

# Neural Networks (2021/22)

## Practical Assignment I: Perceptron Training

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The main topic of this assignment is the Rosenblatt perceptron algorithm. We apply it to randomized data and compare the results our theoretical findings (capacity of a hyperplane) in computer experiments.

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### Rosenblatt Perceptron Algorithm

For this systematic study of linear separability, write a program which can be used to

- a) ... generate artificial data sets containing  $P$  randomly generated  $N$ -dimensional feature vectors and binary labels:  $\mathbb{D} = \{\boldsymbol{\xi}^\mu, S^\mu\}_{\mu=1}^P$ . Here, the  $\boldsymbol{\xi}^\mu \in \mathbb{R}^N$  are vectors of independent random components  $\xi_j^\mu$  with mean zero and variance one. You can use, for instance, Gaussian components  $\xi_j^\mu \sim \mathcal{N}(0, 1)$ . The labels  $S^\mu$  are taken to be independent random numbers  $S^\mu = \pm 1$  with equal probability  $1/2$ .

- b) ... implement sequential perceptron training by cyclic representation of the  $P$  examples. At *time step*  $t = 1, 2, \dots$  present example  $\mu(t) = 1, 2, \dots, P, 1, 2, \dots$

This should be realized by using nested loops where the inner one runs from 1 to  $P$  and the outer loop counts the number  $n$  of *epochs*, i.e. *sweeps* through the data set  $\mathbb{D}$ . Limit the number of sweeps to  $n \leq n_{max}$  so that the total number of individual update steps will be at most  $n_{max}P$ .

- c) ... run the Rosenblatt algorithm for a generated data set  $\mathbb{D}$  with updates of the form

$$\boldsymbol{w}(t+1) = \begin{cases} \boldsymbol{w}(t) + \frac{1}{N} \boldsymbol{\xi}^{\mu(t)} S^{\mu(t)} & \text{if } E^{\mu(t)} \leq 0, \\ \boldsymbol{w}(t) & \text{else,} \end{cases}$$

where  $E^{\mu(t)} = \boldsymbol{w}(t) \cdot \boldsymbol{\xi}^{\mu(t)} S^{\mu(t)}$ . Initialize the weights as  $\boldsymbol{w}(0) = 0$ , but make sure that a training step is indeed performed for  $E^{\mu(t)} = 0$ .

The training should be performed until either a solution is found such that  $E^\nu > 0$  for all  $\nu$  or the maximum number of *sweeps*  $n_{max}$  is reached.

- d) ... repeat the training for several randomized data sets, e.g. by running (a–c) within yet another loop. For a given value of  $P$ , use a number  $n_D$  of independently generated sets  $\mathbb{D}$ . Determine the fraction  $Q_{l.s.}$  of successful runs as a function of  $\alpha = P/N$ , by repeating the experiment for different values of  $P$ . The result should resemble the probability  $P_{l.s.}(\alpha)$  that was derived in class, see lecture slides and full text lecture notes.

### Computer experiments and report:

Rules and recommendations concerning the content, style and submission of the report and/or the code will be announced in good time.

To study perceptron training, run your code **at least** for the following parameter settings:

$$N = 20 \text{ and } N = 40, \quad P = \alpha N \text{ with } \alpha = 0.75, 1.0, 1.25, \dots 3.0, \quad n_D \sim 50, \quad n_{max} \sim 100.$$

As the key result, obtain  $Q_{l.s.}$  as a function of  $\alpha$  and display it as a graph in an appropriate fashion (caption text, axis labels, data points marked by symbols). Discuss your result in words, compare with the probability  $P_{l.s.}(\alpha)$  that was derived in class. If your results differ, discuss potential reasons for the deviations and weaknesses of the computer experiments.

### Remark:

Your actual choice of parameters will – of course – depend on your implementation and on available computing power. If (CPU-)time allows, improve the quality of your results by setting  $N, n_D$ , and/or  $n_{max}$  as large as possible.

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### Possible extensions (“bonus”):

The following points are only a few example suggestions, ideas of your own are very much encouraged (please discuss them with the TA in advance).

- Observe the behavior of  $Q_{l.s.}(\alpha)$  for different system sizes  $N$ . Does it approach a step function with increasing  $N$ , as predicted by the theory? To this end, repeat the above experiments for several larger values of  $N$ . For this study, you might want to consider a limited range of  $\alpha$ -values, e.g.  $1.5 \leq \alpha \leq 2.5$ . and perhaps consider a smaller increment of  $\alpha$  in this interval.
- Determine the embedding strenghts  $x^\mu$  (or formulate the algorithm in terms of the  $x^\mu$ ) to obtain a histogram which reflects their frequency in the successful cases upon convergence of the training.
- Consider a non-zero value of  $c$  as introduced in class for updates when  $E^\mu \leq c$ . Does the choice of  $c$  influence the results in terms of  $Q_{l.s.}$ ?
- Modify the algorithm by adding a clamped input to all feature vectors in order to find and count inhomogeneous perceptron solutions as well. Does the number of successful training processes change significantly?