

# HOMEWORK 4: HAUSDORFF & COMPACTNESS

## DUE: FRIDAY, OCTOBER 5TH, 2018

- 1) Show that if  $X$  is a compact Hausdorff space under 2 topologies  $\tau, \tau'$ , then either  $\tau = \tau'$  or they are incomparable.

**Solution:** Suppose  $\tau \supseteq \tau'$ . Then  $Id : X_\tau \rightarrow X_{\tau'}$  is a continuous map between a compact space and a Hausdorff space. Therefore, applying Corollary 12.1 from class, we see  $Id$  is a homeomorphism. However, this implies that  $\tau = \tau'$ , proving the claim.

- 2) Show that every compact subspace  $Y \subseteq X$  of a metric space  $X$  is bounded in that metric space (e.g. there exists  $x \in Y$  and  $r > 0$  such that  $Y \subseteq B(x, r)$ ). Find an example of a metric space  $X$ , and  $Y \subseteq X$  which is closed and bounded but NOT compact.

**Solution:**  $U_r = B(x, r)$  forms a cover of  $Y$  in  $X$  as  $r > 0$  varies. Therefore, since  $Y$  is compact we see that

$$Y = B(x, r_1) \cup B(x, r_2) \cup \dots \cup B(x, r_n).$$

Letting  $r = \max\{r_i\}$ , we see that  $Y \subseteq B(x, r)$ .

To find the example, consider  $\mathbb{R}^\mathbb{N}$  with the uniform metric topology of homework 3. We then note that  $X = [0, 1]^\mathbb{N}$  is a closed subset of this space, since in particular it is  $\bar{B}((\frac{1}{2}, \frac{1}{2}, \dots), \frac{1}{2})$ .  $X$  is in particular bounded by  $B(\frac{1}{2}, r)$  for any  $r > \frac{1}{2}$  (in fact, in this space EVERY subspace is bounded, since  $\mathbb{R}^\mathbb{N} = B(\mathbf{0}, 2)$ ). However, given the cover  $\{B(x, r) \mid x \in [0, 1]^\mathbb{N}\}$  for any  $r \leq \frac{1}{2}$ , we see that no finite refinement will cover  $[0, 1]^\mathbb{N}$ . Indeed, if some selection of  $\mathbf{x}_i$  did, we could write

$$\mathbf{x}_i = (x_{i1}, x_{i2}, \dots)$$

and let  $y_i = 1$  if  $x_{ii} \leq \frac{1}{2}$  and  $y_i = 0$  if  $x_{ii} > \frac{1}{2}$ . For  $i > n$  where  $n$  is as in the definition of compactness, we can let  $y_i = 0$ . Then we note

$$|\mathbf{x}_{ii} - y_i| \geq \frac{1}{2}$$

For any  $i$ , so letting  $\mathbf{y} = (y_1, y_2, \dots) \in X$ , we see

$$y \notin \bigcup_{i=1}^n (B(\mathbf{x}_i, \frac{1}{2})).$$

- 3) Show that if  $f : X \rightarrow Y$  is a continuous map from a compact space to a Hausdorff space, then  $f$  is a closed map.

**Solution:** Let  $Z \subseteq X$  be a closed set. Then  $Z$  is also compact by Theorem 11.3. It goes to show that  $f(Z)$  is closed. But  $f(Z)$  is compact by Proposition 11.8. Therefore, since  $Y$  is Hausdorff, Theorem 11.7 implies  $f(Z)$  is closed.

- 4) Show that if  $Y$  is compact, then the projection map  $\pi : X \times Y \rightarrow X$  is a closed map.

**Solution:** Let  $Z \subseteq X \times Y$  be a closed subset. As usual, I will demonstrate that  $\pi(Z)^c$  is open. Suppose  $x \notin \pi(Z)^c$ . This implies no element of  $Z$  maps to  $x$ . Moreover, since  $Z$  is assumed to be closed, we know that there exists an open neighborhood  $U_y \times V_y$  of  $(x, y)$  such that  $Z \cap U_y \times V_y = \emptyset$ . But this operation produces an open cover:

$$\{x\} \times Y \subseteq \bigcup_{y \in Y} U_y \times V_y.$$

Since the LHS is homeomorphic to  $Y$ , we can apply compactness:

$$\{x\} \times Y \subseteq (U_{y_1} \times V_{y_1}) \cup \dots \cup (U_{y_n} \times V_{y_n})$$

Since this covers the previous set, we see

$$\{x\} \times Y \subseteq (U_{y_1} \cap \dots \cap U_{y_n}) \times Y$$

and therefore,  $y \in U_{y_1} \cap \dots \cap U_{y_n} \subseteq \pi(Z)^c$ . This completes the proof.

- 5) Let  $f : X \rightarrow Y$  be a map with  $Y$  compact and Hausdorff. Show that  $f$  is continuous if and only if the graph

$$\Gamma = \{(x, f(x)) \mid x \in X\} \subseteq X \times Y$$

is closed.

**Solution:**

( $\Rightarrow$ ): Suppose  $f$  is continuous. I will show that  $\Gamma^c$  is open. Given  $(x, y) \in \Gamma^c$ , we know that  $f(x) \neq y$ . Therefore, since  $Y$  is Hausdorff, we note that there exists  $U, V$  open disjoint subsets of  $Y$  such that  $f(x) \in U$  and  $y \in V$ . Since  $f$  is assumed continuous, we know that there exists an open neighborhood  $U'$  of  $x$  such that  $f(U') \subseteq U$ . This shows that  $U' \times V$  is an open subset disjoint for  $(x, f(x)) \in \Gamma$ . Taking the union over all such sets, we see  $\Gamma^c$  is open as desired.

( $\Leftarrow$ ): Suppose the graph is closed. Then we have a sequence of maps

$$X \rightarrow \Gamma \subseteq X \times Y \rightarrow Y$$

The composition is the original map  $f$ . Let  $C$  be a closed subset of  $Y$ . Since the second map is a projection, it is certainly continuous. Therefore,  $\pi_Y^{-1}(C) = X \times C$  is closed, so by assumption,  $(X \times C) \cap \Gamma$  is closed in  $X \times Y$ . By the previous problem, we have that  $\pi_X((X \times C) \cap \Gamma)$  is closed in  $X$ . But this is exactly the preimage of  $C$  under  $f$ ! If  $f(x) \in C$ , then  $(x, f(x)) \in (X \times C) \cap \Gamma$ .

- 6) Let  $p : X \rightarrow Y$  be a closed, continuous, and surjective map with compact fibers  $p^{-1}(y)$ . Show that if  $Y$  is compact, so is  $X$ .<sup>1</sup>

**Solution:** I'll begin by proving the footnote. Consider  $U^c \subseteq X$  a closed set. Taking its image, we get a closed subset of  $Y$ . Furthermore,  $U^c \subseteq f^{-1}(f(U^c))$  always. Therefore, we get that

$$U \supseteq f^{-1}(f(U^c)^c)$$

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<sup>1</sup>You may assume the following: If  $p : X \rightarrow Y$  is a closed map, then if  $p^{-1}(y) \subseteq U$  is some open neighborhood of the fiber, there exists an open neighborhood  $V$  of  $y$  such that  $f^{-1}(V) \subseteq U$ .

demonstrating that  $V = f(U^c)^c$  is the desired set. Note that this is not the same as  $f(U)$  necessarily.

Let  $X = \bigcup_{\alpha} X_{\alpha}$  be an open cover. For a given fiber  $X_y = p^{-1}(y) \subseteq X$ , since  $X_y$  is compact there exists a finite subcovering

$$X_y \subseteq U_{\alpha_1^y} \cup \dots \cup U_{\alpha_{n_y}^y} = U^y$$

Moreover,  $U^y$  is an open neighborhood of  $X_y$ , so we can take  $V^y \subseteq Y$  open such that  $f^{-1}(V^y) \subseteq U^y$ . Now, we can use the compactness of  $Y$  to refine the cover by the  $V^y$ :

$$Y = V^{y_1} \cup \dots \cup V^{y_m}$$

But then we note

$$X = f^{-1}(Y) = \bigcup_{i=1}^m f^{-1}(V^{y_i}) = \bigcup_{i=1}^m \bigcup_{j=1}^{n_{y_i}} U_{\alpha_j^{y_i}}$$

Therefore any cover has a finite refinement, and thus  $X$  is compact.