

Tue Jan 4

Two Major Fund. Theoretical Results in 20th Cent.

1. Claude Shannon - communication theory
2. Alan Turing - computational theory

Main Theme

1. Languages
2. Grammars
3. Automata

- parsing techniques
- equivalence
- computational complexity

Ch. 1 Finite Automata + Regular Exp.

- neural networks, switching theory
- lexical analysis, txt editors, etc

Ch 2 Context Free Grammars

-
- automatic parse generators

Ch 3 Turing Machines + Computability

- most powerful computer
- undecidability
- complexity theory

Language

(sigma) Σ = set of symbols alphabet

EX: $\Sigma = \{0, 1\}$

(omega) w = string of alphabet

$w = 101100$

Concatenation of Strings

$w_1 = a_1 a_2 \dots a_n$

EX:

$w_1 = 1011$

$w_2 = b_1 b_2 \dots b_n$

$w_2 = 0011$

$w_1 w_2 = a_1 a_2 \dots a_n b_1 b_2 \dots b_n$

$w_1 w_2 = 10110011$

Reverse of a String

$w = a_1 a_2 \dots a_n$

$w^R = a_n a_{n-1} \dots a_2 a_1$

EX:

$w = 01101$

$w^R = 10110$

Length of a string

$|w| = \# \text{ of alphabets in that string}$

EX: $w = 10110$
 $|w| = 5$

Star

Σ^* - set of all strings that can be obtained from Σ

Language

$$L \subseteq \Sigma^*$$

EX: $\Sigma = \{0, 1\}$

1. $L_1 = \{010, 101\}$

2. $L_2 = \{0^m 1^n \mid m, n \geq 1\}$

3. $L_3 = \{0^n 1^n \mid n \geq 1\}$

4. $\Sigma = \{0, 1, 2\}$

$L = \{0^n 1^n 2^n \mid n \geq 1\}$

regular expression

Turing machine accepts this language
'recursively enumerable language'

$$RL \subset CFL \subset REL$$

Concatenation of Languages

$L_1 + L_2$ are two languages

$$L_1, L_2$$

EX: $\Sigma = \{a, b, c\}$

$L_1 = \{ab, abc\}$

$L_2 = \{bc, aabc\}$

$L_1 L_2 = \{abbc, abaabc, abcabc, abcaabc\}$

$$|L_1| = m \quad |L_2| = n \quad |L_1 L_2| = mn$$

Star closure

$L = \text{language}$

$$L^* = \{L^0 \cup L^1 \cup L^2 \cup L^3 \dots\}$$

$$\begin{aligned} L^0 &= \{\lambda\} & L^n &= L^{n-1} L \\ L^1 &= L & L^2 &= LL \\ L^3 &= L^2 L & L^4 &= L^3 L \end{aligned}$$

EX: $\Sigma : \{0, 1\}$ $L: \{0, 1\}$

$L^0 = \{\lambda\}$

$L^1 = \{0, 1\}$

$L^2 = LL = \{00, 01, 10, 11\}$

$L^3 = L^2 L = \{000, 001, 010, 011, 100, 101, 110, 111\}$

$$Ex: \quad \Sigma = \{0, 1\}$$
$$L = \{0\}$$

$$L^0 = \{\}$$

$$L^1 = \{0\}$$

$$L^2 = LL = \{0, 0\}$$

$$L^3 = L^2 L = \{000\}$$

$$L^n = \{x, 0^n \mid n \geq 1\}$$

Thu Jan 6, 2022

Proof Techniques

1. Direct Proof

2. Proof by Induction

3. Proof by Contradiction

Ask all q. in advance

$$\begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 & 1 & 0 & 1 \end{bmatrix}$$

$$\begin{array}{r} \text{check bit} \\ \hline 1 & 0 & 1 & 0 \\ & \boxed{1} \\ 1 & 1 & 1 & 0 \end{array}$$

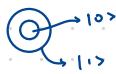
find an error w/ check bit

Current

0, 1

Quantum
qubits

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$



read
 $\text{prob}(0) = \alpha^2$
 $\text{prob}(1) = \beta^2$
 $\alpha^2 + \beta^2 = 1$

$$N = pq$$

$7 \times 5 = 35$ vs find factors of 35

Direct Proof

DeMorgan's Theorem

$$S_1 \text{ and } S_2 \text{ are two sets}$$

$$\overline{S_1 \cap S_2} = \overline{S_1} \cup \overline{S_2}$$

Normal

$$\text{If we want to prove } A = B \Rightarrow A \subseteq B \Rightarrow A = B$$

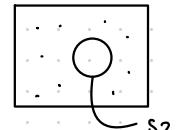
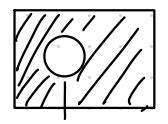
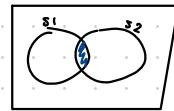
$$B \subseteq A$$

$$\overline{S_1 \cap S_2} \subseteq \overline{S_1} \cup \overline{S_2} \quad -\textcircled{1}$$

$$\overline{S_1} \cup \overline{S_2} \subseteq \overline{S_1 \cap S_2} \quad -\textcircled{2}$$

$$\begin{aligned} x \in \overline{S_1 \cap S_2} \\ \Rightarrow x \notin S_1 \cap S_2 \\ \Rightarrow x \in \overline{S_1} \cup \overline{S_2} \quad -\text{I} \\ \Rightarrow \overline{S_1 \cap S_2} \subseteq \overline{S_1} \cup \overline{S_2} \end{aligned}$$

$$\begin{aligned} \textcircled{2} \quad y \in \overline{S_1} \cup \overline{S_2} \\ \Rightarrow y \in \overline{S_1} \text{ or } y \in \overline{S_2} \\ \Rightarrow y \notin S_1 \text{ or } y \notin S_2 \\ \Rightarrow y \notin S_1 \cap S_2 \\ \Rightarrow y \in \overline{S_1 \cap S_2} \quad -\text{II} \end{aligned}$$



Proof by Induction

1. Base case $n=1$ as prove

2. Assume it is true up to $n-1$

3. Prove for n

Example: $\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$

Base Case: $n=1$

$$\text{L.H.S} = \sum_{i=1}^1 i^2 = 1^2 = 1 \quad \text{LHS} = \text{RHS}$$

$$\text{R.H.S} = \frac{1 \cdot 2 \cdot 3}{6} = 1$$

Induction Step: Assume true for up to $n-1$, prove

$$\begin{aligned}\text{L.H.S} &= \sum_{i=1}^n i^2 = 1^2 + 2^2 + 3^2 + \dots + (n-1)^2 + n^2 \\&= \frac{(n-1)n(2(n-1)+1)}{6} + n^2 \quad \hookrightarrow \text{by induction} \\&= \frac{(n-1)n(2n-1)}{6} + n^2 = \frac{n}{6}[2n^2 - 3n + 1 + 6n] \\&= \frac{n}{6}[2n^2 + 3n + 1] \\&= \frac{n}{6}(2n^2 + 2n + n + 1) \\&= \frac{n}{6}(n(2n+1) + 2n+1) \\&= \frac{n}{6}(2n+1)(n+1) \\&= n \frac{(n+1)(2n+1)}{6}\end{aligned}$$

Proof By Contradiction

rational numbers

$$\frac{m}{n} \quad n, m \text{ are rational}$$

$$\frac{2}{3}, \frac{3}{4}, \frac{9}{8}, \dots$$

not rational

$$\frac{1}{\pi}, e, \frac{1}{e}$$

Example: prove $\sqrt{8}$ is not a rational number

Suppose $\sqrt{8}$ is rational number

$$\sqrt{8} = \frac{m}{n} \quad \gcd(m, n) = 1$$

$$n\sqrt{8} = m$$

$$(n\sqrt{8})^2 = m^2$$

$$8n^2 = m^2 \quad \text{LHS = even}$$

$$8n^2 = (2k)^2 \quad \Rightarrow \text{RHS = even}$$

$$8n^2 = 4k^2 \quad m \text{ is even}$$

$$2n^2 = k^2 \quad m = 2k$$

$$2n^2 = (2k_1)^2 = 4k_1^2, \quad \text{LHS = even}$$

$$\Rightarrow n^2 = 2k_1^2 \quad \text{RHS = even}$$

$$n = \text{even} - I$$

$$k \text{ is even}$$

$$k = 2k_1$$

both m and n is even \Rightarrow RHS = even

Assumed $\gcd(m, n) = 1 \Rightarrow$ LHS = odd

∴ contradiction!

Example 2: # of prime numbers is infinite

$$2, 3, 5, 7, 11, 13, 17, 19, \dots$$

Assume the # of primes is finite

$P_1, P_2, \dots, P_N \rightarrow$ finite primes

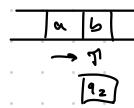
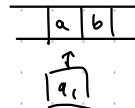
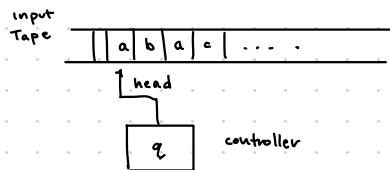
$$M = P_1 P_2 \dots P_N + 1$$

$$M \pmod{P_i} = 1 \pmod{P_i} \quad (= 1, 2, \dots, N)$$

M is not divisible by P_i 's

M is a prime \rightarrow contradiction

∴ # of primes is not finite but infinite



Read the input from the tape, changes the state

$$M = (Q, \Sigma, \delta, F, q_0)$$

Formal Definition

Q - set of states

Σ - input alphabet

$\delta : Q \times \Sigma \rightarrow Q$

$F \subseteq Q \rightarrow$ set of final states

$q_0 \in Q \rightarrow$ initial state

How do you design a DFA which accepts all strings if there exists 3 consecutive a's
 $\Sigma = \{a, b\}$

$$w_1 = ab \underline{aaa} baabb \quad \checkmark$$

$$w_2 = abaa \underline{bbb} aaba \quad X$$

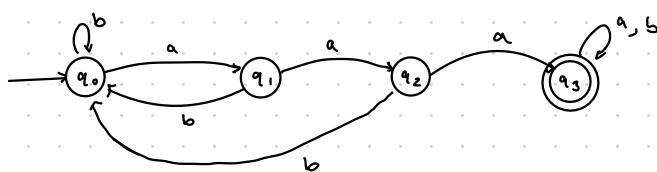
Transition graph

q_0 → initial state

q_1 → first a is read in consecutive 3 a's

q_2 → second a is read "

q_3 → third a



Transition table

	a	b
q_0	q_1	q_0
q_1	q_2	q_0
q_2	q_3	q_0
q_3		q_3

Extended Transition Function

$$\delta^*(q, \lambda) = q \quad \lambda - \text{null symbol}$$

$$\delta^*(q, wa) = \delta(\delta^*(q, w), a)$$

Example:

$$\delta^*(q_0, aab)$$

$$= \delta(\delta^*(q_0, aa) b)$$

$$= \delta(q_2, b) = q_0$$

Language Accepted by DFA

$$L(M) = \{ w \mid \delta^*(q_0, w) \in F \}$$

Example:

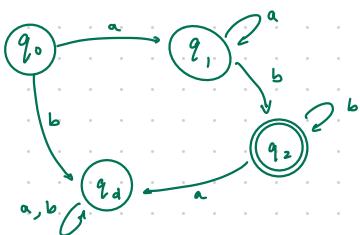
$$abaaaabb = w$$

$$\delta^*(q_0, w) = q_3$$

Example:

$$L(M) = \{ a^n b^m \mid n, m \geq 1 \}$$

$$\Sigma = \{a, b\}$$



Mod function

$$N \bmod M$$

divide N by M , take the remainder

$$0 \leq N \leq M$$

$$(a \cdot b) \bmod m = ((a \bmod m)(b \bmod m)) \bmod m$$

Ex:

$$\begin{aligned} (9 \cdot 8) \bmod 5 &= 2 \\ &= ((9 \bmod 5)(8 \bmod 5)) \bmod 5 \\ &= (4 \cdot 3) \bmod 5 = 2 \end{aligned}$$

$$72 \bmod 5 = 2 \bmod 5$$

$$3^6 \bmod 7 =$$

$$3^1 \bmod 7 = 3 \quad 3^2 \bmod 7 = 2$$

$$3^3 \bmod 7 = (3 \cdot 3^2) \bmod 7 = (3 \cdot 2) \bmod 7 = 6$$

$$3^4 \bmod 7 = (3 \cdot 6) \bmod 7 = 4$$

$$3^5 \bmod 7 = (3 \cdot 4) \bmod 7 = 5$$

$$3^6 \bmod 7 = (3 \cdot 5) \bmod 7 = 1$$

Ex:

$$\Sigma = \{0, 1\}$$

$$w = a_n a_{n-1} \dots a_0$$

Accept w if the binary values of this string is 0 mod 5

$$1 \quad 0 \quad 1 \quad 1 \quad 0$$

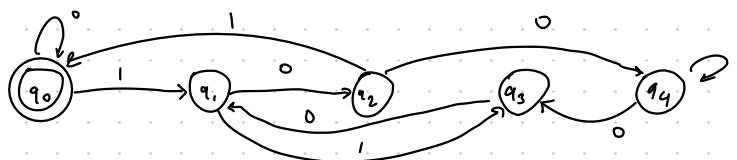
$$2^4 \quad 2^3 \quad 2^2 \quad 2^1 \quad 2^0$$

$$2^4 + 2^2 + 2^1 = 16 + 4 + 2 = 22 \bmod 5 = 2 \times$$

There are 5 states

$$q_i \quad (= 0, 1, 2, 3, 4)$$

$$2 \cdot 2 + 1$$



$$a_{n-1} \quad a_{n-2} \quad \dots \quad a_0$$

$$a_{n-1} \cdot 2^{n-1} + a_{n-2} \cdot 2^{n-2} \dots + a_0$$

$$((a_{n-1} \cdot 2 + a_{n-2}) \cdot 2 + a_{n-3}) \cdot 2 + a_{n-4} \dots + a_0$$

$$\begin{aligned} & 10 \\ & (2 \cdot 1 + 0) \\ & = \frac{2}{q_2} \end{aligned}$$

$$\begin{aligned} & 11 \\ & (1 \cdot 2 + 1) \\ & = \frac{3}{q_3} \end{aligned}$$

$$\begin{aligned} & 100 \\ & = \frac{4}{q_4} \end{aligned}$$

$$\begin{aligned} & 101 \\ & = \frac{5}{q_5} \end{aligned}$$

(4)

$$4 \cdot 3 \cdot 4 \cdot 5$$

$$= 4 \cdot 10^3 + 3 \cdot 10^2 + 4 \cdot 10 + 5$$

mod 5

$$10 \bmod 3 = 1$$

$$10^2 \bmod 3 = 1$$

$$10^3 \bmod 3 = 1$$

$$(4 + 3 + 4 + 5) \bmod 3 = 1$$

(5)

$$\Sigma = \{0, 1\}$$

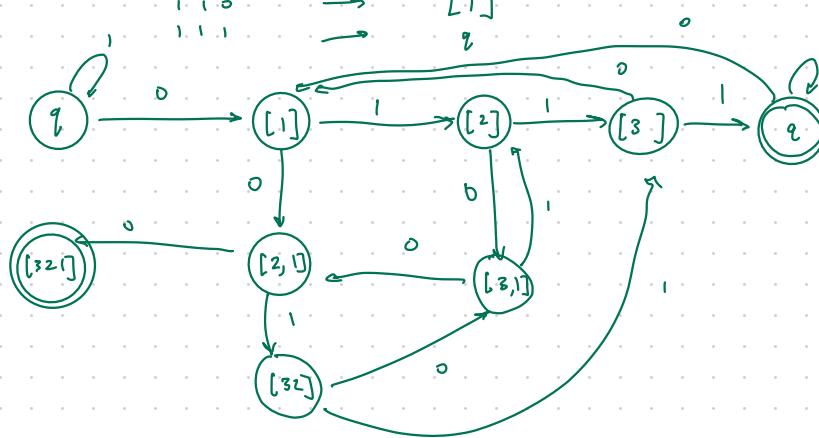
Example 4:

$$\Sigma = \{0, 1\}$$

Accept the strings which have 3rd bit from the last one is '0'1 0 1 1 0 1 1 \uparrow → accept1 1 1 0 1 0 0 \uparrow → don't accept

possible last 3-bits

0 0 0	→	(3 2 1)
0 0 1	→	[3 2]
0 1 0	→	[3 1]
0 1 1	→	[3]
1 0 0	→	[2 1]
1 0 1	→	[2]
1 1 0	→	[1]
1 1 1	→	q



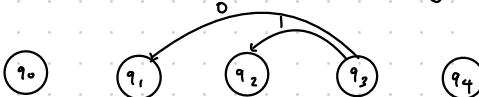
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$$\begin{matrix} 2^5 & 2^4 & 2^3 & 2^2 & 2^1 & 2^0 \\ 1 & 1 & 1 & 0 & 1 & 0 \end{matrix}$$

$\mod 5$

$$1 \cdot 2^5 + 1 \cdot 2^4 + 1 \cdot 2^3 + 1 \cdot 2^1 = 58 \mod 5$$

$3 \mod 5$

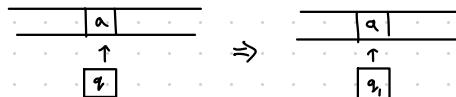


$$\begin{matrix} 1 & 1 & 1 & 0 & 1 & 0 & 1 \end{matrix} \quad \begin{matrix} 2^0 \\ (2 \cdot 58) \mod 5 \\ (2 \cdot 3) \mod 5 \end{matrix} = 1 + 1 \quad \begin{matrix} \text{since} \\ 2^0 \end{matrix} \quad 1 + 1$$

1110100

Non-Deterministic Finite Automata (NFA)

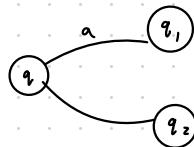
- Allows state change without reading an input



without reading lambda,
can change states



- After reading an input the automata can take one among a set of states.



- Some state transitions are not defined (go to \emptyset state)

trap

Formal Definition.

$$M = (Q, \Sigma, \delta, q_0, F)$$

Q - set of states

q_0 - initial state

Σ - set of input alphabets

F - set of final state

$$\delta : Q \times \{\Sigma \cup \lambda\} \rightarrow 2^Q$$

(subset of states)

Example:

$$\Sigma = \{0, 1\}$$



Why DFA? two options for zero

$$\delta(q_0, 0) = \{q_0, q_1\}$$

$$\delta(q_3, 0) = \emptyset$$

$\delta^*(q, w)$ = set of all states reachable from q after reading w
[$w \rightarrow$ string of inputs]

Example :

$$\begin{aligned}\delta^*(q_0, 001) &= \delta(\delta^*(q_0, 00), 1) \\ &= \delta(\delta^*(\delta^*(q_0, 0), 0), 1) \\ &= \delta(\delta^*\{q_0, q_1\}, 0) \\ &= \delta((q_0, q_1, q_2), 1) \\ &= \delta(q_0, 1) \cup \delta(q_1, 1) \cup \delta(q_2, 1) \\ &= \underline{\{q_0, q_2, q_3\}}\end{aligned}$$

Language Accepted by NFA

$$L(M) = \{w \mid \delta^*(q_0, w) \cap F \neq \emptyset\}$$

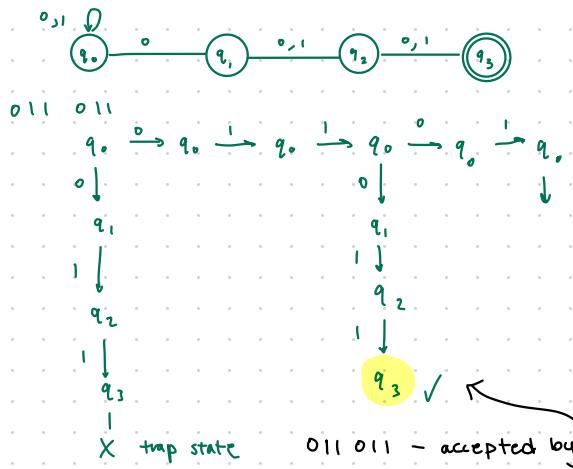
Example 1 :

$$\Sigma = \{0, 1\}$$

Accept the strings if the 3rd last bit is a '0'

011 011 ✓ Accept

↑
011 111 → X

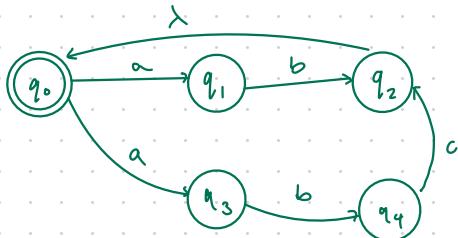
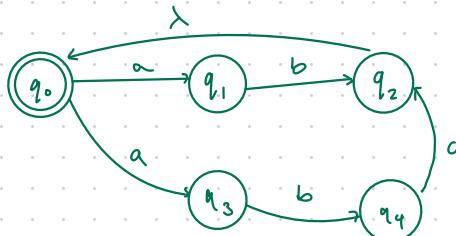


Example 2:

$$\Sigma = \{a, b, c\}$$

$$L = \{ab, abc\}^*$$

$$L = \{\lambda, ab, abc, abab, ababc, abcab, abcabc, \dots\}$$



$$\begin{matrix} ab \\ abc \end{matrix}$$

$$\delta^*(q_0, \underline{ab})$$

$$\delta^*(q_0, a) = \{q_1, q_3\}$$

$$\begin{aligned} \delta^*(q_0, ab) &= \delta(\delta^*(q_0, a), b) \\ &= \delta(\{q_1, q_3\}, b) \\ &= \delta(q_1, b) \cup \delta(q_3, b) \\ &= \delta(q_1, b) \cup \delta(q_3, b) \end{aligned}$$

$$= \{q_0, q_2, q_4\}$$

$$\delta^*(q_0, abc)$$

$$= \delta^*(\delta^*(q_0, ab), c)$$

$$> \delta^*(\{q_0, q_2, q_4\}, c)$$

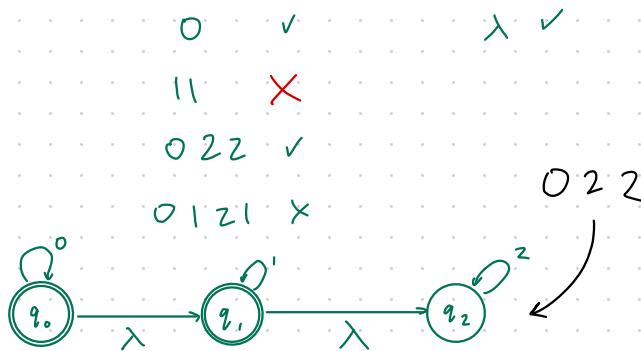
$$= \delta(q_0, c) \cup \delta(q_2, c) \cup \delta(q_4, c)$$

$$= \emptyset \cup \emptyset \cup \{q_2, q_0\}$$

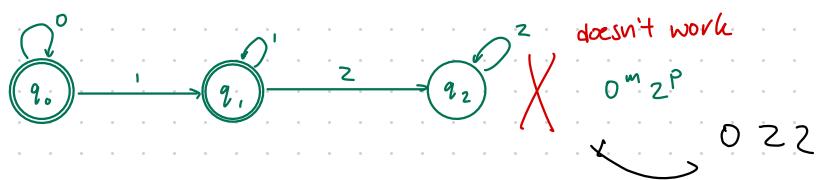
Example:

$$\Sigma = \{0, 1, 2\}$$

$$L = \{0^m 1^n 2^p \mid m, n, p \geq 0\}$$



λ = w/o reading input, you can move states



$$L(NFA) = L(DFA)$$

$$L = \{a^n b^m c^p \mid n, m, p \geq 0\}$$



$$\Sigma = \{a, b, c\}$$

$$\delta(q_0, a) = q_1 \quad \delta(q_1, b) = q_2$$

$$\delta(q_0, \lambda) = q_1 \quad \delta(q_1, \lambda) = q_2$$

$$\delta(q_2, c) = q_0$$

$\delta^*(q_0, a)$ - set of states reachable from q_0 after reaching a

$$\delta^*(q_0, a) = \{q_0, q_1, q_2\}$$

$$\delta^*(q_1, b) = \{q_1, q_2\}$$

$$\delta^*(q_2, c) = \{q_2\}$$

$$\delta^*(q_1, a) = \emptyset \quad \text{null} \rightarrow \text{trap state}$$

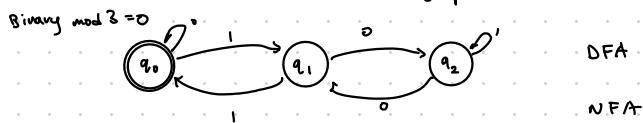
$$NFA \equiv DFA$$

Languages accepted by both NFA and DFA are the same

$$L \in DFA \Rightarrow L \in NFA$$

↓
transition
graph

Use the same transition graph for NFA



If a language is accepted by DFA, then it is accepted by NFA

$$L \in NFA \Rightarrow L \in DFA$$

NFA

$$M_N = (Q_N, \Sigma, \delta_N, q_0, F_N)$$

will construct a DFA

$$M_D = (Q_D, \Sigma, \delta_D, [q_0], F_D)$$

such that if $L \in NFA$ then $L \in DFA$

$$L \in LCM_D$$

Assume

$$Q_N = \{q_0, q_1, \dots, q_{n-1}\}$$

In DFA, under construction the states are of type $[q_{i_1}, q_{i_2}, \dots, q_{i_k}]$ where $\{q_{i_1}, q_{i_2}, \dots, q_{i_k}\}$ is a subset of $\{q_0, q_1, \dots, q_{n-1}\}$.

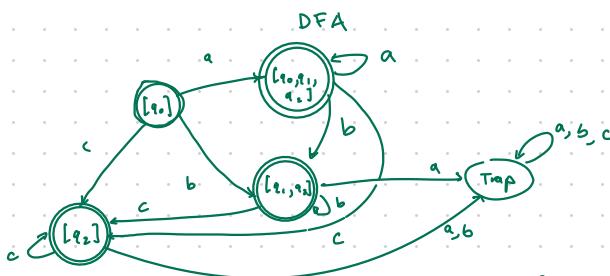
Example: $Q_N = \{q_0, q_1, q_2\}$ then

$$Q_0 = \{[q_0], [q_1], [q_2], [q_0, q_1], [q_0, q_2], [q_1, q_2], \\ [q_0, q_1, q_2] \text{ [Trap]}\}$$

How to find the transition graph for the DFA?

- ① Initial state $[q_0]$
- ② For any $[q_{i_1}, q_{i_2}, \dots, q_{i_k}]$ is reachable from $[q_0]$ so far, if some outgoing edge is missing, say for input a , find $\delta_N^* \{[q_{i_1}, q_{i_2}, \dots, q_{i_k}], a\} = \{a_{j_1}, a_{j_2}, \dots, a_{j_s}\}$
 $\delta_N \{[q_{i_1}, q_{i_2}, \dots, q_{i_k}], a\} = [q_{j_1}, q_{j_2}, \dots, q_{j_s}]$
- ③ Make $[q_{i_1}, q_{i_2}, \dots, q_{i_k}]$ as one of the final states if any of q_{i_m} is a final state
- ④ If NFA accepts λ then make $[q_0]$ as one of the formal states

Example:



$$\delta_N^*(q_0, a) = \{q_0, q_1, q_2\}$$

$$\delta_N^*(q_0, b) = \{q_1, q_2\}$$

$$\delta_N^*(q_0, c) = \{q_2\}$$

Find

$$\delta_D \{[q_0, q_1, q_2], a\}$$

$$\delta_D^* \{[q_0, q_1, q_2], a\}$$

$$= \delta_N^* \{q_0, a\} \cup \delta_N^* \{q_1, a\} \cup \delta_N^* \{q_2, a\}$$

$$= \{q_0, q_1, q_2\} \cup \emptyset \cup \emptyset$$

$$= \{q_0, q_1, q_2\}$$

$$\delta_0([q_0, q_1, q_2], b) =$$

First find out

$$\begin{aligned} & \delta_n^* \{ \{q_0, q_1, q_2\}, b \} \\ &= \delta^* \{q_0, b\} \cup \delta^* \{q_1, b\} \cup \delta^* \{q_2, b\} \\ &= \{q_1, q_2\} \cup \{q_1, q_2\} \cup \emptyset \\ &= \{q_1, q_2\} \end{aligned}$$

$$\delta_0([q_0, q_1, q_2], c)$$

Find

$$\begin{aligned} & \delta_n^* \{ \{q_0, q_1, q_2\}, c \} \\ &= \delta^* \{q_0, c\} \cup \delta^* \{q_1, c\} \cup \delta^* \{q_2, c\} \\ &= \{q_2\} \cup \{q_2\} \cup \{q_2\} \\ &= \{q_2\} \end{aligned}$$

$$\begin{array}{c} [q_0, q_1] \\ \times \end{array} \quad \begin{array}{c} [q_0, q_2] \\ \times \end{array}$$

We can prove

$$\begin{aligned} & \text{if } \delta^*(q_0, w) = \{q_{i_1}, q_{i_2}, \dots, q_{i_t}\} \\ & \text{then } \delta_0([q_0], w) = [q_{i_1}, q_{i_2}, \dots, q_{i_t}] \\ & \text{prove by induction on the length of } w \end{aligned}$$

$$\text{DFA} = \text{NFA}$$

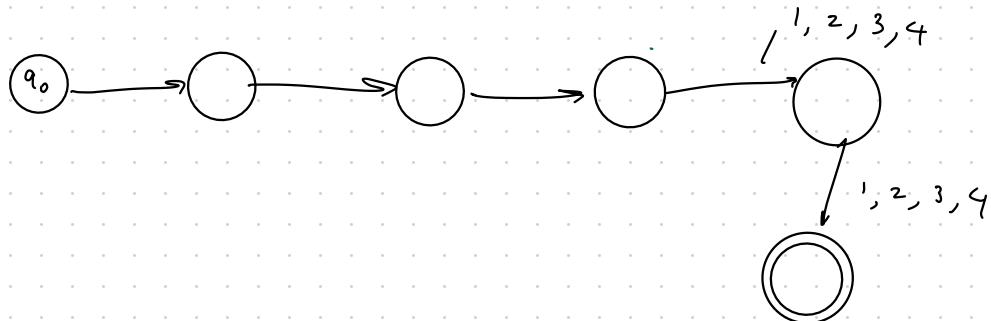
HW

$$\Sigma = \{a, b, c, d, 1, 2, 3, 4\}$$

length 5, 6, 7

$$\text{Final } \{a, b, c, d\}$$

$$\text{last 2 } \{1, 2, 3, 4\}$$



$$2. \quad L = \{abab^n \mid n \geq 0\} \cup \{aba^n \mid n \geq 0\}$$

5. L - Regular

L^R - Regular

$$w = abbaaa \quad w^R = aabbba$$

NFA \rightarrow DFA and Backwards
reverse is accepted

induction?

Regular Expression

① $\emptyset, \lambda, a \rightarrow \text{Regular expressions}$

$$\begin{array}{lcl} \emptyset & \rightarrow & \text{Language } \{\} \\ \lambda & \rightarrow & \{\lambda\} \\ a & \rightarrow & \{a\} \end{array} \quad \left. \begin{array}{c} \\ \\ \end{array} \right\} \text{primitive}$$

② If r_1 and r_2 are regular expressions then

$$\begin{aligned} r_1 + r_2 &= \{\mathcal{R}_1\} \cup \{\mathcal{R}_2\} \\ r_1 \cdot r_2 &= \{\mathcal{R}_1, \mathcal{R}_2\} \\ r_1^* &= R_1^* \\ (r_1) &= R_1 \end{aligned}$$

$$\begin{array}{ccc} & \xleftarrow{\text{language}} & \\ r_1 & \longleftrightarrow & R_1 \\ r_2 & \longrightarrow & R_2 \end{array}$$

precedence

*
.
+

$$\begin{aligned} 0 + 01^* \\ = \underline{0 + (0 \cdot (1^*))} \end{aligned}$$

Examples

$$L = \{a^m b^n c^p \mid m, n, p \geq 0\}$$

$$RE = a^* b^* c^*$$

$$② \Sigma = \{a\} \quad L = \{a^n \mid n \text{ is even}\}$$

$$RE \Rightarrow (aa)^*$$

$$③ L = \{a^m b^n \mid m+n \text{ is even}\}$$

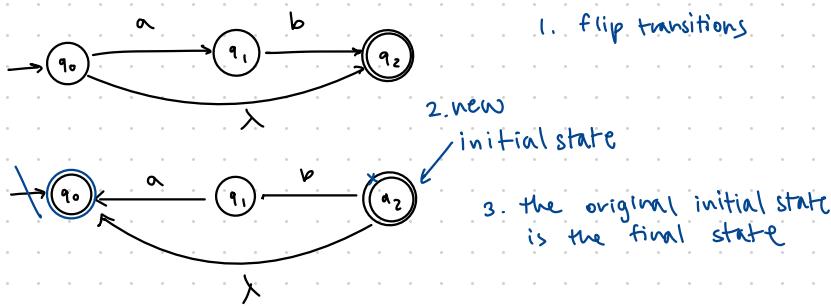
Both m and n are even

$$RE \Rightarrow (aa)^* (bb)^*$$

$$RE = (aa)^* (bb)^* + a(aa)^* b(bb)^*$$

Both m and n are odd

$$RE \Rightarrow a(aa)^* b(bb)^*$$



Regular Expression

RE	Language
\emptyset	$\{\}$
λ	$\{\lambda\}$
a	$\{a\}$

If r_1, r_2 are RE then

$r_1 + r_2$	$R_1 \cup R_2$
$r_1 \cdot r_2$	$R_1 R_2$
r_1^*	R_1^*
(r_1)	R_1

r_1 accepts the language R_1

r_2 accepts the language R_2

Examples:

1) $L = \{a^n b^m \mid n \geq 3, m < 4\}$

$$aaa a^* (b + bb + bbb + \lambda)$$

2) $L = \{a^n b^m \mid n < 4, m \leq 4\}$

$$(\lambda + a + aa + aaa)(\lambda + b + bb + bbb)$$

3) $L = \{a^n b^m \mid n \geq 2, m \geq 1, \underline{nm \geq 3}\}$

$$aa a^* b b b^* + aaa a^* b b^*$$

$$aa(b^* b b b^* + a a^* b b^*)$$

DFA \leftrightarrow NFA \Leftrightarrow R.E.

RE \Rightarrow There is an equivalent NFA that accepts the same language

RE

\emptyset

λ

a

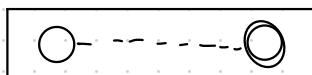
r_1

$r_1 + r_2$

$r_1 r_2$

r_1^*

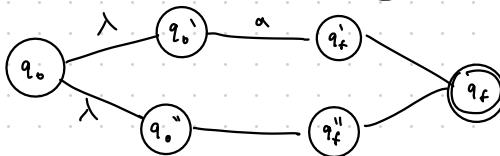
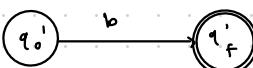
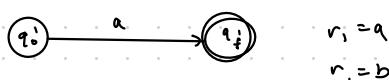
r_1

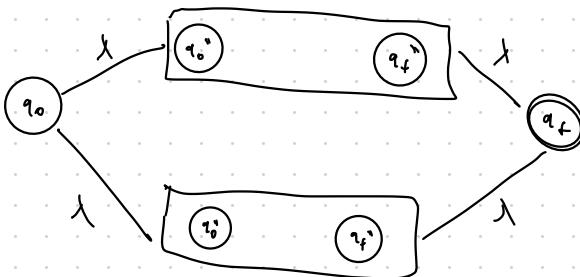
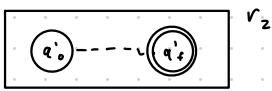
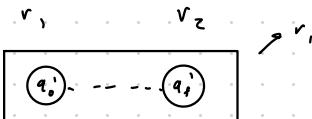


r_2

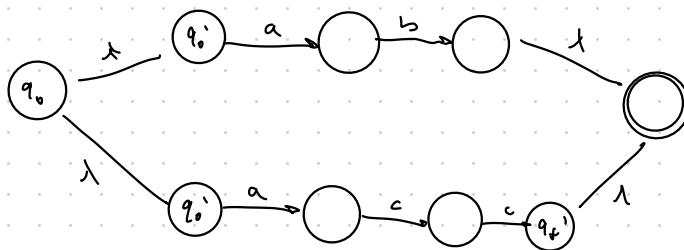


$r_1 + r_2$

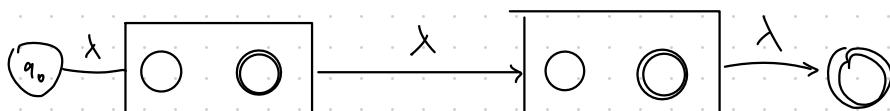




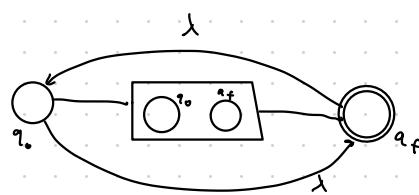
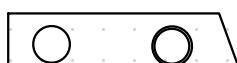
r_1 r_2
abs + acc



r_1 r_2

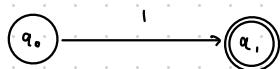


r^*



Example:

$$l + \underbrace{01^*}_{r_2} - RE$$



$$RE = 01^* = r_{21} r_{22}$$

$$r_{21} = 0$$

$$r_{22} = l^*$$

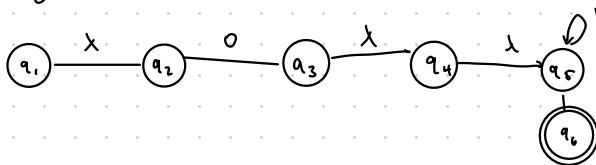


$$r_{21}$$

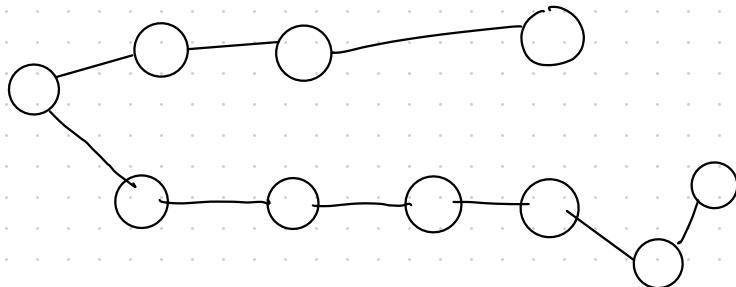


$$r_{22}$$

$$r_2 = r_{21} r_{22}$$

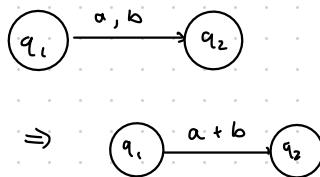


$$r = r_1 + r_2$$

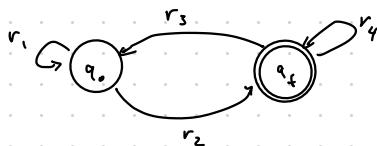


NFA \Rightarrow RE

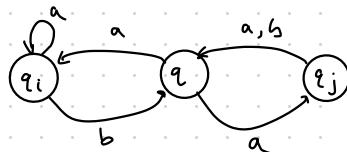
Generate Transition Graph



Given NFA, find one initial state and one final state using the generalized transition graph

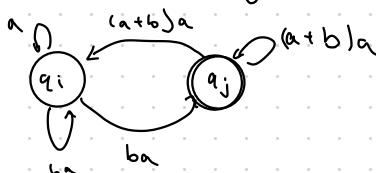


$$RE = r_1^* r_2 (r_4^* + r_3 r_1^* r_2)^*$$



Eliminate q

- 1) q_i to q_i using q
- 2) q_i to q_j using q
- 3) q_j to q_i using q
- 4) q_j to q_j using q



Midterm 1 this Thursday

- proof techniques
 - induction
 - direct
 - contradiction
- DFA
- NFA
- convert from NFA \rightarrow DFA

Formally: a grammar is defined by a tuple (V, T, S, P)

V is a set of non terminal variables

T is a set of terminal symbols making up strings

S is the starting variable where $S \in V$

P is a set of production rules mapping strings of terminals and variables to other strings of terminals and variables

Simple EX:

$$V = \{S, A, B\}$$

$$T = \{a, b\}$$

Example Grammar

$$\begin{array}{l} P \\ S \rightarrow aA \\ A \rightarrow aA \\ A \rightarrow bB \\ B \rightarrow bB \\ B \rightarrow \lambda \end{array}$$

Example Gen 1

$$\begin{array}{l} S \\ 1) \Rightarrow aA \\ 2) \Rightarrow aaA \\ 3) \Rightarrow aabB \\ 4) \Rightarrow aabbB \\ 5) \Rightarrow aaabbB \\ 6) \Rightarrow aaabbb \end{array}$$

$$\begin{array}{l} S \\ aA \\ aaA \\ aaaA \\ aaabB \\ aaab \end{array}$$

1. shortest string

$$S \rightarrow aA \rightarrow abB \rightarrow ab$$

2. infinite or finite?

3. what language

$$a^n b^m \mid n, m > 1$$

$$A^* + B^*$$

P:

$$\begin{array}{l} S \rightarrow aA \\ A \rightarrow aA \mid bB \\ B \rightarrow bB \mid acA \mid d \\ abB \rightarrow bBA \cdot a \end{array}$$

grammars that generate regular languages

right-linear

$$A \rightarrow xB \quad \text{or} \quad A \rightarrow x$$

left-linear

$$A \rightarrow Bx \quad \text{or} \quad A \rightarrow x$$

a regular grammar is either right-linear or left-linear

$$A \rightarrow aAb$$

neither (non terminal must be before or after)

$$B \rightarrow Aabc$$

left-linear

$$A \rightarrow Ba \mid bB$$

neither

$$A \rightarrow cB \mid b$$

right linear

$$A \rightarrow aBC$$

neither (only one nonterminal)

$$aA \rightarrow aB$$

neither

Practice: right linear

$$(ab)^* ad$$

$$V = \{S\} \quad T = \{a, b\}$$

P:

$$\begin{aligned} S &\rightarrow ad \\ S &\rightarrow abS \end{aligned}$$

$$S \rightarrow abs(ad)$$

right

P:

$$\begin{aligned} S &\rightarrow abs \mid ad \\ &\rightarrow abab \\ &\rightarrow ababad \end{aligned}$$

left

P:

$$\begin{aligned} S &\rightarrow Aad \\ A &\rightarrow Aab \mid \lambda \\ &\rightarrow Aad \\ &\rightarrow Aab ad \\ &\rightarrow ababad \end{aligned}$$

$$V = \{ S \}$$

$$T = \{ 0, 1 \}$$

P:

$$\begin{array}{l} S \rightarrow 0 \mid 0S \\ S \rightarrow 1 \mid 1S \end{array}$$

There is a unique grammar for every language.

$$L = \{ a^n b^m \mid n \geq 2, m \geq 3 \}$$

$$V = \{ S \}$$

$$T = \{ a, b \}$$

P:

$$\begin{array}{l} S \rightarrow aaA \\ A \rightarrow aA \mid B \\ B \rightarrow \lambda \mid bbb \mid B \end{array}$$

Reversing Languages by Converting Between Left and Right Grammars

Invert symbols

P:

$$\begin{array}{l} S \rightarrow abA \\ A \rightarrow abA \mid B \\ B \rightarrow cdB \mid cd \end{array}$$

P:

$$\begin{array}{l} S \rightarrow A ba \\ A \rightarrow A ba \mid B \\ B \rightarrow B dc \mid dc \end{array}$$

Make a new grammar with two

$$V = V_1 \cup V_2$$

Definition:

A language is regular if and only if there exists a deterministic finite acceptor (DFA) that accepts the language.

Construct a NFA from a Right Linear Grammar

RLG

$$G_1 = \{V, T, S, P\}$$

$$V = \{S, V_0, V_1, \dots, V_k\}$$

$$T = \{a_1, a_2, \dots, a_n\}$$

NFA

$$M_N = \{Q, \Sigma, \delta, q_0, F\}$$

$$Q = V \cup \{f\}$$

$$\Sigma = T$$

$$q_0 = S$$

$$F = \{f\}$$

$$; f \quad V_i \rightarrow$$

if

if $V_i \rightarrow \lambda$ is in P, then $\delta^*(V_i, V)$ is in

RLG

$$V = \{S, A, B, C\}$$

$$T = \{0, 1\}$$

P:

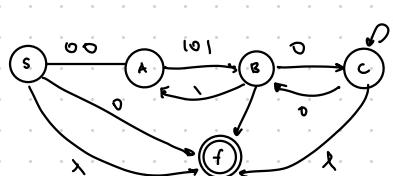
$$S \rightarrow 00A|0|\lambda$$

$$A \rightarrow 101B$$

$$B \rightarrow 0C|1A|1$$

$$C \rightarrow 0B|1C|\lambda$$

NFA



$$V = \{S, A, B, C\}$$

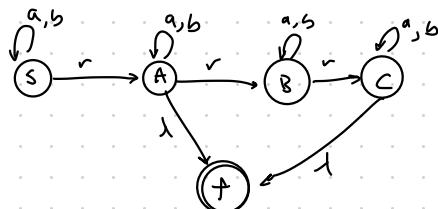
$$T = \{a, b, r\}$$

$$S \rightarrow aS|bS|rA$$

$$A \rightarrow aA|bA|rB|\lambda$$

$$B \rightarrow aB|bB|rC$$

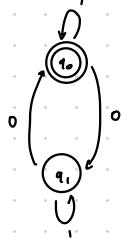
$$C \rightarrow aC|bC|\lambda$$



every right-linear grammar represents a regular language!

now, vice versa proof.

Construct an Equivalent RLG from DFA



$$V = \{q_0, q_1\}$$

$$\Gamma = \{0, 1\}$$

D:

$$S = q_0$$

$$q_0 \rightarrow 0q_1 | 1q_0 | \lambda$$

$$q_1 \rightarrow 1q_1 | 0q_0$$

We proved languages of Right Linear Grammars are regular

$$\text{DFA} \Leftrightarrow \text{NFA} \Leftrightarrow \text{RE}$$

$$\Downarrow \text{RLG} \Updownarrow$$

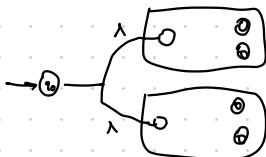
$$\text{RLG} \Leftrightarrow \text{DFA} \Leftrightarrow \text{NFA} \Leftrightarrow \text{RE}$$

Are these regular as well?

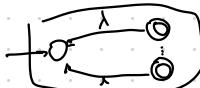
$$\begin{array}{ll} L_1 \cup L_2 & L_1^* \\ L_1 \cap L_2 & L_1 L_2 \\ \overline{L_1} & \end{array}$$

Proof by construction

union



star closure



Thu Jan 27

Pumping Lemma for Regular Languages

Multiple Equivalent Representations of Regular Languages

$$L = \{a^i b^i \mid i \geq 0\}$$

Prove it is regular
DFA, NFA, RE, or RG

Prove it is not regular ...

All finite languages are regular ✓

True!

Any DFA can be built

What is the pumping lemma for regular languages?

1) Find rules

2) Test for violations



At least one state was visited more than once

n string length $p \leq n$ states

then there must be a cycle

Point 1: If a string is long enough relative to a DFA, there must be a cycle.

Point 2:

Point 3: If a language L is regular, there exists some smallest DFA that accept L

If L is a regular language, then there is p "pumping length"

- $|y| \geq 1$ The loop has at least one transition
- $|xy| \leq p$
- $xy^i z \in L$ for $i \geq 0$

Proof by Contradiction with Pumping Lemma

Step 1: Assume the language is regular and has some pumping length p .

Step 2: Select some string w in the language with length greater than p

Step 3: Show that no decomposition of w into xyz satisfies the conditions:

$$|y| \geq 1 \quad |xy| \leq p \quad xy^i z \in L \text{ for } i \geq 0$$

Finding a contradiction to pumping lemma requires finding a decomposition

Ex 1: Prove $L = \{a^i b^i \mid i \geq 1\}$ is not regular

1. Assume L is regular with some pumping length p .

2. Let $w = a^p b^p$ which looks like $\underbrace{aaa\dots}_{p} \underbrace{bbb\dots}_{p} b$ and has length $2p$

3. As $|xy| \leq p$, all valid decompositions of w can be described by:

$$x = a^\alpha \quad \alpha \geq 0$$

$$y = a^\beta \quad \beta \geq 1$$

$$z = a^{p-\alpha-\beta} b^p \quad (\alpha + \beta = p)$$

According to pumping lemma... $xy^i z$ should be in L for any $i \geq 0$, let's try $i=0$

$$xy^0 z = a^\alpha a^{p-\alpha-\beta} b^p = a^{p-\beta} b^p \notin L$$

Contradiction! Our assumption in (1) is false and L is not regular.

EX 2.1 Prove $L = \{a^i b^j \mid i \neq j\}$ is not regular

1) Assume L is regular with pumping length p .

2) Let $w = a^p b^{p+p!} \underbrace{aaa\dots a}_{p} bbb\dots b$ and has length $2p + p!$

3) As $|xy| \leq p$, all valid decompositions of w can be described by:

$$x = a^\alpha \quad \alpha \geq 0 \quad y = a^\beta \quad \beta \geq 1, \text{ and } z = a^{p-\alpha-\beta} b^{p+p!} \quad (\alpha + \beta \leq p)$$

According to Pumping lemma, $xy^i z$ should be in L for any $i \geq 0$, let's try $i = 1 + \frac{p!}{\beta}$

$$xy^{1 + \frac{p!}{\beta}} z = a^\alpha a^{\beta(1 + \frac{p!}{\beta})} a^{p-\alpha-\beta} b^{p+p!} = a^{p+p!} b^{p+p!} \notin L$$

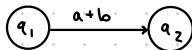
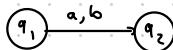
Contradiction! Therefore our assumption in (1) is false and L is not regular

Tue Feb 1

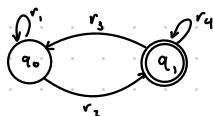
RE \Rightarrow NFA

NFA \Rightarrow RE

Generalised Transition Graph

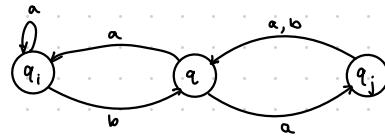


Main idea



$$RE = r_1^* r_2 (r_4^* + r_3 r_1^* r_2)$$

How to eliminate one internal node?



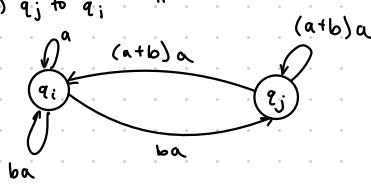
Find the walks from

1) q_i to q_i through q ✓

2) q_i to q_j "

3) q_i to q_j "

4) q_j to q_i "

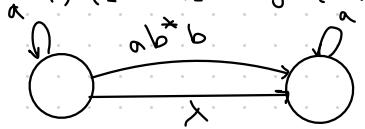


1) q_0 to q_2 through q_1

2) q_0 to q_0 through a , X

3) q_2 to q_0 through q_1 , X

4) q_2 to q_2 through q_1 , X

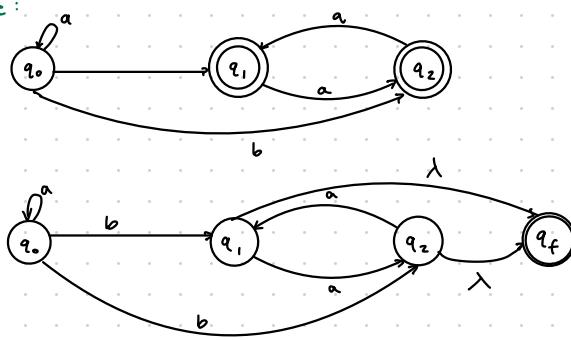


$$a^* (ab^* b + \lambda) a^*$$

$$a^* a^* + a^*$$

$$(ab^* b)$$

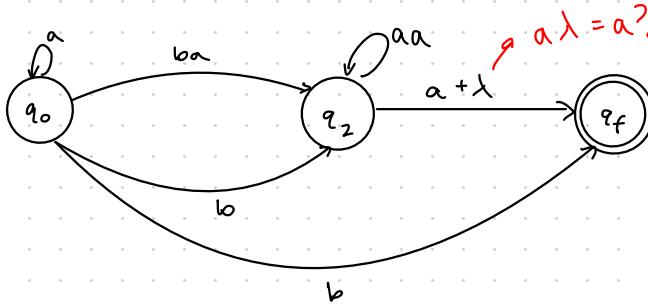
Example :



Eliminate q_1 .

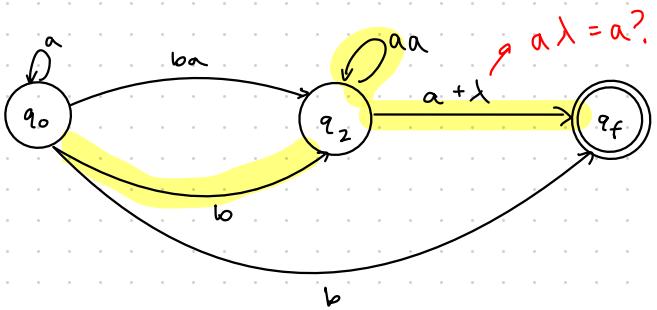
- 1) Walk from q_0 to q_2 through q_1 . ✓
- 2) Walk from q_0 to q_f " q_1 . ✓
- 3) " q_0 to q_0 " q_1 . X
- 4) " q_2 to q_0 " q_1 . X
- 5) " q_2 to q_2 " q_1 . ✓
- 6) " q_2 to q_f " q_1 . ✓

Similarly for q_f to all other nodes



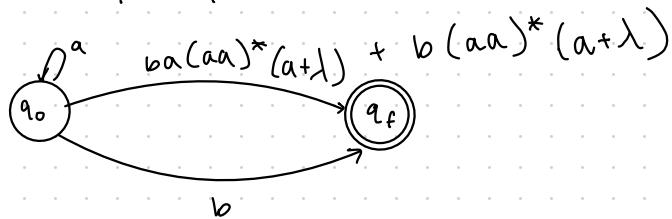
$$\left\{ \begin{array}{l} q_f \text{ to } q_0 \text{ through } q \\ q_f \text{ to } q_2 \text{ " } \\ q_f \text{ to } q_f \text{ " } \end{array} \right.$$

Now eliminate q_2



Remove q_2

- 1) walks from q_0 to q_0 through q_2
- 2) " q_0 to q_f "
- 3) " q_f to q_0 "
- 4) " q_f to q_f "



$$a^*(b + ba(aa)^*(a + \lambda))$$

$$(aa)^*(a + \lambda) = (aa)^* a + (aa)^*$$

$$a^*(b + baa^*)$$

$$a^*b + a^*baa^* = \underline{\underline{a^*ba^*}}$$

★ Context-Free Grammar

$$G = (V, T, S, F)$$

$V \rightarrow$ variables

$T \rightarrow$ terminal symbols

$S \rightarrow$ start symbols

P: production rule

$$\frac{V_i}{j} = x_1 x_2 \dots x_n V_j y_1 y_2 \dots y_m V_k \dots$$

only one variable

Example:

$$V = \{S\}$$

$$T = \{a, b\}$$

P:

$$S \rightarrow aSb \mid \lambda$$

Derivation

$$S \rightarrow aSb \rightarrow aaSbb \rightarrow aabb$$

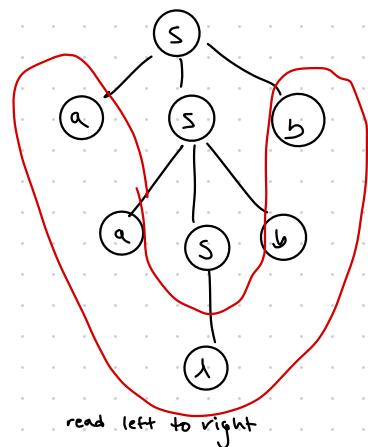
$$S \rightarrow aSb \rightarrow aaSbb \rightarrow aaaSbbb \xrightarrow{\text{Derivation}} aaabbba$$

$$L(G) = \{a^n b^n \mid n \geq 0\}$$

$$\begin{array}{l} a \leftrightarrow (\\ b \leftrightarrow) \end{array}$$

(())

Derivation Tree



Context Free Languages

$$\{a^n b^n : n \geq 0\}$$

$$\{ww^R\}$$

Regular Languages

$$a^* b^*$$

A language is context free if and only if there is a context-free grammar that accepts it

$$G_1 : \begin{array}{l} S \rightarrow aSa \\ S \rightarrow bSb \\ S \rightarrow \lambda \end{array}$$

palindrome

$$S \rightarrow aSa \rightarrow abSba \rightarrow abba$$

$$G_2 : \begin{array}{l} S \rightarrow aSb \\ S \rightarrow SS \\ S \rightarrow \lambda \end{array}$$

$$S \rightarrow SS \rightarrow aSb aSb \rightarrow abab$$

$$L(G_1) = \{w : n_a(w) = n_b(w)\},$$

Derivation Order

$$\begin{array}{lll} 1. S \rightarrow AB & 2. A \rightarrow aA & 4. B \rightarrow Bb \\ 3. A \rightarrow \lambda & & 5. B \rightarrow \lambda \end{array}$$

Lettmost derivation

go from left, derive, shift

Rightmost derivation

go from right, derive, shift

Tips for Designing Grammars

Concatenation: use separate nonterminals to generate disjoint parts of a language, then combine in production.

$$S \rightarrow A B$$

$$A \rightarrow$$

$$B$$

Closure: use recursive production

$$A \rightarrow xA \mid \lambda \quad 0 + xs$$

$$A \rightarrow yA \mid y \quad 1 + ys$$

Matching constructs:

• $S \rightarrow aSb \mid \lambda$ (start from middle)

$$\{ a^n b^m \mid m \geq 2n, n \geq 0 \}$$

• $S \Rightarrow aSb \mid B$
 $B \Rightarrow bB \mid \lambda$

$$\{ a^n b^m a^{n+m} \mid n \geq 0, m \geq 0 \}$$

$$a^n b^m a^m a^n$$

X

• $S \rightarrow aSa \mid B$
• $B \rightarrow bBa \mid ba$
• $S \rightarrow aSa \mid B$
 $B \rightarrow bBa \mid \lambda$

Union: use separate nonterminals

$$L = \{ w \mid \# \text{ of } a's \text{ in } w = \# \text{ of } b's \text{ in } w \}$$

$$T = \{ a, b \}$$

Example

abaaabb

$$(V, T, S, P)$$

- P:
- $S \rightarrow$ produce equal # of a's and b's
 - $A \rightarrow$ " one a more than # of b's
 - $B \rightarrow$ " one b more than # of a's

productions:

$$\begin{array}{ll} S \rightarrow aB & S \rightarrow bA \\ A \rightarrow a & B \rightarrow b \\ A \rightarrow aS & B \rightarrow bS \\ A \rightarrow bAA & B \rightarrow aBB \end{array}$$

$$\begin{array}{l} S \rightarrow aaaAB \\ A \rightarrow aA \end{array}$$

Thu Feb 3

CFG

$L = \{ w \mid w \text{ contains equals } \# \text{ of } a's \text{ and } b's \}$

$G = (V, T, S, P)$

$V = \{S, A, B\}$

$S \rightarrow \text{equal } \# \text{ of } a's \text{ and } b's$

$A \rightarrow \# \text{ of } a's \text{ is one more than } \# \text{ of } b's$

$B \rightarrow \# \text{ of } b's \text{ is one more than } \# \text{ of } a's$

Production Rules

$S \rightarrow aB \quad S \rightarrow bA$

$B \rightarrow b \quad A \rightarrow a$

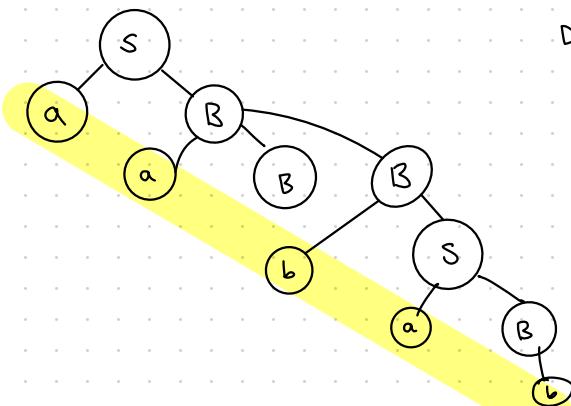
$B \rightarrow bS \quad A \rightarrow aS$

$B \rightarrow a\overbrace{B}^{\text{equal}}\overbrace{B}^{\text{one more}}$ $A \rightarrow bAA$

aabbab

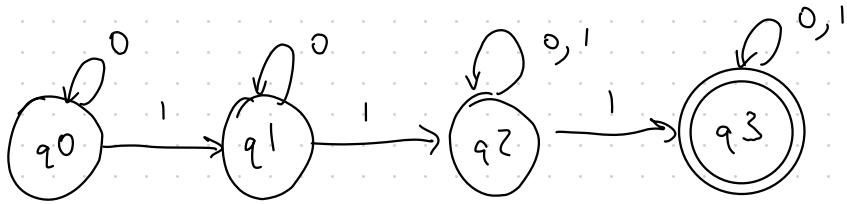
$S \rightarrow aB \rightarrow aaBB \rightarrow aabbB \rightarrow aabbS \rightarrow aabbbaB \rightarrow aabbab$

Derivation Trees

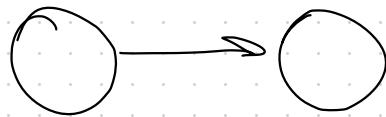


1a) $L_1 = \{w \in \{0,1\}^* \mid w \text{ contains at least three } 1's\}$

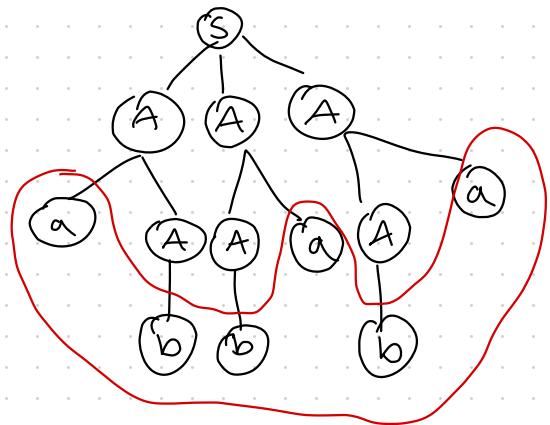
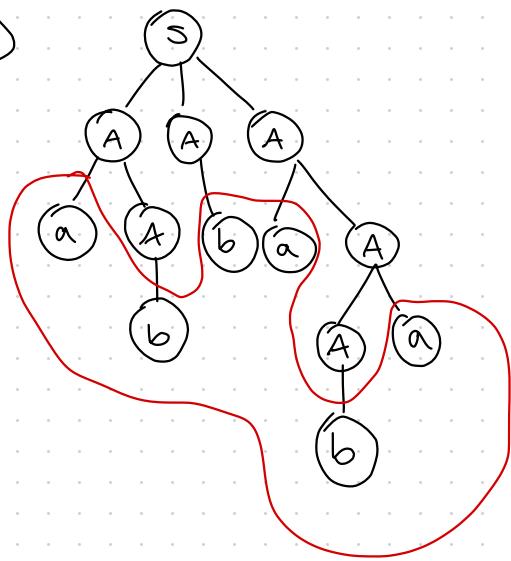
a) $L_1 = \{w \in \{0,1\}^* \mid w \text{ contains at least three } 1's\}$



b) $L_2 = \{a^i b^j c^k \mid i, j, k \geq 0, \text{ and } i=j \text{ or } i=k\}$



b)



$$2a) \text{ abbaba} \quad S \rightarrow SS \mid AAA \mid \lambda$$
$$A \rightarrow aA \mid Aa \mid b$$

Consider the following grammar $G = \{S, A\} \rightarrow \{a, b\}, S, P\}$

$$S \rightarrow SS \mid AAA \mid \lambda$$

$$A \rightarrow aA \mid Aa \mid b$$

Now the production rules are:

$$S \rightarrow SS$$

$$S \rightarrow AAA$$

$$S \rightarrow \lambda$$

Next, the leftmost derivation rules will be

$$A \rightarrow aA$$

$$A \rightarrow Aa$$

$$S \rightarrow SS$$

$$S \rightarrow AAAS$$

$$S \rightarrow AAA \quad (\because S \rightarrow \lambda)$$

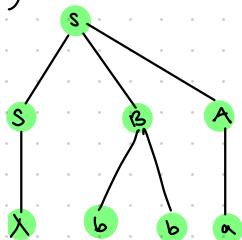
$$S \rightarrow aAAA \quad (\because A \rightarrow aA)$$

$$S \rightarrow abAA \quad (\because A \rightarrow Aa)$$

$$S \rightarrow abbA \quad (\because A \rightarrow b)$$

$$S \rightarrow abbaba$$

2. b)



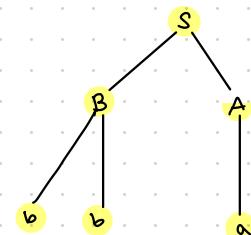
$S \rightarrow SBA$

$A \rightarrow a$

$B \rightarrow bb$

$B \rightarrow a$

$B \rightarrow \lambda$



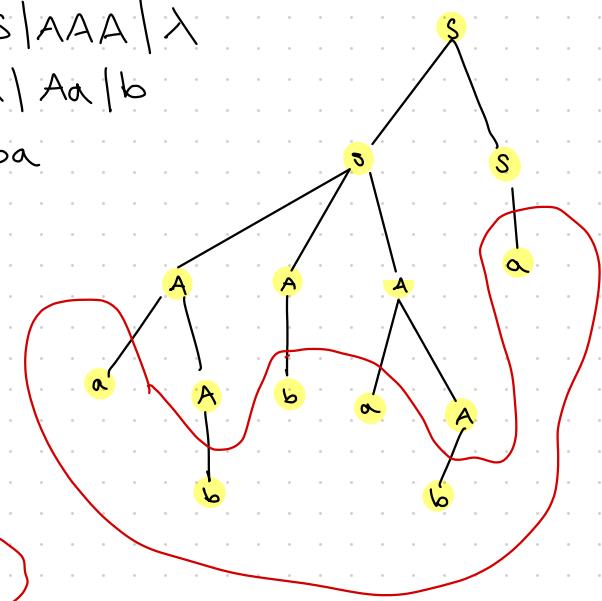
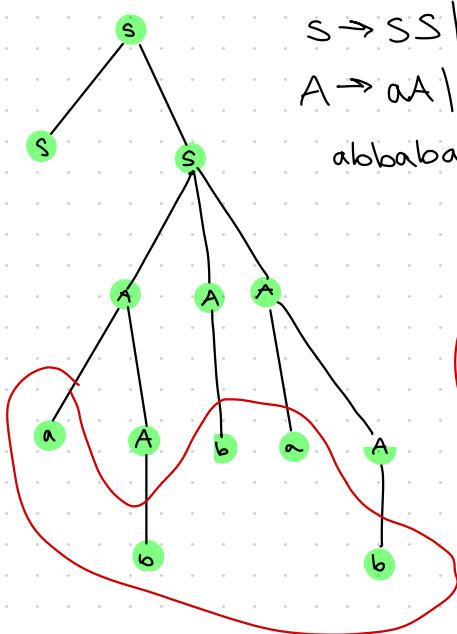
bba

bba

$S \rightarrow SS | AAA | \lambda$

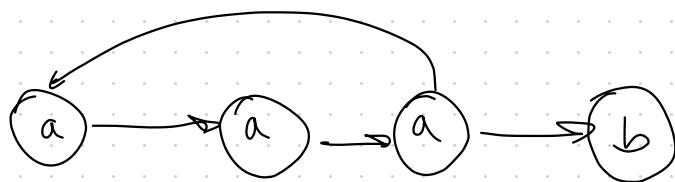
$A \rightarrow aA | Aa | b$

abbaba



3 a) $L = \{a^n b^{2n} : n \geq 2\}$

b) $L = \{aaa^*b\}$



4. Chomsky normal form

$$S \rightarrow AB \mid aB$$

$$A \rightarrow abb \mid \lambda$$

$$B \rightarrow bba$$

5 a) CYK algorithm is in the context free language

$$V = \{S, A, B, C, D\} \quad \text{and } T = \{a, b\}$$

$$S \rightarrow AB \mid BA$$

$$A \rightarrow AS \mid a$$

$$B \rightarrow BS \mid b$$

$$A \rightarrow BC$$

$$B \rightarrow AD$$

$$C \rightarrow AA$$

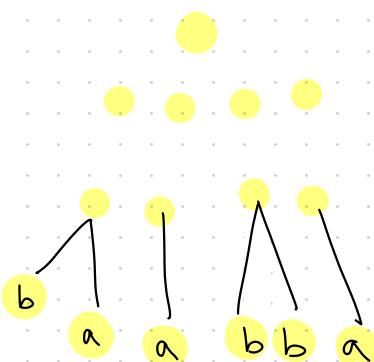
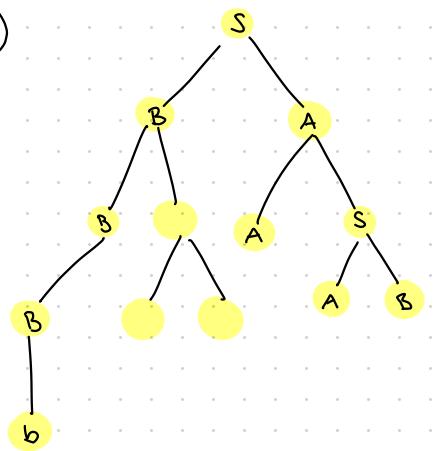
$$D \rightarrow BB$$

Show

Oh. So.

$$T = \{a, b\}$$

b)



5. $S \rightarrow A\bar{B} | \bar{B}A$

$A \rightarrow AS | a$

$\bar{B} \rightarrow \bar{B}S | b$

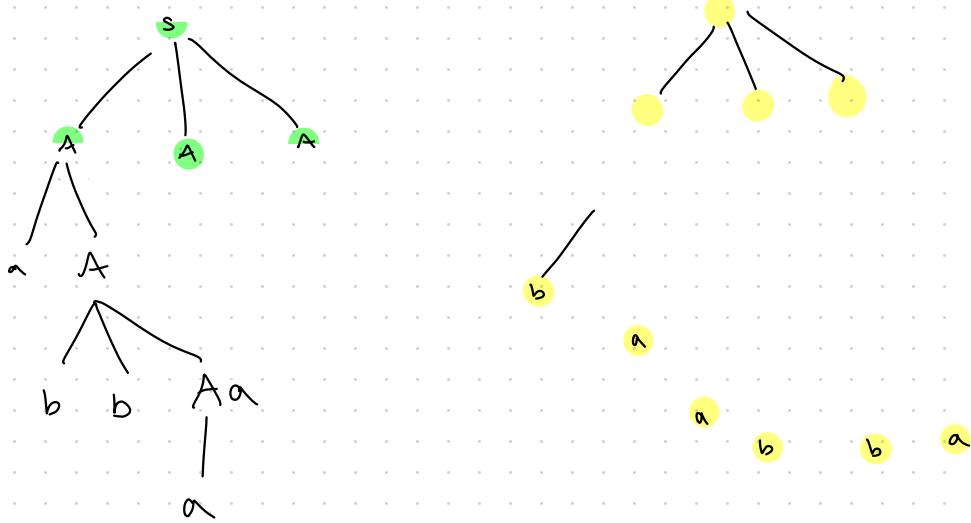
$A \rightarrow BC$

$B \rightarrow AD$

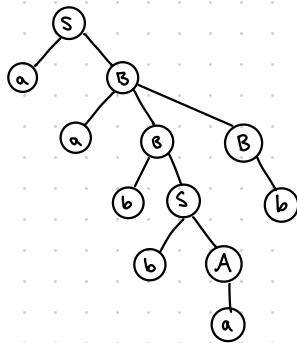
$C \rightarrow AA$

$D \rightarrow \bar{B}\bar{B}$

a) Show all derivation trees. baabba



a)



Another Derivation

'Ambiguous': Language is called ambiguous if you can make more than one derivation tree for a string

Example 2

$$L = \{V, T, S, P\}$$

$$V = \{E, I\}$$

$$T = \{a, b, c, +, *, (), ?\}$$

$$S = E$$

production rules

$$E \rightarrow E * E$$

If $a = b = c = 2$, then two different answers!

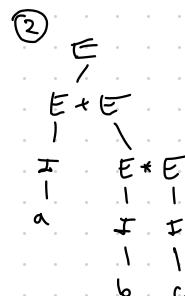
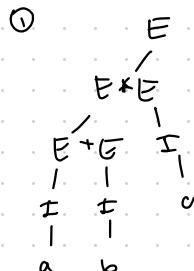
$$E \rightarrow E + E$$

$$E \rightarrow (E)$$

$$E \rightarrow I$$

$$I \rightarrow a | b | c | * | +$$

$$a + b * c$$



Non-ambiguous CFG

$$V = \{ E, T_1, F, I \}$$

production rules

$$E \rightarrow T$$

$$E \rightarrow I$$

$$(a * b) + (c * a) + (b * c)$$

$$T \rightarrow F$$

$$F \rightarrow I$$

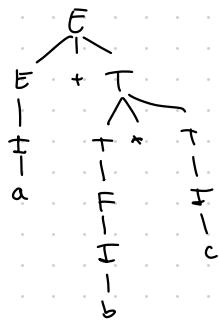
$$E \rightarrow E + T$$

$$T \rightarrow T * F$$

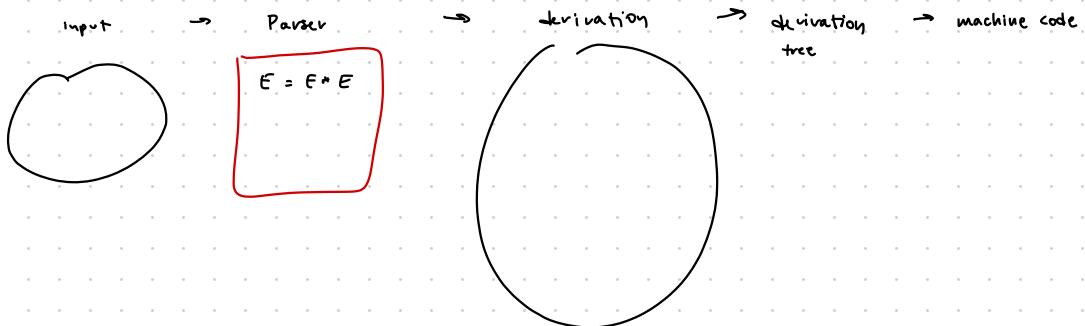
$$F \rightarrow (E)$$

$$I \rightarrow a \mid b \mid c$$

$$a + b * c$$



Parsing



Time to derive:
length of the string

$$S \rightarrow aS$$

$$S \rightarrow bSS$$

$$S \rightarrow c$$

$$S \rightarrow aS \rightarrow abSS \rightarrow abcS \rightarrow abcc$$

Tue Feb 8

Chapter 6

Simplification of Grammars

Two standard forms

① Chomsky Normal Form

production rules

$$A \rightarrow BC \quad \text{or} \quad A \rightarrow a$$

② Greibach Normal Form

production rules

$$A \rightarrow a^r v \quad v \in \{T, V\}^*$$

Example:

$$A \rightarrow aBCD$$

$$A \rightarrow bABC$$

$$A \rightarrow a$$

$$A \xrightarrow{X} abBB$$

Substitution Rules

$$\begin{aligned} A &\rightarrow xBy & x, y \in T^* \\ B &\rightarrow y_1 | y_2 \dots | y_t & y_i \in \{T, V\}^* \end{aligned}$$

Eliminate $B \rightarrow y_1 | y_2 \dots | y_t$ by

substituting

$$A \rightarrow x | x y_1 x | x y_2 x | x y_3 x | \dots | x y_t x$$

Example

$$A \rightarrow aBC | a$$

$$B \rightarrow bBC | bAB | b$$

$$C \rightarrow c | AB | AC$$

eliminate these productions

$$(C \rightarrow c | AB | AC)$$

by substituting

$$A \rightarrow aBc | aBAB | aBAC | a$$

$$B \rightarrow bBc | bBAB | bBAC | bAB | b$$

Let us assume the language does not produce λ . $S \Rightarrow \lambda$

Even if $S \Rightarrow \lambda$ then we can modify the grammar as

$$S \rightarrow S | \lambda$$

$$S \rightarrow \left\{ \begin{array}{l} \text{ } \\ \text{ } \end{array} \right.$$

S, does not
produce λ

- ① Eliminate λ productions
- ② Eliminate unit productions.
 $(A \rightarrow B)$
- ③ Eliminate all useless productions

- ③ Eliminating useless productions

Example:

$$\begin{aligned}
 S &\rightarrow aA \\
 S &\rightarrow bB \\
 S &\rightarrow cD \quad \text{useless} \\
 A &\rightarrow A_1 A_2 \\
 B &\rightarrow B_1 \\
 B &\rightarrow B_2 \quad \text{useless} \\
 A_1 &\rightarrow a \\
 A_2 &\rightarrow ab \\
 B_1 &\rightarrow bb \\
 C &\rightarrow c \quad \text{useless}
 \end{aligned}$$

Step 1

$$\begin{aligned}
 U &\neq \emptyset \\
 U &= \{C, B_1, A_2, A_1, B, A, S\}
 \end{aligned}$$

Step 1. If some variables do not guarantee terminal symbols then those variables are useless potential useful symbols:

Let $V = \emptyset$

- ① If $A \rightarrow \alpha$ then put A in V
- ② If $X \rightarrow z_1 X_1 z_2 X_2 \dots z_t X_t$
where $z_i \in T^*$
and $X_1, X_2, \dots, X_t \in V$
then put X in V .

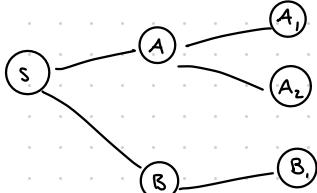
New production rules

$$\begin{aligned}
 S &\rightarrow aA \\
 S &\rightarrow bB \\
 A &\rightarrow A_1 A_2 \\
 B &\rightarrow B_1 \\
 A_1 &\rightarrow a \\
 A_2 &\rightarrow ab \\
 B_1 &\rightarrow bb \\
 C &\rightarrow c \quad \text{X}
 \end{aligned}$$

Step 2

$$\{S, A, A_1, A_2, B, B_1\}$$

Step 2. Find all variables reachable from S



Updated production rules

$$\begin{aligned}
 S &\rightarrow aA \mid bB & B_1 &\rightarrow bb \\
 A &\rightarrow A_1 A_2 & A_1 &\rightarrow a \\
 B &\rightarrow B_1 & A_2 &\rightarrow ab
 \end{aligned}$$

Example 2

$$S \rightarrow \alpha A B \mid \alpha$$

$$A \rightarrow \alpha$$

Step 1

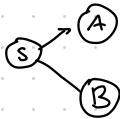
$$A \rightarrow \alpha$$

$$S \rightarrow \alpha$$

Step 2

$$S \rightarrow \alpha$$

Suppose you apply step 2 first, step 1 second



Eliminate $S \rightarrow \alpha A B$
 Left with $S \rightarrow \alpha$
 $A \rightarrow \alpha$

WRONG! still left w/ useless $A \rightarrow \alpha$
 Must do step 1 then 2.

② Elimination of unit production

Can I apply substitution rule?

$$A \rightarrow B$$

$$B \rightarrow \dots$$

Example

$$S \rightarrow A \mid bb$$

$$A \rightarrow B \mid b$$

$$B \rightarrow S \mid a$$

Try to eliminate $S \rightarrow a$

- use substitution
- $S \rightarrow B \mid b \mid bb$
- $A \rightarrow B \mid b$
- $B \rightarrow S \mid a$

Now we generated a new unit production $S \rightarrow B$

Eliminate $A \rightarrow B$

$$S \rightarrow A \mid aa$$

$$A \rightarrow S \mid a \mid b$$

$$B \rightarrow S \mid a$$

introduce $A \rightarrow S$ (new production)

Find out all unit productions from a variable and then use substitution rule.

Given example:

$$S \rightarrow A \mid bb$$

$$A \rightarrow B \mid b$$

$$B \rightarrow S \mid a$$

$$S \rightarrow A \rightarrow B$$

$S \rightarrow A \rightarrow B$

Modify the grammar

$S \rightarrow bb | b | a \quad \checkmark$

$A \rightarrow B \rightarrow S$

$A \rightarrow b | a | bb \quad \checkmark$

$B \rightarrow S \rightarrow A$

$B \rightarrow a | bb | b \quad \checkmark$

New production rules

$S \rightarrow bb | b | a$

$A \rightarrow b | a | bb$

$B \rightarrow a | bb | b$

useless production

① Elimination of λ productions

$A \rightarrow x_1 B x_2 B x_3 B x_4$
 $x B \rightarrow \lambda$

Include new productions

$A \rightarrow x_1 B x_2 B x_3 B \mid x_1 x_2 B x_3 B \mid x_2 B x_1 x_3 B \mid x_1 B x_2 B x_3$
 $x_1 x_2 x_3 B \mid x_1 B x_2 x_3 \mid x_1 x_2 B x_3 \mid x_1 x_2 x_3$

K - nullable variable

then include $2^K - 1$ new rules

Nullable variable

If $x \Rightarrow \lambda$ then x is called a nullable variable.

How to find all nullable variables?

$$N = \emptyset$$

1) If $B \rightarrow \lambda$ then put B in N .

2) If $x \rightarrow x_1 x_2 \dots x_n$ where $x_1, x_2, \dots, x_n \in N$ then put x in N .

Example:

$S \rightarrow aAB \mid BbbB \mid aA_1A_2$

$B \rightarrow B_1 B_2 \mid b$

$B_1 \rightarrow \lambda$

$B_2 \rightarrow \lambda$

$A_1 \rightarrow a$

$A_2 \rightarrow b \mid \lambda$

① $N = \{A_2, B_1, B_2, B\}$

$$\textcircled{1} \quad N = \{A_1, B_1, B_2, B\}$$

$$B_1 \rightarrow \lambda, \quad B_2 \rightarrow \lambda, \quad A_2 \rightarrow \lambda$$

$$A_2 \rightarrow b$$

$$A_1 \rightarrow a$$

$$B \rightarrow B_1 \mid B_2 \mid b$$

$$S \rightarrow aA_1B_1 \mid B_2bB_1 \mid aA_1A_2 \mid aA_1 \mid bbB_1 \mid B_2bb \mid bb \mid aA_1$$

Order!

1. Eliminate λ production
2. Eliminate unit production
3. Eliminate useless productions

Example:

$$\begin{aligned} S &\rightarrow aA \mid aBB \\ A &\rightarrow aaA \mid \lambda \\ B &\rightarrow bB \mid bbC \\ C &\rightarrow B \end{aligned}$$

- 1) Eliminate lambda production

$$\begin{aligned} A &\rightarrow \lambda \\ S &\rightarrow aA \mid aBB \mid a \\ A &\rightarrow aaA \mid aa \\ B &\rightarrow bB \mid bbC \\ C &\rightarrow B \end{aligned}$$

- 2) Eliminate unit production

$$C \rightarrow B$$

$$\begin{aligned} \text{introduce new production} \\ C &\rightarrow bbB \mid bbC \end{aligned}$$

- 3) Eliminate useless production

$$\begin{aligned} S &\rightarrow aA \mid aBB \mid a \\ A &\rightarrow aaA \mid aa \\ B &\rightarrow bB \mid bbC \\ C &\rightarrow bbB \mid bbC \end{aligned}$$

Step 1

$$\text{Find } X^* \rightarrow T^*$$

$$\{A, S\}$$

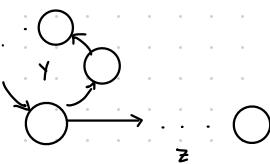
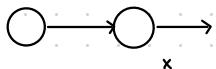
$$\left(\begin{array}{l} \{S \rightarrow aA \mid a \\ A \rightarrow aaA \mid aa\} \end{array} \right) \xrightarrow{\quad} S \rightarrow A$$

Final production rules!

$S \rightarrow aA|a$ $A \rightarrow aT_a A|aa$ $S \rightarrow T_a A|a$ $T_a \rightarrow a$ $\downarrow A \rightarrow T_a T_a A|T_a T_a$ $A \rightarrow T_a T_a A$ $\Rightarrow A \rightarrow T' A$
 $T' \rightarrow T_a T_a$ $\left\{ \begin{array}{l} S \rightarrow T_a A|a \\ A \rightarrow T' A|T_a T_a \\ T' \rightarrow T_a T_a \\ T_a \rightarrow a \end{array} \right.$

chomsky Normal Form

Thu Feb 10



pumping lemma

$$xyz \in L$$

$$xy^iz \in L$$

Example:

$$S \rightarrow baAB$$

$$A \rightarrow bAB | \lambda$$

$$B \rightarrow BAa | Aa | Ba | a | A$$

1) Eliminate λ productions

$$A \rightarrow \lambda, B \rightarrow \lambda$$

$$\begin{aligned} S &\rightarrow baAB | baB | baA | ba \\ A &\rightarrow bAB | bB | bA | b \\ B &\rightarrow BAa | Aa | Ba | a | A \end{aligned}$$

2) Eliminate unit productions

only one is $B \rightarrow A \Rightarrow$ substitute

Include

$$B \rightarrow BAa | Aa | Ba | a | bAB | bB | bA | b$$

3) Eliminate useless productions

(No useless)

Final

$$S \rightarrow baAB | baB | baA | ba$$

$$A \rightarrow bAB | bB | bA | b$$

$$B \rightarrow BAa | Aa | Ba | a | bAB | bB | bA | b$$

Not in CNF

$$S \rightarrow baAB$$

$$S \rightarrow T_b T_a AB$$

$$T_b \rightarrow b$$

$$T_a \rightarrow a$$

$$\Rightarrow S \rightarrow CAB$$

$$C \rightarrow T_b T_a$$

$$D \rightarrow CA$$

$$S \rightarrow baB$$

$$S \rightarrow T_b T_a B$$

$$\Rightarrow S \rightarrow CB$$

$$S \rightarrow baA$$

$$S \rightarrow T_b T_a A$$

$$\Rightarrow S \rightarrow CA$$

Grammar

$$S \rightarrow SS$$

$$S \rightarrow aSb$$

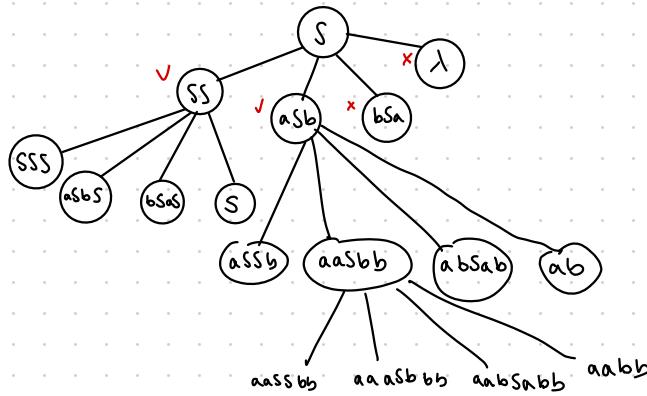
$$S \rightarrow bSa$$

$$S \rightarrow \lambda$$

$$aabbb?$$

$$S \xrightarrow{*} aabb$$

each node has 4 possibilities



Length of the string is $|w|$

K. production rules
 $K + K^2 + K^3 + \dots K^W$

Dynamic Algorithm

$$L = \{ w \mid n_a(w) = n_b(w) \}$$

bababa?

production rules

$S \rightarrow aB$	$S \rightarrow bA$
$B \rightarrow \epsilon$	$A \rightarrow a$
$B \rightarrow bS$	$A \rightarrow aS$
$B \rightarrow aBB$	$A \rightarrow bAA$

Chomsky Normal Form

$S \rightarrow A, B$	
$S \rightarrow B, A$	$A \rightarrow a$
$B \rightarrow B, S$	$B \rightarrow b$
$A \rightarrow A, S$	$A \rightarrow a$
$B \rightarrow A, D$	$B \rightarrow b$
$D \rightarrow BB$	
$A \rightarrow B, C$	
$C \rightarrow AA$	

bababa ?

$$\begin{matrix} b \\ \sqcup \\ B \end{matrix} \quad \begin{matrix} ababa \\ \sqcup \\ C \end{matrix}$$

$$\begin{matrix} ba \\ \sqcup \\ b \\ \sqcup \\ b \\ \sqcup \\ b \end{matrix} \quad \begin{matrix} baba \\ \sqcup \\ a \\ \sqcup \\ b \\ \sqcup \\ a \\ \sqcup \\ b \end{matrix}$$

baba bg

babab g

Example:

ababab
g baba

ab abab
aba bab
abab ab
ababba
x y

$S \rightarrow T_b A$ $S \rightarrow T_a B$
 $A \rightarrow T_a S$ $B \rightarrow T_b S$
 $A \rightarrow T_b C$ $B \rightarrow T_a D$
 $C \rightarrow AA$ $D \rightarrow BB$
 $T_b \rightarrow b$
 $T_a \rightarrow a$
 $B \rightarrow b$
 $A \rightarrow a$

	1	2	3	4	5	6
1	T_a, A					
2	S	T_b, B				
3	A	S	T_a, A			
4	S	B	S	T_b, B		
5	A	S	A	S	T_a, A	
6		B	S	B	S	T_b, B

$\leq^* \rightarrow xy$

ababab
v₁ v_y v_b

$T_a T_b$
 $T_a B$
 $A T_b$

AB

abba
S

aba

a ba

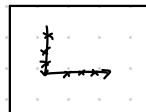
$T_a S$
AS
A

$S T_a$
SA

ababa

$T_a S$
BS

$S T_b$
SB

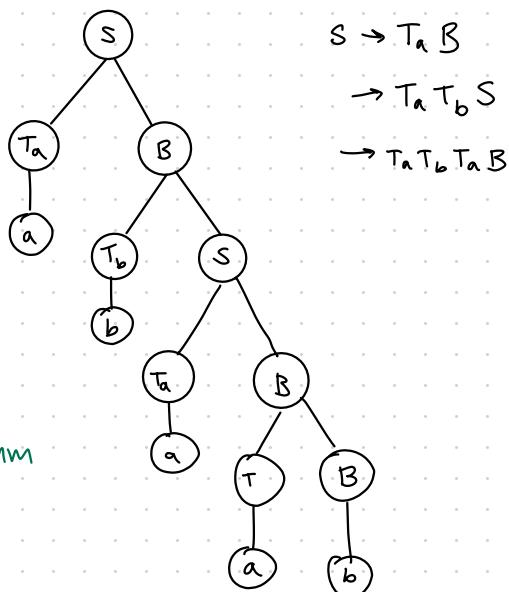


$T_a S$
AS

$T_a B \checkmark$
 $\leq B \times$
 $A \leq \times \times$
 $S \leq \times \times$
 $A T_b \times \times$

$T_a B$ $AT_b \times$
 AB $AB \times$

$T_b S \checkmark$
 $SB \times$
 $BS \times$
 $\leq T_b \times$
 $\leq B \times$



$S \rightarrow T_a B$
 $\rightarrow T_a T_b S$
 $\rightarrow T_a T_b T_a B$

cyK
Algorithm

$$\begin{aligned}
 |w| \\
 \text{complexity} &= O(\underline{|w|^3}) \\
 \frac{|w|^2}{z} \text{ entries}
 \end{aligned}$$

$S \rightarrow XY$

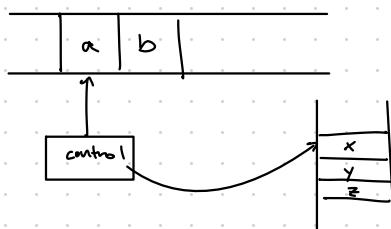
Regular Languages \leftrightarrow DFA \leftrightarrow NFA

CFL \leftrightarrow NPDA

Non deterministic push-down automata

NPDA \geq DPBA

Non deterministic push-down automata



$$M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$$

'STACK'

Q - set of states

Σ - tape symbols

T - stack symbols

q_0 - initial state

(small letters)

(capital letters)

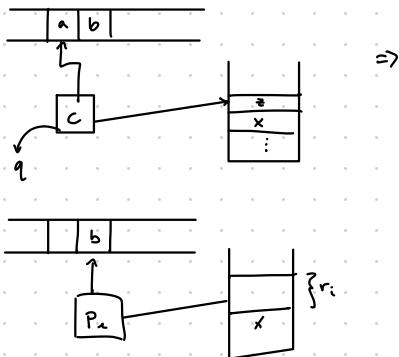
$z_0 \in T$ - start stack symbol

F - set of final state

δ - transition function

$$\delta : Q \times \Sigma \cup \{\lambda\} \times T \rightarrow \text{a subset of } Q \times T$$

$$\delta(q_i, a, z) = \{ \{ p_1, r_1 \} \{ p_2, r_2 \} \dots \{ p_i, r_i \} \dots \}$$



Note that $r_i = \phi$

Note that a can be λ

Example:

$$L = \{ w \mid \tau_a(w) = \tau_b(w), w \in \{a, b\}^* \}$$

$$\Sigma = \{a, b\}$$

$$Q = \{q_0, q_1, q_2\}$$

$$T = \{A, B, C\}$$

q_0 - initial state

q_2 - final state

$$\delta : \delta(q_0, \lambda, \lambda) = \{q_1, C\}$$

$$\delta(q_1, a, C) = \{q_1, AC\}$$

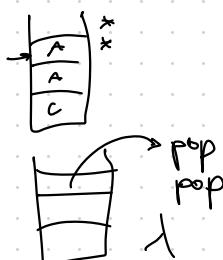
$$\delta(q_1, a, A) = \{q_1, AA\}$$

$$\delta(q_1, b, A) = \{q_1, \lambda\}$$

$$\delta(q_1, \lambda, C) = \{q_2, \lambda\}$$

$$\delta(q_1, b, C) = \{q_1, BC\}$$

$$\delta(q_1, b, B) = \{q_1, BB\}$$



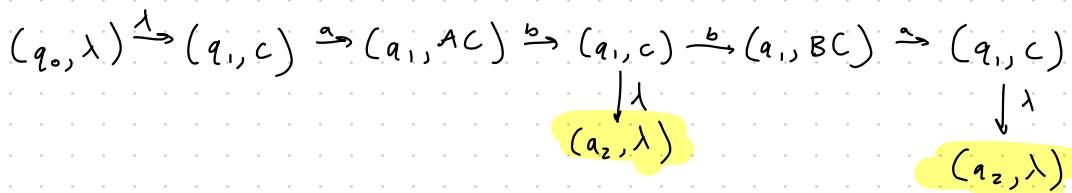
$$\delta(q_1, \lambda, C) = \{q_2, \lambda\}$$

Main idea

The stack contains

$$[\# \text{ of } a's \rightarrow \# \text{ of } b's]$$

abba



$$L = \{a^n, b^{2n}\}$$

Q5.

dynamic scoping

```

4   { int x;
    x := 2;
    { int f(int y) {
        x := x * y;
        return (x + 1);
    }
    { int x;
        x := 4;
        x := f(x - 1);
    }
}

```

8, 4, 9

dynamic

y gets the 5

y is still on

after 4: [y: 3, x: 12, f: {}, x: 2]

after 9: [x: 13, f: {}, x: 2]

static scoping

z: [x: 2]
 b: [f: {}, x: 2]
 after 8: [x: 4, f: {}, x: 2]

x := x * y;

The static

```

{ int x;
x := 2;
{ int f (int y) {
    x := x * y;
    return (x + 1);
}
{ int x;
    x := 4;
    x := f(x - 1);
}
}

```

[y: 3, x: 4, f: {}, x: 6]

[x: 7, f: {}, x: 6]

static and dynamic

int g(int y) { return f(2); }
z := g(3)

[]
[y:1]
[z:0, y:1]
[f:{}, z:0, y:1]
[g:{}, f:{}, z:0, y:1]
[y:3, g:{}, f:{}, z:0, y:1]

call of g
call of f

{ int y := 1;
{ int z := 0;
{ int f(int x) { return y+x; }
{ int g(int y) { return f(y); }
z := g(3);
}

[x:3, y:3, g:{},
f:{}, z:0, y:1]

}

..

{ int z := 0;
{ int f(int x) { return x+1; }
{ int g(int y) { return f(y); }
{ int f(int x) { return x-1; }
z := g(3);
}

}

..

call of g
call of f

[]

[z:0]

[f:{}, z:0]

[g:{}, f:{}, z:0]

[y:3, f:{}, g:{}, f:{}, z:0]

[x:3, y:3, f:{}, g:{}, f:{}, z:0]

static:

[f:{}, g:{}, f:{}, z:4]

dynamic:

[f:{}, g:{}, f:{}, z:2]

Access function definition

CS 321 Introduction to Theory of Computation

Assignment No. 5, Due: Friday February 18 2022

1. a) $L_1 = \{w \in \{0,1\}^* \mid w \text{ contains at least three } 1's\}$.

$$w \rightarrow 111$$

b)

- b) $L_2 = \{a^i b^j c^k \mid i, j, k \geq 0, \text{ and } i=j \text{ or } i=k\}$



2.

3. Find an s-grammar

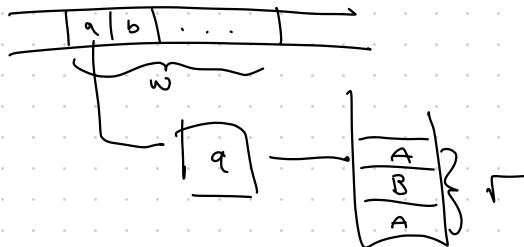


aa bbba

n outside of the following

Instantaneous Description

$$L = \{a^n b^{2n}\}$$



$$(q, w, r) \Leftrightarrow (q, abacd, ABA)$$

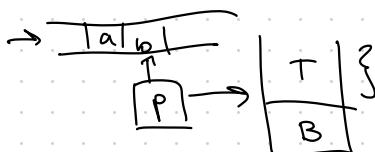
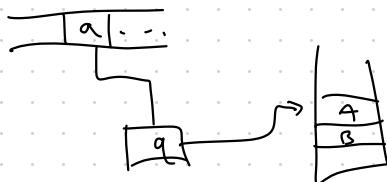
Move $(q, aw, A\alpha_1) \xrightarrow{!} (p, w, \delta\alpha_1)$



This means

$$\delta(q, a, A) \text{ contains } \{(p, r)\}$$

$$\delta(q, a, A) = \{(p, r) \dots\}$$



$a_1, a_1 a_2 \dots a_n, A_1 A_2 \dots A_n$ $\vdash (P_1, a_2 a_3 \dots a_n, B_1 B_2 \dots B_n, A_2 \dots A_n)$ $\vdash (P_2, a_3 \dots a_n, C_1 C_2 \dots C_4, B_2 B_3 \dots A_2 \dots A_n)$

:

 $\vdash (P_n, a_n, \alpha)$ $\vdash (P_{n+1}, \lambda, \eta)$ $\alpha, n \in \Gamma^*$

Language NDPA

(1) Using Final State

$$L(M) = \{w \mid (q_0, w, T_0)$$

 $\vdash (P, \lambda, r)$ where $P \in F$

(2) Empty Stack (null stack)

$$N(M) = \{w \mid (q_0, w, T_0)$$

 $\vdash (P, \lambda, \lambda)$

we can prove

$$L(M) = N(M)$$

NPFA \Leftrightarrow CFLCFL \Rightarrow NPDA ✓NPDA \Rightarrow CFL

Let L be a CFL described by the language $G_1 = (V, T, S, P)$

Construct

$$M = (Q, \Sigma, T, \delta, q_0, z_0, F)$$

$$\Rightarrow (\{q\}, \Sigma = T, T = V, q_0, S, \emptyset)$$

\downarrow initial \downarrow final

$$\delta : A \rightarrow a\alpha$$

$$\delta(q, a, A) \rightarrow (q_1, \alpha)$$

[Assumption :

The production in G_1 are in Greibach Normal Form

$$A \rightarrow a\alpha \quad \forall \alpha \in V^*$$

Example :

$$G_1 = (V, T, S, P)$$

$$V = \{S, S_1, S_2\}$$

$$T = \{a, b, c\}$$

$P =$

$$S \rightarrow aSS,$$

$$S \rightarrow bSS_2,$$

$$S \rightarrow a|c$$

$$S_1 \rightarrow a$$

$$S_2 \rightarrow b$$

$$S \rightarrow aSS, \rightarrow abSS_2S, \rightarrow$$

$$abcbs, \rightarrow abcba$$

palindrome

$$S \rightarrow aSS_1 \quad \delta(q, a, S) = (q, SS_1)$$

$$S \rightarrow bSS_2 \quad \delta(q, b, S) = (q, SS_2)$$

$$S \rightarrow a \quad \delta(q, a, S) = (q, \lambda)$$

$$S \rightarrow C \quad \delta(q, a, S) = \{(q, SS_1), (q, \lambda)\}$$

$$S_1 \rightarrow a \quad \delta(q, a, S_1) = \{(q, \lambda)\}$$

$$S_2 \rightarrow b \quad \delta(q, b, S_2) = \{(q, \lambda)\}$$

abcba

$$S \rightarrow aSS_1 \rightarrow abSS_2 \rightarrow abc\underline{S}_2S_1 \rightarrow abc\underline{b}S_1 \rightarrow \underline{abcba}$$

1. Pumping lemma for regular language

How does it work