

# 1 **Simulating the Global Distribution of Nitrogen Isotopes in the Ocean**

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## 21 22 23 **Abstract**

24 We present a new nitrogen isotope model incorporated into the three-dimensional ocean component of  
25 a global Earth System Climate Model designed for millennial timescale simulations. The model  
26 includes prognostic tracers for the two stable nitrogen isotopes,  $^{14}\text{N}$  and  $^{15}\text{N}$ , in the nitrate ( $\text{NO}_3^-$ ),  
27 phytoplankton, zooplankton, and detritus variables of the marine ecosystem model. The isotope effects  
28 of algal  $\text{NO}_3^-$  uptake, nitrogen fixation, water column denitrification, and zooplankton excretion are  
29 considered as well as the removal of  $\text{NO}_3^-$  by sedimentary denitrification. A global database of  
30  $\delta^{15}\text{NO}_3^-$  observations is compiled from previous studies and compared to the model results on a  
31 regional basis where sufficient observations exist. The model is able to qualitatively and quantitatively  
32 reproduce many of the observed patterns such as high subsurface values in water column denitrification  
33 zones and the meridional and vertical gradients in the Southern Ocean. The observed pronounced  
34 subsurface minimum in the Atlantic is underestimated by the model presumably owing to too little  
35 simulated nitrogen fixation there. Sensitivity experiments reveal that algal  $\text{NO}_3^-$  uptake, nitrogen

36 fixation and water column denitrification have the strongest effects on the simulated distribution of  
37 nitrogen isotopes, whereas the effect from zooplankton excretion is weaker. Both water column and  
38 sedimentary denitrification also have important indirect effects on the nitrogen isotope distribution by  
39 lowering the fixed nitrogen inventory, which creates an ecological niche for nitrogen fixers and, thus,  
40 stimulates additional N<sub>2</sub> fixation in the model. Important model deficiencies are identified, and  
41 strategies for future improvement and possibilities for model application are outlined.

42

## 43 **1. Introduction**

44 Bioavailable nitrogen (fixed-N) is one of the major limiting nutrients for algal photosynthesis, which  
45 drives the sequestration of CO<sub>2</sub> from the surface ocean and atmosphere into the deep ocean via the  
46 sinking of organic matter. Changes in this so-called 'biological pump' have been hypothesized to  
47 account for a significant amount of the glacial-interglacial fluctuations in atmospheric CO<sub>2</sub> [McElroy,  
48 1982; Falkowski, 1997]. However, the relative contributions of the biological and physical carbon  
49 pumps to CO<sub>2</sub> variations remain controversial. The size of the oceanic fixed-N inventory, which  
50 regulates the strength of the biological pump, is controlled by different biogeochemical processes that  
51 are difficult to constrain quantitatively in a global budget [Codispoti, 2007]. Nitrogen isotopes (both in  
52 dissolved and organic N species) in the water column and sea floor sediments are sensitive indicators  
53 of those processes [Brandes and Devol, 2002; Altabet, 2007].

54

55 Many N-transformational processes alter the ratio of the two stable forms of the nitrogen isotopes, <sup>14</sup>N  
56 and <sup>15</sup>N, differently, a process referred to as fractionation. Resulting variations in N isotopic  
57 composition can be described as deviations in <sup>15</sup>N/<sup>14</sup>N ratio from an accepted standard

58

$$59 \quad \delta^{15}N = [(^{15}N/^{14}N) / R_{std} - 1] \times 1000 \quad , \quad (1)$$

60

61 where  $R_{std}$  is the  $^{15}\text{N}/^{14}\text{N}$  ratio of atmospheric  $\text{N}_2$  gas. Isotope fractionation can occur due to kinetic  
62 processes (i.e., different reaction rates for isotopes in a reactant-product stream). It generally results in  
63 the enrichment of the heavier  $^{15}\text{N}$  isotope in the reaction substrate, and its depletion in the product. For  
64 example, preferential discrimination against  $^{15}\text{N}$  relative to  $^{14}\text{N}$  during algal  $\text{NO}_3^-$  assimilation results  
65 in net enrichment of  $^{15}\text{N}$  in the residual  $\text{NO}_3^-$  and net depletion of  $^{15}\text{N}$  in organic matter (OM). The  
66 degree of isotopic discrimination, or fractionation, for each process can be quantified with an  
67 enrichment factor,  $\varepsilon = (^{14}\text{k}/^{15}\text{k} - 1) \cdot 1000$ , where  $k$  is the specific reaction rate for each isotope  
68 [Mariotti *et al.*, 1981].

69

70 The predominant source and sink terms of the oceanic fixed-N inventory,  $\text{N}_2$  fixation and  
71 denitrification, respectively, have their own distinct effects on the marine N isotope budgets.  $\text{N}_2$ -fixing  
72 bacteria (diazotrophs) introduce bioavailable N into the ocean close to that of atmospheric  $\text{N}_2$  ( $\delta^{15}\text{N} \approx$   
73  $-2 - 0\text{‰}$ ) [Delwiche and Steyn, 1970 ; Minagawa and Wada, 1986; Macko *et al.*, 1987; Carpenter *et*  
74 *al.*, 1997; Montoya *et al.*, 2002]. Denitrification occurs under suboxic conditions ( $\text{O}_2 < 5 \mu\text{mol/kg}$ ) in  
75 the water column and in the sea floor sediments. Here, microbes use  $\text{NO}_3^-$  instead of  $\text{O}_2$  as the electron  
76 acceptor during respiration and convert it to gaseous forms of N ( $\text{N}_2\text{O}$  and  $\text{N}_2$ ), which can then escape  
77 to the atmosphere [Codispoti and Richards, 1976]. The volume and distribution of suboxic water is  
78 affected by the temperature-dependent solubility of  $\text{O}_2$  at the surface and the rate of subduction of  
79 oxygen-saturated water masses to greater depths, as well as the amount of organic matter that  
80 remineralizes in the ocean interior, both of which are sensitive to changes in climate.

81

82 Denitrifiers preferentially consume  $^{14}\text{NO}_3^-$  leaving the residual oceanic  $\text{NO}_3^-$  pool strongly enriched in  
83 the heavier  $^{15}\text{N}$ , with N isotope enrichment factors between  $20 - 30\text{‰}$  [Cline and Kaplan, 1975; Liu

84 and Kaplan, 1989; Brandes et al, 1998; Altabet et al., 1999; Voss et al., 2001; Sigman et al., 2003].  
85 Sedimentary denitrification is generally limited by the amount of  $\text{NO}_3^-$  that diffuses into the reactive  
86 zones within the sediments. Therefore, it consumes nearly all of the influxing  $\text{NO}_3^-$  available, leaving  
87 nearly unaltered  $\delta^{15}\text{N}$  values in the overlying waters [Brandes and Devol, 1997, 2002; Sigman et al.,  
88 2003; Lehmann et al., 2004, 2007]. The average oceanic  $\delta^{15}\text{NO}_3^-$  value near 5‰ [Sigman et al., 1997,  
89 1999] can be interpreted as the balance between the isotope effects of water column denitrification,  
90 sedimentary denitrification, and  $\text{N}_2$  fixation [Brandes and Devol, 2002; Deutsch et al., 2004; Galbraith  
91 et al., 2004; Altabet, 2007].

92  
93 The  $\delta^{15}\text{N}$  signal in the water column and sea floor sediments is also affected by fractionation processes  
94 within the food chain. Marine algae preferentially assimilate the lighter  $^{14}\text{N}$  into their biomass with a  
95 range of enrichment factors estimated in the field between 4 – 15‰ [Wada, 1980; Altabet et al., 1991;  
96 Altabet and Francois, 1994; Altabet et al., 1999; Sigman et al., 1999; Altabet and Francois, 2001; Karsh  
97 et al., 2003; DiFiore et al., 2006]. Nitrogen is not lost or gained from the ocean during algal  $\text{NO}_3^-$   
98 assimilation, but the spatial separation between net assimilation and remineralization can cause a trend  
99 of decreasing  $\delta^{15}\text{NO}_3^-$  with depth. Distinguishing between the different isotope effects remains a  
100 challenge, especially in regions where multiple N-transformational processes are occurring within close  
101 proximity.

102  
103 This study adds a dynamic nitrogen isotope module to an existing global ocean-atmosphere-sea ice-  
104 biogeochemical model designed for millennial timescale simulations. We provide a detailed  
105 description of the nitrogen isotope model and an assessment of its skill in reproducing present day  
106  $\delta^{15}\text{NO}_3^-$  observations. Comparison of model results with  $\delta^{15}\text{N}$  observations will also be used to help to  
107 quantify processes that affect the global oceanic distribution of  $\delta^{15}\text{N}$ . Sensitivity experiments illustrate

108 the individual isotope effects of different processes on the spatial distribution of the N isotopes. In  
109 combination with measurements in ocean sediments and in the water column, the model can be a tool  
110 to better understand variations of  $\delta^{15}\text{N}$  and the nitrogen cycle in the past and present.

111

## 112 **2. Model Description**

### 113 **2.1 Physical Model**

114 The physical model is based on the University of Victoria Earth System Climate Model [*Weaver et al.*,  
115 2001], version 2.8. It includes a global, three-dimensional general circulation model of the ocean  
116 (Modular Ocean Model 2) with physical parameterizations such as diffusive mixing along and across  
117 isopycnals, eddy induced tracer advection [*Gent and McWilliams*, 1990] and a scheme for the  
118 computation of tidally induced diapycnal mixing over rough topography [*Simmons et al.*, 2004].  
119 Nineteen vertical levels are used with a horizontal resolution of  $1.8^\circ \times 3.6^\circ$ . To improve the simulation  
120 of equatorial currents, we have increased the meridional resolution in the tropics to  $0.9^\circ$  (between  $10^\circ\text{S}$   
121 and  $10^\circ\text{N}$  and smoothly transitioning to  $1.8^\circ$  at  $20^\circ\text{N/S}$ ) and added an anisotropic viscosity scheme  
122 [*Large et al.*, 2001]. A more detailed description of this parameterization and its effect on the  
123 equatorial circulation is provided in Supplementary Material 1. To account for the overestimated  
124 ventilation in the North Pacific, an artificial stratifying force equal to 0.05 Sv of freshwater is applied  
125 over the surface north of  $55^\circ$  in the Pacific and compensated elsewhere. A two dimensional, single  
126 level energy-moisture balance model of the atmosphere and a state-of-the-art dynamic-thermodynamic  
127 sea ice model are used, forced with prescribed NCEP/NCAR monthly climatological winds.

128

### 129 **2.2 Marine Ecosystem Model**

130 The marine ecosystem model is an improved version of the NPZD (Nutrient, Phytoplankton,  
131 Zooplankton, Detritus) ecosystem model of *Schmittner et al.* [2008] (Figure 1). The organic variables

132 include two classes of phytoplankton,  $N_2$ -fixing diazotrophs ( $P_D$ ) and a  $NO_3^-$  assimilating algal  
 133 phytoplankton class ( $P_A$ ), as well as zooplankton ( $Z$ ) and organic detritus ( $D$ ). The inorganic variables  
 134 include dissolved oxygen ( $O_2$ ) and two nutrients, nitrate ( $NO_3^-$ ) and phosphate ( $PO_4^{3-}$ ), both of which  
 135 are consumed by phytoplankton and remineralized in fixed elemental ratios ( $R_{N:P} = 16$ ,  $R_{O:P} = 170$ ).  
 136 We note, though, that diazotrophs have been found to have  $R_{N:P}$  as high as 50:1 (e.g., *Letelier and Karl*,  
 137 [1996, 1998]). This simplification is one of the reasons why the nitrogen surplus  $N' = NO_3^- - 16PO_4^{3-}$   
 138 is generally underestimated in surface waters in the model (Figure S2-1). In addition to water column  
 139 denitrification and  $N_2$  fixation, we now include a parameterization for sedimentary denitrification (see  
 140 Supplementary Material 2, equation S2-11 and Figure 2), based on the flux of organic carbon into the  
 141 sea floor sediments [*Middleburg et al.*, 1996]. Since the model underestimates coastal upwelling, which  
 142 drives large fluxes of organic carbon to the sea floor sediments, this parameterization is tuned to fit the  
 143 global mean  $\delta^{15}NO_3^-$  observations. The complete marine ecosystem model description is provided in  
 144 Supplementary Material 2. A comparison of the global distribution of  $NO_3^-$ ,  $O_2$ , and  $N'$  with World  
 145 Ocean Atlas 2005 (WOA05) observations is shown in Figure S2-1.

146

147 Water column denitrification is present in three main locations of the present-day oceans: the Eastern  
 148 Tropical North Pacific (ETNP), the Eastern Tropical South Pacific (ETSP) and the Arabian Sea.  
 149 Deficiencies in the physical circulation model simulate suboxic water in only one of these locations,  
 150 the ETNP (Figure S2-1b). The physical circulation model integrates coastal upwelling over a  
 151 horizontal extent that is too large (due to its coarse resolution), which results in the underestimation of  
 152 upwelling, export production, and the remineralization of organic matter at depth. This bias leads to  
 153 too high  $O_2$  concentrations, larger than required for water column denitrification, in the ETSP and the  
 154 Arabian Sea. Suboxia in the so-called “shadow zone” of the ETNP is simulated better and investigated  
 155 more in Section 4.2. In the model, some water column denitrification also occurs in the Bay of Bengal

156 and off SW Africa (Figure 2C), which has not been observed in the real ocean. However, the anammox  
157 reaction, which also eliminates fixed-N in the water column, has been found to occur off SW Africa  
158 [Kuypers *et al.*, 2005, 2006]. Navqi *et al.* [1996] measured low decomposition rates in the Bay of  
159 Bengal. Effective ballasting and scavenging of organic matter by the massive riverine input of  
160 terrestrial matter, an effect not included in the model, may prevent water column denitrification in the  
161 Bay of Bengal, which is close to suboxic.

162  
163 Diazotroph's grow according to the same principles as algal phytoplankton in the model (see  
164 Supplementary Material 2), but we also account for some of their different characteristics. N<sub>2</sub> fixation  
165 breaks down of the triple N bond of N<sub>2</sub>, which is energetically more costly than assimilating fixed-N.  
166 Therefore, in the model, the growth rate of diazotrophs is lower than that of algal phytoplankton. It is  
167 zero in waters cooler than 15°C and increases 50% slower with temperature than the growth rate of  
168 algal phytoplankton. Diazotrophs are not limited by NO<sub>3</sub><sup>-</sup> and will thrive in waters that are N-deficient  
169 (i.e., low N' as a result of denitrification) in which sufficient P and Fe are available. Denitrification and  
170 the propagation of N-deficient waters into the shallow thermocline by physical transport processes  
171 creates an ecological niche for diazotrophs in the model, which stimulates N<sub>2</sub> fixation [Tyrell, 1999].

172  
173 One of the most important and best studied diazotrophs, *Trichodesmium*, also has large iron (Fe)  
174 requirements for growth [Sanudo-Willhelmy *et al.*, 2001]. Diazotrophs may depend on aeolian Fe in  
175 oligotrophic waters because deep pycnocline inhibits upward mixing of subsurface Fe-replete waters  
176 into the euphotic zone [Letelier and Karl, 1996; Falkowski, 1997]. Therefore, their growth rate is  
177 reduced by an additional 50% where estimated rates of aeolian dissolved Fe deposition are very low (<  
178 ~10 μmol m<sup>-2</sup> yr<sup>-1</sup>) (Figure 2A), primarily throughout the Tropical South Pacific [Fan *et al.*, 2006].  
179 This is a simple parameterization of Fe limitation of diazotrophy and its full effects are described

elsewhere [Somes, 2009; Somes and Schmittner, in prep.]. The majority of N<sub>2</sub> fixation in the model occurs in oligotrophic waters 'downstream' of denitrification zones where sufficient Fe exists (i.e., via aeolian Fe deposition) (Figure 2B). The pattern of N<sub>2</sub> fixation—such as high values in the tropical/subtropical North Pacific, the western tropical/subtropical South Pacific, the western tropical/subtropical South Atlantic, the tropical/subtropical North Atlantic and the Indian Ocean—is mostly consistent with direct observations (e.g. Karl *et al.*, [2002]), with estimates based on the observed NO<sub>3</sub><sup>-</sup> deficit and simulated circulation [Deutsch *et al.*, 2007], as well as with results from a more complex ecosystem model [Moore *et al.*, 2007]. However, N<sub>2</sub> fixation in our model does not extend northward of 20–25°N in the North Pacific and North Atlantic, whereas some observations show N<sub>2</sub> fixation as far north as 35–40°N.

### 2.3 Nitrogen Isotope Model

The nitrogen isotope model simulates the distribution of the two stable nitrogen isotopes, <sup>14</sup>N and <sup>15</sup>N, in all N species throughout the global ocean that are included in the marine ecosystem model. Five prognostic variables of δ<sup>15</sup>N are embedded within the marine ecosystem model for all species containing nitrogen: NO<sub>3</sub><sup>-</sup>, diazotrophs, algal phytoplankton, zooplankton and organic detritus (Figure 1). The 'isotope effect' is referred to in the following as the effect that each process has on the respective oceanic isotopic N pool, which depends on the δ<sup>15</sup>N value of the substrate, the process-specific enrichment factor (ε), and the degree of utilization ( $u_{\text{substrate}}$ ) of the substrate during the reaction:

$$\delta^{15}N_{\text{product}} = \delta^{15}N_{\text{substrate}} - \varepsilon(1 - u_{\text{substrate}}) \quad (2)$$

where  $u_{\text{substrate}}$  is the fraction of the initial substrate used in the reaction. For example, if all of the



204 available substrate is consumed in the reaction (i.e.,  $u_{\text{substrate}} = I$ ), the product will incorporate the  $\delta^{15}\text{N}$   
205 value of the substrate, nullifying any potential fractionation. However, if the rate of utilization is low  
206 (i.e.,  $u_{\text{substrate}} \sim 0$ ), the product will incorporate a relatively light  $\delta^{15}\text{N}$  value compared to the substrate by  
207 the designated enrichment factor (Table 1).

208

209 The processes in the model that fractionate nitrogen isotopes are algal  $\text{NO}_3^-$  assimilation ( $\epsilon_{\text{ASSIM}} =$   
210 5‰), zooplankton excretion ( $\epsilon_{\text{EXCR}} = 6‰$ ), and water column denitrification ( $\epsilon_{\text{WCD}} = 25‰$ ) (Table 1).  
211 Fractionation results in the isotopic enrichment of the more reactive, thermodynamically preferred,  
212 light  $^{14}\text{N}$  into the product of each reaction by a process-specific fractionation factor. For a detailed  
213 discussion of nitrogen isotope fractionation dynamics see *Mariotti et al.* [1981]. Although little  
214 fractionation occurs during  $\text{N}_2$  fixation in the model, it has an important effect on  $\delta^{15}\text{N}$  by introducing  
215 relatively light atmospheric  $\text{N}_2$  ( $\delta^{15}\text{N} = 0‰$ ) into the oceanic fixed-N inventory. Sedimentary  
216 denitrification also has been observed to have little effect on the oceanic isotopic N pool because  
217 denitrifiers consume nearly all  $\text{NO}_3^-$  diffusing into the sediments [*Brandes and Devol*, 1997, 2002;  
218 *Lehmann et al.*, 2004, 2007]. In the model, there is no fractionation during sedimentary denitrification  
219 ( $\epsilon_{\text{SD}} = 0‰$ ), although this is a simplification of observations [*Lehmann et al.*, 2007]. Fractionation  
220 during the remineralization of organic matter is not included in the model. The complete nitrogen  
221 isotope model description is provided in Appendix A.

222

### 223 **3. Nitrogen Isotope Model Results**

224 The model simulates complex spatial patterns of  $\delta^{15}\text{NO}_3^-$  and  $\delta^{15}\text{N}$ -organic matter (OM) throughout the  
225 global ocean (top panels of Figure 3). Patterns of surface  $\delta^{15}\text{NO}_3^-$  and subsurface  $\delta^{15}\text{N}$ -OM are very  
226 similar but values are offset by two processes. First, as much as 5‰ offset due to fractionation during  
227  $\text{NO}_3^-$  uptake by phytoplankton and second, by fractionation during zooplankton excretion, which

228 increases the  $\delta^{15}\text{N}$ -OM through zooplankton mortality (Figure 1). High  $\delta^{15}\text{N}$  values ( $>15\text{‰}$ ) are  
229 simulated in the eastern subtropical gyres, where surface  $\text{NO}_3^-$  is depleted, and in regions in close  
230 proximity to simulated suboxic zones in the Eastern Pacific, Bay of Bengal, and Eastern Atlantic  
231 (again, note that water column denitrification is has not been observed in the Bay of Bengal and  
232 Eastern Atlantic). A clear inter-hemispheric asymmetry appears between the subtropical gyres of the  
233 Pacific and Atlantic with higher values of 14-20‰ simulated in the southern hemisphere and smaller  
234 values of 10-14‰ in the northern hemisphere. More intermediate  $\delta^{15}\text{N}$  values of 4 – 8‰ are found at  
235 high latitudes and near the equator where nutrient utilization is incomplete.  $\delta^{15}\text{N}$  minima ( $<4\text{‰}$ ) are  
236 located in the western tropical/subtropical ocean basins, where  $\text{N}_2$  fixation occurs in the model (Figure  
237 2B). The remainder of this section presents a more quantitative description of the contributions of  
238 individual processes to these relatively complex spatial patterns of  $\delta^{15}\text{NO}_3^-$  and  $\delta^{15}\text{N}$ -OM.

239  
240 Figure 3 illustrates results from the full model (CTRL) that includes all isotope effects (top panels)  
241 together with results from sensitivity experiments designed to isolate the effects of individual processes  
242 (bottom panels) on the global  $\delta^{15}\text{N}$  distribution . This is accomplished by removing the isotope effect  
243 of one process per experiment and then calculating the difference ( $\Delta\delta^{15}\text{N}$  ) with CTRL. In the “ $\text{NO}_3^-$   
244 Assimilation” and “Excretion” experiments, the enrichment factors  $\epsilon_{\text{ASSIM}}$  and  $\epsilon_{\text{EXCR}}$ , respectively, are  
245 set to zero. In the “ $\text{N}_2$  fixation” experiment the diazotroph's N isotope ratio is set equal to that of other  
246 phytoplankton at each location. In the “Water Column Denitrification” and “Sedimentary  
247 Denitrification” experiments, the entire process is switched off (thereby changing the global N  
248 inventory). These latter experiments also show the indirect effect that both denitrification processes  
249 have on  $\delta^{15}\text{N}$  through the stimulation of  $\text{N}_2$  fixation. In all other isotope effect experiments, the total N  
250 inventory does not change.

251

### 252 3.1 Algal $\text{NO}_3^-$ Assimilation

253 As phytoplankton preferentially assimilate  $^{14}\text{NO}_3^-$  into organic matter, they leave the residual inorganic  
254 N pool enriched in  $^{15}\text{NO}_3^-$ , which creates an offset up to 5‰ between surface  $\delta^{15}\text{NO}_3^-$  and surface  
255  $\delta^{15}\text{N-OM}$  that sinks towards the sea floor (“ $\text{NO}_3^-$  Assimilation” experiment, Figure 3). The surface  
256  $\text{NO}_3^-$  utilization effect depends on the extent to which  $\text{NO}_3^-$  is consumed with respect to its physical  
257 supply. When  $\text{NO}_3^-$  utilization is low, which occurs in High Nitrate Low Chlorophyll (HNLC) regions  
258 such as the Southern Ocean, the northern North Pacific, and the eastern equatorial Pacific, surface  
259  $\delta^{15}\text{NO}_3^-$  is controlled mainly by the source of  $\delta^{15}\text{NO}_3^-$  being supplied to the surface. Algae will  
260 fractionate  $\text{NO}_3^-$  during assimilation near their given enrichment factor in these N-replete waters so  
261 that the expected 5‰ difference between  $\delta^{15}\text{NO}_3^-$  and  $\delta^{15}\text{N-OM}$  is almost fully expressed (i.e.,  $\delta^{15}P_A =$   
262  $\delta^{15}\text{NO}_3^- - \epsilon_{\text{ASSIM}}$  with  $u_{\text{ASSIM}} \approx 0$  in Equation 2). Thus,  $\text{NO}_3^-$  utilization in HNLC regions has a small  
263 effect on surface  $\delta^{15}\text{NO}_3^-$  but has a much larger effect on  $\delta^{15}\text{N-OM}$ . This is perhaps most obvious in the  
264 Southern Ocean and in the northern North Pacific where  $\Delta\delta^{15}\text{NO}_3^-$  is small, whereas  $\Delta\delta^{15}\text{N-OM}$  is  
265 strongly negative..

266

267 A different response is observed in oligotrophic regions where surface  $\text{NO}_3^-$  is depleted. Once the  
268 algae consume nearly all available  $\text{NO}_3^-$  (which itself becomes enriched in  $^{15}\text{N}$ ), they acquire the same  
269 N isotope signature from the source  $\text{NO}_3^-$  (i.e.,  $\delta^{15}P_A = \delta^{15}\text{NO}_3^-$  with  $u_{\text{ASSIM}}$  approaching 1). This  
270 drives the high  $\delta^{15}\text{N}$  values in both  $\text{NO}_3^-$  and OM in the subtropics with maxima in the eastern  
271 poleward edges of the gyres (Figure 3). Although  $\delta^{15}\text{NO}_3^-$  values are very high there, they have a small  
272 effect on  $\delta^{15}\text{N}$  elsewhere because  $\text{NO}_3^-$  concentrations are very low. For instance, when low  $\text{NO}_3^-$   
273 water mixes with nearby water with significantly higher  $\text{NO}_3^-$ , the resulting  $\delta^{15}\text{NO}_3^-$  value will be  
274 weighted towards the water parcel containing more  $\text{NO}_3^-$  (see also *Deutsch et al.*, [2004]). This  
275 ‘dilution effect’ prevents high  $\delta^{15}\text{NO}_3^-$  values in regions with high surface  $\text{NO}_3^-$  utilization from having

276 a large impact on the  $\delta^{15}\text{NO}_3^-$  signature across the nitracline.

277

### 278 **3.2 Denitrification**

279 Water column denitrification only occurs at depth but its isotope effect can reach the surface due to  
280 upwelling and vertical mixing of water enriched in  $^{15}\text{NO}_3^-$ . It has a large enrichment factor and  
281 displays a very strong N isotope effect in close proximity to the simulated suboxic zones in the Eastern  
282 Pacific, Bay of Bengal, and Eastern Atlantic (“Water Column Denitrification” experiment in Figure 3).  
283 The unresolved poleward undercurrents along the western continental margin of the Americas—which  
284 could, in the real world, propagate high  $\delta^{15}\text{NO}_3^-$  away from the subsurface suboxic zones [*Kineast et*  
285 *al.*, 2002]—may restrict the simulated water column denitrification isotope effect too much to regions  
286 proximal to the suboxic zones. Both water column and sedimentary denitrification also indirectly lead  
287 to lower  $\delta^{15}\text{NO}_3^-$  values “downstream” of denitrification zones by creating more N-deficient water,  
288 which stimulates additional  $\text{N}_2$  fixation. This negative feedback also decreases the horizontal extension  
289 of high  $\delta^{15}\text{NO}_3^-$  signature originating from suboxic zones, because  $\text{N}_2$  fixation introduces much lower  
290  $\delta^{15}\text{N}$  into the ocean.

291

### 292 **3.3 $\text{N}_2$ Fixation**

293 The addition of newly fixed, isotopically light atmospheric  $\text{N}_2$  ( $\delta^{15}\text{N}_2 = 0$ ) by diazotrophs is the reason  
294 for the low  $\delta^{15}\text{N}$  values in the western tropical/subtropical ocean basins. Since denitrification is the  
295 only process in the model that creates N-deficient water, and therefore an ecological niche for  
296 diazotrophs, the majority of  $\text{N}_2$  fixation in the model occurs “downstream” of denitrification zones after  
297 phytoplankton have consumed all remaining surface  $\text{NO}_3^-$  and where sufficient aeolian Fe deposition  
298 exists. This low  $\delta^{15}\text{NO}_3^-$  signature is evident in the subtropical North/South Pacific, the subtropical  
299 North/South Atlantic, and the Bay of Bengal (“ $\text{N}_2$  Fixation” experiment, Figure 3).

300

### 301 **3.4 Excretion**

302 According to our model results, the N isotope effect of excretion has a smaller influence on the  
303 simulated distribution of  $\delta^{15}\text{N}$  in the global ocean (“Excretion” experiment, Figure 3) compared to the  
304 other processes discussed above. Its strongest effect is observed in the subtropical South Pacific, where  
305  $\text{NO}_3^-$  is very low and excretion significantly contributes to the  $\text{NO}_3^-$  pool by introducing relatively low  
306  $\delta^{15}\text{NO}_3^-$ . Low latitude surface waters elsewhere are generally about 1-4‰ lighter due to fractionation  
307 during excretion, with little spatial gradients. At high latitudes the effect on  $\delta^{15}\text{NO}_3^-$  is very small. We  
308 note that this N isotope effect is sensitive to the parameterization for excretion used in this marine  
309 ecosystem model version. The excretion rate was tuned so that  $\delta^{15}\text{N}$ -zooplankton is enriched by  $\sim 3.4\text{‰}$   
310 relative to phytoplankton [Minagawa and Wada, 1984].

311

## 312 **4. Model Evaluation**

313 The relatively small number of  $\delta^{15}\text{N}$  observations and the sparse spatial and temporal coverage make a  
314 full global model assessment difficult. However, certain regions have been sampled sufficiently to  
315 provide a meaningful comparison with the model results. All observations presented here are  
316 interpolated horizontally onto a  $0.9^\circ \times 1.8^\circ$  grid using a Gaussian weighted algorithm. The 33 depth  
317 levels are consistent with WOA05 and a linear interpolation is used for depths of missing data if nearby  
318 data exist. A global database of  $\delta^{15}\text{NO}_3^-$  measurements has thus been constructed and is available for  
319 download (<http://mgg.coas.oregonstate.edu/~andreas/Nitrogen/n15database.html>). Figure 4 shows the  
320 annually averaged global distribution of measured  $\delta^{15}\text{NO}_3^-$ , averaged over 200 – 300 m depth to  
321 illustrate the spatial coverage. Seasonal sampling biases exist depending on the region. More details on  
322 the data sets can be found in the respective ocean-region subsections that follow. Comparisons are  
323 presented for the Southern Ocean (Indian-Pacific sector), the Eastern Tropical North Pacific, the

324 Central Equatorial Pacific and the Subtropical North Atlantic. Other regions with available  $\delta^{15}\text{NO}_3^-$   
 325 observations included in the dataset but not discussed in the text are the Bering Sea [Lehmann *et al.*,  
 326 2005], the Northeast Pacific [Galbraith, 2006], the Arabian Sea [Altabet *et al.*, 1999] and the eastern  
 327 Pacific sector of the Southern Ocean [Sigman *et al.*, 1999].  
 328  
 329 Global measures of model performance for  $\delta^{15}\text{NO}_3^-$  are presented in Table 2. These measures should  
 330 be interpreted taken into account the highly localized nature of some of the processes as well as the  
 331 limited regions covered by the database. A displacement in the location of denitrification, for example,  
 332 will lead to a large decrease in the correlation coefficient and a large increase in the *RMS* errors. The  
 333 CTRL model has a correlation coefficient of 0.68, implying that the model explains 46% of the  
 334 variance in the observations. The decrease of the correlation coefficient and the increase of the *RMS*  
 335 error due to the neglect of a particular process can be regarded as the importance that this process  
 336 plays in explaining the global  $\delta^{15}\text{NO}_3^-$  observations of the database. The correlation coefficient  
 337 measures the pattern of variability and neglects the absolute values, whereas the *RMS* error considers  
 338 the deviation of the model from the observations in absolute values. Neglecting water column  
 339 denitrification leads to the largest decrease in the correlation coefficient to 0.29 and to the second  
 340 largest increase in the *RMS* error after  $\text{N}_2$  fixation. Neglecting algal  $\text{NO}_3^-$  assimilation also leads to a  
 341 large decrease in the correlation coefficient and to a large increase in the *RMS* error. If  $\text{N}_2$  fixation or  
 342 sedimentary denitrification is not included, then the correlation coefficients decrease similarly, but  
 343 exclusion of  $\text{N}_2$  fixation causes a larger increase in the *RMS* error relative to sedimentary  
 344 denitrification. Excretion has the weakest effect on the observed  $\delta^{15}\text{NO}_3^-$  distribution in the model.  
 345 Then, according to these measures, water column denitrification is the most important process  
 346 determining the global  $\delta^{15}\text{NO}_3^-$  distribution of the database, followed by algal  $\text{NO}_3^-$  assimilation.  $\text{N}_2$   
 347 fixation is more important than sedimentary denitrification, whereas excretion is the least important.

348

#### 349 **4.1 Southern Indian-Pacific Ocean**

350 The Southern Ocean represents a critical region of biogeochemical cycling in the ocean because it is  
351 the largest open ocean region with incomplete drawdown of the major nutrients. This results in an  
352 excess amount of CO<sub>2</sub> at the surface, which is released to the atmosphere (under pre-industrial  
353 conditions). The degree to which surface nutrients are utilized here may have profound impacts on  
354 ocean-atmosphere exchanges of CO<sub>2</sub>. Figure 5 shows a comparison with observations recorded in the  
355 region [Sigman *et al.*, 1999; Altabet and Francois, 2001; DiFiore *et al.*, 2006]. This data sub-set  
356 compiles observations from 8 cruises covering various seasons. Since all cruises do not cover the same  
357 location, some seasonal biases can be expected, yet, we still decided to use annual averages for  
358 maximum spatial coverage. The model does not simulate interannual variability due to the prescribed  
359 monthly climatological winds and temporally constant biogeochemical parameters.

360

361 Qualitatively, the inverse trend of increasing  $\delta^{15}\text{NO}_3^-$  with decreasing  $\text{NO}_3^-$  (Figure 5a) is reproduced  
362 by the model. However, the slope is underestimated suggesting that the enrichment factor for algal  
363  $\text{NO}_3^-$  assimilation used in the model ( $\epsilon_{\text{ASSIM}} = 5\text{‰}$ ) is too low, in agreement with DiFiore *et al.* [2006]  
364 that suggests at least 7‰. The simulated vertical gradient is in good agreement with the observations.  
365 Deep water  $\delta^{15}\text{NO}_3^-$  at 2000 m depth is around 5‰ and slowly increasing throughout the lower  
366 pycnocline to around 6‰ at 500 m depth. The model slightly overestimates  $\delta^{15}\text{NO}_3^-$  between 200 m  
367 and 400 m depth, whereas near surface values are slightly underestimated.

368

369 A large discrepancy between simulated and observational  $\delta^{15}\text{NO}_3^-$  is apparent in surface waters north  
370 of 40°S off the southern coast of Australia (Figure 5c). This bias is due to the fact that the model  
371 overestimates the utilization of surface  $\text{NO}_3^-$  relative to observations there (Figure 5c). Where the

372 simulated  $\text{NO}_3^-$  is almost completely consumed (i.e.,  $\text{NO}_3^- < 1 \mu\text{M}$ ) (see Figure 5c-contour line), the  
373 remaining  $\delta^{15}\text{NO}_3^-$  values become as high as 18‰. Since none of the existing  $\delta^{15}\text{NO}_3^-$  observations  
374 was collected in such low  $\text{NO}_3^-$  concentrations (Figure 5a), it impossible, at this time, to falsify this  
375 aspect of the N isotope model response. We note this heavy  $\delta^{15}\text{NO}_3^-$  signature in these low  $\text{NO}_3^-$   
376 waters has little effect on  $\delta^{15}\text{NO}_3^-$  across the nitracline in the model because the  $\delta^{15}\text{N}$  signature of very  
377 low  $\text{NO}_3^-$  water becomes diluted out as it mixes with much higher  $\text{NO}_3^-$  water (see Section 3.1).

378

## 379 **4.2 Eastern Tropical North Pacific**

380 The ETNP contains the largest suboxic zone in the ocean, where water column denitrification occurs.  
381 The relatively small spatial scale of suboxic zones makes them difficult for the model to simulate  
382 accurately and deficiencies in the coarse resolution physical model prevent it from fully resolving some  
383 important physical processes, especially in coastal regions. Underestimating coastal upwelling (due to  
384 coarse resolution) results in corresponding underestimation of primary production, organic matter  
385 remineralization, and  $\text{O}_2$  consumption at depth. This is a major reason for overestimated dissolved  $\text{O}_2$   
386 at depth in areas with significant coastal upwelling (e.g., off Peru and NW Mexico) (Figure S2-1), too  
387 large for water column denitrification to occur. Preliminary experiments suggest that increased vertical  
388 resolution can improve the simulation of productivity and suboxia in the Eastern Tropical South Pacific  
389 (not shown).

390

391 The ability to reproduce the equatorial undercurrents that transport relatively oxygen-rich water from  
392 the western basin is also important for the simulation of the Eastern Pacific suboxic zones. The  
393 anisotropic viscosity scheme [*Large et al.*, 2001] improves equatorial dynamics considerably  
394 (Supplementary Material 1). The Pacific Equatorial Undercurrent increases from 0.15 m/s to nearly 0.8  
395 m/s, just slightly weaker than observations, which show velocities near 1 m/s (Figure S1-2). The North



396 Equatorial Countercurrent in the model also displays lower current velocities than observed, and does  
397 not deliver enough oxygen-rich water directly to the ETNP suboxic zone. This is likely the main reason  
398 why the simulated suboxic zone is much larger than observed towards the equator (Figures 6c).

399

400 Figure 6 shows model  $\delta^{15}\text{NO}_3^-$  compared to observational  $\delta^{15}\text{NO}_3^-$  data collected during November  
401 1999 [Sigman *et al.*, 2005] and October 2000 [Altabet, previously unpublished]. The model captures  
402 the general observed trend of increasing  $\delta^{15}\text{NO}_3^-$  as  $\text{NO}_3^-$  is consumed during water column  
403 denitrification (Figure 6a). The model's too low N:P ratio for diazotrophs may partly explain its  
404 incapacity to simulate some of the relatively high N' values of observations. The range of simulated  
405 values is also likely to be more limited compared to the observations due to the missing interannual and  
406 synoptic climate variability in the model.

407

408 Due to the described shortcomings in the physical circulation, the simulated suboxic zone is too large  
409 and located too far south (by  $\sim 5^\circ$ ) relative to observations (Figure 6c-contour line). This results in  
410 higher rates of water column denitrification and higher  $\delta^{15}\text{NO}_3^-$  values, as well as more N-deficient  
411 water in the suboxic zone compared to observations. Figure 6b compares the horizontally averaged  
412  $\delta^{15}\text{NO}_3^-$  depth profiles only within the hypoxic zone ( $\text{O}_2 < 10 \mu\text{M}$ ) at 300 m (contoured on Figure 6c)  
413 to account for the displaced OMZ. Within this region, the model is able to capture the general vertical  
414 distribution of  $\delta^{15}\text{NO}_3^-$  seen in the measured data, such as the surface minimum and subsurface  
415 maximum.

416

417  $\delta^{15}\text{NO}_3^-$  in the ETNP decreases towards the surface [Cline and Kaplan, 1975; Brandes *et al.*, 1998;  
418 Voss *et al.*, 2001; Sigman *et al.*, 2005] suggesting a source of isotopically light N at the surface.  
419 Brandes *et al.* [1998] proposed that in the Arabian Sea as much as 30% of primary production must be

420 supported by  $\text{N}_2$  fixation in order to account for the low surface  $\delta^{15}\text{NO}_3^-$ . Other observations also  
 421 suggest that the decrease in  $\delta^{15}\text{NO}_3^-$  towards the surface is likely due to the fixation of atmospheric  $\text{N}_2$   
 422 and the subsequent, closely coupled remineralization-nitrification cycle [Sigman *et al.*, 2005]. We test  
 423 this hypothesis by comparing the observations with the model experiment in which the isotope effect of  
 424  $\text{N}_2$  fixation is neglected (“No NFIX”). In this case, the model overestimates surface  $\delta^{15}\text{NO}_3^-$  by  $\sim 10\text{‰}$   
 425 (Figure 6b) and the surface minimum is not simulated. This experiment demonstrates that the input of  
 426 isotopically light fixed-N from  $\text{N}_2$  fixation in the model best explains the decreasing trend of  $\delta^{15}\text{NO}_3^-$   
 427 observations towards the surface. In the model, 20% of the fixed-N loss via denitrification is re-  
 428 introduced into the surface by  $\text{N}_2$  fixation occurring directly above the denitrification zone in the  
 429 ETNP. The fact that the difference between the subsurface maximum and the near surface minimum is  
 430 underestimated in the model (4‰ versus 8‰ in the observations) suggests that in the real world the  
 431 locally re-introduced fraction could be larger than 20%.

432

#### 433 **4.4 North Atlantic**

434 Uncertainties regarding processes that can affect the nitrogen isotope signal make it challenging to  
 435 interpret and simulate nitrogen isotopes in the North Atlantic. Estimates of atmospheric N deposition  
 436 [Duce *et al.*, 2008] and the assimilation-remineralization-nitrification cycle are not well constrained.  
 437 Although atmospheric N deposition may be significant in this region [Michaels *et al.*, 1996; Lipschultz  
 438 *et al.*, 2002; Hansell *et al.*, 2004, 2007; Knapp *et al.*, 2005, 2008], its isotopic composition is not well  
 439 known and therefore is not included in the model at this time. Figure 7 shows the comparison of  
 440 annual model  $\delta^{15}\text{NO}_3^-$  with available observations from cruises in May 2001, 2004 [Altabet and  
 441 Montoya, previously unpublished], October 2002 [Knapp *et al.*, 2008], and May 2005 [Bourbounnais  
 442 *et al.*, 2009]. The model overestimates the  $\delta^{15}\text{NO}_3^-$  values everywhere, by 0.9‰ on average and by  
 443 2‰ at 200 m depth, presumably due mostly to the underestimation of  $\text{N}_2$  fixation, but possibly also  
 444 because atmospheric N deposition and/or fractionation during the remineralization of organic matter

are not included. Both of these processes would act to decrease subsurface values of  $\delta^{15}\text{NO}_3^-$ . Underestimated N' in the North Atlantic (Figure S2-1) also indicates too little  $\text{N}_2$  fixation, but we again note the too low N:P ratio for diazotrophs also contributes to this N' underestimation to some degree.

$\text{N}_2$  fixation is most likely underestimated in the model because it does not consider dynamic elemental cycling of the microbial loop. It has been suggested that DOP is more labile relative to DON and recycles through the microbial loop more efficiently, which can help relieve diazotrophs of P limitation in this region [Wu *et al.*, 2000] and enhance  $\text{N}_2$  fixation. The model is able to reproduce the pattern of low  $\delta^{15}\text{NO}_3^-$  in the thermocline qualitatively, just not quantitatively to the extent present in the observations. Sedimentary denitrification in the North Atlantic stimulates enough  $\text{N}_2$  fixation in the model to generate a subsurface  $\delta^{15}\text{NO}_3^-$  minimum. When sedimentary denitrification is switched off (“No SD”), the thermocline minimum is not simulated. This suggests that sedimentary denitrification is an important factor influencing  $\text{N}_2$  fixation in the Subtropical North Atlantic, but not the only factor.

## 5. Discussion and Conclusions

A new model of nitrogen isotopes has been implemented into the three-dimensional ocean component of a global Earth System Climate Model capable of millennial timescale simulations. Despite some model deficiencies, we have shown that this model can successfully reproduce the general spatial patterns of  $\delta^{15}\text{NO}_3^-$  measured in the ocean. Sensitivity experiments allowed us to isolate the individual N isotope effects of various N-transformational processes on the global distribution of  $\delta^{15}\text{N}$ . Algal  $\text{NO}_3^-$  assimilation, water column denitrification, and  $\text{N}_2$  fixation all have strong influences in setting the global patterns of  $\delta^{15}\text{NO}_3^-$  in the ocean, whereas the effect of zooplankton excretion is weaker.

These simulations show that the isotope effect of algal  $\text{NO}_3^-$  assimilation can drive very large spatial

469 gradients in both  $\delta^{15}\text{NO}_3^-$  and  $\delta^{15}\text{N-OM}$  depending on the ocean environment (Figure 3). In HNLC  
470 areas where surface  $\text{NO}_3^-$  utilization is low and algae are able to fractionate  $\text{NO}_3^-$  at their designated  
471 enrichment factor, the  $\delta^{15}\text{N-OM}$  signature decreases. However, when  $\text{NO}_3^-$  utilization is high, the  
472  $\delta^{15}\text{N-OM}$  signature is more similar to the  $\delta^{15}\text{NO}_3^-$  value it consumes because the effective degree of  
473 fractionation becomes much lower (see Section 3.1). Surface  $\text{NO}_3^-$  utilization gradients can transition  
474 rapidly, for example due to changes in ocean circulation, and can possibly drive large and rapid  
475 changes in  $\delta^{15}\text{NO}_3^-$  and  $\delta^{15}\text{N-OM}$ . The important influence of surface  $\text{NO}_3^-$  utilization on the global  
476 distribution of N isotopes in the model suggests that changes in surface  $\text{NO}_3^-$  utilization patterns  
477 throughout Earth's history could contribute to large fluctuations in  $\delta^{15}\text{N}$  observed in sediment records,  
478 especially near fronts where large surface  $\text{NO}_3^-$  gradients exist (See also *Altabet and Francois* [1994];  
479 *Farrell et al.* [1995]; *Francois et al.* [1997]; *Sigman et al.* [1999]; *Robinson et al.* [2005]; *Brunelle et*  
480 *al.* [2007]; *Galbraith et al.* [2008]).

481  
482 The model simulates a strong direct and indirect isotope effect of denitrification. High  $\delta^{15}\text{NO}_3^-$   
483 produced by water column denitrification has clear regional impacts and is also responsible for overall  
484 elevated  $\delta^{15}\text{NO}_3^-$  of the ocean relative to the  $\text{N}_2$  fixation source (see below). The indirect effect of both  
485 water column and sediment denitrification is mediated by the production of N-deficient water, which  
486 creates an ecological niche for diazotrophs. This stimulates additional  $\text{N}_2$  fixation when other suitable  
487 conditions for  $\text{N}_2$  fixation also exist (e.g., warm ( $> 20^\circ\text{C}$ ), N-depleted water with sufficient P and Fe).  
488 This indirect effect also attenuates the horizontal circulation of high  $\delta^{15}\text{NO}_3^-$  waters, originating from  
489 regions of water column denitrification, which causes its direct isotope effect to be regionalized to  
490 suboxic zones in the model.

491  
492 Sedimentary denitrification also stimulates  $\text{N}_2$  fixation, particularly over low latitude continental

493 shelves with high production. In the North Atlantic this effect is strong enough in the model to create a  
494 subsurface  $\delta^{15}\text{NO}_3^-$  minimum, which improves the agreement with observations. Thus,  $\text{N}_2$  fixation  
495 in the ocean may be affected by the area of continental shelves (where the majority of the global  
496 sedimentary denitrification occurs), which in turn is a function of global sea level [*Christensen et al.*,  
497 1987; *Altabet and Curry*, 1989]. This suggests that glacial/interglacial changes in  $\text{N}_2$  fixation in the  
498 subtropical North Atlantic may have partly been driven by sea level change and its impact on  
499 sedimentary denitrification (see also *Ren et al.*, [2009]).

500

501 Key features of the model have been identified that are in need of further development. The coarse  
502 resolution physical circulation model does not fully resolve the dynamics of coastal upwelling regimes,  
503 which in part drive the flux of organic matter towards the sea floor sediments and its remineralization  
504 in the water column, as well as indirectly influences ventilation of suboxic zones. This is critical in the  
505 simulation of water column denitrification and sedimentary denitrification, which are important  
506 processes with respect to the global N isotope balance. Future model versions will include additional  
507 vertical levels to better resolve continental shelves. The model neglects dynamic elemental  
508 stoichiometry such as high N:P ratios of diazotrophs and the more efficient recycling of DOP relative to  
509 DON in microbial loops, which can help relieve diazotrophs of their P limitation and allow them to fix  
510 additional  $\text{N}_2$  into the oceanic fixed-N pool in oligotrophic waters. The ecosystem model also suffers  
511 from the exclusion of Fe as a prognostic tracer preventing it from being able to simulate differences in  
512 ecosystems limited by macronutrients ( $\text{NO}_3^-$ ,  $\text{PO}_4^{3-}$ ) versus micronutrients (Fe).

513

514 Future applications of this model will include simulations of past climates, and direct comparison with  
515  $\delta^{15}\text{N}$  sediment records will be used to test the model results. This approach may be a useful to  
516 quantify past interactions between the marine N cycle and its isotopes, as well as their impact on

517 climate and may provide new insights into important physical and biogeochemical changes throughout  
518 Earth's history.

519

## 520 **Appendix A. Nitrogen Isotope Model**

521 The open system fractionation equation is used for fractionation during algal  $\text{NO}_3^-$  assimilation  
522 [Altabet and Francois, 2001]:

523

$$524 \quad \delta^{15}P_O = \delta^{15}\text{NO}_3^- - \varepsilon_{\text{ASSIM}}(1 - u_{\text{NO}_3}), \quad (\text{A1})$$

525

526 where  $\delta^{15}P_O$  is the  $\delta^{15}\text{N}$  of phytoplankton biomass assimilated during one time step,  $\Delta t$ , and  $u_{\text{NO}_3}$  is the  
527 fraction of  $\text{NO}_3^-$  available that is converted into biomass ( $u_{\text{ASSIM}} = J_O P_O \times \Delta t / \text{NO}_3^-$ ). When algae  
528 assimilate all available  $\text{NO}_3^-$  into their biomass (i.e.  $u_{\text{ASSIM}} = 1$ ) they will incorporate the same  $\delta^{15}\text{N}$   
529 value as that of the source material. Many studies have estimated the fractionation factor in both  
530 laboratory and ocean environments. A wide variety of values have been reported in culture settings  
531 ranging from 0.7‰ to 23‰ [Wada and Hattori, 1978; Montoya and McCarthy, 1995; Waser et al.,  
532 1998; Neeboda et al., 2003; Granger et al., 2004]. A more confined range has been observed in field  
533 estimates from 4‰ to 15‰ [Wada, 1980; Altabet et al., 1991; Altabet and Francois, 1994; Wu et al.,  
534 1997; Altabet et al., 1999; Sigman et al., 1999; Altabet and Francois, 2001; Karsh et al., 2003; DiFiore  
535 et al., 2006; Needoba et al., 2006] . In our model we choose a constant value of 5‰ which is near the  
536 majority of estimates, although it is important to bear in mind the uncertainty in the parameter choice  
537 and the possibility that it varies in space and time.

538

539 Nitrate in suboxic waters have been observed to have much higher  $\delta^{15}\text{N}$  values due to fractionation  
540 during denitrification. Observations from present day suboxic zones in the Eastern Tropical North  
541 Pacific (ETNP) and the Arabian Sea (AS) have reported fractionation factors ranging from 22-30‰

542 [Cline and Kaplan, 1975; Liu and Kaplan, 1989; Brandes et al., 1998; Altabet et al., 1999; Voss et al.,  
 543 2001; Sigman et al., 2003]; we adopt a value of 25‰ in the model. Note that because these estimates  
 544 were derived from field studies in which the isotope effect was estimated from the total nitrogen loss,  
 545 they implicitly include the effect of anammox [Galbraith et al., 2008]. Fractionation during  
 546 denitrification is also simulated using the open system fractionation equation

$$548 \quad \delta^{15}\text{NO}_3^{\text{OX}} = \delta^{15}\text{NO}_3^- - \varepsilon_{\text{WCD}}(1 - u_{\text{NO}_3}), \quad (\text{A2})$$

549  
 550 where  $\text{NO}_3^{\text{OX}}$  is the oxygen-equivalent reduction of nitrate converted into  $\text{N}_2$  gas during denitrification.  
 551 The term  $u_{\text{DENI}}$  is the fraction of available  $\text{NO}_3$  which is reduced into  $\text{N}_2$  gas ( $u_{\text{NO}_3} = \mu_{\text{D}} D \times 0.8 \times R_{\text{O:N}} \times$   
 552  $r_{\text{sox}}^{\text{NO}_3} \times L_{\text{NO}_3} \times \Delta t / \text{NO}_3$ ).

553  
 554 Excretion is the process responsible for the step-wise enrichment of  $\delta^{15}\text{N}$  along the trophic chain in our  
 555 model and is simulated using the instantaneous fractionation equation:

$$557 \quad \delta^{15}\text{NO}_3^- = \delta^{15}\text{Z} - \varepsilon_{\text{EXCR}}. \quad (\text{A3})$$

558  
 559 The instantaneous fractionation equation is used because excretion will always be a small fraction of  
 560 the total zooplankton biomass and has been measured to be depleted by ~6‰ relative to its body  
 561 [Montoya, 2008], which is the source of the excreted nitrogen. This leads to the average enrichment of  
 562 ~3.4 per trophic level [Minagawa and Wada, 1984].

563  
 564 Implementing these fractionation equations into the marine ecosystem model requires us to consider  
 565 the exchanges of  $^{14}\text{N}$  and  $^{15}\text{N}$  between the various N pools separately. Total nitrogen abundance now

566 has the form

567

$$568 \quad N = {}^{14}\text{N} + {}^{15}\text{N} \quad (A4)$$

569

570 for each variable in the isotope model. A fractionation coefficient is calculated for each process so the  
571 same equations for total N can be applied to  ${}^{15}\text{N}$  [Giraud *et al.*, 2000]. For example, consider  
572 fractionation during algal  $\text{NO}_3^-$  assimilation. The isotopic ratio of new nitrogen biomass ( $P_O$ ) is found  
573 using equations (1) and (2):

574

$$575 \quad {}^{15}P_O = \beta_{ASSIM} {}^{14}P_O \quad (A5)$$

576

577 where

578

$$579 \quad \beta_{ASSIM} = \frac{{}^{15}\text{NO}_3}{{}^{14}\text{NO}_3} - \frac{\varepsilon_{ASSIM} (1 - u_{NO3}) R_{std}}{1000}. \quad (A6)$$

580

581 Applying equations (C4) and (C5) gives the amount of new  ${}^{15}P_O$  relative to the amount of total new  
582 nitrogen biomass, which is given by the primary production ( $J_O P_O$ ), calculated by the marine  
583 ecosystem model.

584

$$585 \quad {}^{15}P_O = \frac{\beta_{ASSIM}}{1 + \beta_{ASSIM}} J_O P_O \quad (A7)$$

586

587 Analogous derivations can be done for all fractionation coefficients. The time-dependent set of  
588 equations for  ${}^{15}\text{N}$  which are embedded into the marine ecosystem model are as follows:



589

$$\begin{aligned}
590 \quad \frac{\partial^{15}NO_3}{\partial t} = & \left( R_D \mu_D D + \frac{\beta_{EXCR}}{1 + \beta_{EXCR}} \gamma_2 Z + R_P \mu_P P_O - \frac{\beta_{ASSIM}}{1 + \beta_{ASSIM}} J_O P_O - \frac{\beta_{ASSIM}}{1 + \beta_{ASSIM}} u_N J_D P_D \right) \\
591 \quad & \times \left[ 1 - \frac{\beta_{WCD}}{1 + \beta_{WCD}} 0.8 R_{O:N} r_{sox}^{NO3} L_{NO3} \right] \quad (A8)
\end{aligned}$$

592

$$593 \quad \frac{\partial^{15}P_O}{\partial t} = \frac{\beta_{ASSIM}}{1 + \beta_{ASSIM}} J_O P_O - R_P \mu_P P_O - R_{P_O} G(P_O) Z - R_{P_O} \mu_{P2} P_O^2 \quad (A9)$$

594

$$595 \quad \frac{\partial^{15}P_D}{\partial t} = \left( \frac{\beta_{ASSIM}}{1 + \beta_{ASSIM}} u_N + \frac{\beta_{NFIK}}{1 + \beta_{NFIK}} (1 - u_N) \right) J_D P_D + R_{P_D} G(P_D) Z - R_{P_D} \mu_P P_D \quad (A10)$$

596

$$597 \quad \frac{\partial^{15}Z}{\partial t} = \gamma_1 \left[ R_{P_O} G(P_O) + R_{P_D} G(P_D) \right] Z - \frac{\beta_{EXCR}}{1 + \beta_{EXCR}} \gamma_2 Z - R_Z \mu_Z Z^2 \quad (A11)$$

598

$$\begin{aligned}
599 \quad \frac{\partial^{15}D}{\partial t} = & 1 - \gamma_1 \left[ R_{P_O} G P_O + R_{P_D} G P_D \right] Z + R_{P_D} \mu_P P_D + R_{P_O} \mu_{P2} P_O^2 + R_Z \mu_Z Z^2 - R_D \mu_D D - R_D w_D \frac{\partial D}{\partial Z} \\
600 \quad & \quad (A12) \\
601
\end{aligned}$$

602 where  $R_{N=PO, PD, Z, D} = {}^{15}N / ({}^{14}N + {}^{15}N)$  is the ratio of heavy over total nitrogen. The complete  
603 parameter description is provided in Supplementary Material 2. Here it suffices to note that the  
604 equations for total nitrogen ( ${}^{14}N + {}^{15}N$ ) are identical to the ones of  ${}^{15}N$  except that  $R_X = \beta_X / (1 + \beta_X) = 1$   
605 in the total nitrogen equations.

606

607 The model was carefully tested with zero fractionation in order to quantify and minimize numerical  
608 errors, which can occur for example due to slightly negative values of biological tracers caused by

609 inaccuracies of the advection scheme. The biological code was adjusted to avoid negative  
610 concentrations as much as possible. Initially numerical errors in  $\delta^{15}\text{N}$  ranged from  $\pm 1\%$  in grid points  
611 at the sea floor to  $\pm 0.1\%$  in the upper ocean. Setting  $R_{\text{std}} = 1$  instead of  $R_{\text{std}} = 0.0036765$ , the actual  
612 atmospheric  $\text{N}_2$  isotope ratio, reduces the numerical errors by over an order of magnitude.  $R_{\text{std}}$  is set to  
613 the value 1 so both isotope variables will be on the same order of magnitude. This prevents  $^{15}\text{N}$  from  
614 becoming very close to zero as often, where inaccuracies of the advection scheme can cause it to be  
615 negative. This modification amounts to a scaling of  $^{15}\text{N}$  and  $^{14}\text{N}$  by a constant factor which does not  
616 affect the  $\delta^{15}\text{N}$  dynamics. The remaining numerical errors of  $\pm 0.1\%$  in the deep ocean and  $\pm 0.01\%$  in  
617 the upper ocean are two orders of magnitude smaller than the observed variability. The model is  
618 integrated for over 7,000 years as it approaches equilibrium.  
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<i>Process</i>	<i>Symbol</i>	<i>Model Enrichment Factor (‰)</i>	<i>Field Estimates (‰) <sup>a</sup></i>
Algal NO <sub>3</sub> Assimilation	$\epsilon_{ASSIM}$	5	4 – 15
N <sub>2</sub> Fixation	$\epsilon_{NFIX}$	1.5	0 – 2
Excretion	$\epsilon_{EXCR}$	6	3 – 6
Water Column Denitrification	$\epsilon_{WCD}$	25	22 – 30
Sedimentary Denitrification	$\epsilon_{SD}$	0	0 – 4

918 <sup>a</sup> see Appendix A for references

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920 **Table 1:** Nitrogen Isotope Model Enrichment Factors  
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<i>Model</i>	<i>r</i>	<i>RMS</i>
Control	0.68	0.84
Algal NO <sub>3</sub> Assimilation	0.59	0.90
N <sub>2</sub> Fixation	0.64	1.3
Excretion	0.67	0.89
Water Column Denitrification	0.29	0.97
Sedimentary Denitrification	0.65	0.90

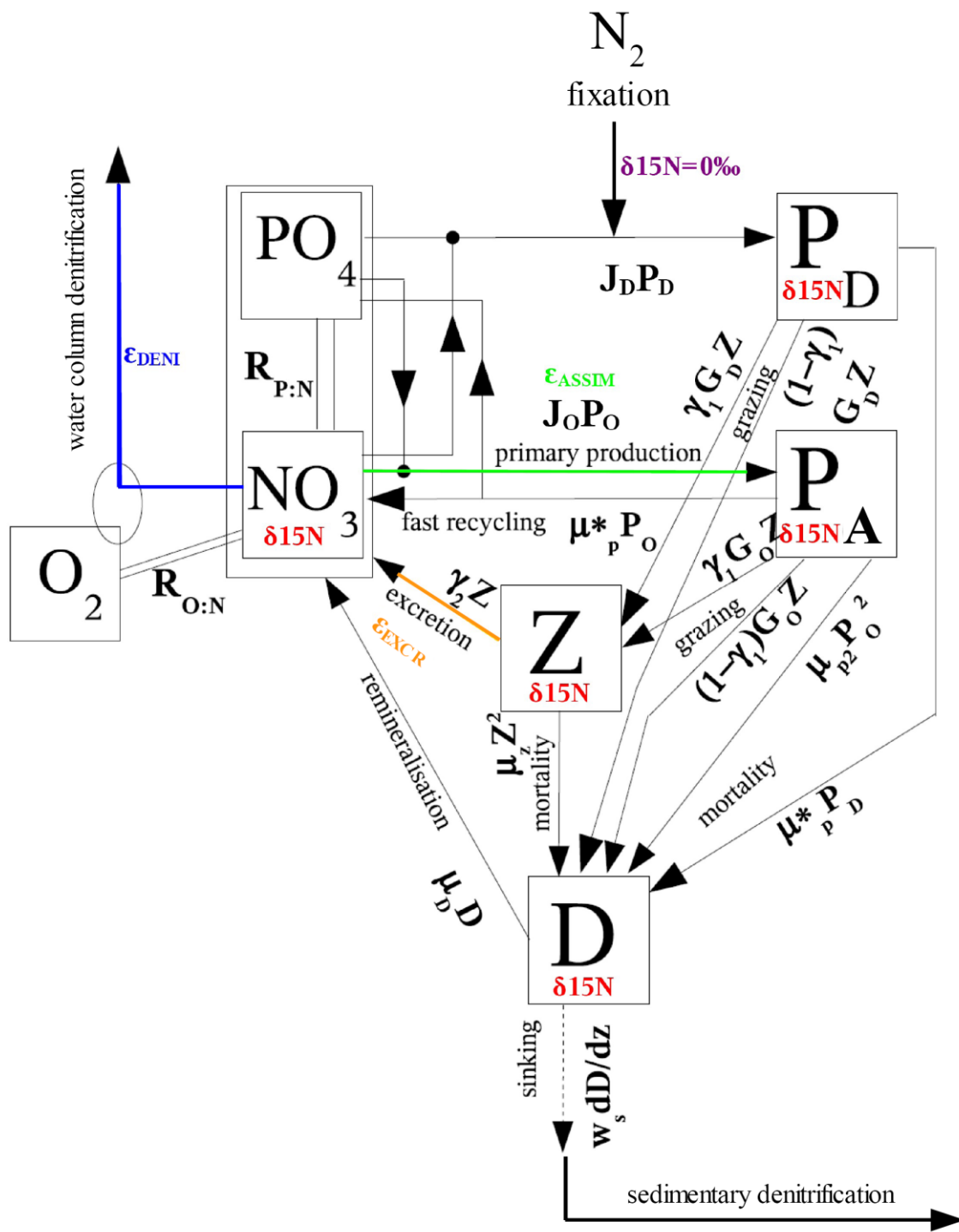
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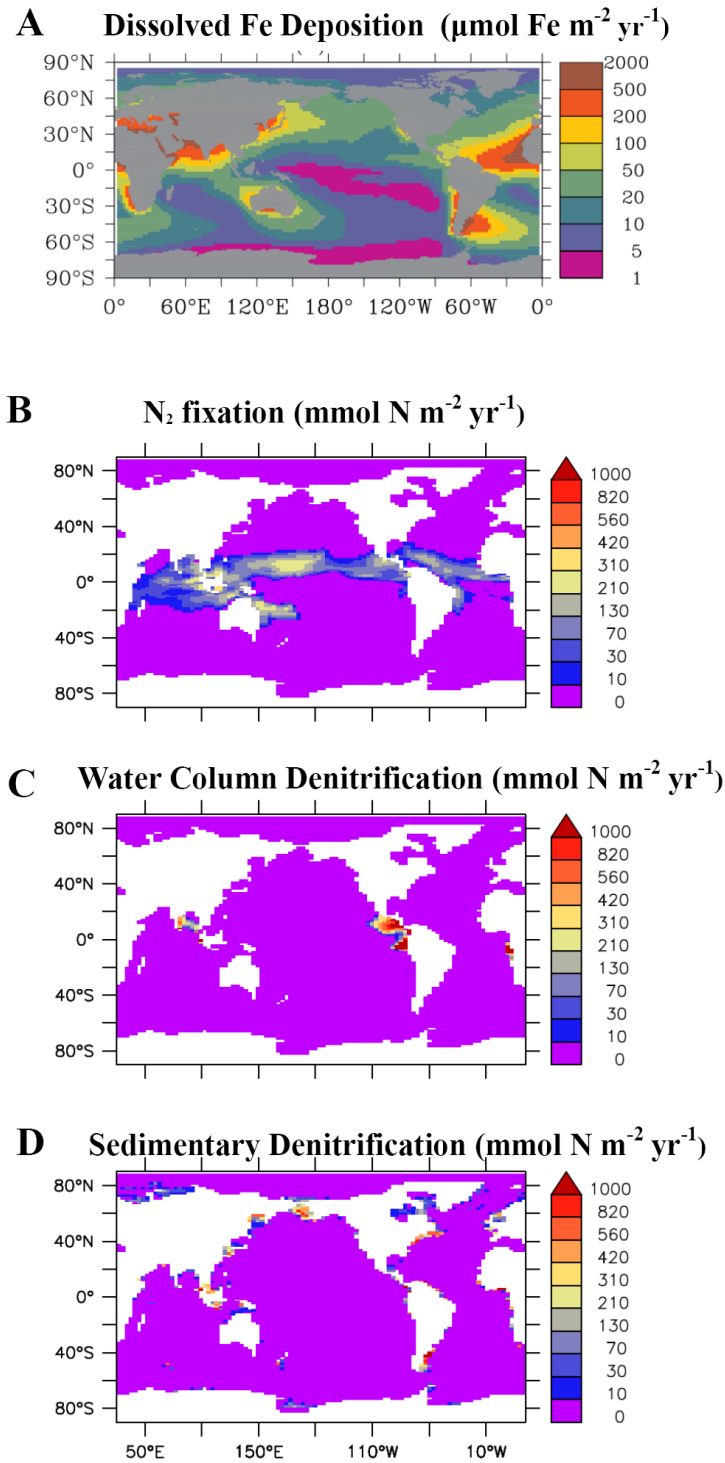
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**Table 2:** Global measures of  $\delta^{15}\text{NO}_3^-$  model performance: correlation coefficient ( $r$ ) and root mean squared ( $RMS$ ) error normalized by the standard deviation of the observations.



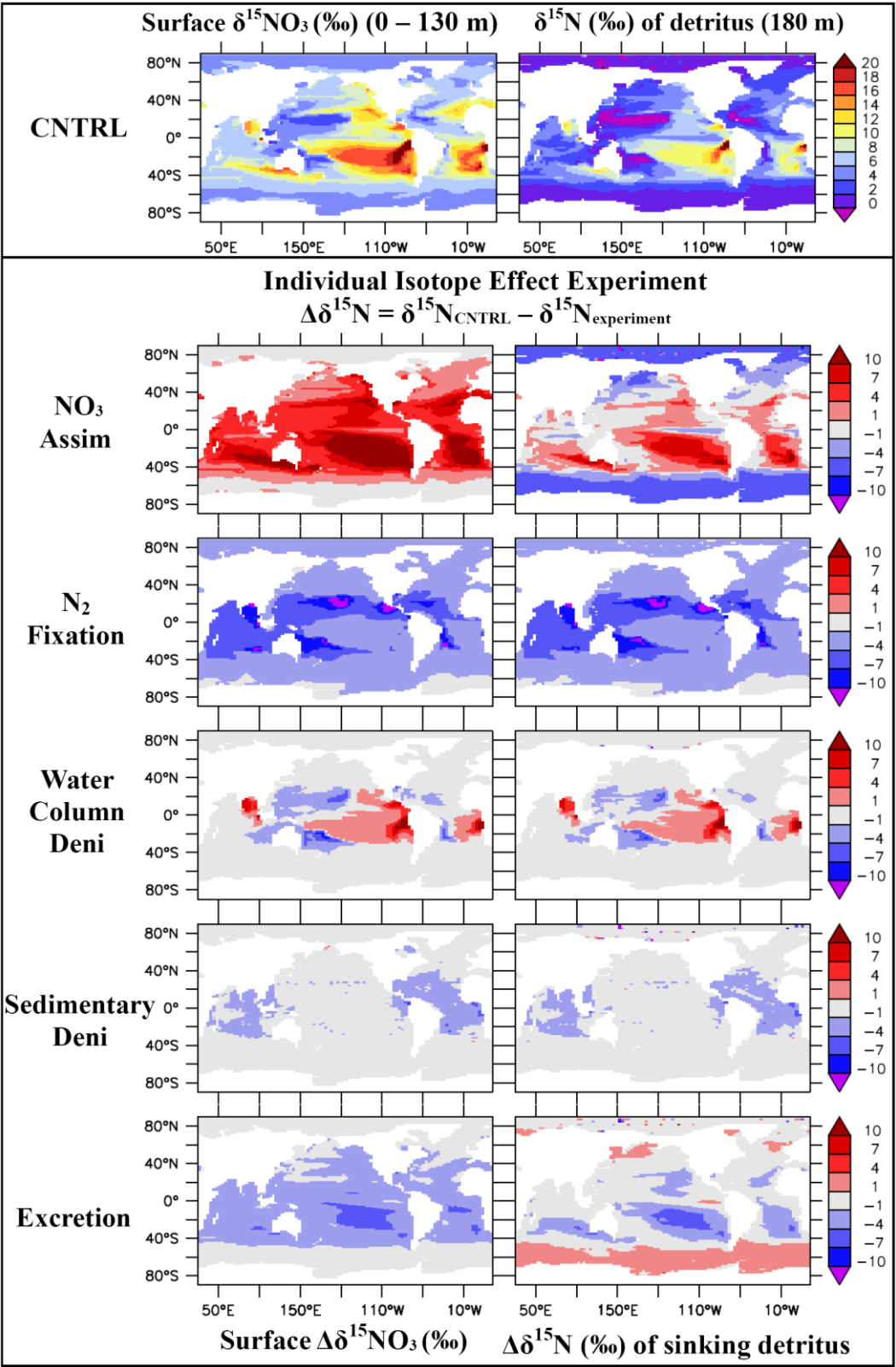
**Figure 1.** Schematic of the marine ecosystem model with the nitrogen isotope model parameters in color.



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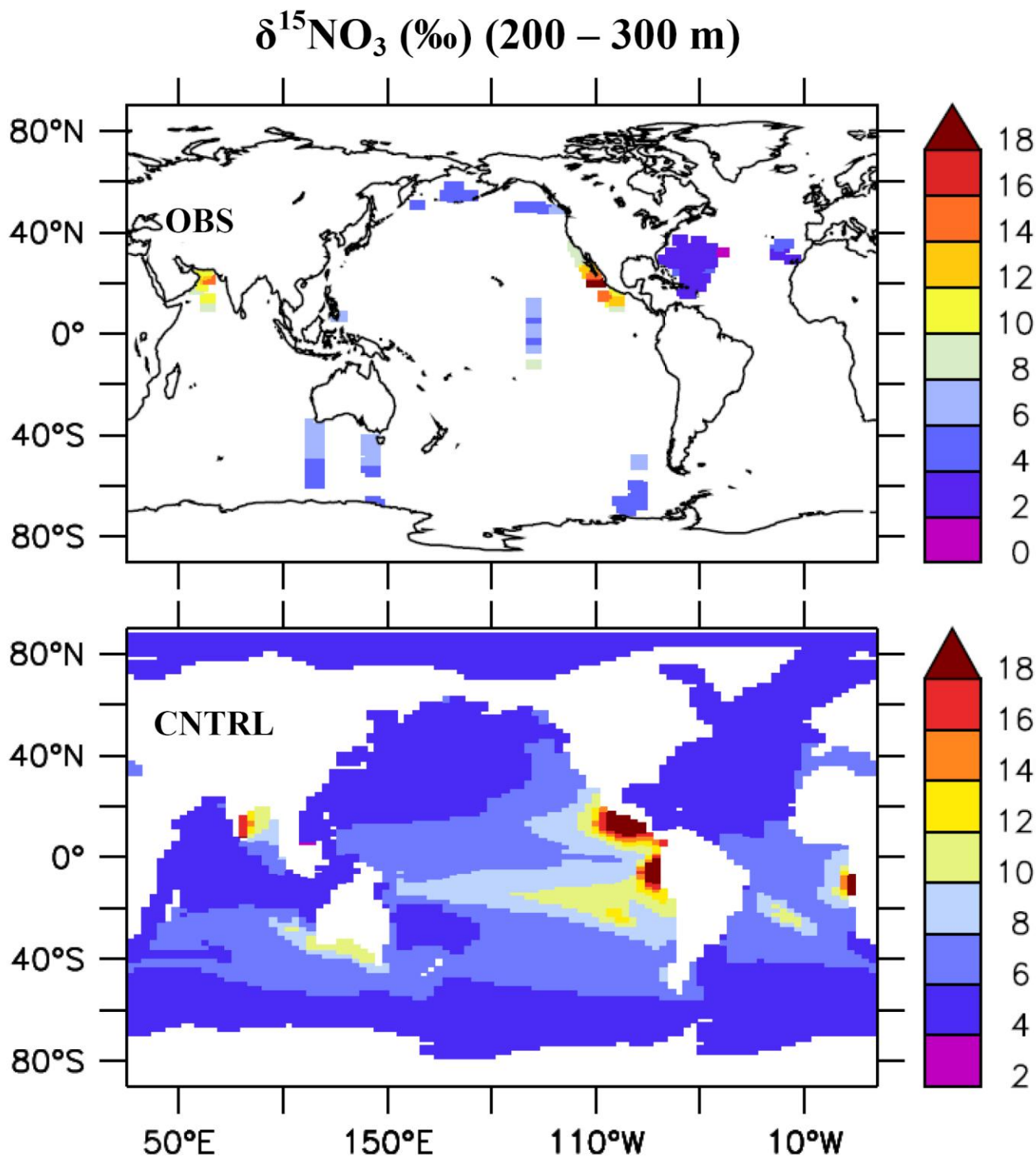
933 **Figure 2.** Estimated rate of annual (A) aeolian dissolved Fe deposition [*Fan et al.*, 2006] which limits  
934 (B)  $\text{N}_2$  fixation when below  $\sim 10 \mu\text{mol m}^{-2} \text{yr}^{-1}$  (see text). Annual vertically integrated rates of (B)  $\text{N}_2$   
935 Fixation, (C) water column denitrification, and (C) sedimentary denitrification. Contour intervals are  
936 210 and 1000  $\text{mmol N m}^{-2} \text{yr}^{-1}$ .

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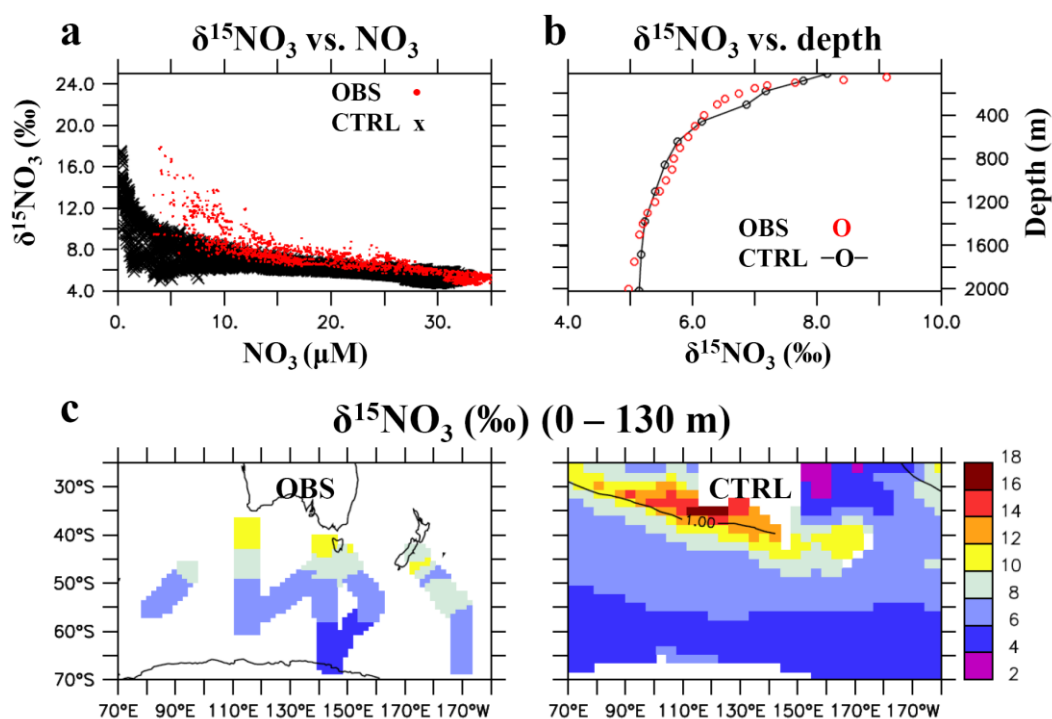


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941 **Figure 3.** Top Panel: Surface  $\delta^{15}\text{NO}_3$  and  $\delta^{15}\text{N}$  of sinking detritus in the model. Bottom Panel:  
942 Isotope effect experiments where one isotope effect is neglected per simulation and its difference with  
943 CNTRL is shown to illustrate its individual effect on the CNTRL simulation.

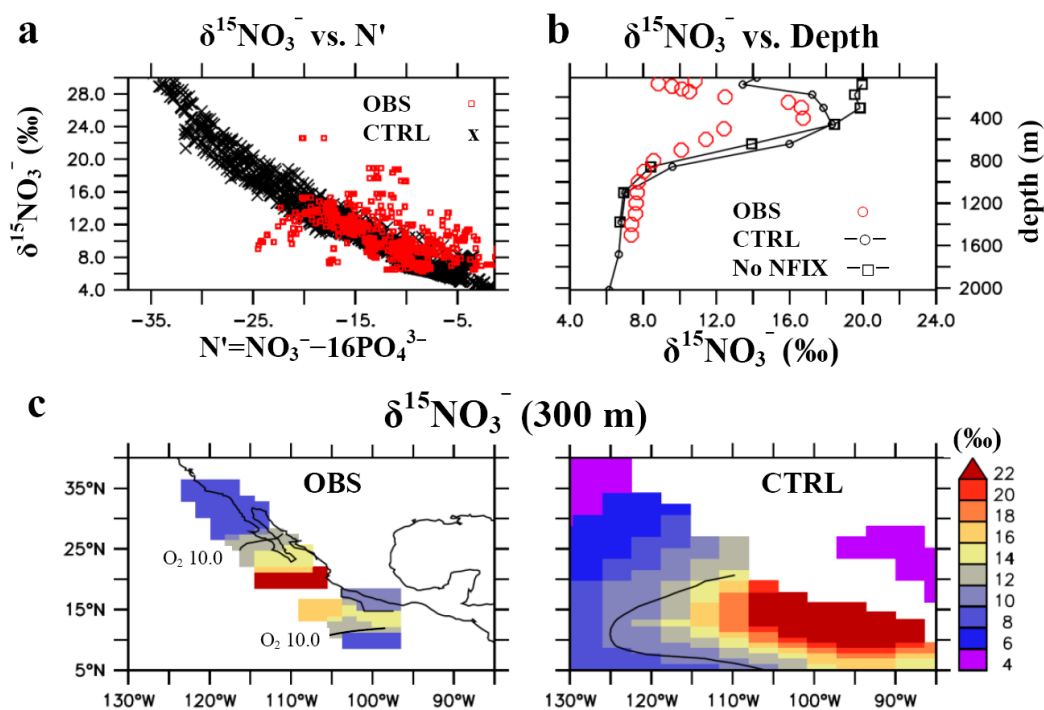


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947 **Figure 4.** Comparison of annual  $\delta^{15}\text{NO}_3$  (‰) averaged between 200 m - 300 m of available  
948 observations (OBS) and CNTRL. Due to the incomplete temporal coverage, seasonal biases in the  
949 annually averaged data exist depending on the region.

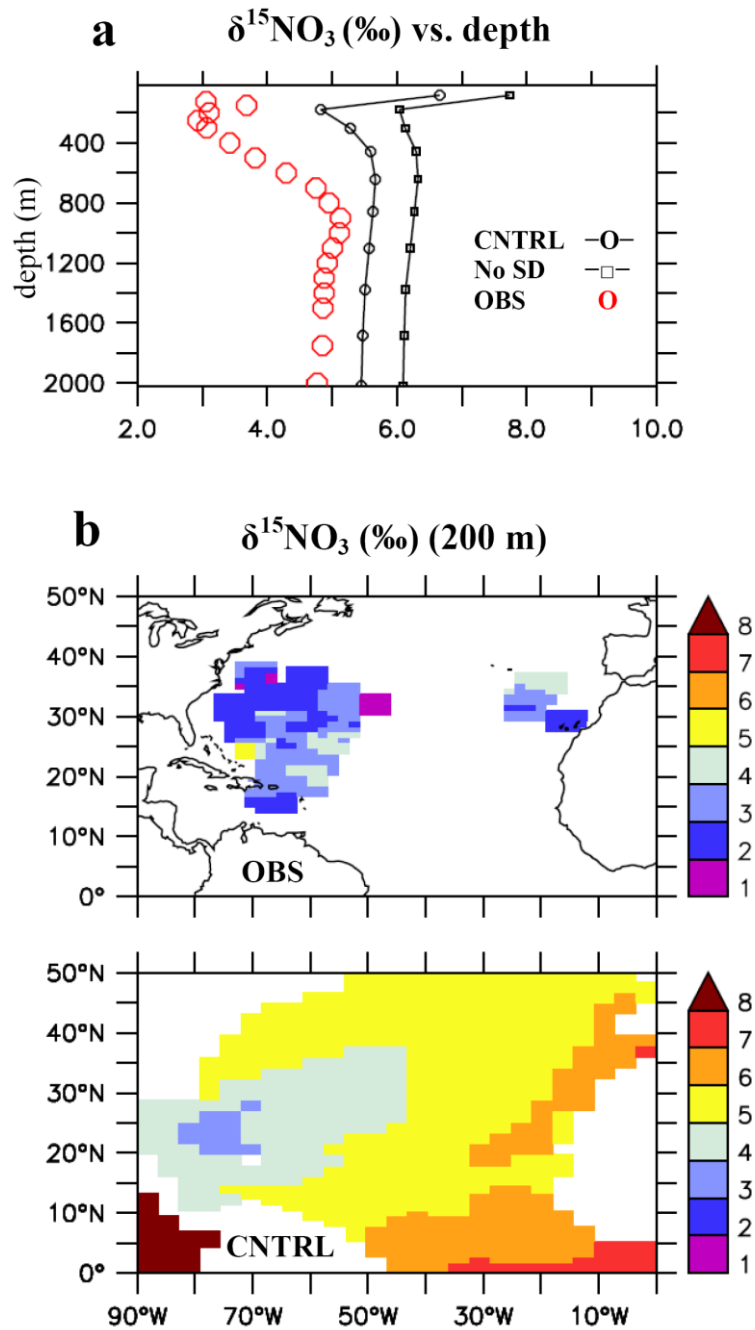


**Figure 5.** Comparison of the Indian-Pacific sector of the Southern Ocean with the  $\delta^{15}\text{NO}_3$  database and CTRL. (a)  $\delta^{15}\text{NO}_3$  vs.  $\text{NO}_3$ ; (b) horizontally averaged (over available data) depth  $\delta^{15}\text{NO}_3$  profiles; (c) surface  $\delta^{15}\text{NO}_3$  and with a  $1\mu\text{M}$   $\text{NO}_3^-$  contour line.





**Figure 6.** Comparison of the ETNP with the  $\delta^{15}\text{NO}_3^-$  database and CTRL. (a)  $\delta^{15}\text{NO}_3^-$  vs.  $N' = \text{NO}_3^- - 16\text{PO}_4^{3-}$ ; (b) horizontally averaged (within 10  $\mu\text{M}$   $\text{O}_2$  contour) depth  $\delta^{15}\text{NO}_3^-$  profiles including the experiment where the isotope effect of  $\text{N}_2$  Fixation is neglected (No NFIX); (c) subsurface  $\delta^{15}\text{NO}_3^-$  with a 10  $\mu\text{M}$   $\text{O}_2$  contour line.



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965 **Figure 7.** Comparison of the North Atlantic with the  $\delta^{15}\text{NO}_3$  database and CNTRL. (a) horizontally  
966 averaged (over available data) depth  $\delta^{15}\text{NO}_3$  profiles including the experiment where sedimentary  
967 denitrification is neglected (No SD); (b) subsurface  $\delta^{15}\text{NO}_3$ .