

# Programming Paradigms

## Lecture 5

**Slides are from Prof. Chin Wei-Ngan from NUS**

Lambda Calculus

# Simple Example

```
local P in local Y in local Z in
  Z=1
  proc {P X} Y=X end
  {P Z}
end end end
```

- We shall reason that  $x$ ,  $y$  and  $z$  will be bound to 1

# Simple Example

```
( [ (local P Y Z in
    Z=1
    proc {P X} Y=X end
    {P Z}
end,  $\emptyset$ ) ],
 $\emptyset$ )
```

- Initial **execution state**

# Simple Example

```
( [ (local P Y Z in
    Z=1
    proc {P X} Y=X end
    {P Z}
end,  $\emptyset$ ) ],
 $\emptyset$ )
```

## ■ Statement

# Simple Example

```
( [ (local P Y Z in
      Z=1
      proc {P X} Y=X end
      {P Z}
    end,  $\emptyset$ ) ],
 $\emptyset$ )
```

- Empty environment

# Simple Example

```
( [ (local P Y Z in
      Z=1
      proc {P X} Y=X end
      {P Z}
    end,  $\emptyset$ ) ],
 $\emptyset$ )
```

## ■ Semantic statement

---

# Simple Example

```
( [ (local P Y Z in
    Z=1
    proc {P X} Y=X end
    {P Z}
end,  $\emptyset$ ) ],
 $\emptyset$ )
```

## ■ Semantic stack

---

# Simple Example

```
( [ (local P Y Z in
    Z=1
    proc {P X} Y=X end
    {P Z}
end,  $\emptyset$ ) ],
 $\emptyset$ )
```

- Empty store



## Simple Example: `local`

```
( [ (local P Y Z in
      Z=1
      proc {P X} Y=X end
      {P Z}
    end,  $\emptyset$ ) ],
 $\emptyset$ )
```

- Create new store variables
  - Extend the environment
-

# Simple Example

( [ (Z=1  
    **proc** {P X} Y=X **end**  
    {P Z},           {P→p, Y→y, Z→z}) ] ,  
{p, y, z})

# Simple Example

( [ (Z=1  
    **proc** {P X} Y=X **end**  
    {P Z},           {P→p, Y→y, Z→z}) ] ,  
{p, y, z})

- Split sequential composition

# Simple Example

$( [ (Z=1, \quad \{P \rightarrow p, Y \rightarrow y, Z \rightarrow z\}) ,$   
     $(\text{proc } \{P \ X\} \ Y=X \ \text{end}$   
     $\{P \ Z\}, \quad \{P \rightarrow p, Y \rightarrow y, Z \rightarrow z\}) ] ,$   
     $\{p, y, z\})$

- Split sequential composition

# Simple Example

( [ (**proc** {P X} Y=X **end**  
    {P Z},            {P  $\rightarrow$  p, Y  $\rightarrow$  y, Z  $\rightarrow$  z}) ] ,  
{p, y, z=1 } )

- Variable-value assignment

# Simple Example

$( [ (\text{proc } \{P \ X\} \ Y=X \ \text{end}, \{P \rightarrow p, Y \rightarrow y, Z \rightarrow z\}) ,$   
 $( \{P \ Z\}, \{P \rightarrow p, Y \rightarrow y, Z \rightarrow z\}) ] ,$   
 $\{p, y, z=1\} )$

- Split sequential composition

# Simple Example

$( [ (\text{proc } \{P \text{ } \mathbf{x}\} \text{ } \mathbf{y}=\mathbf{x} \text{ end}, \{P \rightarrow p, Y \rightarrow y, Z \rightarrow z\}) ,$   
 $( \{P \ Z\}, \{P \rightarrow p, Y \rightarrow y, Z \rightarrow z\}) ] ,$   
 $\{p, y, z=1\} )$

- Procedure definition
  - **external reference**  $\mathbf{y}$
  - **formal argument**  $\mathbf{x}$
- Contextual environment  $\{Y \rightarrow y\}$
- Write procedure value to store

# Simple Example

$$\begin{aligned} & ( [ ( \{P \rightarrow Z\}, \quad \{P \rightarrow p, Y \rightarrow y, Z \rightarrow z\} ) ], \\ & \{ p = (\text{proc } \{ \$ X \} \ Y=X \ \text{end}, \{Y \rightarrow y\}), \\ & \quad y, Z=1 \} ) \end{aligned}$$

- Procedure call: use  $p$
- **Note:**  $p$  is a **value** like any other variable. It is the semantic statement  $(\text{proc } \{ \$ X \} \ Y=X \ \text{end}, \{Y \rightarrow y\})$
- Environment
  - start from  $\{Y \rightarrow y\}$
  - adjoin  $\{X \rightarrow z\}$



# Simple Example

$$\begin{aligned} & ( [ (Y=X, \quad \{Y \rightarrow y, X \rightarrow z\}) ], \\ & \{ p = (\text{proc } \{ \$ X \} \ Y=X \ \text{end}, \{Y \rightarrow y\}), \\ & \quad y, z=1 \} ) \end{aligned}$$

- Variable-variable assignment
  - Variable for  $Y$  is  $y$
  - Variable for  $X$  is  $z$

# Simple Example

( [],

{  $p = (\text{proc } \{ \$ \text{ X} \} \text{ Y=X end, } \{ \text{Y} \rightarrow y \})$ ,  
   $y=1, Z=1 \}$  )

- Voila!
- The semantic stack is in the run-time state *terminated*, since the stack is empty

# **Lambda Calculus :**

A Simplest Universal  
Programming Language

# ***Lambda Calculus***

- Untyped Lambda Calculus
- Evaluation Strategy
- Techniques - encoding, extensions, recursion
- Operational Semantics
- Explicit Typing
- Type Rules and Type Assumption
- Progress, Preservation, Erasure

**Introduction to Lambda Calculus:**

<http://www.inf.fu-berlin.de/lehre/WS03/alpi/lambda.pdf>

<http://www.cs.chalmers.se/Cs/Research/Logic/TypesSS05/Extra/geuvers.pdf>

## ***Untyped Lambda Calculus***

- Extremely simple programming language which captures *core* aspects of computation and yet allows programs to be treated as mathematical objects.
- Focused on *functions* and applications.
- Invented by Alonzo (1936,1941), used in programming (Lisp) by John McCarthy (1959).

## ***Functions without Names***

Usually functions are given a name (e.g. in language C):

```
int plusone(int x) { return x+1; }  
...plusone(5)...
```

However, function names can also be dropped:

```
(int (int x) { return x+1;} ) (5)
```

Notation used in untyped lambda calculus:

```
( $\lambda$  x. x+1) (5)
```

# Syntax

In purest form (no constraints, no built-in operations), the lambda calculus has the following syntax.

$t ::=$	terms
$x$	variable
$\lambda x . t$	abstraction
$t t$	application

This is **simplest** universal programming language!



## Conventions

- Parentheses are used to avoid ambiguities.  
e.g.  $x\ y\ z$  can be either  $(x\ y)\ z$  or  $x\ (y\ z)$
- Two conventions for avoiding too many parentheses:
  - Applications associates to the left  
e.g.  $x\ y\ z$  stands for  $(x\ y)\ z$
  - Bodies of lambdas extend as far as possible.  
e.g.  $\lambda x. \lambda y. x\ y\ x$  stands for  $\lambda x. (\lambda y. ((x\ y)\ x))$ .
- Nested lambdas may be collapsed together.  
e.g.  $\lambda x. \lambda y. x\ y\ x$  can be written as  $\lambda x\ y. x\ y\ x$



# Scope

- An occurrence of variable  $x$  is said to be *bound* when it occurs in the body  $t$  of an abstraction  $\lambda x . t$
- An occurrence of  $x$  is *free* if it appears in a position where it is not bound by an enclosing abstraction of  $x$ .
- Examples:
  - $x y$
  - $\lambda y . x y$
  - $\lambda x . x$  (identity function)
  - $(\lambda x . x x) (\lambda x . x x)$  (non-stop loop)
  - $(\lambda x . x) y$
  - $(\lambda x . x) x$

# Alpha Renaming

- Lambda expressions are equivalent up to bound variable renaming.

e.g.  $\lambda x. x =_{\alpha} \lambda y. y$   
 $\lambda y. x y =_{\alpha} \lambda z. x z$

But NOT:

$$\lambda y. x y \neq_{\alpha} \lambda y. z y$$

- Alpha renaming rule:

$$\lambda x. E =_{\alpha} \lambda z. [x \mapsto z] E \quad (z \text{ is not free in } E)$$

## Beta Reduction

- An application whose LHS is an abstraction, evaluates to the body of the abstraction with parameter substitution.

e.g.  $(\lambda x. x y) z \rightarrow_{\beta} z y$

$$(\lambda x. y) z \rightarrow_{\beta} y$$

$$(\lambda x. x x) (\lambda x. x x) \rightarrow_{\beta} (\lambda x. x x) (\lambda x. x x)$$

- Beta reduction rule (operational semantics):

$$(\lambda x. t_1) t_2 \rightarrow_{\beta} [x \mapsto t_2] t_1$$

Expression of form  $(\lambda x. t_1) t_2$  is called a *redex* (reducible expression).

## ***Evaluation Strategies***

- A term may have many redexes. Evaluation strategies can be used to limit the number of ways in which a term can be reduced.
- An evaluation strategy is *deterministic*, if it allows reduction with at most one redex, for any term.
- Examples:
  - normal order
  - call by name
  - call by value, etc

## Normal Order Reduction

- Deterministic strategy which chooses the *leftmost, outermost* redex, until no more redexes.
- Example Reduction:

$$\begin{aligned} & \underline{\text{id (id (\lambda z. id z))}} \\ & \rightarrow \underline{\text{id (\lambda z. id z)}} \\ & \rightarrow \lambda z. \underline{\text{id z}} \\ & \rightarrow \lambda z. z \\ & \not\rightarrow \end{aligned}$$

## Call by Name Reduction

- Chooses the *leftmost, outermost* redex, but *never* reduces inside abstractions.
- Example:

$$\begin{aligned} & \underline{\text{id (id (\lambda z. id z))}} \\ & \rightarrow \underline{\text{id (\lambda z. id z)}} \\ & \rightarrow \lambda z. \text{id z} \\ & \nrightarrow \end{aligned}$$

## Call by Value Reduction

- Chooses the *leftmost, innermost* redex whose RHS is a value; and never reduces inside abstractions.
- Example:

$$\begin{aligned} & \text{id } (\text{id } (\lambda z. \text{id } z)) \\ & \rightarrow \underline{\text{id } (\lambda z. \text{id } z)} \\ & \rightarrow \lambda z. \text{id } z \\ & \not\rightarrow \end{aligned}$$

## ***Strict vs Non-Strict Languages***

- *Strict* languages always evaluate all arguments to function before entering call. They employ call-by-value evaluation (e.g. C, Java, ML).
- *Non-strict* languages will enter function call and only evaluate the arguments as they are required. *Call-by-name* (e.g. Algol-60) and *call-by-need* (e.g. Haskell) are possible evaluation strategies, with the latter avoiding the re-evaluation of arguments.
- In the case of call-by-name, the evaluation of argument occurs with each parameter access.



# *Formal Treatment of Lambda Calculus*

- Let  $V$  be a countable set of variable names. The set of terms is the smallest set  $T$  such that:
  1.  $x \in T$  for every  $x \in V$
  2. if  $t_1 \in T$  and  $x \in V$ , then  $\lambda x. t_1 \in T$
  3. if  $t_1 \in T$  and  $t_2 \in T$ , then  $t_1 t_2 \in T$
- Recall syntax of lambda calculus:

$t ::=$	terms
$x$	variable
$\lambda x. t$	abstraction
$t t$	application

## ***Free Variables***

- The set of free variables of a term  $t$  is defined as:

$$\text{FV}(x) = \{x\}$$

$$\text{FV}(\lambda x.t) = \text{FV}(t) \setminus \{x\}$$

$$\text{FV}(t_1 t_2) = \text{FV}(t_1) \cup \text{FV}(t_2)$$

# Substitution

- Works when free variables are replaced by term that does not clash:

$$[x \mapsto \lambda z. z w] (\lambda y. x) = (\lambda y. \lambda x. z w)$$

- However, problem if there is name capture/clash:

$$[x \mapsto \lambda z. z w] (\lambda x. x) \neq (\lambda x. \lambda z. z w)$$

$$[x \mapsto \lambda z. z w] (\lambda w. x) \neq (\lambda w. \lambda z. z w)$$

## ***Formal Defn of Substitution***

$$[x \mapsto s] x = s \quad \text{if } y=x$$

$$[x \mapsto s] y = y \quad \text{if } y \neq x$$

$$[x \mapsto s] (t_1 t_2) = ([x \mapsto s] t_1) ([x \mapsto s] t_2)$$

$$[x \mapsto s] (\lambda y. t) = \lambda y. t \quad \text{if } y=x$$

$$[x \mapsto s] (\lambda y. t) = \lambda y. [x \mapsto s] t \quad \text{if } y \neq x \wedge y \notin \text{FV}(s)$$

$$[x \mapsto s] (\lambda y. t) = [x \mapsto s] (\lambda z. [y \mapsto z] t) \\ \text{if } y \neq x \wedge y \in \text{FV}(s) \wedge \text{fresh } z$$

# *Syntax of Lambda Calculus*

- Term:

$t ::=$

$x$

$\lambda x.t$

$t\ t$

terms

variable

abstraction

application

- Value:

$t ::=$

$\lambda x.t$

terms

abstraction value

# ***Oz Abstract Syntax Tree***

## Distfix notation

$t ::=$

$x$

$\lambda x . t$

$t\ t$

terms

variable

abstraction

application

## Oz notation

$\langle T \rangle ::=$

$x$

$\text{lam}(x\ \langle T \rangle)$

$\text{app}(\langle T \rangle\ \langle T \rangle)$

$\text{let}(x\#\langle T \rangle\ \langle T \rangle)$

terms

variable

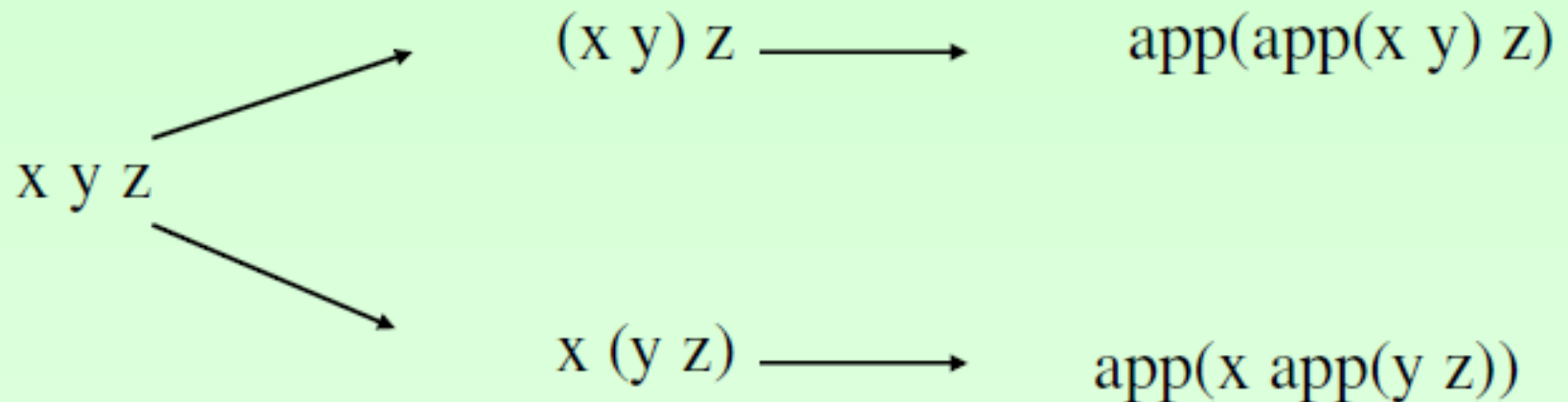
abstraction

application

let binding

## Why Oz AST?

- Need to program in Oz!
- Unambiguous



# Call-by-Value Semantics

$$\begin{array}{c} \text{premise} \swarrow \\ t_1 \rightarrow t'_1 \\ \hline t_1 t_2 \rightarrow t'_1 t_2 \\ \swarrow \text{conclusion} \end{array} \quad (\text{E-App1})$$

$$\frac{t_2 \rightarrow t'_2}{v_1 t_2 \rightarrow v_1 t'_2} \quad (\text{E-App2})$$

$$(\lambda x.t) v \rightarrow [x \mapsto v] t \quad (\text{E-AppAbs})$$



## Getting Stuck

- Evaluation can get stuck. (Note that only values are  $\lambda$ -abstraction)  
e.g.  $(x\ y)$
- In extended lambda calculus, evaluation can also get stuck due to the absence of certain primitive rules.

$(\lambda x. \text{succ } x) \text{ true} \rightarrow \text{succ true} \not\rightarrow$

## ***Programming Techniques in $\lambda$ -Calculus***

- Multiple arguments.
- Church Booleans.
- Pairs.
- Church Numerals.
- Enrich Calculus.
- Recursion.

# Multiple Arguments

- Pass multiple arguments one by one using lambda abstraction as intermediate results. The process is also known as *currying*.
- Example:

$$f = \lambda(x,y).s \quad \longrightarrow \quad f = \lambda x. (\lambda y. s)$$

Application:

$f(v,w)$

*requires pairs as  
primitive types*

$(f\ v)\ w$

*requires higher  
order feature*

## Church Booleans

- Church's encodings for true/false type with a conditional:

$\text{true} = \lambda t. \lambda f. t$

$\text{false} = \lambda t. \lambda f. f$

$\text{if} = \lambda l. \lambda m. \lambda n. l\ m\ n$

- Example:

$\text{if true } v\ w$

$= (\lambda l. \lambda m. \lambda n. l\ m\ n)\ \text{true}\ v\ w$

$\rightarrow \text{true } v\ w$

$= (\lambda t. \lambda f. t)\ v\ w$

$\rightarrow v$

- Boolean and operation can be defined as:

$\text{and} = \lambda a. \lambda b. \text{if } a\ b\ \text{false}$

$= \lambda a. \lambda b. (\lambda l. \lambda m. \lambda n. l\ m\ n)\ a\ b\ \text{false}$

$= \lambda a. \lambda b. a\ b\ \text{false}$

## **Pairs**

- Define the functions `pair` to construct a pair of values, `fst` to get the first component and `snd` to get the second component of a given pair as follows:

`pair`     $= \lambda f. \lambda s. \lambda b. b\ f\ s$

`fst`     $= \lambda p. p\ \text{true}$

`snd`     $= \lambda p. p\ \text{false}$

- Example:

`snd (pair c d)`

$= (\lambda p. p\ \text{false}) ((\lambda f. \lambda s. \lambda b. b\ f\ s)\ c\ d)$

$\rightarrow (\lambda p. p\ \text{false}) (\lambda b. b\ c\ d)$

$\rightarrow (\lambda b. b\ c\ d)\ \text{false}$

$\rightarrow \text{false}\ c\ d$

$\rightarrow d$

# Church Numerals

- Numbers can be encoded by:

$$c_0 = \lambda s. \lambda z. z$$

$$c_1 = \lambda s. \lambda z. s\ z$$

$$c_2 = \lambda s. \lambda z. s\ (s\ z)$$

$$c_3 = \lambda s. \lambda z. s\ (s\ (s\ z))$$

:

# Church Numerals

- Successor function can be defined as:

$$\text{succ} = \lambda n. \lambda s. \lambda z. s (n s z)$$

Example:

$\text{succ } c_1$

$$= (\lambda n. \lambda s. \lambda z. s (n s z)) (\lambda s. \lambda z. s z)$$

$$\rightarrow \lambda s. \lambda z. s ((\lambda s. \lambda z. s z) s z)$$

$$\rightarrow \lambda s. \lambda z. s (s z)$$

$\text{succ } c_2$

$$= \lambda n. \lambda s. \lambda z. s (n s z) (\lambda s. \lambda z. s (s z))$$

$$\rightarrow \lambda s. \lambda z. s ((\lambda s. \lambda z. s (s z)) s z)$$

$$\rightarrow \lambda s. \lambda z. s (s (s z))$$

## ***Church Numerals***

- Other Arithmetic Operations:

$\text{plus} = \lambda m. \lambda n. \lambda s. \lambda z. m\ s\ (n\ s\ z)$

$\text{times} = \lambda m. \lambda n. m\ (\text{plus}\ n)\ c_0$

$\text{iszero} = \lambda m. m\ (\lambda x. \text{false})\ \text{true}$

- Exercise : Try out the following.

$\text{plus}\ c_1\ x$

$\text{times}\ c_0\ x$

$\text{times}\ x\ c_1$

$\text{iszero}\ c_0$

$\text{iszero}\ c_2$



## ***Enriching the Calculus***

- We can add **constants** and **built-in primitives** to enrich  $\lambda$ -calculus. For example, we can add boolean and arithmetic constants and primitives (e.g. true, false, if, zero, succ, iszero, pred) into an enriched language we call  $\lambda\text{NB}$ :
- Example:
  - $\lambda x. \text{succ} (\text{succ } x) \in \lambda\text{NB}$
  - $\lambda x. \text{true} \in \lambda\text{NB}$

# Recursion

- Some terms go into a loop and do not have normal form.

Example:

$$\begin{aligned} & (\lambda x. x x) (\lambda x. x x) \\ \rightarrow & (\lambda x. x x) (\lambda x. x x) \\ \rightarrow & \dots \end{aligned}$$

- However, others have an interesting property

$$\text{fix} = \lambda f. (\lambda x. f (\lambda y. x x y)) (\lambda x. f (\lambda y. x x y))$$

which returns a fix-point for a given functional.

Given  $x = h x$   
 $= \text{fix } h$

*x is fix-point of h*

That is:  $\text{fix } h \rightarrow h (\text{fix } h) \rightarrow h (h (\text{fix } h)) \rightarrow \dots$


## ***Example - Factorial***

- We can define factorial as:

$\text{fact} = \lambda n. \text{if } (n \leq 1) \text{ then } 1 \text{ else times } n \text{ (fact (pred } n))$

$= (\lambda h. \lambda n. \text{if } (n \leq 1) \text{ then } 1 \text{ else times } n \text{ (h (pred } n))) \text{ fact}$

$= \text{fix } (\lambda h. \lambda n. \text{if } (n \leq 1) \text{ then } 1 \text{ else times } n \text{ (h (pred } n)))$



## ***Example - Factorial***

- Recall:  
 $\text{fact} = \text{fix } (\lambda h. \lambda n. \text{if } (n \leq 1) \text{ then } 1 \text{ else times } n \text{ (h (pred n))})$
- Let  $g = (\lambda h. \lambda n. \text{if } (n \leq 1) \text{ then } 1 \text{ else times } n \text{ (h (pred n))})$

Example reduction:

$$\begin{aligned} \text{fact } 3 &= \text{fix } g \ 3 \\ &= g \ (\text{fix } g) \ 3 \\ &= \text{times } 3 \ ((\text{fix } g) \ (\text{pred } 3)) \\ &= \text{times } 3 \ (g \ (\text{fix } g) \ 2) \\ &= \text{times } 3 \ (\text{times } 2 \ ((\text{fix } g) \ (\text{pred } 2))) \\ &= \text{times } 3 \ (\text{times } 2 \ (g \ (\text{fix } g) \ 1)) \\ &= \text{times } 3 \ (\text{times } 2 \ 1) \\ &= 6 \end{aligned}$$

## *Alternative using Let Binding*

- Enriched lambda calculus with explicit recursion

$\text{let}(x\# \text{exp1 exp2}) \longrightarrow \begin{array}{l} \text{local } x \text{ in} \\ \quad x = \text{exp1} \\ \quad \text{exp2} \\ \text{end} \end{array}$

scope of  $x$  is both  $\text{exp1}$  and  $\text{exp2}$

Example :  $\text{let (fact } \# \lambda n. n. \text{ if } (n \leq 1) \text{ then } 1 \text{ else times } n \text{ (fact (pred } n)) \text{ )}$   
in (fact 5)

# ***Boolean-Enriched Lambda Calculus***

- Term:

$t ::=$	terms
$x$	variable
$\lambda x.t$	abstraction
$t\ t$	application
true	constant true
false	constant false
if $t$ then $t$ else $t$	conditional

- Value:

$v ::=$	value
$\lambda x.t$	abstraction value
true	true value
false	false value

## *Key Ideas*

- Exact typing impossible.

if <long and tricky expr> then true else ( $\lambda x.x$ )

- Need to introduce function type, but need argument and result types.

if true then ( $\lambda x.\text{true}$ ) else ( $\lambda x.x$ )



# Simple Types

- The set of simple types over the type Bool is generated by the following grammar:
- $T ::=$ 
  - Bool                      type of booleans
  - $T \rightarrow T$                 type of functions
- $\rightarrow$  is right-associative:

$T_1 \rightarrow T_2 \rightarrow T_3$         denotes         $T_1 \rightarrow (T_2 \rightarrow T_3)$



## ***Implicit or Explicit Typing***

- Languages in which the programmer declares all types are called *explicitly typed*. Languages where a typechecker infers (almost) all types is called *implicitly typed*.
- Explicitly-typed languages places onus on programmer but are usually better documented. Also, compile-time analysis is simplified.

# *Explicitly Typed Lambda Calculus*

- $t ::=$  terms
  - ...
  - $\lambda x : T. t$  abstraction
  - ...
- $v ::=$  value
  - $\lambda x : T. t$  abstraction value
  - ...
- $T ::=$  types
  - Bool type of booleans
  - $T \rightarrow T$  type of functions

## ***Examples***

true

$\lambda x:\text{Bool} . x$

$(\lambda x:\text{Bool} . x) \text{ true}$

if false then  $(\lambda x:\text{Bool} . \text{True})$  else  $(\lambda x:\text{Bool} . x)$

# Erasure

- The erasure of a simply typed term  $t$  is defined as:

$$\begin{aligned}\text{erase}(x) &= x \\ \text{erase}(\lambda x : \mathbf{T}.t) &= \lambda x. \text{erase}(t) \\ \text{erase}(t_1 t_2) &= \text{erase}(t_1) \text{erase}(t_2)\end{aligned}$$

- A term  $m$  in the untyped lambda calculus is said to be *typable* in  $\lambda_{\rightarrow}$  (simply typed  $\lambda$ -calculus) if there are some simply typed term  $t$ , type  $T$  and context  $\Gamma$  such that:

$$\text{erase}(t)=m \wedge \Gamma \vdash t : T$$

## *Typing Rule for Functions*

- First attempt:

$$\frac{t_2 : T_2}{\lambda x:T_1. t_2 : T_1 \rightarrow T_2}$$

- But  $t_2:T_2$  can assume that  $x$  has type  $T_1$

## *Need for Type Assumptions*

- Typing relation becomes ternary

$$\frac{x:T_1 \vdash t_2 : T_2}{\lambda x:T_1. t_2 : T_1 \rightarrow T_2}$$

- For nested functions, we may need several assumptions.

# Typing Context

- A *typing context* is a finite map from *variables to their types*.
- Examples:

$x : \text{Bool}$

$x : \text{Bool}, y : \text{Bool} \rightarrow \text{Bool}, z : (\text{Bool} \rightarrow \text{Bool}) \rightarrow \text{Bool}$

## *Type Rule for Abstraction*

Shall use  $\Gamma$  to denote typing context.

$$\frac{\Gamma, x:T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x:T_1. t_2 : T_1 \rightarrow T_2} \quad (\text{T-Abs})$$



## *Other Type Rules*

- Variable

$$\frac{x:T \in \Gamma}{\Gamma \vdash x : T} \quad (\text{T-Var})$$

- Application

$$\frac{\Gamma \vdash t_1 : T_1 \rightarrow T_2 \quad \Gamma \vdash t_2 : T_1}{\Gamma \vdash t_1 t_2 : T_2} \quad (\text{T-App})$$

- Boolean Terms.

# Typing Rules

True : Bool (T-true)

False : Bool (T-false)

0 : Nat (T-Zero)

$$\frac{t_1:\text{Bool} \quad t_2:T \quad t_3:T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \quad (\text{T-If})$$
$$\frac{t : \text{Nat}}{\text{succ } t : \text{Nat}} (\text{T-Succ})$$
$$\frac{t : \text{Nat}}{\text{pred } t : \text{Nat}} (\text{T-Pred})$$
$$\frac{t : \text{Nat}}{\text{iszero } t : \text{Bool}} (\text{T-Iszero})$$

## *Example of Typing Derivation*

$$x : \text{Bool} \in x : \text{Bool}$$
$$\frac{}{x : \text{Bool} \vdash x : \text{Bool}} \quad (\text{T-Var})$$
$$\frac{}{\vdash (\lambda x : \text{Bool}. x) : \text{Bool} \rightarrow \text{Bool}} \quad (\text{T-Abs})$$
$$\frac{}{\vdash \text{true} : \text{Bool}} \quad (\text{T-True})$$
$$\frac{}{\vdash (\lambda x : \text{Bool}. x) \text{ true} : \text{Bool}} \quad (\text{T-App})$$

## ***Canonical Forms***

- If  $v$  is a value of type  $\text{Bool}$ , then  $v$  is either `true` or `false`.
- If  $v$  is a value of type  $T_1 \rightarrow T_2$ , then  $v = \lambda x:T_1. t_2$  where  $t:T_2$

## ***Progress***

Suppose  $t$  is a closed well-typed term (that is  $\{\} \vdash t : T$  for some  $T$ ).

Then either  $t$  is a value or else there is some  $t'$  such that  $t \rightarrow t'$ .

## ***Preservation of Types (under Substitution)***

If  $\Gamma, x:S \vdash t : T$  and  $\Gamma \vdash s : S$

then  $\Gamma \vdash [x \mapsto s]t : T$

## ***Preservation of Types (under reduction)***

If  $\Gamma \vdash t : T$  and  $t \rightarrow t'$

then  $\Gamma \vdash t' : T$

## ***Motivation for Typing***

- Evaluation of a term either results in a *value* or *gets stuck*!
- Typing can *prove* that an expression cannot get stuck.
- Typing is *static* and can be checked at compile-time.



## Normal Form

A term  $t$  is a *normal form* if there is no  $t'$  such that  $t \rightarrow t'$ .

The multi-step evaluation relation  $\rightarrow^*$  is the reflexive, transitive closure of one-step relation.

pred (succ(pred 0))

$\rightarrow$

pred (succ 0)

$\rightarrow$

0

pred (succ(pred 0))

$\rightarrow^*$

0

# Stuckness

Evaluation may fail to reach a value:

succ (if true then false else true)

$\rightarrow$

succ (false)

$\nrightarrow$

A term is *stuck* if it is a normal form but not a value.

Stuckness is a way to characterize *runtime errors*.

## ***Safety = Progress + Preservation***

- Progress : A **well-typed** term is not stuck. Either it is a value, or it can take a step according to the evaluation rules.

Suppose  $t$  is a well-typed term (that is  $t:T$  for some  $T$ ).  
Then either  $t$  is a value or else there is some  $t'$  with  $t \rightarrow t'$

## ***Safety = Progress + Preservation***

- Preservation : If a well-typed term takes a step of evaluation, then the resulting term is also well-typed.

If  $t:T \wedge t \rightarrow t'$  then  $t':T$  .