# Programming Paradigms

## Lecture 3

Slides are from Prof. Chin Wei-Ngan and Prof. Seif Haridi from NUS

Statements, Kernel Language, Abstract Machine

## Reminder of last lecture

#### Kernel language

- % linguistic abstraction
- data types
- wariables and partial values
- w unification

#### Overview

- Some Oz concepts
- Mattern matching
- Tail recursion
- % Lazy evaluation
- Kernel language
- statements and expressions
- Kernel language semantics
- We use operational semantics
  - Aid programmer in reasoning and understanding
- The model is a sort of an abstract machine, but leaves out details about registers and explicit memory address
  - Aid implementer to do an efficient execution on a real machine

#### Pattern-Matching on Numbers

```
fun {Fact N}
  case N
  of 0 then 1
  [] N then N*{Fact (N-1)} end
end
```

#### Pattern Matching on Structures

### Compared to Conditional

```
fun {SumList Xs}
   case Xs
   of nil then 0
   [] X|Xr then X + {SumList Xr} end
end
                                    Using only Conditional
fun {SumList Xs}
    if {Label Xs}== 'nil' then 0
    elseif {Label Xs}=='|' andthen {Width Xs}==2
                then Xs.1 +{SumList Xs.2}
    end
end
```

#### Linear Recursion

```
fun {Fact N}
  case N
  of 0 then 1
  [] N then N * {Fact (N-1)} end
end
```

#### Accumulating Parameter

```
fun {Fact N } {FactT N 1} end
```

```
fun {FactT N Acc}
    case N
    of 0 then Acc
    [] N then {FactT (N-1) N*Acc} end
end
```

#### Accumulating Parameter

Accumulating Parameter = Tail Recursion = Loop!

#### Tail Recursion = Loop

```
fun {FactT N Acc}
   case N
   of 0 then Acc
   [] N then N=N-1
               Acc=N*Acc
                                  jump
               {FactT N Acc}
   end
end
                       Last call = Tail call
```

## Lazy Evaluation

Infinite list of numbers!

```
fun lazy {Ints N} N|{Ints N+1} end 

{Ints 2} 

\Rightarrow 2|{Ints 3} 

\Rightarrow 2|(3|{Ints 4}) 

\Rightarrow 2|(3|(4|{Ints 5})) 

\Rightarrow 2|(3|(4|(5|{Ints 6}))) 

\Rightarrow 2|(3|(4|(5|(6|{Ints 7})))) 

::
```

What if we were to compute: {SumList {Ints 2}}?

## Taking first N elements of List

```
fun {Take L N}
  if N \le 0 then nil
  else case L of
            nil then nil
        [] X|Xs then X|{Take Xs (N-1)} end end
end
       {Take [a b c d] 2}
       \Rightarrow a|{Take [b c d] 1}
       \Rightarrow a|b|{Take [c d] 0}
       \Rightarrow a|b|nil
       {Take {Ints 2} 2}
```

## Eager Evaluation

```
{Take {Ints 2} 2}

⇒ {Take 2|{Ints 3} 2}

⇒ {Take 2|(3|{Ints 4}) 2}

⇒ {Take 2|(3|(4|{Ints 5}))} 2}

⇒ {Take 2|(3|(4|(5|{Ints 6}))) 2}

⇒ {Take 2|(3|(4|(5|(6|{Ints 7})))) 2}

⇒ {Take 2|(3|(4|(5|(6|{Ints 7})))) 2}

∴
```

## Lazy Evaluation

Evaluate the lazy argument only as needed

```
{Take {Ints 2} 2}
\Rightarrow \{\text{Take 2} | \{\text{Ints 3}\} 2\}
\Rightarrow 2 | \{\text{Take {Ints 3}} 1\}
\Rightarrow 2 | \{\text{Take 3} | \{\text{Ints 4}\} 1\}
\Rightarrow 2 | \{3 | \{\text{Take {Ints 4}} 0\}\}
\Rightarrow 2 | (3 | \text{nil})
```

terminates despite infinite list

## Kernel Concepts

- Single-assignment store
- Environment
- Semantic statement
- Execution state and Computation
- Statements Execution for:
- skip and sequential composition
- wariable declaration
- store manipulation
- conditional

## Procedure Declarations

Kernel language

$$\langle X \rangle = \text{proc} \{ \$ \langle y_1 \rangle ... \langle y_n \rangle \} \langle s \rangle \text{ end}$$

is a legal statement

- binds (x) to procedure value
- declares (introduces a procedure)
- Familiar syntactic variant

proc 
$$\{\langle X \rangle \langle y_1 \rangle ... \langle y_n \rangle\} \langle S \rangle$$
 end

introduces (declares) the procedure (x)

A procedure declaration is a value, whereas a procedure application is a statement!

## What Is a Procedure?

- It is a value of the procedure type.
  - Java: methods with void as return type

- But how to return a result (as parameter) anyway?
  - Idea: use an unbound variable
  - Why: we can supply its value after we have computed it!

# Operations on Procedures

- Three basic operations:
  - Defining them (with proc statement)
  - Calling them (with { } notation)
  - Testing if a value is a procedure
    - {IsProcedure P} returns true if P is a procedure, and false otherwise

## Towards Computation Model

- Step One: Make the language small
- Transform the language of function on partial values to a small kernel language
- Kernel language

% procedures

% records

% local declarations

no functions

no tuple syntax

no list syntax

no nested calls

no nested constructions

## From Function to Procedure

```
fun {Sum Xs}
  case Xs
  of nil then 0
  [] X|Xr then X+{Sum Xr}
  end
```

end

Introduce an output parameter for procedure

```
proc {SumP Xs N}
  case Xs
  of nil then N=0
  [] X|Xr then N=X+{Sum Xr}
  end
end
```

## Why we need local statements?

```
proc {SumP Xs N}
  case Xs
  of nil then N=0
  [] X|Xr then
    local M in {SumP Xr M} N=X+M end
  end
end
```

- Local declaration of variables supported.
- Needed to allow kernel language to be based entirely on procedures

# How N was actually transmitted?

- Having the call {sumP [1 2 3] c}, the identifier xs is bound to [1 2 3] and c is unbound.
- At the callee of SumP, whenever N is being bound, so will be c.
- This way of passing parameters is called call by reference.
- Procedures output are passed as references to unbound variables, which are bound inside the procedure.

#### Local Declarations

local X in ... end

Introduces the variable identifier x

wisible between in and end

called scope of the variable/declaration

Creates a new store variable

Links environment identifier to store variable

### Abbreviations for Declarations

- Kernel language
- yightarrow just one variable introduced at a time
  yightarrow just of yightarrow just one variable introduced at a time
  yightarrow just one variable introduced at a time
  yightarrow just one variable introduced at a time
  yightarrow just one variable introduced at yightarrow just one variable introduced at yightarrow just one yightar
- m no assignment when first declared
- Oz language syntax supports:
- several variables at a time
- wariables can be also assigned (initialized) when introduced

# Transforming Declarations Multiple Variables



# Transforming away Declarations' Initialization

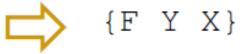
```
\begin{array}{c|c} \textbf{local} \\ & \texttt{X=}\langle expression \rangle \\ \textbf{in} \\ & \langle statement \rangle \\ \textbf{end} \end{array} \qquad \begin{array}{c} \textbf{local X in} \\ & \texttt{X=}\langle expression \rangle \\ & \langle statement \rangle \\ \textbf{end} \end{array}
```

# Transforming Expressions

- Replace function calls by procedure calls
- Use local declaration for intermediate values
- Order of replacements:
  - left to right
  - innermost first
  - it is different for record construction: outermost first
  - Left associativity: 1+2+3 means ((1+2)+3)
  - Right associativity: a|b|X means (a|(b|X)), so build the first '|', then the second '|'

## Function Call to Procedure Call

$$X = \{ F \mid Y \}$$



# Replacing Nested Calls

# Replacing Nested Calls

```
local U2 in
local U1 in
{G X U1}
{P {F {G X} Y} Z}
end
{P U2 Z}
```

# Replacing Conditionals

```
local B in

if X>Y then

if B then

else

...

else

end

end

end
```

# Expressions to Statements

```
X = if B then if B then X = ... else X = ... end X = ... end
```

# Functions to Procedures: Length (0)

```
fun {Length Xs}
  case Xs
  of nil then 0
  [] X|Xr then 1+{Length Xr}
  end
end
```

# Functions to Procedures: Length (1)

```
proc {Length Xs N}
  N=case Xs
    of nil then 0
  [] X|Xr then 1+{Length Xr}
    end
end
```

Make it a procedure

# Functions to Procedures: Length (2)

```
proc {Length Xs N}
  case Xs
  of nil then N=0
  [] X|Xr then N=1+{Length Xr}
  end
end
```

Expressions to statements

# Functions to Procedures: Length (3)

```
proc {Length Xs N}
  case Xs
  of nil then N=0
  [] X|Xr then
      local U in
      {Length Xr U}
      N=1+U
      end
  end
end
```

Replace function call by its corresponding proc call.

#### Functions to Procedures: Length (4)

```
proc {Length Xs N}
   case Xs
   of nil then N=0
   [] X|Xr then
      local U in
          {Length Xr U}
          {Number.'+' 1 U N}
      end
   end
end
```

Replace operation (+, dot-access, <, >, ...): procedure!

## Kernel Language Statement Syntax

#### (s) denotes a statement

```
\begin{tabular}{lll} $\langle s \rangle &::=skip \\ & | \langle x \rangle = \langle y \rangle \\ & | \langle x \rangle = \langle v \rangle \\ & | \langle s_1 \rangle \langle s_2 \rangle \\ & | local \langle x \rangle in \langle s_1 \rangle end \\ & | if \langle x \rangle then \langle s_1 \rangle else \langle s_2 \rangle end \\ & | \{\langle x \rangle \langle y_1 \rangle \dots \langle y_n \rangle \} \\ & | case \langle x \rangle of \langle pattern \rangle then \langle s_1 \rangle else \langle s_2 \rangle end \\ \end{tabular}
```

empty statement
variable-variable binding
variable-value binding
sequential composition
declaration
conditional
procedure application
pattern matching

⟨V⟩ ::= ...

value expression

⟨pattern⟩ ::= ..

#### **Abstract Machine**

- Environment maps variable identifiers to store entities
- Semantic statement is a pair of:
- statement
- ment of the second of the s
- Execution state is a pair of:
- stack of semantic statements
- single assignment store
- Computation is a sequence of execution states
- An abstract machine performs a computation

# Single Assignment Store

- Single assignment store σ
  - set of store variables
  - partitioned into
    - sets of variables that are equivalent but unbound
    - variables bound to a value (number, record or procedure)
- Example store  $\{x_1, x_2 = x_3, x_4 = a | x_2\}$ 
  - $\square X_1$  unbound
  - $x_2, x_3$  equal and unbound
  - $x_4$  bound to partial value  $x_2$

#### Environment

- Environment
  - $\Box$  maps variable identifiers to entities in store  $\sigma$
  - $\square$  written as set of pairs  $X \rightarrow X$ 
    - identifier X
    - store variable x
- Example of environment: { X → x, Y → y }
  - maps identifier X to store variable x
  - maps identifier Y to store variable y

#### Environment and Store

- Given: environment E, store σ
- Looking up value for identifier X:
  - $\Box$  find store variable in environment using E(X)
  - $\Box$  take value from  $\sigma$  for E(X)
- Example:

$$\sigma = \{x_1, x_2 = x_3, x_4 = a | x_2\}$$
  $E = \{X \rightarrow x_1, Y \rightarrow x_4\}$ 

- $\Box$  E(X) =  $x_1$  where no information in  $\sigma$  on  $x_1$
- $\Box$  E(Y) =  $x_4$  where  $\sigma$  binds  $x_4$  to a| $x_2$

### Calculating with Environments

- Program execution looks up values
  - assume store σ
  - given identifier (x)
  - $\Box$  E( $\langle x \rangle$ ) is the value of  $\langle x \rangle$  in store  $\sigma$
- Program execution modifies environments
  - for example: declaration
  - add mappings for new identifiers
  - overwrite existing mappings
  - restrict mappings on sets of identifiers

#### Environment Adjunction

Given: Environment E

then 
$$E + \{\langle \mathbf{x} \rangle_1 \rightarrow \mathbf{x}_1, \dots, \langle \mathbf{x} \rangle_n \rightarrow \mathbf{x}_n\}$$

is a new environment E' with mappings added:

- always take store entity from new mappings
- might overwrite (or shadow) old mappings

#### Environment Projection

Given: Environment E

$$E \mid \{\langle \mathbf{x} \rangle_1, ..., \langle \mathbf{x} \rangle_n\}$$

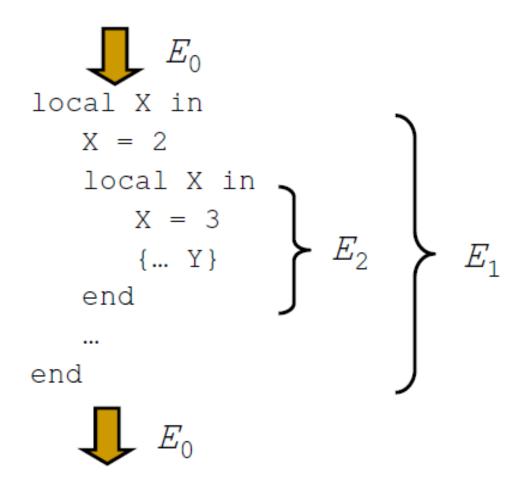
is a new environment E' where only mappings for  $\{\langle x \rangle_1, ..., \langle x \rangle_n\}$  are retained from E

## Adjunction Example

$$E_0 = \{\langle Y \rangle \rightarrow 1 \}$$

- $E_1 = E_0 + \{\langle X \rangle \rightarrow 2 \}$ 
  - □ corresponds to  $\{\langle X \rangle \rightarrow 2, \langle Y \rangle \rightarrow 1 \}$
  - $\Box E_1(\langle X \rangle) = 2$
- $E_2 = E_1 + \{\langle X \rangle \rightarrow 3 \}$ 
  - $\square$  corresponds to  $\{\langle X \rangle \rightarrow 3, \langle Y \rangle \rightarrow 1 \}$
  - $\Box E_2(\langle X \rangle) = 3$

# Why Adjunction?



#### Semantic Statements

Semantic statement

- $(\langle s \rangle, E)$
- pair of (statement, environment)
- To actually execute statement:
  - environment to map identifiers
    - modified with execution of each statement
    - each statement has its own environment
  - store to find values
    - all statements modify same store
    - single store

#### Stacks of Statements

- Execution maintains stack of semantic statements  $ST = [(\langle s \rangle_1, E_1), ..., (\langle s \rangle_n, E_n)]$ 
  - $\square$  always topmost statement ( $\langle s \rangle_1, E_1$ ) executes first
    - <s> is statement
    - E denotes the environment mapping
  - rest of stack: remaining work to be done
- Also called: semantic stack

#### **Execution State**

Execution state

- ( **ST**,  $\sigma$  )
- pair of ( semantic stack, store )
- Computation

$$(ST_1, \sigma_1) \Rightarrow (ST_2, \sigma_2) \Rightarrow (ST_3, \sigma_3) \Rightarrow \dots$$

sequence of execution states

# Program Execution

Initial execution state

$$([(\langle s \rangle, \varnothing)], \varnothing)$$

- empty store
- □ stack with semantic statement  $[(\langle s \rangle, \emptyset)]$ 
  - single statement (s), empty environment Ø
- At each execution step
  - pop topmost element of semantic stack
  - execute according to statement
- If semantic stack is empty, then execution stops

#### Semantic Stack States

Semantic stack can be in following states

terminated stack is empty

" runnable can do execution step

suspended stack not empty, no execution

step possible

Statements

" non-suspending can always execute

suspending need values from store

dataflow behavior

### Summary up to now

- Single assignment store
- Environments
  - adjunction, projection
- Semantic statements
- Semantic stacks
- Execution state

- σ
- Ε
- $E + \{...\} E |_{\{...\}}$
- $(\langle s \rangle, E)$
- $[(\langle s \rangle, E) \dots]$
- $(ST, \sigma)$
- Computation = sequence of execution states
- Program execution
  - runnable, terminated, suspended
- Statements
  - suspending, non-suspending

#### Statement Execution

- Simple statements
- skip and sequential composition
- wariable declaration
- store manipulation
- % Conditional (if statement)
- Computing with procedures (next lecture)
- " lexical scoping
- % closures
- procedures as values
- m procedure call

### Simple Statements

(s) denotes a statement

⟨V⟩ ::= ...

empty statement
variable-variable binding
variable-value binding
sequential composition
declaration
conditional

value expression (no procedures here)

# Executing skip

- Execution of semantic statement (skip, E)
- Do nothing
  - means: continue with next statement
  - non-suspending statement

#### Executing skip

- No effect on store σ
- Non-suspending statement

# Executing skip

Remember: topmost statement is always popped!

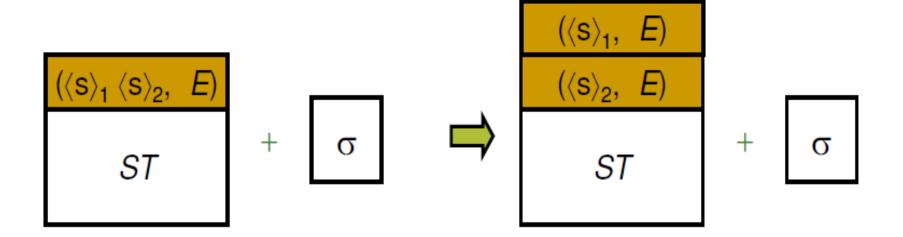
# Executing Sequential Composition

Semantic statement is

$$(\langle s \rangle_1 \langle s \rangle_2, E)$$

- Push in following order
  - $\Box \langle s \rangle_2$  executes after
  - $\square \langle s \rangle_1$  executes next
- Statement is non-suspending

## Sequential Composition



- Decompose statement sequences
  - environment is given to both statements

## Executing local

Semantic statement is

(local 
$$\langle X \rangle$$
 in  $\langle S \rangle$  end,  $E$ )

- Execute as follows:
  - create new variable y in store
  - □ create new environment  $E' = E + \{\langle x \rangle \rightarrow y\}$
  - □ push (⟨s⟩, E')
- Statement is non-suspending

#### Executing local

$$\frac{\langle s \rangle \text{ end, } E\rangle}{ST} + \sigma \Rightarrow \frac{\langle s \rangle, E'\rangle}{ST} + \frac{y}{\sigma}$$

■ With 
$$E' = E + \{\langle x \rangle \rightarrow y\}$$

## Variable-Variable Equality

Semantic statement is

$$(\langle x \rangle = \langle y \rangle, E)$$

- Execute as follows
  - $\Box$  bind  $E(\langle x \rangle)$  and  $E(\langle y \rangle)$  in store
- Statement is non-suspending

#### Executing Variable-Variable Equality

$$\frac{(\langle x \rangle = \langle y \rangle, E)}{ST} + \sigma \rightarrow ST + \sigma'$$

•  $\sigma$ ' is obtained from  $\sigma$  by binding  $E(\langle x \rangle)$  and  $E(\langle y \rangle)$  in store

## Variable-Value Equality

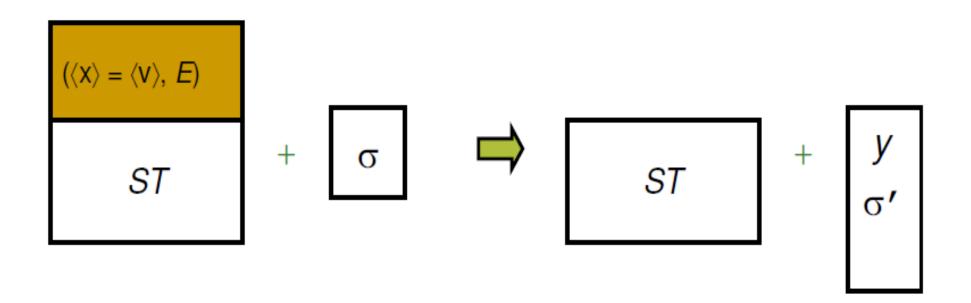
Semantic statement is

$$(\langle \mathsf{X} \rangle = \langle \mathsf{V} \rangle, E)$$

where (v) is a number or a record (procedures will be discussed later)

- Execute as follows
  - create a variable y in store and let y refers to value (v)
  - any identifier  $\langle z \rangle$  from  $\langle v \rangle$  is replaced by  $E(\langle z \rangle)$
  - □ bind  $E(\langle x \rangle)$  and y in store
- Statement is non-suspending

## Executing Variable-Value Equality



- y refers to value (v)
- Store σ is modified into σ' such that:
  - any identifier  $\langle z \rangle$  from  $\langle v \rangle$  is replaced by  $E(\langle z \rangle)$
  - $\Box$  bind  $E(\langle x \rangle)$  and y in store  $\sigma$

# Suspending Statements

- All statements so far can always execute
  - non-suspending (or immediate)
- Conditional?
  - $\square$  requires condition  $\langle x \rangle$  to be bound variable
  - □ activation condition: ⟨x⟩ is bound (determined)

## Executing if

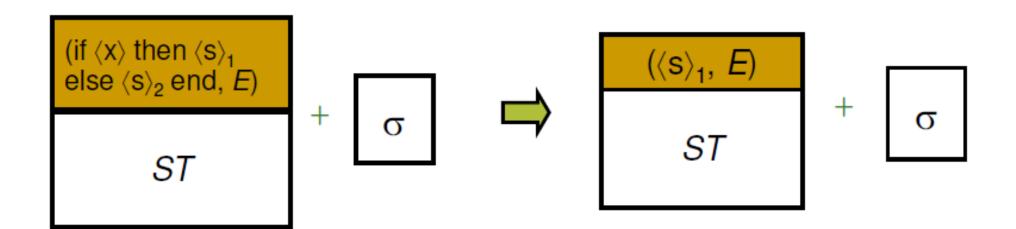
Semantic statement is

(if 
$$\langle X \rangle$$
 then  $\langle S \rangle_1$  else  $\langle S \rangle_2$  end,  $E$ )

- If the activation condition "bound((x))" is true
  - □ if  $E(\langle x \rangle)$  bound to true push  $\langle s \rangle_1$
  - $\square$  if  $E(\langle x \rangle)$  bound to false push  $\langle s \rangle_2$
  - otherwise, raise error
- Otherwise, suspend the if statement...

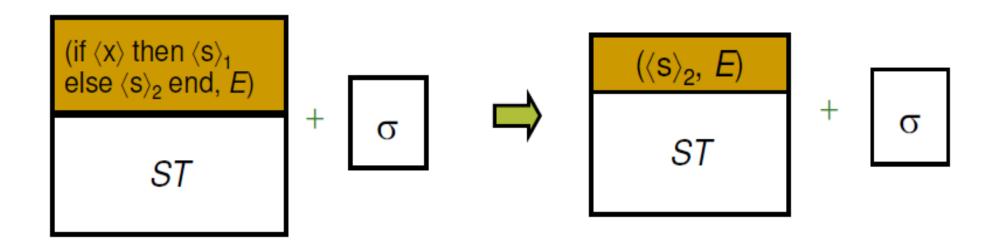
#### Executing if

- If the activation condition "bound(\(\lambda x \rangle)\)" is true
  - $\Box$  if  $E(\langle x \rangle)$  bound to true



## Executing if

- If the activation condition "bound(\(\lambda x \rangle\))" is true
  - $\Box$  if E(x)) bound to false



## An Example

```
local X in
  local B in
  B=true
  if B then X=1 else skip end
  end
end
```

We can reason that x will be bound to 1

# Example: Initial State

Start with empty store and empty environment

#### Example: local

- Create new store variable x
- Continue with new environment

#### Example: local

```
([(B=true

if B then X=1 else skip end

,

\{B \rightarrow b, X \rightarrow x\})],

\{b,x\})
```

- Create new store variable b
- Continue with new environment

# Example: Sequential Composition

```
([(B=true, \{B \rightarrow b, X \rightarrow x\}),
(if B then X=1
else skip end, \{B \rightarrow b, X \rightarrow x\})],
\{b,x\})
```

- Decompose to two statements
- Stack has now two semantic statements

## Example: Variable-Value Assignment

```
([(if B then X=1 else skip end, \{B \rightarrow b, X \rightarrow X\})], \{b=\text{true}, X\})
```

- Environment maps B to b
- Bind b to true

## Example: if

```
([(x=1, {B \rightarrow b, x \rightarrow x})], {b=true, x})
```

- Environment maps B to b
- Bind b to true
- Because the activation condition "bound((x))" is true, continue with then branch of if statement

## Example: Variable-Value Assignment

```
([],
{b=true, X=1})
```

- Environment maps x to x
- Binds x to 1
- Computation terminates as stack is empty

## Summary up to now

Semantic statement execute by

```
popping itself always
```

creating environment local

manipulating store
local, =

pushing new statements local, if

sequential composition

- Semantic statement can suspend
  - activation condition (if statement)
  - read store

Semantic statement is

```
\begin{array}{l} (\mathtt{case}\,\langle \mathtt{X}\rangle \\ \mathtt{of}\,\langle \mathsf{lit}\rangle (\langle \mathtt{feat}\rangle_1 : \langle \mathtt{y}\rangle_1 \, \dots \, \langle \mathtt{feat}\rangle_n : \langle \mathtt{y}\rangle_n) \, \mathtt{then}\, \langle \mathtt{s}\rangle_1 \\ \mathtt{else}\, \langle \mathtt{s}\rangle_2 \, \mathtt{end}, \, E) \end{array}
```

- It is a suspending statement
- Activation condition is: "bound(\(\lambda x\rangle\)"
- If activation condition is false, then suspend!

Semantic statement is

```
(case \langle x \rangle of \langle \text{lit} \rangle (\langle \text{feat} \rangle_1 : \langle y \rangle_1 ... \langle \text{feat} \rangle_n : \langle y \rangle_n) then \langle s \rangle_1 else \langle s \rangle_2 end, E)
```

- If  $E(\langle x \rangle)$  matches the pattern, that is,
  - □ label of  $E(\langle x \rangle)$  is  $\langle lit \rangle$  and
  - □ its arity is  $[\langle \text{feat} \rangle_1 ... \langle \text{feat} \rangle_n]$ ), then push

$$(\langle s \rangle_1, E + \{\langle y \rangle_1 \rightarrow E(\langle x \rangle). \langle feat \rangle_1, \dots, \{\langle y \rangle_n \rightarrow E(\langle x \rangle). \langle feat \rangle_n\})$$

Semantic statement is

```
(case \langle x \rangle of \langle \text{lit} \rangle (\langle \text{feat} \rangle_1 : \langle y \rangle_1 ... \langle \text{feat} \rangle_n : \langle y \rangle_n) then \langle s \rangle_1 else \langle s \rangle_2 end, E)
```

If E(\langle x\rangle) does not match pattern, push (\langle s\rangle\_2, E)

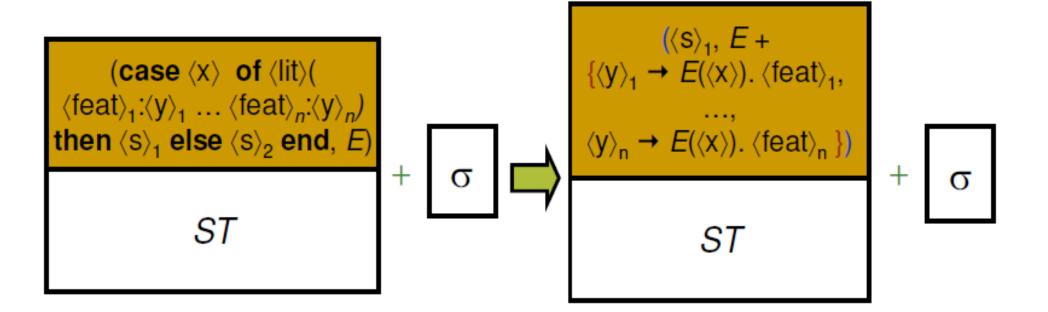
Semantic statement is

```
(case \langle x \rangle of \langle lit \rangle (\langle feat \rangle_1 : \langle y \rangle_1 ... \langle feat \rangle_n : \langle y \rangle_n) then \langle s \rangle_1 else \langle s \rangle_2 end, E)
```

- It does not introduce new variables in the store
- Identifiers ⟨y⟩₁ ... ⟨y⟩n are visible only in ⟨s⟩₁

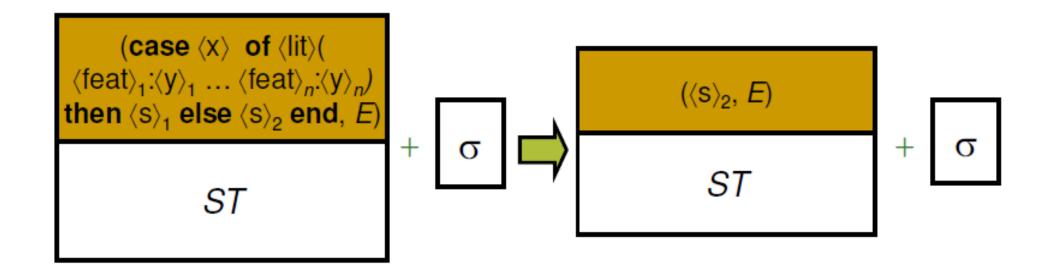
### Executing case

- If the activation condition "bound(\(\lambda x \rangle)\)" is true
  - $\Box$  if  $E(\langle x \rangle)$  matches the pattern



### Executing case

- If the activation condition "bound(\(\lambda x \rangle)\)" is true
  - $\Box$  if  $E(\langle x \rangle)$  does not match the pattern



#### Example: case Statement

- We declared X, Y, X1, X2 as local identifiers and X=f(v3 v4), X1=a and X2=b
- What is the value of Y after executing case?

#### Example: case Statement

```
([(Y = g(X2 X1),

{X \rightarrowv1, Y \rightarrowv2, X1 \rightarrowv3, X2 \rightarrowv4})

],

{v1=f(v3 v4), v2, v3=a, v4=b}
```

- The activation condition "bound((x))" is true
- Remember that X1=a, X2=b

#### Example: case Statement

```
([],
{v1=f(v3 v4),
v2=g(v4 v3),v3=a,v4=b}
```

Remember Y refers to v2, so

```
Y = g(b a)
```

#### Summary

- Kernel language
  - linguistic abstraction
  - data types
  - variables and partial values
  - statements and expressions
- Computing with procedures (next lecture)
  - lexical scoping
  - closures
  - procedures as values
  - procedure call