

Secure control systems: An overview

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Outline

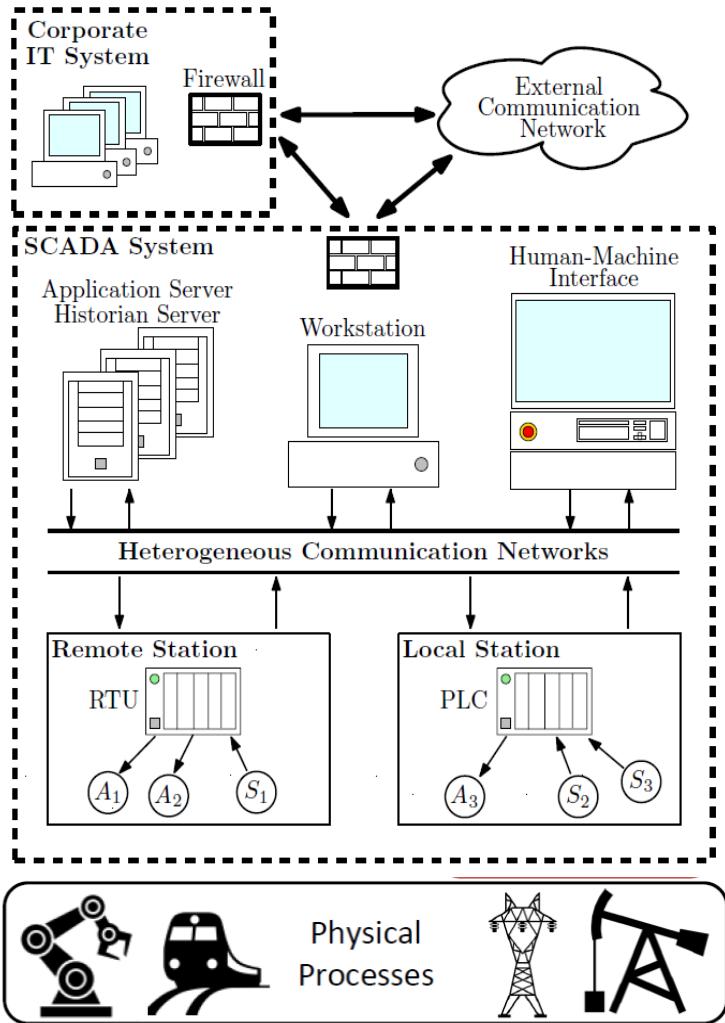
- Motivation
- Risk management
 - Scenario characterisation
 - Risk Analysis
 - Risk Mitigation
- Secure control: from analysis to design
 - Problem formulation
 - Metrics in Fault-Tolerant Control
 - Metrics in Secure Control
 - Computation and design problem



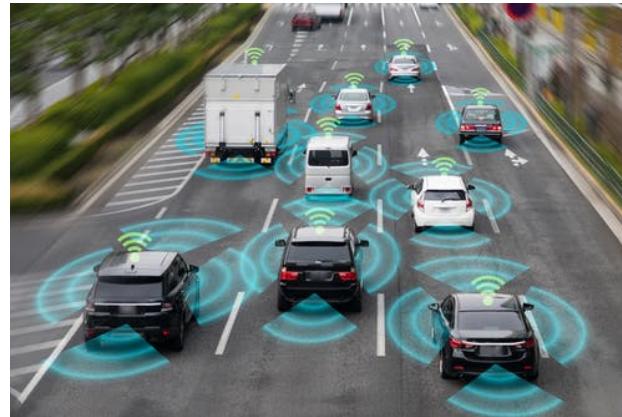
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Cyber-Physical Systems

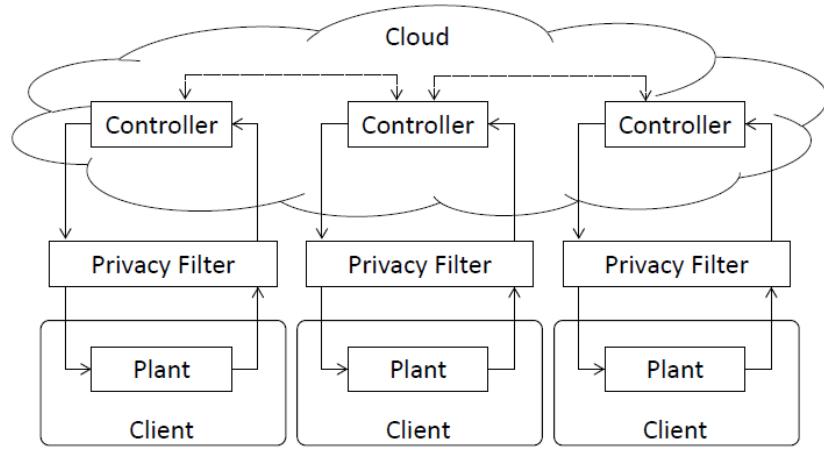
Industrial Control System (ICS)



Autonomous vehicles



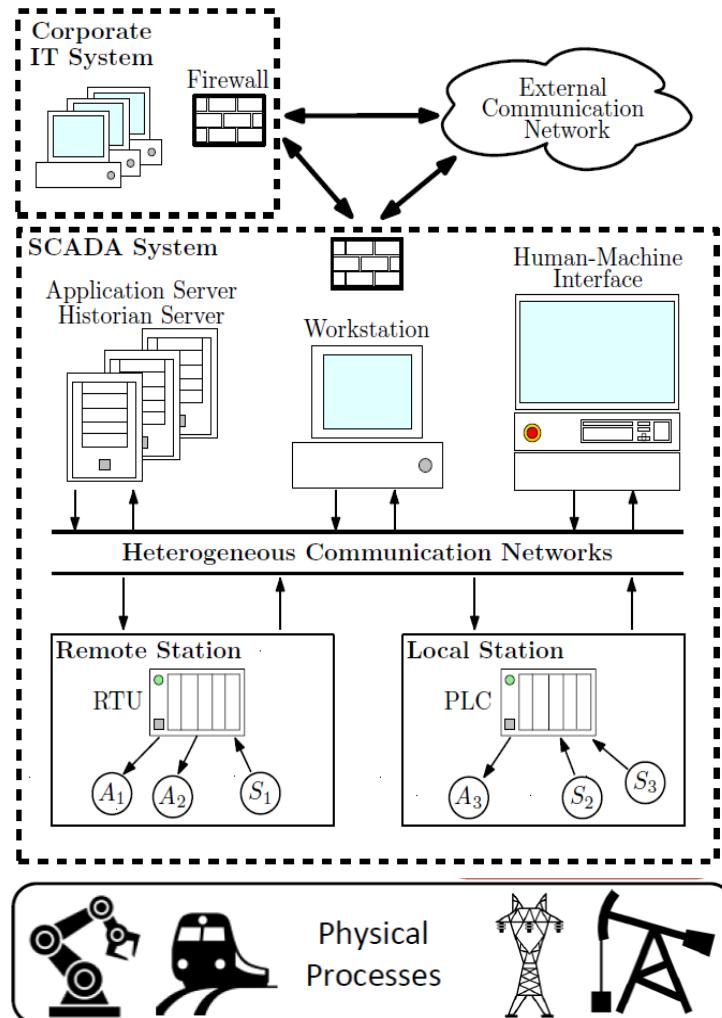
Cloud-based Control and IoT



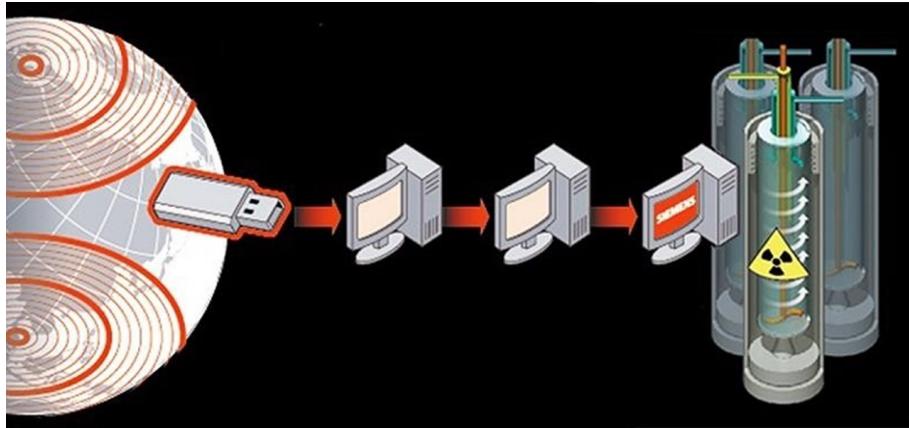


Typical ICS Vulnerabilities

- Computers in control center do not have adequate protection
 - No anti-virus or intrusion detection, USB-ports accessible
- Communication links lack basic security features
 - No encryption or authentication
- Zero-day vulnerabilities



Example 1: Stuxnet (2010)



https://en.wikipedia.org/wiki/Zero_Days

Synopsis

Zero Days covers the phenomenon surrounding the Stuxnet computer virus and the development of the malware software known as "Olympic Games." It concludes with discussion over follow-up cyber plan Nitro Zeus and the Iran Nuclear Deal.

Zero Days



Theatrical release poster

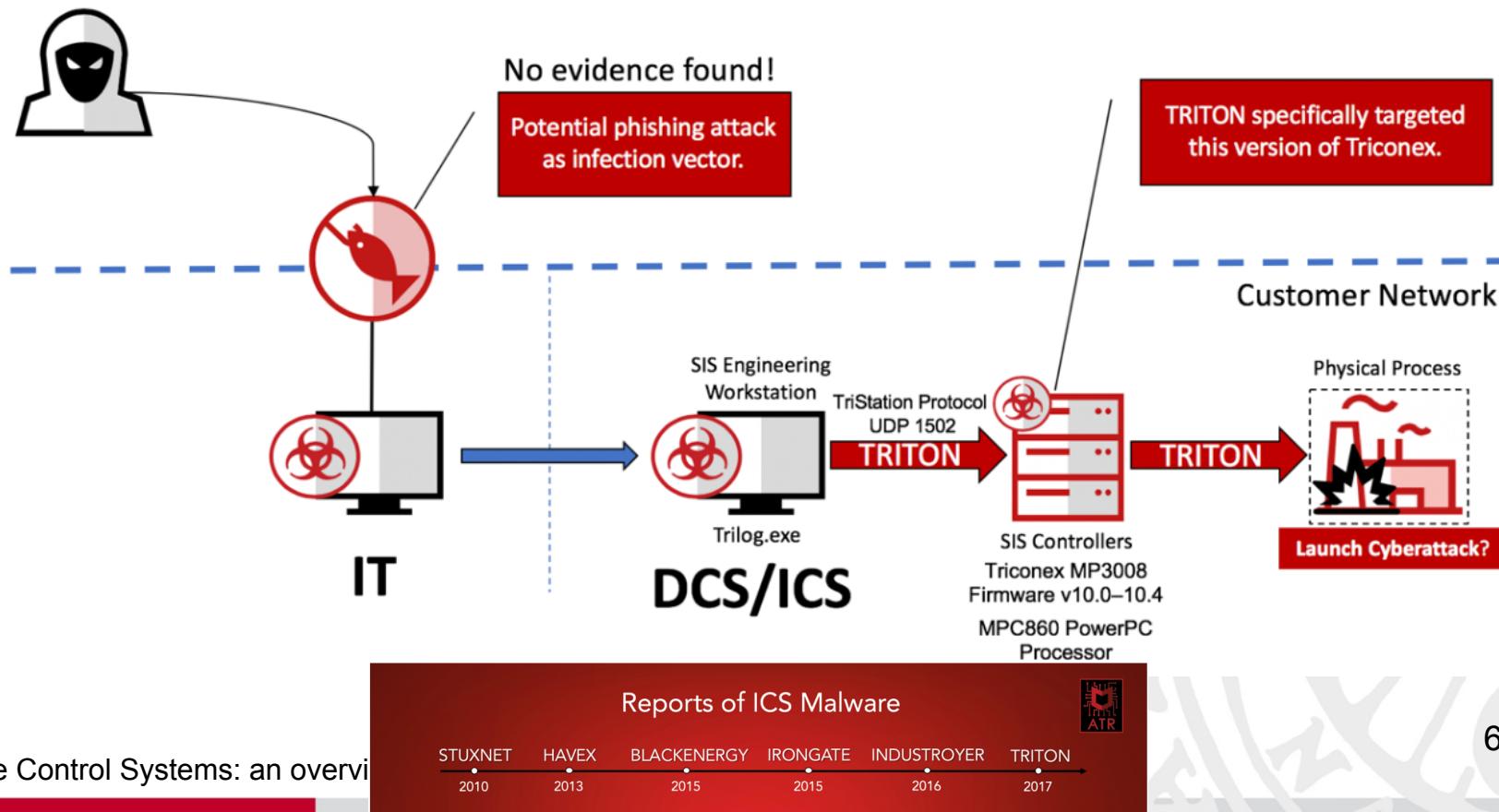
Directed by	Alex Gibney
Written by	Alex Gibney
Distributed by	Magnolia Pictures
Release date	February 11, 2016 (Berlin) July 8, 2016 (US)
Running time	116 minutes
Country	United States
Language	English

Example 2: Triton Malware (2017)

Triton framework

Triton targeted the Triconex safety controller, distributed by Schneider Electric. Triconex safety controllers are used in 18,000 plants (nuclear, oil and gas refineries, chemical plants, etc.), according to the company. Attacks on SIS require a high level of process comprehension (by analyzing acquired documents, diagrams, device configurations, and network traffic). SIS are the last protection against a physical incident.

The attackers gained access to the network probably via spear phishing, according to an investigation. After the initial infection, the attackers moved onto the main network to reach the ICS network and target SIS controllers.



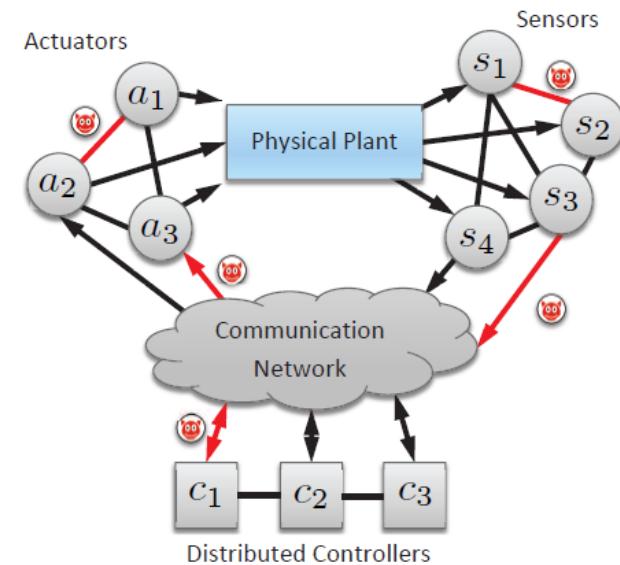
Cyber-Secure Control

Networked control systems

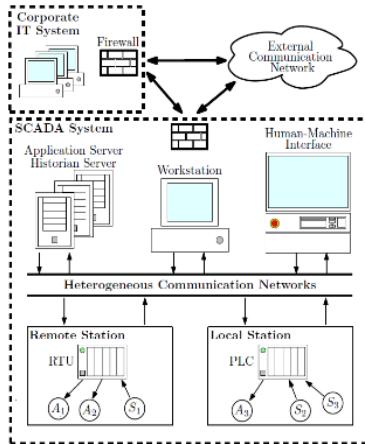
- are being **integrated with business/corporate networks**
- have many potential points of **cyber-physical attack**

Need tools and strategies to understand and mitigate attacks:

- **Which threats** should we care about?
- **What impact** can we expect from attacks?
- **Which resources** should we **protect**, and how?



Is More Than IT Security and Fault Tolerance Needed?



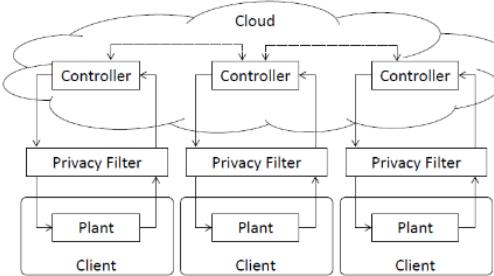
- Clearly IT security and fault tolerance are needed:
Authentication, encryption, firewalls, error correction, etc.

But not sufficient...

- **Interaction between physical and cyber systems** make control systems different from normal IT systems
- **Can we trust** the interfaces and channels are really secured? (see **OpenSSL Heartbleed bug**...)



- **Malicious actions can enter anywhere** in the closed loop and cause harm
- **Malicious attackers** have an **intent**, as opposed to faults, and can act strategically



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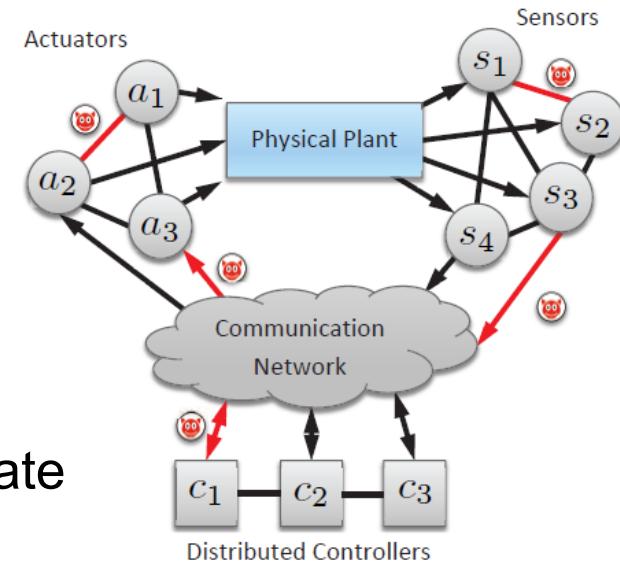
Cyber-Secure Control

Networked control systems

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- have many potential points of **cyber-physical attack**

Need tools and strategies to understand and mitigate attacks:

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-
- How to find answers: **Risk Management**



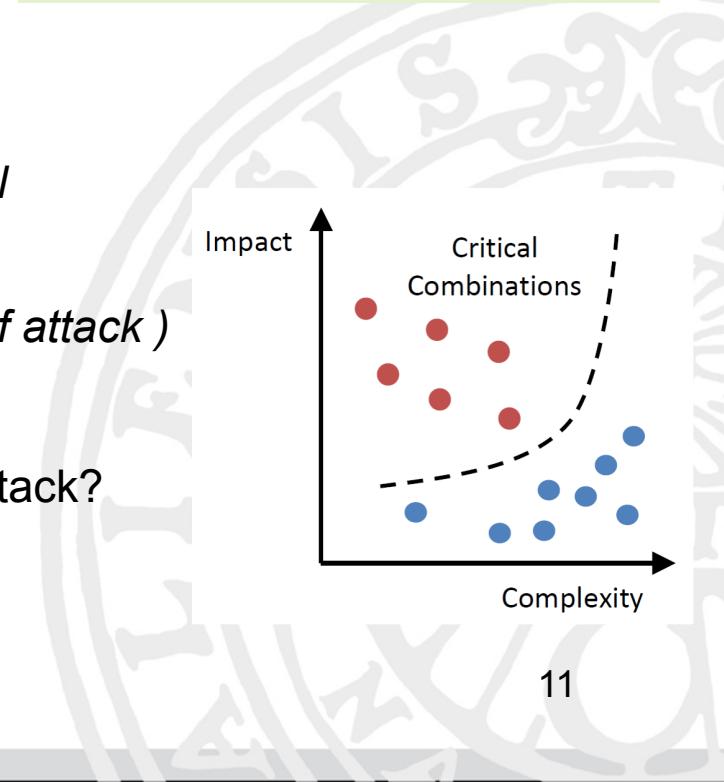
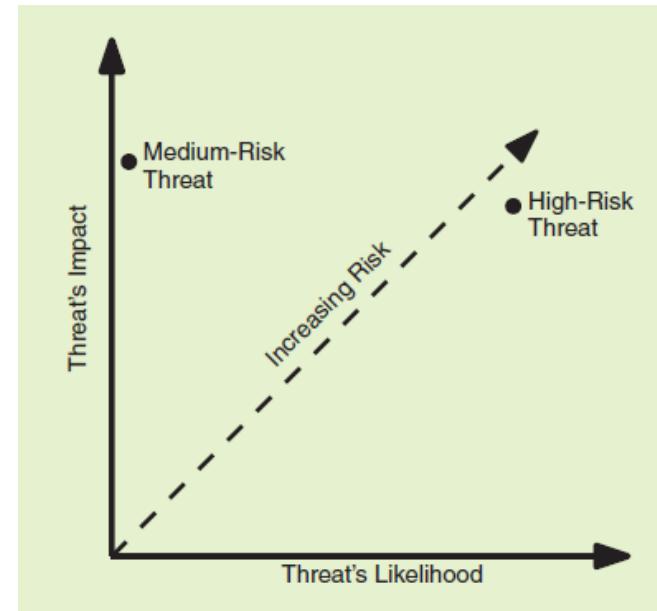
Defining Risk

Risk = (Scenario, Likelihood, Impact)

- **Scenario**
 - How to describe the system under attack?

- **Likelihood**
 - Interpretations:
 - Likelihood of attack in progress being successful (experts' assessment)*
 - Likelihood = 1*
 - ~1/effort to conduct attack (or ~1 / complexity of attack)*

- **Impact**
 - What are the cyber-physical consequences of an attack?



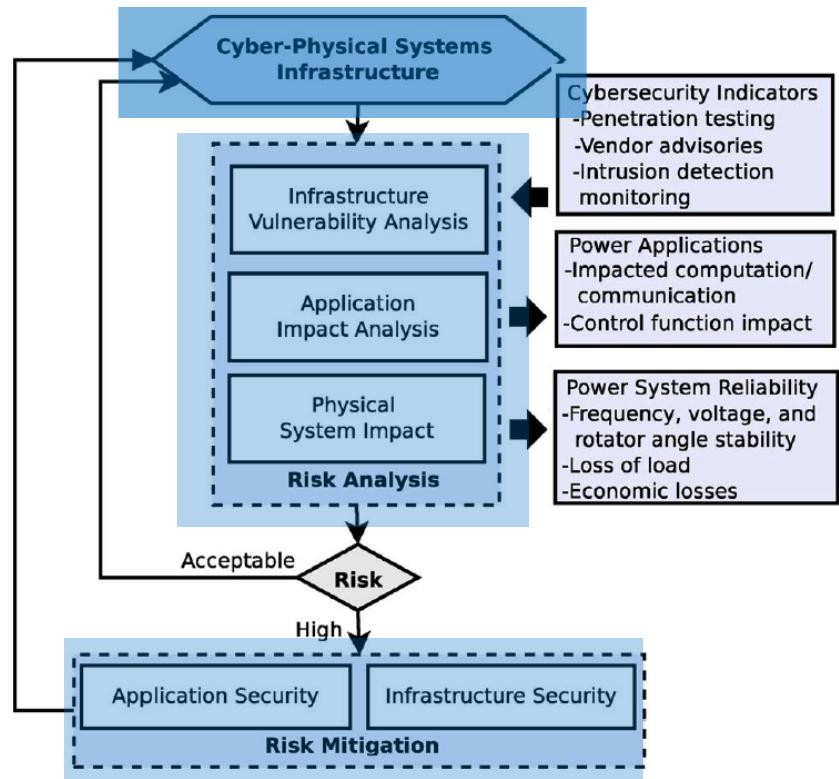
[Kaplan & Garrick, 1981], [Bishop, 2002]



Risk Management Cycle

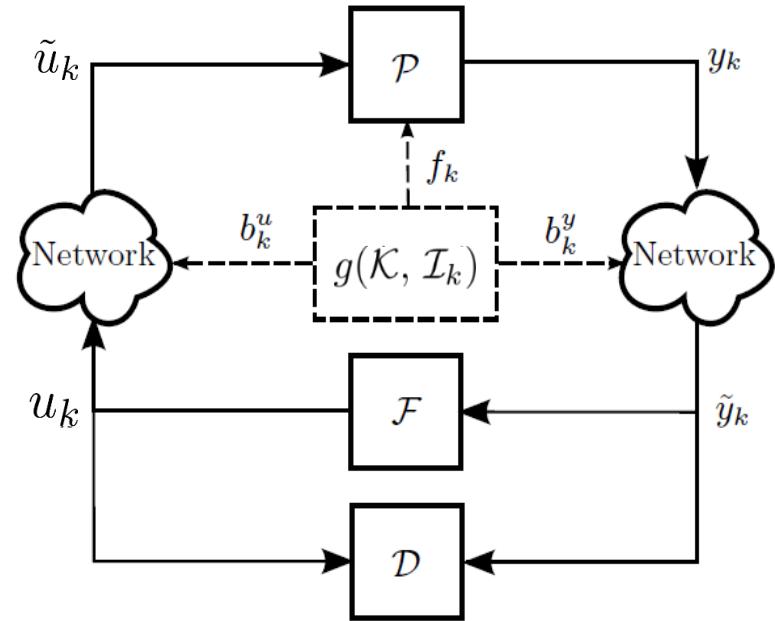
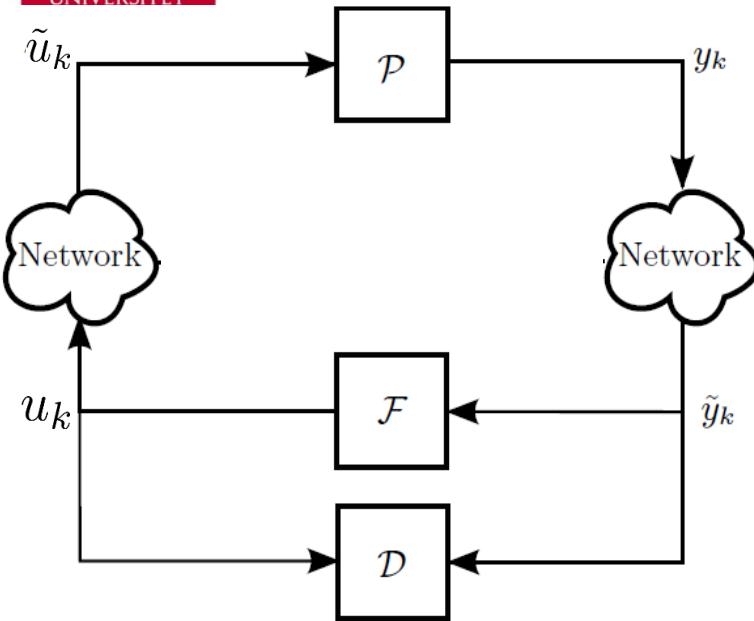
Main steps in risk management

- Scenario characterization
 - Models, Scenarios, Objectives
- Risk Analysis
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[Sridhar et al., Proc. IEEE, 2012]

Networked Control System under Attack

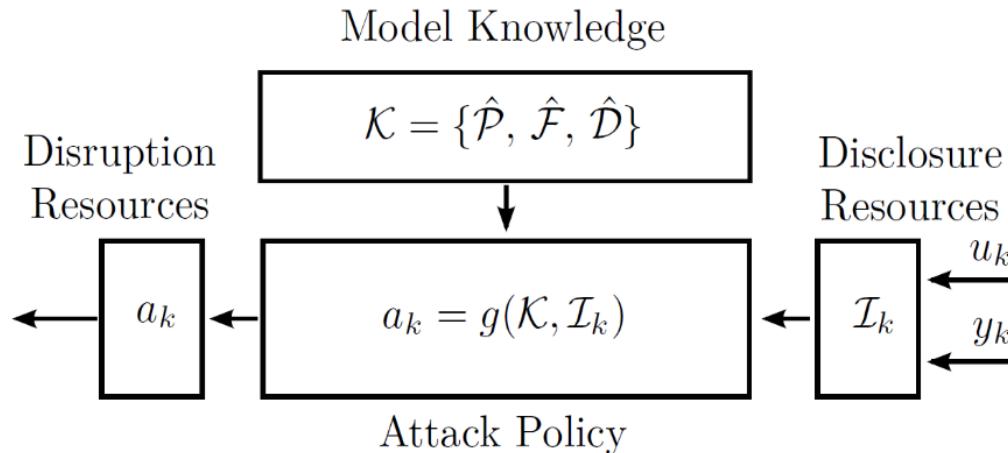


- Physical plant (\mathcal{P})
- Feedback controller (\mathcal{F})
- Anomaly detector (\mathcal{D})
- Disclosure Attacks

- Physical Attacks f_k
- Data Injection Attacks

$$\begin{aligned}\tilde{u}_k &= u_k + \Gamma^u b_k^u \\ \tilde{y}_k &= y_k + \Gamma^y b_k^y\end{aligned}$$

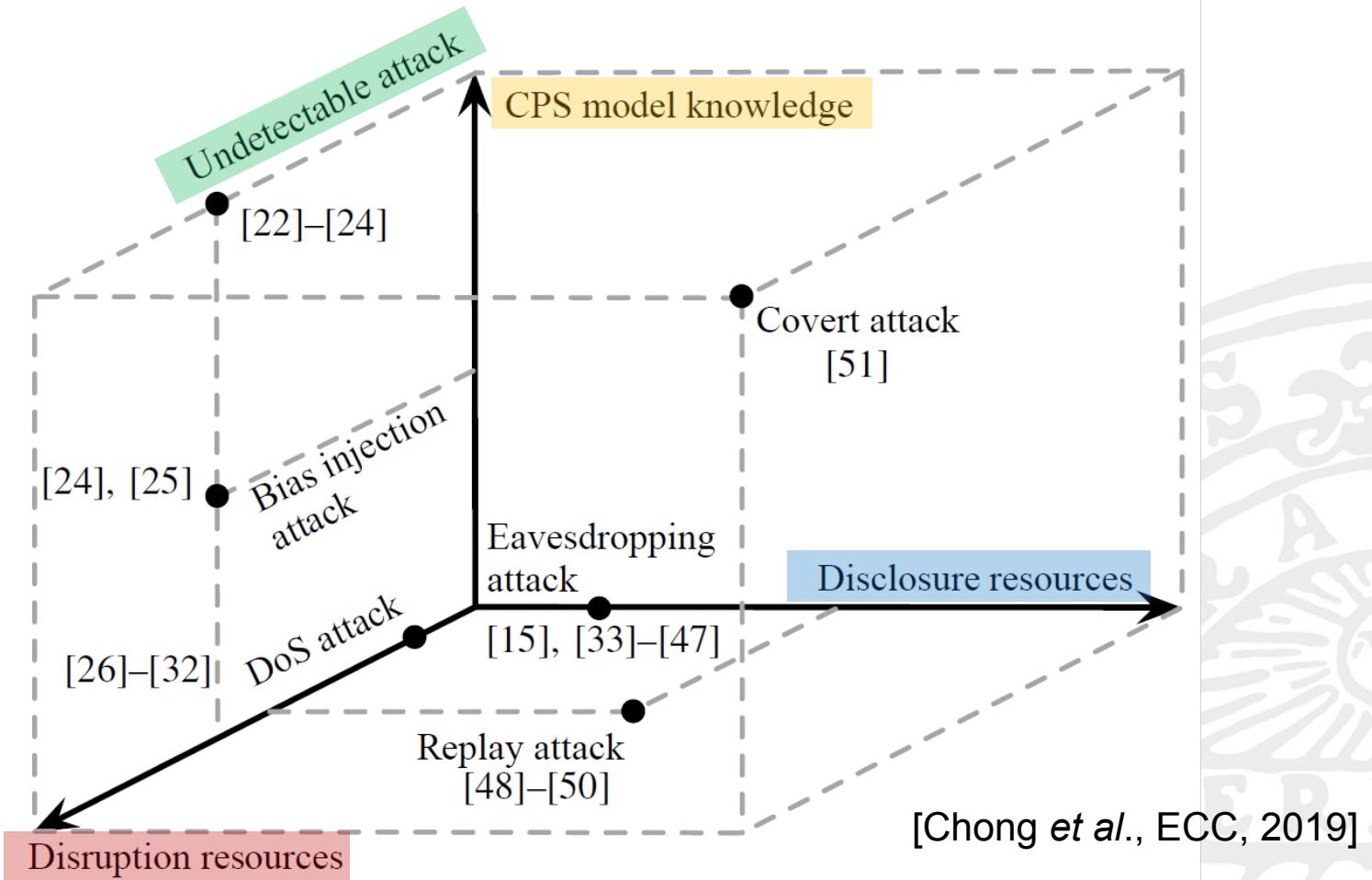
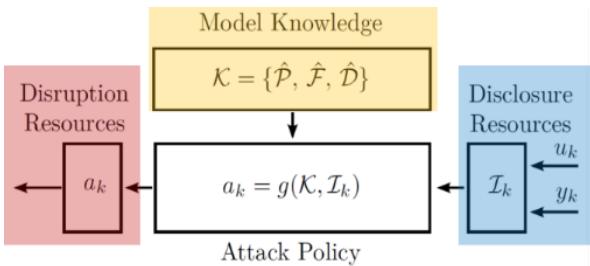
Adversary Model



- **Attack policy:** Goal of the attack? Destroy equipment, increase costs, *remain undetected*...
- **CPS model knowledge:** Adversary knows models of plant and controller? Possibility for stealthy attacks...
- **Disruption/disclosure resources:** Which channels can the adversary access?

[Teixeira *et al.*, Automatica, 2015]

Attack Space



Example: Undetectable Water

2 hacked actuators (u_1 and u_2 = disruption resources)

2 healthy sensors (y_1 and $y_2 \neq$ disruption or disclosure resources)

Can the controller/detector always detect the attack?

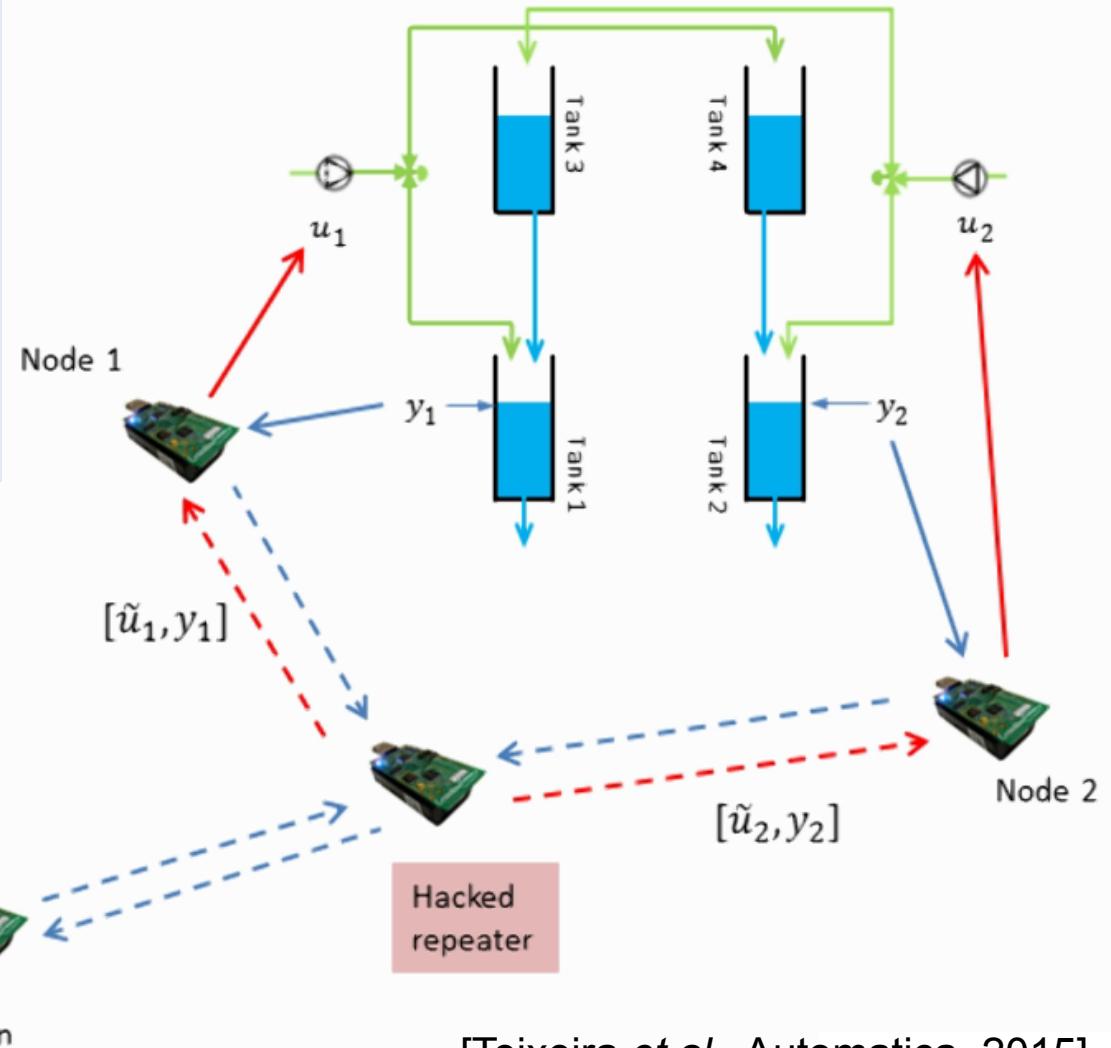


USB



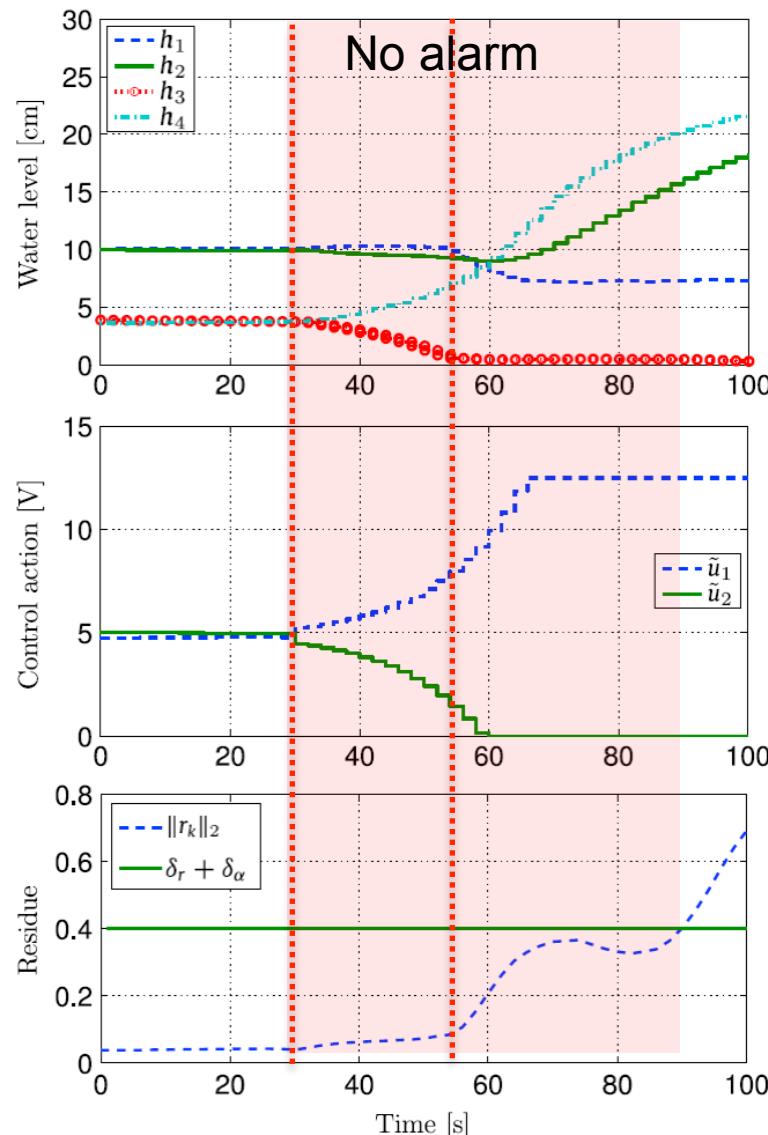
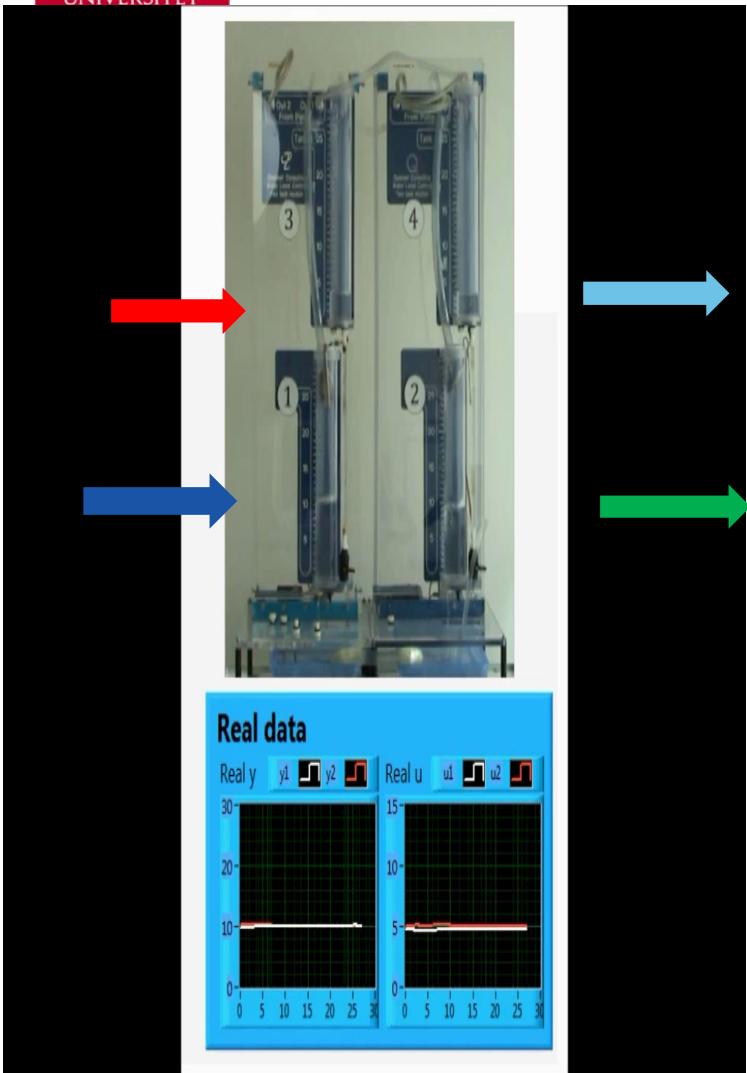
Base station

Centralized controller



[Teixeira et al., Automatica, 2015]

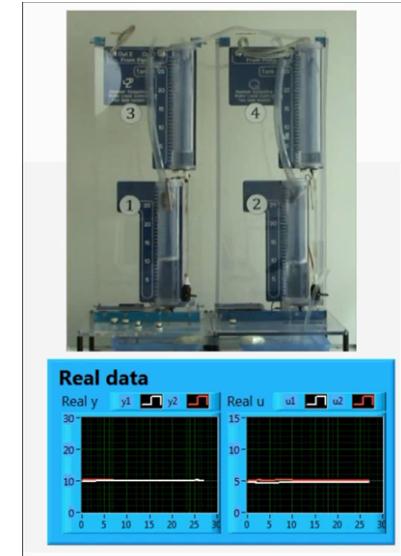
Undetectable Water Tank Attack [Movie]



Water Tank Model Analysis

- Transfer function matrix from attack to sensor signals

$$G_a(z) = C(zI - A)^{-1}B = \begin{pmatrix} \frac{0.0289}{z-0.8076} & \frac{(1.277z+1.182)\cdot 10^{-3}}{z^2-1.784z+0.7928} \\ \frac{(1.356z+1.24)\cdot 10^{-3}}{z^2-1.754z+0.7643} & \frac{0.02954}{z-0.8347} \end{pmatrix}$$



- Poles = {0.8076, 0.8347, 0.9464, 0.9498}
- Invariant zeros = {0.8675, 1.0362} \Rightarrow Non-minimum phase system
- Applied attack signal (small ϵ)

$$a(k) = 1.0362^k \begin{pmatrix} 0.2281\epsilon \\ -0.2281\epsilon \end{pmatrix}, \quad x_0 = (0 \quad 0 \quad -0.6521\epsilon \quad 0.6876\epsilon)^T$$

satisfies **zero dynamics** and is **masked by** system transient:

$$0 = y(k) = CA^k x_0 + (g_a * a)(k), \quad k \geq 0$$

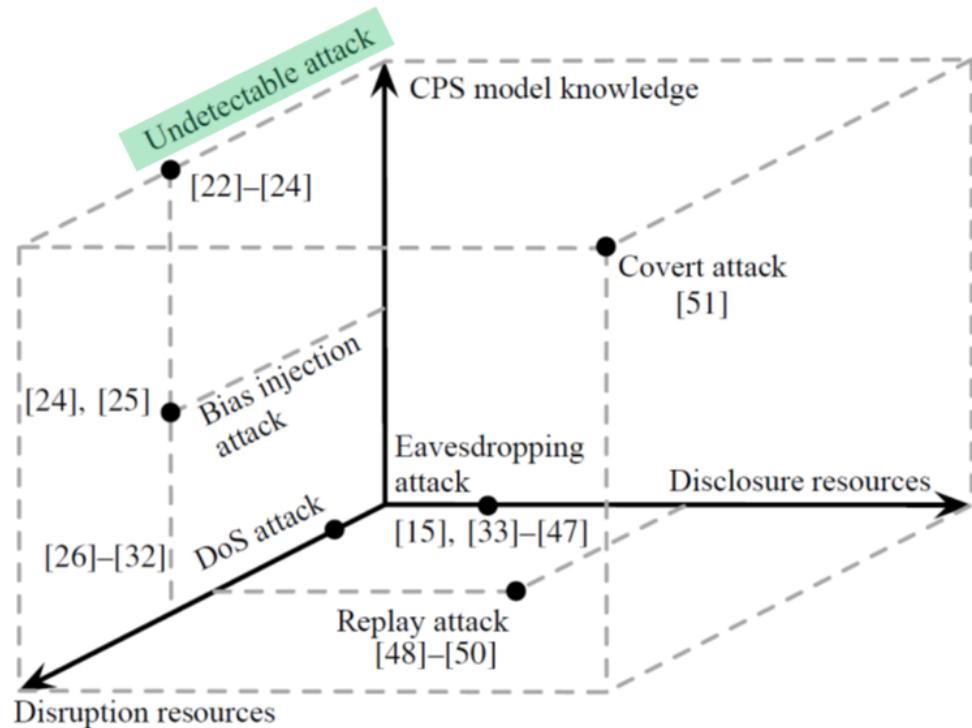
Undetectable Water Tank Attack

2 hacked actuators (u_1 and u_2 = disruption resources)

2 healthy sensors (y_1 and $y_2 \neq$ disruption or disclosure resources)

Can the controller/detector always detect the attack?

Not against an adversary with full CPS model knowledge



Undetectable Attacks and Invariant Zeros

- The Rosenbrock system matrix:

$$P(z) = \begin{bmatrix} A - zI & B_d & B_a \\ C & D_d & D_a \end{bmatrix}$$

Theorem 1: Attack signal $a(k) = z_0^k a_0$, $0 \neq a_0 \in \mathbb{C}^m$, $z_0 \in \mathbb{C}$, is *undetectable* iff there exists $x_0 \in \mathbb{C}^n$ and $d_0 \in \mathbb{C}^o$ such that

$$P(z_0) \begin{bmatrix} x_0 \\ d_0 \\ a_0 \end{bmatrix} = 0$$

- Routine invariant zero computation (MATLAB: tzero)

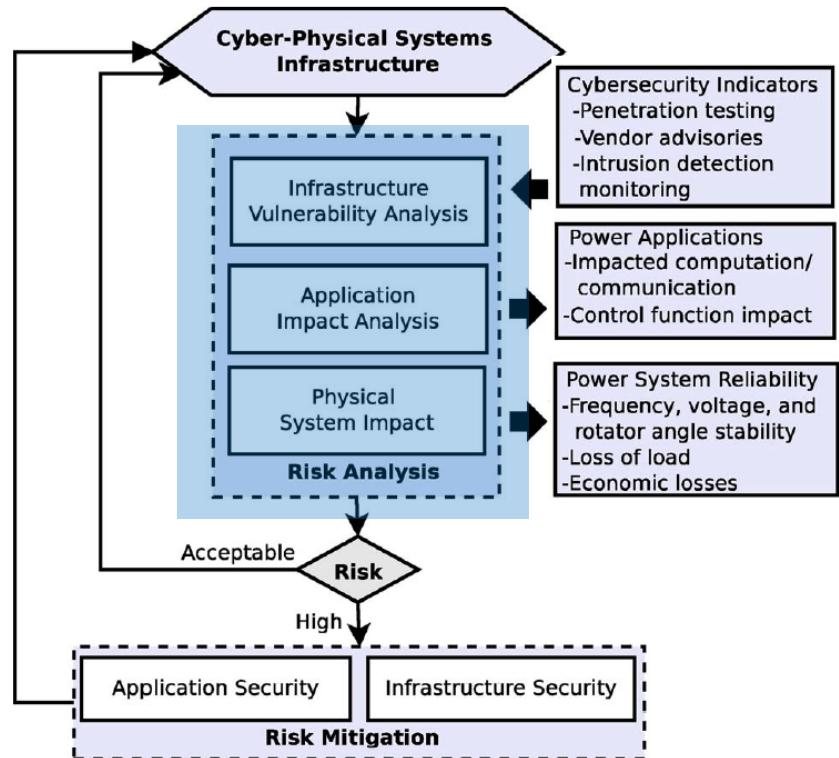
[Pasqualetti et al, TAC, 2013], [Sandberg and Teixeira, SoSCYPS, 2016]



Risk Management Cycle

Main steps in risk management

- Scenario characterization
 - Models, Scenarios, Objectives
- **Risk Analysis**
 - Likelihood Assessment
 - Impact Assessment
- Risk Mitigation
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[Sridhar et al., Proc. IEEE, 2012]

Tools for Likelihood Assessment: Security Index

$$\alpha_i := \min_{|z_0| \geq 1, x_0, d_0, a_0^i} \|a_0^i\|_0$$

subject to $P(z_0) \begin{bmatrix} x_0 \\ d_0 \\ a_0^i \end{bmatrix} = 0$

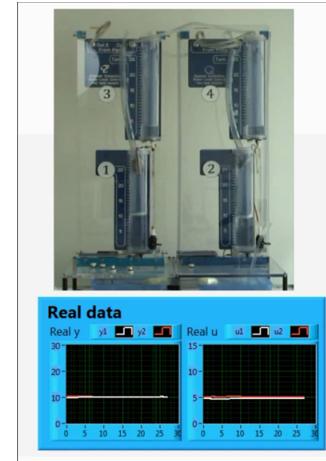
Notation: $\|a\|_0 := |\text{supp}(a)|$, a^i vector a with i -th element non-zero

Interpretation:

- [Attacker *persistently* targets signal component a_i (condition $|z_0| \geq 1$)]
- α_i is smallest number of attack signals that need to be simultaneously accessed to stage an **undetectable attack** against component a_i
- Estimate likelihood for attack against component i by $\sim 1/\alpha_i$
- Problem NP-hard, but easy when geometric multiplicities of zeros are 1

[Sandberg and Teixeira, SoSCYPS, 2016]

Security Index: Water Tank Example

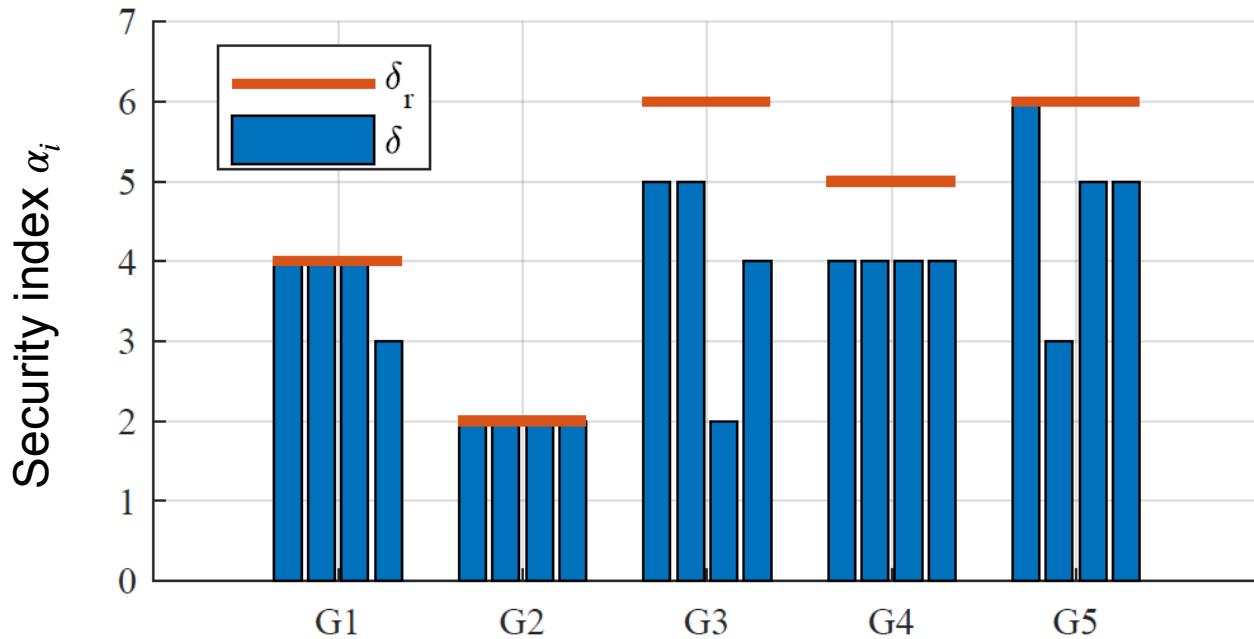
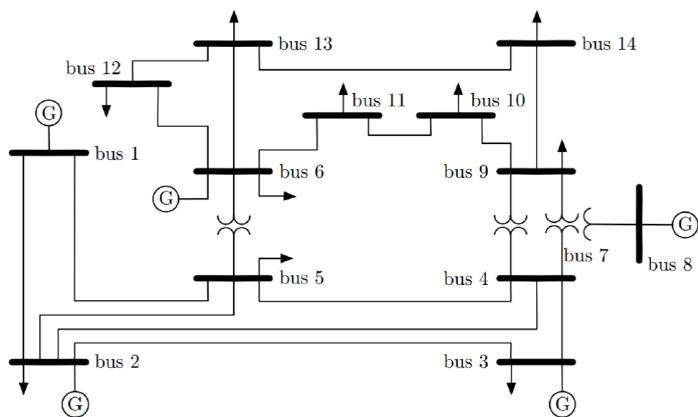


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- Invariant zeros = $\{0.8675, 1.0362\}$ \Rightarrow Non-minimum phase system
- Persistent undetectable attack: $a(k) = 1.0362^k \begin{pmatrix} 0.2281\epsilon \\ -0.2281\epsilon \end{pmatrix}$
- Only one signal satisfies α_i constraint!
 $\|a(k)\|_0 = 2 \Rightarrow \alpha_{1,2} = 2$

Security Index IEEE 14 Bus System

[Milosevic *et al.*, arXiv preprint, 2019]



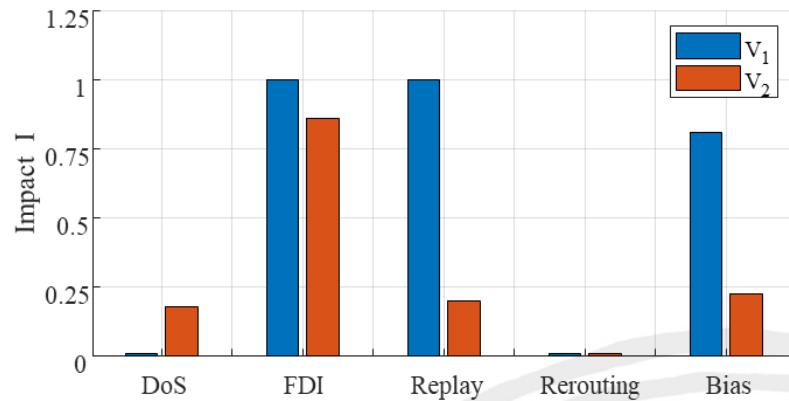
Tools for Impact Assessment: Constrained Reachability

System model:

$$x(k+1) = Ax(k) + B_a a(k)$$

$$y(k) = Cx(k) + D_a a(k)$$

Consider trajectories from $k=0, \dots, N$.



Impact assessment problem:

$$\text{maximize}_a \quad \|x\|_\infty$$

subject to $a \in \{\text{DoS, Data Injection, Re-routing, Replay, Bias}\}$ attack

y generates no alarm in $\{\chi^2, \text{CUSUM, MEWMA}\}$ detector

Theorem 3:

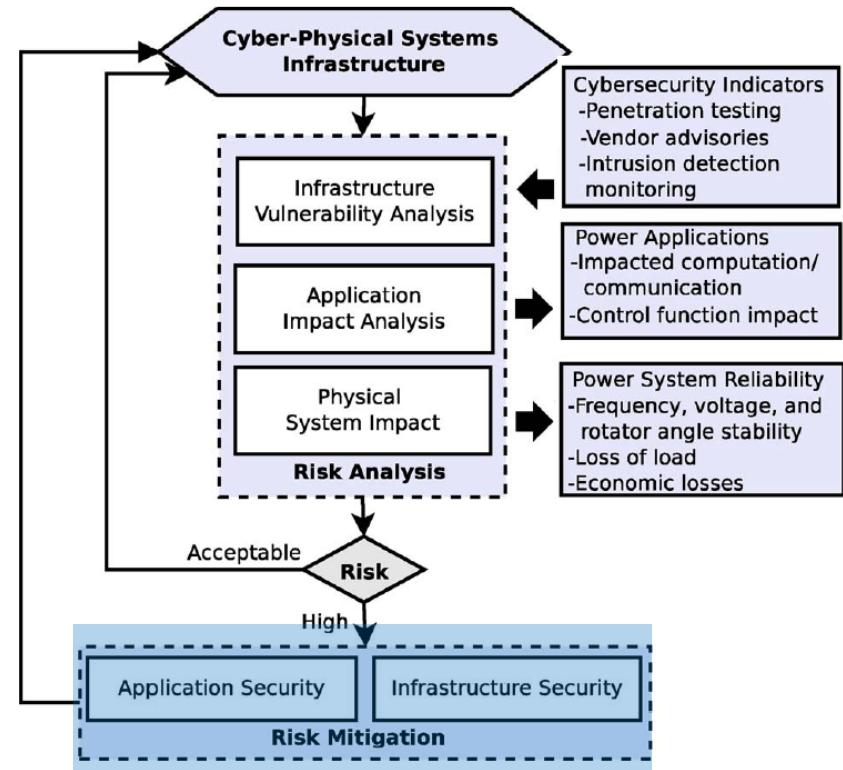
[Milosevic *et al.*, ECC, 2018]

- i. Constraints are convex
- ii. Optimal value found by solving set of convex optimization problems

Risk Management Cycle

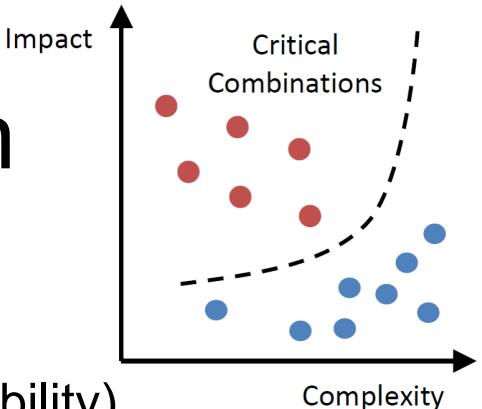
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[Sridhar et al., Proc. IEEE, 2012]

Tools for Risk Mitigation

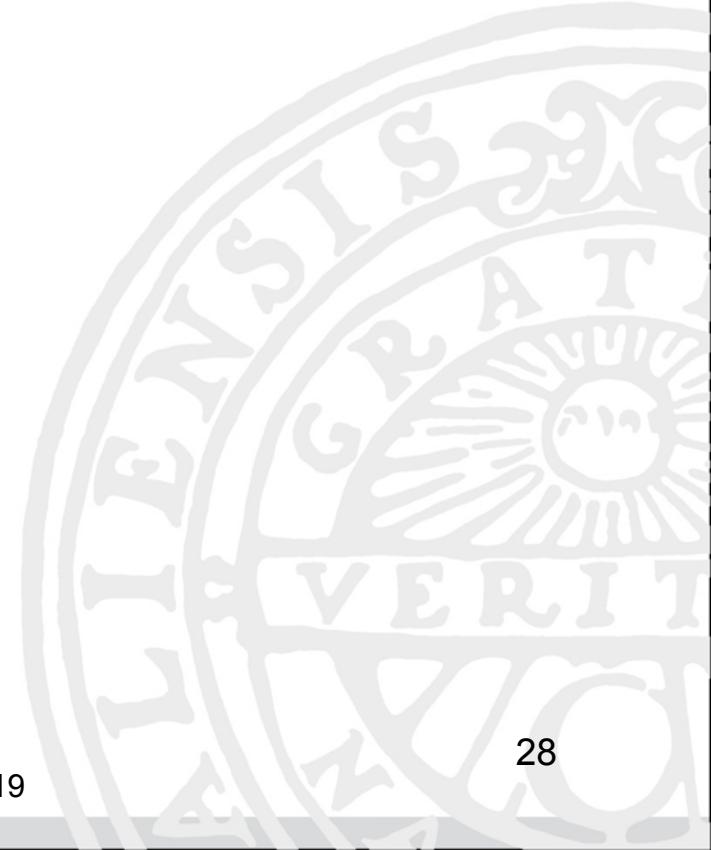


- **Prevention** (decrease likelihood by reducing vulnerability)
 - Watermarking and Moving Target Defense
 - Coding and Encryption Strategies
 - Rational Security Allocation
 - Privacy-preservation by Noise Injection
- **Detection** (continuous anomaly monitoring)
 - Tuning of Detector Thresholds
 - Secure State Estimation
 - Watermarking and Moving Target Defense
 - Distributed Algorithms
 - Methods Related to Robust Statistics
- **Treatment** (compensate for or neutralize detected attack)
 - Secure State Estimation
 - Counteracting DoS Attacks
 - Distributed Algorithms
 - Methods Related to Robust Statistics

[Chong *et al.*, ECC, 2019]

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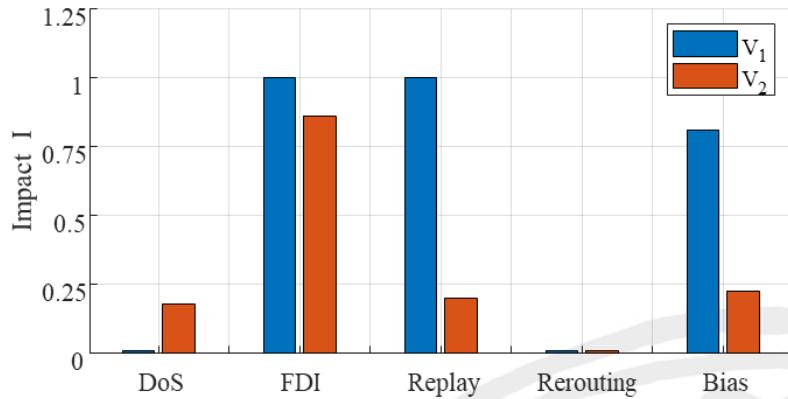
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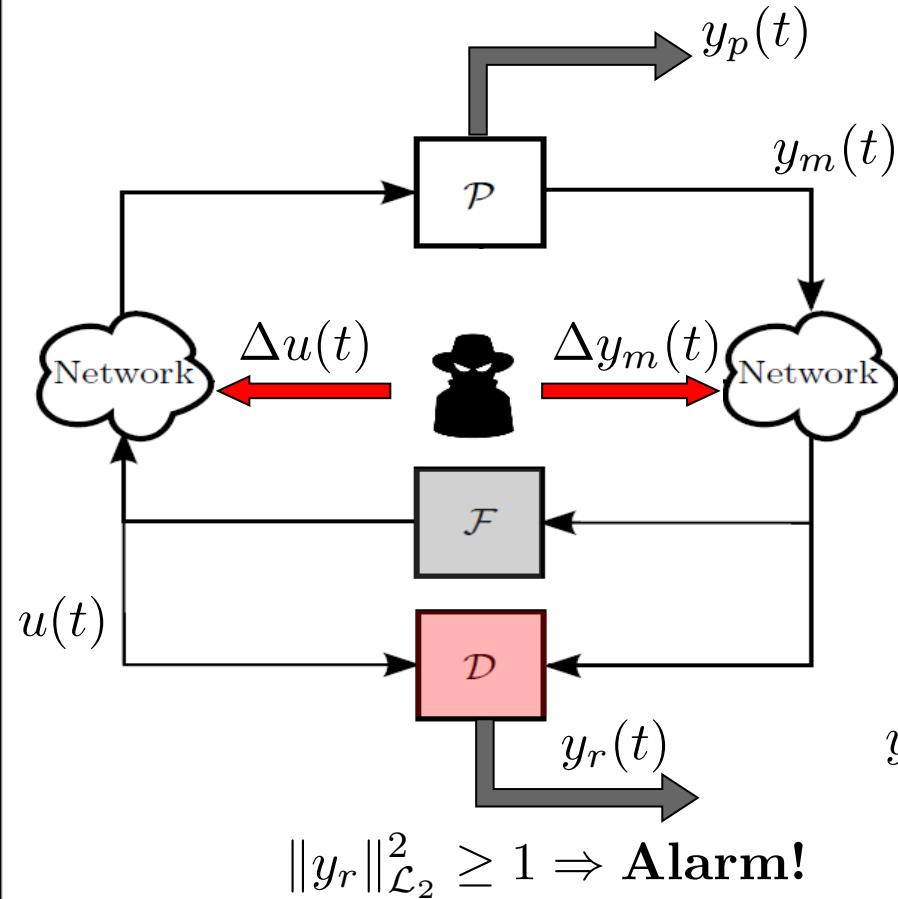
y generates no alarm in $\{\chi^2, \text{CUSUM, MEWMA}\}$ detector

Drawbacks:

Only looks at the terminal state

It only serves for analysis, not for design...

Networked Control System under Attack



- Physical plant \mathcal{P}
- Feedback controller \mathcal{F}
- Anomaly detector \mathcal{D}

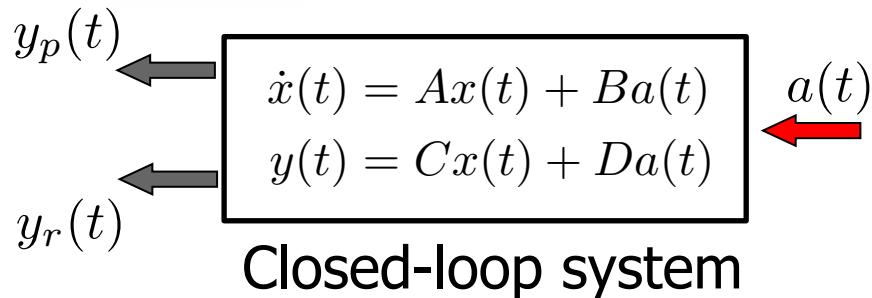
- Performance output: $y_p(t)$ (e.g. physical state)
- Detector output: $y_r(t)$

- Actuator and Sensor data corruption: $a(t) = \begin{bmatrix} \Delta u(t) \\ \Delta y_m(t) \end{bmatrix}$

$$\begin{aligned} \dot{x}(t) &= Ax(t) + Ba(t) \\ y(t) &= Cx(t) + Da(t) \end{aligned}$$

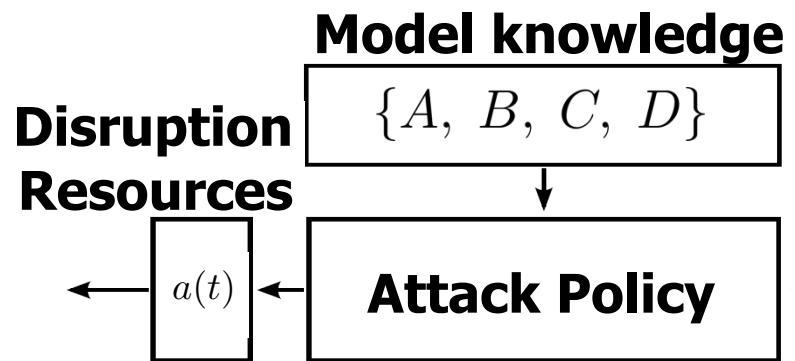
Closed-loop system

Adversary Model



$$\Sigma_p = (A, B, C_p, D_p), \quad G_p(s) = C_p(sI - A)^{-1}B + D_p$$

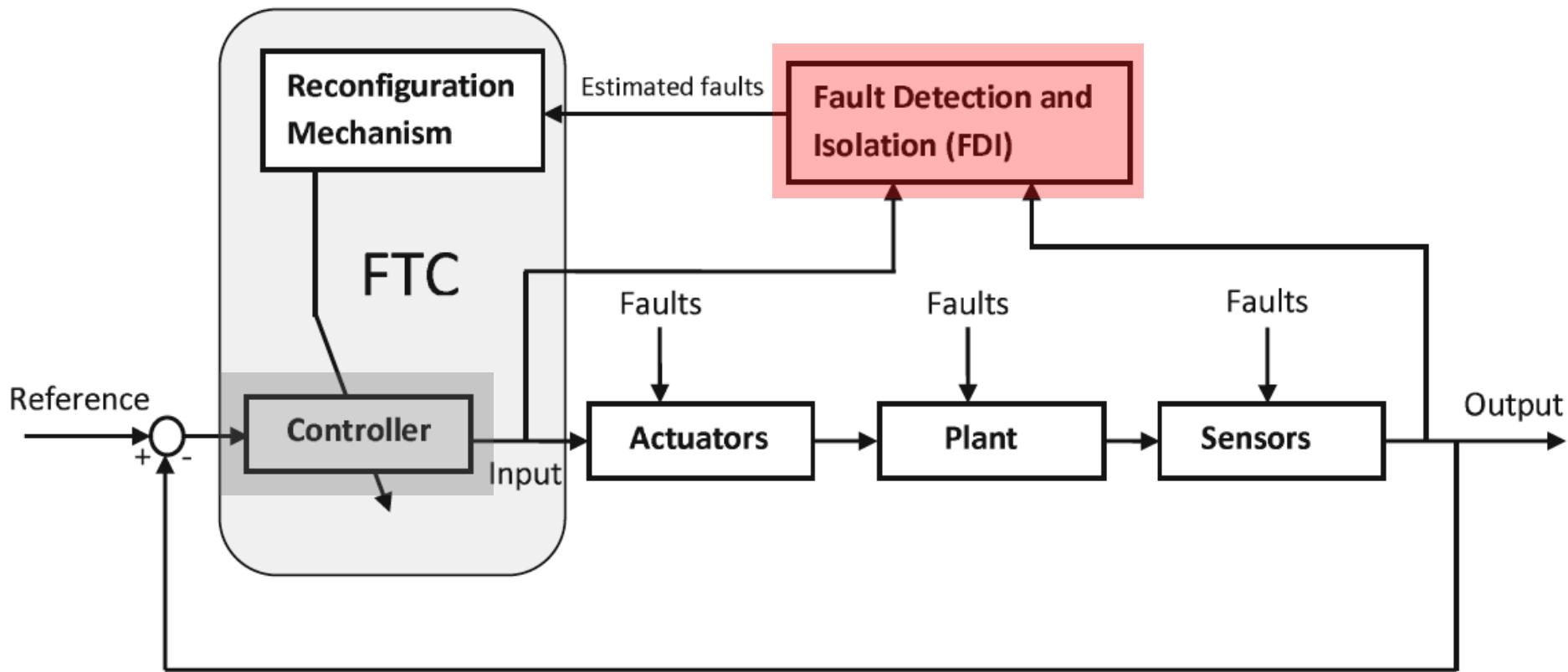
$$\Sigma_r = (A, B, C_r, D_r), \quad G_r(s) = C_r(sI - A)^{-1}B + D_r$$



- **Model knowledge:** Dynamical model of the closed-loop system
- **Disruption resources:** (Small no. of) measurement and actuation channels
- **Attack policy:** Maximise the impact on performance without raising alarms

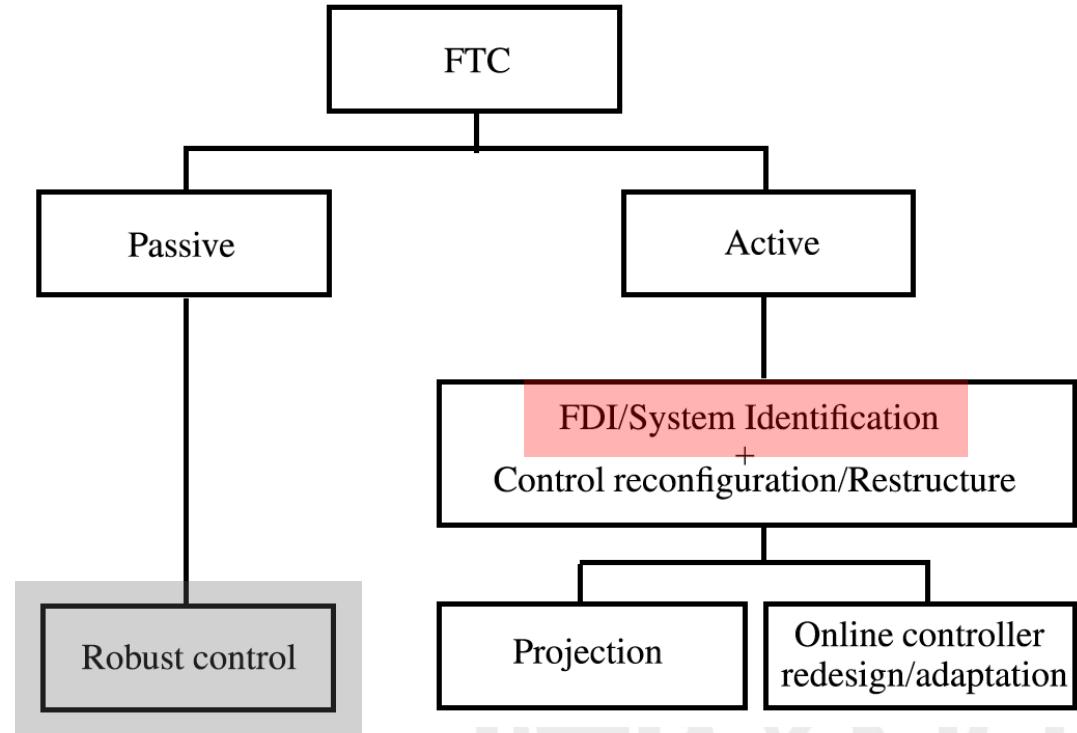
How to analyse and design the system with such an attack?

Fault-Tolerant Control



Fault-Tolerant Control

- While fault is not detected:
 - Robust controller
- Once fault is detected:
 - Switch/adapt controller



FTC design objectives

- **Robust control:** find a controller that
 - Minimizes the “worst-case” (largest) **impact** of unit-energy faults
 - i.e.: optimal “H infinity” control
- **Fault detection:** find an observer/filter that
 - Maximizes the “worst-case” (smallest) **detectability** of unit-energy faults
 - i.e.: optimal “H minus” (H_-) filter design
- Both are based on *sensitivity metrics*:
 - H-inf norm: largest **impact** of unit-energy faults
 - H_- index: smallest **detectability** of unit-energy faults

Classical Sensitivity Metrics (1)

\mathcal{L}_{2e} = “signals with finite energy over finite time intervals”

$$\|y\|_{\mathcal{L}_2}^2 \triangleq \int_{-\infty}^{+\infty} \|y(t)\|_2^2 dt$$

- H-inf norm:

$$\gamma_{H_\infty} \triangleq \sup_{a \in \mathcal{L}_{2e}} \|y_p\|_{\mathcal{L}_2}$$

$$\text{s.t. } \|a\|_{\mathcal{L}_2} = 1$$

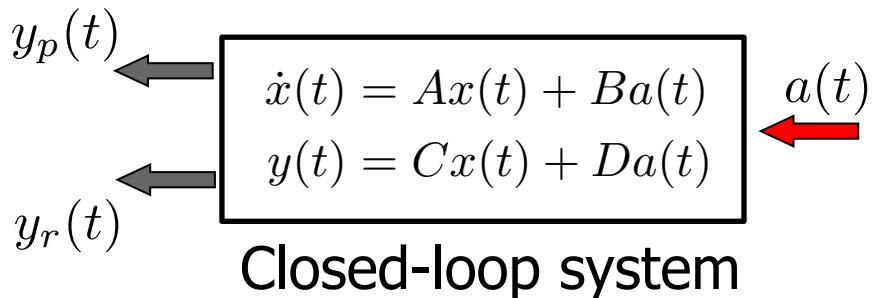
$$x(0) = 0$$

- Frequency Domain:

$$\gamma_{H_\infty} = \sup_{w \geq 0} \bar{\sigma}_p(jw)$$

$$\bar{\sigma}_p(s) = \sup_{a \in \mathbb{C}^{n_a}} \|G_p(s)a\|_2$$

$$\text{s.t. } \|a\|_2 = 1$$



- H_- index:

$$\gamma_{H_-} \triangleq \inf_{a \in \mathcal{L}_{2e}} \|y_r\|_{\mathcal{L}_2}$$

$$\text{s.t. } \|a\|_{\mathcal{L}_2} = 1$$

$$x(0) = 0$$

- Frequency Domain:

$$\gamma_{H_-} = \inf_{w \geq 0} \underline{\sigma}_r(jw)$$

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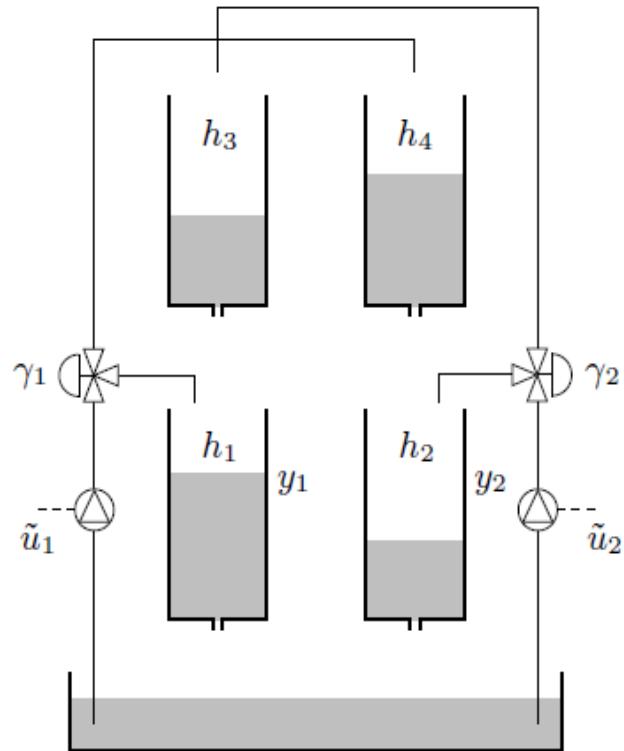
$$\text{s.t. } \|a\|_2 = 1$$

Example: closed-loop system

- **Process:** Quadruple tank
 - (non-minimum phase setup, one unstable zeros from u to y)
- **Controller:** LQG with integral action
 - Performance output = plant's states

$$y_p(t) = x_p(t)$$
- **Detector:** LQG's Kalman filter
 - Residual = output estimation error

$$y_r(t) = y(t) - \hat{y}(t)$$
- The adversary is able to corrupt Actuator 1 (u_1)



Classical Sensitivity Metrics (2)

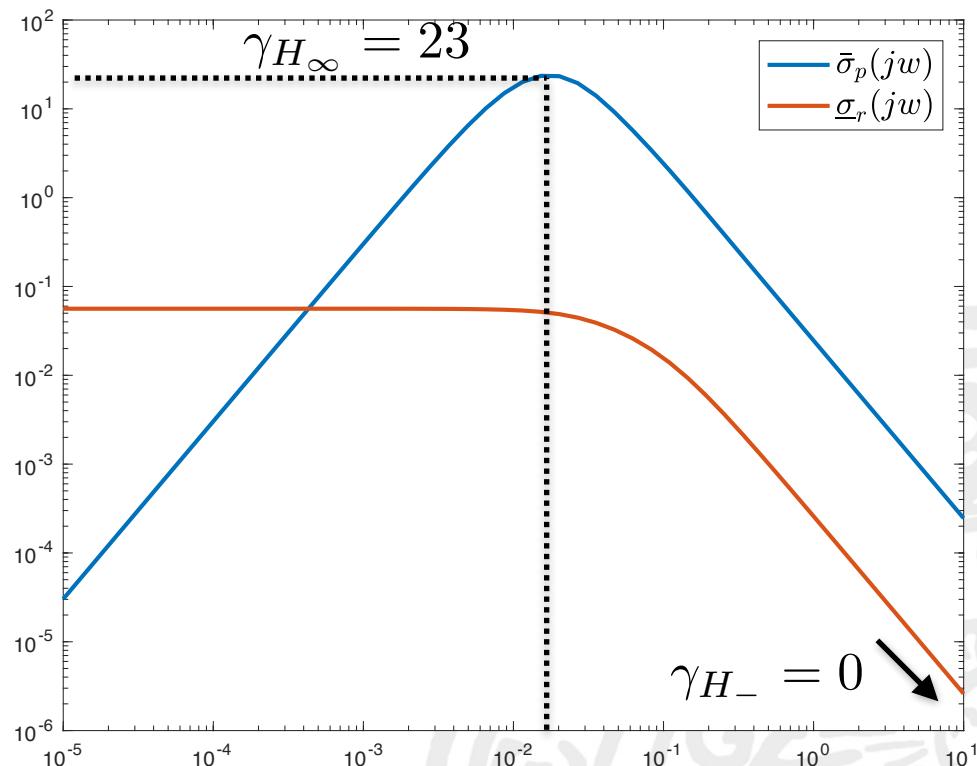
- H-inf norm

$$\gamma_{H_\infty} = \sup_{w \geq 0} \bar{\sigma}_p(jw)$$

- H_index

$$\gamma_{H_-} = \inf_{w \geq 0} \underline{\sigma}_r(jw)$$

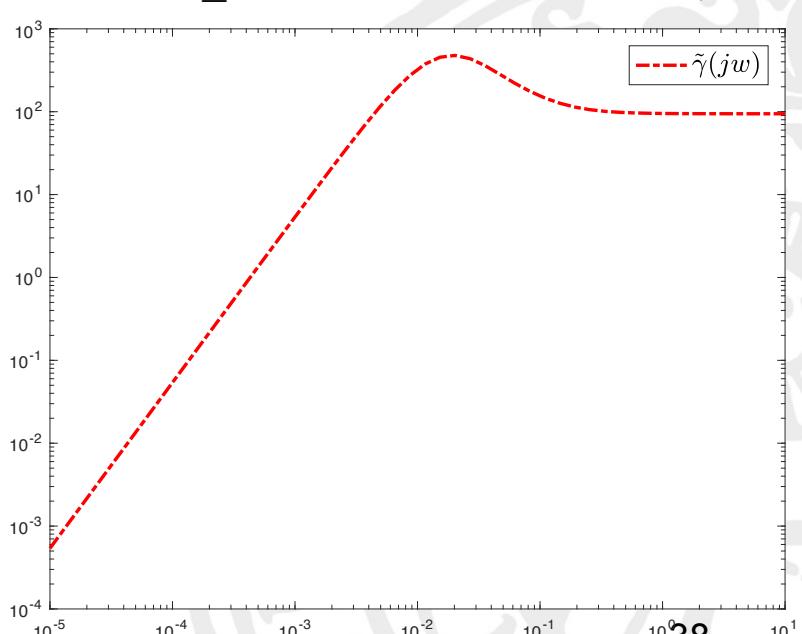
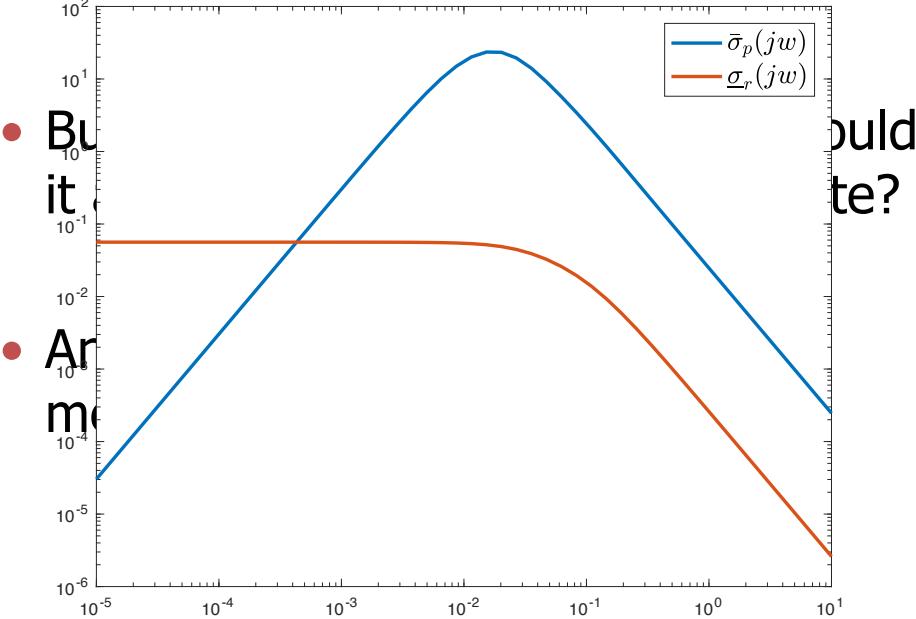
- Least detectable fault has little impact...



- **Limitation:** worst-case frequency is not the same
 - Means each metric looks at **different** worst-case inputs!

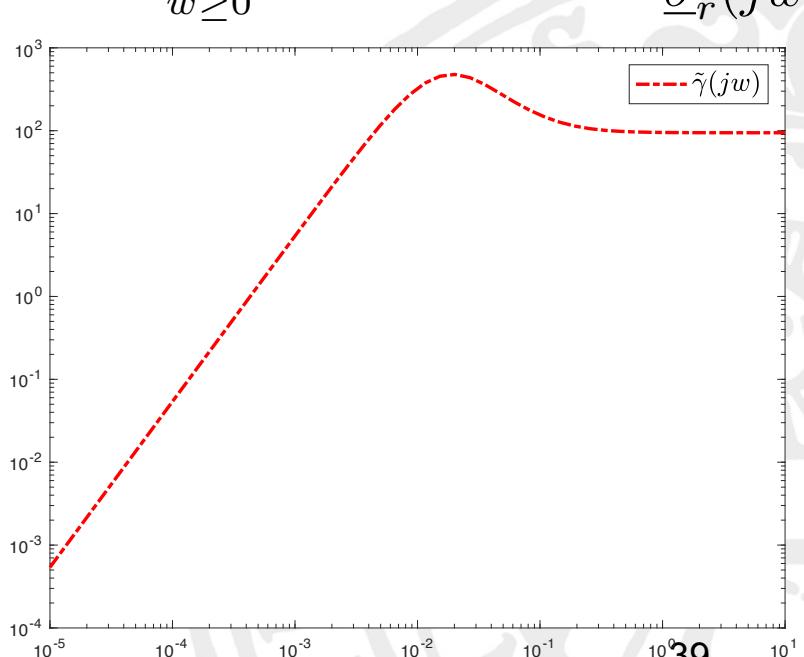
Sensitivity metric for security of control systems (1)

- **Attack policy:** Maximise the impact on performance without raising alarms
 - Requires a combination of **impact on performance** and **detection!**
- “Desired” metric: combine **H-inf** and **H_**: $\tilde{\gamma}^* = \sup_{w \geq 0} \tilde{\gamma}(jw), \quad \tilde{\gamma}(jw) \triangleq \frac{\bar{\sigma}_p(jw)}{\underline{\sigma}_r(jw)}$



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- But this is an heuristic... When would it actually be meaningful / accurate?
- And how do we formalise such a metric?
 - ... coming next!



Sensitivity metric for security of control systems (2)

- **Attack policy:** Maximise the impact on performance without raising alarms

- Maximize y_p , while keeping y_r small — **Output-to-output gain:**

$$\begin{aligned}\gamma^* &\triangleq \sup_{a \in \mathcal{L}_{2e}} \|y_p\|_{\mathcal{L}_2} \\ \text{s.t. } &\|y_r\|_{\mathcal{L}_2} = 1 \\ &x(0) = 0\end{aligned}$$

[Teixeira et al., CDC 15]

- An equivalent formulation:

$$\begin{aligned}\gamma^{*^2} &= \min_{\beta \geq 0} \beta \\ \text{s.t. } &\beta \|y_r\|_{\mathcal{L}_2}^2 - \|y_p\|_{\mathcal{L}_2}^2 \geq 0, \forall a \in \mathcal{L}_{2e}, x(0) = 0\end{aligned}$$

- Note: Input is not directly constrained (may be exponentially increasing)

\mathcal{L}_{2e} = “signals with finite energy over finite time intervals”

- Imposes a gain constraint on the outputs:

$$\gamma^{*^2} \|y_r\|_{\mathcal{L}_2}^2 \geq \|y_p\|_{\mathcal{L}_2}^2$$

Computation: Linear Matrix Inequality

$$\gamma^{*^2} = \min_{\beta \geq 0, P \succeq 0} \beta$$

s.t.

$$\begin{bmatrix} A^\top P + PA & PB \\ B^\top P & 0 \end{bmatrix} - \beta \begin{bmatrix} C_r^\top C_r & C_r^\top D_r \\ D_r^\top C_r & D_r^\top D_r \end{bmatrix} + \begin{bmatrix} C_p^\top C_p & C_p^\top D_p \\ D_p^\top C_p & D_p^\top D_p \end{bmatrix} \preceq 0$$

- The value of the metric can be obtained by solving this convex problem
- Controller / Detector design is possible
 - A, B, C, D depend linearly on the controller / detector
 - ... but leads to Bilinear Matrix Inequalities
 - Exploit structure to convexify the problem - ongoing work
- Other metrics can be formulated in a similar fashion [Teixeira, CDC 19]

Summary

- Secure Control systems - a risk management approach
- Classical sensitivity metrics are not adequate for security-related problems / malicious adversary models
 - They focus **either** on impact or on detection **separately**
- Output-to-output gain captures **both** impact and detection
 - Maximize energy of "cost signal"; While keeping "anomaly detector signal" small
 - Computation based on LMIs
 - Controller / Detector design through BMIs

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Thank you!

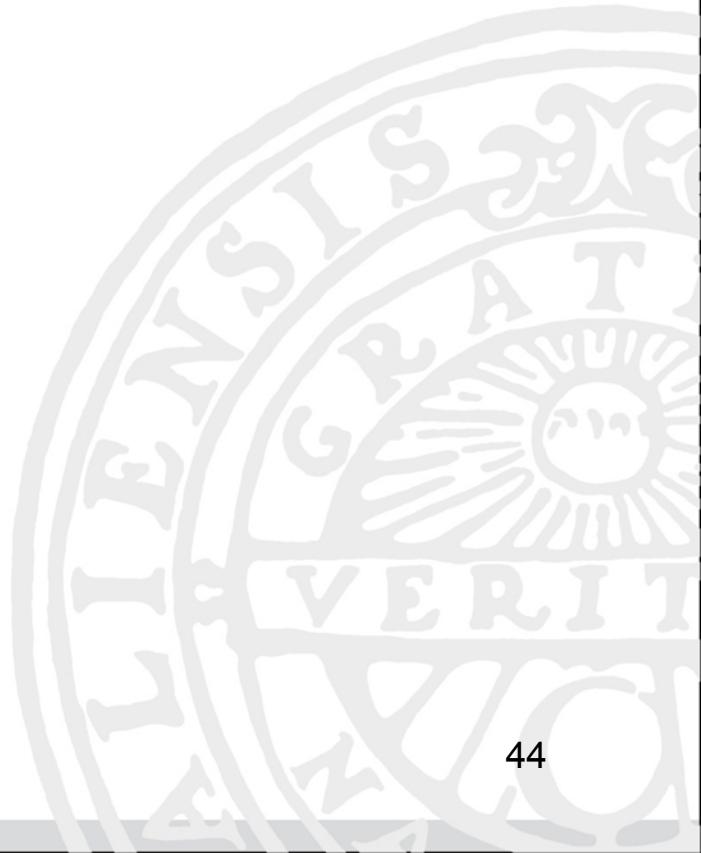
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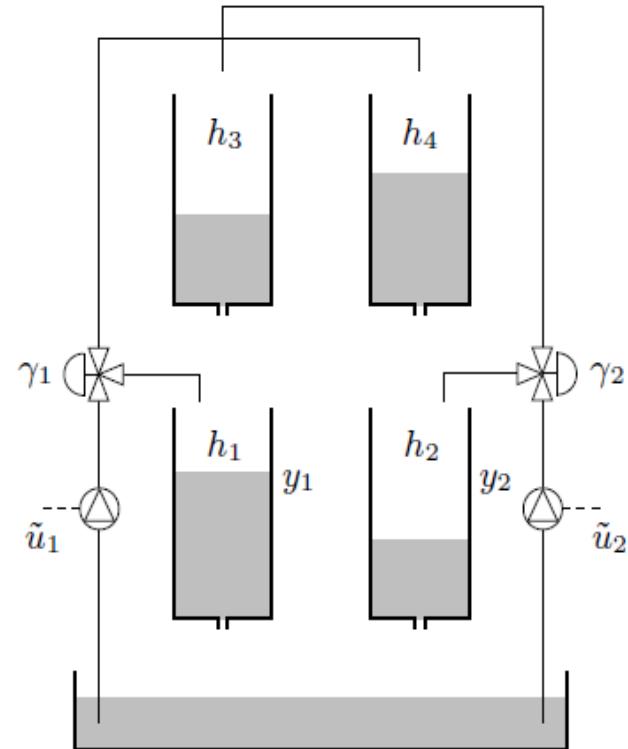
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Extra slides



Example: Attack scenarios

- The adversary is able to corrupt:
 - A. Actuator 1
 - B. Actuator 1 and Actuator 2
(unstable zero $\rightarrow y=0$)
 - C. Actuator 1 and Sensor 1
 - D. Actuator 1 and Sensor 2
- Evaluate which scenarios are most critical (largest sensitivity)



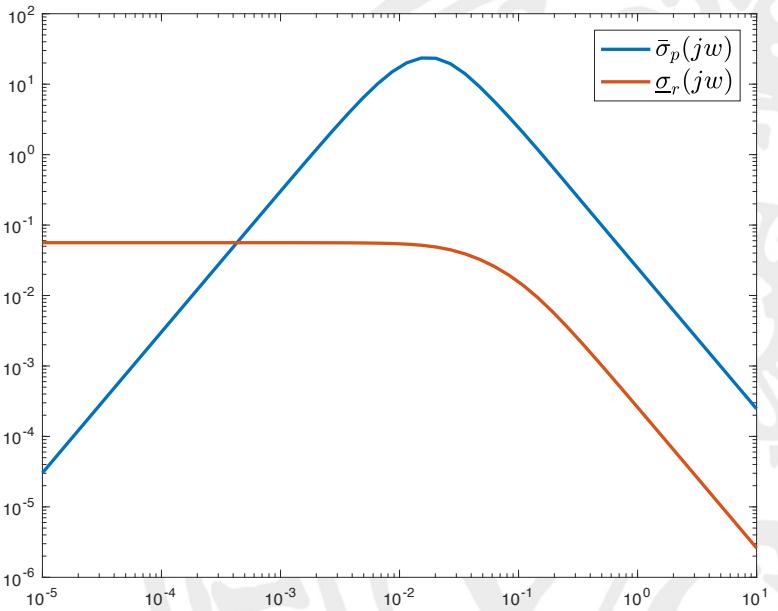
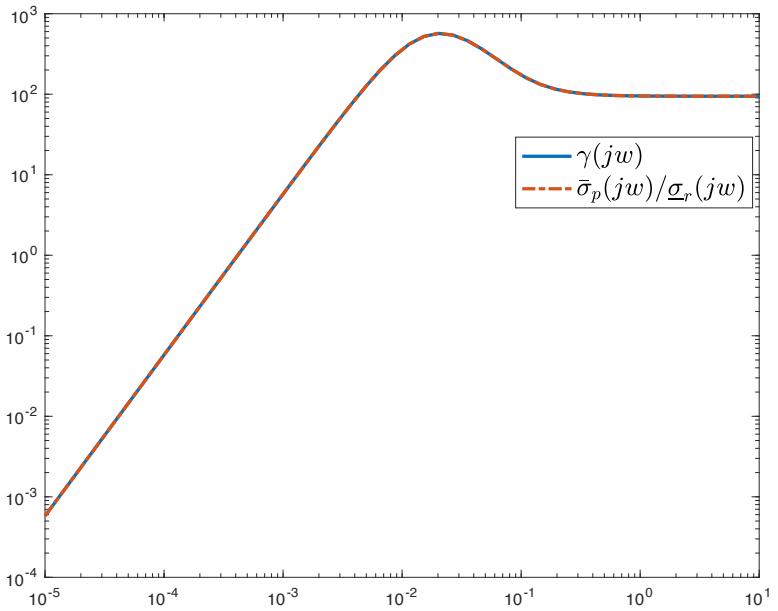
$$\gamma(s) \triangleq \sup_{a \in \mathbb{C}^{n_a}} \frac{\|G_p(s)a\|_2}{\|G_r(s)a\|_2}$$

$$\gamma^* = \sup_{s \in \mathcal{S}} \gamma(s)$$

$$\tilde{\gamma}^* = \sup_{w \geq 0} \tilde{\gamma}(jw), \quad \tilde{\gamma}(jw) \triangleq \frac{\bar{\sigma}_p(jw)}{\underline{\sigma}_r(jw)}$$

Example: Actuator 1

- Gain vs heuristic: $\gamma^* = 23.8, \tilde{\gamma}^* = 23.8$ (LMI gives: $\gamma^* = 24.1$)
- Gain over Frequency: $\gamma(jw) = \tilde{\gamma}(jw)$ $\sup_{w \geq 0} \gamma(jw) = 23.8$



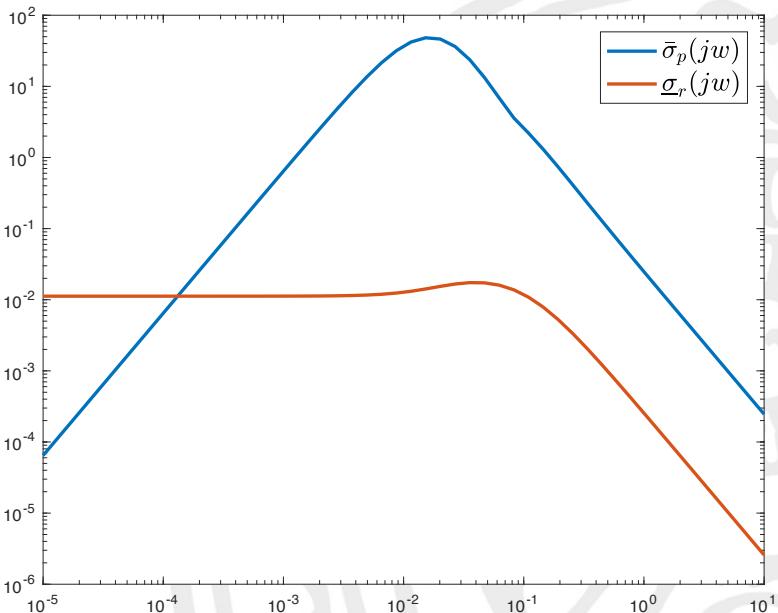
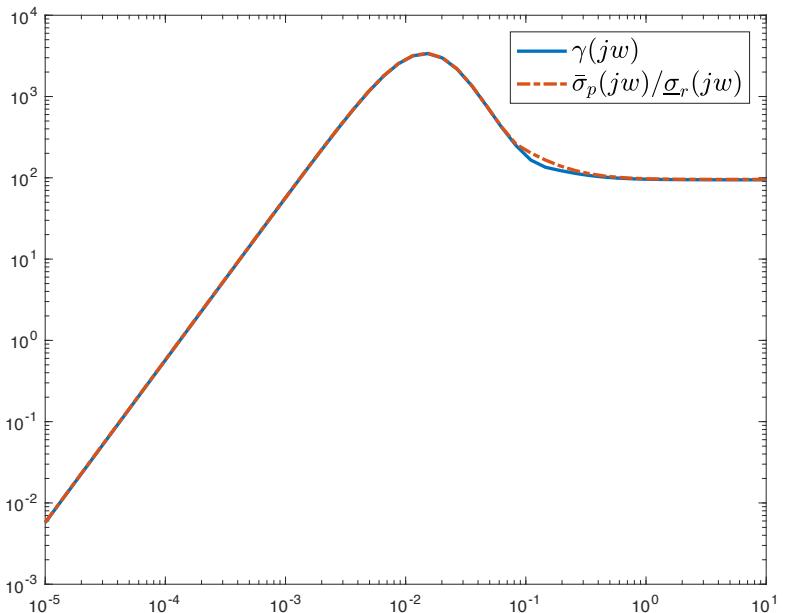
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Example: Actuator 1 and Actuator 2

- Gain vs heuristic: $\gamma^* = \infty, \tilde{\gamma}^* = 58.3$ (unstable zero)
- Gain over Frequency: $\gamma(jw) \leq \tilde{\gamma}(jw)$



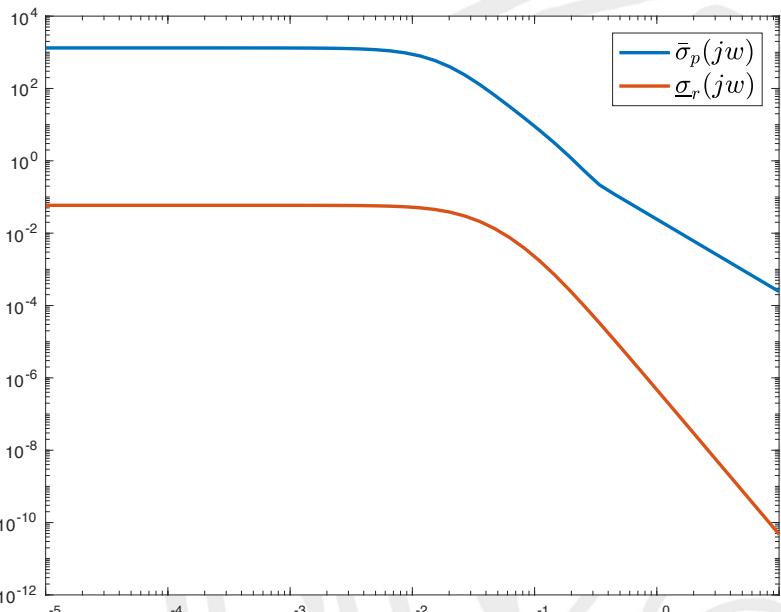
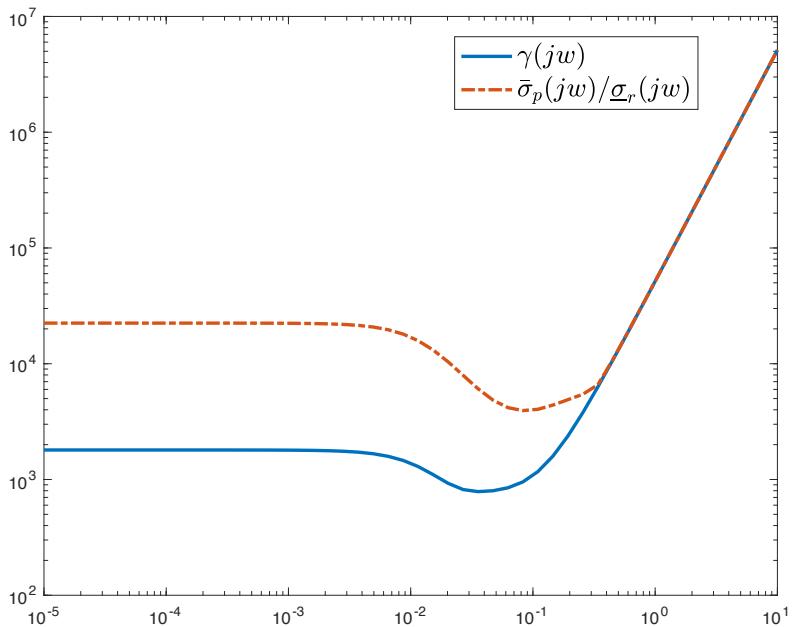
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Example: Actuator 1 and Sensor 1

- Gain vs heuristic: $\gamma^* = \infty, \tilde{\gamma}^* = \infty$ (zeros at infinity)
- Gain over Frequency: $\gamma(jw) \leq \tilde{\gamma}(jw)$ $\sup_{w \geq 0} \gamma(jw) = \gamma^*$



$$\gamma(s) \triangleq \sup_{a \in \mathbb{C}^{n_a}} \frac{\|G_p(s)a\|_2}{\|G_r(s)a\|_2}$$

$$\gamma^* = \sup_{s \in \mathcal{S}} \gamma(s)$$

$$\tilde{\gamma}^* = \sup_{w \geq 0} \tilde{\gamma}(jw), \quad \tilde{\gamma}(jw) \triangleq \frac{\bar{\sigma}_p(jw)}{\underline{\sigma}_r(jw)}$$

Example: Actuator 1 and Sensor 2

- Gain vs heuristic: $\gamma^* = 73.1, \tilde{\gamma}^* = 253.5$
- Gain over Frequency: $\gamma(jw) \leq \tilde{\gamma}(jw)$

(zeros at infinity
are cancelled)

$$\sup_{w \geq 0} \gamma(jw) = \gamma^*$$

