Programming Paradigms

Lecture 2

Slides are from Prof. Chin Wei-Ngan and Prof. Seif Haridi from NUS

Oz Syntax, Data structures

Reminder of last lecture

- Oz, Mozart
- Concepts of
- Wariable, Type, Cell
- Function, Recursion, Induction
- Correctness, Complexity
- % Lazy Evaluation
- Migher-Order Programming
- Concurrency, Dataflow
- % Object, Classes
- Mondeterminism, Interleaving, Atomicity

Overview

- Programming language definition: syntax, semantics
- % CFG, EBNF
- Data structures
- simple: integers, floats, literals
- compound: records, tuples, lists
- Kernel language
- % linguistic abstraction
- data types
- wariables and partial values
- statements and expressions (next lecture)

Language Syntax

- Language = Syntax + Semantics
- The syntax of a language is concerned with the form of a program: how expressions, commands, declarations etc. are put together to result in the final program.
- The semantics of a language is concerned with the meaning of a program: how the programs behave when executed on computers.

Programming Language Definition

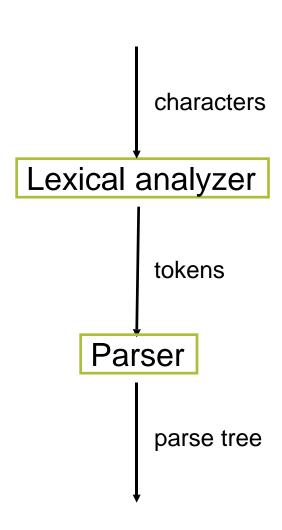
- Syntax: grammatical structure
- Lexical: how words are formed
- % Phrasal: how sentences are formed from words
- Semantics: meaning of programs
- Informal: English documents (e.g. reference manuals, language tutorials and FAQs etc.)
- % Formal:
 - Operational Semantics (execution on an abstract machine)
 - Denotational Semantics (each construct defines a function)
 - •Axiomatic Semantics (each construct is defined by pre and post conditions)

Language Syntax

- Defines *legal* programs
- programs that can be executed by machine
- Defined by grammar rules
- define how to make 'sentences' out of 'words'
- For programming languages
- sentences are called statements (commands, expressions)
- words are called tokens
- grammar rules describe both tokens and statements

Language Syntax

- Token is sequence of characters
- Statement is sequence of tokens
- Lexical analyzer is a program
- " recognizes character sequence
- " produces token sequence
- Parser is a program
- recognizes a token sequence
- m produces statement representation
- Statements are represented as parse trees



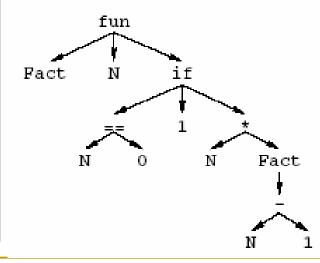
Parse Trees = Abstract Syntax Trees

```
fun {Fact N}
  if N == 0
then

lelse
N*{Fact N-1}
  end
end
```

```
[fun'{''F'act''N''}''\n'''if''
'N''=''='0''then''1'\n'''else
''N'**''{''F'act'''N''-'1'}'''en
d'\n'end]
```

```
['fun' '{' 'Fact' 'N' '}' 'if' 'N' '==' '0' 'then'
'else' 'N' '*' '{' 'Fact' 'N' '-' '1' '}' 'end'
'end']
```



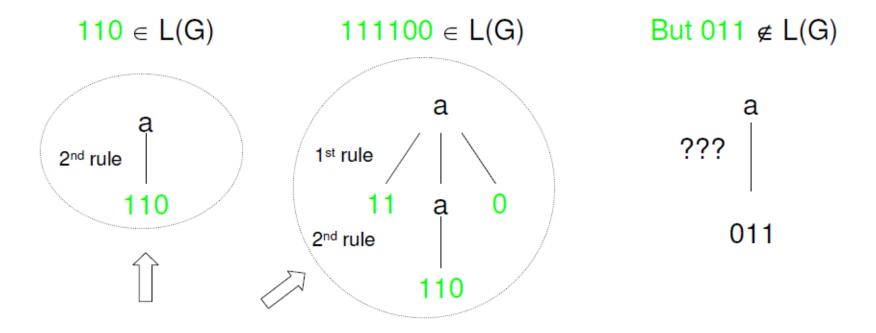
Context-Free Grammars

- A context-free grammar (CFG) is:
 - A set of terminal symbols T (tokens or constants)
 - A set of non-terminal symbols N
 - $f \$ One (non-terminal) start symbol $f \ \$
 - A set of grammar (rewriting) rules Ω of the form
 (nonterminal) ::= (sequence of terminals and nonterminals)
- Grammar rules (productions) can be used to
 - verify that a statement is legal
 - generate all possible statements
- The set of all possible statements generated by a grammar from the start symbol is called a (formal) language

Context-Free Grammars (Example)

Let
$$N = \{\langle a \rangle\}$$
, $T = \{0,1\}$, $\sigma = \langle a \rangle$

$$\Omega = \{\langle a \rangle ::= 11 \langle a \rangle 0, \langle a \rangle ::= 110\}$$



These trees are called parse trees or syntax trees or derivation trees.

Why do we need CFGs for describing syntax of programming languages

A programming language may have arbitrary number of nested statements, such as: if-then-else-end, local-in-end, and so on.

```
L<sub>1</sub>={(if-then)^nend^n(local-in)^mend^m | n, m > 0}
• local ... in
if ... then
    local ... in ... end
    else ...
    end
    end
```

Backus-Naur Form

- BNF is a common notation to define contextfree grammars for programming languages
- digit is defined to represent one of the ten tokens 0, 1, ..., 9

```
\langle digit \rangle := 0 | 1 | 2 | 3 | 5 | 6 | 7 | 8 | 9
```

(Positive) Integers

```
\langle integer \rangle ::= \langle digit \rangle | \langle digit \rangle \langle integer \rangle
\langle digit \rangle ::= 0 | 1 | 2 | 3 | 5 | 6 | 7 | 8 | 9
```

(integer) is defined as the sequence of a (digit) followed by zero or more (digit)'s

Extended Backus-Naur Form

- EBNF is a more compact notation to define the syntax of programming languages.
- EBNF has the same power as CFG.
- Terminal symbol is a token.
- Nonterminal symbol is a sequence of tokens, and is represented by a grammar rule:

```
\langle nonterminal \rangle ::= \langle rule body \rangle
```

As EBNF, (positive) integers may be defined as:

```
\langle integer \rangle ::= \langle digit \rangle \{ \langle digit \rangle \}
```

 (integer) is defined as the sequence of a (digit) followed by zero or more (digit)'s

Extended Backus-Naur Form Notations

 $\langle X \rangle$

 $\langle x \rangle := Body$

 $| \langle X \rangle | \langle Y \rangle$

 $\langle X \rangle \langle Y \rangle$

 $[\langle X \rangle]$

nonterminal x

 $\langle x \rangle$ is defined by *Body*

either $\langle x \rangle$ or $\langle y \rangle$ (choice)

the sequence $\langle x \rangle$ followed by $\langle y \rangle$

sequence of zero or more

occurrences of $\langle x \rangle$

sequence of one or more

occurrences of $\langle x \rangle$

zero or one occurrence of $\langle x \rangle$

Extended Backus-Naur Form Examples

```
    <expression>::= (variable) | (integer) | ...
    <statement>::= skip | (expression> '=' (expression> | ...
    | if (expression> then (statement> )
    { elseif (expression> then (statement> )
    [ else (statement> ] end
    | ...
```

Extended Backus-Naur Form Examples

Description of (positive) real numbers:

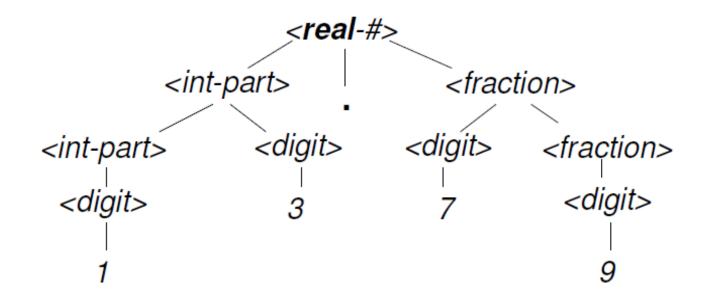
```
      <real-#>
      ::=
      <int-part> . <fraction>

      <int-part>
      ::=
      <digit> | <int-part> <digit>

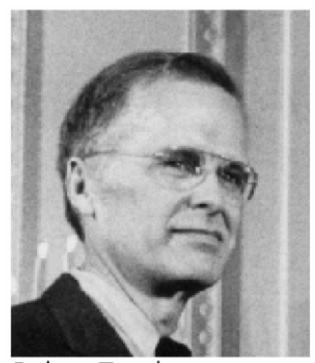
      <fraction>
      ::=
      <digit> | <digit> <fraction>

      <digit>
      ::=
      0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9
```

Token: 13.79



"In '57, parsing expressions was not so easy"!



John Backus

principal papers

Backus-Naur form,

Fortran

Describing his early work on FORTRAN, Backus said:-

"We did not know what we wanted and how to do it. It just sort of grew. The first struggle was over what the language would look like. Then how to parse expressions - it was a big problem and what we did looks astonishingly clumsy now...."

Turing Award, 1977

Data Structures (Values)

Simple data structures

integers

42, ~1, 0

~ means unary minus

floating point

1.01, 3.14

atoms

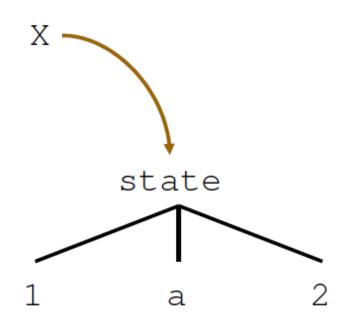
atom, 'Atom', nil

Compound data structures

- tuples: combining several values
- records: generalization of tuples
- lists: special cases of tuples

Tuples

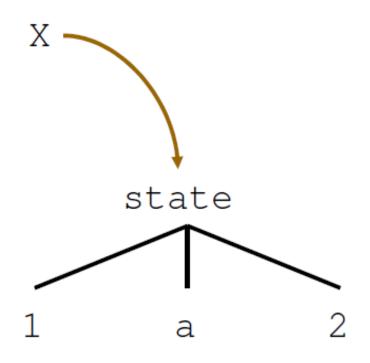
$$X=state(1 a 2)$$



- Have a label
 - □ e.g: state
- Combine several values (variables)
 - □ **e.g**: 1, a, 2
 - position is significant!

Tuple Operations

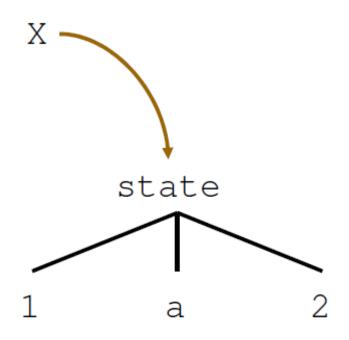
$$X=state(1 a 2)$$



- {Label X} returns label of tuple X
 - □ here: state
 - is an atom
- {Width X} returns the width (number of fields)
 - □ here: 3
 - is a positive integer

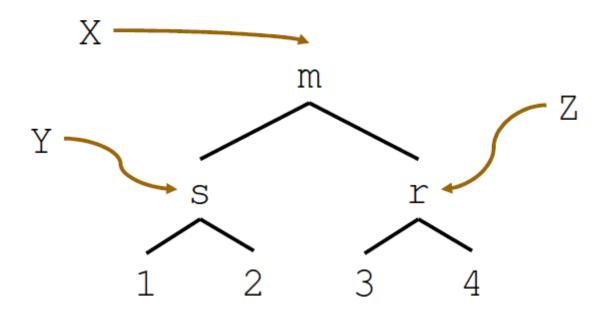
Tuple Access (Dot)

X=state(1 a 2)



- Fields are numbered from 1 to {Width X}
- x.N returns N-th field of tuple
 - □ here, x.1 returns 1
 - here, x.3 returns
- In x.N, N is called feature

Tuples for Trees



Trees can be constructed with tuples:

declare
Y=s(1 2) Z=r(3 4)
X=m(Y Z)

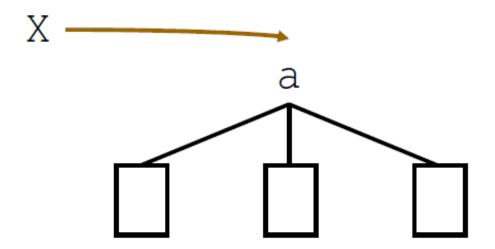
Constructing Tuple Skeletons

- {MakeTuple Label Width}
 - creates new tuple with label Label and width Width
 - fields are initially unbound
- Access to fields then by "dot"

Example Tuple Construction

Created by execution of

```
declare
X = {MakeTuple a 3}
```

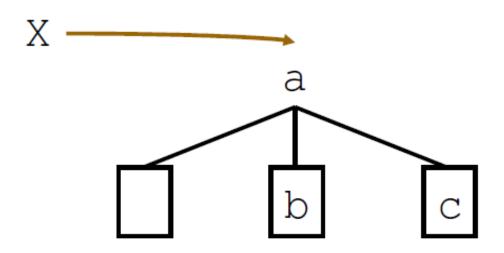


Example Tuple Construction

After execution of

$$X.2 = b$$

$$X.3 = c$$

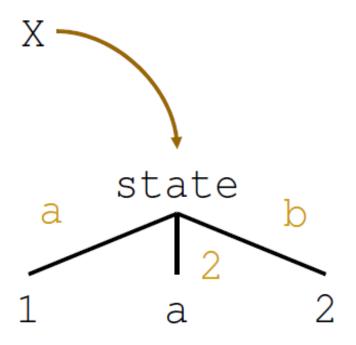


Records

- Records are generalizations of tuples
 - features can be atoms
 - features can be arbitrary integers
 - not restricted to start with 1
 - not restricted to be consecutive
- Records also have Label and Width

Records

X=state(a:1 2:a b:2)



- Position is insignificant
- Field access is as with tuples

X.a is 1

Tuples are Records

Constructing

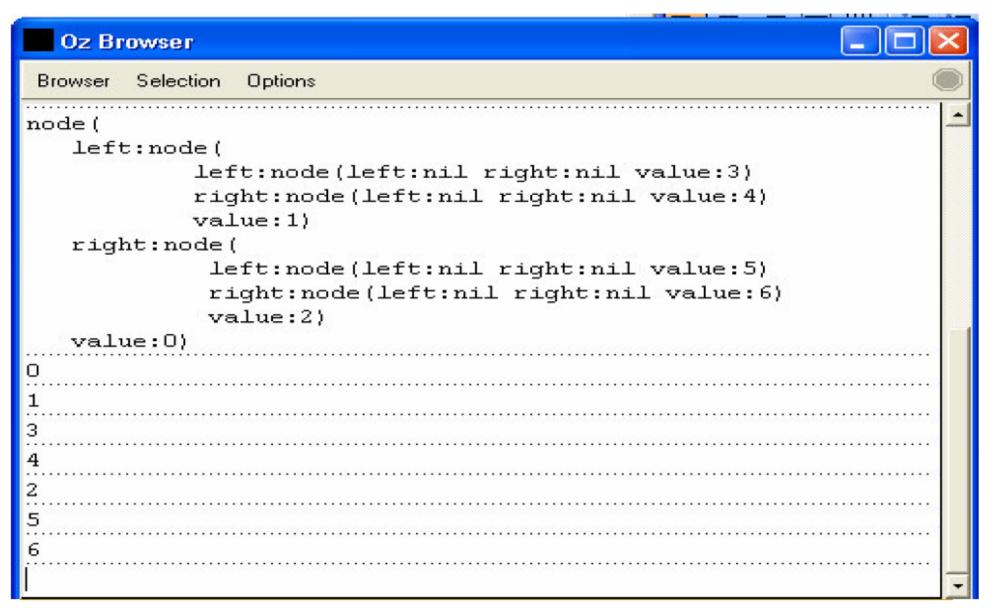
```
declare
X = state(1:a 2:b 3:c)
is equivalent to

X = state(a b c)
```

A Way to Build Binary Trees

```
declare
Root=node(left:X1 right:X2 value:0)
X1=node(left:X3 right:X4 value:1)
X2=node(left:X5 right:X6 value:2)
X3=node(left:nil right:nil value:3)
X4=node(left:nil right:nil value:4)
X5=node(left:nil right:nil value:5)
X6=node(left:nil right:nil value:6)
{Browse Root}
proc {Preorder X}
 if X \= nil then {Browse X.value}
   if X.left \= nil then {Preorder X.left} end
   if X.right \= nil then {Preorder X.right} end
 end
end
{Preorder Root}
```

A Way to Build Binary Trees



Lists

- A list contains a sequence of elements:
 - is the empty list, or
 - consists of a cons (or list pair) with head and tail
 - head contains an element
 - tail contains a list
- Lists are encoded with atoms and tuples
 - empty list: the atom nil
 - □ cons: tuple of width 2 with label ` | '
- Special syntax for cons

$$X = Y \mid Z$$

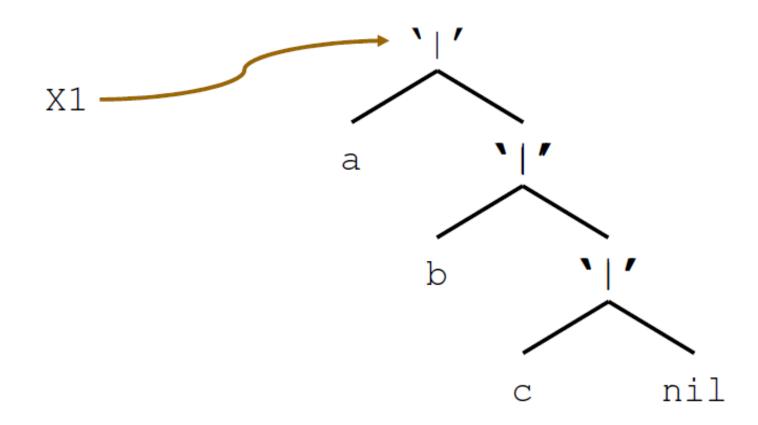
instead of

$$X = ' | ' (Y Z)$$

Both are equivalent!

An Example List

After execution of



Simple List Construction

One can also write

```
X1=a|b|c|nil
which abbreviates
X1=a|(b|(c|nil)))
which abbreviates
X1='\'(a'\'(b'\'(c nil)))
```

Even shorter

$$X1=[a b c]$$

Computing With Lists

- Remember: a cons is a tuple!
- Access head of cons

X.1

Access tail of cons

X.2

Test whether list x is empty:

```
if X==nil then ... else ... end
```

Head And Tail

Define abstractions for lists

```
fun {Head Xs}
     Xs.1
  end
  fun {Tail Xs}
     Xs.2
  end
 {Head [a b c]}
  returns a
{Tail [a b c]}
  returns [b c]
 {Head {Tail {Tail [a b c]}}}
  returns c
```

How to Process Lists. General Method

Lists are processed recursively

base case: list is empty (nil)

inductive case: list is cons

access head, access tail

- Powerful and convenient technique
 - pattern matching
 - matches patterns of values and provides access to fields of compound data structures

How to Process Lists. Example

- Input: list of integers
- Output: sum of its elements
 - implement function Sum
- Inductive definition over list structure
 - Sum of empty list is 0
 - Sum of non-empty list L is

```
{Head L} + {Sum {Tail L}}
```

Sum of the Elements of a List using Conditional Construct

```
fun {Sum L}
   if L==nil
   then 0
   else {Head L} + {Sum {Tail L}}
   end
end
```

Sum of the Elements of a List using Pattern Matching

```
fun {Sum L}
    case L
    of nil then 0
    [] H|T then H +{Sum T}
    end
end
```

Sum of the Elements of a List using Pattern Matching

nil is the pattern of the clause

Sum of the Elements of a List using Pattern Matching

```
fun {Sum L}
    case L
    of nil then 0
    [] H|T then H +{Sum T} Clause
    end
end
```

HIT is the pattern of the clause

Pattern Matching

- The first clause uses of, all other []
- Clauses are tried in textual order (left to right, top to bottom)
- A clause matches, if its pattern matches
- A pattern matches, if the width, label and features agree
 - then, the variables in the pattern are assigned to the respective fields
- Case-statement executes with first matching clause

Length of a List

- Inductive definition
 - length of empty list is 0
 - length of cons is 1 + length of tail

```
fun {Length Xs}
  case Xs
  of nil then 0
  [] X|Xr then 1+{Length Xr}
  end
end
```

General Pattern Matching

- Pattern matching can be used not only for lists!
- Any value, including numbers, atoms, tuples, records

```
fun {DigitToString X}
    case X
    of 0 then "Zero"
    [] 1 then "One"
    [] . . .
    end
end
```

Kernel Language

- % linguistic abstraction
- data types
- wariables and partial values
- statements and expressions (next lecture)

Language Semantics

- Defines what a program does when executed
- Considerations:
 - simplicity
 - allow programmer to reason about program (correctness, execution time, and memory use)
- Practical language used to build complex systems (millions lines of code) must often be expressive.
- Solution : Kernel language approach for semantics

Kernel Language Approach

- Define simple language (kernel language)
- Define its computation model
 - how language constructs (statements)
 manipulate (create and transform) data structures
- Define mapping scheme (translation) of full programming language into kernel language
- Two kinds of translations
 - linguistic abstractions
 - syntactic sugar

Kernel Language Approach

- Provides useful abstractions for programmer
- Can be extended with linguistic abstractions

```
fun {Sqr X} X*X end
B = {Sqr {Sqr A}}
```

```
translation
kernel language
```

- · Easy to reason with
- Has a precise (formal) semantics

Linguistic Abstractions ⇔ Syntactic Sugar

- Linguistic abstractions provide higher level concepts
 - programmer uses to model and reason about programs (systems)
 - examples: functions (fun), iterations (for), classes and objects (class)
- Functions (calls) are translated to procedures (calls). This eliminates a redundant construct from the semantics viewpoint.

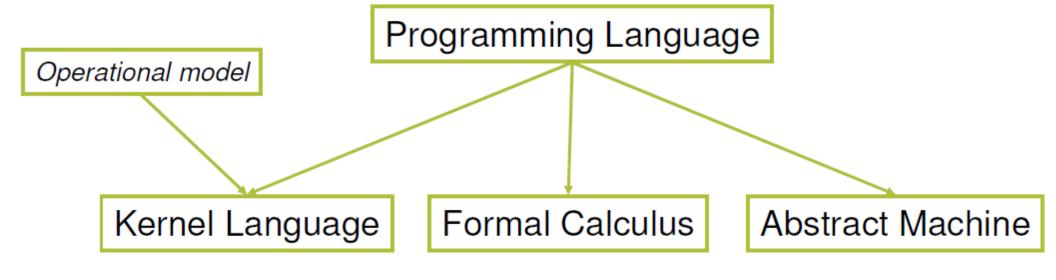
Linguistic Abstractions ⇔ Syntactic Sugar

- Linguistic abstractions:
 provide higher level concepts
- Syntactic sugar: short cuts and conveniences to improve readability

```
if N=1 then [1]
else
local L in
...
end
end
```

```
if N=1 then [1]
else L in
...
end
```

Approaches to Semantics



Aid programmer in reasoning and understanding Mathematical study of programming (languages) λ-calculus, predicate calculus, π-calculus

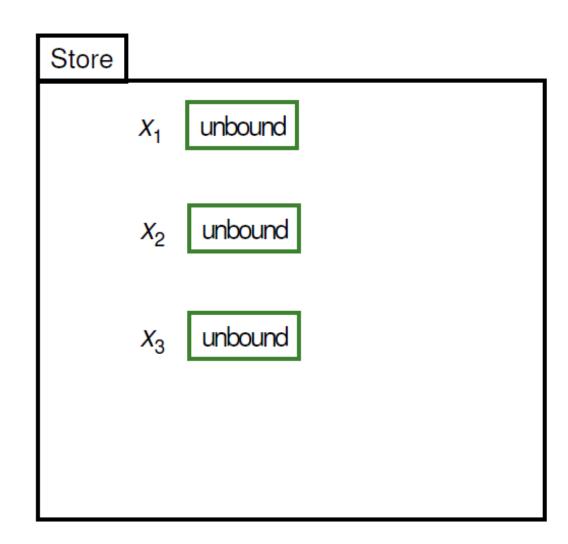
Aid implementer in efficient execution on a real machine

Sequential Declarative Computation Model

- Single assignment store
 - declarative (dataflow) variables and values (together called entities)
 - values and their types
- Kernel language syntax
- Environment
 - maps textual variable names (variable identifiers) into entities in the store
- Execution of kernel language statements
 - execution stack of statements (defines control)
 - store
 - transforms store by sequence of steps

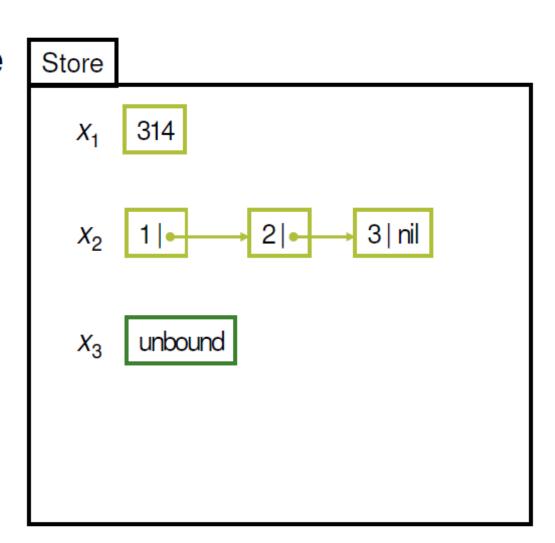
Single Assignment Store

- Single assignment store is store (set) of variables
- Initially variables are unbound
- Example: store with three variables, x₁, x₂, and x₃



Single Assignment Store

- Variables in store may be bound to values
- Example:
 - x₁ is bound to integer314
 - x_2 is bound to list [1 2 3]
 - x_3 is still unbound



Reminder: Variables and Partial Values

Declarative variable

- resides in single-assignment store
- is initially unbound
- can be bound to exactly one (partial) value
- can be bound to several (partial) values as long as they are compatible with each other

Partial value

- data-structure that may contain unbound variables
- when one of the variables is bound, it is replaced by the (partial) value it is bound to
- a complete value, or value for short is a data-structure that does not contain any unbound variable

Value Expressions in the Kernel Language

```
\langle V \rangle
                        ::= \langle number \rangle | \langle record \rangle | \langle procedure \rangle
\langle number \rangle ::= \langle int \rangle \mid \langle float \rangle
⟨record⟩, ⟨pattern⟩ ::= ⟨literal⟩ |
               \langle \text{literal} \rangle (\langle \text{feature}_1 \rangle : \langle x_1 \rangle \dots \langle \text{feature}_n \rangle : \langle x_n \rangle)
⟨literal⟩ ::= ⟨atom⟩ | ⟨bool⟩
⟨feature⟩ ::= ⟨int⟩ | ⟨atom⟩ | ⟨bool⟩
⟨bool⟩ ::= true | false
\langle \text{procedure} \rangle ::= \text{proc } \{ \langle y_1 \rangle \dots \langle y_n \rangle \} \langle s \rangle \text{ end}
```

Statements and Expressions

- Expressions describe computations that return a value
- Statements just describe computations
 - Transforms the state of a store (single assignment)
- Kernel language
 - Expressions allowed: value construction for primitive data types
 - Otherwise statements

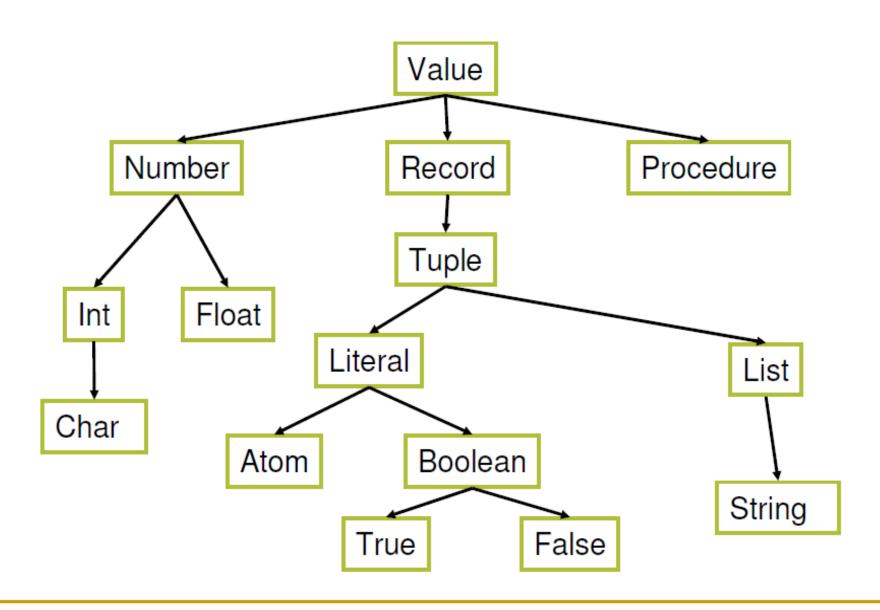
Variable Identifiers

- $\langle x \rangle$, $\langle y \rangle$, $\langle z \rangle$ stand for variables identifiers
- Concrete kernel language variables identifiers
 - begin with an upper-case letter
 - followed by (possibly empty) sequence of alphanumeric characters or underscore
- Any sequence of characters within backquotes
- Examples:
 - □ X, Y1
 - □ Hello_World
 - 'hello this is a \$5 bill' (backquote)

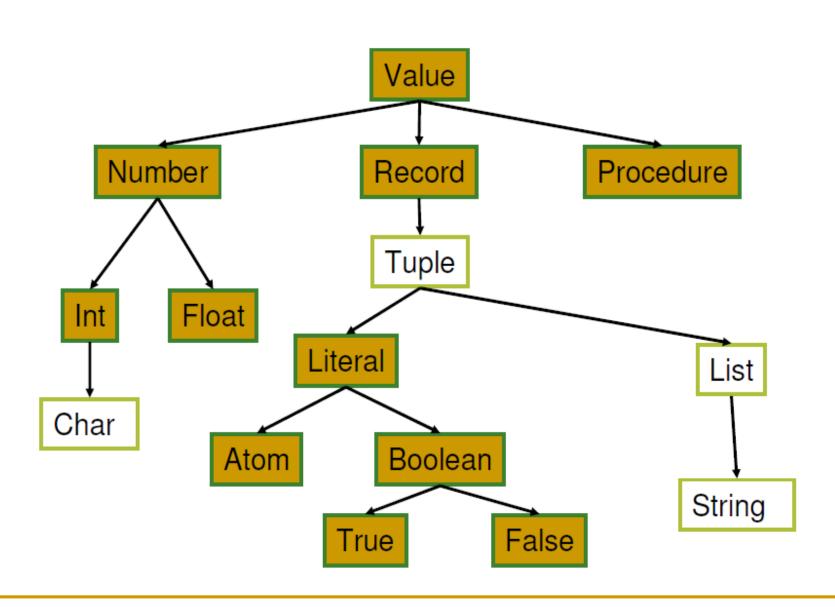
Values and Types

- Data type
 - set of values
 - set of associated operations
- Example: Int is data type "Integer"
 - set of all integer values
 - 1 is of type Int
 - □ has set of operations including +, -, *, div, etc
- Model comes with a set of basic types
- Programs can define other types
 - for example: abstract data types ADT (<Stack T> is an ADT with elements of type T and 4 operations. Type T can be anything, and the operations must satisfy certain laws, but

Data Types



Kernel's Primitive Data Types



Numbers

- Number: either Integer or Float
- Integers:
 - Decimal base:
 - 314, 0, ~10 (minus 10)
 - Hexadecimal base:
 - 0xA4 (164 in decimal base)
 - 0X1Ad (429 in decimal base)
 - Binary base:
 - 0b1101 (13 in decimal base)
 - 0B11 (3 in decimal base)
- Floats:
 - \square 1.0, 3.4, 2.34e2, ~3.52E~3 (~3.52×10⁻³)

Literals: Atoms and Booleans

- Literal: atom or boolean
- Atom (symbolic constant):
 - A sequence starting with a lower-case character followed by characters or digits: person, peter
 - Any sequence of printable characters enclosed in single quotes: 'I am an atom', 'Me too'
 - Note: backquotes are used for variable identifier ('John Doe')

Booleans:

- true
- false

Records

Compound data-structures

- \Box the label: $\langle I \rangle$ is a literal
- □ the features: $\langle f_1 \rangle$, ..., $\langle f_n \rangle$ can be atoms, integers, or booleans
- □ the variable identifiers: $\langle x_1 \rangle$, ..., $\langle x_n \rangle$

Examples:

- person(age:X1 name:X2)
- person(1:X1 2:X2)
- □ ' | ' (1:H 2:T) % no space after ' | '
- nil
- person
- An atom is a record without features!

Syntactic Sugar

Tuples

$$\langle l \rangle (\langle x_1 \rangle \dots \langle x_n \rangle)$$
 (tuple) equivalent to record

$$\langle I \rangle (1:\langle X_1 \rangle \dots n:\langle X_n \rangle)$$

- Lists '|' (⟨hd⟩ ⟨tl⟩)
- A string:
 - a list of character codes:

```
[87 101 32 108 105 107 101 32 79 122 46]
```

can be written with double quotes: "We like Oz."

Operations on Basic Types

Numbers

```
□ floats: +, -, *, /□ integers: +, -, *, div, mod
```

Records

```
Arity, Label, Width, and "."
X = person(name: "George" age: 25)
{Arity X} returns [age name]
{Label X} returns person
X.age returns 25
```

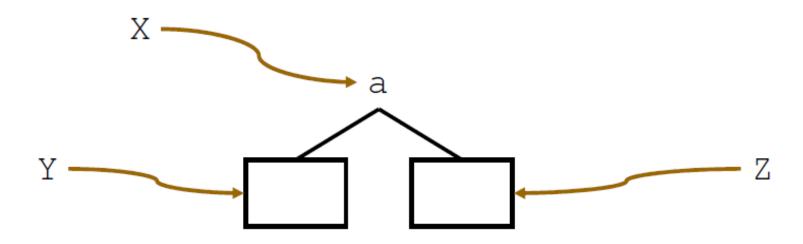
Comparisons (integers, floats, and atoms)

```
equality: ==, \=order: =<, <, >=
```

Variable-Variable Equality (Unification)

- It is a special case of unification
- Example: constructing graphs

```
declare
Y Z
X=a(Y Z)
```

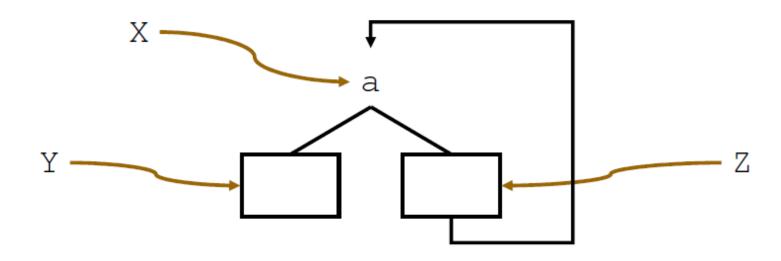


Variable-Variable Equality (Unification)

Now bind z to x

$$Z = X$$

Possible due to deferred assignment



Variable-Variable Equality (Unification)

- Consider X=Y when both X and Y are bound
- Case one: no variables involved
 - If the graphs starting from the nodes of X and Y have the same structure, then do nothing (also called structure equality).
 - If the two terms cannot be made equal, then an exception is raised.
- Case two: X or Y refer to partial values
 - the respective variables are bound to make X and Y the "same"

Case One: no Variables Involved

- This is not unification, because there will no binding.
- declare

```
X=r(a b) Y=r(a b)
X=Y % passes silently
```

declare

```
X=r(a b) Y=r(a c)
X=Y % raises an failure error
```

Failure errors are exceptions which should be caught.

Case two: x or y refers to partial values

- Unification is used because of partial values.
- declare

```
r(X Y) = r(1 2)
```

- X is bound to 1, Y is bound to 2
- declare U Z
 X=name(a U)
 Y=name(Z b)

X=Y

U is bound to b, Z is bound to a

Case two: x or y refers to partial values

declare

```
X=r(name:full(Given Family)
    age:22)
Y=r(name:full(claudia Johnson)
    age:A)
X=Y % Given=claudia, A=22, Johnson=Family
```

declare

```
X=r(a X) Y=r(a r(a Y))
X=Y % this is fine
```

Both X, Y are r(a r(a m))) % ad infinitum

Unification

- unify(x, y) is the operation that unifies two partial values x and y in the store
- Store is a set {x1, . . . , xk} partitioned as follows:
 - Sets of unbound variables that are equal (also called equivalence sets of variables).
 - Variables bound to a number, record, or procedure (also called determined variables).
- Example: $\{x1=name(a:x2), x2=x9=73, x3=x4=x5, x6, x7=x8\}$

Unification. The primitive bind operation

- bind(ES, <v>) binds all variables in the equivalence set ES to <v>.
 - Example: bind({x7, x8}, name (a:x2))
- bind(ES₁,ES₂) merges the equivalence set ES₁ with the equivalence set ES₂.
 - Example: bind({x3, x4, x5}, {x6})

The Unification Algorithm: unify(x,y)

- 1. If x is in ES_x and y is in ES_y , then do bind(ES_x , ES_y).
- 2. If x is in ES_x and y is determined, then do bind(ES_x , y).
- 3. If y is in ES_y and x is determined, then do bind(ES_y , x).
- 4. If
 - *x* is bound to $I(I_1:x_1,...,I_n:x_n)$ and *y* is bound to $I'(I'_1:y_1,...,I'_m:y_m)$ with $I \neq I'$ or
 - 2. $\{l_1, \ldots, l_n\} \neq \{l'_1, \ldots, l'_m\},\$

then raise a failure exception.

5. If x is bound to $I(I_1:x_1,...,I_n:x_n)$ and y is bound to $I(I_1:y_1,...,I_n:y_n)$, then for i from 1 to n do unify (x_i,y_i) .

Handling Cycles

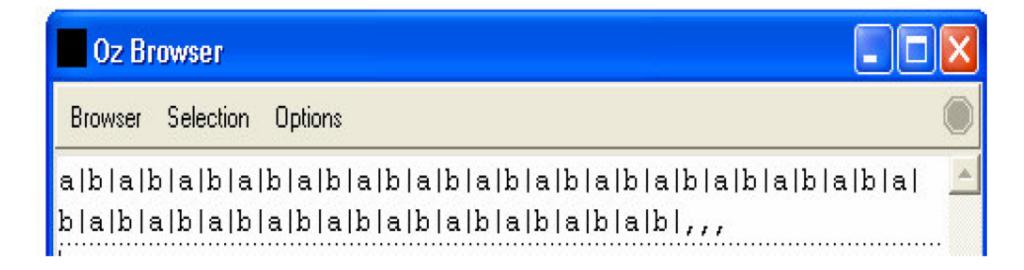
- The above algorithm does not handle unification of partial values with cycles.
- Example:
 - □ The store contains x = f(a:x) and y = f(a:y).
 - Calling unify(x, y) results in the recursive call unify(x, y), ...
 - The algorithm loops forever!
- However x and y have exactly the same structure!

The New Unification Algorithm: unify'(x,y)

- Let M be an empty table (initially) to be used for memoization.
- Call unify '(x, y).
- Where unify '(x, y) is:
 - □ If $(x, y) \in M$, then we are done.
 - Otherwise, insert (x, y) in M and then do the original algorithm for unify(x, y), in which the recursive calls to unify are replaced by calls to unify'.

Displaying cyclic structures

```
declare X
X = '|'(a '|'(b X)) % or X = a | b | X
{Browse X}
```



Entailment (the == operation)

- It returns the value true if the graphs starting from the nodes of X and Y have the same structure (it is called also structure equality).
- It returns the value false if the graphs have different structure, or some pairwise corresponding nodes have different values.
- It blocks when it arrives at pairwise corresponding nodes that are different, but at least one of them is unbound.

Entailment (example)

- Entailment check/test never do any binding.
- declare
 L1=[1 2]
 L2='|'(1 '|'(2 nil))
 - L3=[1 3]
 {Browse L1==L2}
 - {Browse L1==L3}
- declare
 - L1=[1] L2=[X] {Browse L1==L2}
- % blocks as X is unbound

Summary

- Programming language definition: syntax, semantics
 - CFG, EBNF, ambiguity
- Data structures
 - simple: integers, floats, literals
 - compound: records, tuples, lists
- Kernel language
 - linguistic abstraction
 - data types
 - variables and partial values
 - statements and expressions (next lecture)