

## Chapter 1

Finite Automata ( $Q$ —states,  $\Sigma$ —alphabet,  $\delta$ —transitions,  $q_0$ —start,  $F \subset Q$ —accept). Language is **regular** if a finite automaton recognizes it. Two machines are equivalent if they recognize the same language.

- Deterministic (DFA) Restrict to one transition for each unique symbol.
- Nondeterministic (NFA) Every NFA has an equivalent DFA and any DFA is a valid NFA. Therefore a language is **regular** if and only if some NFA recognizes it.
- DFA to NFA Start at start state(s). Follow and write next possible states per symbol. Create new row for resulting states. Repeat until no new states. Should be 1 more state than the NFA.
- Generalized nondeterministic finite automaton (GNFA) Only one start and reject state. Transitions are regular expressions. Used to convert DFA to a RE.
- DFA to DE Add new start state and accept state. Transition start to old start and from old accept to new accept. Identify destination states from the state that will be removed. Identify all paths destination states have that go through the state that will be removed. Write new transitions excluding the removed state. Repeat.

Regular Languages are closed under **union, intersection, complement, concatenation, star (\*)**. All finite languages are Regular Languages.

Power Set is the set of all subsets of a language. Size of  $P(A) = 2^{|A|}$ .

Regular Expression. R is a RE if it is (1) a character in the alphabet associated with R. (2) the empty string. (3) the empty language. (4) two regular languages under union. (5) two regular languages under concatenation. (6) a regular language under star. **Order of Operations** is parenthesis, star, concatenation, union. A language described by a RE is **regular**.

Pumping Lemma for RL A string of length at least pumping-length can be broken up into  $xyz$  such that (1)  $xy^iz$  is in the language for any  $i \geq 0$ . (2)  $|y| > 0$  (3)  $|xy| \leq p$ .

Finite Automata Theorems For a finite automata  $M$  with  $n$  states (1)  $L(M)$  is non-empty if and only if  $M$  accepts a string of length less than  $n$  (2)  $L(M)$  is infinite if and only if  $M$  accepts a string of length  $i$  where  $n \leq i < 2n$ . It is possible to create a FA that can determine if two FA are equivalent and taking a finite amount of time if they are equivalent.

## Chapter 2

Context-free Grammar ( $V$ —variables (states),  $\Sigma$ —terminals (symbols),  $R$ —rules (transitions),  $S$ —start). Parse-trees show the path the CFG takes to output the string. Any language made by a CFG is a **context-free** language. A CFG is **ambiguous** if there is more than one way to generate a string (two parse trees). A CFL is **inherently ambiguous** if all grammars for the language are ambiguous. **Leftmost** deviation means the leftmost remaining variable is the one replaced; same for rightmost.

Context-free Languages are closed under **union, concatenation, star (\*)**. All Regular languages are context-free.

Chomsky Normal Form if every rule is of the form  $A \rightarrow BC$  or  $A \rightarrow a$ . The start variable can have a  $\epsilon$ . (1) Add new start variable with rule to old start variable. (2) Eliminate all  $\epsilon$  rules. (3) Eliminate all unit rules. (3) Convert remaining to proper form by moving stuff around. Any CFL can be generated by a CFG in Chomsky normal form.

Pushdown Automata (PDA) Same setup as a FA, except the inclusion of a stack and the transitions that can pop or push something on the stack. A language is **context-free** if and only if some PDA recognizes it. Every regular language is context-free.

Pumping Lemma for CFL If  $L$  is a CFL, then there is a pumping-length where if a string in  $L$  is at least pumping-length then the string can be broken up into  $uvxyz$  where (1)  $uv^ixy^iz \in L$  for all  $i \geq 0$ . (2)  $|xy| > 0$  (3)  $|vxy| \geq p$

### Examples

Prove  $\{0^n 1^m 0^n \mid m, n \geq 0\}$  is not regular. Assume this language ( $A$ ) is regular, so then there must exist a variable  $p$ , the pumping length. Choose  $w = 0^p 10^p$  as the test word.  $|w| > p$  and  $w \in A$ . As  $|xy| \leq p$ ,  $x$  and  $y$  must be composed of only zeros. Additionally, as  $|y| > 0$ ,  $y$  would then have to equal  $0^k$  for some  $k > 0$ . For  $xy^iz$ , choose  $i = 0$  and the resulting word should still be in  $A$ . However  $xy^0z = xz = 0^{p-k}10^p$ . This resulting word is not in  $A$  therefore our assumption was incorrect.

Prove  $\{a^n b^m \mid m \leq n^2\}$  is not context-free. Choose  $S = a^{p+1}b^{p^2+1}$  There are then three cases for  $vxy$ . (1)  $a^{p+1}$ , (2)  $a^p b^{p^2}$ , (3)  $b^{p^2+1}$ . We pump down on case 1 and 2, and pump up for case 3. For case 1, the number of b's is greater than the number of a's squared ( $a^{p+1-1}b^{p^2+1}$ ). For case 2, the number of a's and b's become equal ( $ab$ ), which is not what the language wants. For case 3, the number of a's squared will be greater than the number of b's. ( $a^{p+1}b^{p^2+1+1}$ ). With all options exhausted, the language cannot be context-free.

Convert the following CFG to Chomsky normal form. ( $S$  is already new start state)

$S \rightarrow aAA \mid aBC \mid abc$	$S \rightarrow DAA \mid DBC \mid DEF$	$S \rightarrow DI \mid DH \mid DJ$
$A \rightarrow AA \mid Aa \mid ab$	$A \rightarrow AA \mid AD \mid DE$	$A \rightarrow AA \mid AD \mid DE$
$B \rightarrow aaBC \mid BC$	$B \rightarrow DDBC \mid BC$	$B \rightarrow GH \mid BC$
$C \rightarrow a \mid bc$	$C \rightarrow a \mid EF$	$C \rightarrow a \mid EF$
	$D \rightarrow a$	$D \rightarrow a$
	$E \rightarrow b$	$E \rightarrow b$
	$F \rightarrow c$	$F \rightarrow c$
		$G \rightarrow DD$
		$H \rightarrow BC$
		$I \rightarrow AA$
		$J \rightarrow EF$

### Removing $\epsilon$ rules from CFGs

$A \rightarrow B \mid C$	$S \rightarrow A$	$S \rightarrow A \mid \epsilon$	$S \rightarrow A \mid \epsilon$
$B \rightarrow aCa \mid \epsilon$	$A \rightarrow B \mid C$	$A \rightarrow B \mid C$	$A \rightarrow B \mid C$
$C \rightarrow bAb \mid \epsilon$	$B \rightarrow aCa \mid \epsilon$	$B \rightarrow DCD \mid DD$	$B \rightarrow DF \mid DD$
	$C \rightarrow bAb \mid bb$	$C \rightarrow EAE \mid EE$	$C \rightarrow GE \mid EE$
		$D \rightarrow a$	$D \rightarrow a$
		$E \rightarrow b$	$E \rightarrow b$
			$F \rightarrow CD$
			$G \rightarrow EA$

## Chapter 3

**Turing Machines** ( $Q$ - states,  $\Sigma$ - alphabet (no blank),  $\Gamma$ - tape alphabet (contains  $\Sigma$  and blank),  $\varsigma: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$ - transition function,  $q_0$ - start state,  $q_{accept}$ - accept state,  $q_{reject}$ - reject state.). **Configuration** is the current state, tape contents, and head position of a Turing Machine. A language is **Turing Recognizable** if some Turing machine recognizes it. A TM that halts on all inputs is a **Decider**. A language is **Turing Decidable** if there is a TM that recognizes the language and the TM is a decider. An **Enumerator** is a TM that outputs the strings of the language to its initially blank tape. It will never halt if the language is infinite. A language is Turing recognizable if and only if some enumerator enumerates it. The class of **Context-free Languages** is a proper subset of the Turing recognizable languages. TR languages are closed under **union**, **intersection**, **concatenation**, **star** and TD languages are closed under **complement** as well.

**Multi-tape TM** A TM with more than one tape; input begins only on the first tape and other tapes are blank. Every MTTM has an equivalent single tape TM. A language is Turing recognizable if and only if some MTTM recognizes it.

**Nondeterministic TM** is a TM where at any point the machine may proceed in one or more ways ( $\varsigma: Q \times \Gamma \rightarrow P(Q \times \Gamma \times \{L, R\})$ ). Every Nondeterministic TM has an equivalent deterministic TM. A language is TR if and only if some nondeterministic TM recognizes it. A language is decidable if and only if some nondeterministic TM decides it.

## Chapter 4

### Decidability

$A_{DFA} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts string } w\}$  is decidable.

$A_{NFA} = \{\langle B, w \rangle \mid B \text{ is a NFA that accepts string } w\}$  is decidable.

$A_{REG} = \{\langle R, w \rangle \mid R \text{ is a regular expression that generates string } w\}$  is decidable.

$E_{DFA} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) \text{ is empty}\}$  is decidable.

$E_{QDFA} = \{\langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B)\}$  is decidable.

$A_{CFG} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates string } w\}$  is decidable.

$E_{CFG} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset\}$  is decidable.

Every CFL is decidable

### Countability

A set is countable if it is finite or has the same size as the natural numbers (0,1,2,...). A set is uncountable if it is infinite and there is no correspondence with the natural numbers. The **Real numbers** are uncountable.

**Co-Turing recognizable** is a language whose complement is a TR language. The complement of  $A_{TM}$  is not Turing recognizable.

## Chapter 5

**Accepting Computation History** for a TM on a string is the sequence of configurations that begins with the start configuration and ends with the accepting configuration. **Rejecting Computation History** is the same idea of ends with a rejecting configuration.

**Linearly Bound Automaton (LBA)** is a TM that is not allowed to move the tape head off the input part of the tape. The **Accepting Problem** for LBAs is decidable. The Emptiness Problem for LBAs is undecidable.

**All CFG** The problem of determining if a CFG generates all possible strings is undecidable.

**Post Correspondence Problem (PCP)**. Let  $C$  be a collection of dominoes, each containing two strings, one on top and one bottom. A collection of dominoes is simply a finite set of dominoes. Come up with a list of dominoes (repetition allowed) so that the string on the top is the same as the string on the bottom. This problem is undecidable.

**Computable function** is a function  $f: \Sigma^* \rightarrow \Sigma^*$  if some TM, on every input  $w$ , halts with just  $f(w)$  on the tape.

**Mapping Reducible** A language  $L$  is mapping reducible to language  $L'$  ( $L \leq_m L'$ ) if there is a computable function where for every  $w \in L$ ,  $f(w) \in L'$ . The function  $f$  is called the **reduction** of  $L$  to  $L'$ .

$L \leq_m L'$  and  $L'$  is decidable then  $L$  is also decidable.

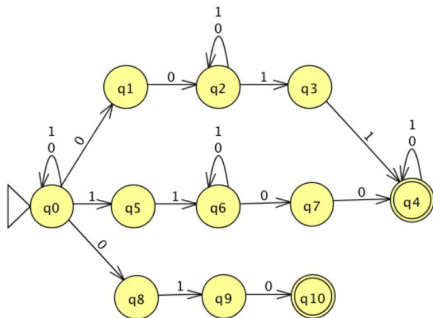
$L \leq_m L'$  and  $L$  is undecidable, then  $L'$  is undecidable.

$E_{QTM}$  is neither TR or co-TR.

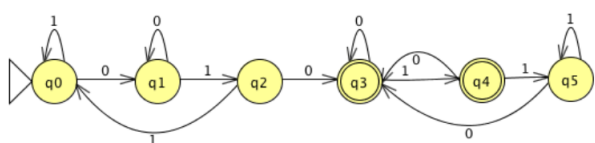
**Rice's Theorem** Any nontrivial property about TR languages is undecidable. That is any property that includes at least one language but not all languages. EX:  $L(M)$  is regular, context free, finite, contains strings of only even length, contains all strings, and contains all strings of prime length.

### Quiz Solutions

**Construct** a NFA that recognizes all strings over  $\{0,1\}$  that contain both 00 and 11 as substrings or end with 010.



**Construct** a DFA that recognizes all strings over  $\{0,1\}$  that contain 010 as a substring and does not end with 11.



$E_{QCFG} = \{\langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H)\}$  is undecidable.

$A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$  is undecidable.

$HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$  is undecidable.

$E_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$  is undecidable.

$REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$  is undecidable.

$E_{QTM} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$  is undecidable.

$L \leq_m L'$  and  $L'$  is TR, then  $L$  is TR

$L \leq_m L'$  and  $L$  is not TR, then  $L'$  is not TR.

**Construct** a CFG for  $\{a^n b^m d^u e^v c^k \mid v > 0; n, m, u, k \geq 0 \text{ and } n = m - k\}$

Since  $n = m - k$  is equivalent to  $m = n + k$ , re-write as  $a^n b^{n+k} d^u e^v c^k$  and then  $(a^n b^n)(b^k d^u e^v c^k)$

$S \rightarrow AB$ ;  $A \rightarrow aAb \mid \epsilon$ ;  $B \rightarrow bBc \mid C$ ;  $C \rightarrow dC \mid Ce \mid e$ .

**Let**  $L = \{w \mid w \text{ is an element of } \{a,b\}^* \text{ such that the number of } a\text{'s in } w < \text{the number of } b\text{'s in } w < \text{twice the number of } a\text{'s in } w\}$

The basic idea is to mark an  $a$  and then mark a corresponding  $b$ , if we run out of  $a$ 's prior to  $b$ 's we know that the number of  $a$ 's < the number of  $b$ 's. We then start marking marked  $a$ 's and corresponding  $b$ 's. If we run out of  $b$ 's prior to marked  $a$ 's, then we know that the number of  $b$ 's < twice the number of  $a$ 's.

**Let**  $A$  be TR,  $B$  decidable,  $C$  can be enumerated in three weeks, 2 days, and 18 hours.  $D$  is a TM that takes a TM  $M$  and input  $w$  and  $M$  either halts within  $2w$  transitions or  $M$  never halts.  $E$  is a CFL and  $F$  a RL.

$C$  is context free since it is finite therefore a RL and therefore CFL.

$A \cap B$  is not decidable since  $A$  intersected with  $\Sigma^*$  is just  $A$  and  $A$  is only TR.

The complement of  $C$  is decidable as it is finite, it is a RL and its complement as well.

$D$  is decidable

$A \cap F$  is TR but not co-Turing recognizable.

Not all proper subsets of  $B$  is decidable, consider  $\{0, 1\}^*$

$E$  is a CFL, is decidable, and therefore co-Turing Recognizable.

The strings of  $E$  having prime length is decidable.

The compliment of  $F$  is decidable since  $F$  is a RL.

**Let**  $A$  be a decidable language and define  $A'$  to be the collection of strings that are not in  $A$ , but contain a substring that is in  $A$ . Show  $A'$  is also decidable.

Let  $M$  be a TM that decides  $A$ . On input  $w$ ,  $X$  does:

(1) Run  $M$  on  $w$ , if it accepts, then halt and reject

(2) For each substring  $w'$  of  $w$ :

(a) Run  $M$  on  $w'$  if accepts, then halt and accept

(3) Halt and Reject.

Since  $M$  is a decider and we only run  $M$  on a finite number of strings, we know  $X$  will halt on all inputs. Claim  $L(X) = A'$ .

Let  $w$  be an element of  $A'$ . Then  $w$  is not in  $A$ , but  $w$  has a substring that is in  $A$ . Step 1 verifies that  $w$  is not in  $A$  and step 2 verifies that  $w$  contains a substring that is in  $A$ . By step 2, we accept  $w$  thus  $w$  is also an element of  $L(X)$  and  $A' \leq L(X)$ .

Let  $w$  be an element of  $L(X)$ .  $w$  must be accepted in step 2, which means it contains a substring that is accepted by  $M$ . Thus  $w$  has a substring in  $A$ .  $M$  must also reject  $w$  thus  $w$  is not an element of  $A$ . By the definition of  $A'$ ,  $w$  is an element of  $A'$  and  $L(X) \leq A'$ .

Therefore  $L(X) = A'$  and  $X$  decides  $A'$  thus  $A'$  is decidable.

**Let**  $A$  be decidable,  $C$  TR, and  $D$  not TR.  $B$  is just some language.

$A \leq_m B$  implies nothing about  $B$  as  $A$  is decidable.

$B \leq_m A$  implies  $B$  is decidable therefore TR.

$B' \leq_m A$  then  $B'$  is decidable and  $B$  is TR.

$B \& B' \leq_m C$  then  $B \& B'$  is TR and  $B$  is decidable.

$B \leq_m C'$  implies nothing about  $B$

$A \leq_m C \& C'$  implies nothing about  $C$  or  $C'$

$D \leq_m B$  implies  $B$  is not decidable

$C' \leq_m C$  implies  $C'$  is TR and therefore  $C$  is decidable.

**Let**  $A = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ contains at least two strings of odd length}\}$ . Is it decidable?

No,  $A$  is not decidable. Let  $M$  and  $M'$  be TM such that  $L(M) = \{0, 000\}$  and  $L(M') = \{0\}$ . Then  $\langle M \rangle$  is in  $A$  and  $\langle M' \rangle$  is not in  $A$ , and the property of having at least two strings of odd length is non-trivial. Thus by Rice's theorem  $A$  is not decidable.

**Let**  $A$  be a TR language,  $B$  a co-TR language,  $C$  a decidable language, and  $D$  a language that can be enumerated in two years.

(TRUE) If  $A$  and  $B$  are the same language, then  $A$  is decidable.

(FALSE)  $B \cap C$  is decidable. If  $C = \Sigma^*$  then  $B \cap C = B$  so no reason to expect to be decidable.

(TRUE)  $D^*$  concatenated with  $C$  is decidable.  $D$  is finite, so it is a RL which means  $D^*$  is a RL and therefore decidable and closed under concatenation.

(FALSE) Any subset of  $D^*$  is decidable. If  $D = \{0, 1\}$ , then  $D^* = \{0, 1\}^*$  and  $\{0, 1\}^*$  has non TR subsets.