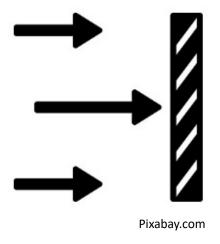


CRDT – CONFLICT-FREE REPLICATED DATA TYPES

CRDTs Units

- Eventual consistency, informally
- State-based objects
- Eventual consistency, more formally
- Conflict-free replicated data types



EVENTUAL CONSISTENCY, INFORMALLY

Eventual Consistency

 Eventual consistency is desirable for large-scale distributed systems where high availability is important

 Tends to be cheap to implement (e.g., via gossip) but may serve stale data

 Constitutes a challenge for environments where stronger consistency is important

Handling Concurrent Writes

- Premise for eventual consistency were scenarios with few (no) concurrent writes to the same key (cf. client-centric consistency)
- However, we do need a mechanism to handle concurrent writes should they so happen
- If there were a way to handle concurrent writes, we could support eventual consistency more broadly
- Would "only" need to guarantee that after processing all writes for a key, all replicas converge, no matter what order the writes are processed (e.g., assuming gossip)

Growth-only counter (G-counter)

L1:
$$\mathbf{0}$$
 W(+5) (5) W(+2) (7) W(+1) 8
L2: $\mathbf{0}$ W(+2) (2) W(+5) (7) W(+1) 8

Different locations (replicas)

Growth-only counter (G-counter)

L1:
$$\mathbf{0}$$
 W(+5) (5) W(+2) (7) W(+1) $\mathbf{8}$
L2: $\mathbf{0}$ W(+2) (2) W(+5) (7) W(+1) $\mathbf{8}$
Writes propagate to L2, L1, respectively
Different locations (replicas)



Growth-only counter (G-counter)



Different locations (replicas)

Max register

Growth-only counter (G-counter)

Different locations (replicas)

Max register

L1: **0** W(4) (4) W(2) (4) merge(5) **5** L2: **0** W(5) (5) W(3)
$$_{(5)}$$
 merge(4) **5**

State propagate to L2, L1 via periodic merging



Self-study Questions

- Think of a few basic data structures, like lists, sets, counters, binary trees, heaps, maps, etc., and visualize for yourself what happens if replicated instances of these structures are updated via gossip.
- Does their state converge, no matter the update sequence?
- What happens if update operations are lost or duplicated?
- What mechanisms we know other than gossip could be used to keep these replicated structures updated without violating their convergence.
- What are pros and cons of these mechanisms?



CRDT – FROM STATE-BASED OBJECTS TO REPLICATED STATE-BASED OBJECTS

State-based objects

Mostly plain old objects

- Offer update and query requests to clients
- Maintain internal state
- Process client requests
- Perform merge requests amongst each other
- Periodically merge (support infrastructure)

State-based Object

What we commonly know as object

- Comprised of
 - Internal state
 - One or more query methods
 - One or more update methods
 - A merge method

Class Average

Running Example

```
class Avg(object):
def init (self):
                         def update(self, x):
                           self.sum += x
  self.sum = 0
                           self.cnt += 1
  self.cnt = 0
def query(self):
                         def merge(self, avg):
  if self.cnt != 0:
                           self.sum += avg.sum
                           self.cnt += avg.cnt
      return
        self.sum /
          self.cnt
  else:
    return 0
```

Average

State-based object representing a running average

- Internal state
 - self.sum and self.cnt

• Query returns average

Update updates average with a new value x

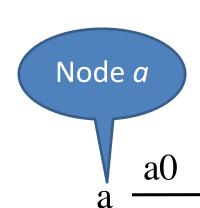
Merge merges one Avg instance into another one

Replicated State-based Object

- State-based object replicated across multiple nodes
- E.g., replicate Avg across two nodes
- Both nodes have a copy of state-based object
- Clients send query and update to a single node
- Nodes periodically send their copy of state-based object to other nodes for merging

Timeline

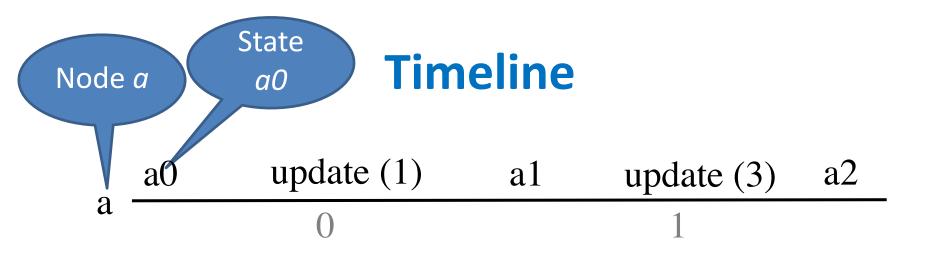
| | stat | e | query () | history |
|----|--------|-------|----------|---------|
| a0 | sum:0, | cnt:0 | 0 | { } |
| a1 | sum:1, | cnt:1 | 1 | {0} |
| a2 | sum:4, | cnt:2 | 2 | {0,1} |



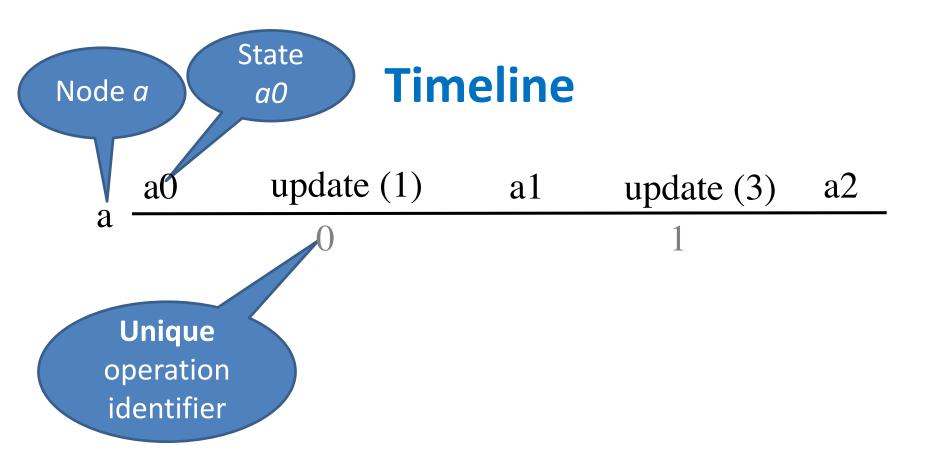
Timeline

update (1) a1 update (3) a2

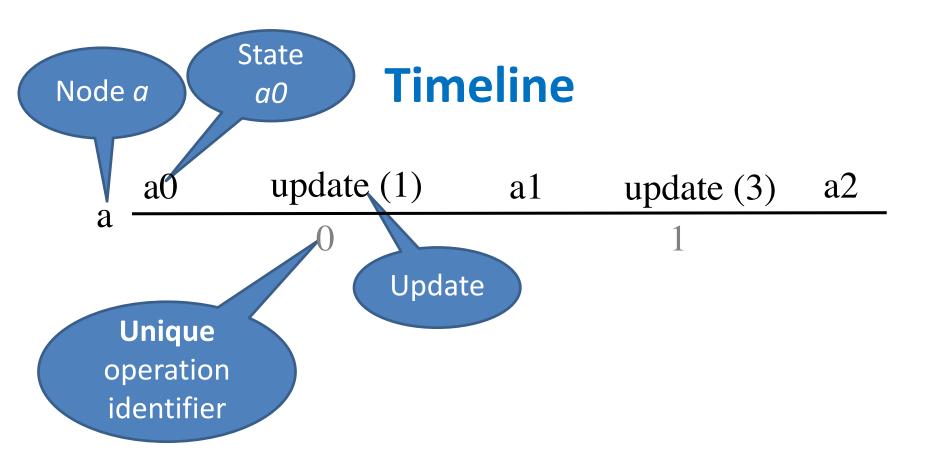
| | stat | e | query () | history |
|----|--------|-------|----------|---------|
| a0 | sum:0, | cnt:0 | 0 | { } |
| a1 | sum:1, | cnt:1 | 1 | {0} |
| a2 | sum:4, | cnt:2 | 2 | {0,1} |



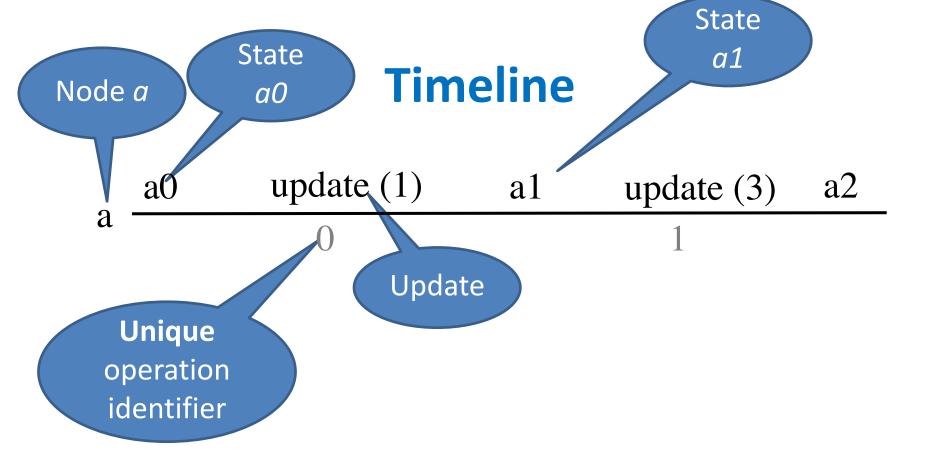
| | stat | e | query () | history |
|----|--------|-------|----------|---------|
| a0 | sum:0, | cnt:0 | 0 | {} |
| a1 | sum:1, | cnt:1 | 1 | {0} |
| a2 | sum:4, | cnt:2 | 2 | {0,1} |



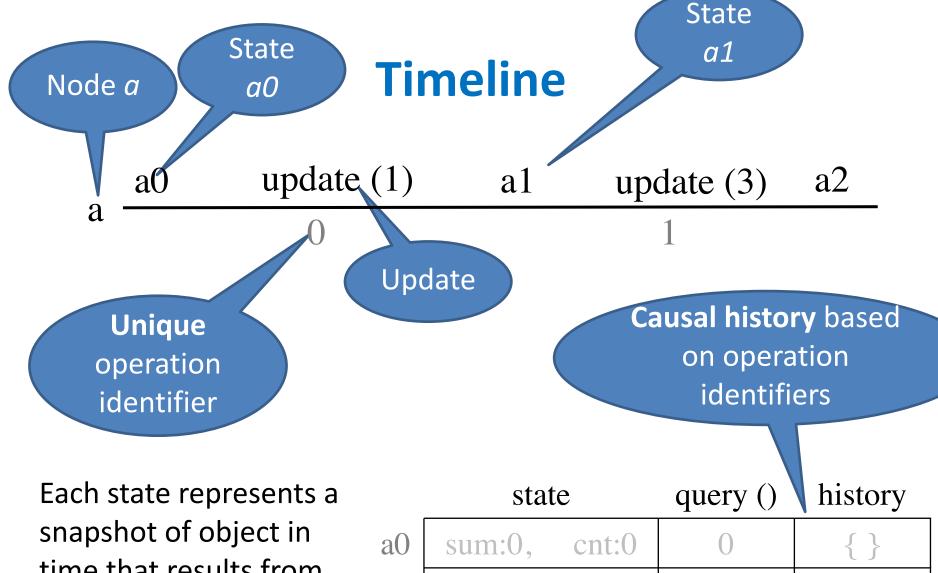
| state | | | query () | history |
|-------|--------|-------|----------|---------|
| a0 | sum:0, | cnt:0 | 0 | {} |
| a1 | sum:1, | cnt:1 | 1 | {0} |
| a2 | sum:4, | cnt:2 | 2 | {0,1} |



| state | | | query () | history |
|-------|--------|-------|----------|---------|
| a0 | sum:0, | cnt:0 | 0 | {} |
| a1 | sum:1, | cnt:1 | 1 | {0} |
| a2 | sum:4, | cnt:2 | 2 | {0,1} |



| | stat | e | query () | history |
|----|--------|-------|----------|---------|
| a0 | sum:0, | cnt:0 | 0 | {} |
| a1 | sum:1, | cnt:1 | 1 | {0} |
| a2 | sum:4, | cnt:2 | 2 | {0,1} |



time that results from updates applied

| state | | | query () | history |
|-------|--------|-------|----------|---------|
| a0 | sum:0, | cnt:0 | 0 | {} |
| a1 | sum:1, | cnt:1 | 1 | {0} |
| a2 | sum:4, | cnt:2 | 2 | {0,1} |

Timeline

Operation identifier is unique across replicas

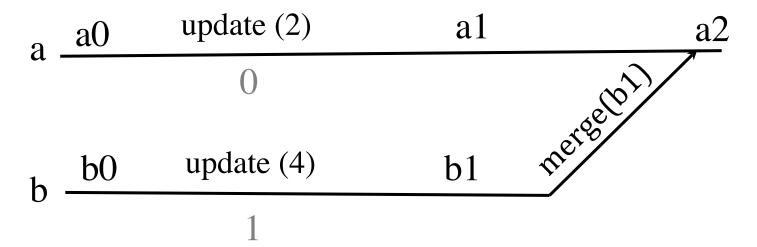
| state | | | query () | history |
|-------|--------|-------|----------|---------|
| a0 | sum:0, | cnt:0 | 0 | {} |
| a1 | sum:1, | cnt:1 | 1 | {0} |
| a2 | sum:4, | cnt:2 | 2 | {0,1} |

States and Causal Histories

If y = x.update(...)where the update has identifier i, then the causal history of y is the causal history of *x* union { *i* }.

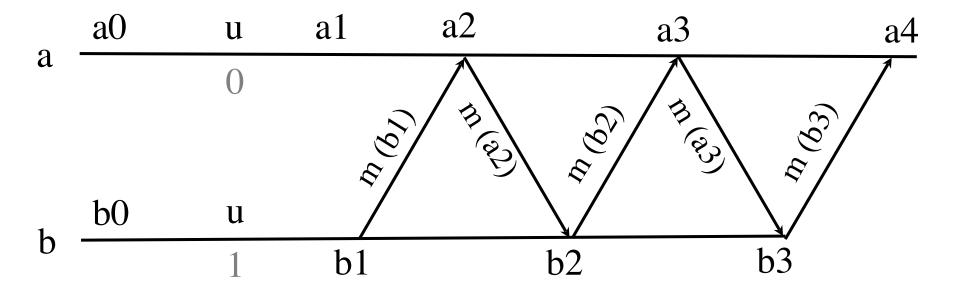
| | stat | :e | query () | history |
|----|--------|-------|----------|---------|
| a0 | sum:0, | cnt:0 | 0 | { } |
| a1 | sum:1, | cnt:1 | 1 | {0} |
| b0 | sum:0, | cnt:0 | 0 | {} |
| b1 | sum:2, | cnt:1 | 2 | {1} |
| b2 | sum:6, | cnt:2 | 3 | {1,2} |

Merge



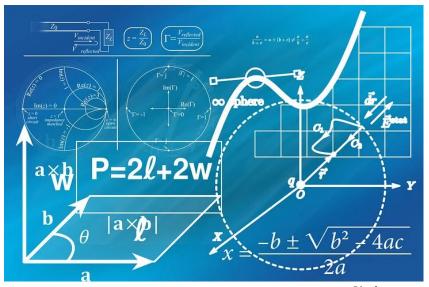
| | state | | query () | history |
|----|--------|-------|----------|---------|
| a0 | sum:0, | cnt:0 | 0 | { } |
| a1 | sum:2, | cnt:1 | 2 | {0} |
| b0 | sum:0, | cnt:0 | 0 | {} |
| b1 | sum:4, | cnt:1 | 4 | {1} |
| a2 | sum:6, | cnt:2 | 3 | {0,1} |

Nodes Periodically Propagate Their State



Self-study Questions

- Think of a few basic data structures, like lists, sets, counters, binary trees, heaps, maps, etc., and visualize for yourself what happens if replicated instances of these structures are updated via gossip.
- For the above data structures, specify merge operations that merge the state of two instances of a given structure.
- Assume merge happens periodically, does your replicated structures' state converge?



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CRDT – EVENTUAL CONSISTENCY, MORE FORMALLY

Eventual Consistency

- A replicated state-based object is
 - eventually consistent if whenever two replicas of the state-based object have the same causal history, they eventually (not necessarily immediately) converge to the same internal state

Strong Eventual Consistency

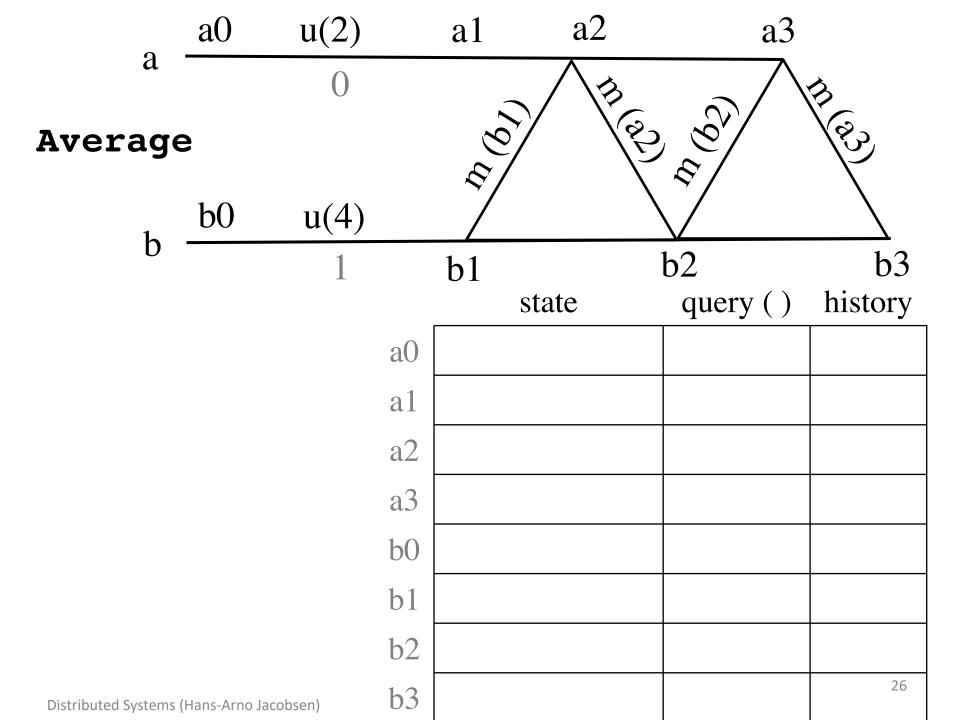
- A replicated state-based object is
 - strongly eventually consistent if whenever two replicas of the state-based object have the same causal history, they (immediately) have the same internal state

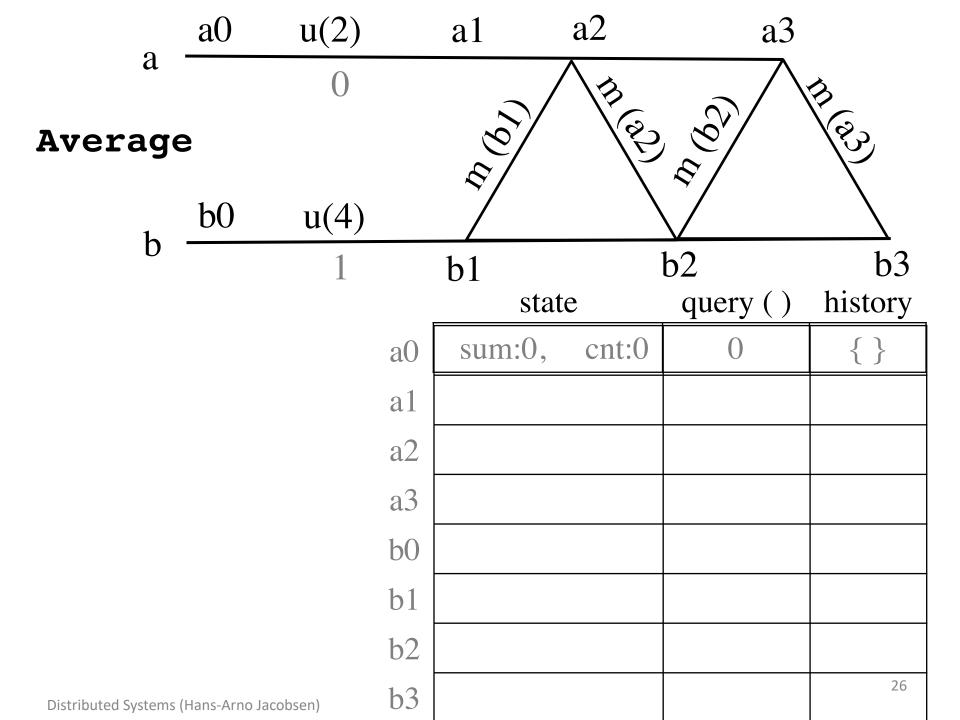
Strong eventual consistency implies eventual consistency

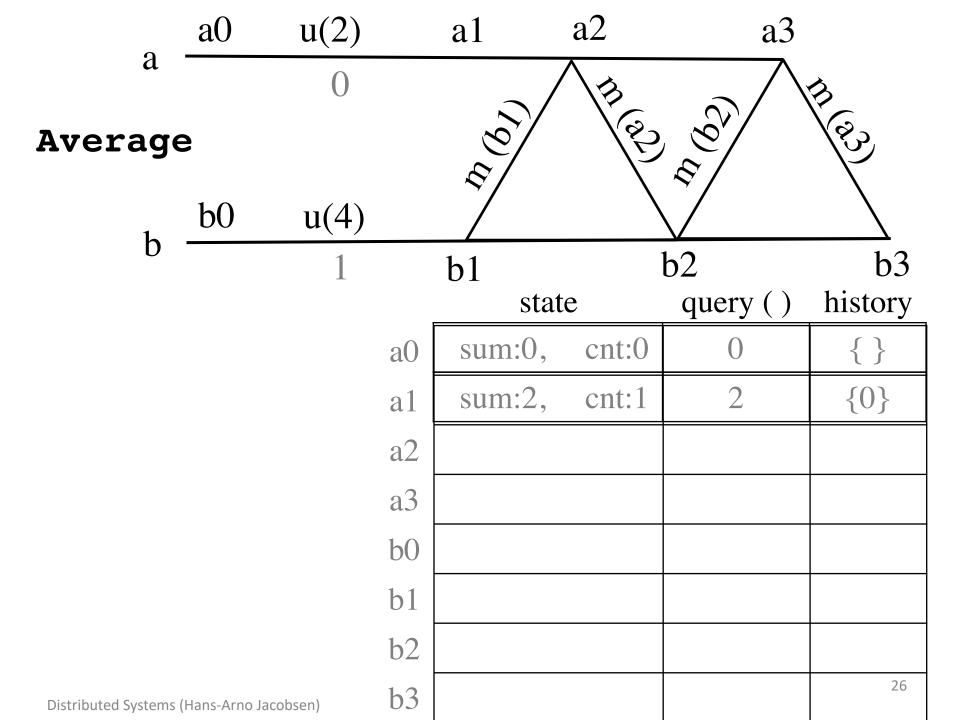
EC or **SEC**

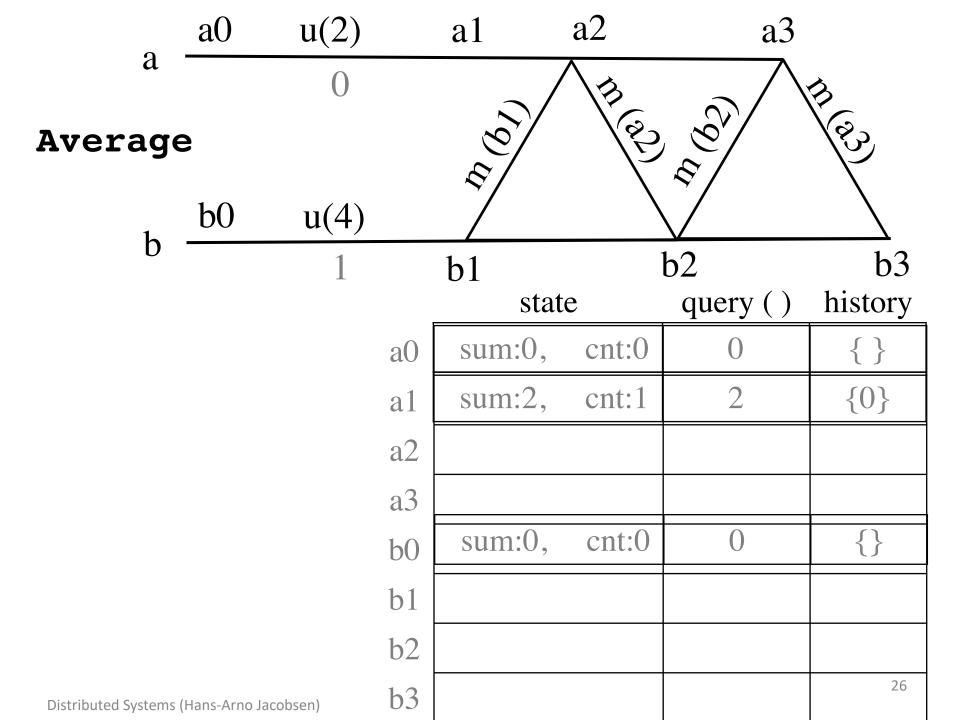
That is the question?

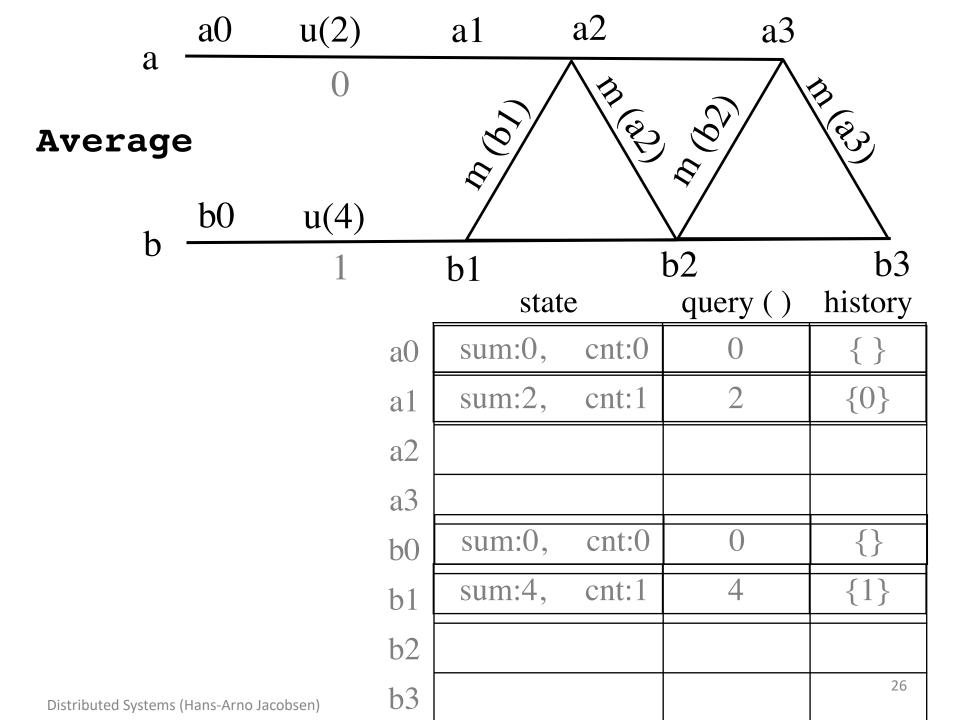
- Variants of our Average object, defined next
 - Average
 - NoMergeAverage
 - BMergeAverage
 - MaxAverage
- Note that some of these objects do not represent realistic functionality (i.e., needed functionality)
- These objects are meant to illustrate convergence concepts only

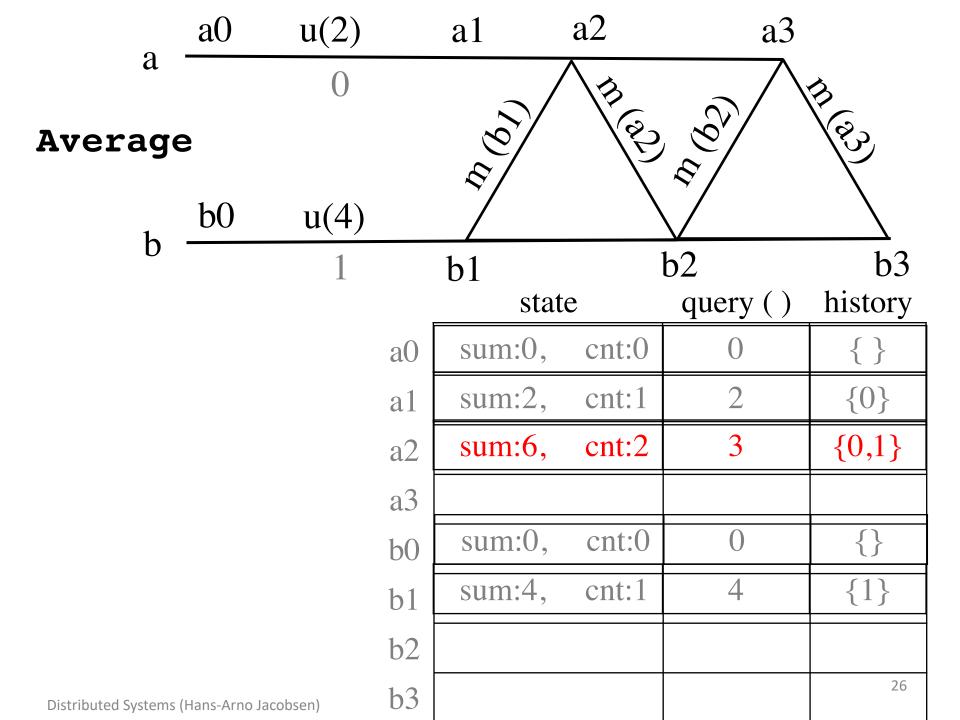


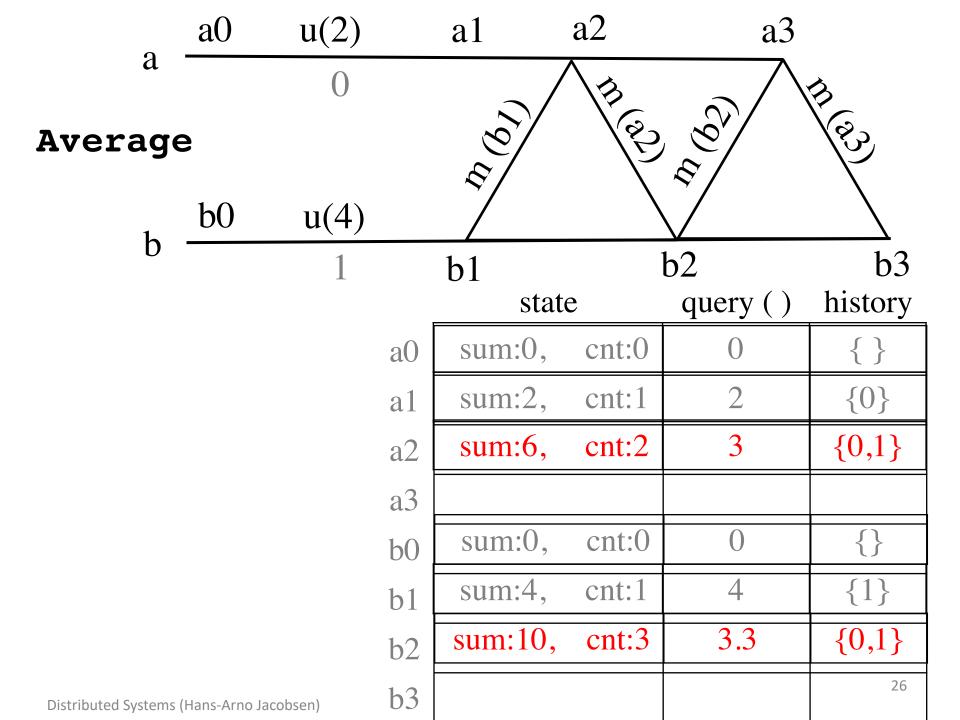


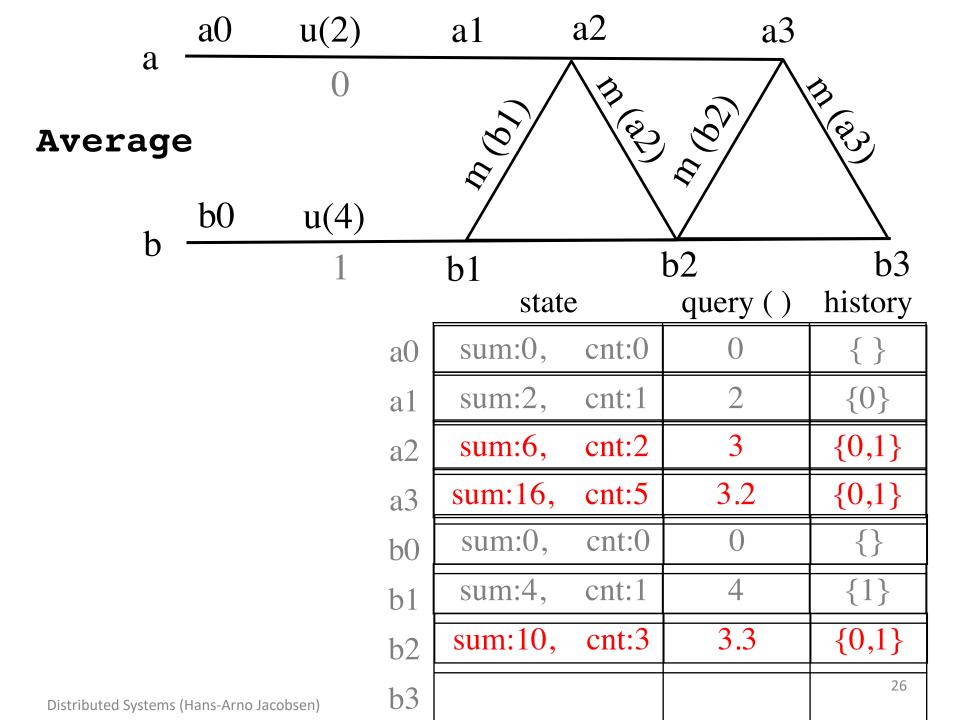


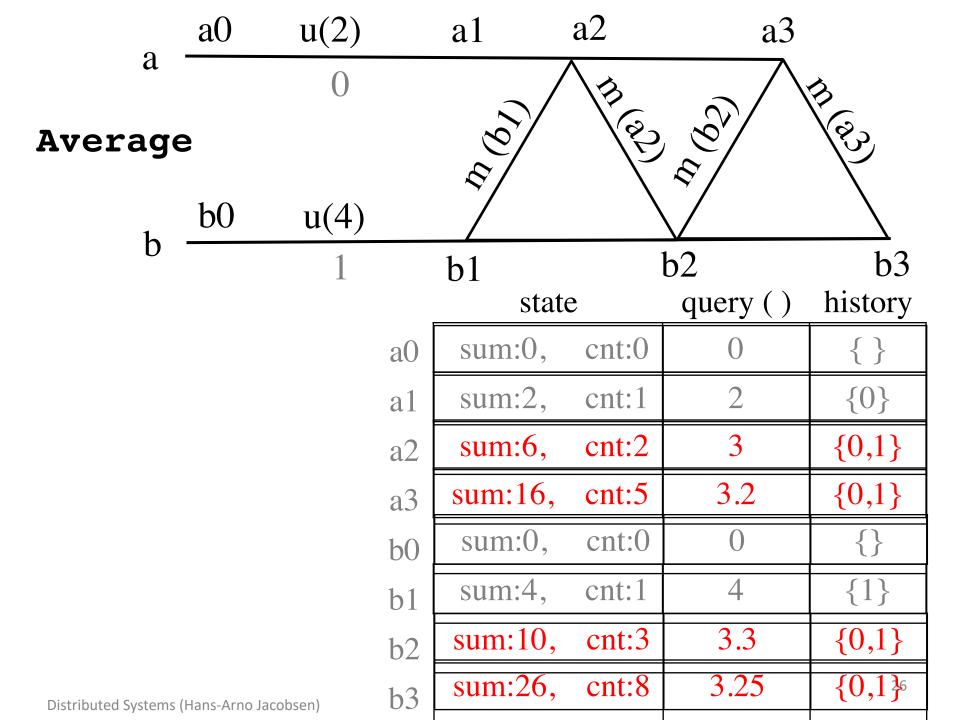


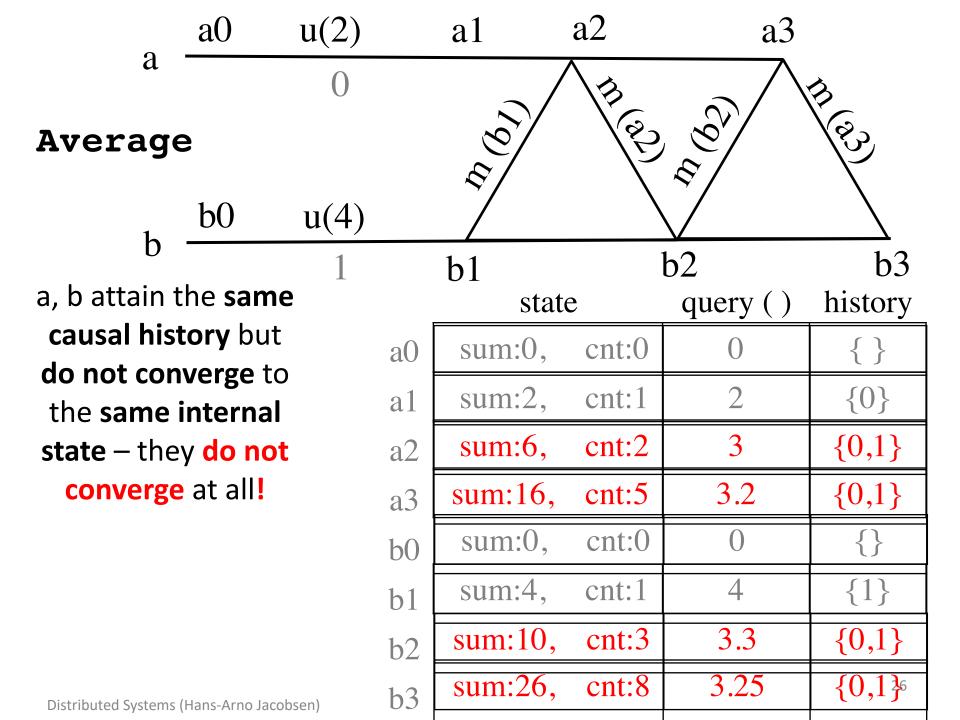


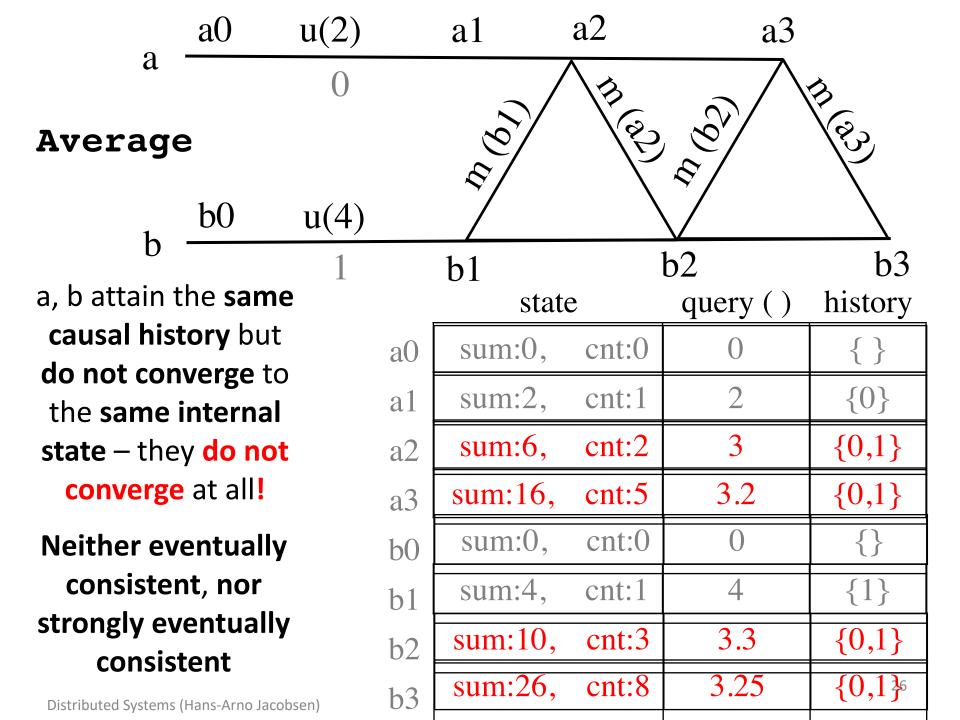








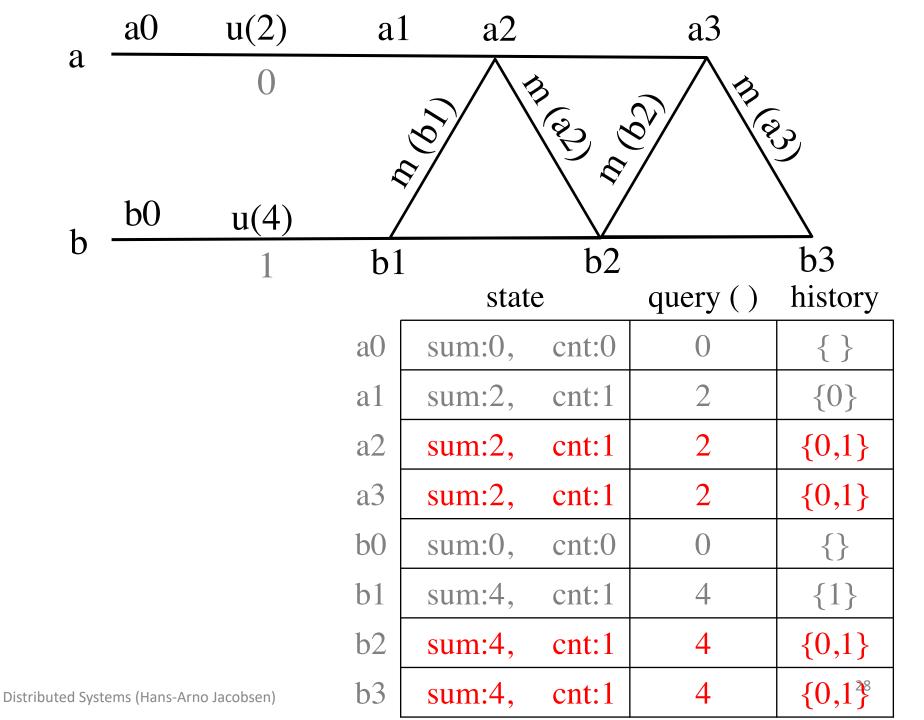


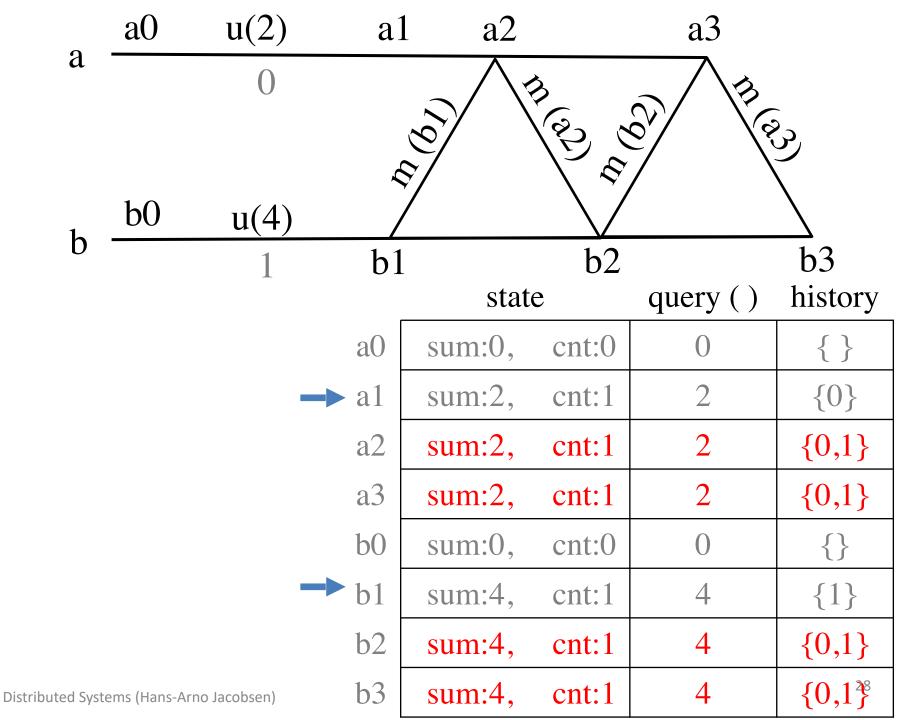


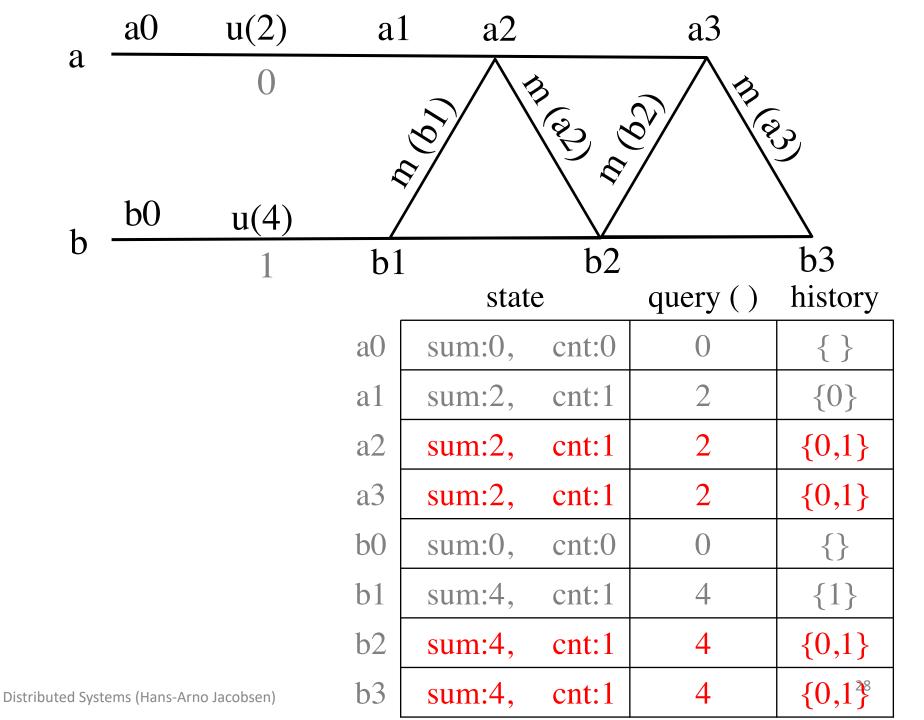
NoMergeAverage

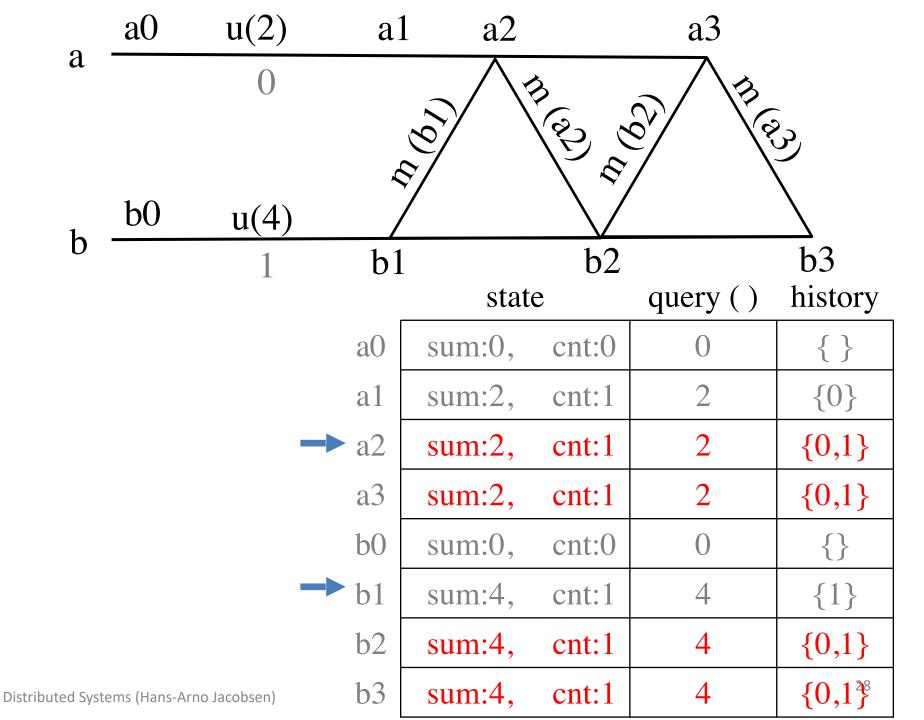
Object's merge does nothing

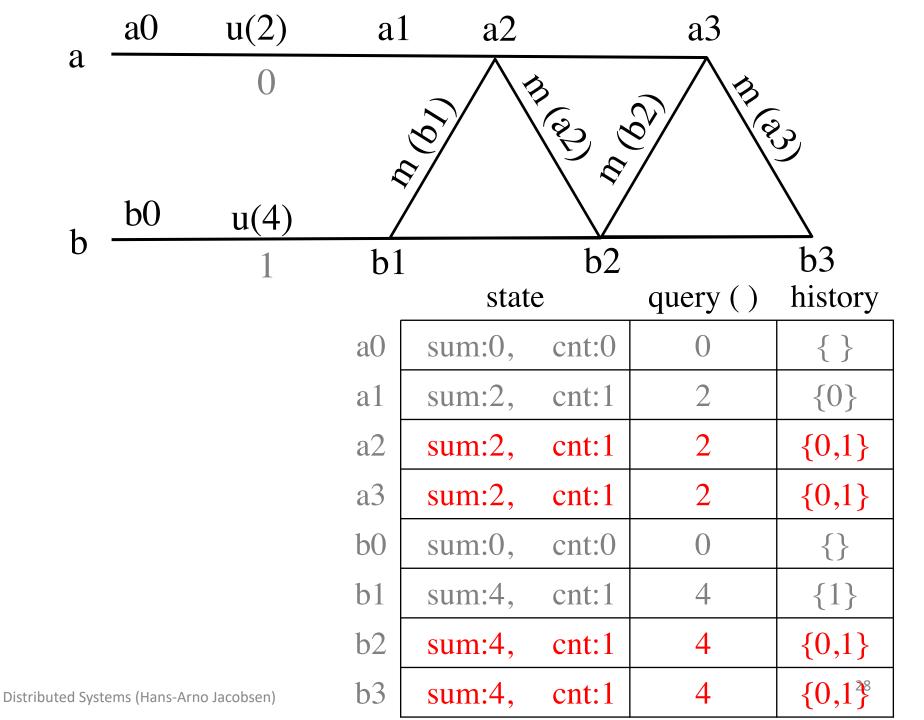
• All else is the same as for Average

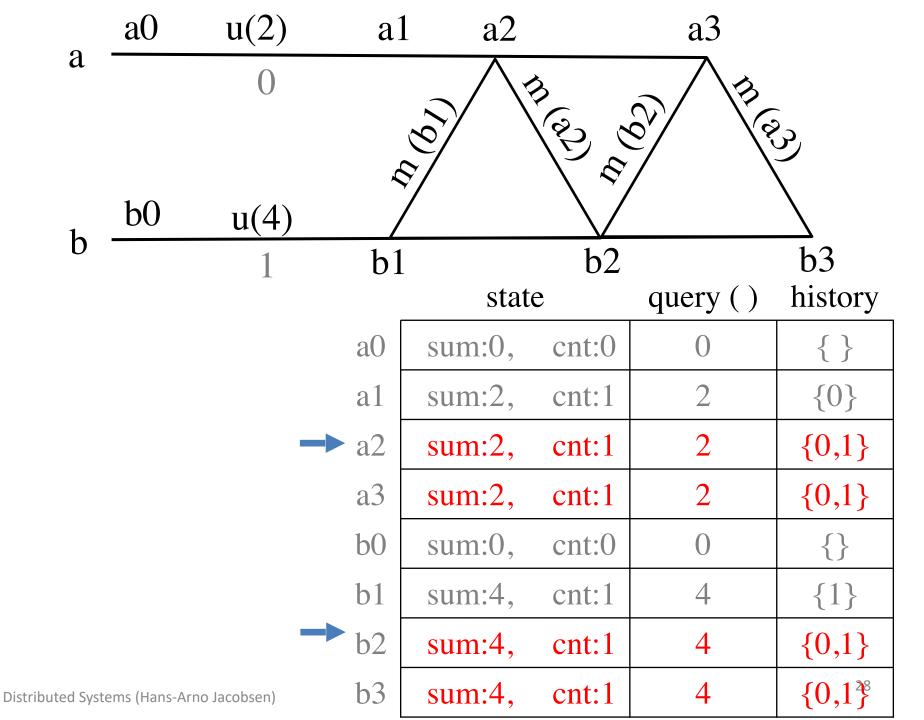


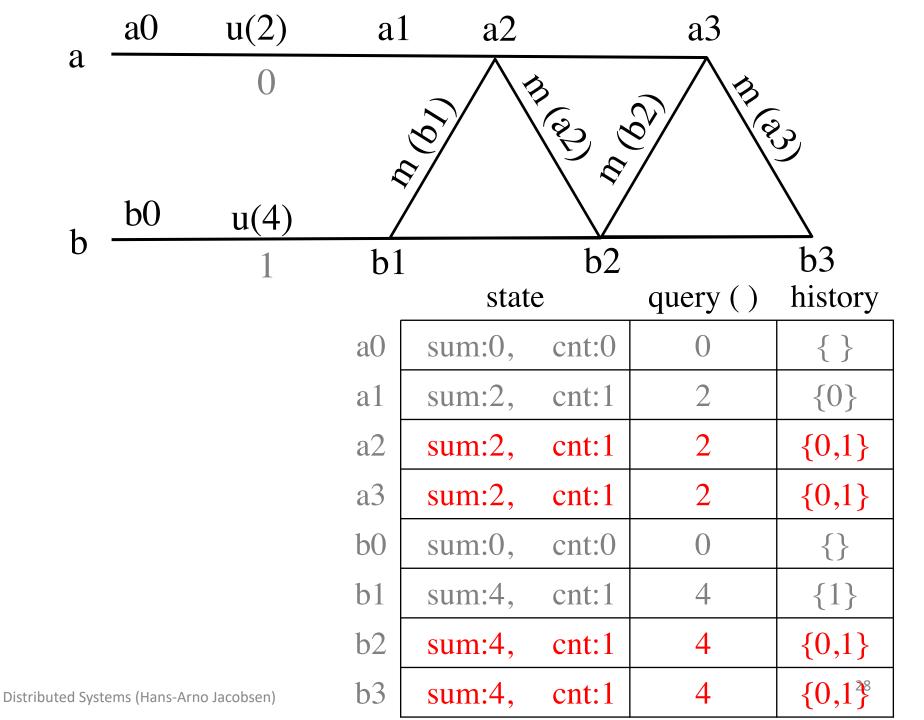


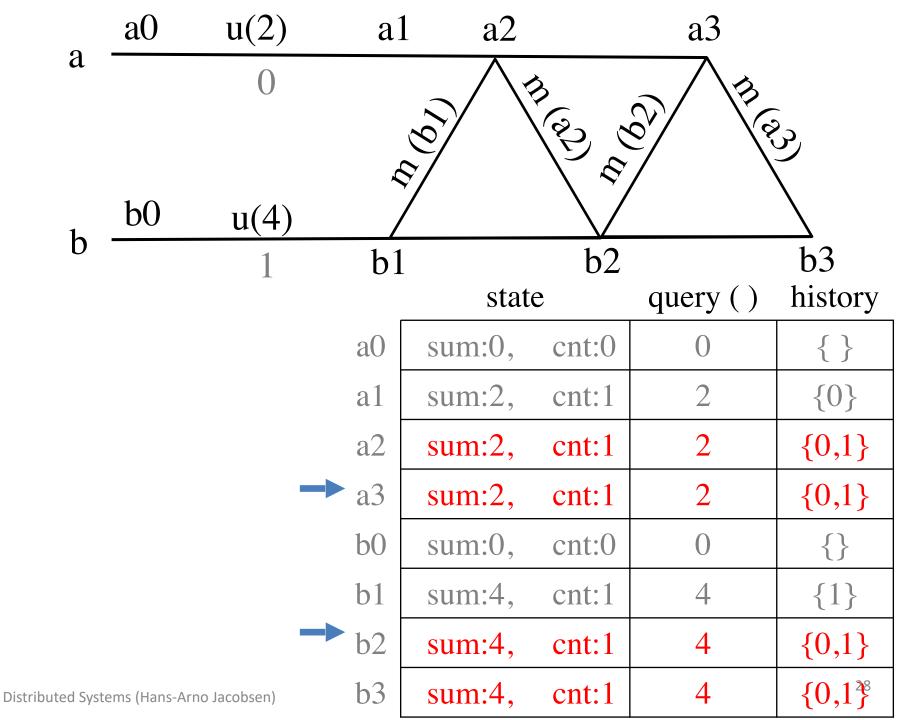


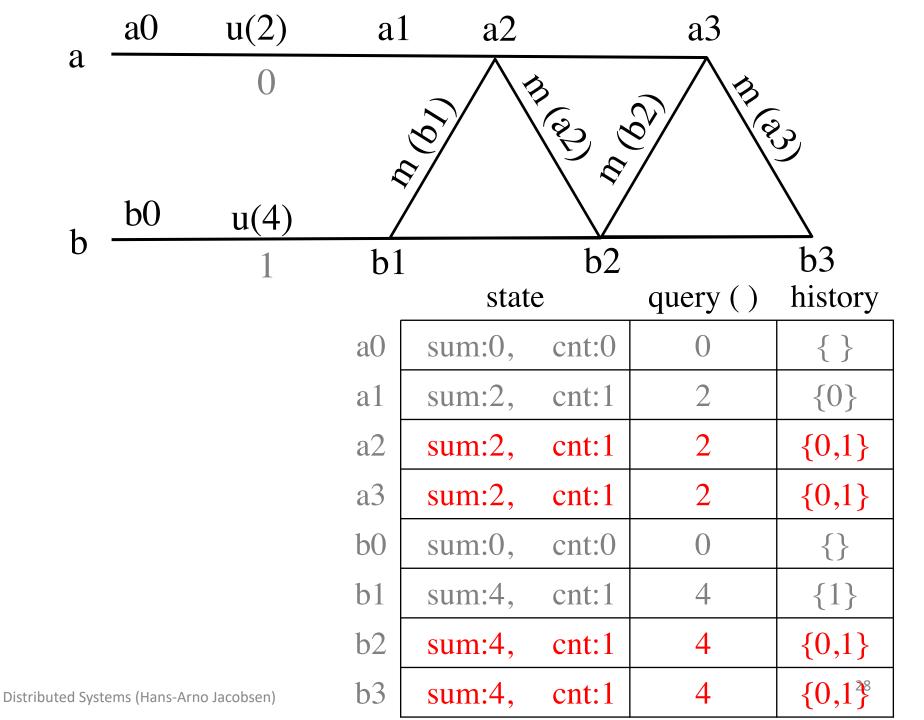


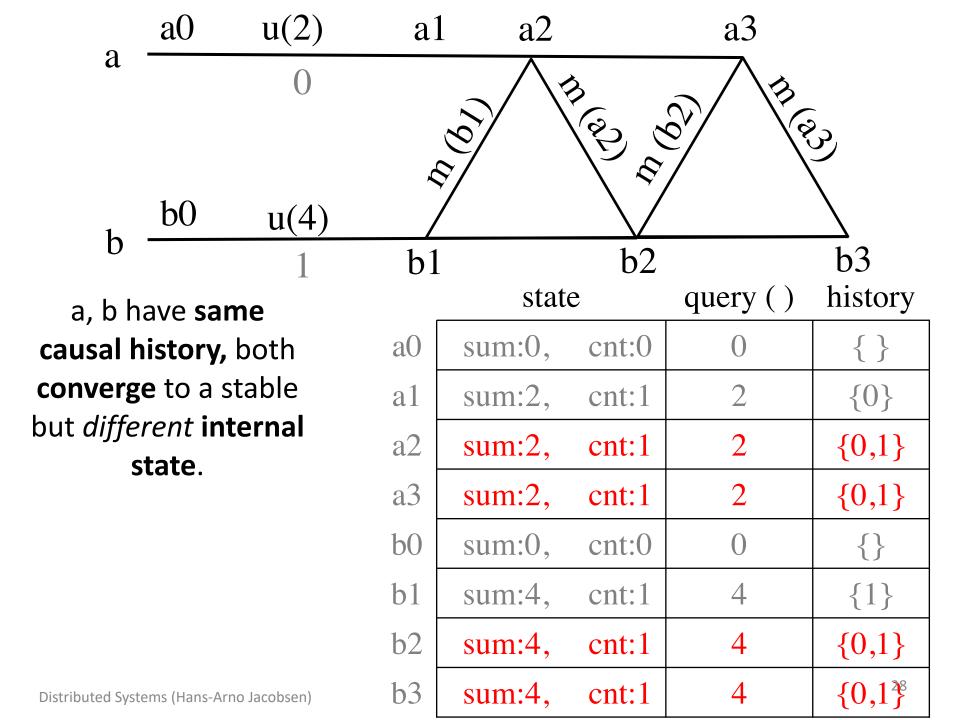


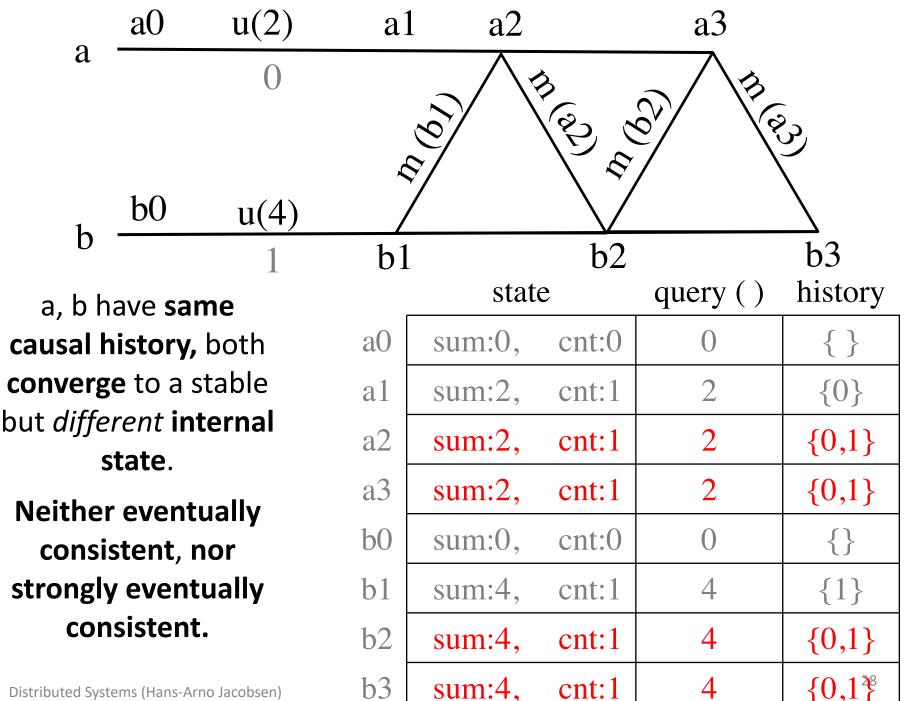










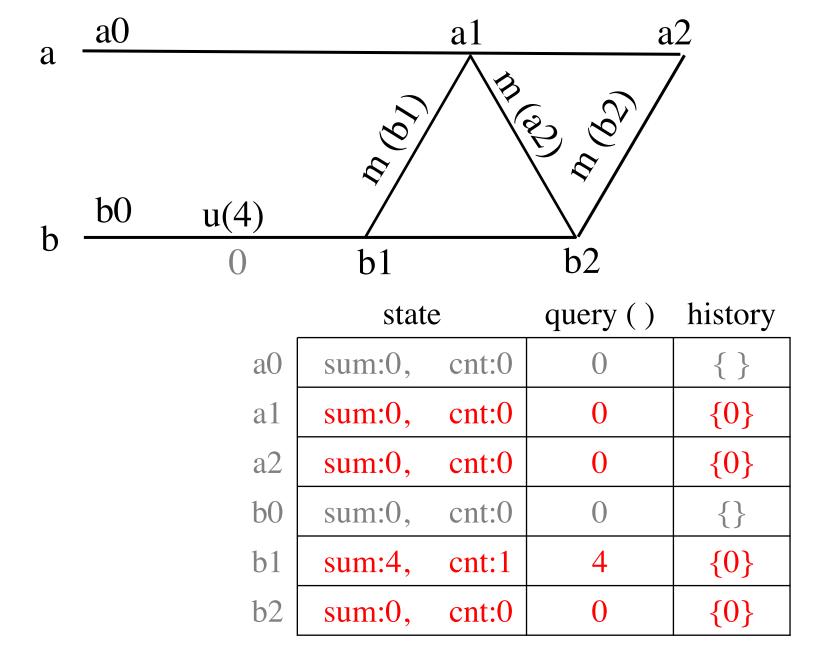


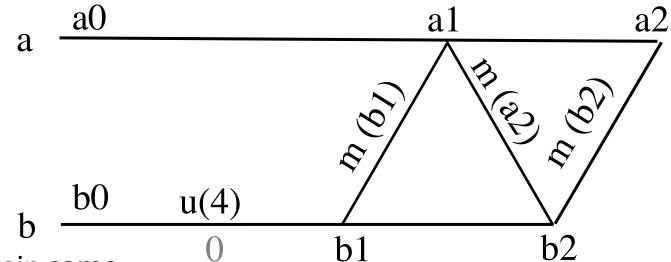
Distributed Systems (Hans-Arno Jacobsen)

BMergeAverage

- Object's merge
 - At b overwrite state with state at a
 - At a do nothing

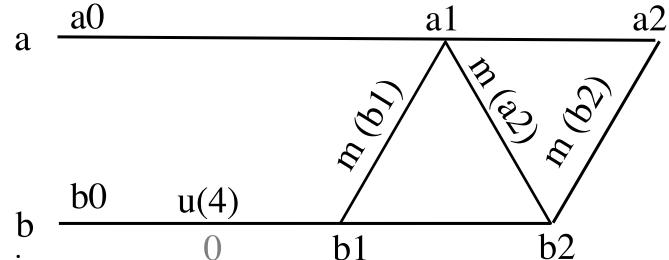
All else is the same as for Average





a, b attain same
causal history, both
eventually converge
to the same internal
state – eventual
consistent.

| | state | | query () | history |
|----|--------|-------|----------|---------|
| a0 | sum:0, | cnt:0 | 0 | { } |
| a1 | sum:0, | cnt:0 | 0 | {0} |
| a2 | sum:0, | cnt:0 | 0 | {0} |
| b0 | sum:0, | cnt:0 | 0 | {} |
| b1 | sum:4, | cnt:1 | 4 | {0} |
| b2 | sum:0, | cnt:0 | 0 | {0} |



a, b attain same
causal history, both
eventually converge
to the same internal
state – eventual
consistent.

a1, b1 have samecausal history butdifferent internal state

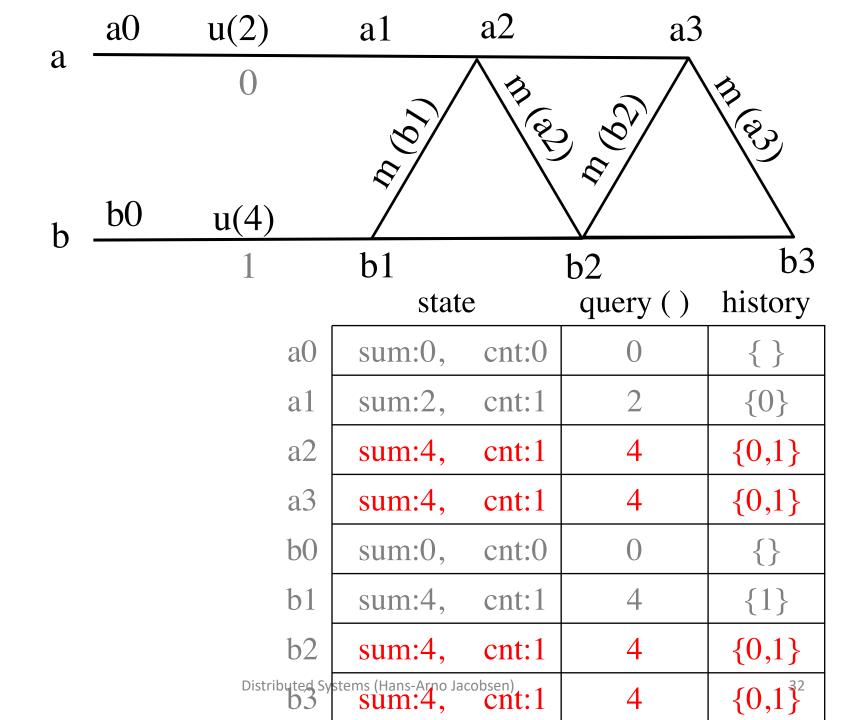
not stronglyeventually consistent

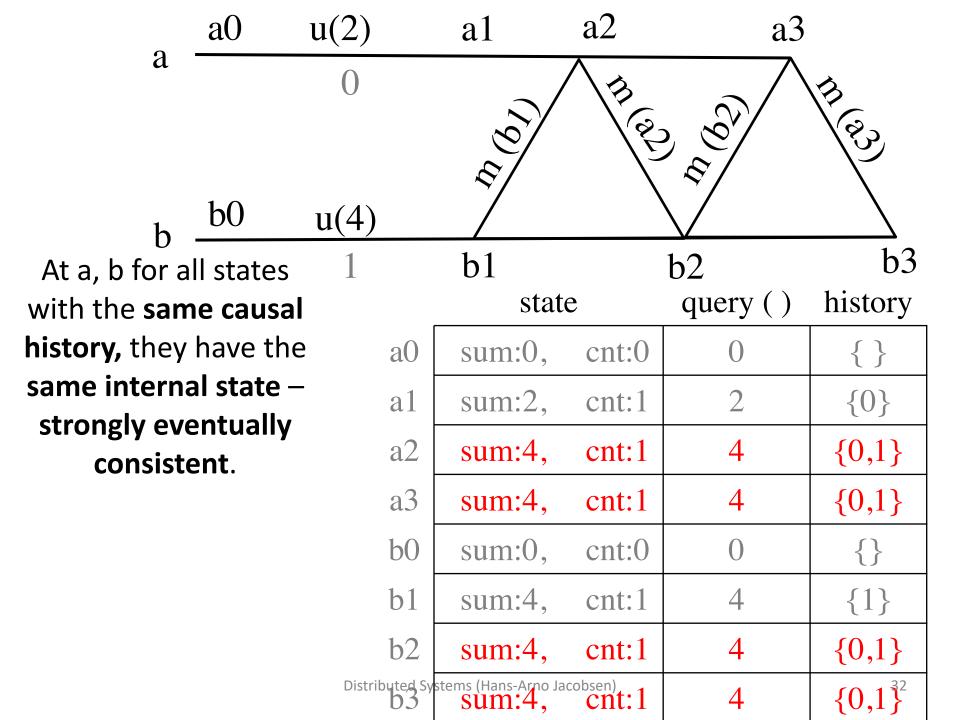
| | state | | query () | history |
|----|--------|-------|----------|---------|
| a0 | sum:0, | cnt:0 | 0 | { } |
| a1 | sum:0, | cnt:0 | 0 | {0} |
| a2 | sum:0, | cnt:0 | 0 | {0} |
| b0 | sum:0, | cnt:0 | 0 | {} |
| b1 | sum:4, | cnt:1 | 4 | {0} |
| b2 | sum:0, | cnt:0 | 0 | {0} |

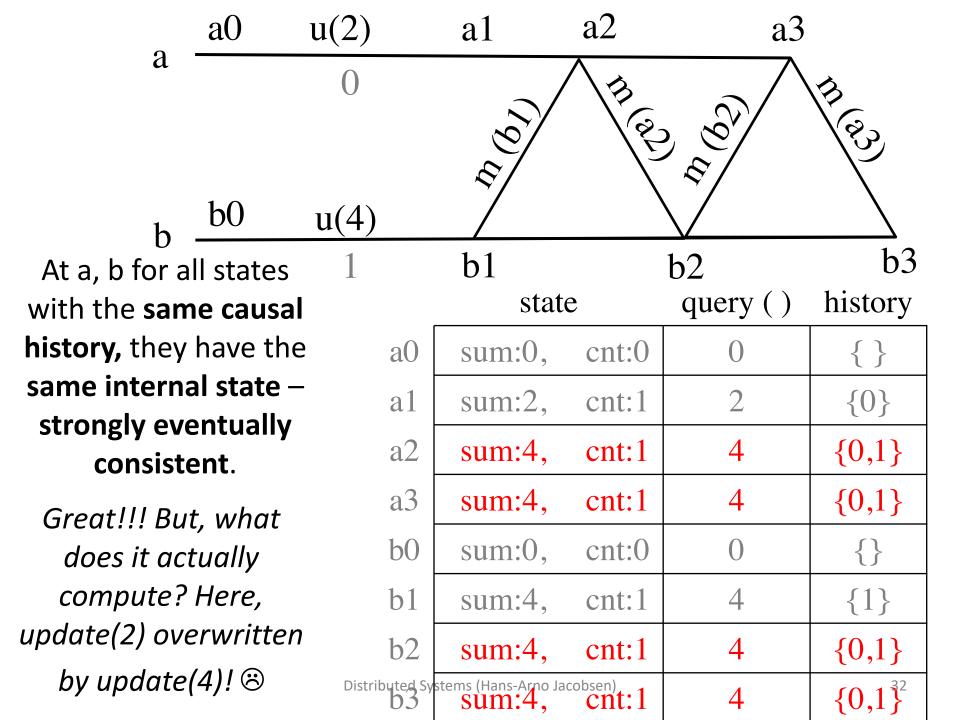
MaxAverage

- Object's merge
 - Pair-wise max of sum and cnt

All else is the same as for Average







Lessons Learned I

- Same causal history, different internal state
- Same causal history, same stale state but different internal state
- Same causal history, eventually same internal state
- Same causal history, always same internal state SEC

| | C? | EC? | SEC? |
|----------------|-----|-----|------|
| Average | no | no | no |
| NoMergeAverage | yes | no | no |
| BMergeAverage | yes | yes | no |
| MaxAverage | yes | yes | yes |

Designing a **strongly eventually consistent state-based object** with intuitive semantics is challenging!

Lessons Learned II

- Replicated state-based object
- No convergence
- Convergence
- Eventual consistency in this model
- Strong eventual consistency in this model

Self-study Questions

- Can you design Average such that it becomes EC or SEC as well as offers correct averaging semantics?
- Think of other data structures and design update, query, and merge operations with reasonable semantics.
- Always draw timelines and state diagrams for your designs and proof EC or SEC, if possible.
- Think of data structures that support multiple update operations and one or more query operations.



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CRDT – CONFLICT-FREE REPLICATED DATA TYPES

Conflict-Free Replicated Data Types

- CRDT is a conflict-free replicated state-based object
- CRDT handles concurrent writes

Intuition:

- Do not allow writes with arbitrary values, limit to write operations which are guaranteed not to conflict
- CRDTs are data structures with special write operations; they guarantee strong eventual consistency and are monotonic (no rollbacks)
- CRDTs are no panacea but a great solution when they apply!

Conflict-Free Replicated Data Types

- CRDTs can be commutative / op-based (CmRDT):
 - Example: A growth-only counter, which can only process increment operations
 - Propagate operations among replicas (duplicate-free, no-loss messaging)
- CRDTs can be convergent / state-based (CvRDT):
 - Example: A max register, which stores the maximum value written
 - Propagate and merge states (idempotent)
- Therefore, the value of a CRDT depends on multiple write operations or states, not just the latest one

State-based CRDTs

A CRDT is a replicated state-based object

- Supports
 - Query
 - Update
 - Merge

CRDT Properties

A CRDT is a replicated state-based object that satisfies

- Merge is associative (e.g., (A + (B + C)) = ((A + B) + C))
 - For any three state-based objects x, y, and z,
 merge(merge(x, y), z) is equal to merge(x, merge(y, z))
- Merge is commutative (e.g., A + B = B + A)
 - For any two state-based objects, x and y, merge(x, y) is equal to merge(y, x)
- Merge is idempotent
 - For any state-based object x, merge(x, x) is equal to x
- Every update is increasing
 - Let x be a state-based object and let y = update(x, ...) be the result of applying an update to x
 - Then, update is increasing if merge(x, y) is equal to y

Max Register is a CRDT

The state-based object IntMax is a CRDT

- IntMax wraps an integer
- Merge (a, b) is the max of a, b
- Update (x) adds x to the wrapped integer
- Prove that IntMax is associative, commutative, idempotent, increasing

```
class IntMax(object):
  def init (self):
    self.x = 0
  def query(self):
    return self.x
  def update(self, x):
    assert x >= 0
    self.x += x
  def merge(self,
      other):
    self.x =
      max(self.x,
        other.x)
```

Establish Four Properties of CRDT

Associativity

```
merge(merge(a, b), c)
= max(max(a.x, b.x), c.x)
= max(a.x, max(b.x, c.x))
= merge(a, merge(b, c))
```

Impotence

```
merge(a, a)
= max(a.x, a.x)
= a.x
= a
```

Commutativity

```
merge(a, b)
= max(a.x, b.x)
= max(b.x, a.x)
= merge(b, a)
```

Update is increasing

```
merge(a, update(a, x))
= max(a.x, a.x + x)
= a.x + x
= update(a, x)
```

G-Counter CRDT

Replicated growth-only counter

- Internal state of a G-Counter replicated on n nodes is an n-length array of non-negative integers
- query returns sum of every element in n-length array
- add (x) when invoked on the i-th server, increments the i-th entry of the n-length array by x
 - E.g., Server 0 increments 0th entry, Server 1 increments 1st entry of array, and so on
- merge performs a pairwise maximum of the two arrays

PN-Counter CRDT

Replicated counter supporting addition & subtraction

- Internal state of a PN-Counter
 - pair of two G-Counters named p and n.
 - p represents total value added to PN-Counter
 - *n* represents total value subtracted from PN-Counter.
- query method returns difference p.query() n.query()
- add (x) -first of two updates invokes p.add (x)
- sub(x) -second of two updates invokes n.add(x)
- merge performs a pairwise merge of p and n

G-Set CRDT

Replicated growth-only set

A G-Set CRDT represents a replicated set which can be added to but not removed from

- Internal state of a G-Set is just a set
- query returns the set
- add (x) adds x to the set
- merge performs a set union

2P-Set CRDT

Replicated set supporting addition and subtraction

- Internal state of a 2P-Set is a
 - pair of two G-Sets named a and r
 - a represents set of values added to the 2P-Set
 - r represents set of values removed from the 2P-Set
- query method returns the set difference
 a.query() r.query()
- add (x) is the first of two updates
 - invokes a.add(x).
- sub (x) is the second of two updates
 - invokes r.add(x)
- merge performs a pairwise merge of a and r

Summary on CRDTs

Formalized and introduced in 2014

CmCRDTs and CvCRDTs are equivalent!

Really neat solution if it applies

Challenge is to design new CRDTs

Self-study Questions

- For the CRDTs introduced, establish its four properties.
- Create example executions for each CRDT and complete a timeline and a state table.
- Fine use cases where the introduced CRDTs apply and show how they are used.
- Think of new CRDTs and repeat the above.

