#### Math 250A, Fall 2015

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A group G acts on a set S:
    G \times S \rightarrow S
     (g,s) \mapsto g \cdot s
    e \cdot s = s
     (gg') \cdot s = g \cdot (g' \cdot s)
Alternatively,
     \phi: G \to Perm(S) is a homomorphism
     (\phi(g))(s) = g \cdot s
Examples
    trivial action: (\forall g) g \mapsto e_{Perm(S)}
    G acting on self by left/right translation, conjugation
    G acting on the set of subgroups of G by conjugation: g \cdot H = gHg^{-1} = \{ghg^{-1} | h \in H\}
    normal subgroup N \subseteq G: all g \in G fix N under conjugation
    V vector space over a field K, GL(V) acts on V by L \cdot v = L(v)
The orbit of s, O(s) := \{g \cdot s | g \in G\}
    constitutes an equivalence relation on S
The stabilizer (isotropy group) of s \in S, G_s := \{g \in G | g \cdot s = s\}
    G_s is closed under inverses: g \in G_s \to g \cdot s = s \to g^{-1}gs = g^{-1}s \to s = g^{-1}s
There exists a natural bijection \alpha: G/G_s \to O(s), gG_s \mapsto g \cdot s
    well-defined: g_1G_s = g_2G_s \to \exists g \in G_s, g_1 = g_2g, \alpha(g_1G_s) = g_1s = g_2gs = g_2s = \alpha(g_2G_s)
injective: \alpha(g_1G_s) = g_1 \cdot s = g_2 \cdot s = \alpha(g_2G_s) \to g_2^{-1}g_1 \cdot s = s, g_2^{-1}g_1 \in G_s, so g_1G_s = g_2G_s
Action under conjugation:
     the conjugacy classes of a set are the orbits of the action
     O(g) = \{g\} \leftrightarrow g \in Z(G) the center of the group
    Z(G) = \{ g \in G : xg = gx \ \forall x \in G \}
    in a permutation group, \sigma(a_1, a_2, a_3, ... a_k) \sigma^{-1} = (\sigma a_1, \sigma a_2, \sigma a_3, ... \sigma a_k)
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Let \Sigma be a set of representative elements of the orbits of S.
    The index of a subgroup H is (G:H) = \#(G/H)
    For finite G, (G:H) = \frac{\#G}{\#H} (g \notin H, \exists \text{ natural bijection } H \to gH)
    \#S = \sum_{s \in \Sigma} \#O(s) = \sum_s (G:G_s)
    defines a 'mass formula' \#S = (\sum_s \frac{1}{\#(G_s)})(\#G)
Let G act on a subgroup H by left translation.
    \#H_s = \#H and from the above \#G = (G:H) \cdot \#H.
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this is a statement of Lagrange's Theorem,  $(G: H) = \frac{\#G}{\#H}$ .

The kernel of the action  $K = \bigcap_{s \in S} G_s$ , which is just the kernel of  $G \xrightarrow{\varphi} Perm(S)$ . We can relate the stabilizers of points in the same orbit.

Let s' = gs.

Assume  $x \in G_s$ .

Since  $x \in G_{s, s}(gxg^{-1})gs = g(xs) = gs$ .

Hence  $gxg^{-1} \in G_{gs}$ , so  $gG_sg^{-1} \subset G_{gs}$ .

Apply this relation with  $g \to g^{-1}$  and  $s \to gs$ :

Assume  $x \in G_{gs}$ .

Then  $(g^{-1}xg)(s) = (g^{-1})(xgs) = (g^{-1}gs) = s$ . So  $g^{-1}G_{gs}g \subset G_s \to G_{gs} \subset gG_sg^{-1}$ 

Thus,  $gG_sg^{-1} = G_{gs} = G_{s'}$ .

The stabilizer of s' = gs is a conjugate of the stabilizer of s.

p: prime

p-group: a finite group G,  $\#G = p^n, n \ge 1$ 

"A p-group has a non-trivial center"

Notation:  $S^G$  is the set of points in S fixed under the group action.  $(gs = s \ \forall g \in G)$ Let G act on itself by conjugation (S = G). Then  $S^G = Z(G)$ .

For  $s \in S(=G)$ ,  $G_s$  is a subgroup, and its order divides the order of the group,  $p^n$ .

Either O(s) is trivial, and  $s \in S^G = Z(G)$ , otherwise  $\#(O(s)) = p^k$  for k > 0

 $\#S = \text{sum of } \# \text{ of elements in the orbits } \equiv_{mod p} \# \text{ of orbits of size } 1 = \#(S^G).$ 

 $\#Z(G) \equiv_{modp} \#(S^G) \equiv_{modp} \#S = \#G = p^n \equiv_{modp} 0.$ 

Z(G) cannot be 1, since the identity of the group is in the center.

Thus, the order of the center is divisible by p, and must be non-trivial.

 $H \leq G$  a finite group, (G: H) = p, the smallest prime dividing  $\#G \rightarrow H \subseteq G$ 

Let S = G/H; #(S) = (G : H) = p, and let G act on S by left translation.

This induces  $\varphi: G \to S_P$ ; recall  $\#S_v = p!$ 

The stabilizer of H,  $G_H = \{x \in G | xH = H\}$ , hence  $G_H = H$ .

By inspection, we can see that  $G_{gH} = gHg^{-1}$ .

Let  $K = \bigcap_{g \in G} gHg^{-1}$ , the largest normal subgroup contained in H.

For each coset gH, K stabilizes that coset, hence K is the kernel of  $\varphi$ .

By the First Isomorphism Theorem  $\varphi(G) \leq S_{\nu}$ .

 $(G:K) = \#(G/K) = \#(\varphi(G)), \text{ which divides } \#(S_p) = p!$ 

Further, since  $K \le H \le G$ , (G:K) = (G:H)(H:K).

Since (G:K) divides p! and (G:H) divides p, (H:K) divides (p-1)!.

But p is the smallest prime dividing #G, so (H:K)=1, K=H and H is normal.

A familiar embedding of a group into a larger group; "Cauchy's Theorem"

 $G \hookrightarrow Perm(G)$  by letting G act on itself by left-translation.

Its kernel  $K = \{g \in G | gs = s \forall s\} = \{e\}$  (consider s = e), so an injection  $\rightarrow$  an embedding.

Recall  $S_n \subset \text{group of } n \times n \text{ invertible matrices. } \sigma \mapsto M(\sigma) \text{ a permutation matrix.}$ 

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Need to be careful in the construction to ensure M(\sigma\tau)=M(\sigma)M(\tau)! E.g. \sigma=(132) does M(\sigma) have 1 in the 1st column, 3rd row? Or in the 1st row, 3rd column? One of these yields M(\sigma\tau)=M(\tau)M(\sigma). G finite of order n; V the vector space of functions G \xrightarrow{f} \mathbb{Z}; note V \cong \mathbb{Z}^n Linear maps V \to V correspond to n \times n matrices over \mathbb{Z}: GL(V) \approx GL(n,\mathbb{Z}). Similarly, invertible linear maps correspond to n \times n invertible matrices over \mathbb{Z}. We can embed G in GL(n,\mathbb{Z}) by using a left action of G on GL(n,\mathbb{Z}) = \{\phi: V \to V\} Can think of this as an action on \mathbb{Z}^n \cong V, whose permutation group is simply GL(n,\mathbb{Z}). Recall that V = \{f: G \to \mathbb{Z}\}. This left action takes the form L_g \mapsto \phi where \phi(f(x)) = f(xg) L_{gg'} = L_{g'} \circ L_g as desired? Verify for yourself. Yes: L_{gg'}(\varphi(x)) = \varphi(xgg') = L_{g'}(\varphi(xg)) = L_{g'} \circ L_g(\varphi(x)) g \mapsto L_g is a homomorphism G \to GL(V) Using \mathbb{F}_p instead of \mathbb{Z}, get G \hookrightarrow GL(n,\mathbb{F}_p), an embedding into a finite group.
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Proof:

## **Sylow Theorems**

Lagrange: If  $H \leq G$  then #(H) | #(G).  $A_4$  with n = 6 gives the counterexample to the converse. If  $|G| = p^k \cdot r$ , (p,r) = 1, a p-Sylow subgroup of G is an  $H \le G$  such that  $|H| = p^k$  $\mathbb{Z}_{12}$  has 2-sylow subgroup  $\{0,3,6,9\}$  and 3-sylow subgroup  $\{0,4,8\}$  $D_6$  generated by r, s subject to  $rs = sr^{-1}$ ,  $r^6 = e$ ,  $s^2 = e$  $\#(D_6) = 12$  so has 3-sylow subgroup  $\{1, r^2, r^4\}$ Also has 2-sylow subgroups  $\{1, r^3, s, r^3s\}$ ,  $\{1, r^3, rs, r^4s\}$ ,  $\{1, r^3, r^2s, r^5s\}$  $G = GL_n(\mathbb{F}_p)$ ,  $n \times n$  linear transformations in  $\mathbb{F}_p$ , equal to  $Aut(\mathbb{F}_p^n)$ Approximating the order of |G|: Asserting linear independence in each vector of an  $n \times n$  matrix  $|G| = (p^n - 1)(p^n - p)(p^n - p^2) \cdots (p^n - p^{n-1}) = p^{1+2+3+\cdots+n-1} \cdot r = p^{\frac{n^2-n}{2}} \cdot r, (p,r) = 1$ Consider P the set of  $n \times n$  upper triangular matrices with 1's on the diagonal. Then  $|P| = p^{1+2+3+\cdots+n-1} = p^{\frac{n^2-n}{2}}$ , and P is a p-Sylow subgroup. Will use this fact in the subsequent proof. Theorem: (Sylow I) For  $|H| = p^k \cdot r$ , (p,r) = 1, H has a p-Sylow subgroup. Proof Sketch: Show  $\exists G, H \leq G$ , such that G has a p-Sylow subgroup Show that if G has a p-Sylow subgroup and  $H \leq G$ , then H has a p-Sylow subgroup

Alternatively, consider  $V \cong \mathbb{F}_p^n$ , the vector space of functions  $\varphi : G \to \mathbb{F}_p$ . Embed H into GL(V) by the action  $g \in H \mapsto$  automorphism taking  $\varphi(x)$  to  $\varphi(xg)$ .

Additionally  $S_n \leq GL_n(\mathbb{F}_p)$  mapping to permutation matrices.

Cayley's theorem, can embed H (of order n) in  $S_n$  by acting on itself by translation.

We know that  $GL_n(\mathbb{F}_p)$  has p-Sylow subgroups. (from the lower triangular matrices)

Let P be a p-Sylow subgroup of  $G = GL_n(\mathbb{F}_p)$ . Let G act on the cosets of P.

Now,  $G_{gP} = gPg^{-1}$ . Similarly, when H acts on G/P,  $G_{gP} = (gPg^{-1} \cap H)$ 

This intersection is a p-group.

Want to choose  $g \in G$  such that  $gPg^{-1} \cap H$  is a p-Sylow subgroup.

If  $(H : (gPg^{-1} \cap H))$  is coprime to p, then  $gPg^{-1} \cap H$  is a p-Sylow subgroup.

By Orbit-Stabilizer,  $(H : (gPg^{-1} \cap H)) = O(gP)$ .

Note this is an orbit of G/P induced by the action of the group H.

Since P is a p-Sylow subgroup of G,  $|G/P| \not\equiv_{mod p} 0$ .

The sum of the orbits is |G/P|.

Hence there must be some orbit with size coprime to p.

The stabilizer of this orbit  $gPg^{-1} \cap H$  is a p-Sylow subgroup  $H_p$ .

Corollary: All p-subgroups of H are contained in a conjugate of P.

Let  $J \le H$  be a p-subgroup. Then  $J \cap gPg^{-1}$  is a p-Sylow subgroup of J for some  $g \in G$ . A p-group can't contain a proper p-Sylow subgroup, so  $J \cap gPg^{-1} = J$  and  $J \subset gPg^{-1}$ .

Corollary: (Sylow II) All p-Sylow groups are conjugate.

Let  $H \leq G$  and  $P \leq G$  be p-Sylow subgroups.

By the preceding corollary ( $G \le G$ ,  $H \le G$ ),  $H \subset gPg^{-1}$  for some  $g \in G$ .

Since  $|H| = |P| = |gPg^{-1}|$ ,  $H \cap gPg^{-1} = H$ .

Corollary: Every p-subgroup of G is contained in a p-Sylow of G.

By the above, each is contained in a conjugate of P, said conjugate being a p-Sylow.

The p-Sylow subgroups in G are all conjugate, so that:

If P is a p-Sylow of G then (N(P) = normalizer of P)  $G/N(P) \leftrightarrow \text{set of p-Sylows in G}$ . There are (G : N(P)) p-Sylows in total.

Lemma: If a finite p-group Γ acts on a set X, then  $\#(X) \equiv_{mod p} \#(X^{\Gamma})$ 

(X<sup>Γ</sup> the fixed points of X under Γ).

Proof:

Each 
$$\frac{|\Gamma|}{|Stab(x_i)|} \equiv_{mod p} 1$$
 if  $x_i$  fixed, else  $\frac{|\Gamma|}{|Stab(x_i)|} \equiv_{mod p} 0$ .

Hence 
$$\#X = \sum_{i} \#Orb(x_i) = \sum_{i} \frac{|\Gamma|}{|Stab(x_i)|} \equiv_{mod p} \#X^{\Gamma}$$
.

Let  $Syl_p(G)$  describe the p-Sylow subgroups of G and  $n_p$  denote its cardinality.

Theorem: (Sylow III) If  $|G| = p^k \cdot r$ , k > 0 then  $n_p \equiv_{mod p} 1$ . Further,  $n_p | r$ .

Proof:

Let P act on  $Syl_p(G)$  by conjugation.

By the lemma,  $\#Syl_p(G) = n_p \equiv_{modp} (Syl_p(G))^p$ .

Suppose Q is fixed under the group action. Then  $pQp^{-1} = Q \ \forall p \in P$ .

Then  $P \leq N(Q)$ ; similarly  $Q \leq N(Q)$ .

P, Q are p-Sylow subgroups of N(Q); therefore P, Q are conjugate in N(Q).

However,  $Q \subseteq N(Q)$  so that Q is equal to all its conjugates in N(Q), and P = Q. Hence P is the only fixed Sylow-p subgroup so  $(Syl_P(G))^P \equiv_{mod p} 1$ . G acts on  $Syl_p(G)$  as only one orbit since all p-Sylows in G are conjugate.  $(G:P) = n_p, n_p = |G| = p^k \cdot r, n_p|p^k \cdot r,$  but  $n_p \nmid p$ , so  $n_p|r$ .

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## **Review of Sylow Theorems**

Prove existence by showing existence in a larger known subgroup.

And then that contained subgroups must have their own Sylow p-subgroups.

$$O(s) = S = \{p\text{-Sylows}\}$$

 $O(s) = G/G_s = G/N(P)$ The number of p-Sylows is notated  $n_p = (G:N(P))$ 

P, Q p-Sylows and  $P \subset N(Q)$  then P = Q

reason:  $PQ \le G$  a subgroup of G

HK not necessarily a group, but will be if one normalizes the other

ie  $H \subset N(K)$ 

Theorem  $n_p \equiv_{mod p} 1$ 

Consider the action of *P* on *S* by conjugation

Take  $x \in P$  and  $x : Q \mapsto xQx^{-1}$ 

The number of fixed points is 1, since *P* fixes only itself

A simple group has

more than one element

no non-trivial proper normal subgroups

(kind of like a prime number)

G finite abelian

 $G ext{ simple} \leftrightarrow G ext{ cyclic of prime order (simple easy exercise)}$ 

## continuing...

non-sporadic finite simple groups

$$A_n (n \leq 5)$$

recall the alternating groups  $A_n$  are the even permutations on  $\{1, \dots, n\}$ 

Lie groups over finite fields, e.g.  $\{\pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}\} \subset SL(2, \mathbb{Z}|p\mathbb{Z})$ 

P = projective;  $PSL(2, \mathbb{Z}|p\mathbb{Z}) = SL(2, \mathbb{Z}|p\mathbb{Z})$ 

Simple groups of order  $\leq$  60.

- (a) There are no non-abelian simple groups of order < 60
- (b) If G is simple of order 60, then  $G \cong A_5$ .

$$(\#A_n = \frac{n!}{2})$$

G simple of order 60.

H < G simple (finite), H proper,  $(G : H) = n \ge 2$ 

G acts on G/H by left translation.

The action is transitive (for each pair xH,yH,  $\exists$  permutation taking one to the other) Therefore, this action is non-trivial.

 $\pi: G \to Perm(G/H) = S_n$ 

 $ker(\pi) \neq G$  and is a normal subgroup  $\rightarrow$  the kernel is trivial.

 $\pi: G \hookrightarrow S_n$  and in fact  $\pi: G \hookrightarrow A_n$  (if #G > 2)

Why? because  $G \cap A_n \subseteq G$ 

If  $G \subset S_n$ .

Then  $G \to S_n / A_n = \{\pm 1\}$  by the sign map, kernel is  $G \cap A_n$ .

Recall  $sgn: S_n \to \{\pm 1\}$   $sgn(\sigma) = (-1)^t$  given t, num of transpositions

 $G/(G\cap A_n)\hookrightarrow S_n/A_n=\{\pm 1\}$ 

 $(G: G \cap A_n) = 1 \text{ or } 2.$ 

If G is simple then this cannot be 2 (would be normal subgroup), so =1.

And  $G \hookrightarrow A_n$  for that  $A_n$ .

G simple, order 60.

H a proper subgroup of G, index n. (consider small values of n)

If n = 2 then H is normal in G, a contradiction.

(smallest prime dividing the order of a group)

If n = 3 or n = 4:  $G \hookrightarrow A_3, A_4$  but their orders are too small (3, 12)

If n = 5:  $G \hookrightarrow A_5$  and they are equal in cardinality  $\rightarrow$  done.

Remaining case: n = 15.

What is  $n_5$ , the number of 5-Sylow subgroups.

 $n_5|60/5 = 12$ ,  $n_5 = (G:N(P))$   $n_5$  divides the index

Also,  $n_5 \equiv_{mod 5} 1$ .

Thus  $n_5 = 1$  or  $n_5 = 6$ .

If  $n_5 = 1$  then only one 5-Sylow subgroup of G, must be normal.

This is impossible since G is simple.

Then  $n_5 = 6$ : tells you there are lots of elements of order 5 in G.

There is no overlap (excepting at the identity) between 5-Sylows.

Hence the number of elements of order 5 is  $6 \cdot 4 = 24$ 

Elements of order 5 in  $A_5$  are 5-cycles (a b c d e).

Need to take all strings of length 5: 120, and divide out by rotations 5.

Thus we get 120/5 = 24 (check).

Consider  $n_2$  the number of 2-Sylow subgroups.

Then  $n_2$  divides 60/4 = 15, and  $n_2 \neq 1$  because of simplicity.

Also,  $n_2 = (G : N(P_2))$ , and this can't be 3 since G has no subgroup of index 3.

If  $n_2 = 5$  then  $N(P_2)$  is the desired index-5 subgroup  $\rightarrow$  done.

From divisibility  $n_2 = 1,3,5,15$ .

Elminate 1 by simplicity, 3 since the index is too small, 5 works, consider 15.

Considering the situation where there are 15 2-Sylow subgroups (of order 4).

These are groups like the Klein 4-group (no elements of order 4).

There are 2 2-Sylow subgroups P and Q where  $P \cap Q$  has order 2.

Prove by counting.

Taking intersection, must be proper else they would be the same.

Hence  $P \cap Q$  has order 1 or 2.

If there is utterly no overlap, there are  $15 \cdot 3 + 1 = 46$  elt's of 2-Sylows.

And these do not have order 5. But there are 24 elements of order 5. Too many.

Now we know that some of these 2-Sylow subgroups have non-trivial overlap.

Consider  $N(P \cap Q)$  for some such intersection, will be a subgroup of G.

Cannot be all of G, G is simple. (would make  $P \cap Q$  normal)

 $N(P \cap Q)$  contains P and Q since both are abelian.

Each are normal subgroups of  $N(P \cap Q)$ , so its order is divisible by 4.

Hence could have order 12, 20, or 60 (divisible by 4, divides 60).

Its index cannot be 1 (G is simple) cannot be 3 ( $A_n$  too small), = 5.

QED (revisit why).

Jordan-Hölder theorem

Website reference.

G finite non-trivial. Is G simple?  $\{e\} \subset G$ ,  $G/\{e\}$  simple.

Not simple  $G \supset G_1 \supset (e)$ ,  $G_1 \subseteq G$ ,  $G_1$ ,  $G/G_1$  smaller than G.

Keep going until 'end', using principle of string induction.c

Proposition:  $\exists G = G_0 \supset G_1 \supset G_2 \supset \cdots \supset G_n$ ,  $G_{i+1} \subseteq G_i$ ,  $G_i/G_{i+1}$  simple.

A normal tower or composition series, the simple quotients are the constituents.

Obtain a successive extension of simple groups.

Main point.

 $N = p_1 \cdots p_n$ 

 $\{p_1, p_2, \dots, p_n\}$  a set where order doesn't count but multiplicity does.

Gauss's theorem: (FTA) each prime decomposition of N yields the same set.

Similarly, given G and  $G_i/G_{i+1}=Q_i$  and  $\{Q_0,\cdots,Q_{n-1}\}$ .

Order not mattering, multiplicity matters, up to isomorphism.

Theorem: Each composition yields the same multiset.

Theorem of "Camille Jordan and some guy named Hölder."

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## Jordan-Hölder Theorem.

$$G = G_0 \supset G_1 \supset G_2 \supset \cdots \supset G_n$$
  
 $G_{i+1} \subseteq G_i, G_i/G_{i+1} = Q_i \text{ simple.}$ 

Statement of the theorem:

The "set" (multiplicity matters)  $\{Q_0, \dots, Q_{n-1}\}$  is independent of the filtration. Order doesn't count,  $Q_i$  up to isomorphism.

Proof strategy: by induction.

If G has a filtration with n quotients, then all filtrations have n quotients.

And all filters have the same set of quotients.

Question, can two different groups have the same reduction?

Answer: yes.  $S_3 \supset A_3 \supset \{e\}$ . Quotients  $\mathbb{Z}/2\mathbb{Z}$  and  $\mathbb{Z}/3\mathbb{Z}$ .

Also  $\mathbb{Z}/6\mathbb{Z} \supset 3\mathbb{Z}/6\mathbb{Z} \supset 6\mathbb{Z}/6\mathbb{Z}$ , same quotients but radically different structure.

"Knowing the building blocks does not confer knowledge of the building".

Demonstrating the existence of such a filtration for a group  $G \neq \{e\}$ .

Similar to the proof of prime decompositions.

If it is simple, then the filtration is  $G \supset \{e\}$ , done.

If G is not simple,  $G \supset N \supset \{e\}$ , and G/N, N smaller than G.

Strong induction.  $\overline{G} = G/N$ , then  $\overline{G} \supset \overline{G_1} \supset \cdots$  and similarly for  $N \supset H_1 \supset \cdots$ 

Note there is a correspondence b/t subgroups of G con't N and subgroups of G/N  $G \supset L \supset N, L/N \subset G/N$  and  $\pi : G \to G/N, \pi^{-1}(K) \subset G$  and  $K \subset G/N$ .

Base case n = 1,  $G \supset \{e\}$ ,  $G/\{e\}$  simple and G simple.

Supposing  $G \supset G_1 \supset \cdots \supset G_n \supset \{e\} = G_{n+1}$  and  $G \supset G'_1 \supset \cdots \supset G'_m \supset \{e\} = G'_{m+1}$ . m = n,  $\{G_i/G_{i+1}\} = \{G'_i/G'_{i+1}\}$  ... If  $G'_1 = G_1$ , then done by induction.

Assume  $G_1, G_1'$  are distinct. Then  $G_1 \cap G_1'$  is smaller than  $G_1$  or  $G_1'$ .

Also,  $G_1G_1'$  is a subgroup since its factors are normal by hypothesis.

Indeed, it is also a normal subgroup since  $G_1$  and  $G'_1$  are invariant under conjugation.

Additionally,  $G_1G_1'$  is of size larger than  $G_1$  and  $G_1'$ . Thus it must be equal to G.

Can map  $G_1'/(G_1 \cap G_1') \to G_1G_1'/G_1$ . Kernel is exactly  $G_1 \cap G_1'$ , hence injection.

This defines  $G_1'/(G_1 \cap G_1') \hookrightarrow G/G_1$ . Symmetrically,  $G_1/(G_1 \cap G_1') = G/G_1'$ .

Have  $G_1 \supset \cdots \supset G_n \supset \{e\} = G_{n+1}$ .

Take  $G_1 \supset G_1 \cap G_1' = H \supset H_1 \supset H_2 \supset \cdots \supset H_k \supset \{e\}$ , a Jordan-Hölder filtration of  $G_1$ . Obtained by induction.

Note  $G_1/H = G/G_1'$  is the first quotient of this filtration.

By induction, these two filtrations have the same length.

The constituents of  $G_1$  are the constituents of H, with  $G_1/H = G/G_1'$  appended.

Constituents:  $G/G_1$  + constituents of  $G_1 = G/G_1 + G/G_1'$  + constituents of H.

Have  $G \supset G_1' \supset H \supset H_1 \supset \cdots \supset H_k = \{e\}$ , same length as  $G_1' \supset G_2' \supset \cdots \supset G_m' = \{e\}$ .

Have related two different filtrations that have are unrelated, by a common filtration, which depends on the intersection of these two filtrations.

## Free Groups

S a set, define the free abelian group on S,  $\mathbb{Z}^S = \mathbb{Z}\langle S \rangle = \{\sum_{s \in S} n_s \cdot s | n_s \in \mathbb{Z}\}.$ 

Where all but finitely many of the  $n_s$  are 0.

$$S = \{1, \dots, n\}, \mathbb{Z}^S = \mathbb{Z}^n = \{(c_1, \dots, c_n) | c_i \in \mathbb{Z}\}$$

$$\sum_{i=0}^{\infty} n_i x^i = \sum_{i=0}^{\infty} n_i \cdot i \in \mathbb{Z}\langle S \rangle$$

where  $n_i = 0$  for i >> 0.

"To map  $\mathbb{Z}(X)$  to A in the world of abelian groups is to map S to A in the world of sets."

 $S \to \mathbb{Z}\langle S \rangle$  a set map,  $s \in S \mapsto 1 \cdot s$ .

Given  $f : \mathbb{Z}\langle S \rangle A$  homomorphism.

And in fact,  $F: Hom(\mathbb{Z}\langle X \rangle, A) \to Maps(S, A)$ , F is a bijection.

These elements of the free abelian group are "formal sums".

That is, an  $f: S \to \mathbb{Z}$ .

Let  $f: \mathbb{Z}\langle S \rangle \to A$ ,  $f(\sum n_s s) = \sum_{s \in S} n_s f(s)$ 

An abelian group A is free of finite rank if  $A \cong \mathbb{Z}^n$  for some  $n \ge 0$  ( $\mathbb{Z} = \mathbb{Z}\langle \emptyset \rangle = 0$ ).

Define rank(A) = n. If  $\mathbb{Z}^m \cong A \cong \mathbb{Z}^n$  then n = m.

Why? Take positive integer > 1, e.g. 2. Then  $\mathbb{Z}^n/2\mathbb{Z}^n \cong \mathbb{Z}^m/2\mathbb{Z}^m$ .

LHS has  $2^n$  elts and RHS has  $2^m$  elts so n = m.

A subgroup of a free abelian group of rank n is a free abelian group of rank  $\leq n$ . Proof: by induction on n.

$$n = 0$$
:  $A = (0) = B$ .

n = 1:  $A = \mathbb{Z} \supset B$ . What are the subgroups of  $\mathbb{Z}$ ?  $(0), (t) = t\mathbb{Z}, t \ge 1$ .

Proof by division algorithm:  $\mathbb{Z} \supset B \neq 0$ , t =smallest positive integer in B.

Division algorithm ensures that all elements are multiples of t.

$$B \subset \mathbb{Z}^n \xrightarrow{\pi} \mathbb{Z}$$
.

$$\pi:(c_1,\cdots,c_n)\mapsto c_n\in\mathbb{Z}.$$

#### Cases:

(1) 
$$\pi(B) = (0)$$
,  $B \subset \mathbb{Z}^{n-1}$ , free of rank  $\leq n-1$ 

(2) 
$$\pi$$
(*B*) =  $t$ ℤ,  $t$  ≥ 1

$$B \xrightarrow{\pi|_B} t\mathbb{Z} \xrightarrow{surj.} 0$$

$$ker(\pi)|_B = C$$
 free of rank  $\leq n - 1$ .

Choose  $b \in B$  such that  $\pi(b) = t$ .

$$C \subset \mathbb{Z}^{n-1}$$
:  $C = ker(\pi)|_B$ , free of rank  $\leq n-1$ .

$$C = B \cap \mathbb{Z}^{n-1}$$

$$C \subset B$$
,  $\mathbb{Z} \cdot b \subset B$ 

#### Missing (pf in Lang)

Simple linear algebra.

 $a_1, \dots, a_n \in A$  corresponds to a homomorphism  $\mathbb{Z}^n \to A$ ,  $(c_1, \dots, c_n) \mapsto \sum_{i=1}^n c_i a_i$ .

These are linearly independent if f is 1-to-1, and these span/generate A if f is onto.

A is finitely generated if A is spanned by  $a_1, \dots, a_n$  for some  $n \ge 0$ ,  $a_i \in A$ 

A is finitely generated iff A is a quotient of  $\mathbb{Z}^n$  for some n.

Corollary: a subgroup of a finitely generated abelian group is again finitely generated.

$$\mathbb{Z}^n \xrightarrow{f} A$$
 finitely generated, have  $B \subset A$ ,  $f^{-1}(B) \leq \mathbb{Z}^n$ , and  $f^{-1}(B) \cong \mathbb{Z}^k$ ,  $k \leq n$ .

A finitely generated, torsion-free.

I.e. given  $a \in A$  and  $n \cdot a = 0$ ,  $n \ge 1$ , then a = 0.

Statement: A is free and of finite rank.

Proof: Take a finite set of generators S in which take T lin indep and large as possible.

take 
$$T = a_1, \dots, a_k$$
 and  $S = a_1, \dots, a_k, \dots, a_m$ 

$$\sum_{1}^{k+1} c_k a_k = 0, c_{k+1} \neq 0$$

$$B = span\{a_1, \cdots, a_k\} \cong \mathbb{Z}^k$$
.

 $a_{k+1}, \cdots, a_m$ : some multiple lies on B.

$$N \ge 1$$
;  $N \cdot A \subset B$ .

Th: NA free,  $N: A \rightarrow NA$  A torsion free.

Multiplication on A by a positive integer is injective.

A is isomorphic to NA by the mutliplication by n, since NA is free, A is free.

Abelian group, finitely generated.

Last week:

free group has to do with some correspondence to a  $\mathbb{Z}^n$ 

subgroups of free finitely generated abelian groups are free and finitely generated subgroups of finitely generated abelian groups are finitely generated

finitely generated, torsion free abelian group is a free abelian group

recall torsion free: for all  $n \ge 1$ , mult by n,  $n \cdot A$  is injective

opposite A torsion: for all  $a \in A$ ,  $\exists n \ge 1$  such that  $n \times a = 0$ 

Example of a torsion abelian group:  $\mathbb{Q}/\mathbb{Z}$ 

element  $p/q \mod \mathbb{Z}, q \ge 1, p \in \mathbb{Z}; q \times \frac{p}{q} = 0 \text{ in } \mathbb{Q}/\mathbb{Z}$ 

finitely generated abelian groups up to isomorphism

A is a direct sum of a free part  $\mathbb{Z}^r$  and a torsion part (a direct sum of cyclic groups) Direct product of sets  $A_i$  indexed by S:

$$\bigoplus_{i \in S} A_i = \{ f : S \to \cup_{i \in S} A_i : f(i) \in A_i \}$$

where for all but finitely many i, f(i) = 0

this is equivalent to the direct product when S is finite

**Image 1**: a map from a  $\bigoplus_{i \in S} A_i$  to B is determined by the mappings from the  $A_i$  The direct sum is a coproduct.

**Image 2**: a map into a  $\prod_{i \in S} A_i$  is determined by the mappings into the  $A_i$ 

The direct product is a product (in the categorical sense).

*S* countably infinite,  $A_i = \mathbb{Z}/2\mathbb{Z}$ 

 $\bigoplus_{i \in S} A_i$  is countable, but  $\prod_{i \in S} A_i$  is not

Categories: products, coproducts, morphisms

 $Mor(?,B) = \prod Mor(A_i,B)$ ? = co-product

The coproduct of sets is disjoint union.

Abelian group A and subgroups X and Y

we have inclusions from each into A

$$X \times Y = X \oplus Y \xrightarrow{h} A, (x,y) \mapsto x + y$$

*h* is injective if every  $a \in A$  is of the form x + y

*h* is one-to-one  $\leftrightarrow$  you can't write x + y = x' + y' unless x = x', y = y'

If true, say A is the direct sum of its submodules X and Y.

Suppose A,  $X \subset A$ , A / X is free (f.g. free): then X has a complement Y in A,  $A \cong X \oplus A / X$ 

$$A \xrightarrow{\pi} A/X$$

 $Y \subset A$ ,  $\pi|_Y$  is an isom  $Y \to A/X$ .

 $\pi|_{Y}$  inj  $\leftrightarrow Y \cap X = (0)$ .

 $\pi|_{Y}$  surjective: given  $a + X \in A/X$  we can find  $y \in Y$  s.t. y + X = a + X

 $x = y \cdot a \in X$ 

 $a = y \cdot x, x \in X, y \in Y$ 

A/X free, say  $\stackrel{\circ}{\cong} \mathbb{Z}^r$ 

```
To map A/X to A is to choose images in A of the generators of A/X corresponding to
the unit vectors of \mathbb{Z}^r.
    There is a unique homomorphism s: A/X \to A so that s(q_i) = a_i for i = 1, \dots, r
     (\pi \cdot s)(q_i) = \pi(a_i) = q_i
     \pi \circ s = id_{A/X}
     Y = \text{image of } S \subset A.
     \pi|_Y surjective. \pi(s(q)) = q for all q \in A/X
     \pi|_{Y} is 1-1. /pi(s(q_0)) = 0 but s(q_0) = q_0 so equals 0.
A a finitely generated abelian group
     X = A_{tors} = \{a \in A | na = 0 \text{ for some } n \ge 1\}.
     X f.g., tors \rightarrow X finite abelian group.
     A/X torsion free, f.g. \to A free \approx \mathbb{Z}^r
A \approx \mathbb{Z}^r \oplus A_{tors}. A_{tors} = ???
    it is a finite abelian group, let B = A_{tors}
    p prime, B_p = \{b \in B | p^t \cdot b = 0 \text{ for some } t \geq 0\}.
     B_P \subset B.
     \bigoplus_{p} B_{p} \xrightarrow{\iota} B
    Proposition: \iota is an isomorphism. (formal proof in Lang's book)
Proof essence:
    suppose 60 \cdot b = 0, 60 = 4 \cdot 3 \cdot 5 = 12 \cdot 5
     (12,5) = 1
     1 = r5 + s12 = 25 - 24
    b = r \cdot 5 \cdot b + s \cdot 12 \cdot b
    12x = 0, 5y = 0
    Every element can be written as a sum of terms killed by a power of a prime
A = \mathbb{Z}^r \oplus (\bigoplus_{p} B_p)
\mathbb{Z}^n \approx F \xrightarrow{\varphi} A A finitely generated (by n elements)
     Ker(\varphi) = X \subset F.
     ? understand A! understand X inside F.
Elementary division theorem
    There exists a basis of F \approx \mathbb{Z}^n s.t. ... X = \bigoplus_{i \le r} 0 \oplus a_1 \mathbb{Z} \oplus a_2 \mathbb{Z} \oplus \cdots \oplus a_{n-r} \mathbb{Z}, a_i \ge 1
     X \subset \mathbb{Z}^n
    a_1|a_2|a_3|\cdots|a_{n-r}, increasing multiplicatively
     A = F/X = \mathbb{Z}^r \oplus \mathbb{Z}/a_1\mathbb{Z} \oplus \mathbb{Z}/a_2\mathbb{Z} \oplus \cdots, a_i|a_{i+1}
     A a finite abelian group \rightarrow A is a direct sum of cyclic groups
p prime, \#A = p^4 = a_1 a_2 a_3 \cdots
     A is direct sum of cyclic groups of p-power order.
     A \approx \mathbb{Z}|p^i \oplus \mathbb{Z}|p^j \oplus \mathbb{Z}|p^k \oplus \mathbb{Z}|p^l at most
```

A arbitrary finitely generated group that we want to understand

 $i \le j \le k \le l, i + j + k + l = 4, i, j, k, l, \ge 1$ 

Pick some generators  $g_1, \dots, g_n$ 

Get a map from  $Y = \mathbb{Z}^n$  to A, has some kernel

Considering A = Y/X, and how X lies in Y gives indication of structure of A

Can think of X, Y, as lattices

Theorem:  $Y \cong \mathbb{Z}^n$  exists  $v_1, \dots, v_n$  basis of Y

such that in that basis  $X = a_1 \mathbb{Z} \oplus a_2 \mathbb{Z} \oplus \cdots \oplus a_m \mathbb{Z} \oplus 0 \oplus 0 \oplus \cdots \oplus 0$ .

 $a_i \geq 1$ ,  $a_1 | a_2, a_2 | a_3, \cdots a_{m-1} | a_m$ .

Example:  $A = \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}$ 

 $Y = \mathbb{Z} \oplus \mathbb{Z}$ 

 $Y \supset X = 2\mathbb{Z} \oplus 3\mathbb{Z}$ 

Not at all true that the integers divide each other.

Puzzle. Not the case as in the theorem.

Need to prove to self that in some new basis,  $Y = \mathbb{Z} \oplus \mathbb{Z}$ ,

and  $X = \mathbb{Z} \oplus 6/\mathbb{Z}$ ,  $Y/X = \mathbb{Z}/6\mathbb{Z}$ .

 $a_1 = 1$ , and  $a_2 = 6$ .

 $X \subset \mathbb{Z}^n$ . Ask whether X = (0) the zero submodule. If so, simple. So can assume nonzero. Consider linear forms, homomorphisms  $\mathbb{Z}^n \to \mathbb{Z}$ .

For each  $\lambda$  have  $\lambda(X) \subset \mathbb{Z}$ . e.g.,  $\lambda(X) = 3\mathbb{Z}$ . Some  $\lambda$ s are nonzero since X is nonzero.

Choose  $\lambda$  so that  $\lambda(X)$  is maximal.

Example:  $X = 2\mathbb{Z} \oplus 3\mathbb{Z}$ . The first coordinate fn yields  $2\mathbb{Z}$ ,

the second coordinate fn yields  $3\mathbb{Z}$ .

But with  $\lambda(u,v) = v - u$  we can get all of  $\mathbb{Z}$ .

possible to get  $\lambda$ s yielding images  $2\mathbb{Z}$ ,  $3\mathbb{Z}$ , but not to get  $\lambda$ ,  $\lambda(X)$  containing both?

In any case, take a maximal  $\lambda$ , fix that  $\lambda$ .

 $\lambda(X) = a\mathbb{Z}$  maximal

Pick  $x \in X$  so that  $\lambda(x) = a$ .

Claim:  $\mu(x) = b$  is divisible by a for all  $\mu \in Hom(\mathbb{Z}^n, \mathbb{Z})$ 

gcd(a,b) = g = ra + sb

 $\tau := r\lambda + s\mu, \, \tau(x) = g$ 

Now  $\tau(X) \supset \mathbb{Z}g \supset \mathbb{Z}a$ 

So  $\tau(x) = \lambda(x)$ ,  $\mathbb{Z}g = \mathbb{Z}a$ 

a|b for this reason of maximality

"Executive session"

R a commutative ring

R-module: M

1) abelian group

2) endowed with a scalar multiplication  $r \in R$ ,  $m \in M$ ,  $rm \in M$ 

same as a vector space definition except R is not assumed to be a field

The context in which this elementary divisor theorem works.

A a finitely generated abelian group replaced by a finitely generated R-module

And there are 2 conditions on R.

R is an integral domain:  $rs = 0 \rightarrow r = 0$  or s = 0

Ideals of R are principal  $M \subset R \to M = R \cdot a$ 

Digression: motivation. Killer example.

K a field, and R = K[t]. (very much like  $\mathbb{Z}$ , can do Euclidean division by remainders)

Have V and action of K[t]: (action of K and action of t)

V + action of  $K \rightarrow K$ -vector space

Action of t:  $T: V \to V$  multiplication by t,  $v \mapsto t \cdot v$ ,  $T(v) = t \cdot v$ 

Conversely, can form the corresponding polynomial in the linear transformation

Principal Ideal domain. Element of smallest degree, Euclidean algorithm.

Suppose we have an R-module V. This is a K-vector space V with action of t

Multiplication by t gives a linear operator  $T: V \to V$  (t commutes with K)

Remark: if V is of finite dimension over K, then it is finitely generated as a K-module In particular, it's finitely generated over the ring R = K[t]

A an abelian group. If A is torsion, we are especially interested.

Suppose we start with a linear operator on a finite-dimension vector space.

There is a characteristic polynomial h such that h(T) = 0.

Cayley-Hamilton theorem.

$$h(t) \in R = K[t]$$
. So  $h(t) \cdot v = 0$ .

V is a torsion module because h(t) annihilates V.

Summary of what we have so far:

$$0 \neq X \subset Y = \mathbb{Z}^n$$
,  $\lambda : Y \to \mathbb{Z}$ ,  $\lambda(X)$  is maximal among  $\mu(X)$ s,  $\lambda(X) = a\mathbb{Z}$ .

Have shown that  $a = \lambda(x)$ , then  $\mu(x)$  is divisible by a for all  $\mu$ .

Take  $\mu$  to be the  $i^{th}$  coordinate function,  $x=(x_1,\cdots,x_n)\in\mathbb{Z}^n$ ,  $a|x_i$  for all  $i=1,\cdots,n$ ,  $x=a\cdot y,y\in\mathbb{Z}^n$ ,  $\lambda(y)=\lambda(x)/a=1$ 

Think of Y: contains two submodules (subgroups)

 $Y \supset ker(\lambda), Y \supset \mathbb{Z} \cdot y.$ 

Claim:  $Y = ker(\lambda) \oplus \mathbb{Z}y$ 

1) each 
$$z \in Y$$
 is: e.g.  $(z - \lambda(z) \cdot y) + \lambda(z)y$ 

2) if my is in  $ker(\lambda)$  then  $0 = \lambda(my) = m\lambda(y) = m$  so m = 0, my = 0, intersection is 0

The corresponding statement for X is that  $X = (\ker(\lambda|_X)) \oplus \mathbb{Z}_X$ 

Kind of obvious that the intersection is 0.

Each component is a submodule of the corresp. one in Y.

$$z \in X$$
,  $\lambda(z) = m\lambda(x) = ma\lambda(y)$ .

$$z = z - \lambda(z)y + \lambda(z)y$$

$$\lambda(z)y = m \cdot a \cdot y = mx$$

$$(z - \lambda(z)y) \in ker(\lambda) \cap X = ker(\lambda|_X)$$

$$\mathbb{Z}^n = Y = \ker(\lambda) \oplus \mathbb{Z}y$$

$$Y \supset X = ker(\lambda|_X) \oplus \mathbb{Z}ay$$

Apply inductively to portion of lower rank, having pulled off  $\mathbb{Z}a$ 

$$X = a_1 \mathbb{Z} \oplus a_2 \mathbb{Z} \oplus \cdots \oplus a_m \mathbb{Z} \oplus 0 \cdots 0 \subset Y = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} \oplus \cdots \oplus \mathbb{Z}$$

need to have some kind of divisibility among these a, need to be explained  $a_1 | a_2, \cdots$ 

 $Y = \mathbb{Z} \oplus Y'$  and  $X = a\mathbb{Z} + X'$ , working rightward

start thinking of various linear maps  $\tilde{\lambda}': Y' \to \mathbb{Z}$ , and how they restrict to X taking a maximal one, etc., etc.

need to understand somehow that if we take this  $\lambda'(X') = a'\mathbb{Z}$ 

we want a|a', meaning  $a'\mathbb{Z} \subset a\mathbb{Z}$ , do this with some greatest common divisor argument Introduce g = gcd(a, a') which we want to be a, write in form ra + sa'

```
Need to find some interesting linear map from Y to Z
```

Have a map  $Y' \xrightarrow{\lambda'} \mathbb{Z}$  and  $\mathbb{Z} \to \mathbb{Z}$  the identity

Both of these are linear maps that give linear maps  $Y \to \mathbb{Z}$ .

Choose  $x' \in X'$  so that  $\lambda'(x') = a'$ 

Have (a,0) in X so that the second linear map (just taking the first coordinate)...

...applied to (a,0) gives a

Take  $Y = \mathbb{Z} \oplus Y'$ 

$$\mathbb{Z} \oplus \Upsilon' \xrightarrow{f} \mathbb{Z}$$

 $\mathbb{Z} \oplus Y' \to Y' \to Y' \xrightarrow{\lambda'} \mathbb{Z}$ , the composition of which call *g* 

$$Y = \mathbb{Z} \oplus Y' \ni (a, x') \in X$$

$$f(a, x') = a$$

$$g(a, x') = \lambda(x') = a'$$

$$(rf + sg)(a, x') = G, rf + sg = \mu$$

$$\mu(X) \supset \mathbb{Z} \cdot G \supset \mathbb{Z}a$$

Maximality  $\rightarrow G = a$ .

Tells us that a really divides a' by maximality.

The Y and the X really divide off into two separate worlds.

$$Y = \mathbb{Z} \oplus Y'$$
 and  $X = a\mathbb{Z} \oplus X'$ 

The world which we have already considered, and the trailing-off world of Y' and X' New map  $\mu$  defined on all of Y and X, by leaving the first coordinate alone.

Go back to the original example of the 2 and the 3.  $Y = \mathbb{Z} \oplus \mathbb{Z} \supset X = 2\mathbb{Z} \oplus 3\mathbb{Z}$ 

$$\lambda(u,v) = v - u$$

$$x = (2,3), \lambda(x) = 1$$

 $a = 1, \lambda(X) = \mathbb{Z}$ , need to see how that line splits off in  $\mathbb{Z}$  and in X.

$$Y = \mathbb{Z} \cdot y \oplus ker(\lambda)$$

$$y = x/a = x$$
,  $ker(\lambda) = \{(u, v) : u = v\} = \mathbb{Z} \cdot (1, 1)$ 

$$Y = \mathbb{Z} \cdot (2,3) \oplus \mathbb{Z} \cdot (1,1) = \mathbb{Z}^2$$

$$X = \mathbb{Z} \cdot (2,3) \oplus \mathbb{Z} \cdot (1,1) \cap (2\mathbb{Z} \oplus 3\mathbb{Z})$$

so 
$$X = \mathbb{Z} \cdot (2,3) \oplus 6 \cdot \mathbb{Z}(1,1)$$

$$Y/X = \mathbb{Z}/\mathbb{Z} \oplus \mathbb{Z}/6\mathbb{Z} = \mathbb{Z}/6\mathbb{Z}.$$

## 9/22

Rings R, A (= 'anneau')

definition: whether or not  $1 \in R$  is can vary

Lang:  $1 \in R$ , Hungerford:  $1 \notin R$ 

In the former,  $2\mathbb{Z}$  is not a ring, in the latter, it is

gold standard of a ring, the ring of integers  $\mathbb{Z}$ 

Ring: has an addition and a multiplication, modeled off of the integers

under +, ring is an abelian group with distinguished element  $\boldsymbol{0}$ 

associative product (not necessarily commutative) with distinguished element 1

distributive laws  $(x + y)z = \cdots$  and z(x + y) = zx + zy

Example, given A an abelian group, the ring of endomorphisms

$$R = End(A) = Hom(A, A), (f + g)(a) = f(a) + g(a), fg = f \circ g$$

End(A) can be viewed as a ring of matrices under matrix multiplication if  $A = \mathbb{Z}^n$ Example, any field e.g.  $\mathbb{Q}$ ,  $\mathbb{R}$ ,  $\mathbb{C}$ ,  $\mathbb{Z}/p\mathbb{Z}$ ,  $\mathbb{Q}(i) = \{a + bi | a, b \in \mathbb{Q}\}$ 

Fields are commutative, and non-zero elements have multiplicative inverses To be explored: X a set, R = P(X), r + s = symmetric difference,  $r \cdot s =$  intersection Hamilton quaternions over  $\mathbb{R}$ ,  $\mathbb{Q}$ , a + bi + cj + dk a "skew field"

An inverse is  $\frac{a-bi-cj-dk}{a^2+b^2+c^2+d^2}$ 

G a group (written multiplicitavely), take  $\mathbb{Z}[G] = \mathbb{Z}\langle G \rangle$  the free abelian group on G elements  $\sum n_g \cdot g, n_g \in \mathbb{Z}$  the sum finite can multiply

$$(\sum n_g \cdot g)(\sum m_h \cdot h) = \sum_{x \in G} (\sum_{g,h,gh=x} n_g m_h) x$$
$$c_x = \sum_g n_g m_{g^{-1}x}$$

a convolution product

$$G = \{x^i | i \in \mathbb{Z}\}, x^i x^j = x^{i+j}$$

typical element finite 
$$\sum_i n_i x^i$$
,  $n_i \in \mathbb{Z}$  e.g.  $x^{-3} + 2x^{-2} + 7x^{-1} + 9x^{100}$  a polynomial in  $x, x^{-1}$ 

#### Ring Homomorphisms

is a homomorphism of abelian groups, and respects the multiplication operation

$$\varphi(xy) = \varphi(x)\varphi(y)$$
, note  $\varphi(1) \neq 1$  is possible  $ker(\varphi) = \{r \in R | \varphi(r) = 0\}$ 

Satisfies the property for being an ideal:  $x \in R, r \in ker(\varphi) \to xr, rx \in ker(\varphi)$ **Ideals** 

 $xI \subset I$  left-sided,  $Ix \subset I$  right-sided, 2-sided (bilateral)

exact analogues of normal subgroups

two-sided ideal: well-defined quotient multiplication

$$(r+I)\cdot(s+I):=rs+I$$

$$(r+I)(s+I) = r(s+i) + I = rs + ri + I$$
 and similarly

$$(r+I)(s+I) = (r+i)s + I = rs + is + I$$

ideals are kernels of ring homomorphisms

Principal Ideal  $I = R \cdot a$  for some  $a \in R$ 

the Ideal that a generates, (a) (minimal ideal containing a)

is exactly all multiples of a in R

for subset X, intersection of all ideals containing X (intersections of ideals are ideals)

if  $X = \{a_1, \dots, a_t\}$ , the ideal is  $(a_1, \dots, a_t)$ the ideals of  $\mathbb{Z}$  are the additive subgroups of  $\mathbb{Z}$ ,  $a\mathbb{Z}$ ,  $a \ge 0 = (a)$ 

an ideal of R is an additive subgroup with ideal property

K field, R = K[x]

euclidean division

all ideals of *R* are principal

```
R = K[x,y] polynomials in x and y
    R \xrightarrow{\varphi} K, f(x,y) \mapsto f(0,0) \in K (the constant term of the polynomial)
    (x,y) = ker(\varphi) = \{\text{polynomials with 0 constant term}\}.
    this is not principal
    elements look like 0 + ax + by + cx^2 + \cdots
Prime ideal P \subset R shall be:
   proper
   if rs \in P then r \in P or s \in P
   If P divides rs then P divides r or s
Prime ideals of \mathbb{Z}
    (0), (p) = p\mathbb{Z}, p \text{ prime.}
If \varphi : R \to S is a ring homomorphism and S contains a prime ideal P
    then \varphi^{-1}(P) is a prime ideal of R
Proof:
   Let x, y \in R and suppose xy \in \varphi^{-1}(P) = P'
    then \varphi(x)\varphi(y) = \varphi(xy) \in P \to \varphi(x) \in P \text{ or } \varphi(y) \in P \square
Corollary: Suppose \varphi: R \to S a non-trivial homomorphism of rings and (0) is prime in S
    Then the kernel of \varphi is prime.
S is called an integral domain if
    (0) \neq S
   if xy = 0 then x = 0 or y = 0
Proposition: P \subset R is a prime ideal \leftrightarrow R/P is an integral domain
Maximal ideal M \subset R if M \neq R and M \subset M' a proper ideal, M = M'
Proposition: M is maximal \leftrightarrow R/M is a field
Example: \mathbb{Z} \supset a\mathbb{Z} maximal \leftrightarrow a is prime
Corollary: Maximal ideals are prime
    Pf: Fields are integral domains.
9/24: Midterm
9/29
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Commutative centre = integral domain. PIDs UFDs UFDs PID: Every ideal is principal, I=(a) generalization: every ideal is finitely generated  $I=(a_1,\cdots,a_m)=\{\sum_{i=1}^m r_i a_i | r_i \in A\}$  Noetherian equivalence of 3 conditions on a ring, for which, if holds, makes the ring Noetherian (1) each ideal is finitely generated

- (2) chains become stable
- (3) Every non-empty set of ideals of A contains a maximal element.

Condition (2): stability of chains

 $I_1 \subset I_2 \subset I_3 \subset \cdots$  increasing chain of ideals in A

 $\exists N \geq 1$  so that  $I_n = I_N$  for all  $n \geq N$ 

e.g. Z

$$(2^{100})\subset (2^{99})\subset \cdots$$

can have arbitrarily long chains in ring of integers

but all of them terminate

(1) implies (2)

Consider a  $I_1 \subset I_2 \subset \cdots$ 

and take 
$$I = \bigcup_{i=1}^{\infty} I_i$$

I finitely generated, each  $a_i$  needs to be in some I

eventually all of them are in some  $I_N$ , so  $I \subset I_N$ , we are done

(2) implies (3)

Take  $I_1 \in S$ . If not a maximal elt of S,  $I_1 \subset I_2$ ,  $I_2 \in S$ 

If  $I_2$  not max, etc., continue and construct a chain

can't go to infinity if (2) is assumed; must end,  $I_N$  is maximal

irreducible elements of A: elements that can't be factored

an element  $a \in A$ ,  $a \neq 0$  and not a unit

if a = bc then b is a unit or c is a unit

 $(0) \subset (a) \subset A$ 

maximal if A is a PID

$$(a) \subset I = (b) \subset A$$

$$a \in (b)$$
,  $a = bc$ 

b a unit then I = A and if c is a unit, I = (a)

Proposition: If A is a PID, then every  $t \in A$ ,  $t \neq 0$ , t not a unit

t can be written as a product of irreducible elements

Proof:

Consider the set of (principal) ideals (t) for which the proposition is false

If  $S = \emptyset$ , done. Else consider a maximum element  $(m) \in S$ 

but if  $(m) \subsetneq (m')$  then (m') can be factored

if m irreducible it can be factored, if not m = m'm'' where m', m'' not units  $(m) \subseteq (m')$ ,  $(m) \subseteq (m'')$ 

(m'), (m'') not in S, they can be factored, we are done

This proof also works for Noetherian rings generally common tactic by maximal counterexample

prime elements of A

 $a \neq 0$ , not a unit, a prime  $\leftrightarrow$  (a) is prime

if a|bc then a|b or a|c

Primes are irreducible:

if *a* is prime and a = bc then a|b or a|c

if a|b then b is a multiple of a and a is a multiple of b

so  $a \sim b$ :  $b = u \cdot a$  and  $a = u^{-1} \cdot b$ , differ by a unit

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irreducible elements might not be prime
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$$A = \mathbb{Z}[\sqrt{-3}] = \{a + b\sqrt{-3} | a, b \in \mathbb{Z}\}$$
  
2 \cdot 2 = (1 + \sqrt{-3})(1 - \sqrt{-3})

2 is irreducible and not prime, 2|4 but doesn't divide either on the right side exists norm  $N: z\mapsto z\overline{z}$ 

$$a + b\sqrt{-3} \mapsto a^2 + 3b^2$$

2 is irreducible

$$2 = \alpha \beta$$
,  $N(2) = N(\alpha)N(\beta)$ ,  $4 = N(\alpha)N(\beta)$ 

but norms can never be 2 so one of these must be a unit (N=1 implies  $\pm 1$ )

In a PID, irreducible elements are prime

an irred  $\rightarrow$  (a) is maximal  $\rightarrow$  (a) is prime  $\rightarrow$  a is prime

Unique factorization domain: every  $a \neq 0$ , unit has a factorization as a prod of irreducibles this is unique up to reordering and transformation by units

 $a \sim b$ , a and b are associated, if  $a = b \cdot u$  and  $b = a \cdot u^{-1}$  for some unit u

Theorem: PIDs are UFDs

PID: 
$$a = \pi_1 \cdots \pi_n = \sigma_1 \cdots \sigma_m$$

 $\sigma_m$  prime so  $\sigma_m$  dviides some  $\pi_i$ 

can assume  $\sigma_m | \pi_n$ ,  $\phi_n = \sigma_m \cdot c$ , c unit

proceed by induction on indices, end

A PID 
$$a, b \in A$$
,  $(a, b) = \{ax + by | x, y \in A\} = (g)$  since principal

$$g = gcd(a,b)$$
:  $(g) = (a,b) \ni a,b$ 

a and b are multiples of g, g divides a, b

t can't be factored as a product of irreducibles, (t) is maximal in this property if t irreducible t = t; impossible

if t not irreducible,  $t = r \cdot s$ , r, s non-units

$$(t) \subsetneq (r) (t) \subsetneq (s)$$

$$A = \mathbb{Z}[\cdots(7)^{\frac{1}{2^N}}\cdots]$$

7 is not a unit in A

Lemma: every element of A is "integral"

it satisfies an equation (monic polynomial)  $x^n + c_{n-1}x^{n-1} + \cdots + c_0 = 0$ 

monic: first coefficient = 1

$$c_i \in \mathbb{Z}$$

integral ring

1/7 satisfies no such polynomial

7 can be factored on and on  $n(7^{1/n})$ ; not a Noetherian ring