## CLASS 8, SEPTEMBER 24: CONNECTEDNESS

We now enter the realm where we have all of the basic players in the field; topological spaces and continuous maps. Now the questions start to arise; what kind of conditions can we put on such objects to make them 'nice'? Do these theorems encapsulate many of the main properties from real analysis or calculus in a more formal way?

The first of these definitions is that of connectedness, which generalizes the notion of an interval in  $\mathbb{R}$ .

**Definition 8.1.** A topological space X is called **connected** if for any open subsets U, V covering X ( $X = U \cup V$ ) we have that  $U \cap V \neq \emptyset$ . Otherwise, the set is called **disconnected**, and in this case the sets U and V are called a **separation** of X.

This can be rephrased in terms of open sets; X is connected if and only if the only subsets of X which are closed and open (clopen) are X and  $\emptyset$ . This can be seen by taking U open and  $V^c = U$  in the definition of connected.

**Example 8.2.** 1) Any set with the indiscrete topology is a connected space.

- 2) Any set with more than 1 point and the discrete topology is disconnected.
- 3) The following gives a common example of connected subsets of  $\mathbb{R}$ : intervals!

**Proposition 8.3.** (0,1) is a connected subset of  $\mathbb{R}$  with the Euclidean topology.

*Proof.* Suppose not. Then there exists U, V open non-empty, not intersecting, and covering (0,1). By our basis for the topology of  $\mathbb{R}$ , and combining overlapping intervals, we know

$$U = \bigcup_{\alpha} (a_{\alpha}, b_{\alpha})$$

We can then form a new covering given a specific choice of  $\alpha$ , say  $\alpha_0$ :

$$U' = (a_{\alpha_0}, b_{\alpha_0})$$

$$V' = V \cup \left(\bigcup_{\alpha \neq \alpha_0} (a_{\alpha}, b_{\alpha})\right)$$

Both of these sets are open since they are unions of basis elements. However, the assumptions for a separation yield that V' has one of the following forms:

$$V' = (0, a_{\alpha_0}] \cup [b_{\alpha_0}, 1)$$
 or  $(0, a_{\alpha_0}]$  or  $[b_{\alpha_0}, 1)$ 

In any of these cases, V' is not open since either  $B(\alpha_0, \epsilon) \not\subseteq V$  or  $B(\beta_0, \epsilon) \not\subseteq V$ .

- 4) The same argument can be applied to the intervals (a, b), (a, b], [a, b) and [a, b].
- 5)  $\mathbb{Q} \subset \mathbb{R}$  is a *totally* disconnected space, meaning it's only connected components are single points! Suppose  $a \neq b \in U \subset \mathbb{Q}$  a connected subset. There exists  $c \in \mathbb{R}$  with a < c < b and c irrational. Therefore

$$(a,b)\cap \mathbb{Q}=((a,c)\cap \mathbb{Q})\cup ((c,b)\cap \mathbb{Q})$$

Intersecting these sets with U produces a separation of U, contradicting our assumption that 2 distinct points can be a in a connected subset of  $\mathbb{Q}$ .

6) Let X be the union of the x-axis and the graph of  $y = \frac{1}{x}$  for x > 0 in  $\mathbb{R}^2$ . Then this space is disconnected. Indeed, each of the subsets can be enclosed in open disjoint sets in  $\mathbb{R}$ , and therefore under the subspace topology they remain open individually:

$$U = \{(x,y) \mid y > \frac{1}{2x}, \ x > 0\}$$

$$V = \{(x,y) \mid y < \frac{1}{2x}\} \cup (-\infty, 1) \times (-\frac{1}{2}, \frac{1}{2})$$

Now we can produce some properties under which connectedness is preserved.

**Proposition 8.4.** If X is separated by two open subsets U, V, and  $Y \subseteq X$  is connected, then  $Y \subseteq U$  or  $Y \subseteq V$ .

*Proof.* Suppose  $Y \not\subseteq V$ . Then since Y is connected and

$$Y = (Y \cap U) \cup (Y \cap V)$$

is a union of 2 open subsets, we find that  $Y \cap V = \emptyset$ , or  $Y \subseteq U$ .

**Proposition 8.5.** If  $x \in \bigcap_{\alpha} U_{\alpha}$  where each  $U_{\alpha}$  is connected, then  $\bigcup_{\alpha} U_{\alpha}$  is also connected.

*Proof.* Suppose  $\bigcup_{\alpha} U_{\alpha}$  is separated by V, V'. Then by Proposition 8.4, each  $U_{\alpha}$  is contained in either V or V'. If  $U_{\alpha} \subseteq V$  and  $U_{\alpha'} \subseteq V'$ , then  $x \in V \cap V'$ , contradicting the fact that they form a separation. So all  $U_{\alpha}$  live in either V or V', implying the other is empty.

Continuing with these ideas, we can represent a space by its so called *connected components*.

**Definition 8.6.** For a given  $x \in X$ , there exists a largest connected subset  $U_x$  (not necessarily open) such that  $U_x$  contains x and  $U_x$ .  $U_x$  is called the **connected component of** x.

We can of course cheat using Proposition 8.5 to show it exists:

$$U_x = \bigcup_{\substack{x \in U \\ U \text{ connected}}} U$$

**Theorem 8.7.** A space X can be decomposed into its connected components in a disjoint way

$$X = \coprod_{\alpha} U_{\alpha}$$

where each  $U_{\alpha}$  is connected and disjoint from any  $U_{\alpha'}$  for  $\alpha \neq \alpha'$ .

*Proof.* We can create an equivalence relation on X, which says  $x \sim y$  if and only if there exists a connected subset  $Y \subseteq X$  such that  $x, y \in Y$ . Call such an equivalence class [x], and the set of all such equivalence classes  $X/\sim$ . Then x and y share a connected component:  $U_x = U_y$  with the terminology of Definition 8.6. We can then form

$$X = \bigcup_{[x] \in X/\sim} U_x$$

This covers X since every  $x \in X$  is in  $U_x$ . Furthermore,  $U_x \cap U_y = \emptyset$  for each  $[x] \neq [y]$  by Proposition 8.5; if they shared a point their union would be a larger connected set containing x, contradicting the definition of  $U_x$ .