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# Chapter 1

## Hurwitz Numbers

### 1.1 Maps of Riemann surfaces and Hurwitz numbers

In the following we will assume the Riemann surfaces that we will consider to be compact and connected. Let  $f: X \rightarrow Y$  be a non-constant holomorphic map of Riemann surfaces (hence surjective<sup>1</sup>)

Check where connectedness is needed.

**Definition 1.1.** • The *ramification index* of  $f$  at  $x \in X$  is the integer  $k_x \in \mathbb{Z}_{\geq 1}$  s.t.  $f$  is locally of the form  $z_x^k$ , where  $z$  is a local coordinate centered in  $x$ .

- The *differential length* of  $f$  at  $x \in X$  is  $\nu_x = k_x - 1$ .
- A point  $x \in X$  s.t.  $\nu_x > 0$  is called a *ramification point*. The *ramification locus* of  $f$  is the set of its ramification points. If  $\nu_x = 0$  we say that  $f$  is *unramified* at  $x$ . If  $\nu_x = 1$  we say that  $f$  has *simple ramification* at  $x$ .
- If  $x \in X$  is a ramification point, then  $f(x) \in Y$  is called a *branch point*. The *branch locus*  $B_f$  of  $f$  is the set of its branch points.

The ramification locus and branch locus are finite sets of  $X$  and  $Y$  respectively.<sup>2</sup> We assume  $f$  non-constant. For any  $y, y' \in Y \setminus B_f$  we have  $|f^{-1}(y)| = |f^{-1}(y')|$ .<sup>3</sup> We call *degree* of  $f$  the integer  $\deg f := |f^{-1}(y)|$  for any  $y \in Y \setminus B_f$ . Then:

**Theorem 1.2** (Riemann-Hurwitz [CM16, Thm. 4.4.1]). *Let  $g_X$  and  $g_Y$  denote the genus of  $X$  and  $Y$  respectively. Then*

$$(1.3) \quad \underbrace{2g_X - 2}_{\chi(X)} = \underbrace{(2g_Y - 2)}_{\chi(Y)} \deg f + \sum_{x \in X} \nu_x$$

We also have that for any  $y \in Y$

$$(1.4) \quad \deg f = \sum_i k_{x_i}$$

where  $i$  labels the elements of  $f^{-1}(y) = \{x_1, \dots, x_n\}$ . Denote  $d := \deg f$ .

<sup>1</sup>[CM16, Thm. 4.3.1]

<sup>2</sup>[CM16, Lemma 4.2.5.]

<sup>3</sup>[CM16, Thm. 4.3.3]

**Definition 1.5.** Let  $y \in Y$ ,  $f^{-1} = \{x_1, \dots, x_n\}$ . We call *ramification profile* of  $f$  at  $y$  the partition of  $d$  given by  $(k_{x_1}, \dots, k_{x_n})$ . We say that  $f$  is *unramified* / *simply ramified* / *fully ramified* if its ramification profile is  $(1, \dots, 1)$  /  $(2, 1, \dots, 1)$  /  $(d)$  respectively.

**Definition 1.6.** Two holomorphic maps of Riemann surfaces  $f: X \rightarrow Y$  and  $\tilde{X} \rightarrow Y$  are called isomorphic if there is an *isomorphism* of Riemann surfaces  $\phi: X \rightarrow \tilde{X}$  s.t.  $f = g \circ \phi$ .

An *automorphism* of  $f: X \rightarrow Y$  is an isomorphism  $\psi: X \rightarrow X$  s.t.  $f = f \circ \psi$ . The group of automorphisms of  $f$  is denoted  $\text{Aut}(f)$ .

**Definition 1.7.** Let  $Y$  be a connected compact Riemann surface of genus  $g$ ,  $B = \{b_1, \dots, b_n\} \subset Y$  finite subset,  $d \in \mathbb{Z}_{\geq 1}$ ,  $\eta_1, \dots, \eta_n \vdash d$ . We define the *(degree  $d$ ) connected Hurwitz number* to be

$$(1.8) \quad H_{h \xrightarrow{d} g}^{\circ}(\eta_1, \dots, \eta_n) = \sum_{[f]} \frac{1}{|\text{Aut}(f)|}$$

and the *(degree  $d$ ) Hurwitz number* to be

$$(1.9) \quad H_{h \xrightarrow{d} g}^{\bullet}(\eta_1, \dots, \eta_n) = \sum_{[f]} \frac{1}{|\text{Aut}(f)|}$$

where both sums runs over isomorphism classes of holomorphic maps  $f: X \rightarrow Y$  s.t.

- $X$  is a compact Riemann surface of genus  $h$ <sup>4</sup>
- the branch locus of  $f$  is  $B$
- the ramification profile of  $f$  at  $b_i$  is  $\eta_i$

In the case of connected Hurwitz numbers we further require  $X$  to be connected. Numbers (1.9) are also called *disconnected Hurwitz number* since  $X$  is allowed to be disconnected.

Note that for any  $f: X \rightarrow Y$  as in the definition above we have

$$(1.10) \quad \sum_{x \in X} \nu_x = \sum_{i=1}^n (d - \ell(\eta_i)) = nd - \sum_{i=1}^n \ell(\eta_i)$$

where  $\ell(\eta_i)$  denotes the length of the partition  $\eta_i$  (i.e. the number of cycles in  $\eta_i$ ), so due to Riemann-Hurwitz theorem we have

$$(1.11) \quad 2h - 2 = (2g - 2)d + nd - \sum_{i=1}^n \ell(\eta_i)$$

In particular the ramification profile of  $f: X \rightarrow Y$  and the genus of  $Y$  uniquely determines the genus of  $X$ .

## 1.2 Maps of Riemann surfaces as ramified covers

Maps of Riemann surfaces as above can be regarded as ramified covers:

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<sup>4</sup>For  $X$  disconnected, its genus is defined by  $\chi = 2g - 2$ , where the Euler characteristic  $\chi$  is naturally additive under disjoint unions. The Riemann-Hurwitz formula then applies identically in also for  $X$  disconnected.

**Definition 1.12.** A *ramified cover* is a continuous function between compact topological surfaces  $f: X \rightarrow Y$  s.t. there is a finite set  $B \subset Y$  and

- $f^{-1}(B)$  is finite,
- $p: X \setminus f^{-1}(B) \rightarrow Y \setminus B$  is a covering.

Vice-versa, we have

**Theorem 1.13** (Riemann's Existence Thm. [CM16, Thm. 6.2.2]). *Let  $Y$  compact Riemann surface,  $X^0$  topological surface,  $\{b_1, \dots, b_n\} \subset Y$  finite subset,  $f^0: X^0 \rightarrow Y \setminus \{b_1, \dots, b_n\}$  covering of finite degree. Then there exists a unique (up to isomorphisms) compact Riemann surface  $X$  s.t.*

- $X^0$  is a dense subset of  $X$ ,
- $f^0$  extends to a holomorphic map of Riemann surfaces  $f: X \rightarrow Y$ .

**Definition 1.14.** Let  $y_0 \in Y \setminus B_f$  and fix a bijection  $f^{-1}(y_0) \cong \{1, \dots, d\}$ . The ramified cover  $f: X \rightarrow Y$  determines a group homomorphism

$$(1.15) \quad \Phi: \pi_1(Y \setminus B_f, y_0) \rightarrow S_d, \quad \gamma \mapsto \sigma_\gamma$$

called *monodromy representation*.

Now consider  $b \in B_f$  and  $\gamma \in \pi_1(Y \setminus B_f, y_0)$  simple loop winding once around  $b$  (and with zero winding number around the other branch points). If the ramification profile of  $f$  at  $b$  is  $\eta = (k_1, \dots, k_l)$ , then  $\sigma_\gamma$  has cycle type  $\eta$  (to see this recall that the local expression of  $f$  around ramification points is  $z^k$  and consider a circle around  $y_0$  of unit radius in the chart).

**Definition 1.16.** Let  $Y$  be a connected Riemann surface of genus  $g$ ,  $y_0, b_1, \dots, b_n \in Y$  points,  $d \in \mathbb{Z}_{\geq 1}$  and  $\eta_1, \dots, \eta_n \vdash d$ . A *monodromy representation of type  $(g, d, \eta_1, \dots, \eta_n)$*  is a group homomorphism  $\Phi: \pi_1(Y \setminus \{b_1, \dots, b_n\}, y_0) \rightarrow S_d$  s.t. if  $\gamma_k$  is a small loop around  $b_k$  then  $\Phi(\gamma_k)$  has cycle type  $\eta_k$ .

If moreover the subgroup  $\text{im } \Phi \subset S_d$  acts transitively on  $\{1, 2, \dots, d\}$  we say that  $\Phi$  is a *connected monodromy representation*.

We obtained that a degree  $d$  map  $f: X \rightarrow Y$  between compact connected Riemann surfaces s.t. the ramification profile over each branch point is  $\eta_i$  gives rise to a connected monodromy representation  $\Phi$  of type  $(g_Y, d, \eta_1, \dots, \eta_n)$ . If we let  $X$  to be non connected, then the monodromy representation may not be connected anymore, more precisely: the monodromy representation is connected if and only if  $X$  is connected.<sup>5</sup> We also have that isomorphic maps give the same monodromy representation.

Conversely:

**Theorem 1.17** ([CM16, Thm. 7.2.2]). *Let  $Y$  be a Riemann surface of genus  $g$ ,  $\Phi$  a monodromy representation of type  $(g, d, \eta_1, \dots, \eta_n)$ ,  $B = \{b_1, \dots, b_n\} \subset Y$  a finite subset. Then exists a holomorphic map of Riemann surface covering  $Y$  with branch locus  $B$  whose associated monodromy is  $\Phi$ . Such map is unique up to isomorphisms.*

Our discussion leads to a bijection between isomorphisms classes of holomorphic maps with a given ramification profile and monodromy representations. More precisely:

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<sup>5</sup>For further details: [CM16, §§7.1].

**Theorem 1.18** ([CM16, Thm. 7.3.1, Thm. 7.3.2]). *Let  $M^\circ$  (resp  $M^\bullet$ ) be the set of connected monodromy representations (resp. monodromy representations) of type  $(g, d, \eta_1, \dots, \eta_n)$ . Then*

$$(1.19) \quad H_{h \rightarrow g}^\circ(\eta_1, \dots, \eta_n) = \frac{|M^\circ|}{d!}$$

and

$$(1.20) \quad H_{h \rightarrow g}^\bullet(\eta_1, \dots, \eta_n) = \frac{|M^\bullet|}{d!}$$

where  $h$  is determined by (1.11).

Although the information carried by connected Hurwitz numbers is usually more interesting for geometrical purposes, it turns out that it is easier to compute the (possibly disconnected) Hurwitz numbers. We will see later how it is possible to recover the connected Hurwitz numbers from the disconnected ones.

We mention that using some “degeneration formulas” (which heuristically correspond to shrink the Riemann surface  $Y$  producing nodal curves) all disconnected degree  $d$  Hurwitz numbers are determined in terms of Hurwitz numbers of the form  $H_{h \rightarrow 0}^\bullet(\eta_1, \eta_2, \eta_3)$ .<sup>6</sup> For this (and other) reason we later restrict our discussion to the case  $g = 0$ .

### 1.3 Interlude: representation theory of $S_d$

Using theorem 1.18 the problem of computing degree  $d$  Hurwitz numbers can be translated into a problem in representation theory of the symmetric group  $S_d$ . In order to show this we need some facts about representation theory (see [FH04, Part I] and [CM16, §8] for more details).

**Definition 1.21.** The *group algebra* of the symmetric group  $S_d$  is the complex algebra generated by the elements of  $S_d$ , that is

$$(1.22) \quad \mathbb{C}[S_d] := \left\{ \sum_{\sigma \in S_d} a_\sigma \sigma \mid a_\sigma \in \mathbb{C} \right\}$$

with operations

$$(1.23) \quad \sum_{\sigma \in S_d} a_\sigma \sigma + \sum_{\sigma \in S_d} b_\sigma \sigma = \sum_{\sigma \in S_d} (a_\sigma + b_\sigma) \sigma$$

$$(1.24) \quad \left( \sum_{\sigma \in S_d} a_\sigma \sigma \right) \cdot \left( \sum_{\sigma \in S_d} b_\sigma \sigma \right) = \sum_{\sigma \in S_d} \sum_{\sigma' \in S_d} a_\sigma b_{\sigma'} (\sigma \cdot \sigma')$$

$$(1.25) \quad t \cdot \left( \sum_{\sigma \in S_d} a_\sigma \sigma \right) = \sum_{\sigma \in S_d} (ta_\sigma) \sigma$$

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<sup>6</sup>[CM16, Thm. 7.5.3]

where  $t \in \mathbb{C}$ . Expression in the r.h.s. of (1.24) (before multiplying  $\sigma$  and  $\sigma'$ ) is called formal expansion of the product.

We define *class algebra* of  $S_d$  the center of the group algebra

$$(1.26) \quad \mathcal{Z}\mathbb{C}[S_d] = \{x \in \mathbb{C}[S_d] \mid yx = xy \text{ for all } y \in \mathbb{C}[S_d]\}$$

The following functions are very important for our discussion:

**Definition 1.27.** A *class function* on  $S_d$  is a map  $\alpha: S_d \rightarrow \mathbb{C}$  which is constant on conjugacy classes, i.e.  $\forall h \in S_d$  we have  $\alpha(h^{-1}gh) = \alpha(h)$ . Let  $\mathbb{C}_{\text{class}}$  denote the vector space of class functions on  $S_d$ . We define on  $\mathbb{C}_{\text{class}}$  the following Hermitian inner product

$$(1.28) \quad (\alpha, \beta) := \frac{1}{d!} \sum_{\sigma \in S_d} \alpha(\sigma) \overline{\beta(\sigma)} = \frac{1}{d!} \sum_{C \subset S_d} |C| \alpha(C) \overline{\beta(C)}$$

for any  $\alpha, \beta \in \mathbb{C}_{\text{class}}$ , where  $\sum_C$  runs over the conjugacy classes of  $S_d$ .

We have the following

**Lemma 1.29** ([FH04, §§3.4]).

$$(1.30) \quad \mathcal{Z}\mathbb{C}[S_d] = \left\{ \sum_{\sigma \in S_d} \alpha(\sigma) \sigma \mid \alpha \in \mathbb{C}_{\text{class}} \right\}$$

For  $\eta \vdash d$  denote by  $C_\eta \subset S_d$  the conjugacy class corresponding to all elements of cycle type  $\eta$ . Let  $\alpha_\eta: S_d \rightarrow \mathbb{C}$  the class function which takes value 1 on elements of  $C_\eta$  and 0 otherwise. It is clear that the set  $\{\alpha_\eta \mid \eta \vdash d\}$  gives a basis of  $\mathbb{C}_{\text{class}}$ . Let  $c_\eta \in \mathcal{Z}\mathbb{C}[S_d]$  denote the corresponding element in the center of the group algebra, that is

$$(1.31) \quad c_\eta = \sum_{\sigma \in S_d} \alpha_\eta(\sigma) \sigma = \sum_{\sigma \in C_\eta} \sigma$$

We get that  $\{c_\eta \mid \eta \vdash d\}$  form a basis of  $\mathcal{Z}\mathbb{C}[S_d]$  as a complex vector space, called *conjugacy class basis*

$$(1.32) \quad \mathcal{Z}\mathbb{C}[S_d] = \bigoplus_{\eta \vdash d} \langle c_\eta \rangle_{\mathbb{C}}$$

Note that the identity element  $c_e$  in  $\mathcal{Z}\mathbb{C}[S_d]$  corresponds to the partition  $e = (1, \dots, 1)$ .

A (complex) representation of  $S_d$  is a homomorphism  $\rho: S_d \rightarrow \text{Aut}(V_\rho)$  making the finite dimensional complex vector space  $V_\rho$  into a  $S_d$ -module. We define the dimension of the representation to be  $\dim \rho := \dim_{\mathbb{C}} V_\rho$ . Given any representation  $\rho$ , notice that this extends to a homomorphism  $\mathbb{C}[S_d] \rightarrow \text{End}(V_\rho)$ , making  $V_\rho$  into a  $\mathbb{C}[S_d]$ -module.

Representations of  $S_d$  are described by their characters, which are defined as follows:

**Definition 1.33.** Let  $\rho$  be a representation of  $S_d$ . The *character* of  $\rho$  is the class function  $\chi_\rho \in \mathbb{C}_{\text{class}}$  defined by

$$(1.34) \quad \chi_\rho(\sigma) := \text{tr}(\rho(\sigma))$$

The fact that  $\chi_\rho$  is a class function follows from the cyclicity of the trace. From the definition it follows that  $\chi_\rho$  does not depend on the choice of basis for  $V_\rho$  (due to the corresponding property of the trace) and that  $\chi_\rho(e) = \dim \rho$  (since  $\rho(e) = \text{id}$ ). It is also easy to see that  $\chi_{\rho_1 \oplus \rho_2} = \chi_{\rho_1} + \chi_{\rho_2}$ .

A representation  $\rho$  is *irreducible* if  $V_\rho$  does not contain any nontrivial  $S_d$ -submodules. For complex representation we have the following fundamental result

**Theorem 1.35** ([FH04, Thm. 2.12]). *In terms of the inner product (1.28) the characters of the irreducible representations of  $S_d$  are orthonormal:*

$$(1.36) \quad (\chi_{\rho_1}, \chi_{\rho_2}) = \begin{cases} 1 & \text{if } \rho_1 \cong \rho_2 \\ 0 & \text{if } \rho_1 \not\cong \rho_2 \end{cases}$$

where  $\rho_1, \rho_2$  are irreducible representations.

Any complex representation decomposes uniquely into the direct sum of irreducible representations. More precisely, we have

$$(1.37) \quad R = \bigoplus_{\rho} V_{\rho}^{\oplus \dim V_{\rho}}$$

where  $R$  is the obvious representation of  $S_d$  on  $\mathbb{C}[S_d]$ , called *regular representation*, while the (big) direct sum runs over all the irreducible representations of  $S_d$ . This also implies the following isomorphism of algebras

$$(1.38) \quad \mathbb{C}[S_d] \cong \bigoplus_{\rho} \text{End}(V_{\rho})$$

which is defined extending  $S_d \rightarrow \bigoplus_{\rho} \text{End}(V_{\rho})$  by linearity.

**Theorem 1.39** ([FH04, Thm. 4.3]). *To each partition  $\lambda \vdash d$  corresponds a unique irreducible representation  $V_{\lambda}$  of  $S_d$ . The corresponding character, denoted  $\chi^{\lambda}$ , is given by Frobenius formula [FH04, Eq. 4.10]. In particular  $\chi^{\lambda}$  is a real class function.*

By dimensional arguments, we get that characters of irreducible representations form another basis of  $\mathbb{C}_{\text{class}}$ . Moreover, denoting

$$(1.40) \quad e_{\lambda} := \sum_{\sigma \in S_d} \chi^{\lambda}(\sigma) \sigma$$

we get that  $\{e_{\lambda} \mid \lambda \vdash d\}$  gives another basis of  $\mathbb{Z}\mathbb{C}[S_d]$

$$(1.41) \quad \mathbb{Z}\mathbb{C}[S_d] = \bigoplus_{\lambda \vdash d} \langle e_{\lambda} \rangle \mathbb{C}$$

which will be called *character basis* or *idempotent basis*. Indeed from characters orthogonality (and the fact that  $\overline{\chi^{\lambda}} = \chi^{\lambda}$ ) we get

$$(1.42) \quad e_{\lambda_i} \cdot e_{\lambda_j} = \begin{cases} e_{\lambda_i} & \text{if } e_{\lambda_i} = e_{\lambda_j} \\ 0 & \text{otherwise} \end{cases}$$



Since  $\mathcal{Z}\mathbb{C}[S_d]$  admits a basis of idempotent elements, we say that it is a *semisimple algebra*. The formulas for the change of basis are given by the characters themselves

$$(1.43) \quad e_\lambda = \frac{\dim \lambda}{d!} \sum_{\eta \vdash d} \chi^\lambda(C_\eta) c_\eta \quad \text{and} \quad c_\eta = |C_\eta| \sum_{\lambda \vdash d} \frac{\chi^\lambda(C_\eta)}{\dim \lambda} e_\lambda$$

where  $\dim \lambda := \dim V_\lambda$ . In order to simplify the notation, set also  $\chi_\eta^\lambda := \chi^\lambda(C_\eta)$ .

## 1.4 Burnside's formula

Now we are ready to use the notions that we introduced in order to rewrite the expression of Hurwitz numbers in terms of characters of the irreducible representations of  $S_d$ . Existence of a idempotent basis for  $\mathcal{Z}\mathbb{C}[S_d]$  and formulas (1.43) will be crucial.

Recall that

$$(1.44) \quad H_{h \rightarrow g}^\bullet(\eta_1, \dots, \eta_n) = \frac{|M^\bullet|}{d!}$$

where  $M^\bullet$  is the set of monodromy representations of type  $(g, d, \eta_1, \dots, \eta_r)$  where  $\eta_1, \dots, \eta_r$  are partitions of  $d$ .

In order to account for the case  $g \neq 0$  one needs

**Definition 1.45.** Let  $d \in \mathbb{Z}_{\geq 1}$ ,  $\eta \vdash d$ . Denote by  $\xi(\eta)$  the centralizer of  $C_\eta$ . We define the *kommutator* to be the element

$$(1.46) \quad \mathfrak{K} := \sum_{\eta \vdash d} |\xi(\eta)| c_\eta^2 \in \mathcal{Z}\mathbb{C}[S_d]$$

Then we have the following

**Proposition 1.47** ([CM16, Prop. 9.2.3]).

$$(1.48) \quad H_{h \rightarrow g}^\bullet(\eta_1, \dots, \eta_n) = \frac{1}{d!} [c_e] \mathfrak{K}^g c_{\eta_n} \cdots c_{\eta_2} c_{\eta_1}$$

where  $[c_e] \mathfrak{K}^g c_{\eta_n} \cdots c_{\eta_2} c_{\eta_1}$  denotes the coefficient of  $c_e$  after writing the product  $\mathfrak{K}^g c_{\eta_n} \cdots c_{\eta_2} c_{\eta_1}$  as a linear combination of the basis elements  $c_\eta \in \mathcal{Z}\mathbb{C}[S_d]$ . As usual  $h$  is determined by Riemann-Hurwitz formula.

By changing basis from the conjugacy basis to the idempotent basis we get

**Theorem 1.49** (Burnside's Character Formula [CM16, Thm. 9.3.1]).

$$(1.50) \quad H_{h \rightarrow g}^\bullet(\eta_1, \dots, \eta_n) = \sum_{\lambda \vdash d} \left( \frac{\dim \lambda}{d!} \right)^{2-2g} \prod_{i=1}^n f_{C_i}(\lambda)$$

where

$$(1.51) \quad f_{C_i}(\lambda) := |C_i| \frac{\chi^\lambda(C_i)}{\dim \lambda}$$

and  $C_i := C_{\eta_i}$ .

Maybe add proof for  $g = 0$ .

Recall that from the change of basis formula,

$$(1.52) \quad c_\eta = \sum_{\lambda \vdash d} f_{C_\eta}(\lambda) e_\lambda$$

From Bournside's formula we see that such coefficients for the change of basis correspond to the contribution of the ramification profile  $\eta$  to the disconnected Hurwitz numbers.

## 1.5 The generating function

In the following we will restrict ourself to the case of  $g = 0$ . Recall that there are some degeneration formulas which allows to express all the Hurwitz numbers in terms of those for  $g = 0$ . For a similar discussion for arbitrary  $g$  see [CM16, §10]. We will follow instead [Oko00, §§2.2, 2.3].

For  $g = 0$  the Riemann-Hurwitz formula implies

$$(1.53) \quad 2h - 2 = -2d + nd - \sum_{i=1}^n \ell(\eta_i)$$

and since this fixes  $h$  in terms of  $(d, \eta_1, \dots, \eta_n)$ , we simply denote

$$(1.54) \quad H_d^\bullet(\eta_1, \dots, \eta_n) := H_{h \rightarrow 0}^\bullet(\eta_1, \dots, \eta_n) = \sum_{\lambda \vdash d} \left( \frac{\dim \lambda}{d!} \right)^2 \prod_{i=1}^n f_{C_j}(\lambda)$$

Let  $b$  be the number of branch points which have simple ramification, i.e.  $\eta = (2)$ . We denote

$$(1.55) \quad H_{d,b}^\bullet(\eta_1, \dots, \eta_{n-b}) := H_d^\bullet(\eta_1, \dots, \eta_{n-b}, \underbrace{(2), \dots, (2)}_{b \text{ times}}) = \sum_{\lambda \vdash d} \left( \frac{\dim \lambda}{d!} \right)^2 f_2(\lambda)^b \prod_{i=1}^{n-b} f_{C_j}(\lambda)$$

where  $f_2 := f_{C_{(2)}}$ . Analogous definitions hold for connected Hurwitz numbers, replacing  $H^\bullet$  with  $H^\circ$ .

Rather than considering the different Hurwitz numbers separately, it worth to collect them together into generating functions. Fix  $m \in \mathbb{Z}_{\geq 0}$  to be the number of branch points with non-simple ramification profile. Then

**Definition 1.56.** Let  $\{p_{i,j}\}$  and  $q$  be some variables,  $i \in \{1, \dots, m\}$ ,  $j \in \mathbb{Z}_{\geq 0}$ . Then we define the *Hurwitz potential* to be

$$(1.57) \quad \mathfrak{H}^\bullet(p_{i,j}, q, \beta) := \sum_{d,b=0}^{\infty} q^d \frac{\beta^b}{b!} \sum_{\eta_1 \vdash d} \cdots \sum_{\eta_m \vdash d} p_1^{\eta_1} \cdots p_m^{\eta_m} H_{d,b}^\bullet(\eta_1, \dots, \eta_m)$$

where for  $\eta = (l_1, \dots, l_k) \vdash d$  we have

$$(1.58) \quad p_i^\eta := (p_{i,1}^{l_1} + \cdots + p_{i,d}^{l_1}) \cdots (p_{i,1}^{l_k} + \cdots + p_{i,d}^{l_k})$$

An analogous definition holds for the *connected Hurwitz potential*  $\mathfrak{H}^\circ$ .

For fixed  $i$ , the polynomials of the form  $p^\eta$  for all partitions  $\eta \vdash d$  form a basis for the space of all homogeneous polynomials of degree  $d$  in  $d$  variables with rational coefficients. They are called *power sum polynomials*. Therefore, given  $\mathfrak{H}$ , it can be expanded uniquely as in (1.57) giving all the Hurwitz numbers. For more details on power sum polynomials see [FH04, §A] or [MZ15, Part I].

We see that in the expansion of the Hurwitz potential

- $q$  keeps track of the degree  $d$
- $\beta$  keeps track of the number of simple ramification points (we divided by  $b!$  in order to not distinguish between them)
- $i$  indicizes the non-simple ramification points
- $j$  gives the ramification profiles

The first advantage of considering generating function in place of the single Hurwitz numbers is

**Theorem 1.59** ([CM16, Thm. 10.2.1]).

$$(1.60) \quad 1 + \mathfrak{H}^\bullet = e^{\mathfrak{H}^\circ}$$

Moreover, for any  $\lambda \vdash d$ , we have the following relation

$$(1.61) \quad s_\lambda(p_1, \dots, p_d) = \frac{1}{d!} \sum_{\eta \vdash d} \chi_\eta^\lambda |C_\eta| p^\eta$$

where  $s_\lambda$  is the *Schur polynomial* associated to  $\lambda$ , and is homogeneous of degree  $d$ , see [FH04, §A, Prop. 4.37, Ex. A.29] or [MZ15, Part I] (note the similarity with the base change  $c_\eta \rightarrow e_\lambda$ ). Putting formulas together we get

$$(1.62) \quad \begin{aligned} \mathfrak{H}^\bullet(p_{i,j}, q, \beta) &= \sum_{d,b=0}^{\infty} q^d \frac{\beta^b}{b!} \sum_{\eta_1 \vdash d} \cdots \sum_{\eta_m \vdash d} p_1^{\eta_1} \cdots p_m^{\eta_m} \sum_{\lambda \vdash d} \left( \frac{\dim \lambda}{d!} \right)^2 f_2(\lambda)^b \prod_{i=1}^m f_{C_i}(\lambda) \\ &= \sum_{d=0}^{\infty} q^d \sum_{\lambda \vdash d} e^{\beta f_2(\lambda)} \left( \frac{\dim \lambda}{d!} \right)^{2-m} \sum_{\eta_1 \vdash d} \cdots \sum_{\eta_m \vdash d} p_1^{\eta_1} \cdots p_m^{\eta_m} \prod_{i=1}^m d! |C_i| \chi^\lambda(C_i) \\ &= \sum_{d=0}^{\infty} q^d \sum_{\lambda \vdash d} e^{\beta f_2(\lambda)} \left( \frac{\dim \lambda}{d!} \right)^{2-m} \prod_{i=1}^m s_\lambda(p_{i,1}, \dots, p_{i,d}) \end{aligned}$$

The case  $m = 2$  correspond to the so called *double Hurwitz numbers*, which are the ones we are interested in. For a reason that will be more clear later we denote the corresponding Hurwitz potential by  $\tau$

$$(1.63) \quad \begin{aligned} \tau(p_j, p'_j, q, \beta) &= \sum_{d=0}^{\infty} q^d \sum_{\lambda \vdash d} e^{\beta f_2(\lambda)} s_\lambda(p_1, \dots, p_d) s_\lambda(p'_1, \dots, p'_d) \\ &= \sum_{\lambda} q^{|\lambda|} e^{\beta f_2(\lambda)} s_\lambda(P) s_\lambda(P') \end{aligned}$$

where  $\sum_{\lambda}$  runs over the partitions of arbitrary integers and  $|\lambda|$  is the size of the partition  $\lambda$ ,  $\lambda \vdash |\lambda|$ . We used  $P$  and  $P'$  to denote the set of variables  $\{p_1, p_2, \dots\}$  and  $\{p'_1, p'_2, \dots\}$  respectively (of course  $s_\lambda$  depends only on the first  $|\lambda|$  variables).

Before moving on, notice that for double Hurwitz numbers the Riemann-Hurwitz formula gives

$$(1.64) \qquad 2h = b + 2 - \ell(\eta) - \ell(\eta')$$

Our generating function for double Hurwitz numbers takes a very simple form, it only remains to rewrite  $f_2(\lambda)$  in a nicer way. To do this we will use the Frobenius formula combined with the *half-infinite wedge formalism*. Regarding the first, note that we did not write the general Frobenius formula, since it is quite complicated. However it has a nicer expression in the special case where  $C_\eta = (2)$ , that is the case needed to compute  $f_2$ .

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