

# Model-based analysis of environmental controls over ecosystem primary production in an alpine tundra dry meadow

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Received: 19 May 2015/Accepted: 31 January 2016/Published online: 10 February 2016 © Springer International Publishing Switzerland 2016

**Abstract** We investigated several key limiting factors that control alpine tundra productivity by developing an ecosystem biogeochemistry model. The model simulates the coupled cycling of carbon (C), nitrogen (N), and phosphorus (P) and their interactions with gross primary production (GPP). It was parameterized with field observations from an

Responsible Editor: Christopher Williams.

**Electronic supplementary material** The online version of this article (doi:10.1007/s10533-016-0193-9) contains supplementary material, which is available to authorized users.

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W. R. Wieder National Center for Atmospheric Research, Boulder, CO 80307, USA alpine dry meadow ecosystem using a global optimization strategy to estimate the unknown parameters. The model, along with the estimated parameters, was first validated against independent data and then used to examine the environmental controls over plant productivity. Our results show that air temperature is the strongest limiting factor to GPP in the early growing season, N availability becomes important during the middle portion of the growing season, and soil moisture is the strongest limiting factors by late in the growing season. Overall, the controls over GPP during the growing season, from strongest to weakest, are soil moisture content, air temperature, N availability, and P availability. This simulation provides testable predictions of the shifting nature of physical and nutrient limitations on plant growth. The model also indicates that changing environmental conditions in the alpine will likely lead to changes in productivity. For example, warming eliminates the control of P availability on GPP and makes N availability surpass air temperature to become the second strongest limiting factor. In contrast, an increase in atmospheric nutrient deposition eliminates the control of N availability and enhances the importance of P availability. These analyses provide a quantitative and conceptual framework that can be used to test predictions and refine ecological analyses at this long-term ecological research site.

**Keywords** Carbon · Nitrogen · Phosphorus · Plant productivity · Ecosystem model · Climate change

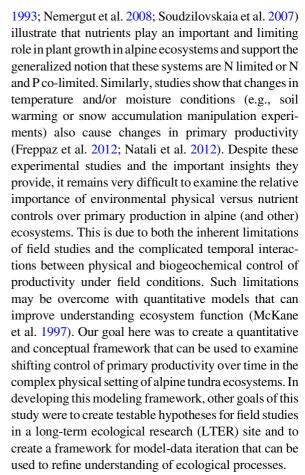


## Introduction

Ongoing and projected environmental change in arctic and alpine ecosystems highlights the need to better understand functional response of these unique ecosystems to perturbations. For example, mean atmospheric temperature in the Western U.S.A. has increased by 0.5–1.5 °C over the past century, leading to a decrease by approximately 73 % in the coverage of area classified as alpine tundra (Diaz and Eischeid 2007). Indeed, the rate and magnitude of climate change projections are greater for arctic and alpine ecosystems (Bradley et al. 2004; Diaz et al. 2014; Gibbard et al. 2005; IPCC 2013), and these ecosystems are particularly vulnerable to climate change (Buytaert et al. 2011). At the same time, these alpine ecosystems are also the site of increasing N (Baron et al. 2009), P (Brahney et al. 2014), and base cation deposition (Brahney et al. 2013), which is likely due to the increasing both anthropogenic dust emissions and wind transport (Brahney et al. 2013). Such changes may disproportionately alter environmental conditions and the ecosystem characteristics (e.g., energy balance, ecosystem production, plant cover) in these regions (Beniston et al. 1997; Gurdak et al. 2007; Lesica and McCune 2004; Soja et al. 2007). These findings underscore the need to develop insight into the basic function and likely ecological response of these ecosystems to environmental change.

A change in the productivity of tundra ecosystems is one likely consequence of perturbations such as climate change or nutrient deposition. In alpine tundra ecosystems, Farrer et al. (2015) suggest that environmental conditions have a much stronger influence on ecosystem production than vegetation dynamics or composition. This is likely because these systems tend to be heavily influenced by climatic factors (short and cool growing seasons) and are developed on shallow rocky soils with relatively low nutrient content (Reich et al. 1997). For these reasons, the alpine tundra is both sensitive to a range of environmental changes and presents an ideal test case for studies of environmental controls on GPP.

The environmental controls over GPP in the alpine tundra ecosystems can be roughly separated into physical (temperature and moisture) and nutrient (N and P) controls (Elmendorf et al. 2012; Ernakovich et al. 2014; Freppaz et al. 2012; Jones et al. 1998). Several nutrient amendment experiments (Bowman et al. 2012,



In this study, we developed a relatively simple ecosystem model that can simulate the coupled C, N, and P cycles and their interactions with ecosystem productivity. The model simultaneously considers soil physical [e.g., sorption of dissolved organic carbon (DOC)], chemical (i.e., weathering of primary mineral P), biological (e.g., C decomposition and N mineralization), and hydrological processes (leaching of DOC, N, and P). Using observations from the Niwot Ridge Long Term Ecological Research (NWT-LTER), the model was parameterized with data from an alpine dry meadow and then used to investigate the environmental controls over daily and seasonal GPP.

## Materials and methods

Model development

We developed a model to simulate the coupled cycling of ecosystem C, N, and P (Fig. 1). In our modeling



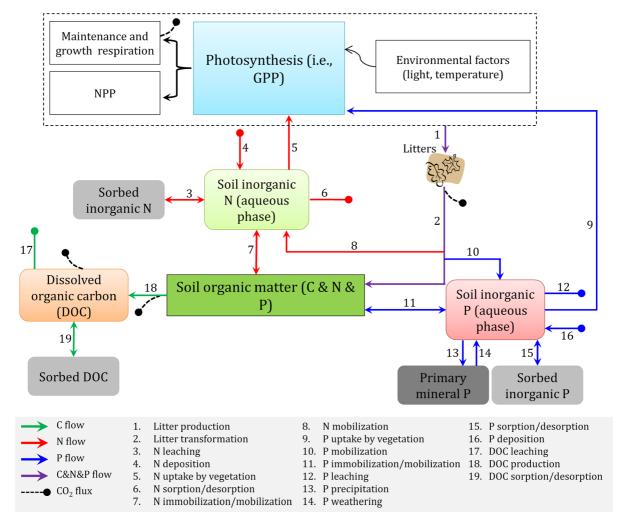


Fig. 1 Model schematic that outlines the coupled cycling of carbon (C), nitrogen (N), phosphorus (P), and their interactions with gross primary production. *Boxes* indicate geochemical

pools, and *arrows* indicate fluxes. The equations describing fluxes between pools are described in the supplementary materials

framework, ecosystem C is separated into vegetation (foliage and root), litter, soil, and DOC pools. The model represents parallel organic N and P pools, with additional inorganic pools in aqueous and sorbed phases. Below we provide a brief description of the processes that control each C/N/P pool. Please see the supplementary materials for the detailed mathematical equations.

Gross primary productivity is a function of incoming solar radiation, leaf area index (LAI), photosynthetic efficiency, air temperature, soil moisture content, and soil nutrient conditions (i.e., soil plant-available N and P) (Hilker et al. 2008). NPP is calculated by subtracting autotrophic respiration (sum of vegetation maintenance and growth respiration) from GPP.

Ecosystem C pools depend on inputs and losses from 'donor' and 'receiver' pools following first-order kinetics. Heterotrophic respiration ( $R_h$ ) is calculated as the sum of  $CO_2$  released during the turnover of litter C, soil C, and DOC. DOC sorption or desorption is assumed to be instantaneous (Fan et al. 2010). DOC leaching is a function of DOC content and hydrological discharge rate (Cosby et al. 1997).

The organic N and P contents in foliage, root, litter, and soil C pools are calculated based on the C content and the corresponding C:N and C:P stoichiometries for each pool (Cosby et al. 1997; Thornton and Rosenbloom 2005; Yang et al. 2014). Both soil inorganic N and P pools (i.e., plant-available N and P pools)



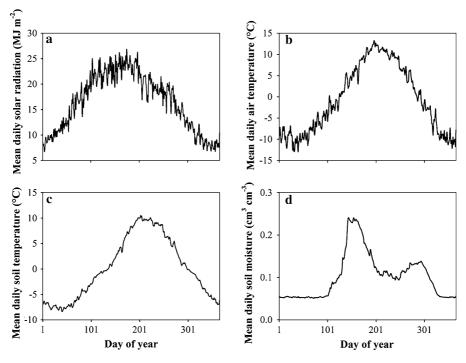


Fig. 2 Climatological mean daily environmental conditions what were used to drive the model including: a solar radiation, b air temperature, c soil temperature, and d soil moisture. Data were taken from the NWT-LTER website http://niwot.colorado.edu

depend on (1) gain of inorganic N and P due to atmospheric deposition and (2) loss of inorganic N and P due to plant uptake and leaching, respectively. Both soil inorganic N and P pools also depend on either gain or loss due to sorption and net N and P mineralization (Raich et al. 1991). The dynamics of organic N in aqueous phase is not included in the model because Hood et al. (2003) indicated that approximately 90 % of dissolved total nitrogen was in inorganic form (i.e., dissolved inorganic nitrogen) in the alpine tundra areas and only approximately 10 % was in organic form (i.e., dissolved organic nitrogen). Additionally, the soil inorganic P pool is also controlled by P gain due to weathering of primary mineral P and P loss due to precipitation (interactions with other elements to form solid minerals) (Yang et al. 2014).

## Site description

We applied our model to investigate environmental controls over GPP in a typical alpine dry meadow. The dry meadow is located within the NWT-LTER in the Front Range of the Colorado Rocky Mountains, approximately 35 km west of Boulder, Colorado. At

an elevation of 3500 m, the dry meadow studied here has a mean annual precipitation of approximately 1000 mm (most of precipitation falls as snow) and a mean annual temperature of -3.8 °C. The climate at NWT-LTER is characterized by a short-growing season (approximately 2–3 months) and a long cold winter. The thickness of soil above parent materials is approximately 20 cm in the dry meadow. The soil bulk density is approximately 0.8 g cm<sup>-3</sup>. The dry meadow is mainly covered by *Polygonum viviparum* (L.) Delarbre, *Acomastylis rossii* (R. Br.) Greene, *Kobresia myosuroides* (Vill.) Fiori, and *Trifolium* species. Please refer to Bowman et al. (1993, 1995, 2012), Fisk et al. (1998) and Litaor et al. (2008) for the detailed site and climate descriptions.

# Input datasets

The daily mean incoming solar radiation, air temperature, soil temperature, and soil moisture from 2000 to 2010 were obtained from the NWT-LTER website (http://niwot.colorado.edu) and used to drive the model (Fig. 2). Key model parameters (e.g., C:N and C:P stoichiometries, and atmospheric N and P deposition



Table 1 The fixed model parameters, values and references

Acronym	Definition	Units	Values	References
$\overline{Z_S}$	Length of soil column	m	0.20	http://niwot.colorado.edu
$ ho_b$	Bulk density	$\mathrm{g}~\mathrm{m}^{-3}$	$8.0 \times 10^5$	Litaor et al. (2008)
Vegetation-	related parameters			
$V_{LAI}$	Leaf area index	$\mathrm{m^2~m^{-2}}$	2.7	Asner et al. (2003)
3	Photosynthetic efficiency	$\rm g \ C \ MJ^{-1}$	1.7	McCallum et al. (2013)
$T_{max}$	Maximum air temperature for vegetation growth	°C	40.0	Yan and Hunt (1999)
$T_{opt}$	Optimal air temperature for vegetation growth	°C	20.0	Yan and Hunt (1999)
$f_{V,l}$	Fraction of NPP allocated to foliage	Unitless	0.3	Fisk et al. (1998)
$f_{V,r}$	Fraction of NPP allocated to root	Unitless	0.7	Fisk et al. (1998)
$\delta_{V,l}$	C:N ratio of foliage	$g g^{-1}$	32.0	Fisk et al. (1998) and Cleveland et al. (2004
$\delta_{V,r}$	C:N ratio of root	$g g^{-1}$	55.0	Fisk et al. (1998)
$\delta_L$	C:N ratio of litter	$g g^{-1}$	41.0	Fisk et al. (1998) and Cleveland et al. (2004)
$\delta_S$	C:N ratio of soil	$g g^{-1}$	13.5	http://niwot.colorado.edu
$\xi_{V,l}$	C:P ratio of foliage	$g g^{-1}$	416.0	Fisk et al. (1998) and Litaor et al. (2008)
$\xi_{V,r}$	C:P ratio of root	$g g^{-1}$	715.0	Fisk et al. (1998) and Litaor et al. (2008)
$\xi_L$	C:P ratio of litter	$g g^{-1}$	533.0	Fisk et al. (1998) and Litaor et al. (2008)
$\xi_S$	C:P ratio of soil	$g g^{-1}$	91.5	http://niwot.colorado.edu
$f_{L,l}$	Fraction of decomposed litter that transfers to soil pool	Unitless	0.5	Thornton and Rosenbloom (2005)
Soil-related	parameters			
$\lambda_{d,DOC}$	Linear distribution coefficient of DOC between the sorbed and aqueous phases	$m^3 g^{-1}$	$7.5 \times 10^{-7}$	Kawahigashi et al. (2006)
$\lambda_{d,N}$	Linear distribution coefficient of N between the sorbed and aqueous phases	$m^3 g^{-1}$	$1.1 \times 10^{-6}$	Amin et al. (2014)
$\lambda_{d,P}$	Linear distribution coefficient of P between the sorbed and aqueous phases	$m^3 g^{-1}$	$2.3 \times 10^{-6}$	Hussain et al. (2006)
$K_{DOC}$	Turnover rate of DOC	$d^{-1}$	$9.6 \times 10^{-4}$	Yurova et al. (2008)
$N_{ppt}$	Atmospheric N deposition rate	$g \ N \ m^{-2} \ d^{-1}$	$1.2\times10^{-3}$	Mladenov et al. (2012)
$P_{ppt}$	Atmospheric P deposition rate	$g \ P \ m^{-2} \ d^{-1}$	$4.1\times10^{-5}$	Mladenov et al. (2012)
$Q_{lch}$	Discharge rate	m year <sup>-1</sup>	0.03	Hood et al. (2003)

rate as discussed later) are also obtained from the website of NWT-LTER or other similar ecosystems and listed in Table 1.

## Parameter estimation

The model pools were initialized with field observations obtained at NWT-LTER (Table 2), leaving eight unknown parameters in the model (Table 3). Due to the

high nonlinearity and large number of unknown parameters, models like our developed model are known to have many local optima. A global optimization strategy, stochastic ranking evolution strategy (SRES), was used to solve this problem and to inversely estimate the unknown parameters (Ji and Xu 2006; Runarsson and Yao 2000, 2005). Several comparison studies (Banga et al. 2003; Moles et al. 2003) have demonstrated that SRES is more computationally



Table 2 The initialized carbon (C), nitrogen (N), and phosphorus (P) pools during model simulations

Acronym	Definition	Units	Initial conditions or target values	Model outputs after 100-year runs	References
$C_{V,l}$	Foliage C pool	$\rm g~C~m^{-2}$	105.0	105.0	Fisk et al. (1998)
$C_{V,r}$	Root C pool	${\rm g}  {\rm C}  {\rm m}^{-2}$	245.0	245.0	Fisk et al. (1998)
$C_L$	Litter C pool	${\rm g}  {\rm C}  {\rm m}^{-2}$	1250.0	1253.0	Fisk et al. (1998)
$C_S$	Soil C concentration	$g \ C \ g^{-1}$	0.159	0.165	Litaor et al. (2008)
$C_{DOC}$	DOC concentration	$\rm g~C~m^{-3}$	30.0	30.0	Cleveland et al. (2004) and Xu et al. (2009)
$N_{S,avail}$	Plant-available N concentration	$\rm g~N~m^{-3}$	1.5	1.5	Xu et al. (2009)
$P_{S,avail}$	Plant-available P concentration	${\rm g}\;{\rm P}\;{\rm m}^{-3}$	0.2	0.2	Frey et al. (2007)
$R_a:R_h$	Autotrophic: heterotrophic respiration	Unitless	1.0	1.0	

These carbon pools along with  $C_{NPP}$  and  $R_a$ : $R_h$  are also used as target values during parameter estimates. The parameter estimates are based on the assumption that field observations represent the ecosystem steady states and thus is done by minimizing the differences between target values (initial conditions) with model outputs after 100-year runs. Therefore, the smaller difference between initial conditions and model outputs after 100-year runs, the better performance the parameter estimates

Table 3 The upper and lower parameter estimate bounds and the corresponding parameter estimates

Acronym	Definition	Units	Upper bound	Lower bound	Parameter estimates
$K_{V,m}$	Vegetation maintenance respiration coefficient	${\rm g} \; {\rm C} \; {\rm m}^{-2} \; {\rm d}^{-1}$	0.10	$1.00 \times 10^{-4}$	$8.21 \times 10^{-4}$
$K_{V,l}$	Turnover rate of foliage	$d^{-1}$	$2.74 \times 10^{-3}$	$2.74 \times 10^{-4}$	$9.38 \times 10^{-4}$
$K_{V,r}$	Turnover rate of root	$d^{-1}$	$2.74 \times 10^{-3}$	$2.74 \times 10^{-4}$	$9.38 \times 10^{-4}$
$K_L$	Turnover rate of litter	$d^{-1}$	$2.74 \times 10^{-3}$	$1.37 \times 10^{-4}$	$1.26 \times 10^{-3}$
$K_S$	Turnover rate of soil C	$d^{-1}$	$2.74 \times 10^{-3}$	$2.74 \times 10^{-6}$	$2.48 \times 10^{-5}$
$f_{DOC}$	fraction of decomposed SOC that transfers to DOC pool	Unitless	1.00	$1.00 \times 10^{-4}$	$2.43 \times 10^{-3}$
$K_{P,p}$	Precipitation rate of P due to P-mineral interaction	$d^{-1}$	0.001	$1.00 \times 10^{-7}$	$7.31 \times 10^{-4}$
$K_{P,w}$	Weathering rate of primary mineral P	$d^{-1}$	0.001	$1.00 \times 10^{-7}$	$2.04 \times 10^{-6}$

efficient and robust than many other global optimization strategies (e.g., differential evolution).

To estimate these eight unknown parameters (Table 3), we made steady-state assumptions that field observations roughly represent the ecosystem steady states. (1) The SRES first randomly generates 200 sets of model parameters (Runarsson and Yao 2000). (2) The model was then run for 100 years with each of the 200 sets of parameters along with the daily mean air temperature, solar radiation, and soil temperature and moisture (Fig. 2). Due to the steady-state assumption, the model outputs after 100-year runs should be the same as, or similar to, the model initial conditions (i.e., initialized pools). Therefore, the model initial conditions in Table 2 can also be used as target values during parameter estimation. One additional target value was considered, that is, the ratio

between autotrophic and heterotrophic respiration was set to one (Pries et al. 2013) (Table 2). (3) The objective functions were then calculated as:

$$Obj = \sum \left(\frac{\Theta_t - \Theta_m}{W}\right)^2 \tag{1}$$

where Obj is the objective function,  $\Theta_t$  is the target value,  $\Theta_m$  is the model output after 100-year runs, and W is the weighting factor. The weighting factor (W) is set to 100, 250, 1000, 0.1, 20, 1, 1, and 1 for  $C_{V,l}$ ,  $C_{V,r}$ ,  $C_L$ ,  $C_S$ ,  $C_{DOC}$ ,  $N_{S,avail}$ ,  $P_{S,avail}$ , and  $R_a$ : $R_h$  (Table 2), respectively. (4) Based on the calculated objective functions [i.e., Eq. (1)], the SRES selected the best set of parameters from the 200 sets of parameters, and (5) generated another 200 sets of parameters using the selected best set of parameter and evolutionary algorithm (Runarsson and Yao 2000). Procedures 2–5 were



then repeated until the minimum objective function was reached. Upper and lower bounds for the eight unknown parameters were chosen *apriori*, and based on their physical meanings, with large enough bounds to cover ecologically plausible solutions (Table 3).

# Modeling exercises

The goal of this modeling exercise was to develop a conceptually robust model that could reasonably be used in a heuristic fashion to examining the controls on GPP in the dry meadow on Niwot Ridge. In this regard, the model served as a framework for testing hypotheses regarding controls on plant production that have emerged over the course of measurements and experiments at this LTER site. Such hypotheses include measurements of the variation in the strength of nutrient limitation across gradients of water availability on Niwot Ridge and anecdotal observations of inter-annual interactions of climate, snowpack, and plant productivity.

To validate model results, we conducted three shortterm simulations to check model performance against observations from the NWT-LTER site. The three simulations were with (1) N fertilization (SimNf), (2) P fertilization (SimPf), and (3) N + P fertilization (SimNPf). The fertilization experiments were done by increasing the N and/or P inputs by 25 g m<sup>-2</sup> year<sup>-1</sup> to match prior experiments from the LTER site (Bowman et al. 1993). The increased N and/or P inputs were assumed to be evenly distributed to all of days throughout the growing season during model simulation. These three simulations started after the model reaches steady-state (i.e., 100-year run) and were run for 1 year. Additionally, we conducted model simulations (SimD2W) by increasing soil moisture three fold and decreasing soil temperature by 60 % to replicate likely soil conditions in the alpine wet meadow (Knowles et al. 2015), and this simulation (i.e., SimD2W) was run 100 years. We compared the model simulations with field fertilization experiments and observations from the wet meadow site to check the performance of model structure and parameterization. It is important to note that the goal of the modeling in this study was not to perfectly replicate observed field data but rather to general plausible (and testable) evaluations of how limitations change across space and time.

Subsequently, we conducted two long-term simulations to examine how GPP responds to long-term environmental changes. In the first simulation (SimT), the soil and atmospheric temperatures were increased by 3 °C to examine the impacts of warming on GPP. In the second simulation (SimNPd), both N and P loading rates through atmospheric deposition were increased by 5 times (Neff et al. 2008) to  $5.89 \times 10^{-3}$  g N m<sup>-2</sup> d<sup>-1</sup> and  $2.05 \times 10^{-4}$  g P m<sup>-2</sup> d<sup>-1</sup> to examine the response of GPP to the increasing dust deposition. Both of the two simulations (i.e., SimT and SimNPd) were run 100 years.

## Productivity limiting index

A productivity limiting index was calculated to evaluate the relative importance of environmental controls over GPP and defined as:

$$PLI = \frac{\sum_{n=1}^{L} \left( DPLI_n \cdot GPP_{n,\max} \right)}{\sum_{n=1}^{L} GPP_{n,\max}}$$
 (2)

where PLI and DPLI are the growing-season and daily productivity limiting indices of environmental factors over GPP, respectively, L is the length of growing season (d), and  $GPP_{n,max}$  is the daily theoretical maximum GPP (g C m<sup>-2</sup> d<sup>-1</sup>). Higher productivity limiting index indicates stronger limiting effect on GPP. The daily productivity limiting index (i.e., DPLI) of environmental factors over GPP is defined as:

$$\begin{cases} DPLI_n = 1.0 - F_{GPP,T_A} & \text{for air temperature} \\ DPLI_n = 1.0 - F_{GPP,\theta_S} & \text{for soil moisture content} \\ DPLI_n = 1.0 - F_{GPP,N} & \text{for N availability} \\ DPLI_n = 1.0 - F_{GPP,P} & \text{for P availability} \end{cases}$$

$$(3)$$

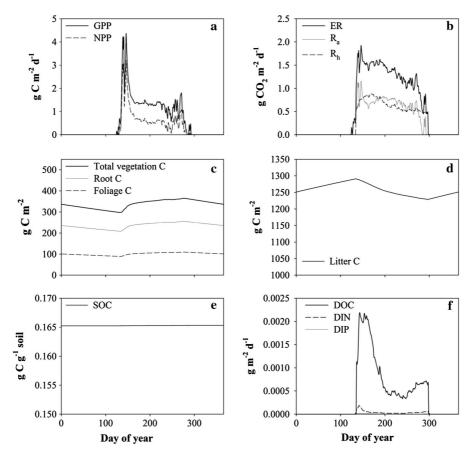
where  $F_{GPP,T_A}$ ,  $F_{GPP,\theta_S}$ ,  $F_{GPP,N}$ , and  $F_{GPP,P}$  are the daily regulating functions on GPP and defined in the supplementary materials.

# **Results**

#### Model calibration and validation

The results of parameter estimates are shown in Table 3. The target values and model outputs after 100-year runs with the estimated parameters are shown in Table 2. The simulated daily outputs of key ecosystem variables after 100-year runs are shown in Fig. 3. Annual exports (i.e., leaching) of DOC, DIN, and DIP are 0.15, 0.07, and 0.0008 g m<sup>-2</sup> year<sup>-1</sup>,





**Fig. 3** The simulated key model outputs at the steady state. **a** The simulated gross primary productivity (GPP) and net primary productivity (NPP). **b** The simulated ecosystem respiration (ER), autotrophic respiration ( $R_a$ ), and heterotrophic respiration ( $R_b$ ). **c** The

simulated total vegetation C, root C, and foliage C. **d** The simulated litter C. **e** The simulated soil organic carbon (SOC). **f** The simulated dissolved organic carbon (DOC), dissolved inorganic nitrogen (DIN), and dissolved inorganic phosphorus (DIP)

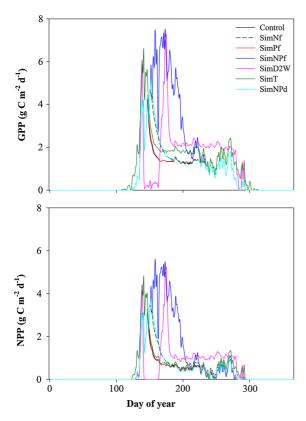
respectively. The simulated annual autotrophic, heterotrophic, and ecosystem respiration values are 104, 104, and 209 g C m<sup>-2</sup> year<sup>-1</sup>, respectively. The simulated GPP and NPP are 216 and 112 g C m<sup>-2</sup> year<sup>-1</sup>, respectively (Control simulation in Fig. 4; Table 4). The simulated NPP ratios between fertilization and control simulations are 1.2 for N fertilization (i.e., SimNf/Control), 1.0 for P fertilization (i.e., SimPf/Control), and 2.4 for combined NP fertilization (i.e., SimNPf/Control), respectively. Also, the simulated NPP ratio between wet and dry meadows (i.e., SimD2W/Control in Table 4) is 1.5.

Environmental controls over ecosystem productivity

The temporal dynamics of the productivity limiting factors indicates that air temperature is the strongest control over GPP during winter season (Fig. 5). As air temperature increases in spring and early summer, the daily limiting effect of air temperature on GPP gradually decreases. Meanwhile, the limiting effect of N availability gradually increases and becomes the strongest control until the middle of growing season. After that, soil moisture content (or the lack thereof) becomes the strongest control over GPP. With air temperature decreasing in late summer and autumn, air temperature, again, becomes the strongest control over GPP and the limiting effect of soil moisture becomes weak and disappears in winter season. P availability never becomes the strongest control over GPP throughout the year (Fig. 5).

Integrated over the growing-season time-course, the relative importance of environmental and nutrient controls on GPP is as follows: moisture > temperature > nitrogen  $\gg$  phosphorus, based on the





**Fig. 4** The simulated seasonality of GPP and NPP with current conditions (Control), N fertilization (SimNf), P fertilization (SimPf), N + P fertilization (SimNPf), soil moisture increased by three fold and soil temperature decreased by 60 % to replicate likely soil conditions in the alpine wet meadow (SimD2W), soil and atmospheric temperatures increased by 3 °C (SimT), and both N and P loading rates (through atmospheric deposition) increased by 5 times (SimNPd)

calculated productivity limiting indices during the growing season (*PLIs*) (i.e., 0.62, 0.42, 0.31, and 0.11 for soil moisture, air temperature, N availability, and P availability, respectively; Table 4).

## Long-term response to environmental changes

Warming (SimT) increases the NPP and GPP by  $\sim 30$  and  $\sim 45$  %, respectively; and an increase in nutrient deposition (SimNPd) increases the NPP and GPP by  $\sim 20$  and  $\sim 17$  %, respectively (Fig. 4; Table 4). Soil moisture is the strongest control over GPP for both warming and under an increase in nutrient deposition. However, warming weakens the control of air temperature to be the third strongest control and causes N availability to become the second strongest control. In comparison, soil temperature is still the second strongest

control after nutrient deposition is increased. Under this scenario, N no longer limits productivity and P availability becomes the third strongest control over GPP (Table 4).

#### Discussion

Our model provides a means to synthesize long-term data and test ecological theory by heuristically evaluating the relative controls over ecosystem productivity and biogeochemical cycles. Our simulations suggest that GPP in an alpine dry meadow is controlled more by physical environmental conditions than by soil nutrient conditions (N and P availability), but the relative importance of these limitations shifts over the growing season (Fig. 5; Table 4). Moreover, these results generate testable hypotheses on the potential ecosystem response to environmental perturbations and highlight key uncertainties in the understanding of ecological processes that can guide further research. Specifically, the model simulations suggest that long-term shifts in climate and exogenous nutrient deposition could lead to shifts in the timing and magnitude of limitation of productivity by different factors. The model simulations further suggest that many of these shifts will occur via changes in the seasonal patterns of nutrient, water, and temperature limitation with temporal and spatial patterns that can be tested by future monitoring and experimental studies. The development and parameterization of models, however, depend on the availability of appropriate data from well-coordinated LTER. Below we discuss the environmental controls over productivity and important limitations to our biogeochemical model.

## Environmental controls over productivity

For the dry meadow, physical conditions (i.e., moisture and temperature) control the plant productivity outside the growing season. In the early and middle growing season, soil moisture content is close to the optimal moisture content for plant growth, resulting in a rapid increase in productivity (i.e., GPP; Fig. 3a), and N is the strongest limiting factor to productivity (due to the highest demand of N for plant growth and relatively slow N mineralization rates). Later in the growing season, soil moisture content falls below the optimal moisture content for plant growth and



	•						
Simulation	NPP (g C m <sup>-2</sup> year <sup>-1</sup> )	GPP (g C m <sup>-2</sup> year <sup>-1</sup> )	Productiv	Productivity limiting index (PLI) <sup>a</sup>			
			$T_a$	$\theta_S$	N	P	
Control	112	216	0.42	0.62	0.31	0.11	
SimNf	135	249	0.42	0.62	0.0	0.27	
SimPf	114	219	0.42	0.62	0.31	0.0	
SimNPf	266	434	0.42	0.62	0.0	0.0	
SimD2W	168	313	0.42	0.25	0.44	0.0	
SimT	145	313	0.26	0.62	0.28	0.0	
SimNPd	134	253	0.42	0.62	0.0	0.27	

Table 4 The sensitivity of NPP and GPP to environmental changes during the growing season

The second group of simulations (i.e., SimNf, SimPf, SimNf, and SimD2W) is used for model validation. The third group of simulations (i.e., SimT and SimNPd) is used to investigate the long-term responses of ecosystem productivity in the dry meadow to environmental changes

Control Simulation with current environmental conditions, SimNf simulation with N fertilization, SimPf simulation with N + P fertilization, SimD2W simulation with soil moisture increased by three fold and soil temperature decreased by 60 % to replicate likely soil conditions in the alpine wet meadow, SimT simulation with soil and atmospheric temperatures increased by 3 °C, SimNPd simulation with both N and P loading rates (through atmospheric deposition) increased by 5 times

moisture becomes the strongest limiting factor to productivity, resulting in decrease in productivity (i.e., GPP; Fig. 3a). The reduction in productivity due to moisture limitation is sufficiently large that N is no longer projected to be a limiting factor during this period of time. These results suggest that limitation to productivity in the dry meadow ecosystem can be thought of as a shifting temporal sequence that transitions from temperature to nitrogen, to moisture, and then ultimately back to temperature at the end of the growing season. Such a result is testable and if validated could provide new insights into how these ecosystems would respond to climate changes such as a lengthening of the growing season and/or alternation of nutrient deposition (and deposition timing).

As discussed earlier, nutrients are important in the dry meadow ecosystem, particularly during the peak of the growing season and N availability is a stronger limiting factor on productivity than P availability (Fig. 5a; Control simulation in Table 4). This simulation result is consistent with observations from Bowman et al. (1993) in which fertilization by N increased productivity in the dry meadow ecosystem. The modeling simulations also suggest that climate/decomposition feedbacks play an important role in the nutrient dynamics of these ecosystems. The limiting effects of N and P are strongly dependent of the rate of nutrient supply through decomposition of soil organic

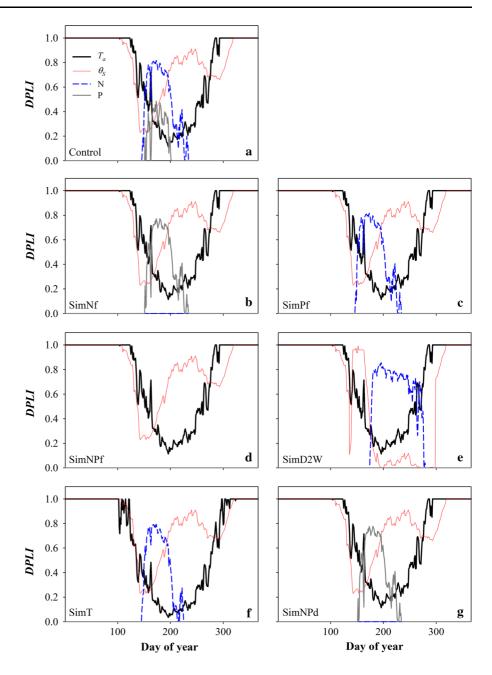
matter, compared to the rate of plant demand for nutrients. Each of these processes (decomposition and productivity) has somewhat different controls by physical processes and so changes in temperature or moisture will have disproportionate effects on decomposition and productivity as has been suggested for other ecosystems (Townsend et al. 1992). Therefore, the simulated N- and P-limitation are the end result of a complex series of controls (over nutrient dynamics and productivity) where temperature and moisture play an important role via regulation of decomposition and nutrient release rates.

Warming could further shift the relative strength of N versus P limitation at the site because of differences in C:N and C:P ratios of organic matter across the ecosystem. For example, the plant C:N ratio is approximately 2.4-4.1 times greater than soil C:N ratio whereas the plant C:P ratio is approximately 4.5–7.8 times greater than soil C:P ratio (Cleveland et al. 2004; Fisk et al. 1998; Litaor et al. 2008). Therefore, warming will have a proportionally larger effect on the supply of N compared to P if warmer temperatures stimulate decomposition of soil organic matter. Further, the increase in the supply of P due to warming is larger than the corresponding increase in plant demand of P, which effectively would diminish or eliminate any P limitation of productivity at this site (SimT in Table 4). In contrast, the warming-induced



<sup>&</sup>lt;sup>a</sup> Greater values denote stronger productivity limiting effects

Fig. 5 The temporal productivity limiting index (i.e., DPLI) of air temperature  $(T_a)$ , soil moisture ( $\theta_S$ ), nitrogen (N), and phosphorus (P) on gross primary productivity (GPP). a The simulations with current environmental conditions in the dry meadow. b, c, d The simulations with N fertilization (SimNf), P fertilization (SimPf), and N + P fertilization (SimNPf), respectively. e The simulations with soil moisture increased by three fold and soil temperature decreased by 60 % to replicate likely soil conditions in the alpine wet meadow (SimD2W). f The simulations with soil and atmospheric temperatures increased by 3 °C (SimT). g The simulations with both N and P loading rates (through atmospheric deposition) increased by 5 times (SimNPd). A greater value denotes a stronger limiting effect on productivity and zero denotes no limiting effect on productivity [please see Eq. (3)]



increase in the supply of N is similar to the warming-induced increase in the demand of N, resulting in small change in the limiting effect of N (SimT in Table 4) in the context of warming climate.

The N loading rate through atmospheric deposition (0.43 g N m<sup>-2</sup> year<sup>-1</sup>) is approximately 29 times greater than the P loading rate (0.015 g P m<sup>-2</sup> year<sup>-1</sup>) (Mladenov et al. 2012). This suggests that the greater nitrogen deposition would likely eliminate the limiting

effects of N availability on productivity and thus enhance the productivity limiting effects of P (if nutrient deposition continues with the current deposition rates at NWT-LTER). Given strong N limitation at the site (Bowman et al. 1993) that is captured by our model (Fig. 5), the simulated temporal variations of productivity limiting effects with increased nutrient deposition (SimNPd) are similar to those simulated with N fertilization (SimNf) (Fig. 5; Table 4).



The alpine tundra of Niwot Ridge is diverse and includes distinct vegetation communities. Here again, the modeling analysis presents a series of predictions that may offer improved insights into how limitations shift alongside vegetation composition, and provides some testable hypotheses that maybe useful for future experimental designs. For example, in the wet meadow communities, soil moisture is much higher than optimal moisture content for plant growth during the peak of growing season but is close to optimal moisture content in the late growing season. This results in a pattern of limitation where soil moisture is the strongest limiting factor in the early and middle of summer while N is the strongest limiting factor in the late summer and fall. As with the dry meadow, temperatures provide the ultimate limitation to productivity in the spring and late fall. Based on these simulations, we might hypothesize a different response of the wet meadows to climatic or nutrient deposition changes than those that would occur in the dry meadow. Future field multifactorial experiments (e.g., moisture-manipulation experiments and/or fertilization experiments with different applicationtiming treatments) would be helpful to test the dramatically different temporal variations of productivity limiting effects between the dry and wet meadows as indicated by the model simulations.

## Model calibration and validation

Our results are broadly similar to other ecosystem models of arctic and alpine ecosystems. For example, the estimated vegetation maintenance respiration coefficient (0.3 g C m<sup>-2</sup> year<sup>-1</sup>) is similar to the value used for alpine tundra (0.47 g C m<sup>-2</sup> year<sup>-1</sup>) in McGuire et al. (1992). The estimated turnover rate of foliage/root (0.342 year<sup>-1</sup>) is similar to the value (0.313 year<sup>-1</sup>) estimated for an alpine dry meadow by Fisk et al. (1998). The estimated turnover rates of litter and soil C under field conditions (i.e., the estimated  $K_L$  and  $K_S$  adjusted by soil temperature and moisture function) during the growing season are 0.004 and 0.204 year<sup>-1</sup>, respectively, compared to 0.006 and 0.117 year<sup>-1</sup> estimated during peak productivity season by Fisk et al. (1998).

The estimated annual GPP and NPP (i.e., 216 and 112 g C m<sup>-2</sup> year<sup>-1</sup>, respectively) are similar to a number of values from nearby research sites and for the purposes of this study, we were not aiming to exactly reproduced productivity values but rather to generate

plausible simulation results that could be used for the goals of this heuristic modeling study. As a comparison to model results, Knowles et al. (2014) estimated mean GPP for a dry alpine fellfield at Niwot Ridge ranged from  $\sim 105$  to  $\sim 137$  g C m<sup>-2</sup> year<sup>-1</sup> based on eddy covariance measurements. Elsewhere, welker et al. (1999) reported that GPP for artic and alpine dry tundra ranged from  $\sim 77$  to  $\sim 272$  g C m<sup>-2</sup> year<sup>-1</sup>, based on chamber measurements, similar to our GPP estimates (Table 4). Fisk et al. (1998) reported that NPP for dry and wet meadow are  $\sim 280$  and  $\sim 600$  g C m<sup>-2</sup> year<sup>-1</sup>, respectively, based on vegetation biomass measurements. Bowman and Seastedt (2001) reported that NPP for dry meadow ranged between  $\sim 166$  and  $\sim 181$  g C  $m^{-2}$  year<sup>-1</sup> and for wet meadow ranged between  $\sim 252$ and  $\sim 332 \,\mathrm{C} \,\mathrm{m}^{-2} \,\mathrm{year}^{-1}$ ; all of these values are higher than the estimated NPP from the dry meadow simulation in this study.

To check model performance, we examined the N and/or P fertilization simulations (SimNf, SimPf, and SimNPf in Fig. 4; Table 4). In this case, we evaluated the proportional treatment responses (in the form of a ratio of fertilized to non-fertilized plots). These ratios provide a sense of the magnitude of vegetation response to changes in nutrients and therefore a more direct evaluation of limitation than absolute GPP or NPP numbers which are highly variable in this tundra site. The simulated NPP ratio numbers (i.e., 1.2 for SimNf/ Control, 1.0 for SimPf/Control, and 2.4 for SimNPf/ Control) are similar to variation in measured aboveground-biomass ratios (1.6, 1.1, and 2.8 for N, P, and NP fertilization, respectively) based on field fertilization experiments (Bowman et al. 1993). Additionally, the simulated NPP ratio between wet and dry meadows (i.e., 1.5 for SimD2W/Control) is also within the ranges ( $\sim$ 1.39–2.0) reported by Bowman and Seastedt (2001). The broad similarity of model outputs to field observations, although not a formal validation, does serve to build confidence in the utility of the model for the purposes for which it is employed in this study.

#### Uncertainties and limitations

One of the central tests for this model is the comparison between simulated and observed GPP. GPP in this model is a predicted variable that is not heavily prescribed and depends to a large degree on the physical and nutrient limitations to plant growth at the site. It would seem straightforward to compare



modeled to measured GPP; however, reported GPP and NPP values for alpine tundra vary significantly as presented earlier. The wide range in measured GPP and NPP values and the need to have accurate numbers for the testing of ecosystem models highlights the importance of these measurements for LTER and other ecological observation sites.

Our model simulations suggest that warming increased productivity by approximately 30 % in the dry meadow through directly changing soil temperature (Table 4). However, field observations indicated that warming might also have strong indirect impact on GPP by changing the surface or subsurface hydrological conditions due to permafrost thaw and/ or earlier melting of snow and glacial ice (Caine 2011); thus, altering soil moisture regimes (Ababneh and Woolfenden 2010) and ecosystem productivity. Also, warming may cause longer growing season length (Myneni et al. 1997) and thus may significantly affect plant phenology (e.g., canopy properties and LAI) during growing seasons (Smith et al. 2012), which may have great impacts on overall ecosystem productivity (Baptist and Choler 2008; Hu et al. 2010). To develop a more robust projection of changes at this alpine site, it will be important to include more sophisticated representations of hydrological system (including snowpack dynamics) and plant physiological and phenology. Such model improvements could be coupled with additional field experimental studies to investigate how warming-induced changes in soil hydrology, snowpack, and plant phenology (e.g., length of growing season) affect the key processes that control ecosystem productivity.

One important factor that is not considered in our model framework is vegetation community (diversity, richness, and abundance) and its controls over ecosystem production. Such biotic shifts that may accompany climate change would significantly change the C:N:P ratios of soil and vegetation, C/N/P allocations (aboveground vs. belowground), patterns of nutrient uptake and, subsequently, primary production. At this time, it remains difficult to predict how (or why) vegetation may change in the alpine so while this is a limitation of the modeling approach presented here, it is also not an issue that is easily remedied without additional study.

Finally, the model does not consider the microbial response to nutrient enrichment. Microbial decomposition of soil organic matter can affect soil N availability by altering the export of N from soils to river or lake.

For example, Brooks and Williams (1999) indicated an inverse relationship between microbial N immobilization in winter and the leaching loss of N in spring and early summer after snowmelt (e.g., lower microbial activity in winter, higher leaching loss of N in spring after/during snowmelt). Simulating these kinds of temporal dynamics will likely require a greater mechanistic understanding and representation of subsurface biogeochemistry and hydrology in ecosystem models. Moreover, resolving spatial and temporal dynamics of plant and microbial nutrient competition (Kaye and Hart 1997) or a cooperation (via rhizosphere dynamics or mycorrhizae) (Huddleston 2011; Wernet et al. 2014) remains a significant challenge to accurately project biogeochemical responses to global change. For example, changes in soil nutrient conditions have the potential to change microbial community composition that, in turn, affects soil nutrient conditions (Leff et al. 2015; Liu and Greaver 2010; Ramirez et al. 2012). Our model framework could be coupled with the existing microbial models to investigate the microbial controls over soil C and nutrient cycling (Lawrence et al. 2009; Wieder et al. 2015) and the subsequent ecosystem productivity in the future.

**Acknowledgments** This work was supported by the U.S. Department of Energy, Office of Science, Office of Biological and Environmental Research, Climate and Environmental Science Division under contract DE-AC02-06CH11357 and by the Niwot Ridge Long-Term Ecological Research Program that is funded by the U.S. National Science Foundation (#DEB-1027341).

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