

**Mami uitându-se cu mine la curs**



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# Course 5

# Pumping Lemma

- Not all languages are regular
- How to decide if a language is regular or not?
- Idea: pump symbols

Example:  $L = \{0^n 1^n \mid n \geq 0\}$

**Theorem:** (Pumping lemma, Bar-Hillel)

Let  $L$  be a regular language.  $\exists p \in \mathbb{N}$ , such that if  $w \in L$  with  $|w| > p$ , then

$w = xyz$ , where  $0 < |y| \leq p$

and

$xy^iz \in L, \forall i \geq 0$



# Proof

$L$  regular  $\Rightarrow \exists M = (Q, \Sigma, \delta, q_0, F)$  such that  $L = L(M)$

Let  $|Q| = p$

If  $w \in L(M)$ :  $(q_0, w) \xrightarrow{*} (q_f, \epsilon)$ ,  $q_f \in F$  } process at least  $p+1$  symbols  
and }  
 $|w| > p$  }  $p$  states

$\Rightarrow \exists q_1$  that appear in at least 2 configurations

$(q_0, xyz) \xrightarrow{*} (q_1, yz) \xrightarrow{*} (q_1, z) \xrightarrow{*} (q_f, \epsilon)$ ,  $q_f \in F \Rightarrow 0 \leq |y| \leq p$

# Proof (cont)

$$\begin{aligned}(q_0, xy^iz) & \vdash^* (q_1, y^iz) \\ & \vdash^* (q_1, y^{i-1}z) \\ & \vdash^* \dots \\ & \vdash^* (q_1, yz) \\ & \vdash^* (q_1, z) \\ & \vdash^* (q_f, \varepsilon), q_f \in F\end{aligned}$$

So, if  $w=xyz \in L$  then  $xy^iz \in L$ , for all  $i>0$

If  $i=0$ :  $(q_0, xz) \vdash^* (q_1, z) \vdash^* (q_f, \varepsilon), q_f \in F$

*Example:*  $L = \{0^n 1^n \mid n \geq 0\}$

Suppose  $L$  is regular  $\Rightarrow w = xyz = 0^n 1^n$

Consider all possible decomposition  $\Rightarrow$

Case 1.  $y = 0^k$

$$xyz = 0^{n-k} 0^k 1^n; xy^i z = 0^{n-k} 0^{ik} 1^n \notin L$$

Case 2.  $y = 1^k$

$$xyz = 0^n 1^k 1^{n-k}; xy^i z = 0^n 1^{ik} 1^{n-k} \notin L$$

Case 3.  $y = 0^k 1^l$

$$xyz = 0^{n-k} 0^k 1^l 1^{n-l}; xy^i z = 0^{n-k} (0^k 1^l)^i 1^{n-l} \notin L$$

Case 4.  $y = 0^k 1^K$

$$xyz = 0^{n-k} 0^k 1^K 1^{n-k}; xy^i z = 0^{n-k} 0^k 1^K 0^k 1^K \dots 1^{n-l} \notin L$$

$\Rightarrow L$  is not regular



# Context free grammars (cfg)

# Context free grammar (cfg)

- Productions of the form:  $A \rightarrow \alpha$ ,  $A \in N$ ,  $\alpha \in (N \cup \Sigma)^*$
- More powerful
- Can model programming language:  
 $G = (N, \Sigma, P, S)$  s.t.  $L(G) = \text{programming language}$

# Syntax tree

**Definition:** A syntax tree corresponding to a cfg  $G = (N, \Sigma, P, S)$  is a tree obtained in the following way:

1. Root is the starting symbol  $S$
2. Nodes  $\in N \cup \Sigma$ :
  1. Internal nodes  $\in N$
  2. Leaves  $\in \Sigma$
3. For a node  $A$  the descendants in order from left to right are  $X_1, X_2, \dots, X_n$  only if  $A \rightarrow X_1 X_2 \dots X_n \in P$

## Remarks:

- a) Parse tree = syntax tree – result of parsing (syntactic analysis)
- b) Derivation tree – condition 2.2 not satisfied
- c) Abstract syntax tree (AST)  $\neq$  syntax tree (semantic analysis)

# Syntax tree (cont)

***Property:*** In a cfg  $G = (N, \Sigma, P, S)$ ,  $w \in L(G)$  if and only if there exists a syntax tree with frontier  $w$ .

Proof: HW

Example:  $S \rightarrow aSbS \mid c$ ;  $w = aacbcabc$

### Leftmost derivations

$S \Rightarrow aSbS \Rightarrow aaSbSbS \Rightarrow aacbSbS$   
 $\Rightarrow aacbcS \Rightarrow aacbcabc$

### Rightmost derivations

$S \Rightarrow aSbS \Rightarrow aSbc \Rightarrow aaSbSbc$   
 $\Rightarrow aaSbcabc \Rightarrow aacbcabc$

**Definition:** A cfg  $G = (N, \Sigma, P, S)$  is ambiguous if for a  $w \in L(G)$  there exists 2 distinct syntax tree with frontier  $w$ .

Example:

# Parsing (syntax analysis) modeled with cfg:

cfg  $G = (N, \Sigma, P, S)$ :

- $N$  – nonterminal: syntactical constructions: declaration, statement, expression, a.s.o.
- $\Sigma$  – terminals; elements of the language: identifiers, constants, reserved words, operators, separators
- $P$  – syntactical rules – expressed in BNF – simple transformation
- $S$  – syntactical construct corresponding to program

THEN

Program syntactically correct  $\Leftrightarrow w \in L(G)$

# Equivalent transformation of cfg



- Unproductive **symbols**
- Inaccessible **symbols**
- $\epsilon$  - **productions**
- Single **productions**

1. Determine elements (symbols/ productions): Greedy alg
2. eliminate them: construct equivalent grammar

# Unproductive symbols

## Definition

A nonterminal  $A$  este *unproductive* in a cfg if does not generate any word:  $\{w \mid A \Rightarrow^* w, w \in \Sigma^*\} = \emptyset$ .

## **Algorithm 1: Elimination of unproductive symbols**

input:  $G = (N, \Sigma, P, S)$

output:  $G' = (N', \Sigma, P', S), L(G) = L(G')$

// idea: build  $N_0, N_1, \dots$  recursively (until saturation)

step 1:  $N_0 = \emptyset; i := 1;$

step 2:  $N_i = N_{i-1} \cup \{A \mid A \rightarrow \alpha \in P, \alpha \in (N_{i-1} \cup \Sigma)^*\}$

step 3: if  $N_i \neq N_{i-1}$  then  $i := i + 1$ ; goto step 2

else  $N' = N_i$

step 4: if  $S \notin N'$  then  $L(G) = \emptyset$

else  $P' = \{A \rightarrow \alpha \mid A \rightarrow \alpha \in P \text{ and } A \in N'\}$

# Example

$G = (\{S,A,B,C,D\}, \{a,b,c\}, P, S)$

P:  $S \rightarrow aA \mid aC$

$A \rightarrow AB$

$B \rightarrow b$

$C \rightarrow aC \mid CD$

$D \rightarrow b$

# Inaccessible symbols

## Definition

A symbol  $X \in N \cup \Sigma$  is *inaccessible* in a cfg if  $X$  does not appear in any sentential form:  $\forall S \Rightarrow^* \alpha, X \notin \alpha$

## **Algorithm 2: Elimination of inaccessible symbols**

input:  $G = (N, \Sigma, P, S)$

output:  $G' = (N', \Sigma', P', S)$ ,  $L(G) = L(G')$  and

$\forall X \in N \cup \Sigma \exists \alpha, \beta \in (N' \cup \Sigma')^*$  s.t.  $S \Rightarrow_{G'}^* \alpha X \beta$ .

step 1:  $V_0 = \{S\}$ ;  $i:=1$ ;

step 2:  $V_i = V_{i-1} \cup \{X \mid \exists A \rightarrow \alpha X \beta \in P, A \in V_{i-1}\}$

step 3: if  $V_i \neq V_{i-1}$  then  $i:=i+1$ ; goto step 2

else  $N' = N \cap V_i$

$\Sigma' = \Sigma \cap V_i$

$P' = \{A \rightarrow \alpha \mid A \rightarrow \alpha \in P, A \in N', \alpha \in (N \cup \Sigma)^*\}$

# Example

$G = (\{S,A,B,C,D\}, \{a,b,c,d\}, P, S)$

P:  $S \rightarrow aA \mid aC$

$A \rightarrow AB$

$B \rightarrow b$

$C \rightarrow aC \mid bCb$

$D \rightarrow bB \mid d$

# $\varepsilon$ -productions

## Algorithm 3: Elimination of $\varepsilon$ -productions

input: cfg  $G = (N, \Sigma, P, S)$

output: cfg  $G' = (N', \Sigma, P', S')$

step 1: construct  $\bar{N} = \{A \mid A \in N, A \Rightarrow^+ \varepsilon\}$

1.a.  $N_0 := \{A \mid A \rightarrow \varepsilon \in P\};$

$i := 1;$

1.b.  $N_i := N_{i-1} \cup \{A \mid A \rightarrow \alpha \in P, \alpha \in N_{i-1}^*\}$

1.c. **if**  $N_i \neq N_{i-1}$  **then**  $i := i + 1$ ; **goto** step 1.b

**else**  $\bar{N} = N_i$

$A \rightarrow BC$

$B \rightarrow \varepsilon$

$C \rightarrow \varepsilon$

## Definition

A cfg  $G = (N, \Sigma, P, S)$  is without  $\varepsilon$ -productions if

1.  $P \not\ni A \rightarrow \varepsilon$  ( $\varepsilon$ -productions)

OR

2.  $\exists S \rightarrow \varepsilon$  si  $S \notin \text{rhs}(p), \forall p \in P$

step 2: Let  $P'$  = set of productions built:

2.a. **if**  $A \rightarrow \alpha_0 B_1 \alpha_1 B_2 \alpha_2 \dots B_k \alpha_k \in P, k \geq 0$

**and** for  $i := 1, k$   $B_i \in \bar{N}$

**and**  $\alpha_j \notin \bar{N}, j := 0, k$

**then** add to  $P'$  all prod of the form

$A \rightarrow \alpha_0 X_1 \alpha_1 X_2 \alpha_2 \dots X_k \alpha_k$

where  $X_i$  is  $B_i$  or  $\varepsilon$  (not  $A \rightarrow \varepsilon$ )

2.b **if**  $S \in N'$  **then** add  $S'$  to  $N'$  and  $S' \rightarrow S \mid \varepsilon$  to  $P$

**else**  $N' := N; S' := S.$

# Example

$G = (\{S, A, B\}, \{a, b\}, P, S)$

P:  $S \rightarrow aA \mid aAbB$

$A \rightarrow aA \mid B$

$B \rightarrow bB \mid \epsilon$

# Single productions

## Definition

A production of the form  $A \rightarrow B$  is called single production or renaming rule.

### **Algorithm 4 : Elimination of single productions**

*Input:* cfg  $G$ , without  $\varepsilon$ -productions

*Output:*  $G'$  s.t.  $L(G) = L(G')$

For each  $A \in N$  build the set  $N_A = \{B \mid A \Rightarrow^* B\}$  :

1.a.  $N_0 := \{A\}$ ,  $i := 1$

1.b.  $N_i := N_{i-1} \cup \{C \mid B \rightarrow C \in P \text{ si } B \in N_{i-1}\}$

1.c. **if**  $N_i \neq N_{i-1}$  **then**  $i := i + 1$  **goto** 1.b.

**else**  $N_A := N_i$

$P'$ : **for** all  $A \in N$  **do**

**for** all  $B \in N_A$  **do**

**if**  $B \rightarrow \alpha \in P$  **and not** “single” **then**  $A \rightarrow \alpha \in P'$

$G' = (N, \Sigma, P', S)$



# Example

$G = (\{E, T, F\}, \{a, (, ), +, *\}, P, E)$

P:  $E \rightarrow E+T \mid T$

$T \rightarrow T*F \mid F$

$F \rightarrow (E) \mid a$

# Parsing

- Cfg  $G = (N, \Sigma, P, S)$  check if  $w \in L(G)$
- Construct parse tree
- How:
  1. Top-down vs. Bottom-up
  2. Recursive vs. linear

