight variations of power (or an increase in velocity) would produce a constraint violation. Thus, the selected velocity should be lower than  $U_{\text{opt}}$  by a factor of safety, which depends on the expected accuracy and a case-specific trade-off between quality and productivity.

As represented in Fig. 4, both  $\tilde{U}_{\text{opt}}$  and  $\tilde{P}_{\text{opt}}$  increase with  $\bar{A}_r$ , and the feasible region widens, thus allowing higher velocities provided that the heat source power is increased to prevent constraint (22) from being violated. At some point, however, additional issues

<sup>1</sup> Beaded Cylinder Morphology.

<sup>2</sup> Gas Metal Arc Welding.

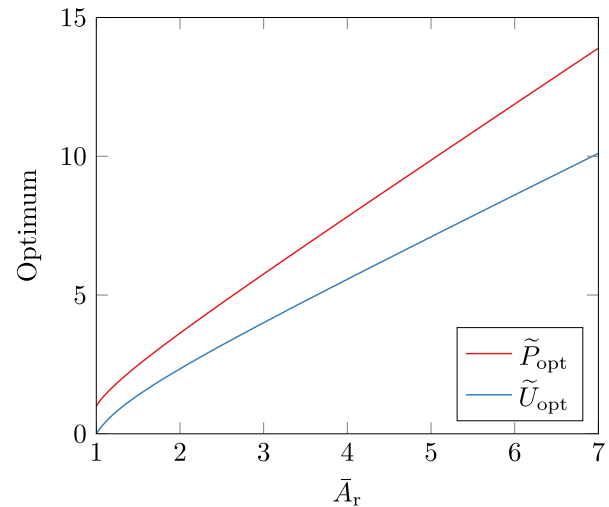
<sup>3</sup> I.e., the ratio between the depth and width of the melted zone.



**Fig. 3.** Exemplified representation of the feasible region  $\mathcal{F}$  and optimal operating condition. The contour map shows the hyperbolic isolines of aspect ratio.

may render the optimal operating condition less cost-effective than other suboptimal solutions. For example, box-type, linear, and nonlinear technological constraints on  $U$  and  $P$  may restrict the feasible region making  $(U_{opt}, P_{opt})$  infeasible in practice [16]. Another issue may arise at low  $\bar{A}_r$ , as when the optimal operating condition gets closer to  $(0, 1)$  on the  $\tilde{U}\tilde{P}$ -plane, the energy density increases, and the evaporation recoil pressure reshapes the melt pool into a keyhole. In fact, since the energy density is always directly proportional to  $P$  and inversely proportional to  $U$  regardless of the specific formulation, its value approaches infinity as the velocity gets arbitrarily close to zero with finite power. If  $(U, P)$  are the only variables, and the energy density is considered the discriminating factor between conduction and keyhole mode melting, the transition region between those regimes should be representable as an angle on the  $\tilde{U}\tilde{P}$ -plane (as the lines passing through the origin of that plane have constant energy densities proportional to their gradients). In that case, the lower side of such angle constitutes the theoretical limit of applicability of the Rosenthal solution (1), which is not suitable for modeling the keyhole formation without combining multiple heat sources<sup>4</sup>. It follows that the feasible region (24) is unbounded simply because the considered mathematical model is not affected by a generalized constraint on the transverse aspect ratio of the melt pool, which instead is always present in practice.

Ultimately, it is not completely fair to consider the thermal problem independent of the length scale, as many phenomena, including the melt pool stability, microstructure evolution, and surface heat transfer effectiveness relative to conduction, are notoriously scale-dependent. However, additional or scale-dependent inequality constraints may affect the shape and size of the feasible region (possibly precluding the use of dimensionless variables) but not the overall problem structure. Therefore, the optimization problem remains inherently two-dimensional (unless any inequality constraint is maintained active, making it an equality constraint), and any scalar reduction ends up providing only a section view of the whole picture, which instead can be fully examined using two independent variables.



**Fig. 4.** Dimensionless power and velocity corresponding to the optimal operating condition varying the maximum allowable aspect ratio.

#### 4. Exploratory data analysis

We examined a broad spectrum of manufacturing processes under the unified theoretical framework defined in Section 3. Specifically, our exploratory data analysis covered Arc and Beam<sup>5</sup> Welding (AW and BW, respectively) on various materials, joint types, and bead sizes ranging from 0.5 to over 10 mm. As for AM technologies, we considered<sup>6</sup> Laser Metal Deposition (LMD) and Selective Laser Melting (SLM) but not Electron Beam Melting (EBM) due to the lack of information about the process parameters. Despite being limited by the assumptions of the Rosenthal solution, the proposed approach could still serve as a baseline for assessing several applications that would technically fall out of its scope without proper adjustments, including keyhole mode welds and additively manufactured parts of feature size comparable to the process resolution.

Fig. 5 shows the dimensionless operating conditions selected from reviewing the publications in Table 1. Each nominal operating condition is marked with a symbol and a color corresponding to the process type and processed material, respectively. The error bars represent the estimated power losses due to the process- and material-dependent energy absorptivity (or efficiency), which is rarely known in practice. In fact,  $P$  is defined as the thermal power transferred to the solid, and its difference compared to the nominal power constitutes the main uncertainty in locating each operating condition on the  $\tilde{U}\tilde{P}$ -plane. However, a simple yet effective way of reducing this uncertainty would be to measure  $R$  experimentally and then estimate  $P$  by inverting Eqs. (4,6).

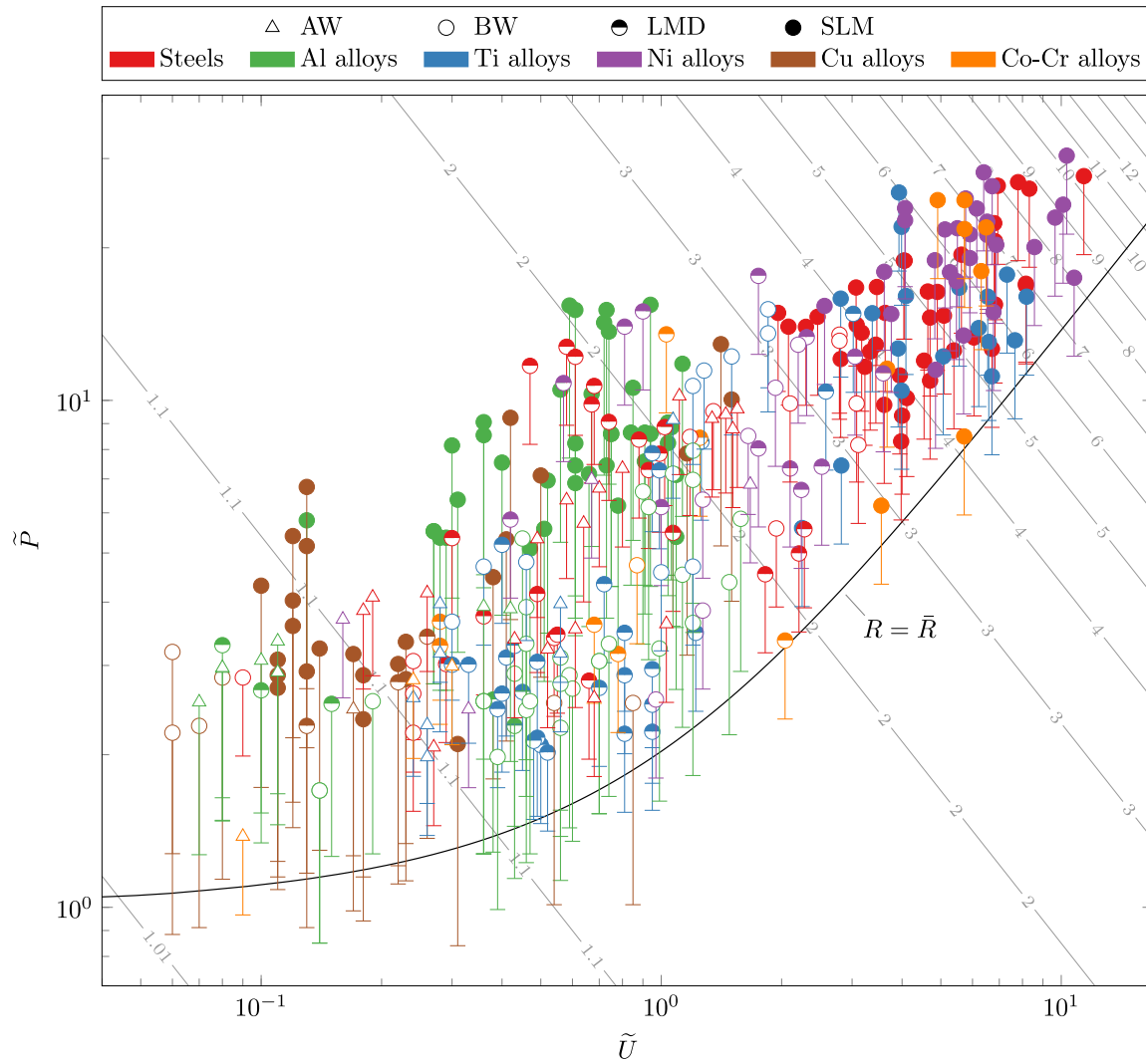
The hyperbolic isolines of  $A_r$  (Fig. 3) become linear in logarithmic scale, and, apart from some outliers, the operating conditions appear evenly distributed above constraint (22) within the range  $1.1 \leq A_r \leq 7$ . A closer look reveals that AW rarely exceeds  $A_r = 2$ , whereas BW and LMD are generally limited to  $A_r \leq 3$  (with some rare exceptions still lower than  $A_r = 4$ ). On the other hand, the range above  $A_r = 3$  is dominated by the SLM process, whose operating conditions extend even beyond  $A_r = 7$ . This is likely due to the higher priority given to productivity over quality in AM compared to welding. In fact, a typical SLM process can comprise millions of scan lines, and the quality of a single weld bead has little to

<sup>5</sup> Including both Laser and Electron Beam Welding, commonly abbreviated as LBW and EBW, respectively.

<sup>6</sup> By limiting the research to the last five years of published literature in an attempt to provide an up-to-date overview of metal AM technologies.

<sup>4</sup> To break the axisymmetry of the thermal field about the axis of motion [8,9].





**Fig. 5.** Dimensionless nominal operating conditions retrieved from the reviewed literature (Table 1). The error bars represent the estimated power losses, i.e., the power fractions not contributing to the heating process. The solid line  $R = \bar{R}$  is the feasibility boundary defined by the dimensionless constraint (22), while the contour map shows the isolines of aspect ratio.

**Table 1**

Summary of the reviewed literature. Manufacturing processes are classified into four categories: Arc Welding (AW), Beam Welding (BW), Laser Metal Deposition (LMD), and Selective Laser Melting (SLM); materials are classified based on the main alloy elements into six macro-categories and, where appropriate, additional sub-categories.

Material		Process			
Category	Properties	AW	BW	LMD	SLM
Structural steel	[17–24]	[17,18,20,23,25–32]	[32–36]		[58–75]
Stainless steel	[28,37–42]	[18,43–45]	[41,46]	[47–57]	[61,79–86]
Tool steel	[76,77]			[78]	[61,88–95]
Maraging steel	[87]				
Al2xxx	[96]		[96–102]	[103]	[104,105]
Al4xxx	[106]		[107,108]		[109–123]
Al5xxx	[124–126]	[125]	[127,128]	[129,130]	[131–137]
Al6xxx	[138]	[139,140]	[141–146]		[113]
Al7xxx	[147]	[148,149]		[150]	[151–153]
Ti alloys	[154,155]	[156,157]	[155,158–163]	[164–182]	[183–200]
Ni alloys	[201–204]	[205,206]	[207,208]	[209–216]	[172,217–241]
Cu alloys	[242–244]	[245]	[245–248]	[249–251]	[94,252–270]
Co-Cr alloys	[271,272]	[273–275]	[276]	[214,277–281]	[226,282–288]

**Table 2**  
Thermophysical properties of Inconel 718 alloy [291].

Property	Value
Thermal conductivity	$25 \text{ W m}^{-1} \text{ K}^{-1}$
Thermal diffusivity	$5 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$
Melting point	1538 K
Energy absorptivity <sup>7</sup>	0.7

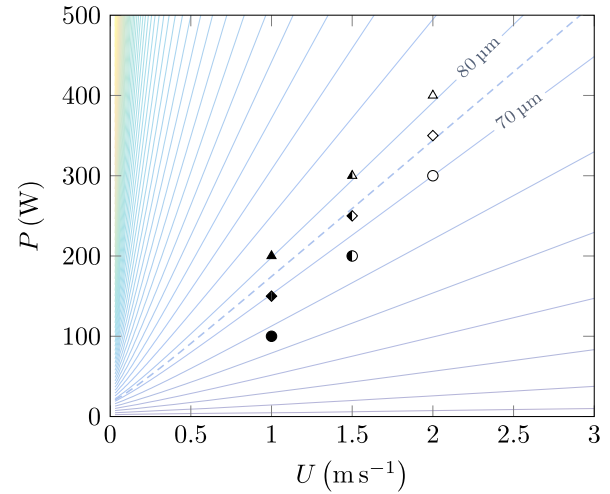
<sup>7</sup> Estimated by measuring the bead size.

no effect on the quality of the entire manufactured part, provided that porosity is kept within the prescribed tolerance. Conversely, bead quality standards are generally higher in welding at the expense of productivity. Moreover, a higher degree of automation and control often corresponds to a higher allowable  $A_r$ , which in turn must be exploited to recover the additional costs associated with the potential increase in productivity.

The above considerations apply when comparing different process types for the same material. However, focusing on each type separately and comparing the operating conditions for different materials leads to further interesting observations. In particular, the behavior of Cu- and Al-based alloys can be clearly distinguished from that of other materials, which, despite their different thermophysical and mechanical properties, have similar distributions on the  $\bar{U}\bar{P}$ -plane.

Copper alloys typically have lower absorptivities and higher thermal conductivities than the other materials considered here. Therefore, as we can infer from Eqs. (26,28), they require significantly higher nominal powers for the same  $\bar{R}$  and  $\bar{A}_r$ , which makes them more likely to saturate the available power sources. In addition, Cu alloys are less commonly used (and thus less developed) than most others, and together these factors lead to the lower aspect ratios currently achievable with the same process types.

On the other hand, aluminium alloys are among the most widely used, despite also having the absorptivity and thermal conductivity issues mentioned above. Nonetheless, even the most productivity-oriented SLM processes rarely exceed an aspect ratio of two with Al alloys, while they easily achieve values three times higher with other non-copper alloys. Our guess is that hot cracking

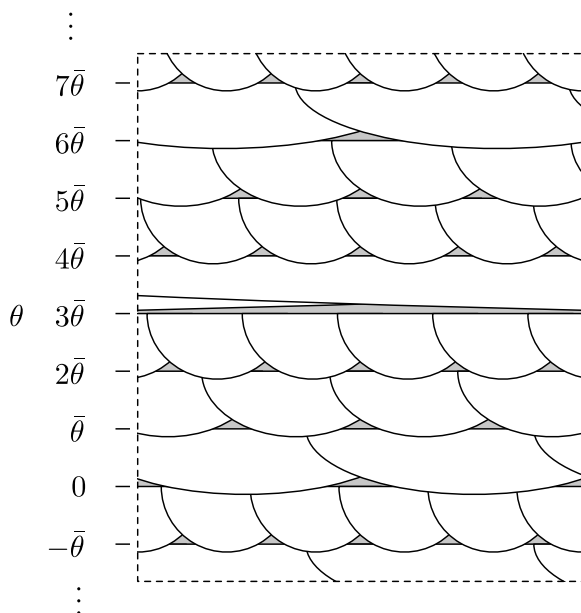


**Fig. 7.** Tested nominal operating conditions. The contour map shows the theoretical isolines of  $R$  (dashed for  $R = \bar{R}$ ) based on Eq. (6) and the input data listed in Tables 2,3.

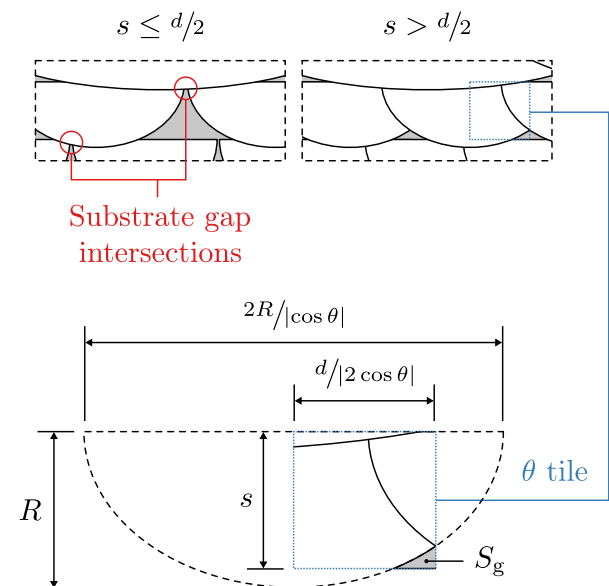
[105,152,289] may constitute the main hindrance due to the low melting point. In fact, BCM humping limits the processability of other materials at much higher aspect ratios, whereas the low  $\Delta T_m$  (especially in case of significant preheating) combined with the large grain size of Al alloys [153] may anticipate the onset of hot cracking (19) at  $R_y \approx 10$  (or equivalently,  $A_r \approx 2$ ). Mitigating this issue [104] and compensating for the evaporation of highly volatile alloying elements (mainly Mg and Zn [122,131]) should thus be considered essential prerequisites for increasing the productivity and viability of AM technologies on aluminium alloys.

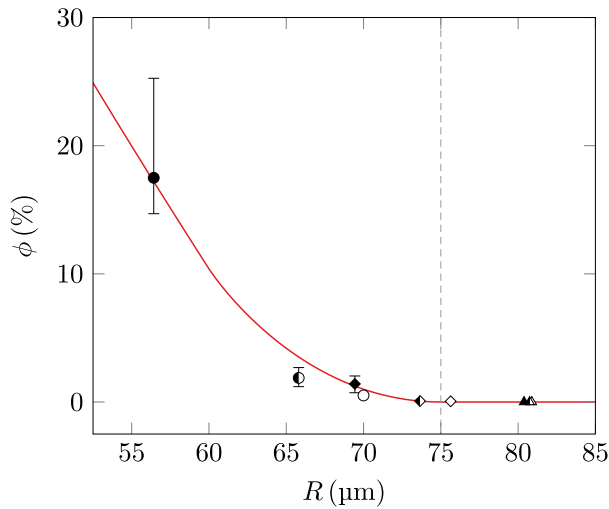
## 5. Application on lack of fusion porosity

To showcase the practical utility of the analytical approach presented in previous sections, we will now address the issue of pre-



**Fig. 6.** Cut surface of a specimen printed with uniform hemicylindrical beads of radius  $R$  and a hatch angle  $\bar{\theta}$  of  $67^\circ$ . Substrate gap intersections may occur if the nominal layer thickness  $s$  is less than half of the hatch distance  $d$ . Otherwise, the gap fraction of the entire cut surface is the same as that of any tile  $\frac{d}{2|\cos \theta|} \times s$  with a top vertex on the respective scan line.





**Fig. 8.** Predicted and measured lack of fusion porosity for the nine operating conditions represented in Fig. 7 (error bars are omitted if smaller than the respective marker).

venting lack of fusion porosity in Powder Bed Fusion (PBF) additive manufacturing. Specifically, we consider the selective laser melting of Inconel 718 (thermophysical properties in Table 2) using a Ren-

**Table 3**

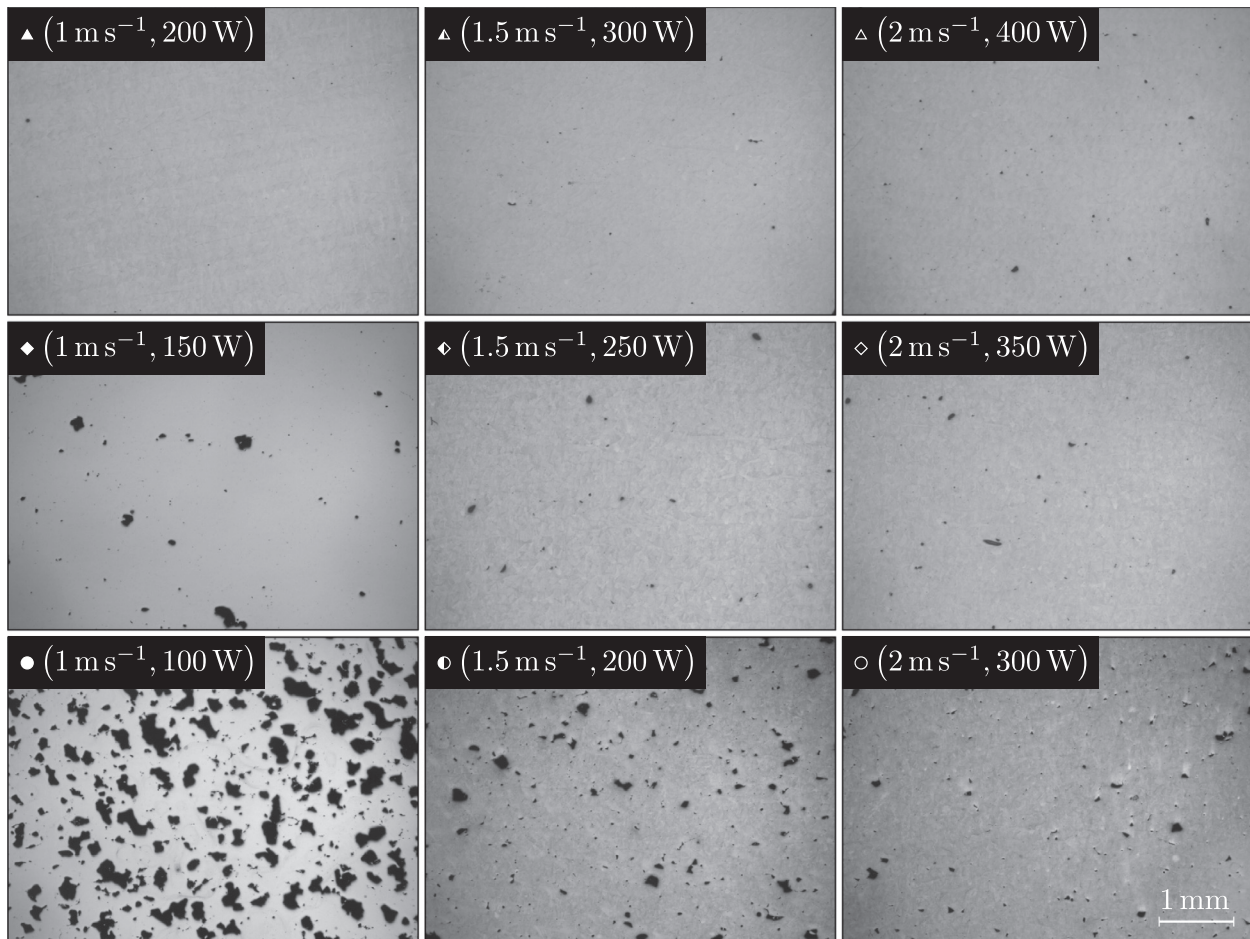
Pre-set SLM process parameters for Inconel 718.

Parameter	Value
Nominal layer thickness	60 $\mu\text{m}$
Hatch distance	90 $\mu\text{m}$
Hatch angle <sup>8</sup>	67°
Preheating temperature	541 K

<sup>8</sup> Angle between the scanning directions of two consecutive layers.

ishaw RenAM 500E on a commercial powder compliant with the ASTM F3055 standard [290].

Lack of fusion porosity can be estimated as the surface fraction of inter-bead gaps  $\phi$ . As exemplified in Fig. 6, if  $R$  is constant, and the nominal layer thickness  $s$  (i.e., the distance increment between the recoater and the base plate) is greater than half of the hatch distance  $d$  (i.e., the distance between adjacent scan lines), hemicylindrical beads cannot intersect the inter-bead gaps of underlying layers. In this case,  $\phi$  is the same for any cutting plane of normal unit vector  $\hat{n}$  perpendicular to the build direction, and the cut surface consists of layered tiles with horizontal stretch factor  $\frac{1}{|\cos \theta|} = |\sec \theta|$ , where  $\theta$  is the scanning direction angle relative to  $\hat{n}$ . It follows that, as the tile gap cross-sectional area  $S_g(\theta) = |\sec \theta| S_g(0)$ :



**Fig. 9.** Cross-section photomicrographs of selective laser melted Inconel 718 with vertical build direction. The nine specimens were printed using the nominal operating conditions  $(U, P)$  represented in Fig. 7 and the process parameters listed in Table 3. Kalling's reagent II was used to detect bead boundaries on less porous surfaces, which made it easier to distinguish lack of fusion from gas entrapment.



$$\phi = \frac{2S_g(\theta)}{|\sec \theta| ds} = \frac{2S_g(0)}{ds} \quad (29)$$

and the value of  $\phi$  for the entire cut surface can be calculated considering a single tile with  $\theta = 0$ :

$$\phi = \begin{cases} 1 - \frac{\pi R^2}{2ds} & \text{if } R \leq \frac{d}{2} \\ 1 - \frac{R_1}{2s} - \frac{R^2}{ds} \arctan\left(\frac{d}{2R_1}\right) & \text{if } \frac{d}{2} < R \leq s \\ 1 - \frac{R_1}{2s} - \frac{R_2}{d} - \frac{R^2}{ds} \left[ \arctan\left(\frac{d}{2R_1}\right) - \operatorname{arccot}\left(\frac{s}{R_2}\right) \right] & \text{if } s < R \leq \bar{R} \\ 0 & \text{otherwise} \end{cases} \quad (30)$$

where  $R_1 = \sqrt{R^2 - \frac{d^2}{4}}$ ,  $R_2 = \sqrt{R^2 - s^2}$ , and  $\bar{R} = \sqrt{s^2 + \frac{d^2}{4}}$ .

Fig. 8 shows the comparison between the predicted and measured lack of fusion porosity for the nine operating conditions represented in Fig. 7. Each operating condition was used to print its corresponding specimen (Fig. 9) with the process parameters listed in Table 3, which made the above formulation applicable since  $s = 60 \mu\text{m}$  is greater than  $\frac{d}{2} = 45 \mu\text{m}$ . Despite the simplicity of the model, its predictions were generally accurate with minor overestimations of  $\phi$  in the range  $65 \mu\text{m} \leq R \leq 70 \mu\text{m}$ , which likely resulted from the actual bead shapes not being hemicylindrical as assumed. Most importantly, the theoretical full-density threshold  $R \geq \bar{R} = 75 \mu\text{m}$  delimited all operating conditions associated with negligible lack of fusion porosity.

The result quality should always be assessed in relation to the process variability. In this specific case, we limited the model uncertainty by estimating the energy absorptivity across a wide range of process parameters. In particular, we sampled multiple melted zones for each operating condition, computed their average radius via least-squares circle fitting, solved Eq. (6) for  $R_y$ , determined  $P$  from Eq. (4), and divided its value by the nominal power, which resulted in an average absorptivity of 0.7 consistent with the available data [292]. However, while computing the best-fit circle radii, we observed a 14% coefficient of variation that roughly represents the maximum accuracy achievable without taking into account the local differences between nominally identical scan lines. This intrinsic limitation of the model, combined with the practical inability to reproduce the actual bead shapes and powder distribution relative to the inter-bead gaps, make predicting incidental porosity features virtually impossible at present. Nevertheless, the agreement with experimental evidence suggests that, presumably owing to their non-systematic nature, most of the above errors (apart from assuming hemicylindrical bead shapes) are only marginally reflected in the estimated  $\phi$ .

Based on these final considerations, we could outline the following procedure for setting up a generic PBF process:

1. Select  $s$  and  $\bar{A}_r$  as a trade-off between resolution, quality, and productivity
2. Compute  $\bar{R} = \sqrt{2s}$  considering  $\frac{d}{2} = s$
3. Compute  $R_y$  by inverting Eq. (12) with  $A_r = \bar{A}_r$
4. Select the maximum allowable preheating temperature to limit residual stresses without incurring the risk of hot cracking (19), i.e.,  $T_0 < T_m - \delta T_e(R_y + 1)$
5. Compute  $\bar{U}_{\text{opt}}$  and  $\bar{P}_{\text{opt}}$  from Eqs. (25,26)
6. Compute  $U_{\text{opt}}$  and  $P_{\text{opt}}$  from Eqs. (27,28)
7. Determine the nominal power by dividing  $P_{\text{opt}}$  by the expected energy absorptivity
8. Adjust  $s$  and  $d$  at constant  $\bar{R}$  according to the actual bead shape (which is mainly dependent on the energy density), i.e., reduce  $d$  relative to  $s$  nearing the keyhole mode and vice versa.

Needless to say, the selected  $s$ ,  $\bar{A}_r$ , and  $T_0$ , as well as the resulting operating condition, must comply with the case-specific technological constraints, and parameter fine-tuning may still require structured experimental approaches.

## 6. Conclusions

We presented a closed-form procedure to determine the optimal operating condition for melting processes compliant with the Rosenthal solution. The derived framework enabled us to compare welding and AM processes from a dimensionless perspective and appreciate the remarkable similarities between the reframed operating conditions for a wide range of materials. In addition, the literature data analysis combined with a few theoretical considerations led us to identify hot cracking as possibly the main hindrance to productivity for additive manufacturing of aluminium alloys. On a more practical level, we estimated the void surface fraction of selective laser melted Inconel 718 based solely on the Rosenthal solution and concluded by outlining a basic procedure for setting up PBF processes.

Our research shows that, despite being often overlooked in favor of experimental and more elaborate modeling approaches, analytical solutions provide a clear insight into the multidimensional design space underlying most welding and AM technologies. As such, the Rosenthal solution is inherently well suited for educational purposes, explanatory and exploratory data analyses, feasibility and sensitivity analyses, and all applications where usability and performance are to be prioritized over accuracy and flexibility. Most notably, if calibrated through a few simple measurements, it can provide guidance for experimental procedures in process optimization and serve as internal model for industrial control systems.

In the end, even with the limitations it entails, simplicity remains a decisive advantage in the vast majority of practical cases.

## Data availability

The data that support the findings of this study are openly available in Mendeley Data at <https://doi.org/10.17632/b2437352ky.2>, reference number 10.17632/b2437352ky.2.

## Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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