

Chapter 1

Computers and Programs

Objectives

- To understand the respective roles of hardware and software in computing systems.
- To learn what computer scientists study and the techniques that they use.
- To understand the basic design of a modern computer.
- To understand the form and function of computer programming languages.
- To begin using the Python programming language.
- To learn about chaotic models and their implications for computing.

1.1 The Universal Machine

Almost everyone has used a computer at one time or another. Perhaps you have played computer games or used a computer to write a paper, shop online, listen to music, or connect with friends via social media. Computers are used to predict the weather, design airplanes, make movies, run businesses, perform financial transactions, and control factories.

Have you ever stopped to wonder what exactly a computer is? How can one device perform so many different tasks? These basic questions are the starting point for learning about computers and computer programming.

Computers are not the only machines that manipulate information. When you use a simple calculator to add up a column of numbers, you are entering information (the numbers) and the calculator is processing the information to compute a running sum which is then displayed. Another simple example is a gas pump. As you fill your tank, the pump uses certain inputs: the current price of gas per gallon and signals from a sensor that reads the rate of gas flowing into your car. The pump transforms this input into information about how much gas you took and how much money you owe.

A *computer program* is a detailed, step-by-step set of instructions telling a computer exactly what to do. If we change the program, then the computer performs a different sequence of actions, and hence, performs a different task. It is this flexibility that allows your PC to be at one moment a word processor, at the next moment a financial planner, and later on, an arcade game. The machine stays the same, but the program controlling the machine changes.

[illegible]

1.2 Program Power

You have already learned an important lesson of computing: *Software* (programs) rules the *hardware* (the physical machine). It is the software that determines what any computer can do. Without software, computers would just be expensive paperweights. The process of creating software is called *programming*, and that is the main focus of this book.

Computer programming is a challenging activity. Good programming requires an ability to see the big picture while paying attention to minute detail. Not everyone has the talent to become a first-class programmer, just as not everyone has the skills to be a professional athlete. However, virtually anyone *can* learn how to program computers. With some patience and effort on your part, this book will help you to become a programmer.

There are lots of good reasons to learn programming. Programming is a fundamental part of computer science and is, therefore, important to anyone interested in becoming a computer professional. But others can also benefit from the experience. Computers have become a commonplace tool in our society. Understanding the strengths and limitations of this tool requires an understanding of programming. Non-programmers often feel they are slaves of their computers. Programmers, however, are truly in control. If you want to become a more intelligent user of computers, then this book is for you.

Programming can also be loads of fun. It is an intellectually engaging activity that allows people to express themselves through useful and sometimes remarkably beautiful creations. Believe it or not, many people actually write computer programs as a hobby. Programming also develops valuable problem-solving skills, especially the ability to analyze complex systems by reducing them to interactions of understandable subsystems.

As you probably know, programmers are in great demand. More than a few liberal arts majors have turned a couple of computer programming classes into a lucrative career option. Computers are so commonplace in the business world today that the ability to understand and program computers might just give you the edge over your competition regardless of your occupation. When inspiration strikes, you could be poised to write the next killer app.

1.3 What Is Computer Science?

You might be surprised to learn that computer science is not the study of computers. A famous computer scientist named Edsger Dijkstra once quipped that

One way to demonstrate that a particular problem can be solved is to actually design a solution. That is, we develop a step-by-step process for achieving the desired result. Computer scientists call this an *algorithm*. That's a fancy word that basically means “recipe.” The design of algorithms is one of the most important facets of computer science. In this book you will find techniques for designing and implementing algorithms.

Analysis is the process of examining algorithms and problems mathematically. Computer scientists have shown that some seemingly simple problems are not solvable by *any* algorithm. Other problems are *intractable*. The algorithms that solve these problems take too long or require too much memory to be of practical value. Analysis of algorithms is an important part of computer science; throughout this book we will touch on some of the fundamental principles. Chapter 13 has examples of unsolvable and intractable problems.

I have defined computer science in terms of designing, analyzing, and evaluating algorithms, and this is certainly the core of the academic discipline. These days, however, computer scientists are involved in far-flung activities, all of which fall under the general umbrella of computing. Some examples

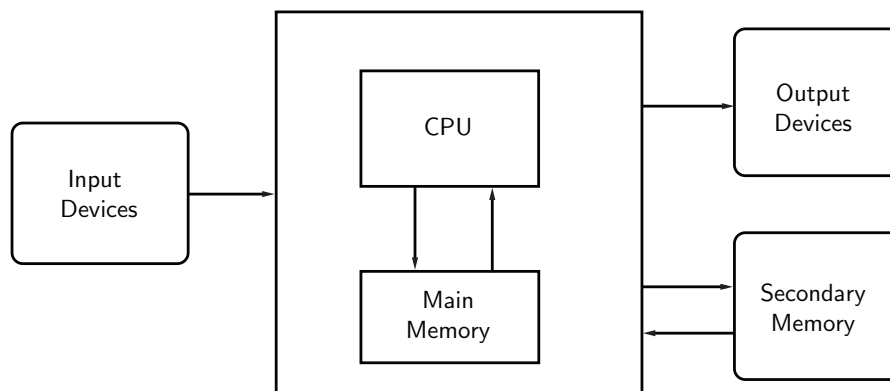


Figure 1.1: Functional view of a computer

include mobile computing, networking, human-computer interaction, artificial intelligence, computational science (using powerful computers to model scientific processes), databases and data mining, software engineering, web and multimedia design, music production, management information systems, and computer security. Wherever computing is done, the skills and knowledge of computer science are being applied.

1.4 Hardware Basics

You don't have to know all the details of how a computer works to be a successful programmer, but understanding the underlying principles will help you master the steps we go through to put our programs into action. It's a bit like driving a car. Knowing a little about internal combustion engines helps to explain why you have to do things like fill the gas tank, start the engine, step on the accelerator, and so on. You could learn to drive by just memorizing what to do, but a little more knowledge makes the whole process much more understandable. Let's take a moment to "look under the hood" of your computer.

Although different computers can vary significantly in specific details, at a higher level all modern digital computers are remarkably similar. Figure 1.1 shows a functional view of a computer. The *central processing unit* (CPU) is the “brain” of the machine. This is where all the basic operations of the computer are carried out. The CPU can perform simple arithmetic operations like adding two numbers and can also do logical operations like testing to see if two numbers are equal.

In a modern personal computer, the principal secondary memory is typically an internal hard disk drive (HDD) or a solid state drive (SSD). An HDD stores information as magnetic patterns on a spinning disk, while an SSD employs electronic circuits known as flash memory. Most computers also support removeable media for secondary memory such as USB memory “sticks” (also a form of flash memory) and DVDs (digital versatile discs), which store information as optical patterns that are read and written by a laser.

So what happens when you fire up your favorite game or word processing program? First, the instructions that comprise the program are copied from the (more) permanent secondary memory into the main memory of the computer. Once the instructions are loaded, the CPU starts executing the program.

1.5 Programming Languages

Even if computers could understand us, human languages are not very well suited for describing complex algorithms. Natural language is fraught with ambiguity and imprecision. For example, if I say “I saw the man in the park with the telescope,” did I have the telescope, or did the man? And who was in the park? We understand each other most of the time only because all humans share a vast store of common knowledge and experience. Even then, miscommunication is commonplace.

Python is one example of a programming language and is the language that we will use throughout this book.¹ You may have heard of some other commonly used languages, such as C++, Java, Javascript, Ruby, Perl, Scheme, or BASIC. Computer scientists have developed literally thousands of programming languages, and the languages themselves evolve over time yielding multiple, sometimes very different, versions. Although these languages differ in many details, they all share the property of having well-defined, unambiguous syntax and semantics.

Suppose we want the computer to add two numbers. The instructions that the CPU actually carries out might be something like this:

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```
load the number from memory location 2001 into the CPU
load the number from memory location 2002 into the CPU
add the two numbers in the CPU
store the result into location 2003
```

This seems like a lot of work to add two numbers, doesn't it? Actually, it's even more complicated than this because the instructions and numbers are represented in *binary* notation (as sequences of 0s and 1s).

In a high-level language like Python, the addition of two numbers can be expressed more naturally: `c = a + b`. That's a lot easier for us to understand, but we need some way to translate the high-level language into the machine language that the computer can execute. There are two ways to do this: a high-level language can either be *compiled* or *interpreted*.

A *compiler* is a complex computer program that takes another program written in a high-level language and translates it into an equivalent program in the machine language of some computer. Figure 1.2 shows a block diagram of the compiling process. The high-level program is called *source code*, and the resulting *machine code* is a program that the computer can directly execute. The dashed line in the diagram represents the execution of the machine code (also known as “running the program”).

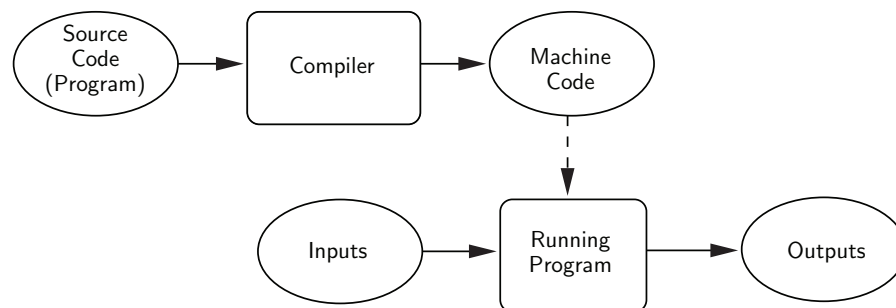


Figure 1.2: Compiling a high-level language

An *interpreter* is a program that simulates a computer that understands a high-level language. Rather than translating the source program into a machine language equivalent, the interpreter analyzes and executes the source code instruction by instruction as necessary. Figure 1.3 illustrates the process.

The difference between interpreting and compiling is that compiling is a one-shot translation; once a program is compiled, it may be run over and over again without further need for the compiler or the source code. In the interpreted

With most Python installations, you can start a Python interpreter in an interactive mode called a *shell*. A shell allows you to type Python commands and then displays the result of executing them. The specifics for starting a shell differ for various installations. If you are using the standard Python distribution for PC or Mac from www.python.org, you should have an application called IDLE that provides a Python shell and, as we'll see later on, also helps you create and edit your own Python programs. The supporting website for this book has information on installing and using Python on a variety of platforms.

```
Python 3.4.3 (v3.4.3:9b73f1c3e601, Feb 24 2015, 22:43:06)
[MSC v.1600 32 bit (Intel)] on win32
Type "copyright", "credits" or "license()" for more information.
>>>
```

Here is a sample interaction with a Python shell:

This kind of shell interaction is a great way to try out new things in Python. Snippets of interactive sessions are sprinkled throughout this book. When you

Usually we want to move beyond one-line snippets and execute an entire sequence of statements. Python lets us put a sequence of statements together to create a brand-new command or *function*. Here is an example of creating a new function called `hello`:

>>>

A function is *invoked* (or *called*) by typing its name followed by parentheses. Here's what happens when we use our `hello` command:

Do you see what this does? The two `print` statements from the `hello` function definition are executed in sequence.

```
>>> def greet(person):
        print("Hello", person)
        print("How are you?")
```

Now we can use our customized greeting.

```
>>> greet("John")
Hello John
How are you?
>>> greet("Emily")
Hello Emily
How are you?
>>>
```

Can you see what is happening here? When using `greet` we can send different names to customize the result. You might also notice that this looks similar to the `print` statements from before. In Python, `print` is an example of a built-in function. When we call the `print` function, the parameters in the parentheses tell the function what to print.

We will discuss parameters in detail later on. For the time being the important thing to remember is that the parentheses must be included after the function name whenever we want to execute a function. This is true even when no parameters are given. For example, you can create a blank line of output using `print` without any parameters.

```
>>> print()

>>>
```

But if you type just the name of the function, omitting the parentheses, the function will not actually execute. Instead, an interactive Python session will show some output indicating what function that name refers to, as this interaction shows:

```
>>> greet
<function greet at 0x8393aec>
>>> print
<built-in function print>
```

The funny text `0x8393aec` is the location (address) in computer memory where the `greet` function definition happens to be stored. If you are trying this out on your own computer, you will almost certainly see a different address.

One problem with entering functions interactively into a Python shell as we did with the `hello` and `greet` examples is that the definitions are lost when we quit the shell. If we want to use them again the next time, we have to type them

Let's illustrate the use of a module file by writing and running a complete program. Our program will explore a mathematical concept known as chaos. To type this program into IDLE, you should select the *File/New File* menu option. This brings up a blank (non-shell) window where you can type a program. Here is the Python code for our program:

At this point, you may be trying to make sense out of what you just typed. You can see that this particular example contains lines to define a new function

[illegible]

called `main`. (Programs are often placed in a function called `main`.) The last line of the file is the command to invoke this function. Don't worry if you don't understand what `main` actually does; we will discuss it in the next section. The point here is that once we have a program saved in a module file like this, we can run it any time we want.

Our program can be run in a number of different ways that depend on the actual operating system and programming environment that you are using. If you are using a windowing system, you can probably run a Python program by clicking (or double-clicking) on the module file's icon. In a command line situation, you might type a command like `python chaos.py`. When using IDLE you can run a program simply by selecting *Run/Run Module* from the module window menu. Hitting the <F5> key is a handy shortcut for this operation.

When IDLE runs the program, control will shift over to the shell window. Here is how that looks:

```
>>> ===== RESTART =====
>>>
This program illustrates a chaotic function
Enter a number between 0 and 1: .25
0.73125
0.76644140625
0.6981350104385375
0.8218958187902304
0.5708940191969317
0.9553987483642099
0.166186721954413
0.5404179120617926
0.9686289302998042
0.11850901017563877
>>>
```

The first line is a notification from IDLE indicating that the shell has restarted. IDLE does this each time you run a program so that the program runs in a pristine environment. Python then runs the module from top to bottom, line by line. It's just as if we had typed them one-by-one at the interactive Python prompt. The `def` in the module causes Python to create the `main` function. The last line of this module causes Python to invoke the `main` function, thus running our program. The running program asks the user to enter a number between 0 and 1 (in this case, I typed ".25") and then prints out a series of 10 numbers.

Running a module under IDLE loads the program into the shell window. You can run the program again by asking Python to execute the `main` command. Simply type the command at the shell prompt. Continuing with our example, here is how it looks when we rerun the program with `.26` as the input:

1.7 Inside a Python Program

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The first two lines of the program start with the # character:

```
# File: chaos.py
# A simple program illustrating chaotic behavior.
```

These lines are called *comments*. They are intended for human readers of the program and are ignored by Python. The Python interpreter always skips any text from the pound sign (#) through the end of a line.

The next line of the program begins the definition of a function called `main`:

```
def main():
```

Strictly speaking, it would not be necessary to create a `main` function. Since the lines of a module are executed as they are loaded, we could have written our program without this definition. That is, the module could have looked like this:

```
# File: chaos.py
# A simple program illustrating chaotic behavior.

print("This program illustrates a chaotic function")
x = eval(input("Enter a number between 0 and 1: "))
for i in range(10):
    x = 3.9 * x * (1 - x)
    print(x)
```

This version is a bit shorter, but it is customary to place the instructions that comprise a program inside of a function called `main`. One immediate benefit of this approach was illustrated above; it allows us to run the program by simply invoking `main()`. We don't have to restart the Python shell in order to run it again, which would be necessary in the `main`-less case.

The first line inside of `main` is really the beginning of our program.

```
print("This program illustrates a chaotic function")
```

This line causes Python to print a message introducing the program when it runs.

Take a look at the next line of the program:

```
x = eval(input("Enter a number between 0 and 1: "))
```

Here `x` is an example of a *variable*. A variable is used to give a name to a value so that we can refer to it at other points in the program.

The next statement is an example of a *loop*.

[illegible]

The function computed by this program has the general form: $k(x)(1 - x)$, where k in this case is 3.9. This is called a logistic function. It models certain kinds of unstable electronic circuits and is also sometimes used to model population variation under limiting conditions. Repeated application of the logistic function can produce chaos. Although our program has a well-defined underlying behavior, the output seems unpredictable.

input	0.25	0.26
	0.731250	0.750360
	0.766441	0.730547
	0.698135	0.767707
	0.821896	0.695499
	0.570894	0.825942
	0.955399	0.560671
	0.166187	0.960644
	0.540418	0.147447
	0.968629	0.490255
	0.118509	0.974630

These two features of our chaos program, apparent unpredictability and extreme sensitivity to initial values, are the hallmarks of chaotic behavior. Chaos has important implications for computer science. It turns out that many phenomena in the real world that we might like to model and predict with our computers exhibit just this kind of chaotic behavior. You may have heard of the so-called *butterfly effect*. Computer models that are used to simulate and predict weather patterns are so sensitive that the effect of a single butterfly flapping

It's very possible that even with perfect computer modeling, we might never be able to measure existing weather conditions accurately enough to predict weather more than a few days in advance. The measurements simply can't be precise enough to make the predictions accurate over a longer time frame.

1.9 Chapter Summary

- A computer is a universal information-processing machine. It can carry out any process that can be described in sufficient detail. A description of the sequence of steps for solving a particular problem is called an algorithm. Algorithms can be turned into software (programs) that determines what the hardware (physical machine) can and does accomplish. The process of creating software is called programming.
- Computer science is the study of what can be computed. Computer scientists use the techniques of design, analysis, and experimentation. Computer science is the foundation of the broader field of computing which includes areas such as networking, databases, and information management systems, to name a few.
- A basic functional view of a computer system comprises a central processing unit (CPU), main memory, secondary memory, and input and output devices. The CPU is the brain of the computer that performs simple arithmetic and logical operations. Information that the CPU acts on (data and programs) is stored in main memory (RAM). More permanent information is stored on secondary memory devices such as magnetic disks, flash memory, and optical devices. Information is entered into the computer via input devices, and output devices display the results.

- ## 1.10 Exercises

True/False

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6. A function definition is a sequence of statements that defines a new command.
7. A programming environment refers to a place where programmers work.
8. A variable is used to give a name to a value so it can be referred to in other places.
9. A loop is used to skip over a section of a program.
10. A chaotic function can't be computed by a computer.

Multiple Choice

1. What is the fundamental question of computer science?
 - a) How fast can a computer compute?
 - b) What can be computed?
 - c) What is the most effective programming language?
 - d) How much money can a programmer make?
2. An algorithm is like a
 - a) newspaper
 - b) venus flytrap
 - c) drum
 - d) recipe
3. A problem is intractable when
 - a) you cannot reverse its solution
 - b) it involves tractors
 - c) it has many solutions
 - d) it is not practical to solve
4. Which of the following is *not* an example of secondary memory?
 - a) RAM
 - b) hard drive
 - c) USB flash drive
 - d) DVD
5. Computer languages designed to be used and understood by humans are
 - a) natural languages
 - b) high-level computer languages
 - c) machine languages
 - d) fetch-execute languages
6. A statement is
 - a) a translation of machine language
 - b) a complete computer command
 - c) a precise description of a problem
 - d) a section of an algorithm

7. One difference between a compiler and an interpreter is
 - a) a compiler is a program
 - b) a compiler is used to translate high-level language into machine language
 - c) a compiler is no longer needed after a program is translated
 - d) a compiler processes source code
8. By convention, the statements of a program are often placed in a function called
 - a) import
 - b) main
 - c) program
 - d) IDLE
9. Which of the following is *not* true of comments?
 - a) They make a program more efficient.
 - b) They are intended for human readers.
 - c) They are ignored by Python.
 - d) In Python, they begin with a pound sign (#).
10. The items listed in the parentheses of a function definition are called
 - a) parentheticals
 - b) parameters
 - c) arguments
 - d) both b) and c) are correct

Discussion

1. Compare and contrast the following pairs of concepts from the chapter:
 - a) Hardware vs. Software
 - b) Algorithm vs. Program
 - c) Programming Language vs. Natural Language
 - d) High-Level Language vs. Machine Language
 - e) Interpreter vs. Compiler
 - f) Syntax vs. Semantics
2. List and explain in your own words the role of each of the five basic functional units of a computer depicted in Figure 1.1.
3. Write a detailed algorithm for making a peanut butter and jelly sandwich (or some other everyday activity). You should assume that you are talking to someone who is conceptually able to do the task, but has never actually done it before. For example, you might be telling a young child.

4. As you will learn in a later chapter, many of the numbers stored in a computer are not exact values, but rather close approximations. For example, the value 0.1 might be stored as 0.10000000000000000555. Usually, such small differences are not a problem; however, given what you have learned about chaotic behavior in Chapter 1, you should realize the need for caution in certain situations. Can you think of examples where this might be a problem? Explain.
5. Trace through the chaos program from Section 1.6 by hand using 0.15 as the input value. Show the sequence of output that results.

Programming Exercises

1. Start up an interactive Python session and try typing in each of the following commands. Write down the results you see.

- a) `print("Hello, world!")`
- b) `print("Hello", "world!")`
- c) `print(3)`
- d) `print(3.0)`
- e) `print(2 + 3)`
- f) `print(2.0 + 3.0)`
- g) `print("2" + "3")`
- h) `print("2 + 3 =", 2 + 3)`
- i) `print(2 * 3)`
- j) `print(2 ** 3)`
- k) `print(7 / 3)`
- l) `print(7 // 3)`

2. Enter and run the chaos program from Section 1.6. Try it out with various values of input to see that it functions as described in the chapter.
3. Modify the chaos program using 2.0 in place of 3.9 as the multiplier in the logistic function. Your modified line of code should look like this:

```
x = 2.0 * x * (1 - x)
```


4. Modify the chaos program so that it prints out 20 values instead of 10.
5. Modify the chaos program so that the number of values to print is determined by the user. You will have to add a line near the top of the program to get another value from the user:

6. The calculation performed in the chaos program can be written in a number of ways that are algebraically equivalent. Write a version of the program for each of the following ways of doing the computation. Have your modified programs print out 100 iterations of the calculation and compare the results when run on the same input.

7. (Advanced) Modify the chaos program so that it accepts two inputs and then prints a table with two columns similar to the one shown in Section 1.8. (*Note:* You will probably not be able to get the columns to line up as nicely as those in the example. Chapter 5 discusses how to print numbers with a fixed number of decimal places.)