

# Programming Paradigms

## Lecture 1

**Slides are from Prof. Chin Wei-Ngan and Prof. Seif Haridi from NUS**

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# About the Slides

- The slides are based on the lectures slides of course CS2104 given by Prof. Chin Wei-Ngan from National University of Singapore and also some slides are taken from Prof. Seif Haridi
- Lectures based of the book:
- Peter Van Roy, Seif Haridi: Concepts, Techniques, and Models of Computer Programming, The MIT Press

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# Lecture Structure

- Reminder of last lecture
- Overview
- Content (new notions + examples)
- Summary
- Reading suggestions

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# Useful Software

<http://mozart.github.io/>

%o programming language: Oz

%o system: Mozart

%o interactive system

- Install yourself using the first seminar

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# Aim

- Knowledge and skills in
  - ‰ Programming languages concepts
  - ‰ Corresponding programming techniques
- Acquaintance with
  - ‰ Key programming concepts/techniques in computer science
  - ‰ Focus on concepts and not on a particular language

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# Overview

- Introduction of main concepts:
  - ‰ Computation model
  - ‰ Programming model
  - ‰ Reasoning model

# Programming

- **Computation model**

- % formal system that defines a language and how sentences (expressions, statements) are executed by an abstract machine

- **Programming model**

- % a set of programming techniques and design principles used to write programs in the language of the computation model

- **Reasoning model**

- % a set of reasoning techniques to let you reason about programs, to increase confidence that they behave correctly, and to estimate their efficiency

# Computation Models

- **Declarative** programming (stateless programming)

- %% functions over partial data structures

- **Concurrent** programming

- %% can interact with the environment

- %% can do independent execution of program parts

- **Imperative** programming (stateful programming)

- %% uses states (a state is a sequence of values in time that contains the intermediate results of a desired computation)

- **Object-oriented** programming

- %% uses object data abstraction, explicit state, polymorphism, and inheritance



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# Programming Models

- **Exception handling**

- ‰ Error management

- **Concurrency**

- ‰ Dataflow, lazy execution, message passing, active objects, monitors, and transactions

- **Components**

- ‰ Programming in the large, software reuse

- **Capabilities**

- ‰ Encapsulation, security, distribution, fault tolerance

- **State**

- ‰ Objects, classes

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# Reasoning Models

## ■ Syntax

- ‰ Extended Backus-Naur Form (EBNF)
- ‰ Context-free and context-sensitive grammars

## ■ Semantics

- ‰ Operational: shows how a statement executes as an abstract machine
- ‰ Axiomatic: defines a statement as a relation between input state and output state
- ‰ Denotational: defines a statement as a function over an abstract domain
- ‰ Logical: defines a statement as a model of a logical theory

## ■ Programming language

- ‰ Implements a programming model
- ‰ Describes programs composed of **statements** which compute with **values** and **effects**

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# Examples of Programming Languages

## Java

- %o programming with explicit state
- %o object-oriented programming
- %o concurrent programming (threads, monitors)

## Oz (multi-paradigm)

- %o declarative programming
  - %o concurrent programming
  - %o programming with explicit state
  - %o object-oriented programming
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# Oz

- The focus is on the programming model, techniques and concepts, but **not** the particular language!
- Approach
  - %o informal introduction to important concepts
  - %o introducing the underlying kernel language
  - %o formal semantics based on abstract machine
  - %o in depth study of programming techniques

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# Declarative Programming Model Philosophy

## Ideal of declarative programming

- %o say **what** you want to compute
- %o let computer find **how** to compute it

## More pragmatically

- %o let the computer provide more support
- %o free the programmer from some burden

# Properties of Declarative Models

- Focus on functions which compute when given data structures as inputs
- Widely used
  - % functional languages: LISP, Scheme, OCaml, Haskell, ...
  - % logic languages: Prolog, Mercury, ...
  - % representation languages: XML, XSL, ...
- Stateless programming
  - % no update of data structures
  - % Simple data transformer

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# The Mozart System

- Built by Mozart Consortium (Universität des Saarlandes, Swedish Institute of Computer Science, Université catholique de Louvain)
- Interactive interface (the `declare` statement)
  - %% Allows introducing program fragments incrementally and execute them
  - %% Has a tool (Browser), which allows looking into the store using the procedure `Browse`
    - `{Browse 21 * 10} -> display 210`
- Standalone application
  - %% It consists of a main function, evaluated when the program starts
  - %% Oz source files can be compiled and linked

# Concept of Single-Assignment Store

- It is a **set of variables** that are initially **unbound** and that can be **bound** to one value
- A **value** is a mathematical constant that does not change.

For e.g : `2`, `~4`, `true`, `'a'`, `[1 2 3]`

- Examples:

%o  $\{x_1, x_2, x_3\}$  has three unbound variables

%o  $\{x_1=2, x_2=\text{true}, x_3\}$  has only one unbound variable



# Concept of Single-Assignment Store

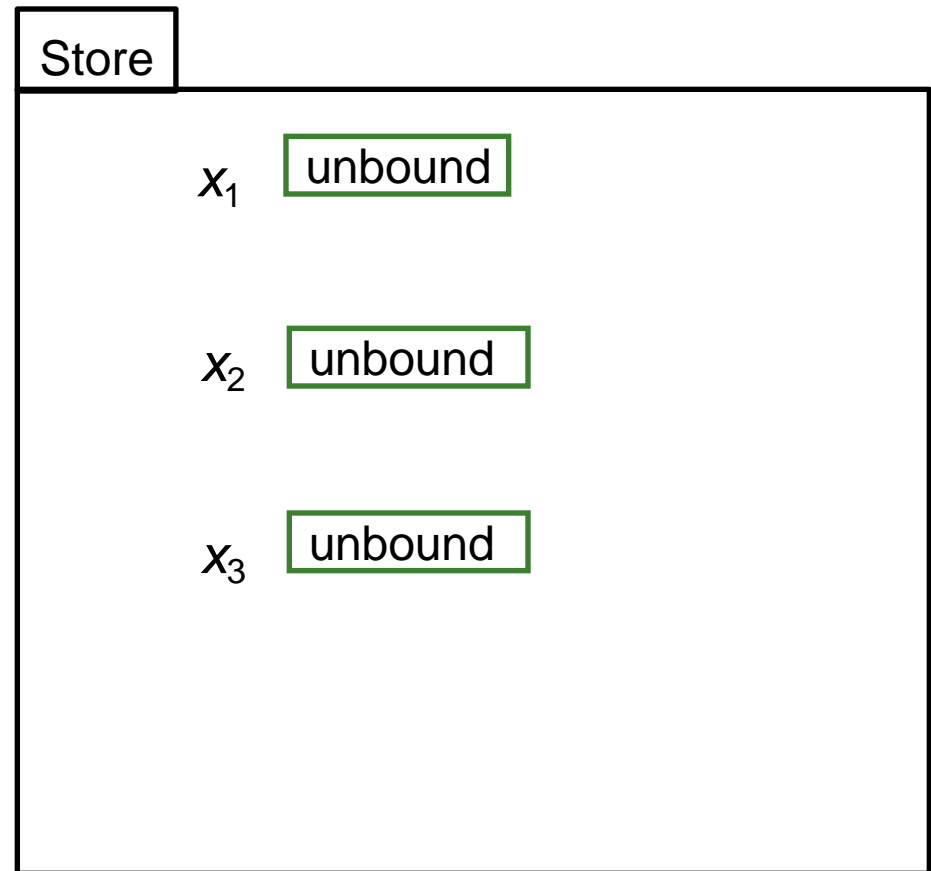
- A **store** where all variables are bound to values is called a **value store**:

$\{x_1=2, x_2=\text{true}, x_3=[1 \ 2 \ 3]\}$

- Once bound, a variable stays bound to that value
- So, a **value store** is a persistent mapping from variables to values
- A **store entity** is a store variable and its value (which can be unbound).

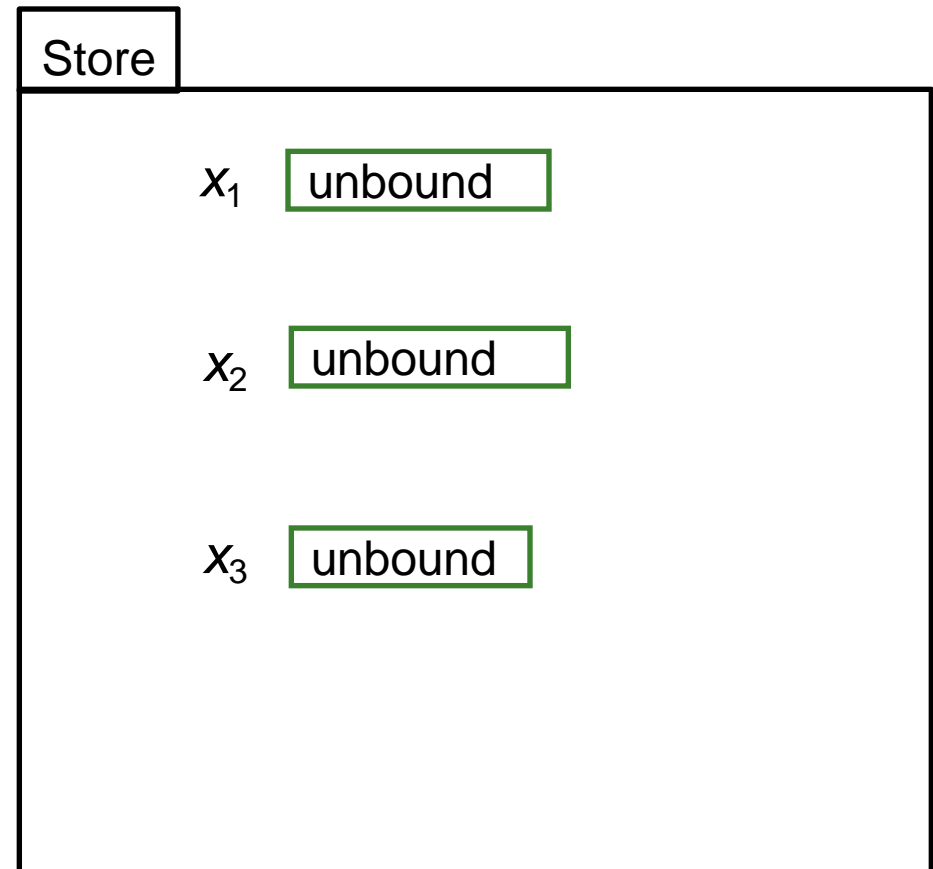
# Concept of Single-Assignment Store

- Single-assignment store is set of (store) variables
- Initially variables are unbound
- Example: store with three variables,  $x_1$ ,  $x_2$ , and  $x_3$



# Concept of Single-Assignment Store

- Variables in store may be bound to values
- Example: assume we allow values of type integers and lists of integers



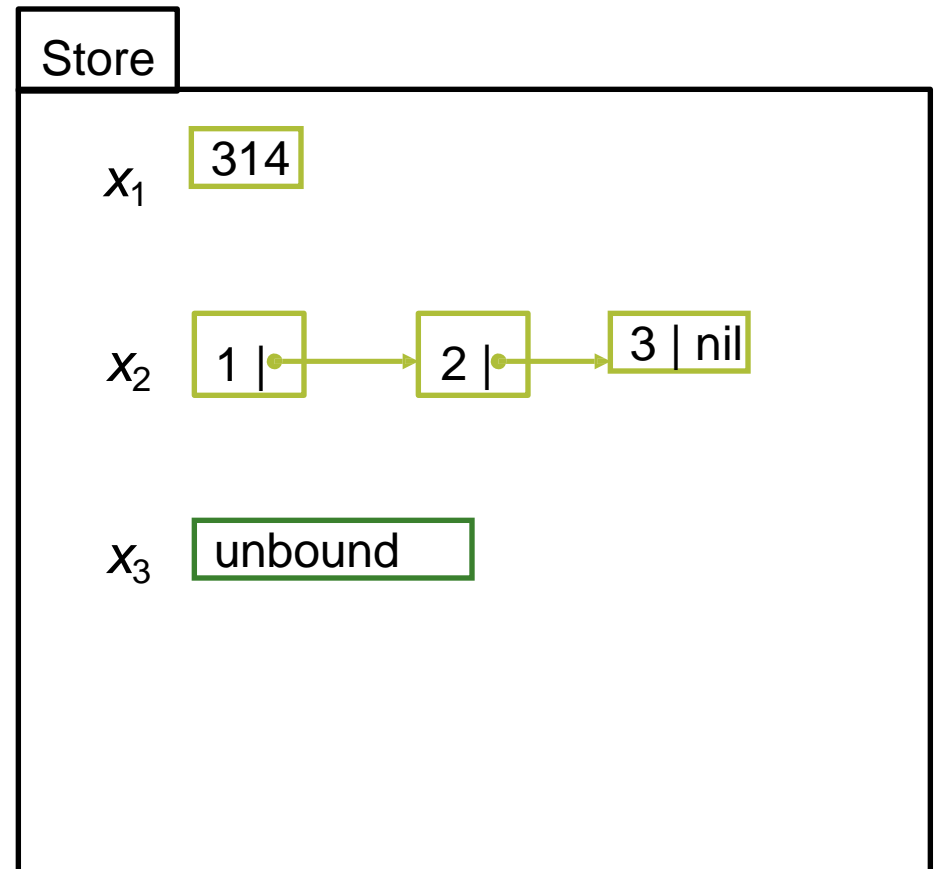
# Concept of Single-Assignment Store

## ■ Examples:

%%  $x_1$  is bound to integer 314

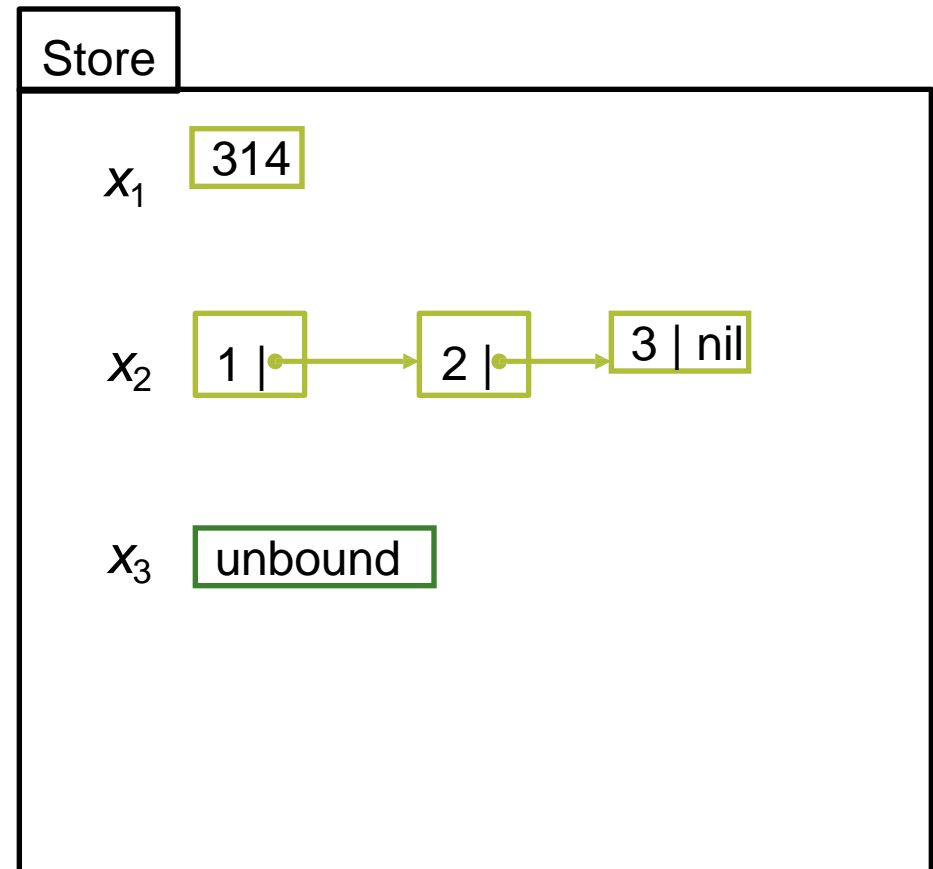
%%  $x_2$  is bound to list [1 2 3]

%%  $x_3$  is still unbound



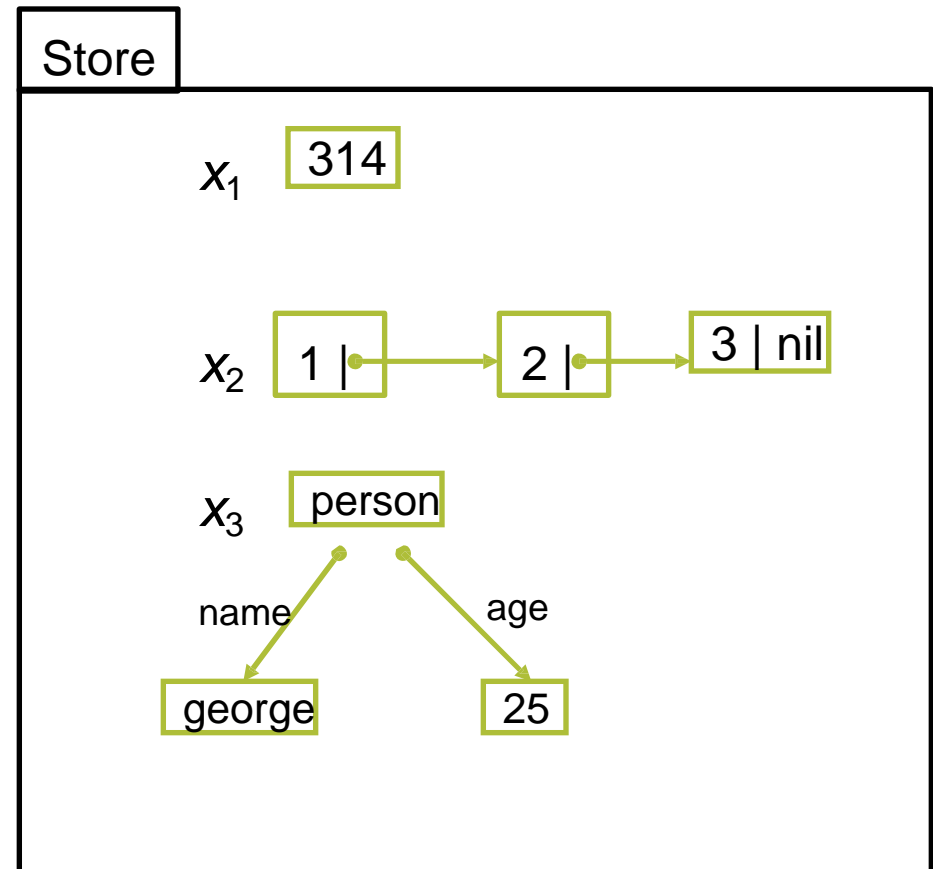
# Concept of Declarative Variable

- It is a variable in the single-assignment store
  - Created as being *unbound*
  - Can be *bound* to exactly one value
  - Once bound, stays bound
- %% indistinguishable from its value



# Concept of Value Store

- Store where all variables are bound to values is called a *value store*
- Examples:
  - %  $x_1$  bound to integer 314
  - %  $x_2$  bound to list [1 2 3]
  - %  $x_3$  bound to record  
person (name: george  
age: 25)
- Functional programming computes functions on values



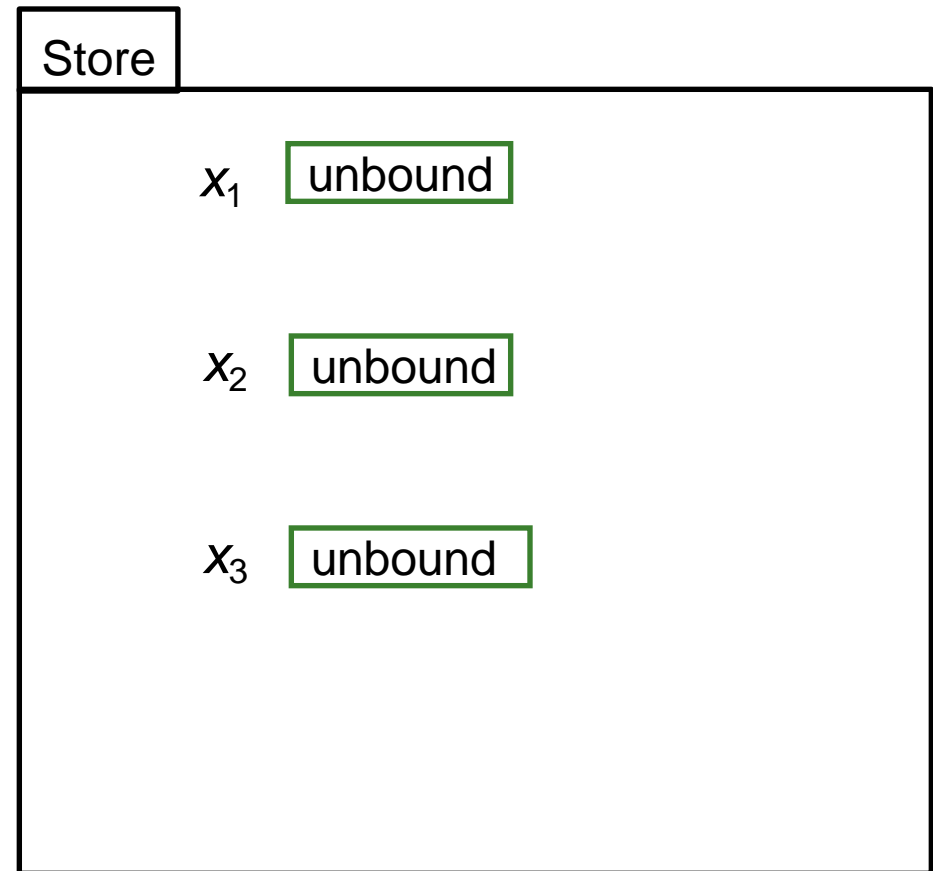
# Concept of Single-Assignment Operation

$x = \text{value}$

- It is also called “value creation”
- Assumes that  $x$  is unbound
- Examples:

%%  $x_1 = 314$

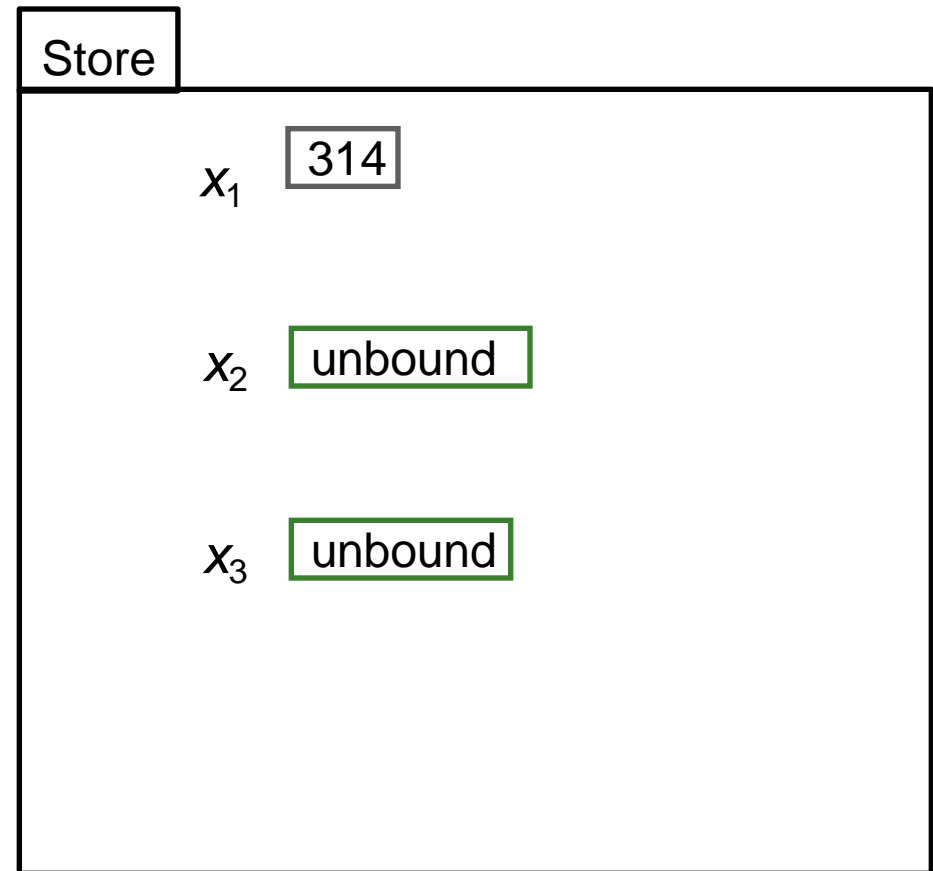
%%  $x_2 = [1 \ 2 \ 3]$



# Concept of Single-Assignment Operation

$x = \text{value}$   
 $x_1 = 314$

$X_2 = [1 \ 2 \ 3]$





# Concept of Single-Assignment Operation

$x = \text{value}$

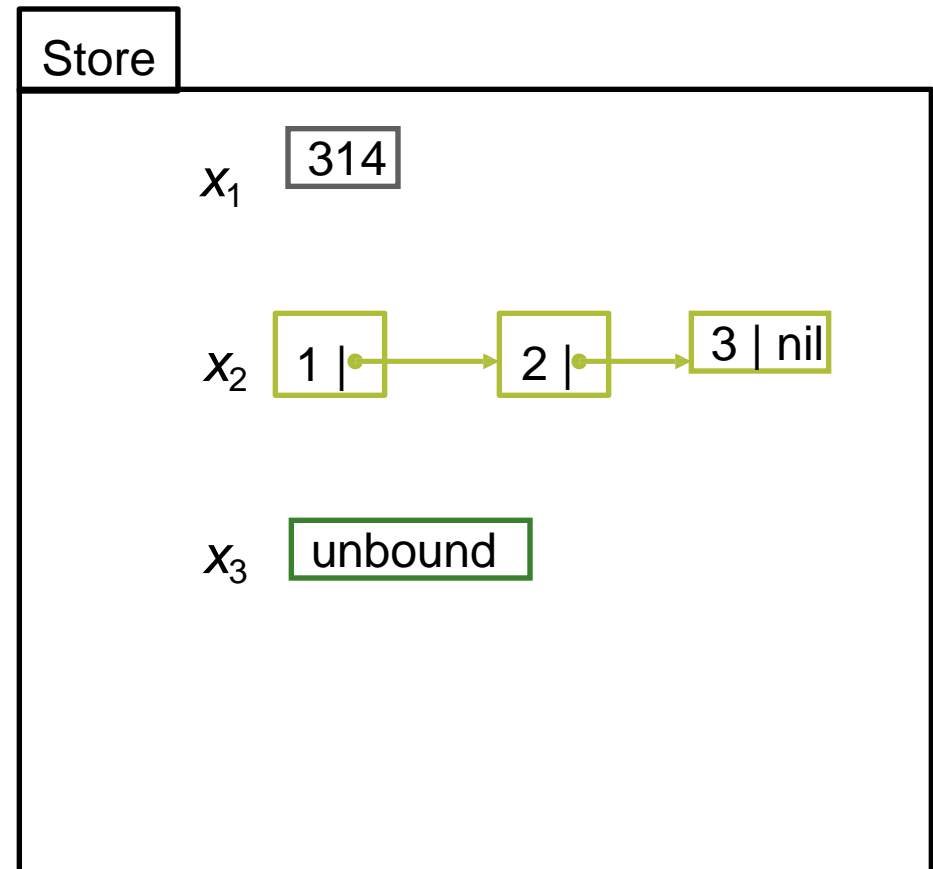
- *Single assignment operation* ('=')

% constructs *value* in store

% binds variable *x* to this value

- If the variable is already bound, operation tests compatibility of values

% if the value being bound is different from that already bound, an error is raised



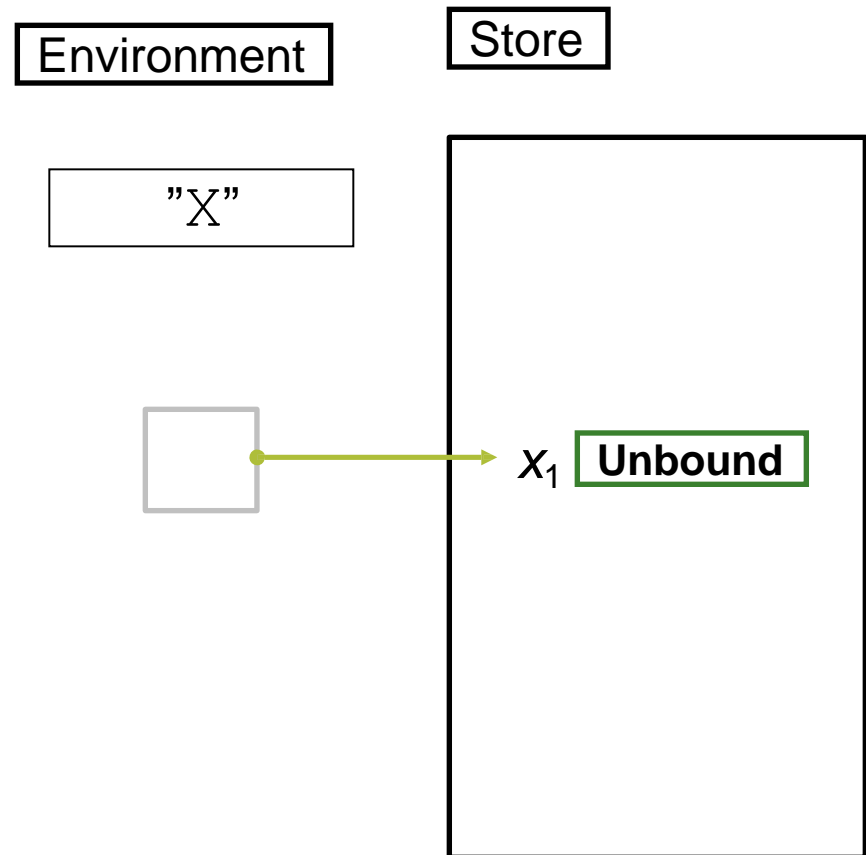
# Concept of Variable Identifier

- Variable identifiers start with capital letter:  $X$ ,  $Y$
- The **environment** is a mapping from variable identifiers to store entities
- `declare X = <value>`
  - % creates a new store variable  $x$  and binds it to `<value>`
  - % maps variable identifier  $X$  in environment to store variable  $x$ , e.g.  $\{X \rightarrow x\}$
- `declare`
  - $X = Y$
  - $Y = 2$
- The environment:  $E = \{X \rightarrow x, Y \rightarrow y\}$
- The single-assignment store:  $\sigma = \{x = y, y = 2\}$

# Concept of Variable Identifier

- Refer to store entities
- Environment maps variable identifiers to store variables

```
%o declare X
%o local X in ... end
```
- X is variable identifier
- Corresponds to 'environment'  $\{X \rightarrow x_1\}$



# Concept of Variable Identifier

- declare

X = 21

X = 22

% raise an error

X = 21

% do nothing

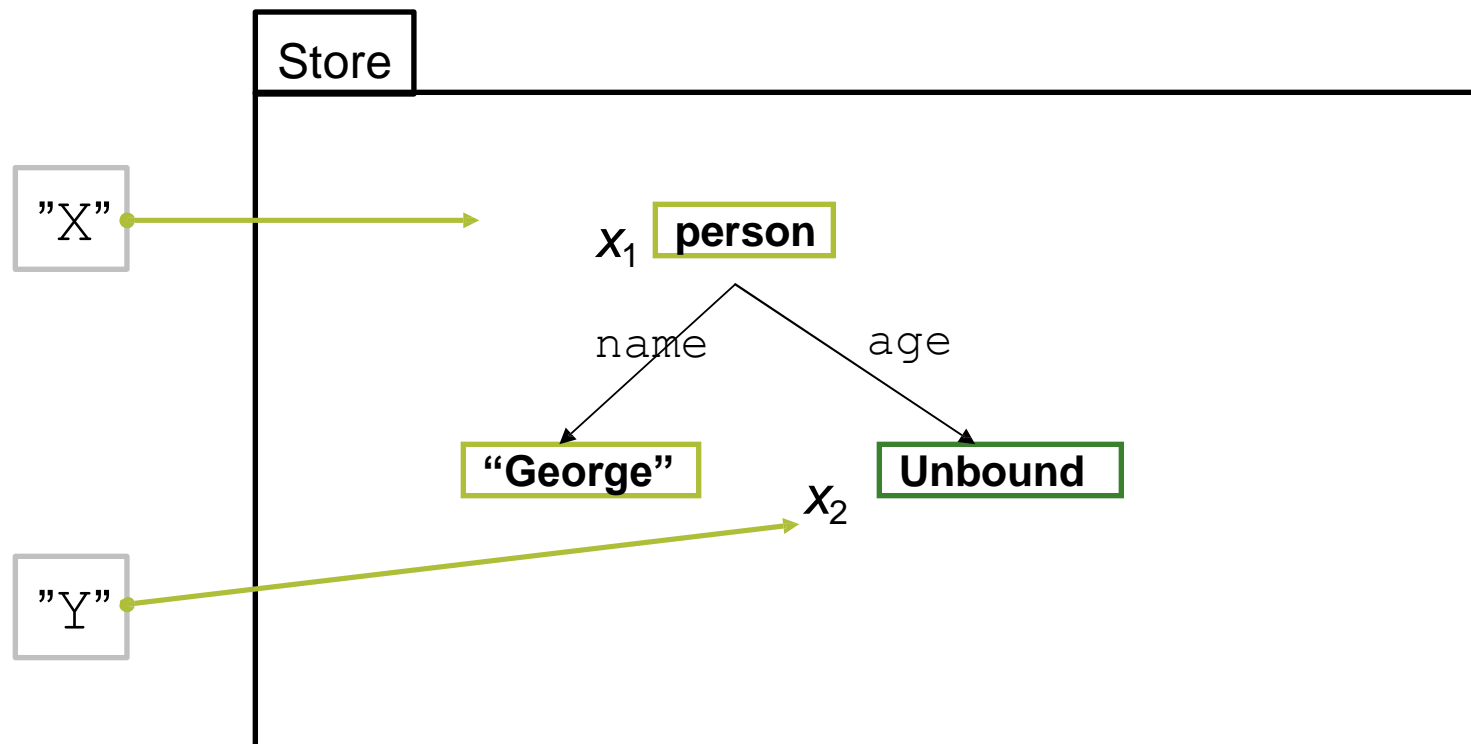
declare

X = 22

% from now on, X will be bound to 22

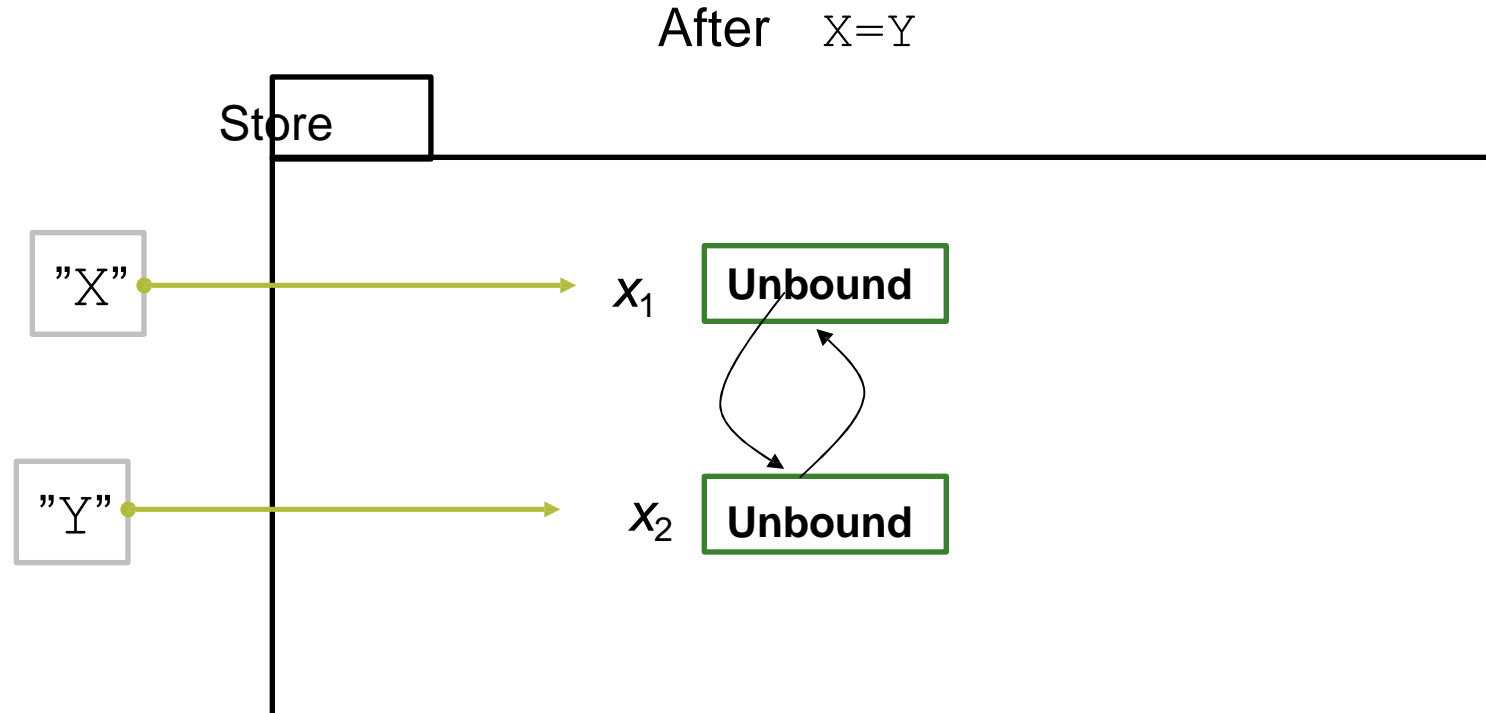
# Partial Value

- A partial value is a data structure that *may* contain unbound variables. For example,  $x_2$  is unbound. Hence,  $x_1$  is a partial value.



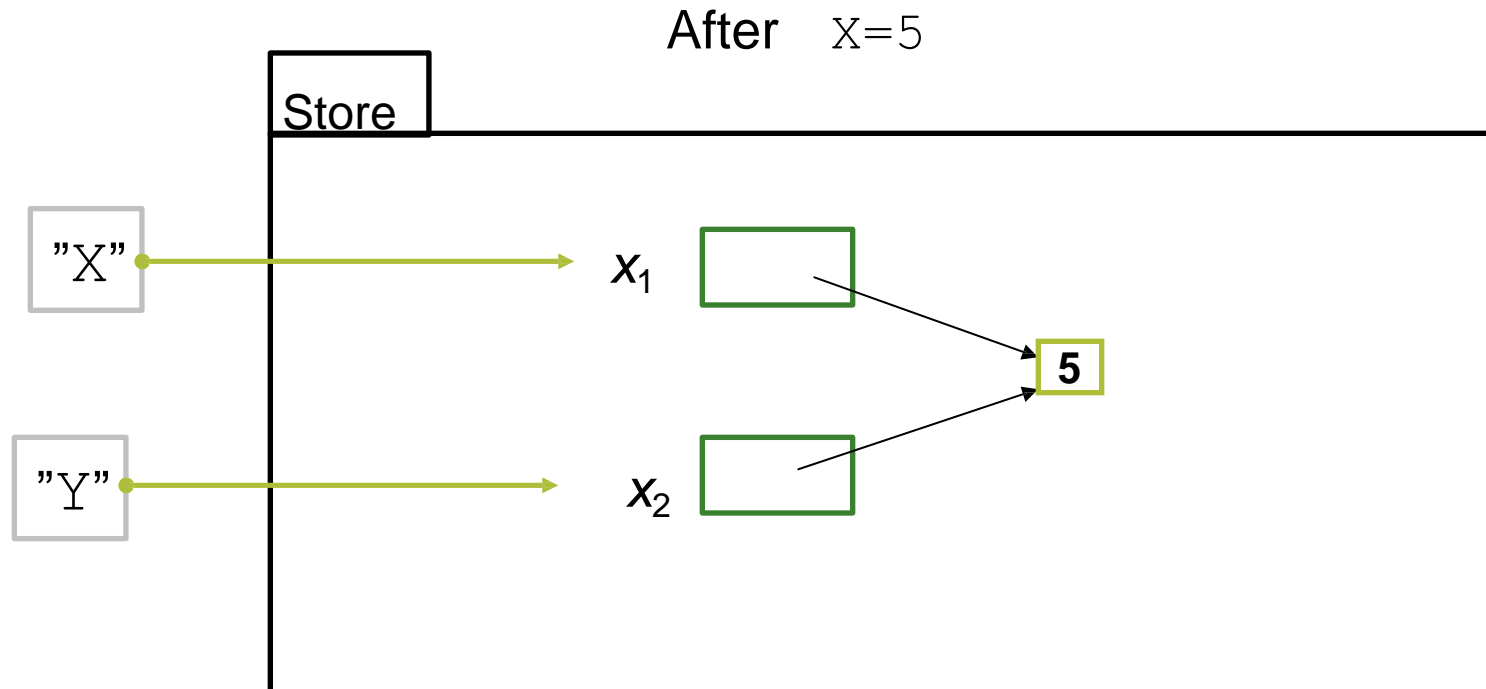
# Variable-Variable Binding

- Variables can be bound to variables. They form an **equivalence set** of store variables after such binding.
- They throw exception if their values are different.



# Variable-Variable Binding

- After binding one of the variables.



# Concept of Dataflow Variables

- Variable creation and binding can be separated.  
What happens if we use a variable before it is bound?  
Scenario is known as **variable use error**.
- Possible solutions:
  1. Create and bind variables in one step (use error cannot occur): functional programming languages
  2. Execution continues and no error message is given (variable's content is “garbage”): C/C++
  3. Execution continues and no error message is given (variable's content is initialized with a default value): Java



# Concept of Dataflow Variables

”

.....

4. Execution stops with error message (or an exception is raised): Prolog
5. Execution is not possible; the compiler detects that there is an execution path to the variable's use that does not initialize it: Java – local variables
6. Execution waits until the variable is bound and then continues (dataflow programming): Oz

# Example of Dataflow Variables

```
declare X Y  
Y = X + 1  
{Browse Y}
```

Running this Oz code, the Oz Browser does not display anything

```
X = 2
```

Running the previous line, the Oz Browser displays 3

# Dynamic Typing in Oz

- A variable type is known only after the variable is bound
- For an unbound variable, its type checking is left for run time.
- An operation with values of wrong type will raise exceptions
- This setting is **dynamically typed**.
- In contrast, Java is a static type language, as the types of all variables can be determined at compile time
- Examples: Types of `X` maybe `Int`, `Float`, ..

`%o X < 1`

`%o X < 1.0`

# Concept of Cell

- A **cell** is a multiple-assignment variable
- A memory cell is also called **explicit state**
- Three functions operate on cells:

%o NewCell creates a new cell

%o := (assignment) puts a new value in a cell

%o @ (access) gets the current value stored in the cell

- declare

```
C = {NewCell 0}
```

```
{Browse @C}
```

```
C := @C + 1
```

```
{Browse @C}
```

# Concept of Function

- Function definition

```
fun {<Identifier> <Arguments>}  
  [<Declaration Part> in]  
  [<Statement>]  
  <Expression>  
end
```

The value of the **last expression in the body** is the **returned value** of the function

Function application (call)

$X = \{ \text{<Identifier> } \text{<Arguments>} \}$

# Concept of Function. Examples

```
declare
fun  {Minus X}
  ~X
end
  {Browse {Minus 15}}
declare
fun {Max X Y}
  if X>Y then X else Y end
end
declare
X = {Max 2218}
Y = {Max X 43}
{Browse Y}
```

# Recursive Functions

- Direct recursion: the function is calling itself
- Indirect (or mutual) recursion: e.g.  $F$  is calling  $G$ , and  $G$  is calling  $F$
- General structure
  - %% base case
  - %% recursive case
- Typically, for a natural number  $n$ 
  - %% base case:  $n$  is zero
  - %% recursive case:
    - $n$  is different from zero
    - $n$  is greater than zero

# Inductive Function Definition

- Factorial function:  $n! = 1 * 2 * 3 * \dots * n$

%o inductively defined as

$$0! = 1$$

$$n! = n * ((n-1)!)$$

%o program as function `Fact`



# Inductive Function Definition

## ■ Factorial function definition in Oz

```
fun {Fact N}
  if N == 0 then 1

  else N * {Fact N-1}
end
end
{Browse {Fact 5}}
```

# Correctness

- The most popular reasoning techniques is mathematical induction:
  - ‰ Show that for the simplest (initial) case the program is correct
  - ‰ Show that, if the program is correct for a given case, then it is correct for the next case
- `{Fact0}` returns the correct answer, namely 1
- **Assume** `{Fact N-1}` is correct. Suppose  $N > 0$ , then `Fact N` returns  $N * \{Fact\ N-1\}$ , which is correct according to the Oz inductive hypothesis!
- `Fact N` for negative  $N$  goes into an infinite number of recursive calls, so it is wrong!

# Complexity

- The execution time of a program as a function of input size, up to a constant factor, is called the program's **time complexity**.

```
declare
```

```
fun {Fibo N}
```

```
  case N of
```

```
    1 then 1
```

```
  [] 2 then 1
```

```
  [] M then {Fibo (M-1)} + {Fibo (M-2)}
```

```
  end
```

```
end
```

```
{Browse {Fibo 100}}
```

- The time complexity of {Fibo N} is proportional to  $2^N$ .

# Complexity

```
declare
fun {FiboTwo N A1 A2}
  case N of
    1 then A1
  [] 2 then A2
  [] M then {FiboTwo (M-1) A2 (A1+A2) }
  end end
```

```
{Browse {FiboTwo 100 1 1}}
```

- The time complexity of {FiboTwo N} is proportional to N.

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# Concept of Lazy Evaluation

- **Eager** (supply-driven, or data-driven) **evaluation**: calculations are done as soon as they are called
- **Lazy** (demand-driven) **evaluation**: a calculation is done only when the result is needed

declare

```
fun lazy {F1 X} X*X end
```

```
fun lazy {Ints N} N|{Ints N+1} end
```

```
A = {F1 5}
```

```
{Browse A}
```

% it will display: A

Note that {F1 5} does not execute until it is demanded!

# Concept of Lazy Evaluation

- F1 and Ints created “stopped executions” that continue when their results are needed.
- After demanding value of A (function \* is not lazy!), we get:  
    B = {Ints 3}  
    C = 2 \* A                      // A={F1 5}  
    {Browse A}  
    % it will display: 25  
    {Browse B}  
    % it will display: B  
    case B of X|Y|Z|\_ then {Browse X+Y+Z} end  
    % it will cause only first three elements of B to be  
    evaluated and then display: 12  
    % previous B is also refined to: 3|4|5|\_

# Concept of Higher-Order Programming

- Ability to pass functions as arguments or results
- We want to write a function for  $1+2+\dots+n$  (GaussSum)
- It is similar to `Fact`, except that:
  - ‰ “\*” is “+”
  - ‰ the initial case value is not “0” but “1”
- The two operators are written as functions; they will be arguments for the generic function

```
fun {Add X Y} X+Y end
```

```
fun {Mul X Y} X*Y end
```

# Concept of Higher-Order Programming

- The generic function is:

```
fun {GenericFact Op InitVal N} if
  N == 0 then InitVal
else {Op N {GenericFact Op
              InitVal (N-1) }}
end
end
```



# Concept of Higher-Order Programming

- The instances of this generic function may be:

```
fun {FactUsingGeneric N}
```

```
{GenericFact Mul 1 N}
```

```
end
```

```
fun {GaussSumUsingGeneric N}
```

```
{GenericFact Add 0 N}
```

```
end
```

- They can be called as:

```
{Browse {FactUsingGeneric 5}}
```

```
{Browse {GaussSumUsingGeneric 5}}
```

# Concept of Concurrency

- Is the ability of a program to run independent activities (not necessarily to communicate)
- A **thread** is an executing program
- Concurrency is introduced by creating threads

```
thread P1 in
```

```
P1    = {FactUsingGeneric 5}
```

```
{Browse  P1}
```

```
end
```

```
thread P2 in
```

```
P2    = {GaussSumUsingGeneric 5}
```

```
{Browse  P2}
```

```
end
```

# Concept of Dataflow

- Is the ability of an operation to wait until all its variables become bounded

```
declare Xin
thread {Delay 5000} X = 10 end
thread {Browse X * X}      end
thread {Browse 'start'} end
```

- The second `Browse` waits for `X` to become bound
- `X = 10` and `X * X` can be done in any order, so dataflow execution will always give the same result

```
declare Xin
thread {Delay 5000} {Browse X * X} end
thread X = 10 end
thread {Browse 'start'} end
```

# Concept of Object

- It is a function with internal memory (cell)

```
declare
local C in
  C = {NewCell 0}
  fun {Incr}
    C := @C + 1
    @C
  end
  fun {Read} @C end
end
```

C is a counter object, Incr and Read are its interface

The declare statement makes the variables Incr and Read globally available. Incr and Read are bounded to functions

# Concept of Object-Oriented Programming

## ■ Encapsulation

%o Variable `C` is visible only between `local` and `last end`

%o User can modify `C` only through `Incr` function (the counter will work correctly)

%o User can call only the functions (methods) from the interface

```
{Browse {Incr} }
```

```
{Browse {Read} }
```

## ■ Data abstraction

%o Separation between interface and implementation

%o User program does not need to know the implementation

## ■ Inheritance

# Concept of Class

- It is a “factory” which creates objects

declare

```
fun {ClassCounter} C      Incr      Read in
  C = {NewCell 0}
  fun {Incr}
    C := @C + 1
    @C
  end
  fun {Read}
    @C
  end
  counter (incr:Incr read:Read)
end
```

# Concept of Class

- `ClassCounter` is a function that creates a new cell and returns new functions: `Incr` and `Read` (recall higher-order programming)
- The record result groups the methods so that they can be accessed by its fields.

```
declare  
Counter1 = {ClassCounter}  
Counter2 = {ClassCounter}
```

- The methods can be accessed by “.” (dot) operator  
`{Browse {Counter1.incr}}`  
`{Browse {Counter2.read}}`

# Concept of Nondeterminism

- It is concurrency + state
- The order in which threads access the state can **change** from one execution to the next
- The time when operations are executed is not known
- Interleaving (mixed order of threads statements) is dangerous (one of most famous concurrent programming error :
  - ‰ [N.Leveson, C.Turner: An investigation of the Therac-25 accidents. *IEEE Computer*, 26(7):18-41, 1993])
- Solution: An operation is **atomic** if no intermediate states can be observed



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# Summary

- Oz, Mozart
- Variable, Type, Cell
- Function, Recursion, Induction
- Correctness, Complexity
- Lazy Evaluation
- Higher-Order Programming
- Concurrency, Dataflow
- Object, Classes
- Nondeterminism