## Adapted from Kittel & Kroemer, Chapter 7, problem 1 [Density of orbitals in 1D and 2D.]

## (a): 1 point

Find the density of states for a free electron in a one-dimensional box of length L.

In 1D, the energy levels are  $\epsilon = \frac{\hbar^2 \pi^2}{2mL^2} n^2$ , with  $n = 1, 2, 3, \ldots$  The total number of particles N is

$$\begin{split} N &= \sum_{\text{orbitals}} f(\epsilon) \\ &= 2 \int_0^\infty f(\epsilon) dn. \end{split}$$

From the energy level equation, we see  $d\epsilon = \frac{\hbar^2 \pi^2}{2mL^2} 2n \, dn$ . Also,  $n = \sqrt{\frac{2mL^2}{\hbar^2 \pi^2}} \, \epsilon$ , so  $dn = \frac{1}{2} \sqrt{\frac{2mL^2}{\hbar^2 \pi^2}} \frac{1}{\sqrt{\epsilon}} \, d\epsilon$ , so that

(a): 1 point

$$N = \int_0^\infty \sqrt{\frac{2mL^2}{\hbar^2\pi^2}} \frac{1}{\sqrt{\epsilon}} f(\epsilon) d\epsilon.$$

Comparing this with  $N = \int_0^\infty \mathcal{D}(\epsilon) f(\epsilon) d\epsilon$ , we see that

$$\mathcal{D}_1(\epsilon) = L\sqrt{\frac{2m}{\hbar^2\pi^2}} \frac{1}{\sqrt{\epsilon}}$$

## (b): 1 point

Find the total ground-state energy of a gas of non-interacting electrons in 1D.

Using our answer in part (a), we can write

$$U_0 = \int_0^\infty \epsilon \mathcal{D}_1(\epsilon) f(\epsilon, \tau = 0) d\epsilon$$
$$= L \sqrt{\frac{2m}{\hbar^2 \pi^2}} \int_0^{\epsilon_F} \sqrt{\epsilon} d\epsilon$$
$$= \frac{2L}{3} \sqrt{\frac{2m}{\hbar^2 \pi^2}} (\epsilon_F)^{3/2}.$$

We need to figure out what  $\epsilon_F$  is in 3d. We can get this by writing down the equation for N at  $\tau = 0$ :

$$\begin{split} N &= \int_0^{\epsilon_F} \mathcal{D}_1(\epsilon) d\epsilon \\ &= L \sqrt{\frac{2m}{\hbar^2 \pi^2}} \int_0^{\epsilon_F} \frac{1}{\sqrt{\epsilon}} d\epsilon \\ &= 2L \sqrt{\frac{2m}{\hbar^2 \pi^2}} \sqrt{\epsilon_F}. \end{split}$$

Solving for  $\epsilon_F$ , we find

$$\epsilon_F = \frac{1}{4} \frac{\hbar^2 \pi^2 N^2}{2mL^2}$$

(b): 1 point

Thus

$$U_0 = \frac{2L}{3} \sqrt{\frac{2m}{\hbar^2 \pi^2}} \left( \frac{1}{4} \frac{\hbar^2 \pi^2 N^2}{2mL^2} \right)^{3/2}$$
$$= \frac{L}{12} \frac{\hbar^2 \pi^2}{2m} (N/L)^3$$
$$= \frac{1}{3} N \epsilon_F$$

(b): 1 point

### (c): 1 point

Find the density of states for a free electron in a two-dimensional square of side length L.

Let's repeat the process from (a). In 2D, the energy levels are  $\epsilon = \frac{\hbar^2 \pi^2}{2mL^2} (n_x^2 + n_y^2)$ , with  $n_x, n_y = 1, 2, 3, \dots$ . The total number of particles N is

$$N = \sum_{\text{orbitals}} f(\epsilon)$$

$$= 2 \int_0^\infty dn_x \int_0^\infty dn_y f(\epsilon_n)$$

$$= 2 \frac{1}{4} (2\pi) \int_0^\infty dn \, n f(\epsilon_n),$$

where in the last line we switch to the variable  $n = \sqrt{n_x^2 + n_y^2}$ ; the  $2\pi$  comes from the angular integral and the factor of 1/2 comes from the fact that we are only integrating over the positive quadrant. In terms of n,  $\epsilon = \frac{\hbar^2 \pi^2}{2mL^2} n^2$ . Then, like before,  $d\epsilon = \frac{\hbar^2 \pi^2}{2mL^2} 2n \, dn$ , so that

(c): 1 point

$$N = \frac{mL^2}{\hbar^2 \pi} \int_0^\infty f(\epsilon) d\epsilon.$$

Comparing this with  $N = \int_0^\infty \mathcal{D}(\epsilon) f(\epsilon) d\epsilon$ , we see that

$$\mathcal{D}_2(\epsilon) = \frac{mL^2}{\hbar^2 \pi}.$$

This is independent of energy!

#### (d): 1 point

Find the total ground-state energy of a gas of non-interacting electrons in 2D.

Let's compute:

$$U_0 = \int_0^{\epsilon_F} \epsilon \mathcal{D}_2(\epsilon) d\epsilon$$
$$= \frac{mL^2}{\hbar^2 \pi} \int_0^{\epsilon_F} \epsilon d\epsilon$$
$$= \frac{mL^2}{2\hbar^2 \pi} \epsilon_F^2.$$

(d): 1 point

We should compute  $\epsilon_F$ . We can do this by noting that

$$N = \int_0^{\epsilon_F} \mathcal{D}_2(\epsilon) d\epsilon$$
$$= \frac{mL^2}{\hbar^2 \pi} \int_0^{\epsilon_F} d\epsilon$$
$$= \frac{mL^2}{\hbar^2 \pi} \epsilon_F,$$

which gives

$$\epsilon_F = \frac{\hbar^2 \pi N}{mL^2}.$$

Then

$$U_0 = \frac{1}{2} \frac{\hbar^2 \pi N^2}{mL^2}$$
$$= \frac{1}{2} N \epsilon_F$$

(d): 1 point

#### Kittel & Kroemer, Chapter 7, problem 2 [Energy of relativistic Fermi gas.]

For electrons with an energy  $\epsilon \gg mc^2$ , where m is the rest mass of the electron, the energy is given by  $\epsilon \simeq pc$ , where p is the momentum. For electrons in a cube of volume  $V=L^3$  the momentum is of the form  $(\pi \hbar/L)$ , multiplied by  $(n_x^2 + n_y^2 + n_z^2)^{1/2}$ , exactly as for the nonrelativistic limit.

### (a): 1 point

Show that in this extreme relativistic limit the Fermi energy of a gas of N electrons is given by

$$\epsilon_F = \hbar \pi c (3n/\pi)^{1/3},$$

where n = N/V.

If we assume that the energy of all particles takes the relativistic form, we can write

$$\begin{split} N &= \sum_{\text{orbitals}} f(\epsilon) \\ &= 2\frac{1}{8}(4\pi) \int_0^{n_F} n^2 dn \\ &= \frac{\pi}{3} n_F^3 \end{split}$$

Thus

$$n_F = \left(\frac{3N}{\pi}\right)^{1/3}.$$

(a): 1 point

The Fermi energy should be related to this quantity as  $\epsilon_F = \pi \hbar c n_F/L$ , so that

$$\epsilon_F = \pi \hbar c \left(\frac{3N}{\pi}\right)^{1/3} / L$$
$$= \pi \hbar c \left(\frac{3N}{L^3 \pi}\right)^{1/3}$$
$$= \pi \hbar c \left(\frac{3n}{\pi}\right)^{1/3}$$

# (b): 1 point

Show that the total energy of the ground state of the gas is

$$U_0 = \frac{3}{4} N \epsilon_F.$$

Above, we saw that

$$N = \pi \int_0^{n_F} n^2 dn.$$

Using  $\epsilon = \pi \hbar c n/L$ , we can convert this to an integral over energy, since  $d\epsilon = \pi \hbar c dn/L$ :

$$N = \frac{L^3}{\pi^2 \hbar^3 c^3} \int_0^{\epsilon_F} \epsilon^2 d\epsilon.$$

From this, we can see that the density of states is

$$\mathcal{D}(\epsilon) = \frac{L^3}{\pi^2 \hbar^3 c^3} \epsilon^2$$
$$= 3N \frac{\epsilon^2}{\epsilon_F^3}.$$

(b): 1 point

We can use this to calculate the ground-state energy:

$$U_0 = \int_0^{\epsilon_F} \epsilon \mathcal{D}(\epsilon) d\epsilon$$
$$= \frac{3N}{\epsilon_F^3} \int_0^{\epsilon_F} \epsilon^3 d\epsilon$$
$$= \frac{3}{4} N \epsilon_F.$$

#### Kittel & Kroemer, Chapter 7, problem 3 [Pressure and entropy of degenerate Fermi gas.]

### (a): 1 point

Show that a Fermi electron gas in the ground state exerts a pressure

$$p = \frac{(3\pi^2)^{2/3}}{5} \frac{\hbar^2}{m} \left(\frac{N}{V}\right)^{5/3}.$$

In a uniform decrease of the volume of a cube every orbital has its energy raised: The energy of an orbital is proportional to  $1/L^2$  or to  $1/V^{2/3}$ .

We showed in the book that the ground state energy of a Fermi gas is

$$U_0 = \frac{3}{5}N\epsilon_F = \frac{3}{5}N\frac{\hbar^2}{2m}\left(\frac{3\pi^2N}{V}\right)^{2/3}$$
$$= \frac{3(3\pi^2)^{2/3}}{10}N^{5/2}\frac{\hbar^2}{m}\left(\frac{1}{V}\right)^{2/3}$$

We can calculate the pressure:

(a): 1 point

$$p = -\frac{\partial U_0}{\partial V}$$

$$= \frac{(3\pi^2)^{2/3}}{5} N^{5/2} \frac{\hbar^2}{m} \left(\frac{1}{V}\right)^{5/3}$$

$$= \frac{(3\pi^2)^{2/3}}{5} \frac{\hbar^2}{m} \left(\frac{N}{V}\right)^{5/3}$$

## (b): 1 point

Find an expression for the entropy of a Fermi electron gas in the region  $\tau \ll \epsilon_F$ . Notice that  $\sigma \to 0$  as  $\tau \to 0$ .

The entropy is related to the heat capacity as  $C_V = \tau (\partial \sigma / \partial \tau)_V$ . We showed in class that the low-temperature heat capacity for the Fermi gas is  $C_V = N\tau/\tau_F$ , where  $\tau_F = \epsilon_F$ . Then  $C_V/\tau = N/\tau_F$ , and we can integrate this to find

(b): 1 point

$$\sigma(\tau) = \int_0^{\tau} (C_V/\tau') d\tau' = N\tau/\tau_F.$$

## Kittel & Kroemer, Chapter 7, problem 5 [Liquid <sup>3</sup>He as a Fermi gas]: 4 points

(a)

Since <sup>3</sup>He has spin-1/2, the form of  $\epsilon_F$  will be the exact same as for electrons:

$$\epsilon_F = \frac{\hbar^2}{2M} \left( \frac{3\pi^2 N}{V} \right)^{2/3}.$$

The problem gives the mass density of the gas as  $\rho = 0.081$  g/cm<sup>3</sup>, so we should put this quantity in terms of mass density  $\rho = MN/V$ :

$$\epsilon_F = \frac{\hbar^2}{2M^{5/3}} \left( \frac{3\pi^2 MN}{V} \right)^{2/3} = \frac{\hbar^2}{2M^{5/3}} \left( 3\pi^2 \rho \right)^{2/3}.$$

The molar mass of  $^3{\rm He}$  is 3.02 g/mol, so that  $M=(3.02)/(6.02\times 10^{23})=0.5\times 10^{-23}$  g. Plugging this all in gives

(a)

$$\epsilon_F = 4.25 \times 10^{-4} \text{ eV}.$$

The velocity of particles that have this energy is found using  $\epsilon_F = \frac{1}{2}Mv_F^2$ , so that

$$v_F = 1.65 \times 10^4 \text{ cm/s}.$$

The Fermi energy corresponds to a temperature via  $T_F = \epsilon_F/k_B$ , which gives

This system needs to be quite cold to treat it as a non-interacting degenerate Fermi gas.

(b)

We saw that for the degenerate Fermi gas the heat capacity at low temperatures is given by (in conventional units)  $C = \frac{1}{2}\pi^2 N k_B T/T_F$ . Plugging in our  $T_F = 4.93$  K, we see that

$$C_V = 1.00 N k_B T$$
,

in certain units. This is significantly lower than the given experimental value at low temperatures.

(b)

#### Kittel & Kroemer, Chapter 7, problem 11 [Fluctuations in a Fermi gas.]: 2 points

Show for a single orbital of a fermion system that

$$\langle (\Delta N)^2 \rangle = \langle N \rangle (1 - \langle N \rangle),$$

if  $\langle N \rangle$  is the average number of fermions in that orbital. Notice that the fluctuation vanishes for orbitals with energies deep enough below the Fermi energy so that  $\langle N \rangle = 1$ . By definition,  $\Delta N \equiv N - \langle N \rangle$ .

Let's first see what  $1 - \langle N \rangle$  looks like for fermions so we recognize it when we see it:

$$\begin{aligned} 1 - \langle N \rangle &= 1 - \frac{1}{e^{(\epsilon - \mu)/\tau} + 1} \\ &= \frac{e^{(\epsilon - \mu)/\tau} + 1}{e^{(\epsilon - \mu)/\tau} + 1} - \frac{1}{e^{(\epsilon - \mu)/\tau} + 1} \\ &= \frac{e^{(\epsilon - \mu)/\tau}}{e^{(\epsilon - \mu)/\tau} + 1}. \end{aligned}$$

We can use a result from Chapter 6 to compute the fluctuations:

$$\langle (\Delta N)^2 \rangle = \tau \frac{\partial \langle N \rangle}{\partial \mu}.$$

For fermions, we know  $\langle N \rangle = \frac{1}{e^{(\epsilon - \mu)/\tau} + 1}$ , so that

$$\begin{split} \langle N^2 \rangle &= \tau \frac{\partial}{\partial \mu} \frac{1}{e^{(\epsilon - \mu)/\tau} + 1} \\ &= \frac{e^{(\epsilon - \mu)/\tau}}{(e^{(\epsilon - \mu)/\tau} + 1)^2} \\ &= \langle N \rangle (1 - \langle N \rangle). \end{split}$$

A more elegant way of approaching this problem recognizes that for fermions, there are only two options for N, N = 0 or N = 1, and for both of these values,  $N^2 = N$ , so  $\langle N^2 \rangle = \langle N \rangle$ , and then our result follows from the definition of  $\Delta N$ .

Adapted from: Kittel & Kroemer, Chapter 7, problem 13 [Chemical potential versus concentration.]: 2 points

Sketch carefully the chemical potential versus the number of particles for a Fermi gas in volume V at temperature  $\tau$ . Include both classical and quantum regimes.

It's easiest to plot this as  $\mu$  vs  $N/n_QV$ . In the classical regime, we know that the chemical potential is given by  $\mu = \tau \ln(n/n_Q) = \tau \ln((N/V)/n_Q)$ , and that in that regime  $n \ll n_Q$  so that  $\mu < 0$ . In the quantum regime, the chemical potential should approach the Fermi energy  $(\hbar^2/2m)(3\pi^2(N/V))^{2/3}$ , which is positive. The chemical potential must cross from negative to positive at some point, which likely occurs near  $N/V \approx n_Q$ , or  $N/(n_QV) \approx 1$ . We can guess about the behavior in the intermediate regime:

